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### Title

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### Permalink

<https://escholarship.org/uc/item/1x24q763>

### Journal

Scientific Reports, 13(1)

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### Publication Date

2023-10-05

### DOI

10.1038/s41598-023-43356-8

Peer reviewed



OPEN

## Enhanced spectral resolution for correlated spectroscopic imaging using inner-product and covariance transform: a pilot analysis of metabolites and lipids in breast cancer in vivo

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Acquisition duration of correlated spectroscopy in vivo can be longer due to a large number of  $t_1$  increments along the indirect ( $F_1$ ) dimension. Limited number of  $t_1$  increments on the other hand leads to poor spectral resolution along  $F_1$ . Covariance transformation (CT) instead of Fourier transform along  $t_1$  is an alternative way of increasing the resolution of the 2D COSY spectrum. Prospectively undersampled five-dimensional echo-planar correlated spectroscopic imaging (EP-COSI) data from ten malignant patients and ten healthy women were acquired and reconstructed using compressed sensing. The COSY spectrum at each voxel location was then generated using FFT, CT and a variant of CT called Inner Product (IP). Metabolite and lipid ratios were computed with respect to water from unsuppressed one-dimensional spectrum. The effects of  $t_1$ -ridging artifacts commonly seen with FFT were not observed with CT/IP. Statistically significant differences were observed in the fat cross peaks measured with CT/IP/FFT. Spectral resolution was increased  $\sim 8.5$  times ( $\sim 19.53$  Hz in FFT,  $\sim 2.32$  Hz in CT/IP) without affecting the spectral width along  $F_1$  was possible with CT/IP. CT and IP enabled substantially increased  $F_1$  resolution effectively with significant gain in scan time and reliable measure of unsaturation index as a biomarker for malignant breast cancer.

MR spectroscopy (MRS) is an efficient biochemical tool for quantifying metabolite and lipid concentrations non-invasively in human breast tissues<sup>1–9</sup>. Altered biochemical concentrations in the malignant breast tissues compared to that of healthy ones have been reported in various breast cancer studies using MRS. In addition to the lipids, water and total choline measures that are commonly reported by one dimensional (1D) in vivo MRS<sup>1–9</sup>, two-dimensional (2D) in vivo MRS has also reported the measures of glycine (Gly), myo-Inositol (mI), saturated and unsaturated lipids and lipid unsaturation as potential biomarkers that can be used to identify malignancy in breast tissues. 2D correlated spectroscopy (COSY) is known to provide better spectral dispersion as compared to 1D, since the J-coupled multiplet resonance peaks are dispersed over two spectral dimensions as opposed to one spectral dimension in 1D MRS. The level of lipid unsaturation as a result, can be measured as the ratio of unsaturated fatty acid cross-peaks arising from J-coupling of olefinic to methylene protons in the 2D COSY spectra.

The 2D spectra were initially recorded from single volume of interest (VOI) using localized correlated spectroscopy (L-COSY) technique<sup>10–12</sup>. It was later extended to MR spectroscopic imaging (MRSI) sequences that record 2D COSY spectra in multiple locations at clinically feasible times, with the help of non-uniform sampling (NUS) and Compressed Sensing (CS) reconstruction<sup>13,14</sup>. Recently, a five-dimensional (5D) echo-planar correlated spectroscopic imaging (EP-COSI) technique combining 2 spectral and 3 spatial dimensions further increased the coverage area by measuring 2D spectra from multiple voxels within multiple slices, during a single scan session, and therefore helps to better localize the malignant tissues across the breast<sup>15,16</sup>.

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While the multi-voxel spectroscopic imaging covers a larger area of the breast, the spectral resolution along indirect  $F_1$  ( $t_1$ ) spectral dimension is generally low due to the scan time limitations. Combined with artifacts due to  $t_1$ -ridging caused by factors like subject motion and instrumental fluctuations<sup>17</sup>, this can lead to loss of corrupted cross peaks, limiting the full potential of the technique. While zero-filling can improve the resolution by interpolation to some extent<sup>18</sup>, it doesn't improve the separation between different resonant frequencies. It can also introduce ringing artifacts along the  $F_1$  domain and does not mitigate  $t_1$ -ridging as well.

Recently, covariance NMR<sup>19,20</sup> has been applied to J-resolved spectroscopic imaging in vivo for increased  $F_1$  spectral resolution without introducing ringing artifacts<sup>21</sup>. It replaced the second Fourier transformation applied to the  $t_1$  dimension with a covariance transformation (CT). The resultant spectrum has a spectral resolution in the indirect dimension equal to that in the direct dimension. Therefore, fewer number of  $t_1$  increments were required to extract the spin correlations than what is required in a conventional FFT based spectral analysis. This facilitates reducing the scan time while achieving higher spectral resolution along  $F_1$ <sup>22</sup>.

Although this approach has been used in various NMR experiments like total correlation spectroscopy (TOCSY) and nuclear Overhauser effect spectroscopy (NOESY)<sup>23–25</sup>, the adaptation to in vivo has been limited. A variant of the covariance NMR spectroscopy called inner-product (IP) NMR spectroscopy, is yet another approach which further improves the covariance NMR by making it robust against changes in the carrier frequency<sup>26</sup>. In this work, we applied the CT and IP approaches to 5D EP-COSY in-vivo to show its advantages over the conventional FFT based COSY spectrum in terms of both improved spectral resolution and minimal influence of  $t_1$ -ridging, while exploring the possibility of further acceleration in scan time. It is shown the biomarkers such as unsaturation index (UI) quantified from the COSY cross peaks may be unambiguously determined from a CT/IP spectrum in the presence of  $t_1$ -ridging.

## Materials and methods

### Subjects

Ten malignant breast masses ( $n = 10$ , mean age 52 [range: 41–71] years; *grade-3* ( $n = 3$ ), *grade-2* ( $n = 4$ ) and *grade-1* ( $n = 3$ )) and healthy ( $n = 10$ , mean age 46 (range: 29–60) years) volunteers were recruited. The study was performed in accordance with the Declaration of Helsinki and all the subjects gave consent according to the on-site institutional review board guidelines.

### Data acquisition

The 5D EP-COSY data was acquired on a Siemens 3 T Skyra scanner (Siemens Healthineer, Erlangen, Germany) with a dedicated “receive” 24-channel phase-array breast coil and a body “transmit” coil (FOV:  $160 \times 160 \times 120\text{mm}^3$ , 1.5 mL voxel volume, TR/TE were 1500/35 ms), running on VE11C software platform. 64  $t_1$  points sampled were used along  $F_1$ <sup>15</sup> with a spectral bandwidth (SW) of 1250 Hz and 512 complex  $t_2$  points, and a SW of 1190 Hz along  $F_2$ . A three-pulse sequence<sup>27</sup> was employed before the global water suppression. A non-water suppressed scan with one  $t_1$  point was acquired for eddy current phase correction and coil combination<sup>28</sup>. Two spatial and one spectral dimensions ( $k_y, k_z, t_1$ ) were non-uniformly sampled with an exponentially-weighted sampling density along  $t_1$  and gaussian sampling density along the  $k_y$ - $k_z$  plane for an acceleration factor of 8. The total scan time was 28 min and 48 s.

