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# Rapid ocean acidification and protracted Earth system recovery followed the end-Cretaceous Chicxulub impact

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**Mass extinction at the Cretaceous–Paleogene (K–Pg) boundary coincides with the Chicxulub bolide impact and also falls within the broader time frame of Deccan trap emplacement. Critically, though, empirical evidence as to how either of these factors could have driven observed extinction patterns and carbon cycle perturbations is still lacking. Here, using boron isotopes in foraminifera, we document a geologically rapid surface-ocean pH drop following the Chicxulub impact, supporting impact-induced ocean acidification as a mechanism for ecological collapse in the marine realm. Subsequently, surface water pH rebounded sharply with the extinction of marine calcifiers and the associated imbalance in the global carbon cycle. Our reconstructed water-column pH gradients, combined with Earth system modeling, indicate that a partial ~50% reduction in global marine primary productivity is sufficient to explain observed marine carbon isotope patterns at the K–Pg, due to the underlying action of the solubility pump. While primary productivity recovered within a few tens of thousands of years, inefficiency in carbon export to the deep sea lasted much longer. This phased recovery scenario reconciles competing hypotheses previously put forward to explain the K–Pg carbon isotope records, and explains both spatially variable patterns of change in marine productivity across the event and a lack of extinction at the deep sea floor. In sum, we provide insights into the drivers of the last mass extinction, the recovery of marine carbon cycling in a postextinction world, and the way in which marine life imprints its isotopic signal onto the geological record.**

Cretaceous/Paleogene boundary | ocean acidification | boron isotopes | mass extinction | GENIE model

There is abundant evidence for a massive bolide impact at the Cretaceous–Paleogene (K–Pg) boundary (66.04 Ma) that coincides in those sections with sufficient temporal resolution with a mass extinction horizon (e.g., ref. 1). Geological records document many effects from impact, including massive tsunamis, earthquake-driven gravity flows, and molten ejecta fallout (e.g., ref. 1). However, the mechanism (or mechanisms) by which impact drove global-scale ecosystem turnover and mass extinction is less certain. Among the most prominent hypotheses are global darkness and associated primary productivity loss leading to food chain collapse, acid rain, impact winter, and flash ocean acidification (2). Some of these mechanisms are supported by modeling work (e.g., ref. 3) but, critically, they generally lack empirical validation. Furthermore, the issue is complicated by the possibility of contributing effects from ongoing or intensified Deccan flood basalt volcanism (4, 5) and the short timescales of at least some of the effects of impact compared to the resolution of the geological record.

Here we apply the boron isotope–pH proxy to planktic and benthic foraminifera to examine whether ocean acidification occurred at the K–Pg boundary and to test competing hypotheses that have been proposed to explain changes in the marine carbon cycle in the aftermath of the mass extinction. We analyzed material from multiple sites where sufficient well-preserved foraminifera from the mixed layer of the surface ocean could be obtained, with >7,000 small, thin-walled postextinction foraminifera required per analysis in some cases. By combining measurements from continental shelf sites in communication with the open ocean [Geulhemmerberg Cave, The Netherlands (6), Owl Creek, Mississippi (7), and Brazos River, Texas (8)] and deep-sea drilling sites (Deep-Sea Drilling Program Site 465 and Ocean Drilling Program [ODP] Site 1209 in the Pacific and ODP Site 1049 in the Atlantic), we construct a composite global record spanning ~800 ky (*SI Appendix*). Importantly, one shallow marine sample site studied

## Significance

Debate lingers over what caused the last mass extinction 66 million years ago, with intense volcanism and extraterrestrial impact the most widely supported hypotheses. However, without empirical evidence for either's exact environmental effects, it is difficult to discern which was most important in driving extinction. It is also unclear why recovery of biodiversity and carbon cycling in the oceans was so slow after an apparently sudden extinction event. In this paper, we show (using boron isotopes and Earth system modeling) that the impact caused rapid ocean acidification, and that the resulting ecological collapse in the oceans had long-lasting effects for global carbon cycling and climate. Our data suggest that impact, not volcanism, was key in driving end-Cretaceous mass extinction.

