UC Irvine

UC Irvine Previously Published Works

Title

Family-based association study of DRD4 gene in methylphenidate-responded Attention Deficit/Hyperactivity Disorder

Permalink

https://escholarship.org/uc/item/1vs8p246

Journal

PLOS ONE, 12(3)

ISSN

1932-6203

Authors

Leung, Patrick Wing-leung Chan, Janice Ka Yan Chen, Lu Hua et al.

Publication Date

2017

DOI

10.1371/journal.pone.0173748

Copyright Information

This work is made available under the terms of a Creative Commons Attribution License, available at https://creativecommons.org/licenses/by/4.0/

Peer reviewed







Citation: Leung PW-I, Chan JKY, Chen LH, Lee CC, Hung SF, Ho TP, et al. (2017) Family-based association study of *DRD4* gene in methylphenidate-responded Attention Deficit/ Hyperactivity Disorder. PLoS ONE 12(3): e0173748. doi:10.1371/journal.pone.0173748

Editor: Chandan Vaidya, Georgetown University, UNITED STATES

Received: November 26, 2016

Accepted: February 24, 2017

Published: March 10, 2017

Copyright: © 2017 Leung et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are

within the paper and its Supporting Information file

Funding: This study is funded by a General Research Fund (GRF) grant to the first/ corresponding author, Patrick WL Leung, from the Research Grants Council (RGC) (RGC 449511) in Hong Kong (http://www.ugc.edu.hk/eng/rgc/index.htm). The funder had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

RESEARCH ARTICLE

Family-based association study of *DRD4* gene in methylphenidate-responded Attention Deficit/Hyperactivity Disorder

Patrick Wing-leung Leung¹*, Janice Ka Yan Chan², Lu Hua Chen^{1,3}, Chi Chiu Lee⁴, Se Fong Hung⁵, Ting Pong Ho³, Chun Pan Tang⁴, Robert K. Moyzis^{6,7}, James M. Swanson⁷

- 1 Department of Psychology, The Chinese University of Hong Kong, Hong Kong, PRC, 2 The Duchess of Kent Children's Hospital at Sandy Bay, Hospital Authority, Hong Kong, PRC, 3 Department of Psychiatry, University of Hong Kong, Hong Kong, PRC, 4 Kwai Chung Hospital, Hospital Authority, Hong Kong, PRC, 5 Department of Psychiatry, The Chinese University of Hong Kong, Hong Kong, PRC, 6 Department of Biological Chemistry, University of California, Irvine, California, United States of America, 7 Department of Pediatrics, University of California, Irvine, California, United States of America
- * pleung@cuhk.edu.hk

Abstract

The 48-basepair (48-bp) variable number tandem repeat (VNTR) polymorphism in exon 3 of the dopamine receptor D4 gene (DRD4) is implicated in the etiology of attention-deficit/ hyperactivity disorder (ADHD). In particular, ADHD in European-ancestry population is associated with an increased prevalence of the 7-repeat (7R) allele of the exon 3 VNTR. However, it is intriguing to note that the 7R allele has been found to be of very low prevalence in the Chinese general population. In a previous case-control study, our research team had found that the 7R allele was similarly absent in Chinese ADHD children in Hong Kong. Instead, there was an increased prevalence of the 2R allele in Chinese ADHD children. Interestingly, in Asian samples, the 2R allele had been found to be an evolutionary derivative of the 7R allele with equivalent biochemical functionality. So, the finding of an association between ADHD and 2R allele in Chinese population does not exactly contradict the original 7R allele finding in European-ancestry population. However, given the potential pitfall of population stratification in the previous case-control design, this current study tested the 2R allele and ADHD association using a methodologically more rigorous familybased approach on 33 Chinese ADHD probands who had favorable clinical responses to stimulant medication (methylphenidate). Haplotype Relative Risk (HRR) analysis and Transmission Disequilibrium Test (TDT) both showed a significant preferential transmission of the 2R allele from the biological parents to ADHD probands ($p_{one-tailed} = 0.038$, OR = 2.04; $p_{\text{one-tailed}} = 0.048$, OR = 2.29, respectively). A second hypothesis speculates that it is the deviation, including 7R and 2R alleles, from the conserved ancestral 4R allele which confers risk to ADHD. Thus, a preferential transmission of non-4R alleles, against the 4R allele, from biological parents to their ADHD probands is predicted. Both HRR analysis and TDT confirmed such prediction ($p_{\text{one-tailed}} = 0.029$, OR = 2.07; $p_{\text{one-tailed}} = 0.032$, OR = 2.43, respectively). This study re-confirmed the original finding of a previous study that in Chinese population, the 2R allele of the DRD4 exon 3 VNTR was related to ADHD. This endorses the general thesis that DRD4 exon 3 VNTR polymorphism is related to ADHD, despite that



Competing interests: The authors have declared that no competing interests exist.

the exact length or number of repeats of the associated alleles varies across ethnicity. This in turn supports the dopamine dysregulation theory of ADHD.

