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A Novel Retinoblastoma Therapy from Genomic and Epigenetic Analyses

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SUMMARY

Retinoblastoma is an aggressive childhood cancer of the developing retina that is initiated by the biallelic loss of the *RB1* gene. To identify the mutations that cooperate with *RB1* loss, we performed whole-genome sequencing of retinoblastomas. The overall mutational rate was very low; *RB1* was the only known cancer gene mutated. We then evaluated RB1's role in genome stability and considered nongenetic mechanisms of cancer pathway deregulation. Here we show that the retinoblastoma genome is stable, but multiple cancer pathways can be epigenetically deregulated. For example, the proto-oncogene *SYK* is upregulated in retinoblastoma and is required for tumor cell survival. Targeting SYK with a small-molecule inhibitor induced retinoblastoma tumor cell death in vitro and in vivo. Thus, *RB1* inactivation may allow preneoplastic cells to acquire multiple hallmarks of cancer through epigenetic mechanisms, resulting directly or indirectly from RB1 loss. These data provide novel targets for chemotherapeutic interventions of retinoblastoma.

Retinoblastoma is a rare childhood cancer of the retina that can develop in a sporadic or a heritable form and is fatal if untreated. When the *RB1* gene was cloned, it was found to undergo biallelic inactivation in virtually all retinoblastoma tumors¹. Since then, hundreds of genetic lesions have been identified in human cancer. These genetic lesions can be grouped based on the signaling pathways they affect that have direct or indirect mechanistic links to many of the common cellular properties or "hallmarks" of cancer. Thus, the rate of cancer progression is related to the kinetics of acquisition of multiple genetic lesions and/or epigenetic changes that ultimately lead to activation of growth-signaling pathways, evasion of cell death and senescence, acquisition of limitless replicative potential, sustained angiogenesis, and local tissue invasion and metastasis².

RB1 inactivation confers limitless replicative potential to retinoblasts and it is rate limiting for retinoblastoma tumorigenesis³. However, the mechanisms that enable retinoblastoma

cells to acquire the additional hallmarks of cancer remain unknown. Evidence from molecular, cellular, and cytogenetic studies suggest that the RB1 protein is required for maintaining chromosomal stability^{4,5}, and its loss leads to chromosome instability (CIN) in cells maintained in culture. These data raise the possibility that *RB1* inactivation may underlie the rapid acquisition of cooperating mutations in key cancer pathways through CIN. Alternatively, epigenetic changes may play a more dominant role in cooperating with the loss of *RB1* retinoblastoma tumorigenesis. RB1 has been implicated in regulating most major epigenetic processes, including miRNA regulation, DNA methylation, histone modification, and ATP-dependent chromatin reorganization^{6–10}. Thus, inactivation of RB1 in retinoblasts may lead to the rapid epigenetic deregulation of cancer genes that contribute to the essential cellular properties of retinoblastoma.

In this study, we characterized the genetic and epigenetic landscapes of retinoblastoma and explore the role of RB1 in regulating genomic stability. Whole-genome sequencing (WGS) of 4 retinoblastomas and their paired germline DNA samples showed no genetic lesions in known tumor suppressor genes or oncogenes, other than *RB1*. More importantly, an orthotopic xenograft derived from 1 of the primary tumors showed no evidence of clonal variation or new coding-region mutations. This finding suggests that retinoblastoma's genome is more stable than previously believed.

Unlike the genetic landscape of retinoblastoma, the epigenetic profile shows profound changes compared to that observed in normal retinoblasts. One of the most striking results was the induction of the expression of the proto-oncogene SPLEEN TYROSINE KINASE (SYK) in human retinoblastoma. SYK is required for tumor cell survival, and inhibition of SYK with a small-molecule inhibitor caused the degradation of MCL1 and caspase-mediated cell death in retinoblastoma cells in culture and in vivo. These findings highlight how comprehensive genetic and epigenetic analyses of tumors can be integrated and lead to the discovery of promising new therapeutic approaches and shed light on the mechanisms underlying the rapid progression of retinoblastoma following *RB1* inactivation.

