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# How Thermal Fluctuations Influence the Function of the FeMo-cofactor in Nitrogenase Enzymes

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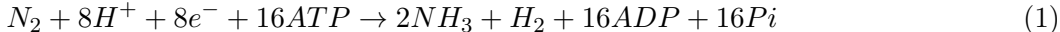
## Abstract

The catalytic mechanism of N<sub>2</sub> fixation by nitrogenase remains unresolved in how the strong N≡N bond is activated and why the reductive elimination of H<sub>2</sub> is required. Here we use Density Functional Theory and physiologically relevant thermal simulations to elucidate the mechanism of the complete nitrogenase catalytic cycle. Over the accumulation of four reducing equivalents we find that protons and electrons transfer to the FeMo-cofactor to weaken and break its bridge Fe-S bond, leading to temporary H<sub>2</sub>S formation that exposes the Fe sites to weakly bind N<sub>2</sub>. Remarkably, we find that subsequent H<sub>2</sub> formation is responsible for chemical activation to an N=N double bond accompanied by a low barrier for H<sub>2</sub> release. We emphasize that finite temperature effects smooth out mechanistic differences between DFT functionals observed at 0 K, thus leading to a consistent understanding as to why H<sub>2</sub> formation is an obligatory step in N<sub>2</sub> adsorption and activation.

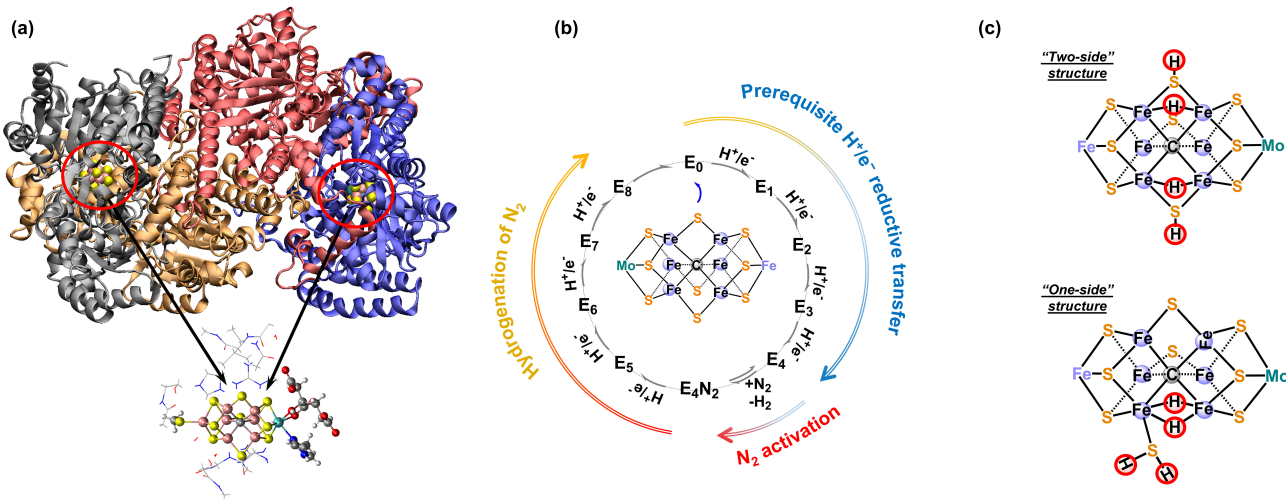
## INTRODUCTION

The nitrogenase enzyme can absorb and activate the strong  $\text{N}\equiv\text{N}$  triple bond at ambient conditions to catalyze the reduction reaction of  $\text{N}_2$  to  $\text{NH}_3$ , thereby providing a biological fixation route to more than half of the nitrogen needed to sustain the human population on earth<sup>1-5</sup>. The total dinitrogen hydrogenation process,  $\text{N}_2 + 3 \text{H}_2 \rightarrow 2\text{NH}_3$ , is net exothermic, and more so if activated hydrogen in the form of solvated  $\text{H}^+/\text{e}^-$  is utilized. However, the first bond cleavage of the  $\text{N}_2$  molecule requires enthalpy  $\Delta\text{H} \approx 4.7 \text{ eV}$  at standard temperature and pressure, which is almost one-half the value for full  $\text{N}_2$  dissociation (9.7 eV)<sup>6</sup>. Furthermore, the large HOMO-LUMO gap (ca. 10 eV)<sup>6</sup> and the low proton binding energy (5.1 eV) of  $\text{N}_2$  make the processes of electron and proton transfer to  $\text{N}_2$  very difficult at the beginning of the nitrogen fixation reaction. Therefore, there is great interest in the molecular-level understanding of how the nitrogenase enzyme achieves this challenging task. Significantly, elucidating the biological mechanism of nitrogen fixation would also be useful and insightful in the development of more efficient catalysts for industrial ammonia synthesis.

Nitrogenases are widely distributed in nature, and the corresponding genes required for production are found in 13 bacterial phyla and one archaeal phylum. MoFe, VFe, FeFe proteins are three known isozymes of nitrogenase<sup>9-12</sup> of which MoFe protein is the predominant and the most active isozyme<sup>13</sup> and VFe and FeFe are often called "alternative" nitrogenases as many diazotrophs carry genes for at least one<sup>14</sup>. The quaternary structures of VFe and FeFe nitrogenases have been reviewed elsewhere<sup>5,15,16</sup>. Our work mainly focuses on the FeMo cofactor (FeMo-co) in the hetero-tetrameric MoFe protein (Figure 1a) which is the functional catalytic unit with a triangular prism  $\text{Fe}_7\text{MoCS}_9$  cluster that utilizes supplied electrons and protons (from  $(\text{H}_2\text{O})_n\text{H}^+$  chains<sup>17</sup>) to reduce the  $\text{N}_2$ . The stoichiometry of the nitrogenase-catalyzed nitrogen fixation under ambient conditions is experimentally identified by the reaction in Eq. (1)



where ATP, ADP, and Pi are the metabolites adenosine triphosphate, adenosine diphosphate, and an



**Figure 1:** The nitrogenase enzyme and FeMo-co unit used to execute the catalytic steps for nitrogen fixation over a complete cycle. (a) the MoFe protein (PDB ID: 3U7Q)<sup>7</sup> with FeMo-co center highlighted in the ball and stick model below. (b) The whole catalytic cycle of nitrogen fixation by nitrogenase from steps  $\text{E}_1$  to  $\text{E}_8$  based on the Lowe-Thorneley kinetic model<sup>8</sup>. Further details are provided in supplemental information. (c) The FeMo-co structures of the two-side (2S) and one-side (1S) configurations for the  $\text{E}_4$  state. The adsorbed H atoms are circled in red.

inorganic orthophosphate, respectively.<sup>8</sup> Utilizing the energy released from the hydrolysis of coenzyme ATP, the electrons are transferred from the Fe protein to the MoFe protein, where NH<sub>3</sub> and obligatory H<sub>2</sub> are generated on the FeMo-co subunit<sup>1,18,19</sup>. The Lowe-Thorneley (LT) model<sup>8</sup> describes the transformations and kinetics among catalytic intermediates (denoted **E<sub>n</sub>**) for which eight H<sup>+</sup>/e<sup>-</sup> reducing equivalents delivered to the FeMo-co center ultimately create the NH<sub>3</sub> reductive product (Figure 1b). The LT model assumes that ten main intermediates are involved in the entirety of nitrogen bio-fixation reactions, although the detailed reaction mechanism might contain even more intermediates for H<sup>+</sup>/e<sup>-</sup> pair transfers, N<sub>2</sub> activation, H<sub>2</sub> formation, N<sub>2</sub> protonation, and NH<sub>3</sub> production.