Introduction

Attention-deficit/hyperactivity disorder (ADHD), a neuropsychiatric disorder, is characterized by age-inappropriate inattention, hyperactivity and impulsivity, with male to female ratio ranging from 3:1 to 9:1 [1]. It is among the most prevalent mental health problems of children with a worldwide prevalence of about 5% [2]. Previously, ADHD was once thought to be a Western condition, encouraged by the permissiveness of the Western culture [3]. This previous view implies that ADHD may be absent in Chinese culture, in which there is more emphasis on orderly and placid behaviour. However, studies find that ADHD is no less prevalent in Chinese population with prevalence estimates of around 4%—6% in Chinese communities of Hong Kong and Taiwan [4,5]. Currently, ADHD is considered as a complex, multifactorial disorder with multiple biological etiologies, including genetics. The latter's involvement is confirmed by various family, twin and adoption studies, with heritability estimate being 76% in one meta-analysis [6]. The biological etiologies, including genetics, underline the universality of this disorder, ADHD.

Despite the high estimated heritability of ADHD, genome-wide association studies (GWAS) have so far failed to detect any consistent polymorphism related to ADHD at the genome-wide significant level [7–12]. Experiences with the more successful GWAS studies involving such psychiatric and medical disorders as bipolar disorder and diabetes suggest a required sample size of over 4,000 to implicate a few loci and up to 60,000 to detect a larger set of genes [13,14]. Such sample sizes do not seem to be achievable for ADHD studies in the near future. Multicentre collaboration may be required. However, given the modest inter-rater reliability in psychiatric diagnosis, variability in diagnostic practice and referral bias across multiple sites, multicentre collaboration will lead to additional phenotypic (and possibly genotypic) heterogeneity between datasets, resulting in increased "noise" and reduced statistical power [15].

Instead, the traditional candidate gene approach, which is theory-driven and requires much smaller sample sizes to achieve adequate statistical power, has proposed genes associated with ADHD, based upon the dopamine (DA) dysregulation theory [16]. The stimulant medication (e.g., by methylphenidate), effectively treating ADHD symptomatically, binds to the dopamine transporters in the presynaptic membrane and blocks the transporter's ability to clear DA from the synaptic space. This "mechanism of action" of the stimulant medication implicates that DA as a neurotransmitter may be involved in the etiology of ADHD. DA neurons transmit DA from mid-brain regions to the other parts of the brain via three major pathways, i.e., the nigrostriatal, mesocorticolimbic and tuberoinfundibular pathways. DA within these pathways regulates functionally and structurally various cortical and basal ganglia loops, the disruption of which produces attentional and motivational difficulties characteristics of ADHD. Animal models of ADHD also show dysregulation of DA functions. The behaviour of "ADHD" mice is normalized upon administration of stimulant medication. Brain imaging studies similarly suggest altered regulation of striatal DA levels. Two neuroimaging studies with Chinese ADHD children have identified frontal cortical regions, which are rich in dopamine, as sites related to ADHD [17,18].

Among the dopamine system genes, dopamine receptor D4 gene (*DRD4*) is the most extensively investigated with three quarters of its studies producing consistently positive results



[19]. Dopamine receptor D4 is a G protein-coupled receptor and belongs to the dopamine receptors family (D1-D5). Interestingly, it is expressed in the brain prefrontal cortex, including anterior cingulate and orbitofrontal cortex, which involves regions also predominantly affected in ADHD [20,21]. The *DRD4* carries a polymorphism, which is a 48-basepair (48-bp) variable number tandem repeat (VNTR) and is located at the exon 3 of the gene. The alleles of this polymorphism range from 2 to 11 repeats with the 4-repeat (4R) allele being the most prevalent [22,23]. Following the first association study between ADHD and *DRD4* exon 3 7R allele published in 1996 [24], several subsequent meta-analyses have consistently confirmed an increase of the 7R allele in ADHD probands, based upon both case-control and family studies in European-ancestry population [6,25,26]. Functionally, the 7R allele, compared to the more common 4R allele, exhibits a blunted ability to reduce cyclic adenosine monophosphate (cAMP) level, thus requiring higher dopamine concentration for comparable reduction [27,28]. In other words, the D4 receptor produced by the 7R allele is less efficient in neuro-transmission compared to that produced by the more common 4R allele.

The population prevalence of the *DRD4* 7R allele varies considerably across ethnicity and is very low in Asians, including Chinese [22]. A series of five studies in the Chinese communities of Hong Kong, Mainland China and Taiwan with Chinese ADHD children also found similarly low prevalence of the 7R allele, if not absent [29–33]. So, an association between ADHD and the 7R allele, reported in European-ancestry ADHD probands, could not be established in their Chinese counterparts. In fact, the majority of the Chinese studies did not find any association between ADHD and any single *DRD4* VNTR allele. Qian et al. only found an association with ADHD after grouping the alleles into two separate groups (i.e., 2R to 3R as short alleles and 4R to 6R as long alleles) [33]. However, there is little justification provided to such grouping. It is not sure whether it is theoretically based or data-driven. One noteworthy exception was a case-control study by Leung et al. which uncovered a significantly increased prevalence of the 2R allele in their Chinese ADHD probands compared to controls [31]. Table 1 summarizes the major findings of these five studies with Chinese ADHD samples on *DRD4* exon 3 VNTR alleles.

