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**Publication Date**

2013

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UNIVERSITY OF CALIFORNIA

Los Angeles

Endoplasmic Reticulum Stress Sensor IRE1 alpha Preserves  
Function of the Stressed Myocardium

A dissertation submitted in partial satisfaction of the requirements for the degree Doctor  
of Philosophy in Molecular, Cellular, and Integrative Physiology

By

DeAnna Lee Steiger

2013



## ABSTRACT OF THE DISSERTATION

### Endoplasmic Reticulum Stress Sensor IRE1 alpha Preserves Function of the Stressed Myocardium

By

DeAnna Lee Steiger

Doctor of Philosophy in Molecular, Cellular, and Integrative Physiology

University of California, Los Angeles, 2013

Professor Yibin Wang, Chair

Many diseases and insults to the heart disrupt homeostasis in the endoplasmic reticulum (ER) and cause ER Stress, leading to activation of the ER stress response, or Unfolded Protein Response (UPR) signaling pathway. The UPR from the endoplasmic reticulum is emerging to play a vital role in health and disease. The most ancient member of this signaling pathway, IRE1 alpha, has been reported to induce both protective UPR and apoptotic downstream signaling events in various tissues, but the role for IRE1 alpha in heart is unknown. We aimed to characterize the specific contribution of IRE1 alpha in heart in health and in response to stress.

We generated a mouse model with inducible, heart-specific IRE1 alpha overexpression in order to investigate a role for IRE1 alpha in heart under baseline and stressed conditions. We observed that IRE1 alpha did not induce a detrimental phenotype in the absence of stress. Moreover, IRE1 alpha overexpression preserved heart function in response to pressure overload. Adaptive UPR signaling was enhanced

while inflammatory and fetal gene program members were blunted. Also, IRE1 alpha activation and downstream signaling was transient in cardiac myocytes in vitro. Inflammatory cytokine expression was reduced following IRE1 alpha expression, recapitulating observations made in vivo.

IRE1 alpha induces adaptive, transient signaling in heart. We conclude that the UPR signaling repertoire includes unknown, heart-specific endogenous regulatory mechanisms. To our knowledge, this is the first report of a specific and protective role for IRE1 alpha in heart and provides new evidence for the integration of ER stress and inflammatory signaling.

The dissertation of DeAnna Lee Steiger is approved.

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University of California, Los Angeles

2013

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## ACKNOWLEDGEMENTS

It is with sincere gratitude that I acknowledge the many coworkers and colleagues that have contributed to this work. The animal work could not have been possible without the talent of Jing Gao, Haiying Pu, Eric Anderson, Vincent Ren and Jim O'Hearn. The members of the Wang Lab all provided stimulating and challenging discussion and also helped to improve technical aspects of the experiments. The Division of Molecular Medicine provided tremendous research support throughout the study. Furthermore, the students and professors of the Molecular, Cellular and Integrative Physiology graduate program provided a highly inspiring atmosphere. I wish to thank my mentor for his constant enthusiasm for basic science and relentless optimism and also Tom Vondriska, Jake Lusic and Jim Weiss for providing inspiring discussion and clear direction throughout the course of study.

I also wish to acknowledge Dr. Eric J. Steiger for his unwavering support and patience. Without my study buddy, this work would not have been possible. I also want to thank my dear Frederick, for sharing his sheer joy for life with Eric and me.

This work was supported by an institutional training grant from the National Institutes of Health in Molecular, Cellular and Integrative Physiology at the University of California at Los Angeles T32 GM065823 and a National Institute on Aging Ruth L. Kirschstein National Research Service Award F31 AG038156A.

# DeAnna Lee Steiger

## PROFILE

Business savvy scientist with solid comprehension of the Pharmaceutical and Healthcare Industries. High performance professional with advanced presentation and interpersonal skills. Team player with initiative, discipline, and consistency. Proven leader with a relentless focus on the clinical application of scientific discoveries.

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Heart failure physiology and treatment, animal models of heart failure, molecular biology and pharmacology. Building relationships, communication of scientific data to diverse audiences, and building consensus across functional areas.

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**NIGMS Pharmacology & Translational Biology** 2007

University of California San Diego

- Pharmacology, animal models and imaging of heart failure and Cardiovascular disease

**MS, Entomology and Applied Ecology** 2006

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## PROFESSIONAL EXPERIENCE

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- Evaluate licensing opportunities based on scientific rigor for medical pharmaceutical company
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- Identified an unmet need, formally proposed and received funding for a new program to develop women leaders in the biotech and pharmaceutical industries
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**Marketing & Industry Outreach Coordinator**, UCLA Business of Science Center 2011-2012

- Wrote marketing summaries of emerging medical technologies, promoted licensing of university discoveries
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- Led outreach efforts, acted as point of contact for external stakeholders, increased event attendance by 200%

**Student Consultant**, UCLA Business of Science Center 2010-2013

- Assessed commercialization potential of 40 emerging medical technologies
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**Predoctoral Research Fellow**, University of California Los Angeles 2007-2013

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- Employed cutting-edge imaging methods to advance translation of research findings into clinically relevant conclusions
- Secured multiple federal grant awards totaling more than \$100,000
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**Graduate Student Researcher**, University of Delaware 2004-2006

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# CHAPTER 1

## Introduction

### A. Cardiovascular Disease and Heart Failure

Cardiovascular diseases are the number one cause of mortality in the United States and worldwide (1). Heart failure comprises 35% of cardiovascular diseases and is one of the most significant diseases facing people today. It affects more than five million people in the United States alone.

Heart failure is most simply defined as the disease that results from the heart being unable to pump sufficient blood to the body. Heart failure is a progressive disease with a spectrum of symptoms and pathologies including hypertrophy, compensated heart failure where the heart enlarges but is able to maintain function, and decompensated heart failure where the heart ventricle walls thin and function is diminished. Effective treatments remain elusive due to our limited understanding of the many causes, clinical manifestations, and underlying disease mechanisms. Advancing the understanding of underlying mechanisms will provide additional treatment strategies and novel therapeutic targets.

### B. Intracellular Signaling Networks and Adaptation to Stress

The heart is a dynamic organ with constant mechanical activity that provides the body with a consistent supply of freshly oxygenated blood. The heart is constantly challenged by stresses. Insults from external stimuli, hormonal input, exercise, and

disease all stress the heart. Appropriate responses to stress stimuli occur as the result of cellular signaling activity. Intracellular signaling networks bring about structural and functional changes that allow the heart to adapt to various stresses and meet increasing demands. Challenges and injuries cause a wide variety of cellular disruptions, ranging from calcium dysregulation, changes in redox status, alteration of nutrient requirements, mechanical stress, and increased hormonal input (2). Many of these insults disrupt homeostasis in the endoplasmic reticulum (ER), causing a state termed ER stress. ER stress is increasingly being appreciated as a critical factor underlying heart function and failure (3).