### Data reconstruction

The undersampled 5D EP-COSY data was reconstructed using a Group Sparsity (GS)-based compressed sensing (CS) algorithm<sup>15,29</sup> to estimate the unacquired samples along the  $k_y$ - $k_z$ - $t_1$  dimensions. The dominant lipid peak around 1.3 ppm was zeroed in the Fourier transform of the non-water suppressed signal to obtain a water-dominant time domain signal for the eddy current phase correction and coil combination. The spectral peak volume integrals were computed as described in<sup>16</sup>. The quantified proton resonances along the diagonal ( $F_1$ - $F_2$ ), and off-diagonal are listed in Table 1.

### Covariance and inner-product COSY processing

After the GS-CS reconstruction, a hybrid spectral-spatial data matrix  $\mathbf{D}(x, y, z, t_2, t_1)$  was outputted where  $x, y$  and  $z$  were the Fourier transform of  $k_x, k_y$  and  $k_z$  dimensions in  $k$ -space. After Fourier transforming the direct spectral dimension ( $t_2$ ), we get the mixed time–frequency matrix  $\mathbf{A}(F_2, t_1)$  for every special location ( $x = 1, 2, 3, \dots, 16; y = 1, 2, 3, \dots, 16; z = 1, 2, 3, \dots, 8$ ), with a stack of 1D spectra. Fourier transforming the indirect dimension  $t_1$  of  $\mathbf{A}$  yielded the conventional Fourier transformed COSY spectrum  $\mathbf{S}(F_2, F_1)$  of size  $(512 \times 64)$ .

A covariance transform was instead obtained from  $\mathbf{A}$  in the following manner<sup>25</sup>.

**Step 1** Make matrix  $\mathbf{A}$  offset free by subtracting the average 1D spectrum ( $\mathbf{A}_{\text{avg}}$ ) from it.

$\tilde{\mathbf{A}} = \mathbf{A} - \mathbf{A}_{\text{avg}}$ . This 1D spectrum is formed by averaging over the  $t_1$  dimension in  $\mathbf{A}$ .

**Step 2** Apply a singular value decomposition (SVD)<sup>30</sup> to the transposed mixed time–frequency matrix  $\tilde{\mathbf{A}}^T = \tilde{\mathbf{U}} \tilde{\mathbf{W}} \tilde{\mathbf{V}}^T$ , where  $\tilde{\mathbf{U}}$  and  $\tilde{\mathbf{V}}$  are the singular vectors and  $\tilde{\mathbf{W}}$  is the diagonal matrix with singular values as its diagonal elements.

**Step 3** The final covariance transformed spectrum is then calculated as,  $\mathbf{S}_{\text{cov}} = (\tilde{\mathbf{A}}^T \tilde{\mathbf{A}})^{1/2} = \tilde{\mathbf{U}} \tilde{\mathbf{W}} \tilde{\mathbf{V}}^T$ , which gives a high-resolution spectrum of size  $(512 \times 512)$ , with the spectral width along indirect spectral dimension same as that of the direct spectral dimension.

The similarity between covariance and Fourier-transformed spectra is based on the Parseval's theorem as described in<sup>20</sup>. It follows that the covariance spectrum  $\mathbf{C} = \tilde{\mathbf{A}}^T \tilde{\mathbf{A}}$  correspond to the 2D spectrum squared, i.e.,  $\mathbf{S}^2$ , provided that the  $\mathbf{A}_{\text{avg}}$  in the calculation of covariance vanishes. However, it is shown later that the condition of vanishing average might not hold near the spectral center due to the relatively slow spin precision, as well as

Diagonal peaks		Cross-peaks	
Peak label	Locations (F <sub>2</sub> , F <sub>1</sub> ) ppm	Peak label	Locations (F <sub>2</sub> , F <sub>1</sub> ) ppm
Methyl fat (FMETD)	(0.9, 0.9)	CP1	(0.9, 1.3)
Methylene fat (FAT13)	(1.3, 1.3)	CP2	(1.3, 0.9)
Methylene fat (FAT21)	(2.1, 2.1)	CP3	(1.6, 2.3)
Methylene fat (FAT23)	(2.3, 2.3)	CP4	(2.3, 1.6)
Methylene fat (FAT29)	(2.9, 2.9)	CP5	(1.3, 2.1)
Choline (Cho)	(3.2, 3.2)	CP6	(2.1, 1.3)
Taurine (Tau)	(3.25, 3.25)	Unsaturated fatty acid cross peak, right lower (UFR_lower)	(2.1, 5.4)
myo-Inositol + Glycine (ml + Gly)	(4.1, 4.1)	Unsaturated fatty acid cross peak, left lower (UFL_lower)	(2.9, 5.4)
Methylene glycerol backbone (MGB42)	(4.3, 4.3)	Triglyceryl fat cross peak lower, (TGF_lower)	(4.2, 5.3)
Water (WAT)	(4.7, 4.7)	Unsaturated fatty acid cross peak, right upper (UFR_upper)	(5.4, 2.1)
Olefinic fat (UFD54)	(5.4, 5.4)	Unsaturated fatty acid cross peak, left upper (UFL_upper)	(5.4, 2.9)
		Triglyceryl fat cross peak upper (TGF_upper)	(5.3, 4.2)

**Table 1.** Metabolites and lipids identified in the 2D COSY spectra of breast tissues.

when reducing the number of  $t_1$  points<sup>26</sup>. It is shown that this problem can be overcome by discarding the average. i.e., by choosing  $\hat{\mathbf{A}} = \mathbf{A}$  in step 1. This is called the inner-product covariance transform where the Parseval's theorem assures the correspondence between a matrix  $\mathbf{I} = \mathbf{A}^T \cdot \mathbf{A}$  and  $\mathbf{S}^2$ , even if the average doesn't vanish<sup>26</sup>. High resolution IP covariance matrix is therefore calculated as  $\mathbf{S}_{IP} = (\mathbf{A}^T \cdot \mathbf{A})^{1/2} = \mathbf{U} \cdot \mathbf{W} \cdot \mathbf{U}^T$ , where  $\mathbf{U}$ ,  $\mathbf{V}$  and  $\mathbf{W}$  are the singular vectors singular values of  $\mathbf{A}^T$ .

Even though high resolution can be achieved by CT while also minimizing  $t_1$ -ridging, reduced number of  $t_1$  points can introduce spurious correlations in the CT/IP spectrum. However, the deterministic nature of the sampling scheme and resonant positions enable us to mask out these spurious correlations while retaining the advantage in resolution<sup>31</sup>. This may be approached in multiple ways. One option is to determine these spurious correlations based on their intensity, frequencies, and  $t_1$  sampling as shown in<sup>31</sup>. Another option is to use a map of true resonance frequencies obtained via high resolution FFT as a reference to identify spurious correlations. In this work, we used the resonance frequency locations identified from a prior knowledge based synthetic spectra to remove the spurious correlations.

### Statistical analysis

Means, standard deviations, and 95% confidence intervals were calculated for each metabolite and lipid in IP, CT and FFT spectra. Analysis of variance procedures including Brown-Forsythe was used to test for the equality of means between the quantified IP, CT and FFT. Games-Howell and Tukey HSD multiple comparisons were used to determine the pair-wise significance among the three different groups based on the homogeneity of variances. Student's t-test was used to compare the means of metabolite and lipid ratios between malignant and healthy tissues.