Interestingly, one study by Wang et al. found that when the sequences of the individual motifs of *DRD4* exon 3 alleles and their linkage disequilibrium with adjacent polymorphisms were examined, the haplotypes of the particular 2R allele in Asians were found to originate from recombination between a 4R allele and a 7R allele [34]. The D4 receptors produced by the 2R and 7R alleles also functioned similarly, displaying a likewise blunted ability to reduce cAMP level [27]. Thus, the observed increased prevalence of the 2R allele in Chinese ADHD probands is not inconsistent with the 7R allele hypothesis of ADHD in European-ancestry children, given the Asian 2R allele as a derivative from the 7R allele and their equivalence in biochemical functionality. This 2R allele/ADHD association in Chinese is an original finding new to the literature and is not shared by the few other Chinese studies reported so far (see Table 1). Nonetheless, this new finding is not totally without some indirect support. A subsequent Asian study with Korean normal children found that the 2R allele and/or 7R allele were associated with novelty seeking, a temperament trait known to be associated with ADHD [35]. Unfortunately, ADHD was not directly studied in this Korean study.

To further clarify the role of *DRD4* exon 3 VNTR polymorphism, particularly the 2R allele, in Chinese ADHD children and to overcome the limitation of population stratification of the previous case-control study by Leung et al. [31], a family-based study is conducted. Two hypotheses are proposed. First, based upon the prior finding of an increased prevalence of the 2R allele in Chinese ADHD children, we hypothesize that the 2R allele, against non-2R alleles, will exhibit a preferential transmission from biological parents to their ADHD children, as in the case of the 7R allele, against non-7R alleles, in European-ancestry ADHD children. Second,



Table 1. Summary results of genetic studies with Chinese ADHD samples on DRD4 exon 3 VNTR alleles.

	Study site	Samples	Genotyping	Results	
Qian et al (2004)	Beijing, China	Family-based (N = 202 ADHD family trios) Case-control (N = 340 ADHD cases & 226 controls)	PCR amplification followed by running on agarose gel	 7R allele absent in ADHD & control children. No significant difference in frequencies of the 2R to 6R alleles individually between ADHD & control children. Only grouping alleles into short (2-3R) and long (4-6R) alleles produced some significant differences in frequencies between probands & controls. Gender a significant moderator. Family tests failed to identify preferential transmission of any alleles. 	
Leung et al (2005)	Hong Kong, China	Case-control (N = 32 ADHD cases & 247 controls) One additional inclusion criterion: response to methylphenidate	PCR amplification followed by DNA sequencing	 7R allele absent in ADHD & control children. Increase of 2R allele in ADHD children compared to controls: 33% vs 20%, X²(1d.f.) = 5.90, p = 0.015. 	
Brookes et al (2005)	Taipei, Taiwan	Family-based (N = 216 ADHD family trios)	PCR amplification followed by running on agarose gel	• 7R allele absent in ADHD & control children. • TDT results indicated no significant preferential transmission of any alleles.	
Cheuk et al (2006)	Hong Kong, China	Family-based (N = 64 ADHD family trios) Case-control (N = 64 ADHD cases & 64 controls)	PCR amplification followed by running on agarose gel	 Only 1 count of 7R allele from 64 ADHD probands; absent in controls. Family-based analysis reported no significant preferential transmission of any alleles. Case-control analysis found no significant difference in allelic frequency between probands & controls. 	
Qian et al (2007)	Beijing, China	Case-control (N = 307 ADHD cases & 165 controls)	PCR amplification followed by running on agarose gel	 7R allele absent in ADHD & control children. No significant difference in individual allele or genotype frequencies between probands & controls. Only grouping alleles into short (2-3R) and long (4-6R) alleles produced some significant differences in frequencies between probands & controls. Gender a significant moderator. 	

doi:10.1371/journal.pone.0173748.t001

the common 4R (1-2-3-4) haplotype has been identified as the conserved ancestral allele [34,36]. Any variation from it may potentially alter biochemistry and phenotype [34,37]. It is thus further hypothesized that ADHD, instead of a specific association with an increased prevalence of the 7R or 2R allele, may be associated with any increased allelic variant that differs from the ancestral 4R/4R genotype. So, our second hypothesis predicts a preferential transmission of non-4R alleles, against the 4R allele, from parents to their ADHD children.

Materials and methods

Participants

Thirty-three Chinese ADHD probands, aged between 6 to 15 years (mean = 9.2, SD = 1.9), and their biological fathers and mothers participated in this study. They were recruited from child psychiatric clinics in Hong Kong. Due to the gender ratio of ADHD children in local child psychiatry clinics being around 10 (male) to 1 (female), only boys were recruited for the present study. This was because a mere few ADHD girls among a majority of boys would complicate the analysis and interpretation of the results.