Despite the numerous experimental efforts that have been carried out to explore nitrogenase and its catalytic mechanism, the crystallographic structures of the **E<sub>1</sub>** to **E<sub>8</sub>** intermediate states are difficult to detect due to their labile nature. Crystal structures of putative bound -N<sub>2</sub>- species have been reported by Ribbe and Hu<sup>20</sup> but have been questioned<sup>21,22</sup> due to the absence of external reductants that may not fully distinguish the reported state from the oxidized MoFe protein. Thus, many mechanistic aspects of the nitrogenase-catalyzed N<sub>2</sub> fixation reaction remains unknown<sup>1,2,19</sup>. Theoretical investigations to explore and identify the possible activated species and intermediates in the nitrogenase cycle have become essential to fully understand the mechanism<sup>2</sup>. Neese and Bjornsson have thoroughly uncovered the electronic structure of FeMo-co using quantum chemical calculations along with experimental measurements, including the oxidation states of Mo and Fe centers, net charges, and its magnetic properties have been widely reported<sup>3,23-25</sup>. The prerequisite protonations of FeMo-co and the hydrogenation of N<sub>2</sub> have been reported in the theoretical literature based on chemical models that typically rely on Density Functional Theory (DFT).<sup>26</sup> Using the BP86 GGA functional<sup>27</sup>, Hoffman and co-workers proposed an **E<sub>4</sub>** state with a "two-side" (2S) structure (Figure 1c), where the adsorption sites of hydrogen atoms occur on two sides of the bridged sulfurs<sup>28-30</sup>, to create a weakly bound N<sub>2</sub><sup>28</sup> Using a hybrid functional, Siegbahn has suggested a four-electron preactivation of the FeMo cluster, before the catalytic **E<sub>0</sub>** state is formed<sup>31,32</sup> (see also the supplemental information), but Hoffman et al. have argued that such a proposed structure is inconsistent with experimental measurements<sup>29</sup>. Ryde's group carried out systematic calculations with different exchange-correlation functionals, particularly for the N<sub>2</sub> hydrogenation steps by considering the pathways with or without dissociation of the sulfur bridge<sup>33-36</sup>. Very recently, they validated the resting state (Fe<sub>3</sub><sup>II</sup>Fe<sub>4</sub><sup>III</sup>Mo<sup>III</sup>) of **E<sub>0</sub>** with the calculation of redox potentials by quantum mechanical methods<sup>37</sup>. However, the reason why H<sub>2</sub> formation is crucial and obligatory and the detailed N<sub>2</sub> activation steps, in particular the creation of the activated N=N double bond<sup>30,33,38</sup>, are still open research questions. Additional clues in the nitrogenase story include inhibitor studies of MoFe-protein using carbon monoxide<sup>39,40</sup>, hydroxo<sup>41</sup> and selenium<sup>42</sup>, and provide powerful tools to prepare the stably trapped transient states and indicate the activation of N<sub>2</sub> occurs on a di-iron edge of FeMo-co. A recent study reported that the dissociated HS<sup>-</sup> species can be held within a protein cavity created by the reorientation of glutamine in V-nitrogenase<sup>43</sup>. Together with the facile exchange of all the three bridged sulfur sets, and the lability of the S2B towards ligand exchange found from experiments of site-selective incorporation of selenium in FeMo-co<sup>42,44</sup>, this sets up the possibility of partial or full dissociation of bridged S2B from FeMo-co as recently discussed by us and other theoretical work<sup>45-50</sup>.

In addition to choice of exchange-correlation functional, the greater environment of the protein is required beyond an isolated FeMo-co catalytic center. A number of state-of-the-art QM/MM studies, especially on the **E<sub>2</sub>** and **E<sub>4</sub>** states, have been performed by the groups of Ryde and Bjornsson that well describe the long-range chemical interactions emanating from the protein scaffold and due to solvent<sup>30,47,51,52</sup>. Raugei et al.<sup>53</sup> have developed an extended cluster model of FeMo-co within a corona of amino acids, showing that it is a necessary ingredient when considering the energetics of the **E<sub>4</sub>** state in particular. Moreover, nitrogenase operates its catalytic function under ambient temperature, and therefore thermal motions might influence the energetic stability of various intermediates that is crucial to understand and reveal the catalytic mechanism of the total reaction profile<sup>54,55</sup>. We wish to especially acknowledge the fact

that all enzymes exhibit low activity at cold temperatures and are only fully active at an optimal finite temperature. For example, thermally averaged motions found from room-temperature X-ray crystallography have been shown to be important for enzyme catalysis<sup>53</sup>, and statistical fluctuations of active site residues are productively utilized for enzyme turnover events for Ketosteroid isomerase<sup>56</sup>, add further nuance to pre-organized electric fields<sup>57,58</sup> as well as effecting the catalytic barrier<sup>59</sup>. However, to the best of our knowledge, no study has examined the effect of thermal fluctuations on the entire FeMo-co catalytic mechanism for nitrogenase.

Based on an extended cluster model of FeMo-co that includes directly contacting protein residues<sup>53</sup>, with an implicit solvent environment beyond that, we consider the energetics of the LT kinetic model of the complete cycle<sup>8</sup> using *ab initio* molecular dynamic (AIMD) and metadynamic simulations, with two different DFT functionals to ensure that conclusions are consistent across quantum mechanical models. Only when we consider a statistical thermodynamic perspective do we find that both functionals (Meta-GGA-B97M-rV and GGA-BP86) independently and consistently predict a "one-side" (1S) mechanism (Figure 1c), i.e. H<sub>2</sub> adsorption occurs on the same side of bridged sulfur (S2B) with further subsequent H<sub>2</sub>S formation, which is conducive to exposing two Fe coordination sites to subsequently adsorb N<sub>2</sub>. Remarkably, the accounting of thermal motion lowers the barrier to H<sub>2</sub> release that then activates the N<sub>2</sub> triple bond to undergo a chemical change to a double bond, thereby explaining why H<sub>2</sub> is an obligatory step in the nitrogenase enzyme mechanism.