### Ethical approval and informed consent

The study was approved by the institutional review board of the University of California, Los Angeles. The subjects in the study provided written informed consent.

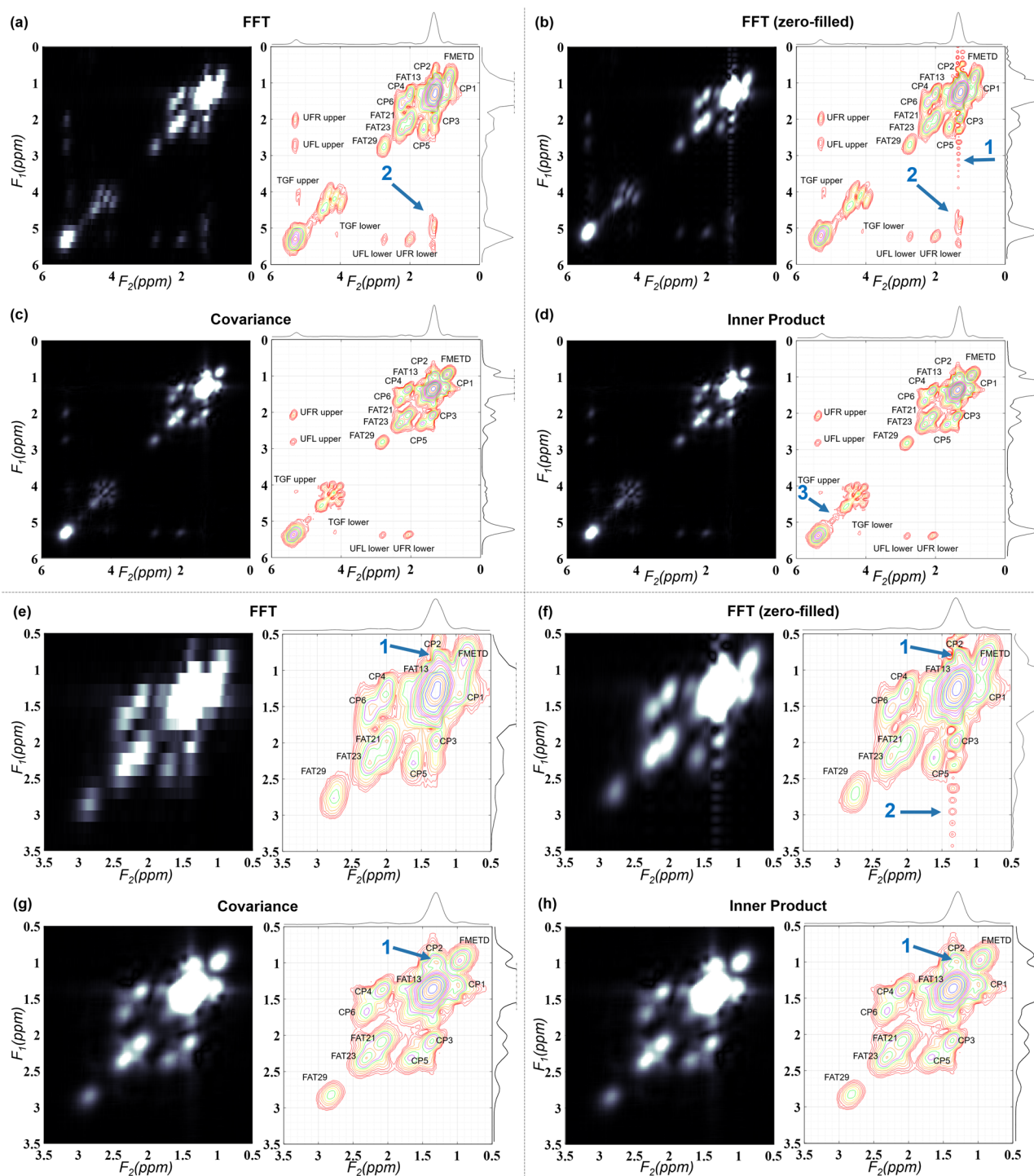
## Results

### FFT versus CT versus IP

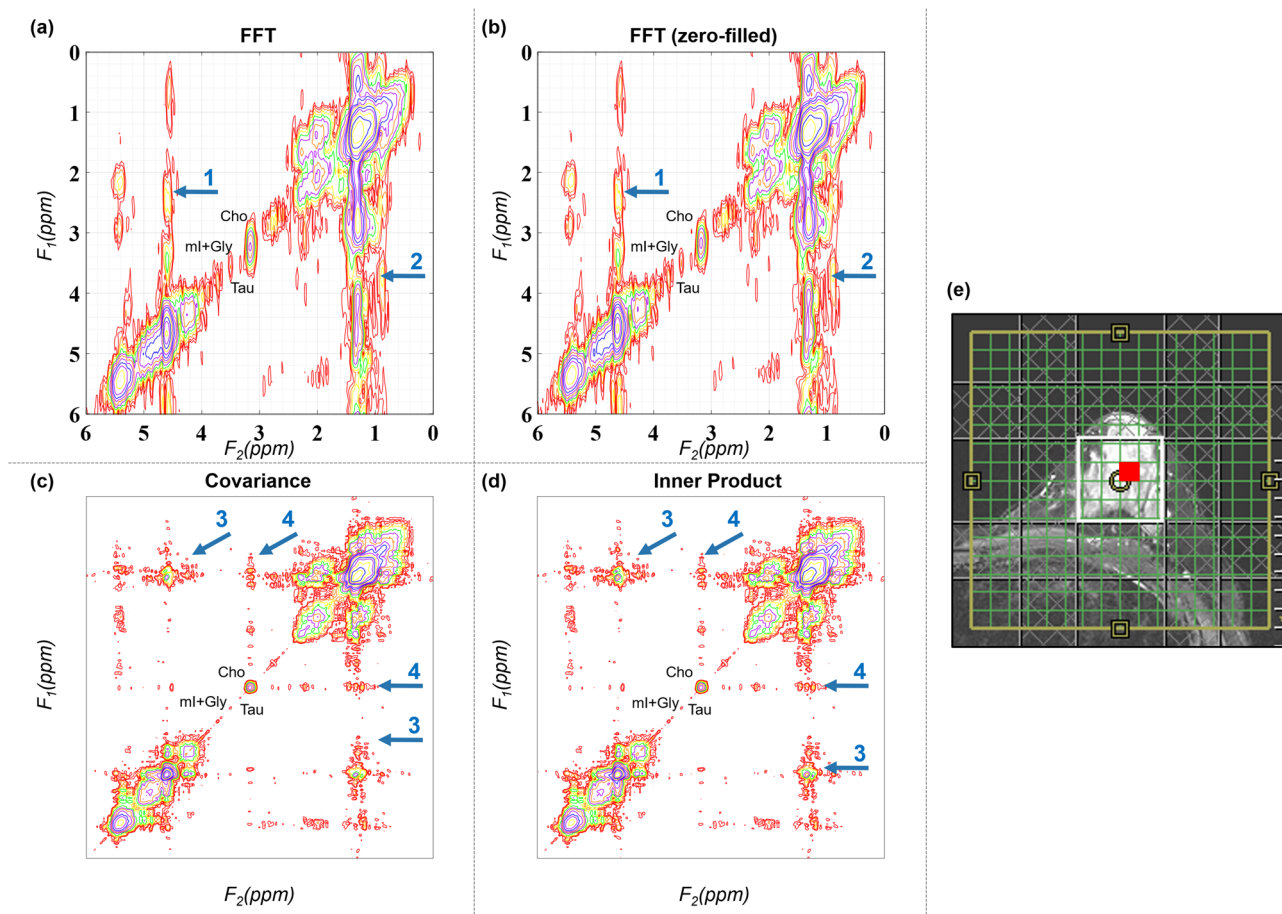
A 2D spectrum from a healthy subject generated using FFT, FFT with zero-filling along  $t_1$ , covariance transform and inner product are compared in Fig. 1 as intensity plots and contour plots. FFT based spectrum shown in Fig. 1a without zero-filling had a matrix size of  $512 \times 64$  while zero-filled FFT, CT and IP in Fig. 1b–d had matrix size of  $512 \times 512$ . Only 0–6 ppm range is shown in the figure. While FFT and zero-filled FFT had a SW of 1250 Hz along  $F_1$ , both CT and IP had a SW of 1190 Hz. All four spectra had a SW of 1190 Hz along  $F_2$ . Arrow 1 indicates the ringing caused by zero-padding in zero-filled FFT. Arrow 2 is pointed at the false peaks in FFT and zero-filled FFT which is in fact due to a mild  $t_1$ -ridging. Arrow 3 shows the region where IP based spectra differed from the CT.