Author contributions: M.J.H., A.R., E.T., D.N.S., and P.M.H. designed research; M.J.H., A.R., S.Z., L.A., J.W.B.R., and J.R.S. performed research; M.J.H. analyzed data; M.J.H. and S.Z. picked forams; J.D.W. and N.H.L. contributed samples and stratigraphic context; S.E.G. honed the model; B.T.H. trained taxonomy; N.J.P. and P.M.H. hosted the laboratory work; and M.J.H., N.J.P., and P.M.H. wrote the paper with contributions from all authors.

The authors declare no competing interest.

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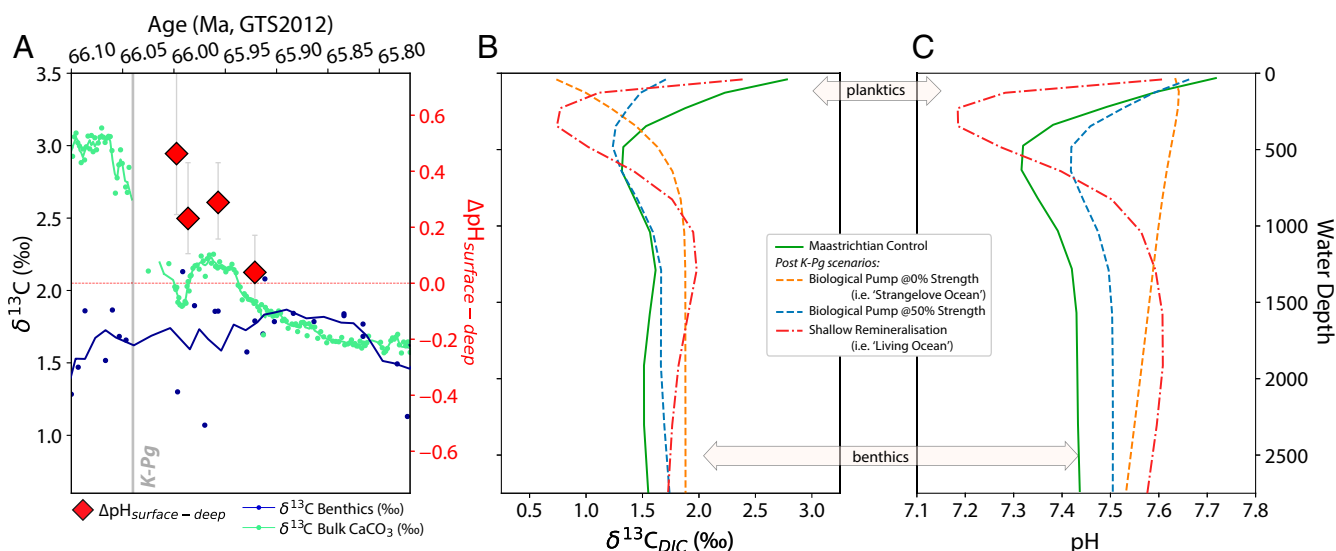
could be sourced from carbonate target rocks (ref. 1 and references therein), the biosphere [through wildfires and decay (ref. 1 and references therein)], a transient weakening of the biological carbon pump (ref. 22 and references therein and *SI Appendix*), and/or a contribution from a pulse of volcanism (5).

Loss of plankton functional groups with the K-Pg impact has profound implications for marine carbon cycling (22), manifest in one of the earliest and most striking geochemical observations of the K-Pg boundary in open ocean sediments: a convergence in the  $\delta^{13}\text{C}$  values of surface- and deep-dwelling calcifiers (e.g., ref. 23). Paired measurements of  $\delta^{11}\text{B}$  (and hence pH) from surface and deep water after the K-Pg boundary allow us to address a long-running, unresolved debate about the cause of this convergence, and the long-term effect of the K-Pg mass extinction on marine carbon cycling. Surface ocean  $\delta^{13}\text{C}$  is typically maintained at heavy values relative to the deep sea through the biological pump, which exports isotopically light organic carbon from the surface ocean to depth. The sharp drop in surface ocean  $\delta^{13}\text{C}$  at the K-Pg was therefore initially interpreted as a near-total loss of marine new primary productivity after impact, in the “Strangelove Ocean” hypothesis (24). Later, the “Living Ocean” hypothesis (25) posited that such a reduction of vertical  $\delta^{13}\text{C}$  gradients arose instead from a decrease in the efficiency of the biological pump, that is, continued productivity but reduced sinking efficiency leading to more remineralization of organic carbon in the upper water column. Evidence for export to the deep ocean persisting in at least some regions led to the “Heterogeneous Ocean” hypothesis (11, 26), while it has also been argued that part of the reduction in  $\delta^{13}\text{C}$  gradients stems from the fact that carbon isotope vital effects differ in postextinction carbonate producers compared to the pre-extinction ones (11, 27). These latter scenarios appear more compatible with low levels of benthic foraminiferal extinction at the K-Pg (11, 28) than a near-total loss of primary or export production. Another alternative hypothesis not linked to any marine biogeochemical response is that extremely high atmospheric  $p\text{CO}_2$  (thousands of parts per million) could have driven convergence in deep- and surface-ocean  $\delta^{13}\text{C}$  inorganically (29). Such a