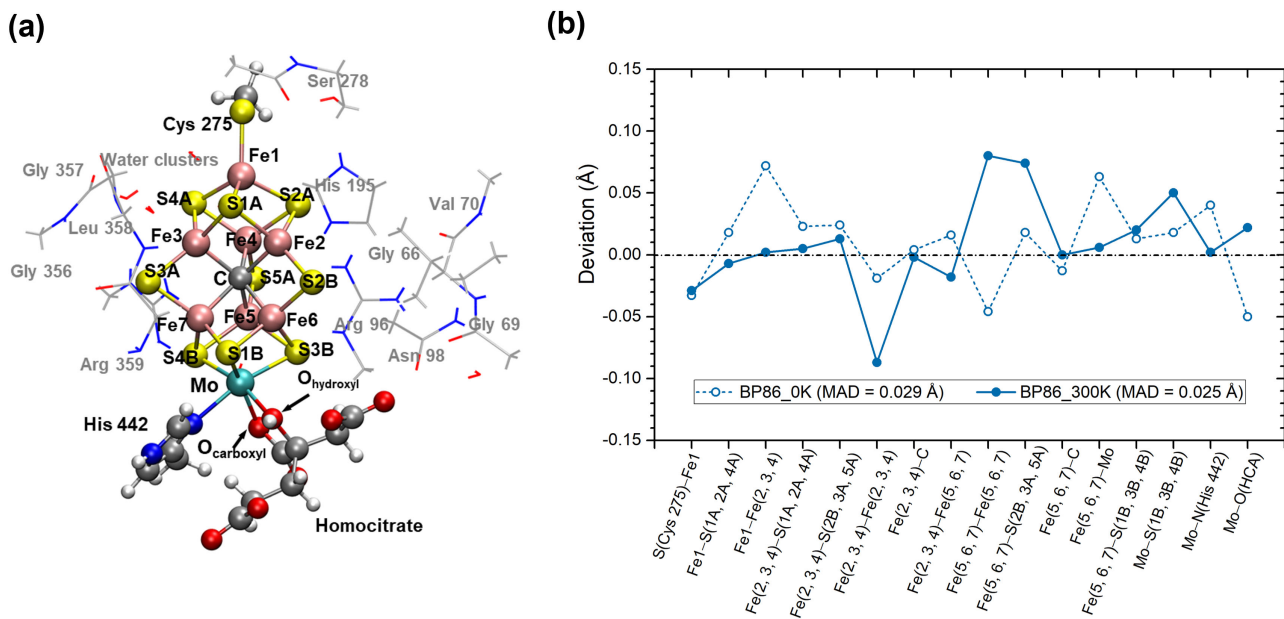
## RESULTS AND DISCUSSION

There are three possible states proposed by experimental studies for the **E**<sub>0</sub> state: the resting or native state (FeMo-co<sup>N</sup>), the one-electron reduced state (FeMo-co<sup>Red</sup>), and the one-electron oxidized state (FeMo-co<sup>Ox</sup>)<sup>1</sup>. It is of primary importance to determine the relations between these three experimentally identified states for **E**<sub>0</sub> in regards initializing the reaction. Based on extensive experimental analysis of the oxidation and spin state found by methods such as Mössbauer and ENDOR spectroscopy<sup>60-62</sup>, and described thoroughly as depicted in Figure S2, the **E**<sub>0</sub> state is proposed to have an electronic configuration of Fe<sub>3</sub><sup>II</sup>Fe<sub>4</sub><sup>III</sup>Mo<sup>III</sup> with S = 3/2. The protonation state of the homocitrate ligand is also expected to have a strong impact on the reactivity of the active center. In accordance with the QM/MM results performed by Cao et al.<sup>63</sup>, we herein apply the triply deprotonated form (net charge -3) for homocitrate as shown in Figure S3, with a proton shared between the alcohol group coordinated to Mo and one of the carboxylate groups that is consistent with crystallographic refinement<sup>64</sup>.

According to the FeMo-co cluster model by Raugai et al.<sup>53</sup> shown in Figure S1 and Figure 2a, the BP86 functional yields the lowest mean absolute deviations (MADs) for the geometric parameters of the **E**<sub>0</sub> state (Figure 2b) at both 0 K and 300 K compared with experiment<sup>7</sup>, supporting its popularity for describing metallo-enzymatic systems<sup>28</sup>. The semiempirical meta-GGA functional B97M-rV<sup>65,66</sup> has also shown promise by correctly describing the intermolecular interactions of many molecular systems<sup>67-69</sup> and has accurately predicted surface relaxations and CO adsorption energies for precious metal electrocatalysts consistent with experimental findings in the NVT ensemble<sup>54</sup>. Therefore, we also considered the B97M-rV performance on the same metalloenzymatic system for the full LT catalytic cycle. We find that the calculated bond lengths in the FeMo-co center for **E**<sub>0</sub> using B97M-rV are overestimated but with a MAD that is acceptable upon inclusion of thermal effects (0.075 Å). By comparing the geometric parameters of the **E**<sub>0</sub> state obtained at 0 K and 300 K, as listed in Table S1, it can be concluded that the thermal effect has a minor impact on the geometries of the **E**<sub>0</sub> state.

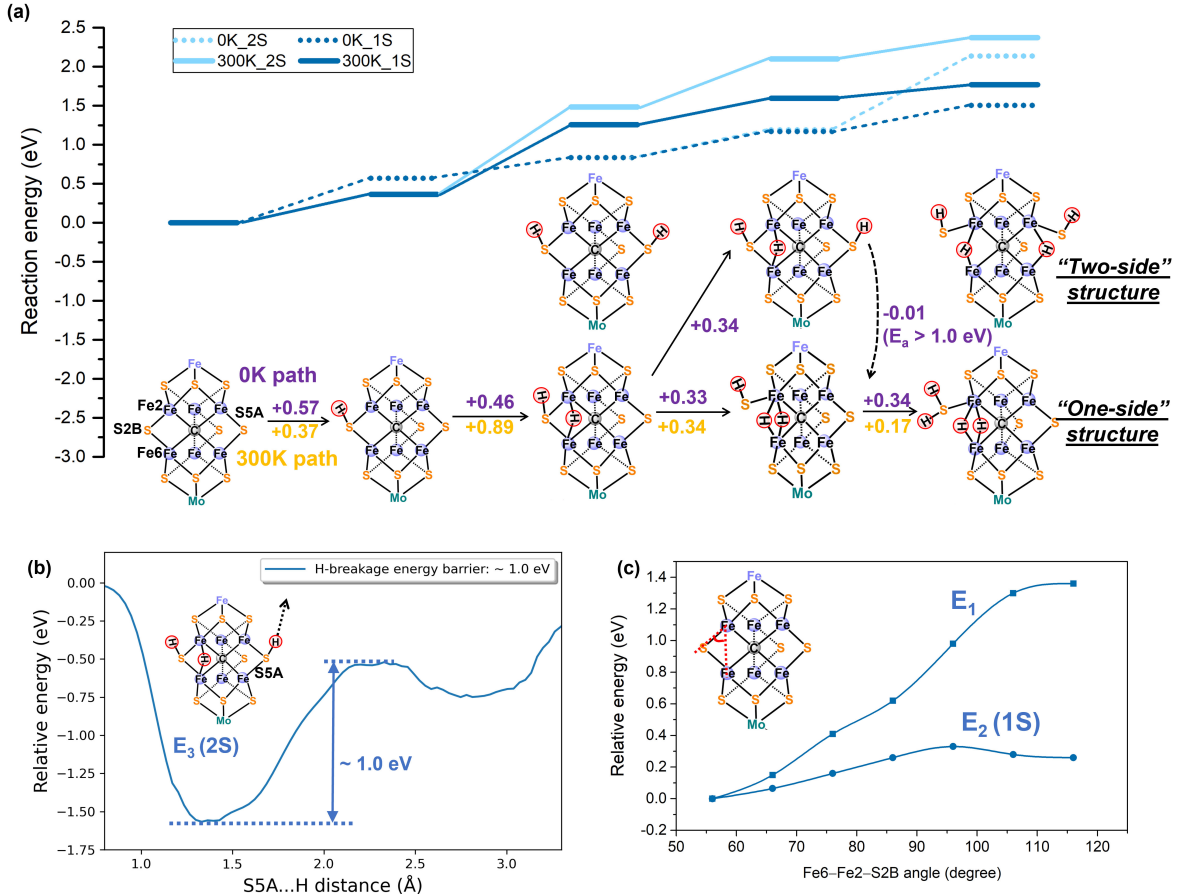
## Prerequisite $H^+/e^-$ reductive transfers before $N_2$ activation