Panels (e–h) show enlarged regions from figures in panels (a–d) comprising 0.5–3.5 ppm along both axes. Apart from the ringing indicated by arrow 1 in zero-filled FFT, this figure shows the effect of  $t_1$ -ridging on the cross-peaks between 0.9 and 1.3 ppm, as pointed out by arrow 1 in (e, f) and the lack of this degradation in (g, h).

Another 2D spectrum from a malignant lesion identified in a 45-year-old patient is shown in Fig. 2. Sections (a)–(d) shows the 2D spectra generated using FFT, FFT with zero-filling along  $t_1$ , CT and IP.  $T_2$ -weighted MR image with the white box representing the VOI placement is shown in (e). Red square shows the location of the extracted 2D spectrum. Arrows 1 and 2 points out the stronger effect of  $t_1$ -ridging in (a) and (b) as compared to Fig. 1. Examples of spurious correlation appearing in CT and IP are pointed out by arrows 3 and 4.



**Figure 1.** Reconstructed 2D COSY spectrum of healthy tissues from a 60-year-old woman. **(a)** Intensity and contour plots of FFT based spectra (0–6 ppm). Arrow 2 indicates false peak from  $t_1$ -ridging. **(b)** Intensity and contour plots (0–6 ppm) of FFT based spectra after zero-filling  $t_1$  dimension to 512 points. Arrow 1 shows the ringing effect. **(c)** Intensity and contour plots of CT based spectra (0–6 ppm). **(d)** Intensity and contour plots of IP based spectra (0–6 ppm). Arrow 3 points out the region where IP spectrum is different from CT spectrum. **(e)** Intensity and contour plots of FFT based spectra (0.5–3.5 ppm). Arrow 1 indicates altered lipid cross-peak from  $t_1$ -ridging. **(f)** Intensity and contour plots (0.5–3.5 ppm) of FFT based spectra after zero-filling along the  $t_1$  dimension to 512 points. Arrow 2 shows the ringing effect. **(g)** Intensity and contour plots of CT based spectra (0.5–3.5 ppm). **(h)** Intensity and contour plots of the IP based spectra (0.5–3.5 ppm).



**Figure 2.** Reconstructed 2D COSY spectra from a malignant lesion identified in 45-year-old patient (grade 3 invasive ductal carcinoma, estrogen receptor positive, progesterone receptor positive, her2 positive, ki-67 = 20% and BI-RADS 5): (a) FFT based spectrum (b) FFT based spectrum after zero-filling along  $t_1$ , (c) CT based spectrum, (d) IP based spectrum, (e)  $T_2$ -weighted MR image with the white box representing the VOI placement. Red square shows the location of extracted spectrum. Arrows 1 and 2 point out the effect of  $t_1$ -ridging in (a) and (b). Examples of spurious correlation appearing in CT and IP are pointed out by arrows 3 and 4.

Figure 3 shows the CT and IP 2D spectra of that shown in Fig. 2 after masking out the spurious correlations. Another 2D spectrum from malignant lesion identified in 41-year-old patient is shown in Fig. 4. Sections (a)–(d) shows the spectra generated using FFT, FFT with zero-filling along  $t_1$ , CT and IP.  $T_2$ -weighted MR image with the white box representing the VOI placement is shown in (e). Red square shows the location of extracted 2D spectrum. Arrows 1 and 2 show the effect of  $t_1$ -ridging in (a) and (b).

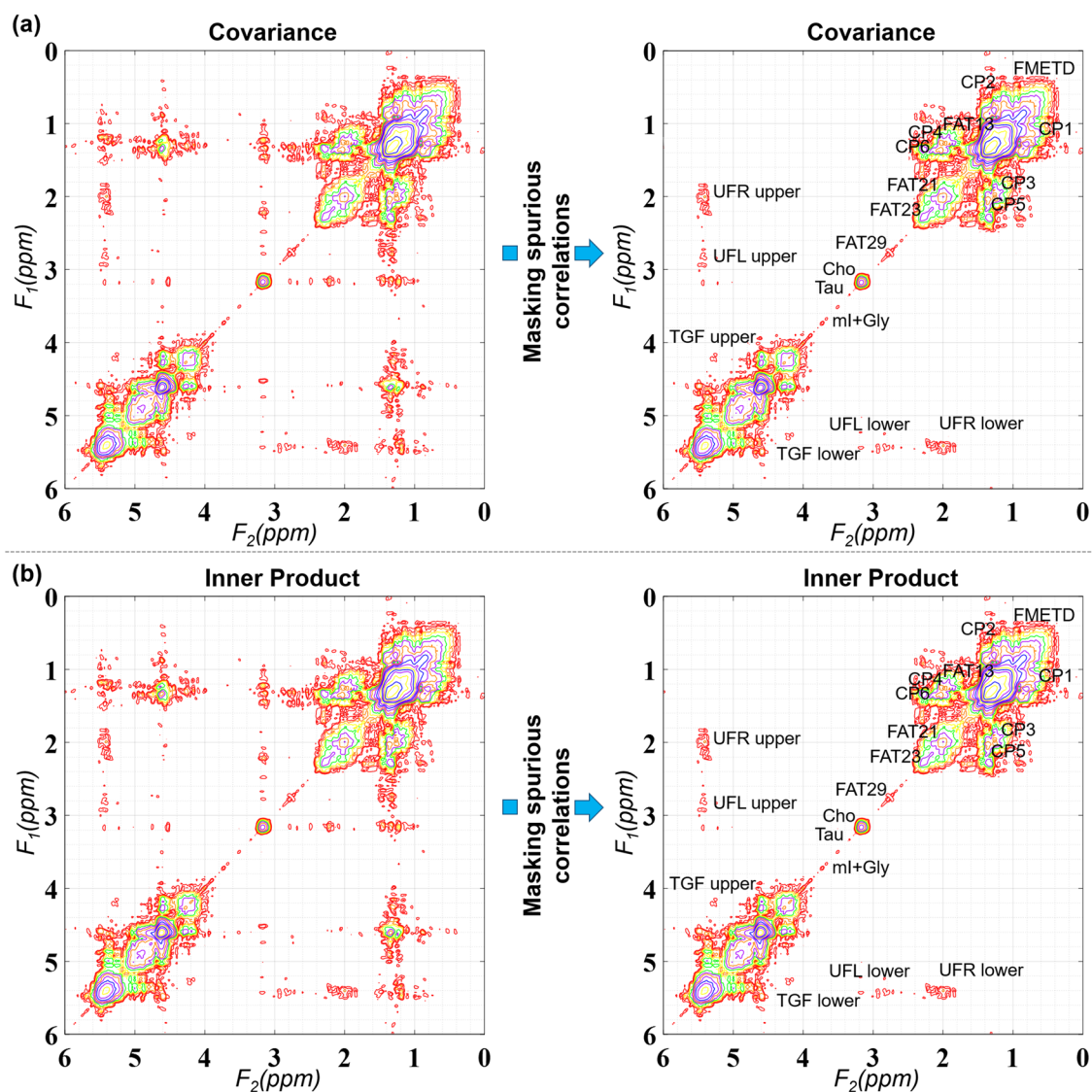
### Choice of $t_1$ points and acceleration feasibility