ADHD was first diagnosed by experienced child psychiatrists according to the Diagnostic and Statistical Manual of Mental Disorders– 4^{th} Edition (DSM-IV). This was then followed by



a structured diagnostic interview using the Parent-informant version of Diagnostic Interview Schedule of Children-4th Edition (P-DISC-4) for a broad range of childhood psychiatric disorders, including ADHD [38]. The clinical diagnosis of ADHD was confirmed by DISC-IV with 15 children (45%) meeting the criteria for the combined type, 10 (30%) for the inattentive type, and 8 (24%) for the hyperactive-impulsive type. Twenty-five of the ADHD probands (75%) had at least one or more psychiatric comorbidities, including 22 cases of externalizing disorders (oppositional defiant disorder & conduct disorder), and 29 cases of internalizing disorders (specific phobias, social phobia, generalized anxiety disorder, separation anxiety disorder, agoraphobia, obsessive-compulsive disorder & dysthymic disorder). A particular inclusion criterion was a favorable clinical response to methylphenidate for at least 3 months, as judged by the attending child psychiatrists. The Verbal IQ (VIQ) of the ADHD probands was assessed by the Hong Kong Wechsler Intelligence Scale for Children. A very conservative cutoff (VIQ below 80) was adopted for excluding children with potential mental retardation. Other exclusion criteria included autism or physical disabilities, the judgement of which was also based upon the child psychiatrists' clinical diagnosis in their routine practice. Informed written consent was obtained from the parents for their own participation and for their children. Research ethics of this study was approved by the Joint CUHK (Chinese University of Hong Kong)—NTEC (New Territories East Cluster) Clinical Ethics Committee and the KWC (Kowloon West Cluster) Clinical Ethics Committee in Hong Kong.

Blood collection and DNA sequencing

Venous blood was taken from each ADHD boy and his two biological parents. DNA extraction and genotyping of the *DRD4* exon 3 VNTR polymorphism were performed according to a previously described method [37,39]. For each PCR amplification reaction, 25μl PCR solution, including 100ng genomic DNA, 0.5μmol pairwise primers, 200μM dXTPs, 1 X PCR buffer (Qiagen, Valencia, CA), 1 X Q-solution (Qiagen, Valencia, CA) and 0.625U Taq DNAPolymerase (Qiagen, Valencia, CA), was simultaneously running under the following conditions: 96°C for 20 seconds, 40 cycles of 95°C for 20 seconds, 68°Cfor 1 minutes, and a final step of 72°C for 4 minutes. After eliminating excess PCR primers, the final products were directly analyzed using a standard cycle sequencing technique by ABI 3100/ABI 3700 automated fluorescence sequencer (Applied Biosystems, Foster City, CA) to obtain the genotyping information.

Statistical analysis

The Haplotype Relative Risk (HRR) analysis [40] and Transmission Disequilibrium Test (TDT) [41] were used to test the family-based transmission of alleles from the biological parents to the ADHD probands.

Results

DRD4 exon 3 VNTR allele frequencies of the ADHD probands and their two biological parents are shown in Table 2. Basically, the allele frequencies of this Chinese sample of parents-child trios were dominated by two alleles, i.e., the 2R and 4R alleles.

Table 2. DRD4 exon 3 VNTR allele frequency of Chinese ADHD parents-child trios.

	2R	3R	4R	5R	6R
Probands (n = 33)	22 (33%)	2 (3%)	41 (62%)	1 (2%)	0 (0%)
Parents (n = 66)	35 (27%)	2 (2%)	92 (70%)	2 (2%)	1 (1%)

doi:10.1371/journal.pone.0173748.t002



HRR analysis confirmed our first hypothesis, demonstrating a significant preferential transmission of the 2R allele, compared to other non-2R alleles, from the biological parents to the ADHD probands, 63% versus 45% with an odd ratio (OR) = 2.04 (χ^2 (1, N = 132) = 3.15, $p_{\text{one-tailed}}$ = 0.038,) (Table 3). TDT further confirmed this preferential transmission of the 2R allele. Twenty-three informative parental meioses were identified, in which 16 2R alleles (70%) were transmitted, compared to 7 (30%) that were not transmitted (McNemar's χ^2 (1, N = 66) = 2.78, $p_{\text{one-tailed}}$ = 0.048, OR = 2.29) (Table 4).

Our second hypothesis predicted a preferential transmission of non-4R alleles, against the 4R allele, from biological parents to their ADHD probands. Tables 5 and 6 reported very similar results as those of Tables 3 and 4. In brief, both HRR analysis and TDT confirmed our second hypothesis of a significant preferential transmission of non-4R alleles (χ^2 (1, N = 132) = 3.59, $p_{\text{one-tailed}} = 0.029$, OR = 2.07; McNemar's χ^2 (1, N = 66) = 3.38, $p_{\text{one-tailed}} = 0.032$, OR = 2.43, respectively). In the former analysis, 63% of the non-4R alleles, compared to 45% of the 4R allele, was preferentially transmitted from the parents to the ADHD children, while in the latter, 24 informative parental meioses were identified, in which 17 non-4R alleles (71%) were transmitted, compared to 7 (29%) that were not transmitted.

Discussion

In a previous case-control study, the 2R allele of the *DRD4* exon 3 VNTR had been found to be of increased prevalence in Chinese ADHD children [31]. Unfortunately, the case-control design suffers from the challenge of population stratification. A methodologically more rigorous family-based study is thus preferred and conducted here. Both HHR analysis and TDT confirm our first hypothesis that the 2R allele is preferentially transmitted to Chinese ADHD children from their biological parents.

This finding of an association between ADHD and the 2R allele is superficially in discrepancy with findings from European-ancestry ADHD samples, which indicate an association with the 7R allele instead. However, the 2R allele may be as noteworthy as the 7R allele in its association with ADHD due to the evolutionary connection between the two alleles in Asians and their similar biochemical functionality. Therefore, our current finding of an association between ADHD and the 2R allele, based on a methodologically more rigorous family-based design, reinforces that of the earlier case-control study [31] and additionally strengthens the original 7R allele hypothesis of ADHD developed from European-ancestry samples. This in turn supports the dopamine dysregulation theory of ADHD, which hints at the involvement of dopamine system genes in the etiology of ADHD, including *DRD4*.