The first four protonation processes of  $H^+/e^-$  pair-transfers to the FeMo-co center have been suggested to be the preparation for  $N_2$  binding<sup>1,70,71</sup>. Although the possible protonated structures for  $E_1$  through  $E_4$  have been reported previously<sup>28,72,73</sup>, it is still debatable as to which is the most energetically stable structure at each  $E_n$  step. In addition, the [Fe-H-Fe] metal-hydride bonds in some organometallic catalysts have been reported to play a key role in the adsorption and activation of the  $N_2$  molecule<sup>6</sup>, and also found to be relevant for the FeMo-co structure by  $1,2H$  and  $^{95}Mo$  ENDOR experiments<sup>74,75</sup>. But because FeMo-co is a quasi-symmetric triangular prism with three facets for reactions, what is the hydride structure formed during the early  $H^+/e^-$  transfer stages is not fully known, although experimental mutation studies have implicated that hydride adsorption occurs on a single facet, "f1", defined by Fe2-S2B-Fe6-S5A<sup>76-78</sup>.



**Figure 2:** The FeMo-co computational model and agreement between the bonded structure of the  $E_0$  state from BP86 and experiment. (a) The cluster model, with an extended protein environment of FeMo-co due to Rauegi and co-workers<sup>28</sup> in the context of the surrounding key residues (labels in grey), where all the residues and water molecules engaging hydrogen-bonding interaction with FeMo-co are included in the model, as well as a more complete model of homocitrate<sup>63</sup>. Color code: H-white, C-gray, N-blue, O-red, S-yellow, Fe-lilac, Mo-cyan. (b) Bond-length deviations calculated at the level of BP86, with and without thermal statistics, compared to the PDB structure (PDB ID: 3U7Q)<sup>7</sup>. The Mean Absolute Deviations (MAD) values are shown in parentheses. Similar calculations using the B97M-rV functional are provided in Table S1.

The structure of the key  $E_4$  state<sup>19,38,79,80</sup> has been called the "Janus intermediate" since it has been experimentally suggested to contain two [Fe-H-Fe] bridging hydrides and can react in both directions for releasing  $H_2$  or desorbing  $N_2$ <sup>1,5,75</sup>. Yet, it has not been possible to deduce an unambiguous picture of the spatial relationships of the two hydride bridges by experiment<sup>1,5</sup>, and therefore theoretical studies have become essential to fill in this structural picture. The decision of whether the H adsorption occurs on a 1S vs 2S structure of the bridged sulfurs (Figure 1c) can lead to a dramatically different conclusion regarding  $N_2$  activation and  $H_2$  release.



**Figure 3:** Reaction path of the prerequisite  $H^+/e^-$  transfers. (a) Energy profile of the  $H^+/e^-$  transfer path from  $E_0$  to  $E_4$  predicted by the BP86 functional at 0 K and 300 K. 2S and 1S structures are plotted in the first and second row, respectively. Energy differences at 300 K are calculated as the statistically averaged values. The minimum energy paths are highlighted in purple (0 K) and yellow (300 K). The dash lines represent the energy cost of 1S to 2S crossings. A similar set of results for the B97M-rV functional is provided in Figure S7. (b) One-dimensional free energy surface from a metadynamics simulation at 300 K, describing the H dissociation from the S5A site of the 2S structure at the  $E_3$  stage, indicating the energetic cost to cross from 2S to 1S is large. (c) Energy scans of Fe-S bond cleavage along the bond angle of Fe6-Fe2-S2B at  $E_1$  and  $E_2$  (1S) stage, respectively. We are unable to obtain the energy curve of the  $E_0$  due to the difficulty of SCF convergence, but the bond cleavage is estimated to be larger than 2.5 eV. The Fe-S bond cleavage becomes easier (less than 1.32 eV) when the S vertex is protonated. However, the Fe-S bond cleavage is facile to achieve after another proton transfers to the bridge of Fe2 and Fe6, with only a  $\sim 0.35$  eV barrier and  $\sim 0.21$  eV dissociation energy. This calculation is done at 0 K as we do not expect a qualitative change at 300 K due to the dramatic decrease of the dissociation energy in  $E_2$  compared with that in  $E_1$  ( $> 1$  eV).

Our computational results using both BP86 and B97M-rV methods consistently find the first protonation at  $E_1$  occurs at the bridged sulfide atoms between two Fe sites regardless of temperature as shown in Figure S4, consistent with experimental findings using K-edge EXAFS<sup>81</sup>. Proceeding to the  $E_2$  and  $E_3$  steps, of which the isomer relative energies are shown in Figure S5 and Figure S6, respectively, both DFT functionals at 0 K demonstrate a coexistence of 1S configuration with [Fe-H-Fe] formation and 2S configuration with protonation onto another bridging sulfide as shown in Figure 3a and Figure S7 at the level of BP86 and

B97M-rV functional, respectively. As depicted in Figure 3a and Figure S6, the energy difference between the 1S and 2S configurations within the  $\mathbf{E}_3$  state is calculated to be lower than 0.01 eV, which is less than  $k_bT$ . As a result, there exist two potential pathways for the  $\mathbf{E}_3$  transition: one through the 2S configuration and the other through the 1S configuration. Hoffman and coworkers<sup>28,30</sup> also found the same 2S structure for the  $\mathbf{E}_4$  state. However, there must be an obligate side-crossing of hydrogen from S5A to the S2B site which would have to surmount a barrier of over 1 eV as demonstrated for the metadynamics simulation in Figure 3b for the  $\mathbf{E}_3$  state; one would imagine the same large barrier would be present in the 4<sup>th</sup> proton transfer of the  $\mathbf{E}_4$  state.