Protein maturation and integrity are critical factors affecting cellular signaling which are now gaining the attention of researchers and clinicians alike. Precise cellular signaling communication requires structural integrity of signaling molecules. Protein function is highly dependent upon protein structure. Protein structure is achieved by complex folding arrangements and conformations. Thus, misfolded proteins may not function correctly and take on new activities. If cellular signaling molecules take on rogue activity, the resultant cellular and tissue outcomes can have drastic implications for health and disease (4). Therefore, precise protein folding and maturation are critical for maintaining correct cellular signaling integrity. During ER stress, protein maturation is disrupted, causing activation of cell signaling pathways to restore ER homeostasis.

### C. Intracellular Signaling Maintains Protein Homeostasis

The endoplasmic reticulum is the site of protein folding for all membrane and secreted proteins as they are generated. Polypeptides are co-translationally transported

from the ribosome into the lumen of the ER where a reducing environment allows peptides to be folded into correct protein conformations (5-7).

Because cell identities, cell to cell interactions, and extracellular communications are achieved through membrane protein interactions, correct membrane protein folding and modification in the ER lumen is critical for cell homeostasis and viability. Similarly, secreted proteins must achieve their precise conformations in order to cause intended endocrine and paracrine effects, further requiring precise protein folding in the ER (8). In recent years, it has become apparent that peptide folding and maturation is impaired in many diseases (9-12).

Homeostasis within the ER lumen is monitored and preserved by highly conserved quality control mechanisms (Figure 1-1) (4). Any type of disruption to ER homeostasis, including altered redox status, calcium buffering, aggregation or accumulation of client peptides is said to produce ER stress (8, 13-14). During ER stress, a specific ER stress response, also known as Unfolded Protein Response (UPR), becomes activated to convey information from the ER lumen to the nucleus (15, 16). This culminates in activation of transcription factors to upregulate target molecules that will restore ER homeostasis (17). The three ER stress signaling molecules act in concert to restore optimal protein folding conditions and enhance protein folding capacity, reduce the client protein folding load, and degrade unfolded peptides.

Misfolded and damaged proteins in the ER trigger a cascade of highly conserved signaling events to restore ER homeostasis by attenuating de novo protein synthesis, enhancing protein folding capacity, restoring ER lumen reducing environment, and promoting targeted peptide degradation (14). This integrated response has several



major components, including ER stress sensors/ signal transducers and target proteins as shown in Figure 1-1 (18). Three ER stress sensors are located on the ER membrane and include Inositol Requiring 1 (IRE1 $\alpha$ ), Pancreatic eukaryotic initiation factor 2 kinase-like Endoplasmic Reticulum Kinase (PERK) and Activating Transcription Factor 6 (ATF6). In metazoans, the three ER stress sensors and signal transducers collectively orchestrate cellular signaling in response to ER stress.

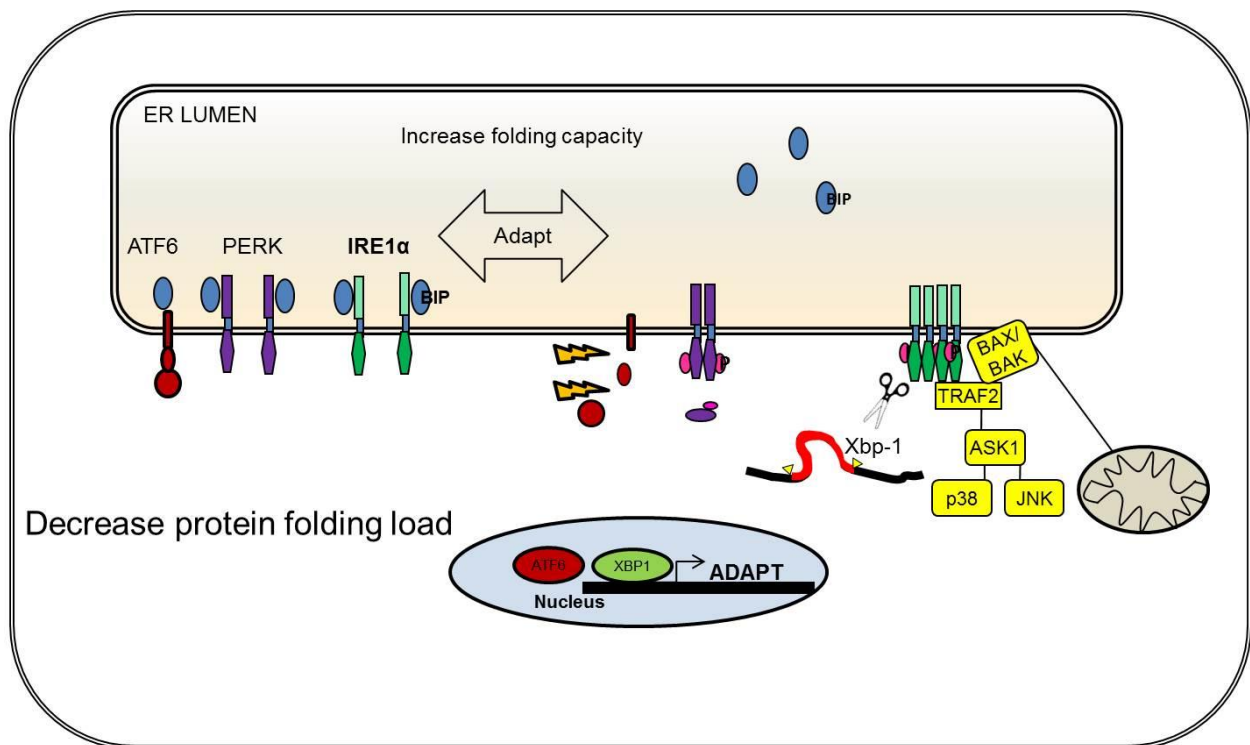


Figure 1-1. The Unfolded Protein Response Restores ER Homeostasis. ATF6, PERK and IRE1 $\alpha$  monitor conditions in the ER lumen and become activated by BiP release upon accumulation of unfolded proteins. Activation of the three stress sensors and signal transducers leads to upregulation of adaptive protein folding chaperones and concurrent reduction in protein folding load. If ER stress is not resolved, IRE1 $\alpha$  can induce apoptosis signaling through MAPK cascades or mitochondrial apoptotic pathways.