Retinoblastoma Whole-Genome Sequencing

We performed WGS analysis on 4 primary human retinoblastoma samples (Section S1 and Table S1) and from matched normal tissue. Local tumor cell invasion but not metastasis was evident in each patient (Fig. 1a–c and Fig. S1). We generated an orthotopic xenograft, SJRB001X, of the primary tumor SJRB001 by inoculating primary tumor cells into the vitreous of the eyes of immunocompromised mice (Section S2). SJRB001X exhibited molecular, genetic and histopathologic features similar to SJRB001 (Fig. 1d–f, Figs. S2–4, Tables S2–4 and Section S3).

Using a paired-end sequencing approach, we generated 1,040.9 Gb of sequence data for the samples described; 956.8 Gb (92%) were successfully mapped to the NCBI 36.1 reference genome (Section S4, Table S5). The average genome coverage was 28.9×, and the average exon coverage was 23.8× with 98.4% of SNPs detected across all 9 genomes showing concordance with their corresponding SNP array genotype calls at the same genomic

positions (Table S5). To provide additional sequence coverage, we performed transcriptome sequencing of SJRB001-4 (Section S5, Table S6).

We identified 668 validated somatic sequence mutations and 40 structural variations (SVs) across the 4 cases (Table 1). These included 23 tier-1 mutations in genes, 35 tier-2 mutations in evolutionarily conserved regions of the genome (Section S6), 309 tier-3 mutations in nonrepetitive regions of the genome that are not part of tiers 1 and 2; and 301 tier-4 mutations in repetitive sequences in the genome (Table 1 and Table S7). The average number of sequence mutations was 167 per case (range, 56–258), with only 3.25 mutations per case (range, 0–5) resulting in amino acid changes (Table 1). The estimated mean mutation rate was 6.7×10^{-7} per base (range $1.03 \times 10^{-7} - 2.17 \times 10^{-8}$), which is 15-fold less than that in adult tumors analyzed by WGS, except for AML¹¹. The predominant changes were C>A and G>T transversions (Fig. S5), which is consistent with the possibility that some of the transversions result from production of 8-Oxoguanine during oxidative stress. SJRB002 had no somatic sequence variations that resulted in amino acid changes; the only SVs were the loss of heterozygosity (LOH) at the *RB1* locus and a gain of chromosome 6p. This suggests that very few genetic lesions are required for retinoblastoma progression after *RB1* inactivation.

RB1 gene inactivation in retinoblastoma

Both *RB1* alleles were inactivated in each sample (Figs. S6, S7). SJRB002 and SJRB003 had mutations in *RB1* combined with copy number–neutral LOH, and SJRB001 and SJRB004 had somatic sequence mutations combined with *RB1*-promoter hypermethylation (Figs. S6–8). Deep-sequence analysis of the germline sample from SJRB002 revealed that about 10% of reads contained the R445 nonsense mutation, suggesting the presence of germline chimerism for the *RB1* mutation (Fig. S6). Combining the WGS data with SNP array data of an additional 42 samples, we found that tumors from patients with lower regional nucleotide diversity were much less likely to undergo LOH at the RB1 locus (Tables S8, S9, Section S7). These data show for the first time a significant association (p=8×10⁻⁸, Fisher's exact test) between a germline genetic variation and mechanism of biallelic *RB1* inactivation in retinoblastoma.

Recurrent lesions in retinoblastoma

To determine whether any of the 11 genes with somatic mutations that caused amino acid changes or a frameshift in the coding region (Table 1, Fig. 2a,b, Figs. S9, S10, Section S8, Table S10), were recurrently mutated in retinoblastoma, we sequenced all exons from the 11 genes in our recurrent screening cohort of 42 retinoblastomas (Section S4). Only *BCOR* was recurrently mutated in of retinoblastoma (6/46, 13%). Five of the samples had *BCOR* mutations that resulted in premature truncation of the encoded protein, and 1 sample had a focal gene deletion (Fig. 2c, Table S11, Fig. S11).