On another hand, we determine that at 300 K both functionals exclusively prefer the 1S configuration path, which induces a more stable configuration of the bridging [Fe-H-Fe] due to the statistical thermal effects lowering the energy of one-side structure at room temperature. As a result of one-side structure, the formation of [Fe-H-Fe] for the  $\mathbf{E}_2$  and  $\mathbf{E}_3$  steps weakens the Fe $\leftarrow$ (SH)<sup>-</sup> bond (Figure 3c) so that the second hydride and H<sub>2</sub>S formation at the  $\mathbf{E}_4$  stage would completely open the Fe6 coordination site. The exposure of the Fe6 coordination site is energetically preferable for the N<sub>2</sub> adsorption. Notably, the temporary generation of the H<sub>2</sub>S starting from  $\mathbf{E}_4$  promotes the exposure of the iron coordination site but will not break the catalytic cycle because the H<sub>2</sub>S will be consumed again during the hydrogenation reaction of dinitrogen. The complete nitrogenase environment contains residues that can hold H<sub>2</sub>S via noncovalent interactions<sup>48</sup>, and water chains (continuously transferring protons) connected through the homocitrate ligand can hydrolyze H<sub>2</sub>S into H<sub>3</sub>O<sup>+</sup> and HS<sup>-</sup> that facilitates the binding to the holding site. This conclusion is also supported by a recent crystal of V-nitrogenase<sup>43</sup> that showed that an HS<sup>-</sup> ion can bind in a holding site of the rearranged protein residue Gln-176 preventing its complete removal from the VMo-co.

## Activation of N<sub>2</sub> and H<sub>2</sub> release

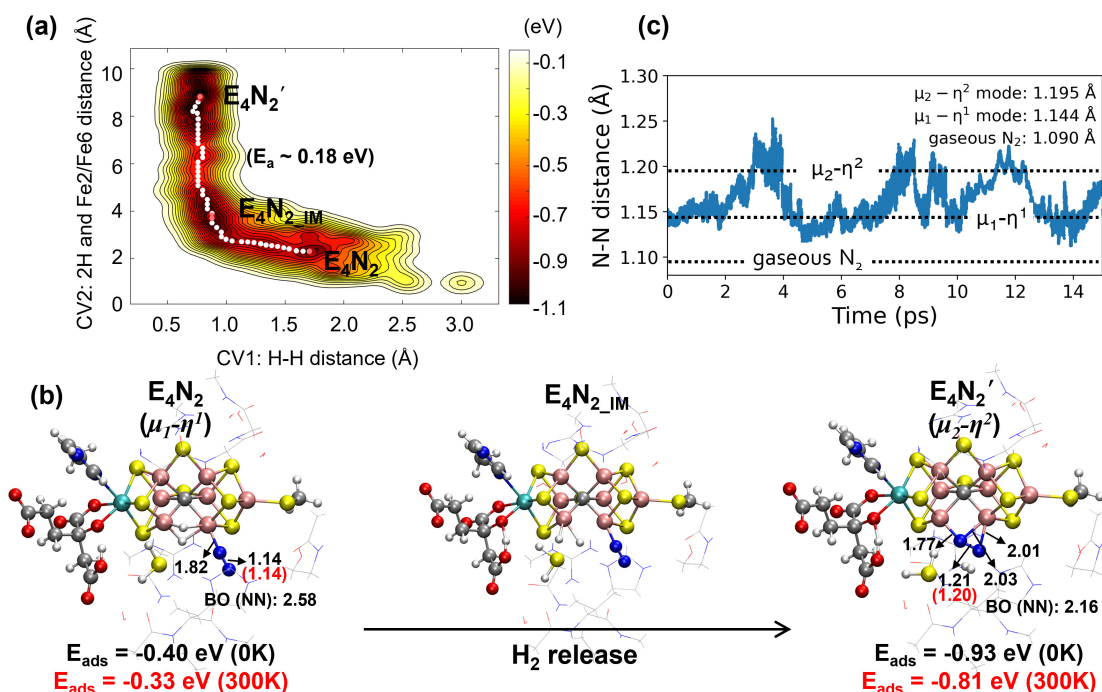
As determined above, the Fe2/Fe6 sites are completely exposed after the fourth proton transfer to FeMo-co, creating two bridged [Fe-H-Fe] bonds that are a favorable site for the adsorption of the N<sub>2</sub> molecule. Here, we use metadynamics within the collective coordinates that capture the adsorption and activation process in Figure 4. We find that N<sub>2</sub> adsorbs onto the exposed Fe site of the  $\mathbf{E}_4$  state via an  $\mu_1 - \eta^1$  end-on mode (Figs. 4a and 4b), with a corresponding adsorption energy of -0.33 eV at 300 K (-0.40 eV at 0 K), and some small activation is present by bond elongation  $\sim 0.05$  Å (Figure 4c). Additionally, for the  $\mu_1 - \eta^1$  end-on mode of the  $\mathbf{E}_4$  state, the exposed Fe site with double hydrides for the 1S  $\mathbf{E}_4$  configuration experiences an octahedral coordination field, which was proven to facilitate the doubly generated  $d_{xz}$  and  $d_{yz}$  orbitals of Fe that favors backbonding in the end-on N<sub>2</sub> ligand<sup>82</sup>.

From the metadynamics simulation, we also determine an intermediate  $\mathbf{E}_4\mathbf{N}_2_{IM}$  state crossing a negligible free energy barrier of 0.06 eV from  $\mathbf{E}_4\mathbf{N}_2$ , which has a H<sub>2</sub>-bound configuration that is consistent with recent photophysical experimental measurements<sup>83</sup>. From the intermediate state there is a low  $\sim 0.18$  eV energy barrier for release of H<sub>2</sub>, which in turn stabilizes the adsorption of N<sub>2</sub> by more an 0.5 eV (implying a change from weak to strong binding) by allowing it to form an interaction with the two Fe atoms, defined as the  $\mu_2 - \eta^2$  configuration (Figure 4b). The bond length is even elongated to be 1.20 Å, effectively coordinated to both Fe2 and Fe6 sites to facilitate the N<sub>2</sub> activation. Apparently, H<sub>2</sub> formation greatly enhances the N<sub>2</sub> binding from a weak to a stronger binding and further breaking its triple bond (see below), thus answering the long-standing unanswered question as to why H<sub>2</sub> formation is obligatory and how N<sub>2</sub> was activated<sup>47</sup>. Interestingly, this structure is in good agreement with the recent experimental observation of a synthetic [Mo<sub>3</sub>S<sub>4</sub>Fe] cube<sup>15</sup>, which proposed that the N<sub>2</sub>-reduction capability of Fe atoms is enhanced in a sulfur-rich environment. Overall, the  $\mu_2 - \eta^2$  state is somewhere between end-on and side-on configurations, a point to which we return to in the next Section.

At both 0 and 300 K, the bond length of N<sub>2</sub> dramatically elongates by 0.11 Å in the bound  $\mu_2 - \eta^2$



state relative to the free gaseous  $N_2$  molecule, indicating the effective activation of  $N\equiv N$  to a formal  $N=N$  double bond according to the bond order (BO) indices in Figure 4b and 4c. Therefore, we conclude that the temporary dissociation of  $H_2S$  is necessary to fully expose the coordination site of Fe2/Fe6, increasing the N-Fe binding energy that in turn weakens the  $N_2$  bond as illustrated by the longer N...N distance and shorter Fe...N distance. Although our results using the B97M-rV functional predicts a slightly different reaction sequence between the activation of  $N_2$  and  $H_2$  release (Figure S8), the outcome generated is the same, i.e. where we find that  $N_2$  is efficiently activated based on the observed bond elongation by  $\sim 0.09$  Å.