IRE1 $\alpha$  and PERK are serine/ threonine protein kinases specifically localized on the ER membrane. Dimerization motifs extend into the ER lumen where they are masked by ER specific chaperone BiP/ Grp78/ Hspa5, rendering them inactive under basal conditions (18 -20). ATF6 is a member of the bZIP transcription factor family and

is retained on the ER membrane under basal conditions where golgi localization signaling sequences are also masked by BiP (20, 21).

Anytime peptide folding or protein maturation in the ER lumen is compromised, unfolded proteins accumulate in the ER lumen. BiP preferentially binds to exposed hydrophobic regions of unfolded peptides (22) and, thus, can be competitively recruited away from ER stress sensors any time unfolded peptides accumulate (19).

Sequestration of BiP causes a release of inhibition on the three stress sensors. ATF6 translocates to the golgi apparatus for further processing, then is shuttled to the nucleus (21, 23). IRE1 $\alpha$  and PERK homo-oligomerize and trans-phosphorylate at serine/threonine sites in the cytosolic domains, conferring conformational changes required for activation (24-26). Phosphorylation of IRE1 $\alpha$  activates its intrinsic RNase activity, inducing specific removal of a non-classical intronic sequence from the X-box Binding Protein-1 (Xbp1) mRNA, leading to efficient expression of the functional XBP1 protein as a potent nuclear transcription factor (27-29). Active transcription factors XBP1 and ATF6 induce UPR gene expression of heat shock proteins, antioxidant proteins, and ER-associated protein degradation proteins. Meanwhile, activated PERK phosphorylates elongation initiation factor 2 (eIF2 $\alpha$ ) and inhibits protein synthesis (30). Therefore, a coordinated UPR reduces protein folding load and increases protein folding, reducing, and disposal capacity in order to resolve ER stress and restore ER homeostasis. UPR signaling is critical to normal cellular function (17).

#### D. IRE1 is Regulated by Multiple Mechanisms

IRE1 is the most ancient ER stress sensor and is conserved from yeast to mammals (31). IRE1 has two isoforms, IRE1 $\alpha$  and IRE1 $\beta$ , differing in expression pattern. IRE1 $\alpha$  has ubiquitous expression whereas IRE1 $\beta$  is expressed exclusively in the gut (16, 31). Although IRE1 $\alpha$  and PERK share strong homology in both luminal and kinase domains (32), IRE1 $\alpha$  may be regulated by additional mechanisms. The current dogma of IRE1 $\alpha$  regulation is that, like ATF6 and PERK, IRE1 $\alpha$  is held in an inactive state by BiP in the ER lumen (19, 33- 34). Upon BiP release, IRE1 $\alpha$  is allowed to dimerize and oligomerize within the ER membrane, bringing kinase domains within proximity for trans-autophosphorylation (35). Following initial activation by phosphorylation, IRE1 $\alpha$  undergoes a conformational change which results in a RNase domain platform being created to which Xbp1 unspliced transcript is recruited (36). The IRE1 $\alpha$  RNase splices 26 nucleotides from unspliced Xbp1 transcript to produce a spliced transcript isoform which, because of the frameshift, is efficiently translated on the ribosome (27-28). This unconventional cytosolic splicing event produces a highly active transcription activator (29, 37).

#### E. ER Stress Signaling Promotes Cell Death

ER stress signaling can induce apoptosis directly through multiple paths and also indirectly by intersection with other signaling pathways. Signal integration between different branches of the UPR and other signaling pathways is highly complex and may be cell type specific. There are many ways by which ER stress can induce apoptosis and there are many nodes where these signaling pathways intersect.

If adaptive UPR signaling does not restore ER homeostasis, cell death signaling pathways can become activated through IRE1 $\alpha$  and PERK pathways (6, 38, 39). During severe ER stress, IRE1 $\alpha$  binds to TRAF2 and activates Mitogen Activated Protein Kinase (MAPK) signaling cascades including ASK1, JNK, and p38 activation and apoptosis (40- 41). Mitochondria-initiated apoptosis may also be activated by IRE1 $\alpha$  by direct interaction with BAX and BAK (42). Alternatively, IRE1 $\alpha$  and TRAF2 interactions with JNK may also activate autophagy in order to promote cell survival during ER stress (43).

Unlike IRE1 $\alpha$ , PERK has specific kinase activity toward eIF2 $\alpha$ , leading to global attenuation of protein translation and reducing protein folding load on the ER (30). At the same time, this inhibition frees ribosomal machinery for translation of eIF2 $\alpha$ -independent transcripts, including ATF4 (44- 45). ATF4 regulates transcription of Gadd153/ CHOP, a key signal integrating ER stress with mitochondrial-mediated apoptosis (46). CHOP expression can also be enhanced by p38 MAPK, suggesting additional nodes where the PERK and IRE1 $\alpha$  branches of the UPR intersect with MAPK signaling pathways.

#### F. ER Stress Signaling in Heart; friend or foe?

The specific role of ER stress signaling in heart is not clear. ER stress signaling is activated in response to ischemia (47- 48), pressure overload (49), and hypoxia (13, 50-51) but it is unclear whether ER stress signaling is protective or detrimental to heart in these settings. Some studies suggest ER stress induction contributes to myocyte

apoptosis and heart failure (47, 50, 52- 53) while others report it is cardioprotective (48, 54).

Protective signaling during the ER stress response activates transcription of molecules to restore the specialized ER luminal environment (redox or calcium status), increase the size of the ER so to increase the peptide folding capacity of the ER, upregulate expression of protein folding chaperones, or enhance protein degradation of misfolded peptides. ATF6 has been identified as a highly protective signaling pathway (48). Overexpression of ATF6 in heart induced expression of two protein folding chaperones, BiP and GRP94, and provided protection against ischemia/ reperfusion injury including reduced necrosis and apoptosis. Similarly, XBP1, downstream of IRE1 $\alpha$  activation, was found to be protective against hypoxia and myocardial infarction also by inducing BiP (54). BiP can inhibit the apoptotic signal CHOP and reduce apoptosis in cardiomyocytes (55). Therefore ATF6 and IRE1 $\alpha$  promote adaptation to ER stress and restoration of homeostasis.