We also used the WGS data to identify somatic SVs including whole-chromosome gains and losses, focal deletions (DEL), insertions (INS), inversions (INV), intrachromosomal rearrangements (ITX), interchromosomal rearrangements (CTX), and regions of LOH (Fig. 2a–c, Section S9, Table S12, Fig. S12). The average number of SVs was 10 per case (range,

0–24) (Table 1). SJRB001 had 4 SVs (2 DEL and 2 INS) including a gain of a region of chromosome 2 spanning *MYCN* (Table S12) and the only chromosomal lesion in SJRB002 was a gain of 6p, which occurs in about 40% of human retinoblastomas ¹² (Fig. 2b). Only a few genomic regions were affected by the SVs in SJRB003 and SJRB004 (Figs. S10, S13, S14, Table S12).

Orthotopic retinoblastoma xenograft

The genomic landscape of the orthotopic xenograft was remarkably similar to that of the primary tumor, despite continuous growth and multiple passages in vivo over 9 months (Fig. 2, Table 1). All of the single-nucleotide variants (SNVs) and SVs detected in SJRB001 were retained in SJRB001X. Only 67 new SNVs and 4 SVs were identified in the xenograft, and none targeted annotated genes (Fig. 2, Table 1). Moreover, each mutation was identified at a subclonal level (range, 20%-30%) and the mutant allele frequency for the Tier1-4 lesions in SJRB001 was retained in SJRB001X (Supplementary Fig. 15). This result was surprising because several studies in mice and cell cultures have linked RB1 inactivation to defects in chromosome segregation that result in an euploidy 4,5,13-15 and CIN⁴. We measured the distance between sister chromatids, between kinetochores, and the proportion of lagging chromatids in 2 RB1-deficient human retinoblastoma orthotopic xenografts (SJRB001X and SJRB002X)¹⁶. Consistent with results from *RB1*-deficient RPE cells⁴, the distances between sister chromatids and kinetochores were increased, and there was evidence of lagging chromosomes (Figs. S16, S17). However, less variation in ploidy was observed during spectral karyotype (SKY) analysis of SJRB001X and SJRB002X that was more consistent with the ploidy of wild-type cells (Fig. 3a,b, Table S13). Moreover, CNVs were much lower in our cohort of 46 retinoblastomas than in tumors with known genome instability such as ovarian cancer (Fig. 3c). Together, the cytogenetic data and WGS data suggest that the genome is stable and newly acquired lesions do not provide a selective growth advantage and are thus likely passenger mutations.

Identifying deregulated cancer pathways

There are many examples over the past several decades of epigenomic changes such as DNA methylation contributing to tumorigenesis ^{17–19}. Indeed, a recent study demonstrated changes in DNA methylation in Wilm's tumors²⁰ which, tend to have stable genomes like retinoblastomas. To explore whether epigenetic deregulation of genes or pathways promotes tumorigenesis in retinoblastoma, we carried out an integrative analysis of chromatin immunoprecipitation (ChIP) data, DNA-methylation data and gene expression data using order statistics. The SJRB001X sample was used for ChIP assay (Figs. S18–20), and primary tumor and xenograft samples were used for both DNA-methylation and gene expression assays. In all three analyses, experimental results in RB tumors were compared to those from human fetal retinae. A total of 104 genes, including 15 known cancer genes (Fig. 4a and Tables S14, S15), were found to have significant difference between RB tumors and human fetal retina, indicating that several key cancer genes were epigenetically deregulated.

SYK is a novel therapeutic target

SYK is the 5th most significant gene identified by the integrative analysis and the only upregulated kinase gene (Table S15 and Fig. 4a). *SYK* is expressed throughout the hematopoietic system, regulates immunomodulatory signaling, and has been implicated in several hematologic malignancies^{21–24}. Small-molecule inhibitors of SYK have been developed to treat autoimmune disorders²⁵, and 2 of those agents, BAY61-3606 and R406, have shown efficacy in preclinical leukemia studies^{26–28}.