Figure 5 shows the effect of different schemes of  $t_1$  sampling while using FFT and CT. The 2D spectrum here is the same spectrum as shown in Fig. 1, retrospectively undersampled along  $t_1$  to study feasibility of further acceleration. Figure 5a shows the conventional FFT spectrum using the full 64 points along  $t_1$  ranging TEs from 35 to 85.4 ms at 800  $\mu$ s intervals, giving 1250 Hz SW. Choosing either first 32 or last 32 points as shown in (b) and (c) reduces the spectral resolution along  $F_1$  by half and shows heavy  $t_1$ -ridging effects (arrows 1 and 2). Sampling every other  $t_1$  points for  $2 \times$  acceleration as shown in (d) and (e) on the other hand halves the SW along  $F_1$  while retaining the same spectral resolution causing folding artifacts indicated by arrow 3.

Panels (f)–(j) in Fig. 5 show the results of same cases as in panels (a)–(e) when CT is used instead of FFT. Arrows 1 and 2 in (g) and (h) points out the spurious correlations appearing in the spectrum. The results for IP are shown in Supplementary Figure 1 were the panels (a)–(e) correspond to the same cases as in panels (f)–(j) of Fig. 5.

### Quantitation comparison

Figure 6 shows bar graphs comparing the mean (95% CI) of different metabolite and lipid ratios with respect to 1D water peak area in both malignant ((a), (c) and (e)) and healthy ((b), (d) and (f)) breasts. Plots in (a) to (d) show the ratios for different cross peaks while (e) and (f) shows the ratios for diagonal peaks in the spectrum. A statistically significant difference between the estimation of ratios from IP, CT and FFT was determined by



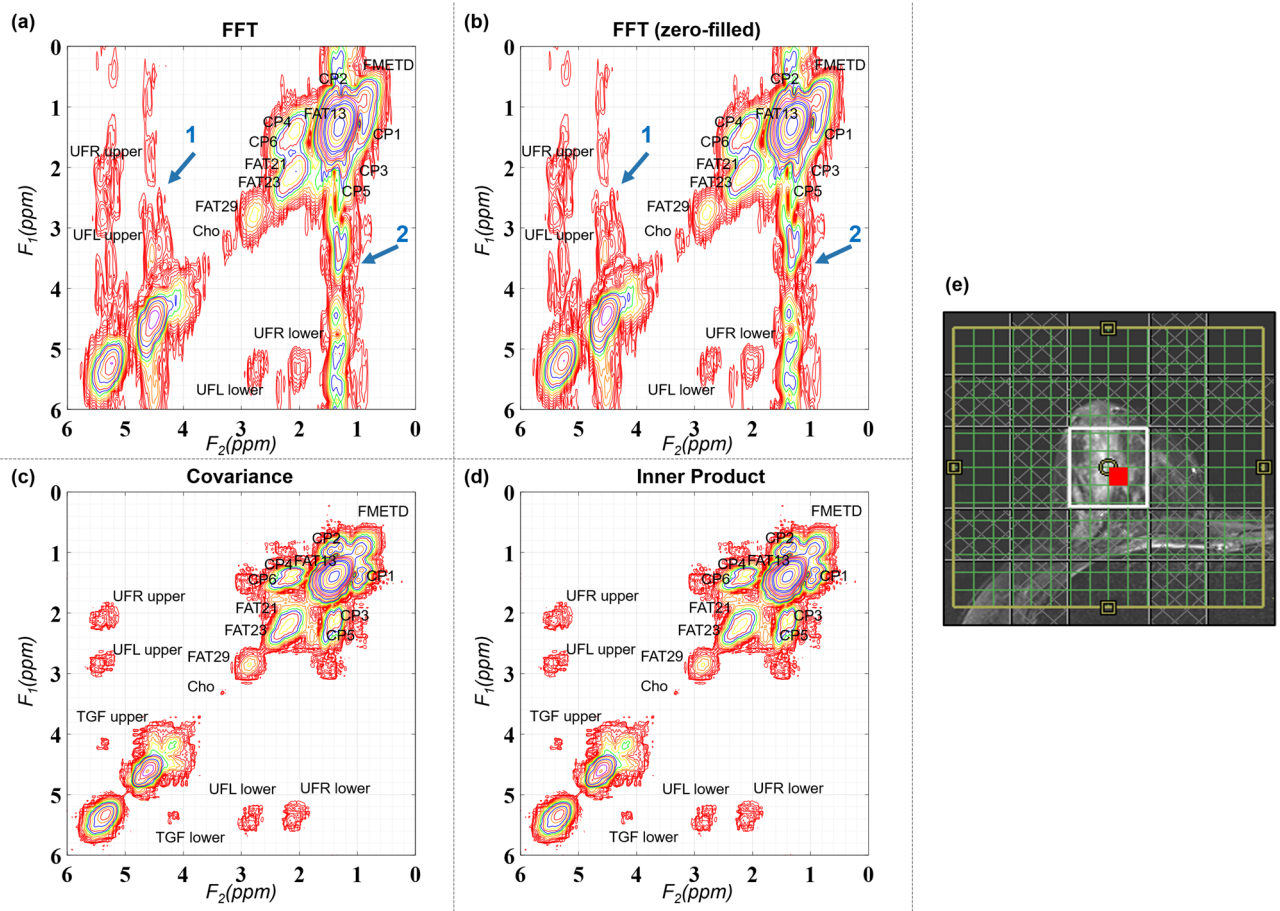
**Figure 3.** Masking spurious correlations in (a) CT and (b) IP based spectrum shown in this figure. Panels on left show contour plots with spurious correlations. Panels on the right show the spectrum after removing spurious correlations.