**Figure 4:**  $N_2$  adsorption and subsequent  $H_2$  release using AIMD metadynamics simulations with the BP86 functional. (a) a 15 ps metadynamics simulation, with at least five recrossings among the wells locating the main  $N_2$  adsorption configurations and energetics. The minimum energy paths were calculated using the zero-temperature string method<sup>84</sup> and the activation barrier value is in parentheses. (b) The main configurations along the  $N_2$  activation path: the end-on configuration of  $E_4N_2$  ( $\mu_1-\eta^1$ ), the intermediate state  $E_4N_2_{IM}$ , and the pseudo-side-on configuration of  $E_4N_2'$  ( $\mu_2-\eta^2$ ) as evaluated with metadynamics. N...N and Fe2/Fe6...N distances are listed, where red values are corresponding to 300 K results. Adsorption energies are calculated based on the total energy difference relative to  $E_4$  state under both 0 K (black) and 300 K (red). (c) The evolution of the N...N distance, showing  $N_2$  activation compared to the gas phase. Wiberg bond order indices are listed here for a quantitative comparison.

In general, the  $H^+/e^-$  pairs (hydrogen atoms) are the source of the reducing ability, implying that the elimination of hydrogen atoms in the form of the  $H_2$  molecule would weaken the reductive capacity of FeMo-cofactor. Hence our theoretical study does not support a postulation of reductive  $H_2$  elimination<sup>1,72</sup>. Instead, we conclude that  $H_2$  release eliminates the steric hindrance for  $N_2$  chemical adsorption and activation. To further support these points, we performed chemical bonding analyses for the  $N_2$  activated structures of  $E_4N_2$  and  $E_4N_2'$  predicted using the BP86 functional, illustrating the change of the electronic structure responsive to the adsorption conformations during the activation process. Wiberg bond order (BO)<sup>85</sup> indices and Weinhold effective atomic charges from natural population analysis (NPA)<sup>86</sup> are listed in Table S2. The formal triple bond of the free isolated  $N\equiv N$  molecule has a BO = 3.00, and it is further

effectively converted into a double bond ( $BO = 2.16$ , see also Figure 4) via electron back-donation from the adjacent Fe6 and Fe2 atoms. From the NPA charges, and despite the low electronegativity (EN) of iron ( $EN = 1.6$  eV, while S and C have  $EN = 2.4$  to  $2.5$  eV), the Fe atoms are negatively charged in the FeMo-co because of the formal  $C^{4-}$  unit at the center, which indicates the importance of the highly anionic carbon in the middle of a slightly deformed Fe6 octahedron to stabilize the geometrical skeleton<sup>87</sup> as well as to modulate electron transfers. The N...N  $\pi$  type bonding orbitals and its  $\pi^*$  antibonding counterparts are shown in Figure S9. From  $\mathbf{E}_4\mathbf{N}_2$  to  $\mathbf{E}_4\mathbf{N}'_2$ , the back-donation of electrons from the 3d-shells is enhanced, coming from the two adjacent Fe sites, thus the total  $\pi^*$  antibonding occupation increases up to 0.5 e. This effectively activates the  $N\equiv N$  triple bond and changes the electronic charge density rearrangement to  $-N=N$ . According to Figure 5 and Figure S10, the steps of  $\mathbf{E}_2$  and  $\mathbf{E}_4\mathbf{N}'_2$  have similar relative energy, implying that it is a reversible process for the formation of  $H_2$  and the adsorption of  $N_2$  if there are no further protons and electrons transferred to the FeMo-cofactor to hydrogenate the  $N_2$  to form ammonia, supporting the isoergic and thermodynamically reversible process of  $N_2$  activation associated with  $H_2$  release<sup>83,88</sup>.

### Hydrogenation steps after $N_2$ activation

A number of proposed mechanisms for  $N_2$  reduction have been classified as dissociative and associative (alternating and distal) routes involving the end-on configuration<sup>89</sup>, as well as the "enzymatic pathway" involving the side-on configuration.<sup>90</sup> Because there is some ambiguity of the pseudo side-on *vs* pseudo end-on configuration of the  $\mathbf{E}_4\mathbf{N}'_2$  state, we must consider the full catalytic cycle by evaluating the  $\mathbf{E}_5$  to  $\mathbf{E}_8$  steps to best classify the  $N_2$  reduction reaction of the nitrogenase enzyme.

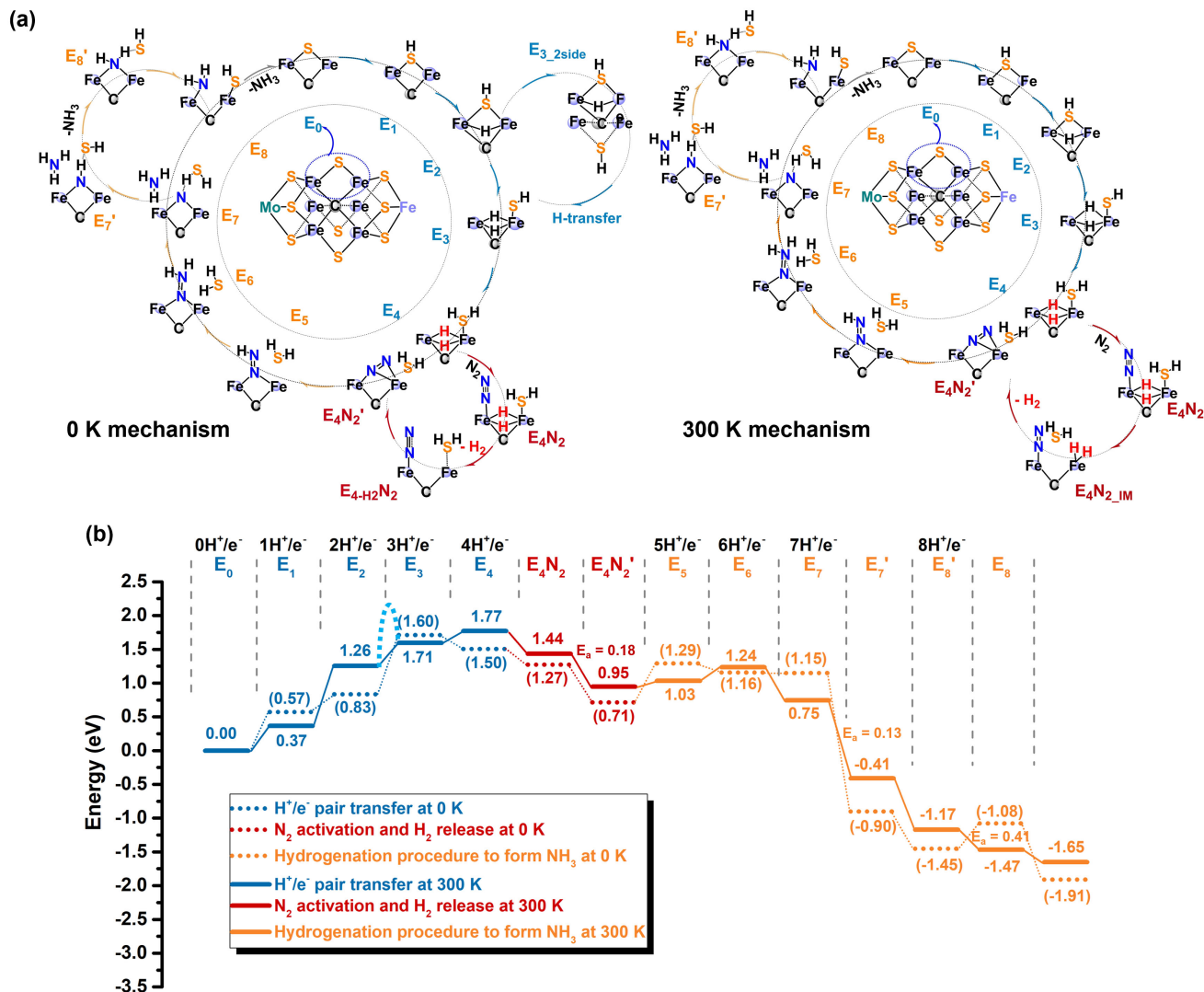
Starting from the fully activated dinitrogen  $\mathbf{E}_4\mathbf{N}'_2$  structure, the hydrogenation reaction pathway following the direct dissociation of  $N_2$  was excluded, since a potential energy surface scan of the cleavage of the  $N=N$  double bond on the FeMo-co center yields a very high barrier of  $\sim 1.72$  eV. Instead, during the  $\mathbf{E}_5$  step, the  $H^+/e^-$  pair is transferred to hydrogenate the activated  $-N=N-$  species at the terminal N atom, resulting in the formation of an  $-N=N-H$  intermediate. This process requires 0.58 eV of energy at 0 K, but is reduced to as low as 0.08 eV at room temperature, which is consistent with the associative mechanism.