ChIP-on-chip analysis showed increased activating histone modifications (H3K4me3 and K3K9/14Ac) at *SYK*'s promoter, and the repressive histone marker (H3k9me3) was unchanged. RNA polymerase II binding to the *SYK* promoter was also increased (Fig. 4b, Tables S14, S15). These ChIP-on-chip results were validated in independent samples by real-time RT-PCR analysis (Fig. 4c), and we confirmed the increase in *SYK* gene expression (Fig. 4d). SYK protein levels were higher in human retinoblastoma orthotopic xenografts and cell lines than in human fetal retinae (Fig. 4e). To determine whether SYK is expressed in primary human retinoblastomas, we performed immunohistochemistry on a retinoblastoma tissue microarray (TMA) or whole eye sections. In total, 100% (82/82) showed very strong expression (3+) of SYK in all tumor cells; SYK was not expressed in normal retina (Fig. 4f). SYK's kinase activity is regulated through autophosphorylation at the Tyr525/526 residues within its catalytic domain. These sites were phosphorylated in retinoblastoma cells, and this phosphorylation was reversed by BAY 61-3606 or R406 exposure (Fig. 4g and data not shown).

To determine whether *SYK* expression is required for retinoblastoma growth, survival, or both, we generated an shRNA to SYK and cloned it into the lentiviral vector Lenti-SYK-9. Lenti-SYK-9 efficiently knocked-down *SYK* in retinoblastoma cell lines (Fig. S21) and dramatically increased apoptosis in retinoblastoma cells (Fig. 4h, Fig. S21). Similar results were obtained in vivo using SJRB001X (data not shown). We used an empty lentiviral vector and a lentiviral vector encoding an SYK shRNA that less effectively reduced SYK expression (Lenti-SYK-6) as controls. Cell lines that do not express SYK (BJ, 293T, and uninduced Jurkat cells) were used as controls and the Lenti-SYK-9 lentivirus had no effect on the growth or apoptosis of the control cells.

We exposed retinoblastoma cell lines that express high levels of *SYK* (Weri1 and RB355) to various concentrations of the SYK inhibitors BAY 61-3606 or R406 for 72 hours and then measured cell viability. Jurkat (uninduced) and 293T cells were used as negative controls. Weri1 and RB355 cells were sensitive to both SYK inhibitors, but the Jurkat and 293T cells were unaffected (Fig. 5a). Transmission electron microscopy of retinoblastoma cells treated with the SYK inhibitors showed morphologic features consistent with cell death and mitochondrial defects (Fig. S22); this was confirmed by scoring the proportion of activated caspase-3⁺ cells (Fig. 5b, c, Fig. S22). Jurkat cells showed no increase in activated caspase-3⁺ cells after treatment with 10 µM R406 or BAY 61-3606 (data not shown).

The proportions of cells from each line that incorporated EdU were similar, suggesting that retinoblastoma's cell cycle is not affected by SYK inhibition (Fig. 5d, e, Fig. S22). The

effects of targeting SYK were partially rescued by the pan-caspase inhibitor Q-VD-OPH (Fig. S22). Less MitoTracker Red accumulated in retinoblastoma cells exposed to the SYK inhibitors (Fig. S22), yet treatment of Jurkat cells with either inhibitor had no effect on MitoTracker Red accumulation (data not shown). Inhibiting SYK in B-CLL cells reduced their autophosphorylation of SYK and destabilized MCL1^{26,29}, the only antiapoptotic member of the BCL-2 family that is upregulated in retinoblastoma (data not shown). Both inhibitors reduced autophosphorylation of SYK on Y525/526 (Fig. 4g) and reduced MCL1 expression coincident with apoptosis (Fig. 5f).