Brown-Forsythe ANOVA for CP2 ( $F(2,9.99) = 5.399, p = 0.026$ ) in malignant group, and for CP2 ( $F(2,9.13) = 6.477, p = 0.018$ ), CP3 ( $F(2,9.23) = 6.434, p = 0.018$ ), CP4 ( $F(2,9.02) = 5.569, p = 0.027$ ) and CP5 ( $F(2,9.05) = 5.012, p = 0.034$ ) in healthy group. However, the Games-Howell post hoc test showed that the difference in the estimation of the ratios between any two methods among IP, CT and FFT were not statistically significant.

Figure 7 shows bar graphs comparing the UI between IP, CT and FFT as well as across healthy and malignant groups. (a)–(b) show the results for malignant and healthy groups compared between IP, CT and FFT when the UI is computed from cross peaks above and below the diagonal. (c)–(d) show UI from cross peaks above and below the diagonal compared between healthy and malignant groups. Statistically significant differences ( $p < 0.05$ ) were observed between healthy and malignant groups for UI computed from cross peaks both above and below diagonal for IP and CT, and above the diagonal for FFT. The measured values of UI from the cross peaks above and below the diagonal were very close for IP and CT with difference being  $< 2\%$  for malignant and  $13\%$  for healthy, whereas they were much larger for FFT with a  $25\%$  difference for malignant and  $38\%$  difference for healthy as shown in (a) and (b).

## Discussion

Due to the scan time limitations, conventional 2D L-COSY spectrum is acquired with limited number of  $t_1$  points. Even with non-uniform sampling and CS based reconstruction, 64  $t_1$  points are usually used to achieve reasonable scan time. This results in poor spectral resolution along  $F_1$ . In this work, prospectively undersampled 5D EP-COSY data were reconstructed using GS-CS and 2D COSY spectra from multiple locations in malignant and healthy breast masses were analyzed using FFT, CT and IP for enhanced  $F_1$  spectral resolution, reduced  $t_1$ -ridging, and acceleration feasibility for faster scan times.



**Figure 4.** Reconstructed 2D COSY spectra from malignant lesions identified in 41-year-old patient (grade 3 invasive ductal carcinoma and ductal carcinoma in situ, estrogen receptor positive, progesterone receptor positive, her2 positive, ki-67 = 60% and BI-RADS 5): **(a)** FFT based spectrum, **(b)** FFT based spectrum after zero-filling along  $t_1$ , **(c)** CT based spectrum, **(d)** IP based spectrum, **(e)**  $T_2$ -weighted MR image with the white box representing the VOI placement. Red square shows the location of extracted spectrum. Arrows 1 and 2 show the effect of  $t_1$ -ridging in **(a, b)**.

### Limitations of FFT based spectrum

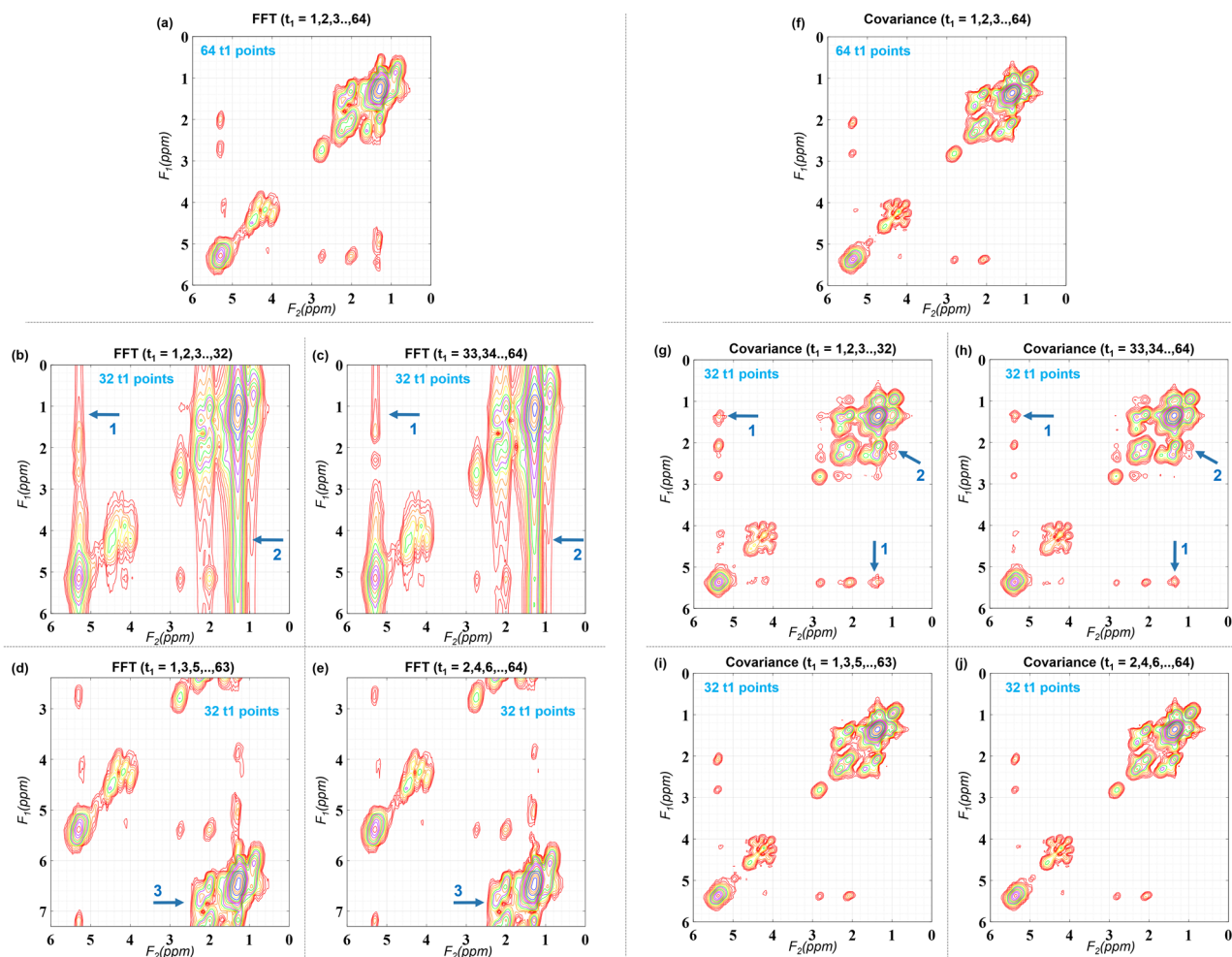
One of the main requirements of FFT based analysis is that the time increments ( $\Delta t_1$ ) for  $t_1$  points should fulfill Nyquist theorem ( $\Delta t_{1, \text{Nyq}} = 1/\text{SW}$ ) to avoid aliasing artifacts. Doubling the duration of  $t_1$  increment for example, results in halving the SW along  $F_1$  (625 Hz instead of 1250 Hz) while retaining the same spectral resolution ( $\sim 19.53$  Hz) if 32  $t_1$  points are collected for  $2 \times$  acceleration. This results in aliasing artifacts along  $F_1$  due to the lack of sufficient SW (see Fig. 5d, e).