scenario might arise if outgassing from the Deccan Traps was accelerated following the impact (4).

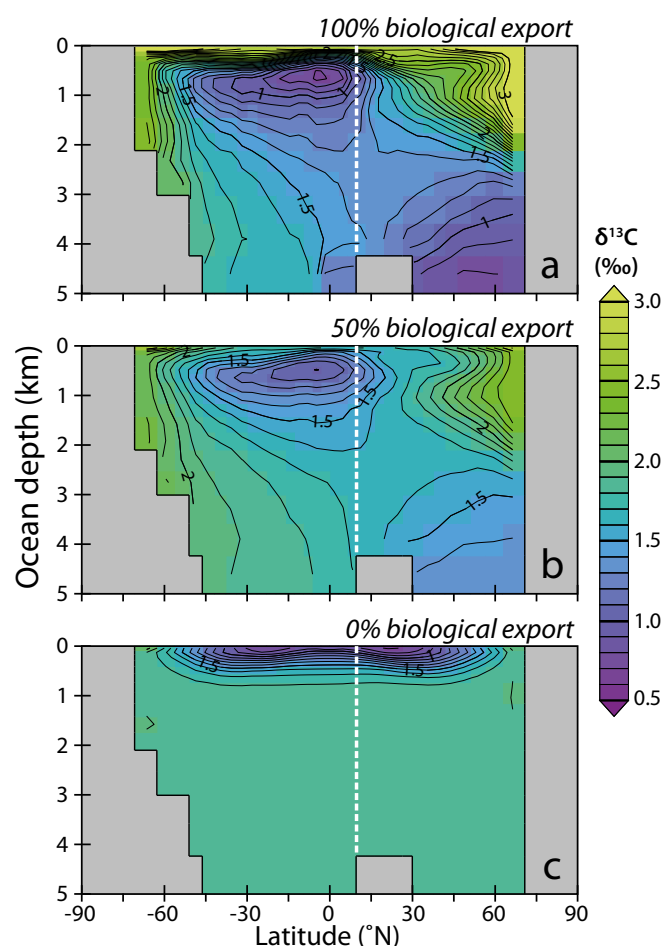
Our records show that initial surface acidification was followed by a rapid rebound and overshoot in surface ocean pH ( $\Delta\text{pH} \approx 0.5$ ) within 40 ky of the K-Pg boundary, returning to preevent values after an additional  $\sim 80$  ky (Fig. 14). This is consistent with model predictions of ocean pH rise due to the extinction of calcifying organisms and a consequent transient reduction in the marine alkalinity sink (22, 30). Such a period of imbalance in marine alkalinity fluxes in the earliest Danian is supported by observations of improved deep-sea carbonate preservation (22), the occurrence of heavily calcified deep-sea benthic foraminifera (31), and the deposition of abiogenic calcite crystals in the oceans (32). The absence of any prolonged ( $>50$  ky) surface ocean acidification after the K-Pg suggests, therefore, that if there were a state shift toward significantly more active Deccan  $\text{CO}_2$  degassing in response to impact (4), its effects were overwhelmed by the biogeochemical effect of extinction. Other independent  $p\text{CO}_2$  proxy records (e.g., ref. 33) likewise do not support a substantial rise in  $\text{CO}_2$ , and there is no evidence for deep-sea warming at this time (34). Thus, we discount high  $p\text{CO}_2$  as the driver of reduced vertical  $\delta^{13}\text{C}$  gradients (29) and infer that the convergence in surface and deep-ocean  $\delta^{13}\text{C}$  arose from a change in oceanic biogeochemical cycling, combined to some extent with changes in carbonate vital effects.