We tested the efficacy of BAY61-3606 in vivo on our SJRB001X model³⁰. The chemotherapy regimen consisted of a single subconjunctival dose of BAY 61–3606 on Day 1 and daily doses of topotecan (TPT) on Days 1–5 until either 6 courses (21 days per course) were administered, or the tumor progressed and surgical enucleation was required (Fig. 5g,h). BAY 61-3606+TPT significantly improved outcome (p=0.003) (Fig. 5i), and its efficacy was correlated with an increase in activated caspase-3+ cells in the treated eyes (Fig. 5j). Previous studies using this model have shown that TPT combined with subconjunctival carboplatin had no effect on tumor response or outcome ³⁰, so the improvement seen here can be attributed to targeting SYK. MCL1 expression was also reduced in the treated eyes, which is consistent with increased apoptosis and targeting SYK in vivo (Fig. 5k). Together, these results suggest that SYK is a promising new target for treating retinoblastoma.

DISCUSSION

It has been suggested that biallelic loss of *RB1* directly causes genomic instability, which in turn contributes to the rapid development of overt retinoblastoma. Our data failed to support this hypothesis. The mutational rate and number of SVs per case that we assessed were among the lowest reported in human cancer. Moreover, the only non-silent mutation in SJRB002 was in *RB1*, and no SVs were detected. The minimal increase in passenger mutations in SJRB001X cells, despite prolonged passage, was also consistent with a relatively stable genome. These results support those from previous studies showing that retinoblastoma karyotypes are stable in vitro and in vivo^{31,32}. Our data suggest that genomic instability is neither a hallmark of retinoblastoma nor sufficient to explain how retinoblastomas progress so rapidly.

We propose that epigenetic mechanisms contribute to retinoblastoma tumorigenesis. We identified several known oncogenes and tumor-suppressor genes with histone modifications and altered DNA methylation that correlated with changes in gene expression. Our key discovery was that SYK is important in retinoblastoma. Retinal progenitor cells and retinal neurons express little to no SYK, and SYK has no known function in the developing visual system. Moreover, no recurrent genetic lesions in *SYK* are associated with retinoblastoma to suggest that this gene drives tumorigenesis. Only by integrating epigenetic and gene expression analyses, did we identify SYK as an important oncogene in retinoblastoma. This is important not only for expanding our understanding of the biology of retinoblastoma but also for advancing immediate therapeutic options that were not previously considered such as BAY 61-3606 or R406. This study highlights the value of integrating WGS analyses of

the genetic and epigenetic features of tumor genomes toward finding a cure for cancers such as retinoblastoma.

METHODS SUMMARY

Full details of sample acquisition, molecular and biochemical procedures, informatics and whole genome sequencing and animal and drug studies are provided in the Supplementary Information. The SJCRH IRB approved experiments involving human subjects and informed consent was obtained from all subjects. For animal studies, all experiments were performed in accordance with federal guidelines and regulations. The SJCRH IACUC approved all animal experiments. The dbGaP accession assigned to this study is phs000352.v1.p1. Lentiviral vectors (GIPZ with Lenti-SYK-9 #V3LHS-366147 and Lenti-SYK-6 #V3LHS-366143) encoding shRNAs to SYK were purchased from OpenBiosystems, Inc.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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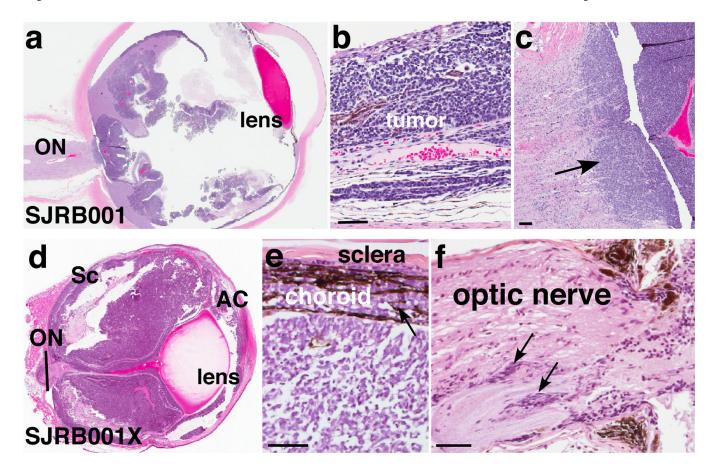