On the other hand, if SW is retained by keeping  $\Delta t_1 = 800 \mu\text{s}$  while reducing the number of  $t_1$  increments to 32, it results in poorer spectral resolution ( $\sim 39.1$  Hz) (see Fig. 5b, c). Common approach of zero-padding before FFT achieves interpolation to a larger matrix size, increasing the digital resolution, without actually increasing the spectral resolution. It can also introduce ringing in the spectrum in case of discontinuity in the time domain (see Fig. 1). The ringing can however be minimized by applying appropriate filters before zero-padding.

### Advantages of CT and IP

An advantage of CT and IP over traditional 2D FFT is that the indirect dimension of  $S$  is not required to be sampled with a time increment  $\Delta t_1$  that fulfills the Nyquist theorem. Furthermore, if number of  $t_1$  point needs to be reduced to accelerate the acquisition, a wider range of  $t_1$  evolution times can be used with CT and IP without sacrificing the SW, since the SW along  $F_1$  in CT and IP will be equal to that of  $F_2$  dimension. It is also reported that probing wider range of  $t_1$  evolution times allows better discrimination between true and spurious spin correlations<sup>31</sup>. Unlike zero-filled FFT, CT and IP facilitate true spectral resolution enhancement along  $F_1$  as clearly shown in the results section. Even though uniform increments along  $t_1$  are shown in results section to demonstrate acceleration feasibility of CT and IP in comparison with FFT, non-uniform sampling along  $t_1$  is also feasible with both IP and CT. The choice of specific set of increments needs further investigation and is the subject of future work. One approach would be to use the prior knowledge simulations to identify the set of  $t_1$  increments that will maximize the sensitivity of metabolites or lipids of low concentrations.





**Figure 5.** Effects of  $t_1$  sampling in FFT and CT based spectra. **(a)** FFT spectrum using 64 points along  $t_1$  ranging TEs from 35 to 85.4 ms at 800  $\mu$ s intervals, giving 1250 Hz SW. **(b)** FFT spectrum using first 32  $t_1$  points. **(c)** FFT spectrum using last 32  $t_1$  points. Both **(b)** and **(c)** reduce the spectral resolution along  $t_1$  by half and show heavy  $t_1$ -ridging effects (arrows 1 and 2). Sampling only **(d)** odd  $t_1$  points or **(e)** even  $t_1$  points for 2 $\times$  acceleration halves the SW along  $t_1$  while retaining the same spectral resolution causing folding artifacts indicated by arrow 3. **(f)** CT spectrum using 64 points along  $t_1$  ranging TEs from 35 to 85.4 ms at 800  $\mu$ s intervals, giving 1250 Hz SW. **(g)** CT spectrum using first 32  $t_1$  points ( $t_1$  SW = 1250 Hz). **(h)** CT spectrum using last 32  $t_1$  points ( $t_1$  SW = 1250 Hz). Arrows 1 and 2 in **(g)** and **(h)** points out the spurious correlations appearing in the spectrum. **(i)** Sampling every other  $t_1$  points starting from  $t_1 = 1$ . Effective  $t_1$  SW = 1250 Hz. **(j)** Sampling every other  $t_1$  points starting from  $t_1 = 2$ . Effective  $t_1$  SW = 1250 Hz.

Another advantage of the CT and IP is the lack of  $t_1$ -ridging artefacts. This results in cross peaks that are much better defined in the ppm range where  $t_1$ -ridges are present in the FFT spectrum, for example, the lipid cross-peaks near 1.3 ppm along  $F_2$  (see Figs. 1, 2, 3, 4). Consequently, the quantitation of these cross-peaks improves substantially. It was observed that there is a larger difference between the ratios of cross peaks on either side of the diagonal in FFT as opposed to CT and IP. This resulted in a larger difference between these cross-peaks on either side of the diagonal with FFT as opposed to CT and IP, despite the symmetric property of the COSY spectra (see Figs. 6c, d, 7). This can cause variation in the measures of UI which is one of the important potential biomarkers available in the COSY spectra depending on whether upper cross peaks or lower cross peaks are used for its computation. Ideally, the ratios should be similar on either side of the diagonal, but the lower resolution along  $F_1$ , and  $t_1$  ridging effects could influence these measures. Earlier studies have reported this measure from the cross peaks either above or below the diagonal or an average of the peaks on either side<sup>16,32</sup>. Statistically significant difference among IP, CT and FFT were observed in the cross peaks CP2, CP3, CP4 and CP5, especially in healthy controls. In the ratios from malignant tissues, only the difference in CP2 was statistically significant. This may be because of the fact that the healthy breast tissues generally have dominant fat peaks compared to malignant tissues. As a result, the chances of  $t_1$  ridging is higher near the aforementioned cross peaks in healthy tissues.

Furthermore, CT and IP based approaches give substantial gain in actual spectral resolution. The CT and IP based spectra gives the same bandwidth along  $F_1$  as that of  $F_2$ . Therefore, these methods gave a spectral resolution of  $\sim 2.32$  Hz along  $F_1$ , while FFT based spectra had a spectral resolution of  $\sim 19.53$  Hz in the experiments shown in results section. The metabolite peaks between 3 and 4 ppm were therefore much better resolved with these