Detrimental effects of ER stress signaling by PERK and IRE1 $\alpha$  have also been identified. Angiotensin II, along with tunicamycin and thapsigargin, can induce both adaptive protein folding chaperones and apoptotic signal CHOP, which is downstream of the PERK branch of ER stress signaling (49). ER stress can also induce hypertrophy signals Atrial Natriuretic factor (ANF) and Brain Natriuretic factor (BNF), suggesting that ER stress could underly remodeling of the heart. Cancer patients using Imatinib developed heart failure, which was found to induce ER stress and apoptosis in a mouse model (52). Imatinib induced JNK activation, cytochrome c release from mitochondria leading to cell death, left ventricular dysfunction and heart failure. IRE1 $\alpha$  has been

reported to activate JNK, and act in complex with TRAF2 and ASK1 (apoptotic signaling molecules) indicating a highly detrimental outcome from IRE1 $\alpha$  signaling in heart (40). Therefore, both PERK and IRE1 $\alpha$  downstream signaling can promote apoptosis in cardiac myocytes.

Several investigations have uncovered intersections between inflammation and ER stress signaling (56). In the heart, deletion of CHOP can blunt apoptosis, inflammation, and injury in response to ischemia/ reperfusion (57). Activation of p38 MAPK in heart led to increased expression of inflammatory cytokines TNF $\alpha$  and IL6, interstitial fibrosis, abundant extracellular matrix, and impaired heart function whereas inhibition of p38 reversed these negative effects (58). Further investigation into the intersection between inflammation and ER stress signaling will fill an important gap in our understanding of the biology of heart failure.

The specific role of ER stress signaling in heart is not clear. ER stress signaling is activated in response to acute and chronic stresses in heart (13, 48, 49, 51). ATF6 was reported to protect heart against ischemia/reperfusion injury, while PERK and downstream CHOP is apoptotic (46, 57). A role for IRE1 $\alpha$  in heart is completely unknown. IRE1 $\alpha$  has been reported to induce adaptive signaling through XBP1 or apoptotic signaling by interaction with TRAF2, ASK1 and JNK. Therefore, IRE1 $\alpha$  is poised to be a critical decision maker for life or death decisions in cardiomyocytes.

#### G. The Role of IRE1 $\alpha$ in Heart is Unknown

ER stress signaling is emerging to be an important signaling pathway for health and disease. ATF6, PERK, and IRE1 $\alpha$  are three ER stress sensors and signal

transducers that monitor the status of protein folding in the lumen of the ER and are activated when ER homeostasis is disrupted. This Unfolded Protein Response activates a transcriptional program to re-establish efficient protein folding homeostasis in the ER. The role of UPR signaling in heart is poorly understood. IRE1 $\alpha$  has been shown to promote either apoptotic signaling activities through JNK, TRAF2 (59) or adaptive signaling through XBP1 (27, 29). ATF6 has been shown to maintain normal heart physiology and also protect against ischemia/ reperfusion injury (48). PERK signaling, on the other hand, promotes apoptotic signaling pathways (59). The role of IRE1 $\alpha$  in heart is completely unknown. Advancing the understanding of IRE1 $\alpha$  in heart will fill an important gap in knowledge of heart biology. Additional characterization of ER stress signaling in heart may provide important insight into underlying disease mechanisms and also novel therapeutic strategies.

In order to investigate the specific contribution of IRE1 $\alpha$  in heart, we generated an animal model with heart specific, tamoxifen inducible IRE1 $\alpha$  overexpression. IRE1 $\alpha$  overexpression did not lead to any detrimental phenotype at baseline conditions. Under stress, however, IRE1 $\alpha$  was cardioprotective, preserving heart function following pressure-overload. ER stress signaling was preserved, and fetal gene program was inhibited in IRE1 $\alpha$  mice, suggesting intersection between ER stress and fetal gene program regulation. Inflammatory cytokines TNF $\alpha$  and IL-6 mRNA expression were also blunted after pressure overload, suggesting IRE1 $\alpha$  mediated regulation of inflammatory cytokines in heart. Thus, IRE1 $\alpha$  may have protective activities specific to heart. In vitro characterization studies revealed that IRE1 $\alpha$  has adaptive and transient UPR signaling activity in cardiomyocytes, suggesting that heart possesses cardiomyocyte-specific

regulatory mechanisms that remain to be characterized. Further, we identified signal integration between the UPR and inflammatory signaling pathways. This highlights the potential for heart-specific IRE1 $\alpha$  activities that are achieved by integration between multiple pathways.



## CHAPTER 2

### Materials and Methods

#### A. Animal Models and Surgical Procedures

The investigation conforms with the Guide for the Care and Use of Laboratory Animals published by the US National Institutes of Health (NIH Publication No. 85-23, revised 1985). All procedures were performed in accordance with the University of California, Los Angeles animal welfare guidelines.

IRE1 $\alpha$  was cloned into a vector for generation of transgenic animals with cre-regulated expression of the transgene of interest (60). Transgenic animals were generated in C57/Bl6 background through collaboration with the UCLA Molecular Genetics Technology Center. Founder animals were identified by PCR with transgene specific primers.

Animals with heart-specific, inducible IRE1 $\alpha$  overexpression were generated by crossing transgenic founder animals with previously established  $\alpha$ MHC-Mer-Cre-Mer (MCM) transgenic mice (61, 62). IRE1 $\alpha$  transgene overexpression was induced by intraperitoneal injection of Tamoxifen Citrate Salt (Sigma) 20mg / kg body weight/ day for five days (62). Wild-type and floxed single transgenic littermate animals treated with tamoxifen or double transgenic flox-GFP/ CRE animals treated with vehicle were also used as controls. Both male and female mice age 12-16 weeks were included in this study.

Transverse Aortic Constriction (TAC) was performed as previously described with modifications (63). Mice were anesthetized with ketamine (80mg/ kg) / xylazine (20mg/ kg) by i.p. injection. Respiration was provided by mechanical ventilation with 95% O<sub>2</sub> (tidal volume 0.5 mL, 130 breaths per minute). Left parasternal thoracotomy was performed to access the transverse aorta, which was tied with 5-0 nylon suture on a 27 gauge needle. The needle was removed, leaving in place a 65-70% constriction of the aortic lumen. Constriction of the aorta was confirmed by measuring differential blood flow through the right and left carotid arteries one week after surgery.

Animals were continuously anesthetized with 1.5% isoflurane and 95% oxygen. VisualSonics Vevo 770 and Vevo 2100 imaging systems and 30MHz scanhead (Toronto, Ontario, Canada) was used to collect short and long axis B-Mode and M-Mode views. Reported values refer to short axis measurements and calculations.

## B. Histology

Hearts were perfused and fixed in 10% formalin prior to embedding in paraffin. All short axis sections were prepared from mid-ventricle. Sections of 4  $\mu$ m heart were deparaffinized and rehydrated prior to staining by hematoxylin and eosin (H&E) or Masson trichrome and Verhoeff's Van Gieson. Stained tissue sections were recorded as digital images by Aperio XT whole slide scanning system and snapshot images were taken using the ImageScope software.