Figure 1. Characterization of retinoblastomas samples

a–c, Representative retinoblastoma tumor section(SJRB001) stained with hematoxylin and eosin (H&E) showing choroidal and optic nerve invasion (arrow). **d–f**, H&E-stained section of the SJRB001X orthotopic xenograft with choroidal (**e**) and optic nerve (**f**) invasion (arrows). Abbreviations: AC, anterior chamber; ON, optic nerve; Sc, sclera. Scale bars: 25 μm.

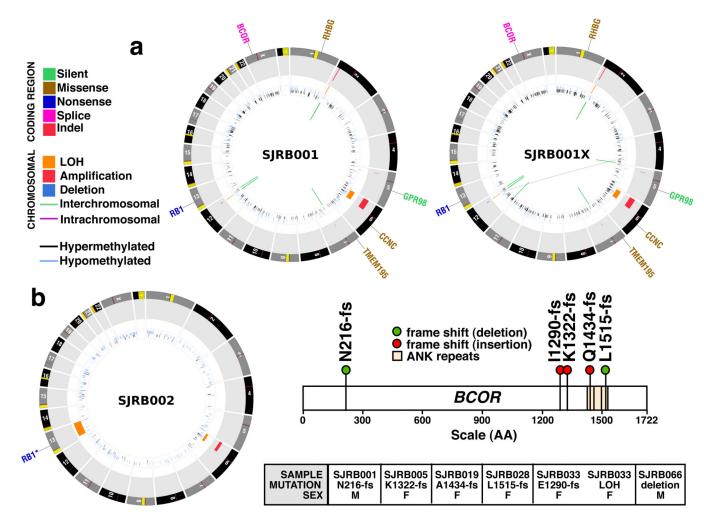


Figure 2. Genomic profiles of SJRB001-2 and SJRB001X

a,b, CIRCOS plots of genetic alterations in 2 retinoblastomas and the matched orthotopic xenograft. Loss of heterozygosity (orange), amplifications (red), and deletions (blue) are shown. Interchromosomal translocations (green lines) and intrachromosomal translocations (purple lines) are indicated. Sequence mutations in Refseq genes included silent single nucleotide variants (SNVs, green), missense SNVs (brown), nonsense SNVs (dark blue), splice-site mutations (pink), and insertion/deletion mutations (indels, red). **c**) *BCOR* mutations identified in the recurrency cohort.

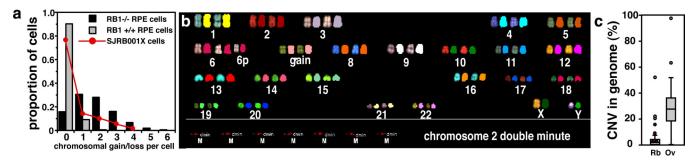


Figure 3. Analysis of aneuploidy and CIN in retinoblastoma

27.7% for ovarian cancer (Ov).

a, Chromosomal missegregation of SJRB001X cells after at least 21 rounds of cell division is plotted in red.
b, Representative SKY image of SJRB001X after the third passage in mice.
c, Alterations in the 46 Rb cases (Rb) compared to 153 high-grade serous ovarian cancer (Ov) from TGCA. The median chromosomal lesions for retinoblastoma (Rb) was 1.5% and