To explore how changes in the carbon cycle would manifest in vertical pH and  $\delta^{13}\text{C}$  gradients, we used GENIE (Grid ENabled Integrated Earth System Model) (35), with a late Maastrichtian bathymetry and continental configuration (*SI Appendix*, Fig. S17 and *SI Appendix*). We simulate the response of marine  $\delta^{13}\text{C}$  and pH given either reduced or zero primary productivity (a “Strangelove Ocean”-type scenario) or less efficient biological pump (i.e., enhanced remineralization in the upper water column, “Living Ocean” scenario; full details are given in *SI Appendix*). For each simulation, we show depth transects for  $\delta^{13}\text{C}$  and pH at Shatsky Rise (ODP Site 1209) (Fig. 2 *B* and *C*). Pacific basin  $\delta^{13}\text{C}$  zonal means for 3 illustrative states of biological pumping are shown in



**Fig. 2.** Paired benthic-planktic foraminiferal  $\delta^{11}\text{B}$  measurements from 4 time slices following the K-Pg boundary at ODP Site 1209 (Shatsky Rise) are used to calculate the difference in surface and deep ocean pH ( $\Delta\text{pH}$ ; red diamonds, *A*). These data show a trend from “normal” patterns of higher  $\delta^{11}\text{B}/\text{pH}$  in the surface ocean relative to the deep until 65.92 Ma, where this gradient disappears (see also *SI Appendix*, Fig. S12). Shown for context is the convergence in  $\delta^{13}\text{C}$  from surface waters (bulk  $\text{CaCO}_3$ , in green) and deep ocean waters (benthic foraminifera, navy) (*A*). Water column  $\delta^{13}\text{C}$  and pH profiles in the cGENIE model the location of Shatsky Rise (see also Fig. 3) are shown in *B* and *C*, respectively. A weakened or reversed  $\delta^{13}\text{C}$  gradient can arise in a number of ways (*B*), but only a shallow remineralization (or “Living Ocean,” red dot-dashed line) model can produce a flat gradient in pH between the surface 80 m and 2,500-m water depth (*C*). Carbonate data from ODP 1209 include new data and benthic data from ref. 34. See *SI Appendix* for more details.





**Fig. 3.** Pacific zonal means of the simulated depth distribution of  $\delta^{13}\text{C}_{\text{DIC}}$  in the Maastrichtian ocean for (A) the default (full-strength) biological pump, (B) global export production reduced to 50% of the default, and (C) no biological pump. The approximate paleo-latitude of ODP Site 1209 (Shatsky Rise) is marked by the vertical dashed white line. The action of the solubility pump, which absorbs atmospheric  $\text{CO}_2$  at high latitudes due to increased solubility of surface waters with respect to  $\text{CO}_2$  as they cool, imparts an inverse vertical  $\delta^{13}\text{C}_{\text{DIC}}$  gradient at low latitudes in the absence of biological productivity (C; see the main text for explanation).

Fig. 3. We use the observed patterns of boron and carbon isotope change to distinguish between the competing hypotheses for postextinction biogeochemical change. The fingerprint of the “Strangelove” scenario with a complete loss of productivity is a reversed vertical  $\delta^{13}\text{C}$  gradient with surface ocean  $\delta^{13}\text{C}$  values  $>1\text{‰}$  lighter than benthic (Fig. 2B) and a muted but positive surface-deep pH gradient (Fig. 2C). The “Living Ocean” scenario with shallower organic matter remineralization leaves a muted but positive surface-deep  $\delta^{13}\text{C}$  gradient, but with a flattened pH gradient. Only with a 50% reduction in global new primary productivity can our model produce a flattened vertical  $\delta^{13}\text{C}$  gradient together with a positive pH gradient.

The “Strangelove” scenario produces a pronounced  $>1\text{‰}$  reversed vertical  $\delta^{13}\text{C}$  gradient (Fig. 2B) rather than the observed approximately flat gradient. This phenomenon arises because of the solubility pump, that is, the increased solubility of  $\text{CO}_2$  (and hence  $\text{CO}_2$  uptake) in cooling surface waters as they reach high latitudes, where they ultimately sink to form deep water. Because the fractionation between dissolved  $\text{CO}_{2(\text{aq})}$  (and thus atmospheric  $p\text{CO}_2$ ) and aqueous bicarbonate (and thus dissolved inorganic carbon, DIC) increases by about  $0.1\text{‰}$  per  $^\circ\text{C}$  cooling, the surface