### C. Cell culture

293 cells were maintained in DMEM supplemented with 10% RBS and 1% pen/strep. Lipofectamine reagent (Life Technologies) was used according to the manufacturer's protocol to achieve overexpression of the flox-GFP-IRE1 $\alpha$  construct. INS-1 cells were cultured in RPMI1640 according to published methods (64). Neonatal Rat Ventricular Myocytes (NRVM) were harvested from 1-3 day old Sprague-Dawley rat pups as described previously (65) and cultured in serum-free DMEM supplemented with 1% pen/strep and ITS. NRVM were infected with adenovirus for IRE1 $\alpha$  tagged with – Myc and incubated for two days before additional treatment with 5g/mL TM for 4 hours, 100 nM TG for 4 hours or 10 $\mu$ M H<sub>2</sub>O<sub>2</sub> for 30 minutes. Experiments with prolonged IRE1 $\alpha$  expression were incubated for five days before RNA or protein analysis.

### D. Western Blot

Cells were harvested for protein analysis with standard lysis buffer containing 1% Triton-X 100, 1mM  $\beta$ -glycerophosphate, 2.5mM Na<sub>4</sub>P<sub>2</sub>O<sub>7</sub>, 20mM NaF, 1mM Na<sub>3</sub>VO<sub>4</sub>, 1mM PMSF and protease inhibitor cocktail (Roche). Proteins were boiled for 5 minutes in LDS loading buffer containing 0.1%  $\beta$ -mercaptoethanol and separated on a 4-12% Bis-Tris SDS-PAGE (Life Technologies). Specific proteins were detected with antibodies directed against p-IRE1 $\alpha$  (Novus Bio), IRE1 $\alpha$ , Actin, (Santa Cruz Biotechnology), BiP/Grp78 (Stressgen), p-p38, p38, p-JNK, JNK, p-IEF2 $\alpha$ , eIF2 $\alpha$ , GFP, and CHOP (Cell Signaling Technologies).

## E. RNA and RT-PCR Analysis

Total RNA was isolated from heart or cells with TRIzol (Life Technologies). For animal studies, cDNA was prepared using iScript Reverse Transcription Supermix and amplified with SsoFast EvaGreen Supermix on a CVX96 thermal cycler (all Bio-Rad). For cell studies, cDNA was prepared using Superscript II (Invitrogen) and amplified with SYBR green supermix on a MyIQ system (Bio-Rad). Primer sequences are shown in Table 1.

Table 1. Primers used for RT-PCR experiments

Primers for mouse		
TARGET	FORWARD	REVERSE
Xbp1 Total	TGGA CTCTGACTCTGACTGTTGCC	CTCTGGGGGAAGGACATTTGA
sXbp1	CAGTGGTCGCCACCGTCCATC	TGCCGCGCCCAGCCTTTCTA
Xbp1 splicing	GTTCCAGAGGTGGAGGCCA	CATGACAGGGTCCA ACTTGTCC
CHOP	TATCTCATCCCCAGGAAACG	GGGCACTGACCACTCTGTTT
BiP	GAGGCTGTAGCCTATGGTGC	TTTGTTAGGGGTCGTTACC
Rps26	GCCTCTTTACATGGGCTTTG	GCCATCCATAGCAAGGTTGT
Primers for mouse/ rat		
IRE1 $\alpha$	ACGGTGGACATCTTTTCGC	TGGGGATCCATAGCAATCAT
IRE1 $\alpha$ MYC	TCAGGAGACGCTGGGCTCCATC	AGAGATCAGCTTCTGCTCGCCTC
ANF	CTGATGGATTTCAAGAACCTGCT	CTCTGGGCTCCAATCCTGTC
$\beta$ MHC	CTCAACTGGGAAGAGCATCCA	CCTTCAGCAA ACTCTGGAGGC
TNF $\alpha$	CTCTTCAAGGGACAAGGCTG	TGGAAGACTCCTCCCAGGTA
GAPDH	TCCTGCACCACCAACTGCTTAG	GATGACCTTGCCACAGCCTTG
Primers for rat		
RatXBP1	CTCAGAGGCAGAGTCCAAGG	ACAGGGTCCA ACTTGTCCAG
sXBP1	TCTGCTGAGTCCGCAGCAGG	CTCTAAGACTAGAGGCTTGG
uXBP1	CAGACTACGTGCGCCTCTGC	CTTCTGGGTAGACCTCTGGG
CHOP	CCTTCACTACTCTTGACCTGC	CGCTCGTTCTCTTCAGCAAG
BIP	TTCCGCTCTACCATGAAACC	CTTATTGTTACGGTGGGCT

#### F. IRE1 $\alpha$ RNase Activity Assay

IRE1 $\alpha$  RNase activity toward Xbp1 mRNA was monitored by semiquantitative PCR. Both unspliced and spliced Xbp1 mRNA was amplified with primers targeting the region surrounding the IRE1 $\alpha$  splicing site (Forward 5'GTTCCAGAGGTGGAGGCCA3', Reverse 5'CATGACAGGGTCCAACCTTGTCC3'). Products were amplified with the cycling protocol of 95 °C 0:30 followed by 35 cycles of 95 °C for 0:30, 60 °C for 0:30 and 72 °C for 0:25 followed by 72 °C for 10:00. PCR products were separated on 4% agarose gel.

#### G. Statistical Analysis

Data are presented as mean  $\pm$  1 standard deviation. Means of two groups were compared by two-tailed Student t-test. Means of more than two groups were compared by ANOVA. Differences between groups were considered statistically significant when  $p < 0.05$ . Significant differences compared to control genotypes are indicated by # and significant differences compared to baseline within the same genotype group are indicated by \*.