Compared to the modest effect sizes of the 7R allele in ADHD with ORs of 1.27–1.34 estimated from meta-analysis of both case-control and family-based studies [25,26], or with ORs of 1.16–1.40 estimated from meta-analysis of family-based studies only [6], the current effect sizes of the 2R allele in our Chinese family-based study are favourable with ORs of 2.04 from HRR analysis and 2.29 from TDT.

Table 3. HRR analysis of DRD4 2R and non-2R alleles in Chinese ADHD parents-child trios.

	2R	Non-2R	Total	
Transmitted	22 (63%)	44 (45%)	66	
Non-Transmitted	13 (37%)	53 (55%)	66	
	35	97	132	

 χ^2 (1, N = 132) = 3.15, $p_{\text{one-tailed}}$ = 0.038, OR = 2.04

doi:10.1371/journal.pone.0173748.t003



Table 4. TDT of DRD4 2R and non-2R alleles in Chinese ADHD parents-child trios.

		Non-Transmitted		Total	
		2R	Non-2R		
Transmitted	2R	6	16	22	
	Non-2R	7	37	44	
		13	53	66	

McNemar's $\chi^2(1, N = 66) = 2.78$, $p_{one-tailed} = 0.048$, OR = 2.29

doi:10.1371/journal.pone.0173748.t004

As noted above, there are a few other Chinese studies with negative results regarding the association of ADHD and DRD4 exon 3 VNTR individual alleles (see Table 1). Inconsistent findings are not uncommon in genetic research and the reasons can be manifold. First, there are differences in sample characteristics. The samples of the previous Leung et al.'s study and this study had one specific inclusion criterion not shared by other Chinese studies [29,30,32], i.e., persistent favorable response to methylphenidate medication (>3 months). Previous research did find the association between ADHD and dopamine genes to be more readily identifiable when non-responders to methylphenidate were excluded [42]. The choice of this refined ADHD phenotype of persistent medication responders echoes the central role of the DA dysregulation theory played in ADHD pathophysiology and etiology, i.e., the beneficial effects of stimulant treatment implicating DA dysregulation in ADHD and thus the involvement of dopamine system genes, including DRD4. Second, only boys are recruited in previous Leung et al.'s study [31] and this study. However, studies by Cheuk et al. and Qian et al. included both boys and girls [30,32], but gender dimorphism had been reported as the frequencies of long alleles (4-6R) and short alleles (2-3R) differed in an opposite direction by gender [30,32]. Third, the allele frequencies of the ancestral 4R allele were very high in other Chinese studies with negative findings [29,30,32] compared to those in previous Leung et al.'s study [31] and this study (75–84% vs 62–63%). In these two studies by Leung and his associates, sequencing analysis, "a gold standard", is used to obtain genotyping information of the DRD4 exon 3 VNTR, instead of using visualized gels to identify the allele length of the polymorphism as in other Chinese ADHD studies [29,30,32]. It is speculated that these studies may have set PCR amplification conditions (i.e., concentration of 7-Deaza-dGTP or temperature) to maximize the detection of "long" alleles compared to "short" alleles of the hard-to-amplify 48-bp VNTR of the *DRD4* gene because of the original 7R allele hypothesis. Kaiser et al. had shown that this could create a differentially bias toward "long" alleles and might "...give reproducibly wrong results in heterozygous subjects due to selective amplification of only one of the alleles" [43]. Thus, the participants' characteristics and the genotyping methods, which are notoriously difficult and finicky, may have differed in fundamental ways across these various Chinese studies and these in turn may explain the discrepant results.

Table 5. HRR analysis of DRD4 non-4R and 4R alleles in Chinese ADHD parents-child trios.

	Non-4R	4R	Total
Transmitted	25 (63%)	41 (45%)	66
Non-Transmitted	15 (37%)	51 (55%)	66
	40	92	132

 χ^2 (1, N = 132) = 3.59, $p_{\text{one-tailed}}$ = 0.029, OR = 2.07

doi:10.1371/journal.pone.0173748.t005



		Non-Transmitted		Total	
		Non-4R	4R		
Transmitted	Non-4R	8	17	25	
	4R	7	34	41	
		15	51	66	

McNemar's χ^2 (1, N = 66) = 3.38, $p_{\text{one-tailed}}$ = 0.032, OR = 2.43

doi:10.1371/journal.pone.0173748.t006

The second hypothesis of this study suggests that it is not exclusively the 7R or 2R allele that is associated with ADHD. Instead, it is any allelic variant that differs from the conserved ancestral 4R/4R genotype. So, our second hypothesis predicts a preferential transmission of non-4R alleles, against the 4R allele, from biological parents to their ADHD children. This hypothesis is confirmed by HRR analysis and TDT. However, Table 1 shows that alleles other than the 2R and 4R alleles (i.e., 3R and 5R) account for no more than 5% of the total number of alleles in either the ADHD probands or the parents. Thus, only very few 3R and 5R alleles are grouped with the 2R allele to form the non-4R alleles to test the second hypothesis. Consequently, the results of the analysis resemble very much to those testing the first hypothesis, i.e., the 2R allele versus the 4R allele. It is not sure whether if we have a much larger sample than 33 ADHD trios, then there may be a much wider spread of different alleles. Or, the *DRD4* exon 3 VNTR polymorphism of the Chinese ADHD probands and their parents recruited in Hong Kong continues to remain essentially a two-allele system of 2R and 4R alleles as in the case of this present sample. Nonetheless, this second hypothesis remains an intriguing theoretical proposition to be further empirically tested.