$\delta^{13}\text{C}_{\text{DIC}}$  at colder, higher latitudes is driven higher with respect to the atmosphere compared to the warmer, low-latitude ocean surface (Fig. 3C). Although this effect of the solubility pump is always there, typically the action of the biological pump overprints the pattern of the solubility pump to give the observed “normal” sign of  $\delta^{13}\text{C}$ , with most positive values at the surface (Fig. 3A and SI Appendix). Somewhere between a “Strangelove”-style shutdown and a full-strength biological pump, there must exist a weaker state of the biological pump that would impart a  $\delta^{13}\text{C}$  gradient opposite in sign and equal in magnitude to the solubility pump, canceling out the difference in  $\delta^{13}\text{C}$  between surface and deep ocean. We find this occurs with a 50% reduction in global export production for all but the highest-latitude Northern Pacific, where downwelling waters overlay an older (and lower  $\delta^{13}\text{C}$ ) lens of southern-sourced water (Figs. 2B and 3B). This partially maintained productivity is consistent with the survival of benthic foraminifera at the K-Pg (11, 28).

The combination of our cGENIE simulations and the observed sequence of changes in vertical pH and  $\delta^{13}\text{C}$  gradients at Shatsky Rise (Fig. 2A) allow us to propose a hypothesis for the behavior of the marine carbon cycle after the K-Pg impact. During the first tens of thousands of years following impact, reduced vertical  $\delta^{13}\text{C}$  gradients without an associated collapse in pH gradients (Fig. 2A) are consistent with a substantial reduction in new primary productivity globally following mass extinction (Fig. 2B and C and SI Appendix). The magnitude of productivity loss required depends on changes in carbon isotope vital effects in pelagic  $\text{CaCO}_3$  producers, which likely account for some (but not all) of the observed collapse in  $\delta^{13}\text{C}$  gradients (11, 27, 36). Reduced global export productivity can, however, align with published observations of a “Heterogeneous Ocean” with stable or increased productivity at certain sites [e.g., Shatsky Rise (11, 26)]. A global reduction in new primary productivity may have led to a buildup of nutrients in the surface ocean, and thus some locations (e.g., highly oligotrophic gyres) may have supported increased new/export primary productivity in the Danian relative to their preimpact state, even while export productivity globally was reduced (SI Appendix, Figs. S23 and S24).

After this period of globally reduced, but not collapsed, export productivity, we see a convergence in shallow and deep-ocean pH in our final time-slice  $\sim 120$  ky post-K-Pg (Fig. 2A). In our model scenarios, only by remineralizing organic carbon shallower in the water column can one produce similar pH values in both the deep sea and the subsurface (Fig. 2C). Shallow water remineralization alone (i.e., a “Living Ocean” scenario) does not fully collapse the  $\delta^{13}\text{C}$  gradient in our model, but combined with known carbon-isotope vital effects that drive surface-derived carbonates lighter than ambient  $\delta^{13}\text{C}_{\text{DIC}}$  (27, 36, 37) the expression of such a scenario in the fossil record could be a full collapse. We therefore suggest that after an initial period (up to 40 ky) of globally partially weakened new primary productivity globally, it progressively began to recover, but without full restoration of pelagic ecosystem structure and function. In such a scenario, persistently ineffective ballasting of marine organic matter or other factors could have then resulted in more organic material being remineralized shallower in the water column [i.e., a “Living Ocean” scenario (25)], thereby reducing  $\delta^{13}\text{C}$  and pH gradients between the surface and deep sea.

Reduced vertical  $\delta^{13}\text{C}_{\text{CaCO}_3}$  gradients persisted for over 1 My after the K-Pg impact (e.g., ref. 27), which suggests that the efficiency of organic carbon export to the deep sea, a key pelagic ecosystem function, was reestablished slowly. Our  $\delta^{11}\text{B}$  data do not support prolonged surface ocean acidification, making it difficult to attribute this delayed biogeochemical recovery to acidification from sustained (or enhanced)  $\text{CO}_2$  degassing from the Deccan Traps. Rather, there may be intrinsic constraints on the time required to recover normal marine ecosystem function after such severe global perturbations, despite the short generation times that should make marine plankton ideally suited to rapid evolutionary radiation (38). In this way, the K-Pg event

shows that even geologically rapid ocean acidification events can have prolonged and profound biotic ramifications.

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