## CHAPTER 3

### IRE1 $\alpha$ Protects Myocardium from Heart Failure Induced by Pressure Overload

#### A. Generation of IRE1 $\alpha$ Transgenic Animals

In order to elucidate a specific role for IRE1 $\alpha$  in heart, we generated an animal model with IRE1 $\alpha$  overexpression. Because IRE1 $\alpha$  overexpression has been reported to be detrimental to several cell types, we employed a strategy where IRE1 $\alpha$  expression would be both inducible and restricted to the heart (Figure 3-1A). To that end, cDNA encoding IRE1 $\alpha$  tagged with Myc was inserted following a floxed GFP cassette so that, in the absence of cre, the ubiquitous promoter drives GFP expression (66). In the presence of cre, however, the floxed GFP cassette (which contains a stop codon) is removed, allowing IRE1 $\alpha$ -Myc expression. Efficient cre-dependent IRE1 $\alpha$  protein overexpression was first achieved in vitro, confirming the strategy (Figure 3-1B-C). Flox-GFP-IRE1 $\alpha$ -Myc single transgenic animals were identified by PCR and western blot, where GFP protein expression was confirmed (Figure 3-1D). Single transgenic founder animals were then crossed with  $\alpha$ MHC-MCM animals where cre expression is restricted to heart and activity is tamoxifen dependent (61, 62, 67). Four genotype groups; wild type,  $\alpha$ MHC-MCM, flox-GFP-IRE1 $\alpha$  and  $\alpha$ MHC-MCM/flox-GFP-IRE1 $\alpha$  were represented in offspring litters in ratios expected from the breeding pair (data not shown). Double transgenic flox-GFP-IRE1 $\alpha$ -Myc/ $\alpha$ MHC-MCM animals treated with tamoxifen had significant induction of IRE1 $\alpha$  mRNA expression ( $p=0.01$ ) (Figure 3-1E-F).

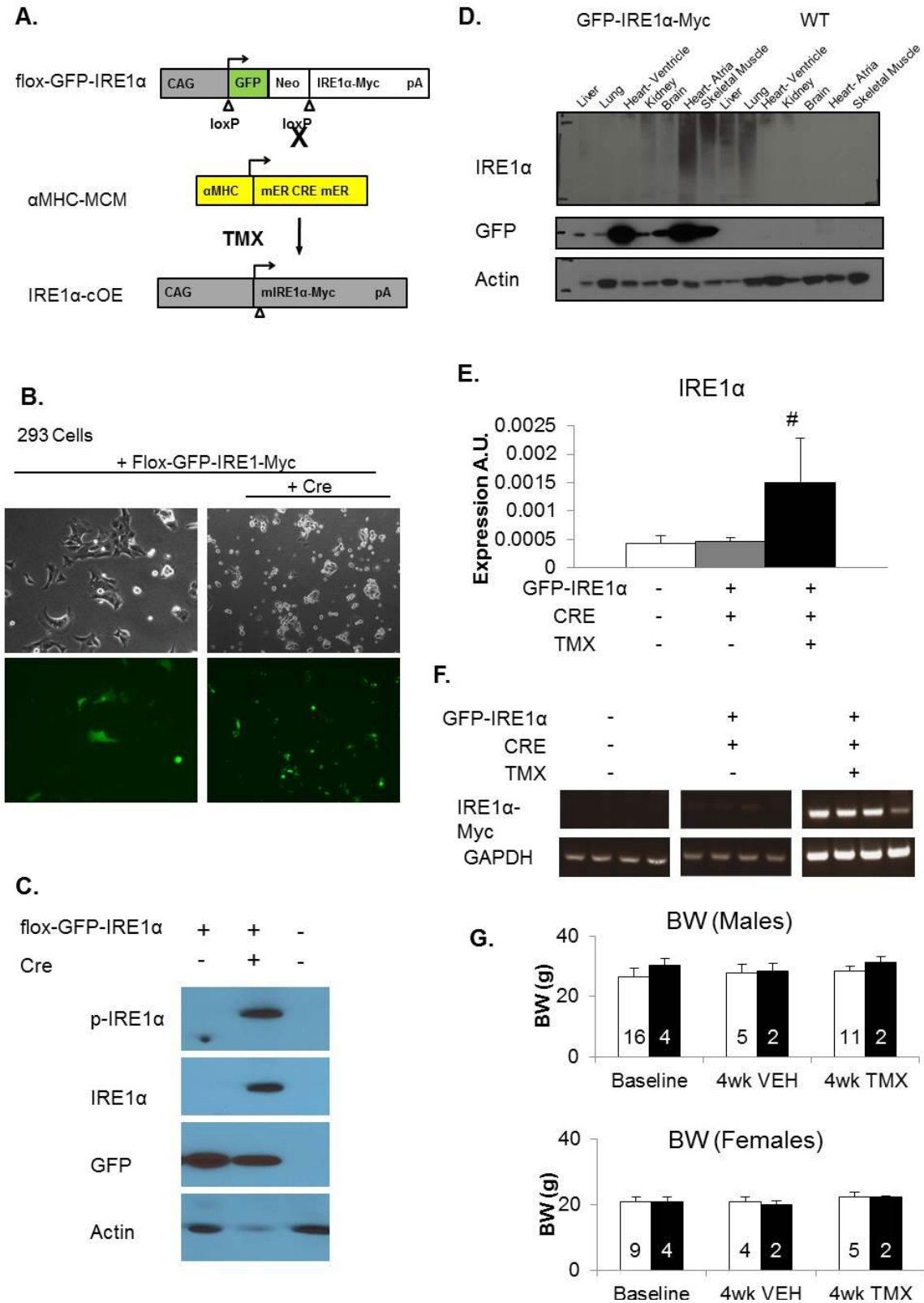


Figure 3-1. Transgenic Strategy of Tamoxifen Regulated IRE1α Overexpression. A. Schematic of transgenic strategy where IRE1α-Myc follows constitutive GFP expression in the absence of Cre recombinase. Crossing with transgenic

animals positive for heart specific ( $\alpha$ MHC promoter) tamoxifen-mediated cre, shown as modified estrogen receptor (mER) CRE mER produces double transgenic animals that, when provided with tamoxifen, have heart specific overexpression of IRE1 $\alpha$ -Myc. B. Validation of IRE1 $\alpha$  conditional overexpression strategy in vitro. Brightfield (top) and fluorescent images (bottom) of 293 cells expressing flox-GFP-Ire1 $\alpha$  or Cre + Flox-GFP-IRE1 $\alpha$ . Note that cells with IRE1 $\alpha$  expression are irregular in shape and detached from the culture dish. C. Western blot from cells treated in B where IRE1 $\alpha$  is overexpressed and activated in the presence of Cre recombinase. D. Ubiquitous GFP protein expression in all tissues tested in flox-GFP-IRE1 $\alpha$  single transgenic animals. E. IRE1 $\alpha$  mRNA from hearts of wildtype or double transgenic animals treated with vehicle or tamoxifen was measured by quantitative RT-PCR. F. Exogenous IRE1 $\alpha$ -Myc mRNA amplified by PCR. G. IRE1 $\alpha$  does not cause adverse phenotype in the absence of stress. Gross outward health was monitored by weekly measurement of body weight over the course of four weeks following treatment as indicated.