Our study is limited by the small sample size of 33 parents-child trios. So, our current results must be viewed cautiously, since the chance of a false positive error increases with a small sample size. Because of the small sample size, further analysis by the three ADHD types, i.e., combined, inattentive and hyperactive-impulsive, will not be meaningful. It is also not sure how our findings are generalizable to ADHD girls. As noted above, there is the possibility of a gender difference. Our current unit of analysis is with DRD4 exon 3 48-bp VNTR. However, these repeats in fact involve different haplotypes comprising of different 48-bp motifs. Some previous studies did find some rare or novel haplotypes in the 2R, 3R, 5R, 7R and 8R alleles in ADHD children [36,37]. The exact etiological role of these rare/novel haplotypes to ADHD is still unclear. Some recent studies also look at single nucleotide polymorphisms (SNPs). One study reported that -376 C/T SNP (rs916455) of DRD4 was found to predict the persistence of ADHD to adulthood [44]. In other words, in future studies, our genetic investigation should be expanded to include rare/novel haplotypes of the exon 3 VNTR or mutant SNPs of DRD4. Possibly, other candidate genes than DRD4 involved in the dopaminergic pathway should also be included in the investigation. Finally, it must be noted that a minority of ADHD children, up to 20 or 30%, respond less satisfactorily to medication by methylphenidate, implying that the DA theory of ADHD may not be applicable to them. It is unsure whether our current findings can be generalizable to them. This explains why ADHD is considered such a complex, multifactorial disorder with multiple biological etiologies.

Supporting information

S1 File. Dataset for this study. (XLS)



Acknowledgments

This study is funded by a General Research Fund (GRF) grant to the first/corresponding author, Patrick WL Leung, from the Research Grants Council (RGC) (RGC 449511) in Hong Kong.

Author Contributions

Conceptualization: JMS RKM PWLL CCL TPH SFH CPT.

Data curation: RKM JMS PWLL LHC.

Formal analysis: PWLL JKYC LHC.

Funding acquisition: PWLL.

Investigation: TPH SFH CCL CPT PWLL.

Methodology: JMS RKM PWLL CCL TPH SFH CPT.

Project administration: PWLL.

Resources: PWLL TPH SFH CCL CPT RKM JMS.

Supervision: PWLL.

Validation: PWLL LHC.

Visualization: PWLL LHC JKYC.

Writing - original draft: PWLL JKYC LHC.

References

- Akutagava-Martins GC, Rohde LA, Hutz MH (2016) Genetics of attention-deficit/hyperactivity disorder: an update. Expert Rev Neurother 16: 145–156. doi: 10.1586/14737175.2016.1130626 PMID: 26651394
- Polanczyk G, de Lima MS, Horta BL, Biederman J, Rohde LA (2007) The worldwide prevalence of ADHD: a systematic review and metaregression analysis. Am J Psychiatry 164: 942–948. doi: 10. 1176/ajp.2007.164.6.942 PMID: 17541055
- Anderson JC (1996) Is childhood hyperactivity the product of western culture? Lancet 348: 73–74.
 PMID: 8676718
- 4. Leung PW, Hung SF, Ho TP, Lee CC, Liu WS, et al. (2008) Prevalence of DSM-IV disorders in Chinese adolescents and the effects of an impairment criterion: a pilot community study in Hong Kong. Eur Child Adolesc Psychiatry 17: 452–461. doi: 10.1007/s00787-008-0687-7 PMID: 18427862
- Leung PW, Luk SL, Ho TP, Taylor E, Mak FL, et al. (1996) The diagnosis and prevalence of hyperactivity in Chinese schoolboys. Br J Psychiatry 168: 486–496. PMID: 8730946
- Faraone SV, Perlis RH, Doyle AE, Smoller JW, Goralnick JJ, et al. (2005) Molecular genetics of attention-deficit/hyperactivity disorder. Biol Psychiatry 57: 1313–1323. doi: 10.1016/j.biopsych.2004.11.024 PMID: 15950004
- Lesch KP, Timmesfeld N, Renner TJ, Halperin R, Roser C, et al. (2008) Molecular genetics of adult ADHD: converging evidence from genome-wide association and extended pedigree linkage studies. J Neural Transm (Vienna) 115: 1573–1585.
- Neale BM, Lasky-Su J, Anney R, Franke B, Zhou K, et al. (2008) Genome-wide association scan of attention deficit hyperactivity disorder. Am J Med Genet B Neuropsychiatr Genet 147B: 1337–1344. doi: 10.1002/ajmg.b.30866 PMID: 18980221
- Mick E, Todorov A, Smalley S, Hu X, Loo S, et al. (2010) Family-based genome-wide association scan of attention-deficit/hyperactivity disorder. J Am Acad Child Adolesc Psychiatry 49: 898–905 e893. doi: 10.1016/j.jaac.2010.02.014 PMID: 20732626