The next hydrogenation step at  $\mathbf{E}_6$  is preferable at 0 K temperature for both functionals, forming the  $-N=NH_2$  intermediate consistent with the associative distal mechanism. However, it turns to be endothermic at 300 K when forming the distal configuration but the energy is still less than 0.2 eV. A potential energy scan of the alternating associative path of  $NH-NH_2$  formation was evaluated as well, and was found to be unfavorable by +0.56 eV compared to the associative distal path forming  $-N-NH_3$ . The first  $NH_3$  molecule is then spontaneously generated after one  $H^+/e^-$  pair transfer at  $\mathbf{E}_7$  with a large amount of heat released, independent of functional or temperature.

Subsequently, in the  $\mathbf{E}'_7$  state, the bridged N atom situated between the two Fe centers interacts with the H atom of the  $H_2S$  moiety. This interaction transforms the hydrogen bond into a stronger covalent N-H bond, resulting in a significant energy release (greater than 1 eV) and the cleavage of a  $HS^-$  species, with an energy barrier of 0.13 eV, as depicted in Figure 5b. The final  $H^+/e^-$  pair is transferred to the bridging N atom at  $\mathbf{E}'_8$  stage, resulting in the formation of an  $-NH_2$  intermediate species that is coordinated to the  $HS^-$  species. The temporarily released  $HS^-$  then returns to coordinate with the Fe active center, which is thermally stable but requires an energy barrier of 0.41 eV at 300 K.

In the final step, the H atom from the  $-SH$  ligand transfers to  $-NH_2$  generating the second  $NH_3$  molecule, and resetting the catalytic cycle after the final turnover, where  $H_2$  and  $NH_3$  are released with a product ratio of 1:2. We understand that using the half energy of  $H_2$  as reference of hydrogen is not fully optimal, but it's the most convenient way to analyze such reactions. We believe that the hydrogen from Fe-protein and hydrolysis of ATP has a higher energy that would make the reaction more exothermic and feasible. However, based on the energy profile in Figure 5b, we find that once  $\mathbf{E}_4$  is formed, the hydrogenation steps

from  $E_5$  to  $E_8$  exhibit almost complete exothermic behavior for each of these transformation steps.



**Figure 5:** The whole catalytic cycle of nitrogen fixation by nitrogenase. (a) Active site geometries during the catalytic cycle at 0 K and 300 K obtained from the BP86 DFT functional. (b) The corresponding energy profiles at 0 K and 300 K for the three processes considered here:  $H^+/e^-$  pair transfers (blue),  $N_2$  activation and  $H_2$  release (red), and hydrogenation to form  $NH_3$  (yellow). The energy of  $H^+/e^-$  is referred to a half energy of the  $H_2$ . The bent dotted curve implies the energetic cost of H-transfer to cross from r of the corresponding procedure under 300 K. Details of the reaction energy calculations are provided in the Models and methods section and supplemental information. The catalytic cycle from the B97M-rV functional is provided in Figure S10.

In summary, using two different levels of DFT methods, and more importantly, the statistical fluctuations of the FeMo-co reaction center at finite temperature, we have generated a well-supported mechanism over the entire catalytic cycle of nitrogenase. Overall the BP86 and B97M-rV support the same mechanism except the latter functional yields an extra step at the  $E_4$  stage, where  $N_2$  can only be adsorbed after  $H_2$  release, but nonetheless elongates the nitrogen bond by 0.09 Å. Regardless of DFT functional, thermal effects in particular yield a different result than found at 0 K by overwhelmingly favoring hydride adsorption

with a 1S configuration that (1) circumvents the side-crossing cost of H atom transfer of the 2S hydride adsorption, that is instead coexisting and inevitable with 1S adsorption at 0 K temperature, and (2) also weakening the Fe-SH bond to more readily form H<sub>2</sub>S in **E**<sub>4</sub>. Finally, two such [Fe-H-Fe] hydrides formed in **E**<sub>4</sub> leads to a complete exposure of the Fe6 coordination site for the subsequent N<sub>2</sub> adsorption regardless of DFT model.

Nonetheless, independent of the different levels of DFT methods applied, the "one-side" reaction pathway provides a compelling view of not only N<sub>2</sub> adsorption but a chemically activated N<sub>2</sub> bond. In particular, it predicts an N<sub>2</sub> pre-adsorption mode from the  $\mu_1 - \eta^1$  state which weakens the N≡N bond, and after H<sub>2</sub> release is subsequently activated through a highly exothermic  $\mu_2 - \eta^2$  bound state that induces a chemical change, reducing the bond order to near 2.2 as the bond length increases up to 1.20 Å. The creation of the H<sub>2</sub>S molecule is never observed in the LT mechanism as it can be hydrolyzed into HS<sup>-</sup> and H<sup>+</sup> via a water microenvironment, and held in a holding site of the rearranged protein residue Gln-176 to prevent its complete removal from the FeMo-co<sup>43</sup>. Once the vital step of N<sub>2</sub> activation is fulfilled, the following hydrogenation steps from **E**<sub>5</sub> to **E**<sub>8</sub> come about naturally to form two NH<sub>3</sub> molecules, while demonstrating a highly exothermic process at the completion of the catalytic cycle.