## B. IRE1 $\alpha$ Does Not Induce Detrimental Phenotype in Heart in the Absence of Stress

No obvious phenotype was observed in adult IRE1 $\alpha$  animals four weeks after transgene induction (Figure 3- 2). No adverse heart functional or structural changes were observed, though LIVDd was slightly increased compared to control animals (wildtype and single transgenic littermates) (Figure 3- 2A-C). Tissue structure and organization in histological sections was also indistinguishable between control and IRE1 $\alpha$  transgenic animals (Figure 3-2D). Heart weight/body weight ratio was also indistinguishable between control and IRE1 $\alpha$  transgenic animals (Figure 3-2E). Together, these observations indicate that IRE1 $\alpha$  did not lead to any detrimental phenotype upon overexpression in adult heart.

IRE1 $\alpha$  overexpression can induce activation and downstream UPR signaling in the absence of ER stress in vitro (68). In order to determine if this was also the case in



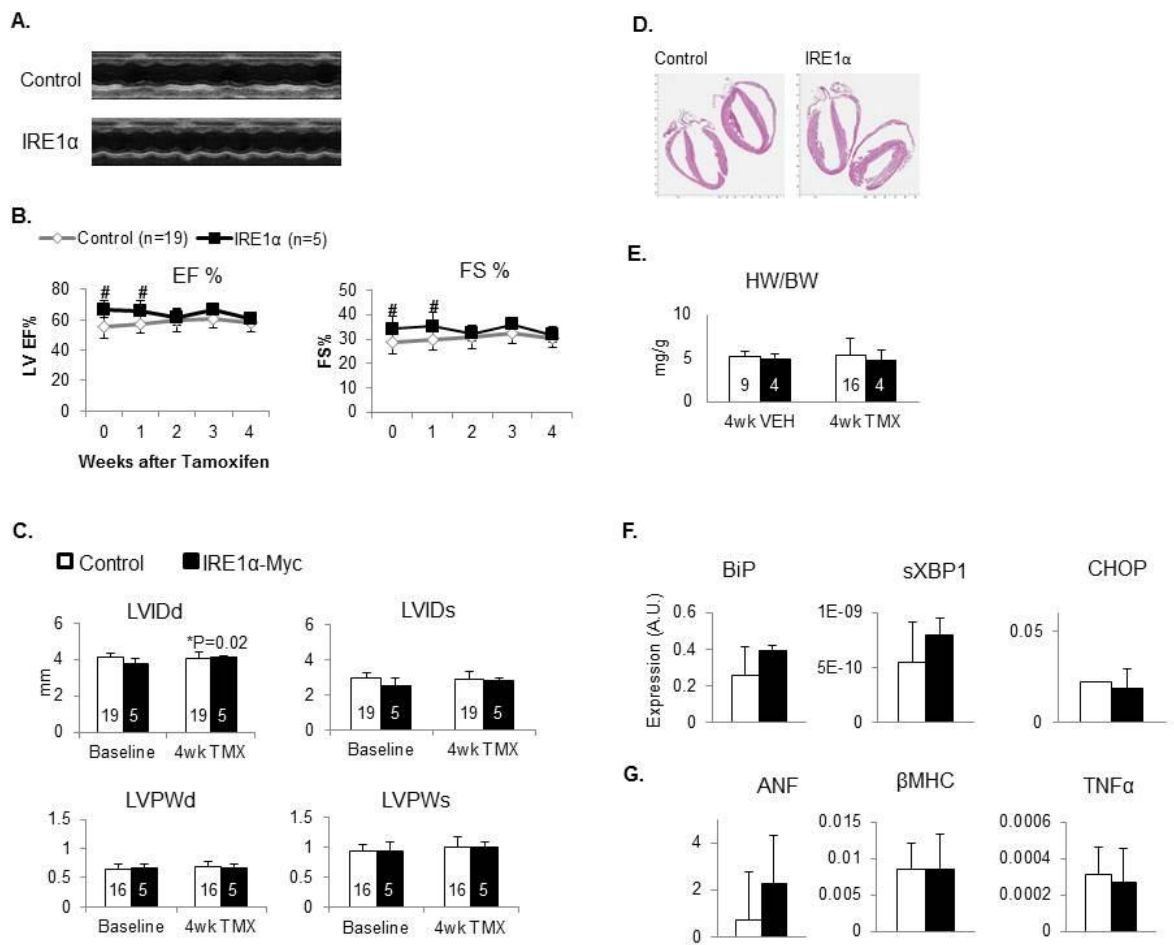


Figure 3-2. IRE1α Does Not Induce Phenotype in Heart in the Absence of Stress. A. Representative M-Mode images viewing the short axis of the left ventricle of control and IRE1α animals. B. Left ventricular function, measured by ejection fraction and fractional shortening, were calculated from short axis M-mode images collected weekly for four weeks following tamoxifen treatment. C. Left ventricular internal diameter (LVID) and Left ventricular posterior wall (LVPW) was measured during diastole and systole from short axis M-Mode images. All dimensions are reported in millimeters (mm). Error bars represent standard deviation. Comparisons were found to be significant where indicated (# denotes comparison to control genotype and \* indicates comparison to same genotype group baseline treatment where  $p < 0.05$ ). D. Long axis (four chamber) sections collected from control or IRE1α hearts four weeks after treatment as indicated and stained with hematoxylin and eosin. E. Heart weight to body weight (HW/BW) ratio was calculated from hearts collected four weeks after treatment as indicated. Both male and female animals were included in this data. F-G. RT-PCR was used to measure UPR (F) and fetal gene program and inflammatory signaling (G) after 4 weeks of IRE1α overexpression in heart. Expression is indicated as arbitrary units (A.U.) and was calibrated against Rps26S.