- Neale BM, Medland S, Ripke S, Anney RJ, Asherson P, et al. (2010) Case-control genome-wide association study of attention-deficit/hyperactivity disorder. J Am Acad Child Adolesc Psychiatry 49: 906–920. doi: 10.1016/j.jaac.2010.06.007 PMID: 20732627
- Hinney A, Scherag A, Jarick I, Albayrak O, Putter C, et al. (2011) Genome-wide association study in German patients with attention deficit/hyperactivity disorder. Am J Med Genet B Neuropsychiatr Genet 156B: 888–897. doi: 10.1002/ajmg.b.31246 PMID: 22012869
- Stergiakouli E, Hamshere M, Holmans P, Langley K, Zaharieva I, et al. (2012) Investigating the contribution of common genetic variants to the risk and pathogenesis of ADHD. Am J Psychiatry 169: 186–194. doi: 10.1176/appi.ajp.2011.11040551 PMID: 22420046
- Ferreira MA, O'Donovan MC, Meng YA, Jones IR, Ruderfer DM, et al. (2008) Collaborative genomewide association analysis supports a role for ANK3 and CACNA1C in bipolar disorder. Nat Genet 40: 1056–1058. doi: 10.1038/ng.209 PMID: 18711365
- Zeggini E, Scott LJ, Saxena R, Voight BF, Marchini JL, et al. (2008) Meta-analysis of genome-wide association data and large-scale replication identifies additional susceptibility loci for type 2 diabetes. Nat Genet 40: 638–645. doi: 10.1038/ng.120 PMID: 18372903
- Neale BM, Medland SE, Ripke S, Asherson P, Franke B, et al. (2010) Meta-analysis of genome-wide association studies of attention-deficit/hyperactivity disorder. J Am Acad Child Adolesc Psychiatry 49: 884–897. doi: 10.1016/j.jaac.2010.06.008 PMID: 20732625
- Turic D, Swanson J, Sonuga-Barke E (2010) DRD4 and DAT1 in ADHD: Functional neurobiology to pharmacogenetics. Pharmgenomics Pers Med 3: 61–78. PMID: 23226043
- McAlonan GM, Cheung V, Cheung C, Chua SE, Murphy DG, et al. (2007) Mapping brain structure in attention deficit-hyperactivity disorder: a voxel-based MRI study of regional grey and white matter volume. Psychiatry Res 154: 171–180. doi: 10.1016/j.pscychresns.2006.09.006 PMID: 17291727
- McAlonan GM, Cheung V, Chua SE, Oosterlaan J, Hung SF, et al. (2009) Age-related grey matter volume correlates of response inhibition and shifting in attention-deficit hyperactivity disorder. Br J Psychiatry 194: 123–129. doi: 10.1192/bjp.bp.108.051359 PMID: 19182173
- Li Z, Chang SH, Zhang LY, Gao L, Wang J (2014) Molecular genetic studies of ADHD and its candidate genes: a review. Psychiatry Res 219: 10–24. doi: 10.1016/j.psychres.2014.05.005 PMID: 24863865
- 20. Noain D, Avale ME, Wedemeyer C, Calvo D, Peper M, et al. (2006) Identification of brain neurons expressing the dopamine D4 receptor gene using BAC transgenic mice. Eur J Neurosci 24: 2429–2438. doi: 10.1111/j.1460-9568.2006.05148.x PMID: 17100831
- Lidow MS, Wang F, Cao Y, Goldman-Rakic PS (1998) Layer V neurons bear the majority of mRNAs encoding the five distinct dopamine receptor subtypes in the primate prefrontal cortex. Synapse 28: 10–20. doi: 10.1002/(SICI)1098-2396(199801)28:1<10::AID-SYN2>3.0.CO;2-F PMID: 9414013
- 22. Chang FM, Kidd JR, Livak KJ, Pakstis AJ, Kidd KK (1996) The world-wide distribution of allele frequencies at the human dopamine D4 receptor locus. Hum Genet 98: 91–101. PMID: 8682515
- Kidd KK, Pakstis AJ, Yun L (2014) An historical perspective on "The world-wide distribution of allele frequencies at the human dopamine D4 receptor locus". Hum Genet 133: 431–433. doi: 10.1007/s00439-013-1386-0 PMID: 24162668
- 24. LaHoste GJ, Swanson JM, Wigal SB, Glabe C, Wigal T, et al. (1996) Dopamine D4 receptor gene polymorphism is associated with attention deficit hyperactivity disorder. Mol Psychiatry 1: 121–124. PMID: 9118321
- 25. Li D, Sham PC, Owen MJ, He L (2006) Meta-analysis shows significant association between dopamine system genes and attention deficit hyperactivity disorder (ADHD). Hum Mol Genet 15: 2276–2284. doi: 10.1093/hmg/ddl152 PMID: 16774975
- Gizer IR, Ficks C, Waldman ID (2009) Candidate gene studies of ADHD: a meta-analytic review. Hum Genet 126: 51–90. doi: 10.1007/s00439-009-0694-x PMID: 19506906
- Asghari V, Sanyal S, Buchwaldt S, Paterson A, Jovanovic V, et al. (1995) Modulation of intracellular cyclic AMP levels by different human dopamine D4 receptor variants. J Neurochem 65: 1157–1165. PMID: 7643093
- Oak JN, Oldenhof J, Van Tol HH (2000) The dopamine D(4) receptor: one decade of research. Eur J Pharmacol 405: 303–327. PMID: 110333337
- Brookes KJ, Xu X, Chen CK, Huang YS, Wu YY, et al. (2005) No evidence for the association of DRD4 with ADHD in a Taiwanese population within-family study. BMC Med Genet 6: 31. doi: 10.1186/1471-2350-6-31 PMID: 16143039
- 30. Cheuk DK, Li SY, Wong V (2006) Exon 3 polymorphisms of dopamine D4 receptor (DRD4) gene and attention deficit hyperactivity disorder in Chinese children. Am J Med Genet B Neuropsychiatr Genet 141B: 907–911. doi: 10.1002/ajmg.b.30397 PMID: 16917940