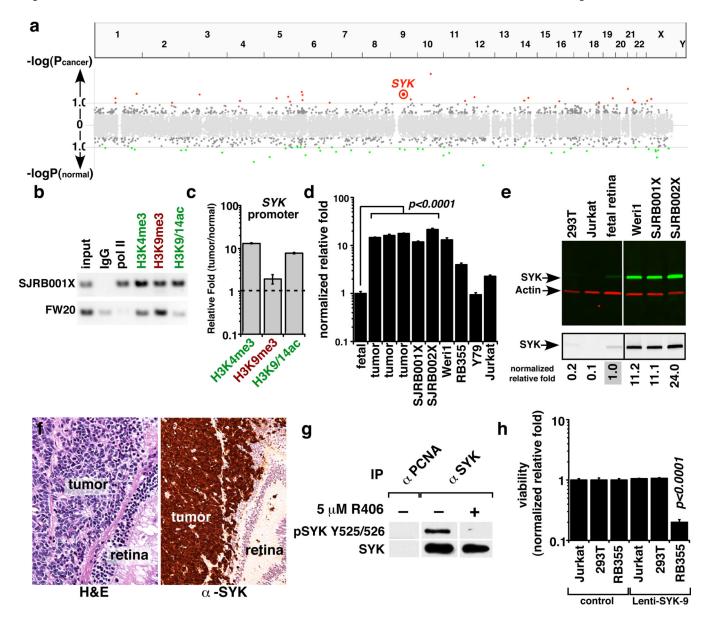


Figure 4. SYK Is Expressed in Retinoblastoma and Is Required for Survival

a, Whole-genome view of the gene ranks based on integrating ChIP-on-chip, methylation, and gene expression results. Y-axis is $-\log(p)$, where p is a p-value of Q-statistic corrected for multiple testing. Significantly (FDR 10%) downregulated (green) or upregulated (red) genes are shown. **b**, **c**, ChIP validation of histone markers of the SYK promoter including quantification by quantitative PCR (qPCR) with TaqMan probes. Each bar is the mean and standard deviation of triplicate samples. **d**, SYK gene expression measured by qPCR in fetal week 20 retina (fetal), primary retinoblastoma (tumor), orthotopic xenografts (SJRB001X and SJRB002X) and cell lines. Each bar is the mean and standard deviation of duplicate samples normalized to GPI1 expression. **e**, Immunoblot of SYK (green) and actin (red) in orthotopic xenografts, human fetal retina, and representative cell lines; black and white representation of the SYK immunoblot is in the lower panel. **f**, H&E (purple) and anti-SYK (brown) immunohistochemistry of retinoblastoma tissue. **g**, Immunoprecipitation analysis of

SYK and pSYK Y525/526 from Weri1 retinoblastoma cells. **h**, Viability was measured in triplicate cultures 72 hours after infection of retinoblastoma cells with a lentivirus vector expressing either a control lentivirus or an shRNA against *SYK*. Scale bars: 10 µm.