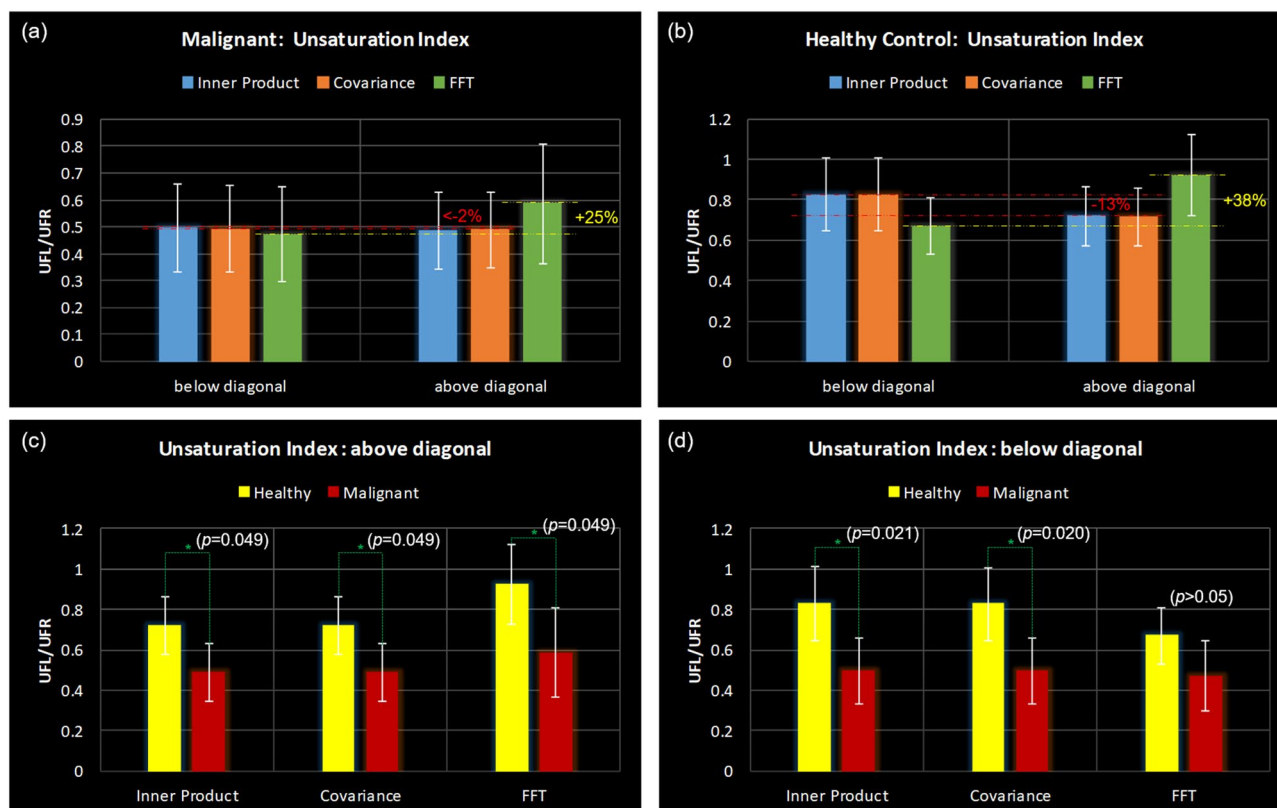


**Figure 6.** Mean (95% CI) of different metabolite and lipid ratios with respect to 1D water in both malignant (a, c, e) and healthy (b, d, f) breasts. Plots in (a–d) show the ratios for different cross peaks while (e, f) show the ratios for diagonal peaks in the spectrum.

techniques compared to FFT in spectra from malignant tissues (see Figs. 2, 3, 4). This is especially important considering the ability of 5D EP-COSY in vivo detection and quantitation of metabolites like mI and Gly, in addition to measuring lipid-based biomarkers<sup>16</sup>. Reports from ex vivo breast cancer tissues have also shown the role of these metabolites including mI, Gly and Cho in identifying malignancy<sup>5,33</sup>. Even when not as resolved as that of CT and IP, the FFT based spectra also showed higher intensities in 3 ppm–4 ppm range in malignant tissues. Hence, the results of quantitation showed that these metabolites were elevated in malignant breast tissues compared to healthy ones with all three methods (see Fig. 6e, f). Since mI and Gly are separated by only 0.006 ppm, it requires < 1 Hz spectral resolution to disentangle them at 3 T. Despite this factor, it can be argued that the combined effect of high spectral resolution (~2.32 Hz) and absence of  $t_1$ -ridging made the identification and analysis of these potential bio markers for breast cancer in CT and IP much less ambiguous as compared to FFT.

#### Limitations of CT and IP

The main challenge with CT and IP is the possibility of spurious cross-peaks which has intensities above the noise level despite not representing the spin correlations. While this might arise from limited number of  $t_1$  points, we have observed that the FFT spectra with heavy  $t_1$ -ridging also has tendency for stronger spurious correlations in CT and IP. Interestingly, the relationship between the number of  $t_1$  increments and spurious correlation appeared to be also dependent on the increment time used (see Fig. 5). However, the deterministic nature of the



**Figure 7.** Bar graphs comparing the unsaturation index between IP, CT and FFT as well as across healthy and malignant groups. Comparison between IP, CT and FFT when the unsaturation index is computed from cross peaks above and below the diagonal in (a) malignant group, (b) healthy group. (c) Unsaturation index from cross peaks above the diagonal compared between healthy and malignant groups. (d) Unsaturation index from cross peaks below the diagonal compared between healthy and malignant groups.

resonance positions and sampling pattern makes it easy to identify these false cross peaks<sup>31</sup>. Furthermore, since these spurious correlations generally appeared away from the true resonant positions, it was less problematic compared to effects of  $t_1$ -ridging in FFT based spectra. It has also been shown that the false cross peaks can be easily identified by performing the correlation experiments with various mixing times since the artifacts should not be affected by the mixing time<sup>34</sup>. While two fold acceleration is demonstrated in the results, further acceleration introduced more artifacts in the form of spurious correlations. Even though the CT and IP based spectra should be reliable to measure known correlations, assessment of new cross-peaks should therefore need careful analysis to discard the possibility of spurious correlations.

### Difference in CT and IP

While both CT and IP based spectra appeared almost identical, a notable difference was near residual water at 4.7 ppm. This is as expected since the theory of inner-product based transformation is designed to be robust against the choice of central frequency. Since our spectra were all centered at water, the frequencies near water undergo limited oscillation with increasing the evolution time which weakens the vanishing mean assumption in CT. IP on the other hand doesn't have this requirement and hence argued to be more robust to the influence of the central frequency. However, in our application, the residual water was not important due to the water suppressed acquisition. Though the level of water itself in ratio with fat has been reported to be of importance in malignant breast cancers, this is usually calculated from the associated non-water suppressed 1D acquisition for eddy-current correction and coil combination. Therefore, both CT and IP served the purpose in a very identical manner throughout our experiments. However, it may be noted that the choice between the two should also be based on the choice of central frequency in the spectrum.

### Conclusion

Reconstruction of in vivo 5D EP-COSY using CT and IP is presented in this work showing enhanced  $F_1$ -resolution of COSY in comparison with FFT based spectral analysis. With CT and IP, we were able to achieve ~ 2.32 Hz spectral resolution along  $F_1$  dimension as compared to ~ 19.53 Hz resolution in FFT based spectrum. The effect of  $t_1$ -ridging artifacts commonly seen in FFT spectra was not observed in CT or IP. Consequently, both CT and IP showed well defined and symmetrical cross-peaks on either side of the diagonal as evident by the quantified ratios of the lipid cross-peaks above and below the diagonal. Furthermore, CT and IP were found to permit

wider range of  $t_1$  increments without affecting the actual SW along  $F_1$ . This is particularly advantageous in spectral analysis of metabolite and lipid biomarkers including unsaturation index for breast cancer while making significant gains in scan time.

## Data availability

The datasets generated and/or analyzed during the current study are not publicly available due to ethical and data protection restrictions, but are available from corresponding author on reasonable request and subject to an institutional data sharing agreement.

Received: 5 July 2023; Accepted: 22 September 2023

Published online: 05 October 2023

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## Acknowledgements

Authors acknowledge the scientific support of Andres Saucedo M.S., Dr. Zohaib Iqbal, Dr. Manoj Sarma, Dr. Melissa Joines and Dr. Stephanie Lee-Felker.

## Author contributions

A.J.: Formal analysis, Data curation, Writing-original draft. M.A.T.: Conceptualization, Methodology, Investigation, Writing-review & editing, Project administration.

## Funding

This work was supported by a CDMRP grant from the US Army Breast Cancer Research Program:# W81XWH-16-1-0524.

## Competing interests

The authors declare no competing interests.

## Additional information

**Supplementary Information** The online version contains supplementary material available at <https://doi.org/10.1038/s41598-023-43356-8>.

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