This work provides a thorough catalytic mechanism of nitrogenase upon full consideration of the detailed fluctuations of a complete statistical mechanical ensemble: the accumulation of H<sup>+</sup>/e<sup>-</sup> pairs on the S and Fe sites of FeMo-co for (**E**<sub>0</sub> to **E**<sub>4</sub>), the necessity of H<sub>2</sub> formation to promote not just N<sub>2</sub> weak binding but its chemical activation, and the subsequent hydrogenation of the activated N<sub>2</sub> to form NH<sub>3</sub> (**E**<sub>5</sub> to **E**<sub>8</sub>). We believe that further enlightening the catalytic pathway in nature's best catalysts is often the best approach to improving synthetic catalysts<sup>55,91</sup>, including those that aim to optimize other nitrogen fixation reactions.

## EXPERIMENTAL PROCEDURES

### Resource availability

#### *Lead contact*

Further information and requests for resources should be informed to and will be fulfilled by the lead contact, Teresa Head-Gordon (thg@berkeley.edu).

#### *Materials availability*

This study did not generate any new unique reagent or material.

#### *Data and code availability*

Coordinates of optimized intermediates along the entire the catalytic circle with cluster model at B97M-rV and BP86 levels under the temperature of 0 K are included in the supplementary information as Data\_S2. As well as the supplementary geometrical data, the published article includes all datasets generated or analyzed during this study.

### Models and methods

Based on the FeMo-co cluster model shown in Figure 2a, we first optimized the geometrical structures with implicit solvent approximated by the COSMO method. Pure GGA-BP86<sup>27,92</sup> and dispersion corrected meta-GGA functional B97M-rV<sup>66</sup> were employed, with optimized small-core GTH pseudopotential<sup>93-95</sup> and the corresponding TZVP MOLOPT basis sets<sup>96</sup> as implemented in CP2K software<sup>97,98</sup>. The quality of new pseudopotentials for describing the catalytic behavior of first-row transition metals have been demonstrated in several recent papers<sup>99-103</sup>. In all calculations, we used 5 grids for the integral accuracy and the truncated  $\alpha$ -C atoms were fixed during the optimization and molecular dynamic simulations. During the geometry optimizations, the convergence criteria were set as  $3 \times 10^{-3}$  bohr for atomic displacements and  $4.5 \times 10^{-4}$  hartree/bohr for the forces. The reaction energies and activation energy barriers in the main text are all obtained from CP2K package.

The electronic structure and spin state determination of  $\mathbf{E}_0$ , and chemical bonding analyses were performed with the Gaussian 09 D.01 software package (G09)<sup>104</sup> for the BP86 functional along with 6-311+G\* basis sets for C, N, O, and H, and 6-311+G(2d,p) for S atom<sup>105</sup>. In terms of the heavier elements, effective core pseudo-potentials (ECP)<sup>106</sup> were used with ECP10MDF for Fe, and ECP28MWB for Mo, together with triple-zeta basis sets<sup>107</sup>. The broken-symmetry (BS) method was also used to elucidate the electronic structures of three configurations of BS7 (BS7-346, BS7-235, BS7-247), based on which we found the three states are almost degenerate with the energy difference of less than 0.05 eV and BS7-346 was the preferable one. The stability of the single-determinant Kohn-Sham-DFT (KS-DFT) wave function was evaluated to ensure that the obtained KS-DFT wave function corresponds to an energy minimum and not a saddle point at low spin states<sup>108,109</sup>. For the transferability between different packages, we also compared the results generated from G09 with the ones from CP2K with the same BP86 functional regarding the  $\mathbf{E}_0$  geometry (Table S1) and the total reaction pathway at 0 K, where they resemble with each other quite well rationalizing the model of the system. Even though the reaction energy is slightly different, the qualitative conclusion remains unchanged.

## Ab initio molecular dynamic simulations

To calculate the adsorption energies of each state at 300 K, we performed AIMD simulations with the timestep of 0.5 fs in the NVT ensemble with a cubic box ( $a = b = c = 30 \text{ \AA}$ ). Statistical average values were collected over 5 ps with 1 ps equilibration, where the convergence was determined by the energy fluctuation less than 0.01 eV per atom. By utilizing the ensemble-averaged method to consider the anharmonicity<sup>54</sup> on top of a long-simulation trajectories, the corresponding time-averaged adsorption energy is calculated as per Eq. (2), where the energies are potential energies with consideration of anharmonic contributions through finite temperature simulations.

$$\langle \Delta E_{ads,n} \rangle_{300K} = \langle E_n \rangle_{300K} - \langle E_{n-1} \rangle_{300K} - \frac{1}{2} \langle E_{H_2/N_2} \rangle_{300K} \quad (2)$$

## Free energy calculations

To calculate free energy barriers, we performed well-tempered single walker metadynamic simulations<sup>110,111</sup> for as long as 15 ps using the CP2K package. Two collective variables (CVs) were picked to reduce the dimension of reaction space: (i) the distance between two bridged H atoms of [Fe-H-Fe] and (ii) the distance between the center of two bridged H atoms and the center of Fe2 and Fe6 sites. A wall with the position at 5 angstrom was set for the second collective variable to avoid the complete dissociation of H<sub>2</sub> which is hard to make the reaction backward to sufficiently sample the wells on free energy surface. In the metadynamic calculation, Gaussian functions with 0.002 Hartree height were deposited at least every 30 steps to introduce a bias to introduce a bias in reaction space. The minimum energy path was obtained using the zero-temperature string method proposed by Maragliano and co-workers<sup>84</sup>. We employed the forward Euler approach to capture the overdamped dynamic properties of the string snapshots in the CV 2D space as Eqs. 3 and 4:

$$(CV_1)_i = (CV_1)_{i+1} - h \nabla_{CV_1} F \quad (3)$$

$$(CV_2)_i = (CV_2)_{i+1} - h \nabla_{CV_2} F \quad (4)$$

where  $h = 1 \times 10^{-3}$ ,  $F$  is corresponding to the free energy surface. The algorithm was performed in Matlab package using a 50 point string joining the local minima and 2000 optimization steps. The convergence is set to be  $1 \times 10^{-5}$ . Further details and discussion of the computational models are provided in the supplemental information.

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## AUTHOR CONTRIBUTIONS

W.L.L., Y.L. and T.H.G. designed the project. W.L.L. carried out the AIMD simulation, metadynamic calculation and performed bonding analyses. Y.L. designed the reaction paths and mechanism, and determined the electronic structure and the scan curve calculation with the help of J.L. All authors discussed the results and made comments and edits to the manuscript.

## DECLARATION OF INTERESTS

The authors declare no competing interests.

## SUPPLEMENTAL INFORMATION

Data S2: Coordinates of optimized intermediates along the entire the catalytic cycle.

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