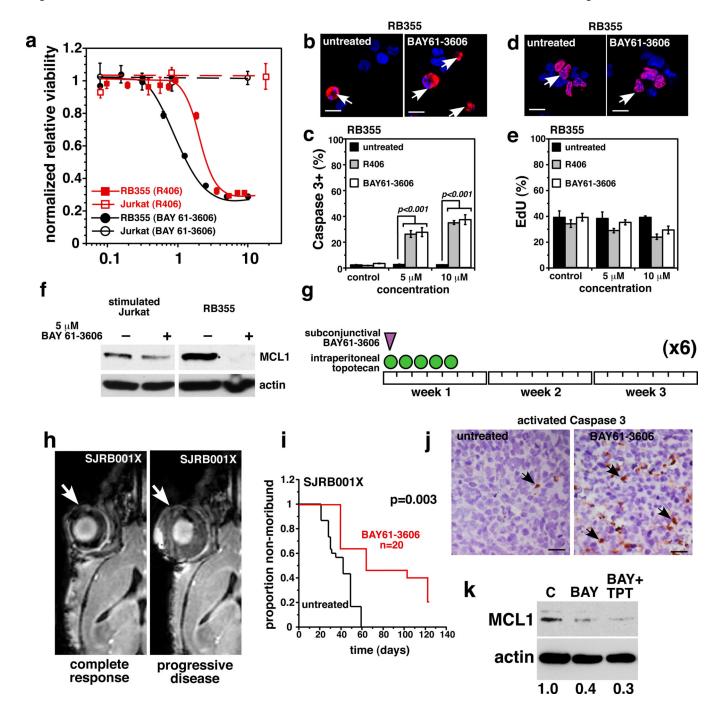


Figure 5. Retinoblastoma Cells are Sensitive to SYK Inhibitors

a, Dose response for SYK inhibitors R406 (red) and BAY 61-3606 (black) in RB355 retinoblastoma cells and a negative control (Jurkat). Each data point is the mean and standard deviation of triplicate samples. **b–e** Immunofluorescence of activated caspase 3 or EdU(red) before and after treatment of RB355 cells with R406 or BAY 61–3606. A total of 250 cells were scored in duplicate for each sample and each treatment condition to derive the mean and standard deviation. Nuclei were stained with DAPI (blue). **f**, Treatment of stimulated Jurkat or RB355 cells with 5 μ M BAY 61–3606 for 24 hours reduced MCL1

expression. **g**, Schematic of the treatment schedule for mice with SJRB001X tumors. **h**, Representative MR images of a mouse whose tumor responded after 4 courses of treatment with BAY 61–3606 (left) and another whose disease progressed during treatment (right). **i**, Survival curves show that BAY 61–3606+TPT treatment improved outcome. **j**, Immunostaining for activated caspase 3 (arrows) in untreated or BAY 61–3606–treated eyes. **k**, untreated or BAY 61–3606–treated eyes. **k**, Immunoblot showing reduced MCL1 protein after BAY 61–3606 or BAY 61–3606+TPT treatment. Scale bars b, d: 5 μ m; j: 10 μ m.

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Table 1

Summary of Somatic Mutations and Structural Alterations in Retinoblastoma

5Tier 2 6Tier 3 7Tier 4 Total Mutation 7Structural Rate Variations	4	4	0	24	12
Mutation Rate	1.03×10^{-7}	5.87×10 ⁻⁸	2.17×10 ⁻⁸	5.79×10 ⁻⁸	8.63×10 ⁻⁸
Total	225	82	56	129	258
⁷ Tier 4	82	6	29	50	137
⁶ Tier 3	117	89	25	<i>L</i> 9	100
⁵ Tier 2	16	∞	1	S	13
Genes	RBI, CCNC,TMEM195, RHBG	n.a.	n.a.	RB1, HNMT, LHX8, STOML2	RB1, CD300LG, SDK1, TXK, DMWD
Sample ² Tier 1 ³ Non-Silent Tier 1	4	0	0	4	ν.
² Tier 1	7	0	П	7	∞
I Sample	SJRB001 D-G	² SJRB001 X-D	SJRB002 D-G	SJRB003 D-G	SJRB004 D-G

Defers to diagnostic tumor, G refers to germline (blood DNA) and X refers to xenograft sample.

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Tier 1 mutations are found in genes and include exons, 5' and 3' UTRs and splice sites. Introns are not included.

 $^{^3}$ Non-silent Tier 1 mutations change amino acids in genes.

⁴ All of the somatic mutations in SJRB001 D-G were identified in SJRB001X. This row highlights the new mutations acquired in the xenograft compared to the primary tumor.

⁵ Tier 2 mutations are found in regions of the genome that are conserved between humans and mice.

 $[\]delta$

 $^{^{7}}$ Tier 4 mutations are in repetitive regions of the genome.

⁷ Structural variations include focal amplifications and deletions, LOH, interchromosomal and intrachromosomal translocations.

Background mutation rate was calculated based on the ratio of Tier 3 mutations to the number of Tier 3 bases covered at least 10x in tumor and germline for each pair.