- 31. Leung PW, Lee CC, Hung SF, Ho TP, Tang CP, et al. (2005) Dopamine receptor D4 (DRD4) gene in Han Chinese children with attention-deficit/hyperactivity disorder (ADHD): increased prevalence of the 2-repeat allele. Am J Med Genet B Neuropsychiatr Genet 133B: 54–56. doi: 10.1002/ajmg.b.30129 PMID: 15578612
- Qian Q, Wang Y, Zhou R, Yang L, Faraone SV (2004) Family-based and case-control association studies of DRD4 and DAT1 polymorphisms in Chinese attention deficit hyperactivity disorder patients suggest long repeats contribute to genetic risk for the disorder. Am J Med Genet B Neuropsychiatr Genet 128B: 84–89. doi: 10.1002/ajmg.b.30079 PMID: 15211638
- Qian Q, Wang Y, Li J, Yang L, Wang B, et al. (2007) Evaluation of potential gene-gene interactions for attention deficit hyperactivity disorder in the Han Chinese population. Am J Med Genet B Neuropsychiatr Genet 144B: 200–206. doi: 10.1002/ajmg.b.30422 PMID: 17044099
- 34. Wang E, Ding YC, Flodman P, Kidd JR, Kidd KK, et al. (2004) The genetic architecture of selection at the human dopamine receptor D4 (DRD4) gene locus. Am J Hum Genet 74: 931–944. doi: 10.1086/ 420854 PMID: 15077199
- 35. Reist C, Ozdemir V, Wang E, Hashemzadeh M, Mee S, et al. (2007) Novelty seeking and the dopamine D4 receptor gene (DRD4) revisited in Asians: haplotype characterization and relevance of the 2-repeat allele. Am J Med Genet B Neuropsychiatr Genet 144B: 453–457. doi: 10.1002/ajmg.b.30473 PMID: 17474081
- Ding YC, Chi HC, Grady DL, Morishima A, Kidd JR, et al. (2002) Evidence of positive selection acting at the human dopamine receptor D4 gene locus. Proc Natl Acad Sci U S A 99: 309–314. doi: 10.1073/ pnas.012464099 PMID: 11756666
- 37. Grady DL, Chi HC, Ding YC, Smith M, Wang E, et al. (2003) High prevalence of rare dopamine receptor D4 alleles in children diagnosed with attention-deficit hyperactivity disorder. Mol Psychiatry 8: 536– 545. doi: 10.1038/si.mp.4001350 PMID: 12808433
- 38. Shaffer D, Fisher P, Lucas CP, Dulcan MK, Schwab-Stone ME (2000) NIMH Diagnostic Interview Schedule for Children Version IV (NIMH DISC-IV): description, differences from previous versions, and reliability of some common diagnoses. J Am Acad Child Adolesc Psychiatry 39: 28–38. doi: 10.1097/ 00004583-200001000-00014 PMID: 10638065
- Riethman HC, Xiang Z, Paul S, Morse E, Hu XL, et al. (2001) Integration of telomere sequences with the draft human genome sequence. Nature 409: 948–951. doi: 10.1038/35057180 PMID: 11237019
- Ewens WJ, Spielman RS (1995) The transmission/disequilibrium test: history, subdivision, and admixture. Am J Hum Genet 57: 455–464. PMID: 7668272
- Spielman RS, McGinnis RE, Ewens WJ (1993) Transmission test for linkage disequilibrium: the insulin gene region and insulin-dependent diabetes mellitus (IDDM). Am J Hum Genet 52: 506–516. PMID: 8447318
- **42.** Tahir E, Yazgan Y, Cirakoglu B, Ozbay F, Waldman I, et al. (2000) Association and linkage of DRD4 and DRD5 with attention deficit hyperactivity disorder (ADHD) in a sample of Turkish children. Mol Psychiatry 5: 396–404. PMID: 10889550
- 43. Kaiser R, Tremblay PB, Roots I, Brockmoller J (2002) Validity of PCR with emphasis on variable number of tandem repeat analysis. Clin Biochem 35: 49–56. PMID: 11937078
- 44. Li Y, Baker-Ericzen M, Ji N, Chang W, Guan L, et al. (2013) Do SNPs of DRD4 gene predict adult persistence of ADHD in a Chinese sample? Psychiatry Res 205: 143–150. doi: 10.1016/j.psychres.2012. 08.016 PMID: 23031802