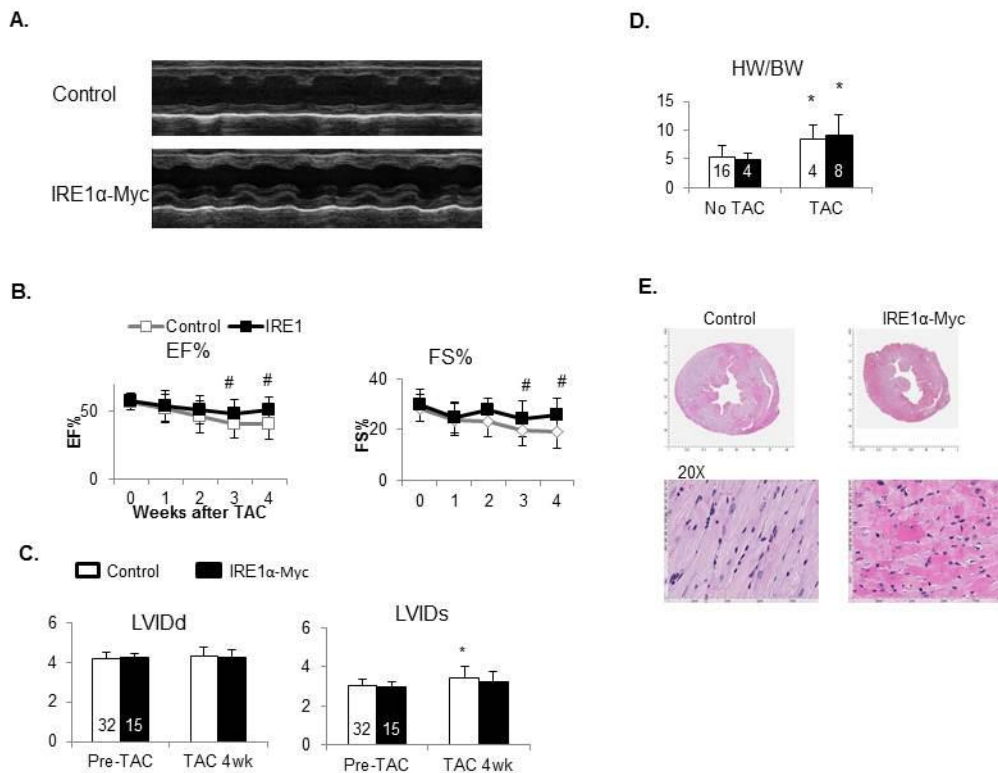
heart, we measured mRNA of sXbp1, Bip, and CHOP (Figure 3-2F). IRE1α overexpression led to slight increases in sXbp1 and Bip expression though neither target reached statistical significance ( $p=0.33$  and  $p=0.1$ , respectively). Apoptotic factor CHOP, on the other hand, was slightly decreased ( $p=0.61$ ). The expression of ANF

( $p=0.42$ ),  $\beta$ -MHC ( $p=0.27$ ), and  $\text{TNF}\alpha$  ( $p=0.18$ ) were all unchanged following IRE1 $\alpha$  overexpression, supporting the observation that IRE1 $\alpha$  did not cause any detrimental phenotype to heart (Figure 3- 2G).

### C. IRE1 $\alpha$ Preserves Heart Function After Pressure Overload

UPR preconditioning has been shown to protect against acute tissue injuries (69). Because no detrimental phenotype was observed following IRE1 $\alpha$  transgene induction, and adaptive UPR signaling was slightly increased, we hypothesized that IRE1 $\alpha$  overexpression could protect against stress-induced injury in a similar fashion. In order to test this hypothesis, control and IRE1 $\alpha$  transgenic animals were treated with pressure-overload by transverse-aortic constriction (TAC) (63, 70). IRE1 $\alpha$  preserved heart function after TAC (Figure 3-3A). Pressure overload did not cause a detrimental change to heart function or structure in IRE1 $\alpha$  animals (Figure 3-3B-C). After four weeks, ejection fraction and fractional shortening were both significantly higher in IRE1 $\alpha$  animals than in control animals (Figure 3-3B) and LVID at diastole and systole were unchanged over the course of the study (Figure 3- 3C). TAC caused hypertrophy in both IRE1 $\alpha$  and control animals (Figure 3-3D-E).

Because IRE1 $\alpha$  preserved heart function, we hypothesized that protective UPR signaling would be enhanced, and detrimental signaling would be dampened in response to TAC. We used RT-PCR to measure UPR, fetal gene program, and inflammatory signaling and western blot to measure stress signaling activation after TAC. IRE1 $\alpha$  preserved adaptive UPR signaling and inhibited markers of the fetal gene program, inflammation, and heart failure signaling. sXbp1 and BiP mRNA were



**Figure 3-3.** IRE1 $\alpha$  Preserves Heart Function in Response to TAC. A. Representative short axis M-Mode images from left ventricle of Control and IRE1 $\alpha$ -Myc animals, respectively, four weeks after TAC. B. Left ventricle ejection fraction and fractional shortening were calculated from short axis M-Mode images weekly after tamoxifen and TAC and compared between control and IRE1 $\alpha$ . C. Left ventricular dimensions were measured from short axis M-Mode images during weekly echocardiography after TAC and compared between IRE1 $\alpha$  and controls. D. Heart weight to body weight ratio (HW/BW) was calculated four weeks after TAC and is presented as mg/g. E. Upper: mid-ventricular cross sections of heart four weeks after TAC stained by H&E. Lower: 20X magnification representative images from free wall of LV. In all data, error bars represent 1 standard deviation and statistical significance is indicated where compared to control (# where  $p < 0.05$ ), or compared to Pre-TAC (\* where  $p < 0.05$ ).

increased in IRE1 $\alpha$  animals after TAC (Figure 3-4A-B). CHOP, which was slightly lower in IRE1 $\alpha$  animals at baseline, increased four weeks after TAC (Figure 3-4B). ER stress marker ATF4, which is a transcription factor selectively translated following eIF2 $\alpha$ -mediated translational inhibition (44), was significantly lower in IRE1 $\alpha$  animal hearts at baseline, but increased in IRE1 $\alpha$  animals in response to TAC ( $p = 0.04$ ). BiP protein expression was increased at baseline and after TAC (Figure 3-4C). From these data,

we concluded that IRE1 $\alpha$  overexpression enhanced adaptive UPR signaling and preserving heart function in response to TAC.

In addition to enhancing adaptive UPR signaling, IRE1 $\alpha$  expression blunted the molecular shift to the fetal gene program and activation of stress signaling after TAC. Both ANF and  $\beta$ MHC mRNA expression were unchanged in response to TAC (Figure 3-4D). Moreover, stress signaling was not activated. Activated p38 was not increased in IRE1 $\alpha$  hearts four weeks after TAC (Figure 3-4E).

ER stress signaling by IRE1 $\alpha$  and TRAF2 has been reported to activate inflammatory cytokines (71). We measured inflammatory cytokine expression by RT-PCR and fibrotic dispositions by EVG/Trichrome staining of heart tissues. In animals with IRE1 $\alpha$  overexpression, both TNF $\alpha$  and IL-6 were significantly reduced after TAC compared to wildtype ( $p=0.03$ ) and compared to baseline ( $p=0.001$ ) (Figure 3-4F). IRE1 $\alpha$  animals had less myocardial fibrosis than control animals (Figure 3-4G).

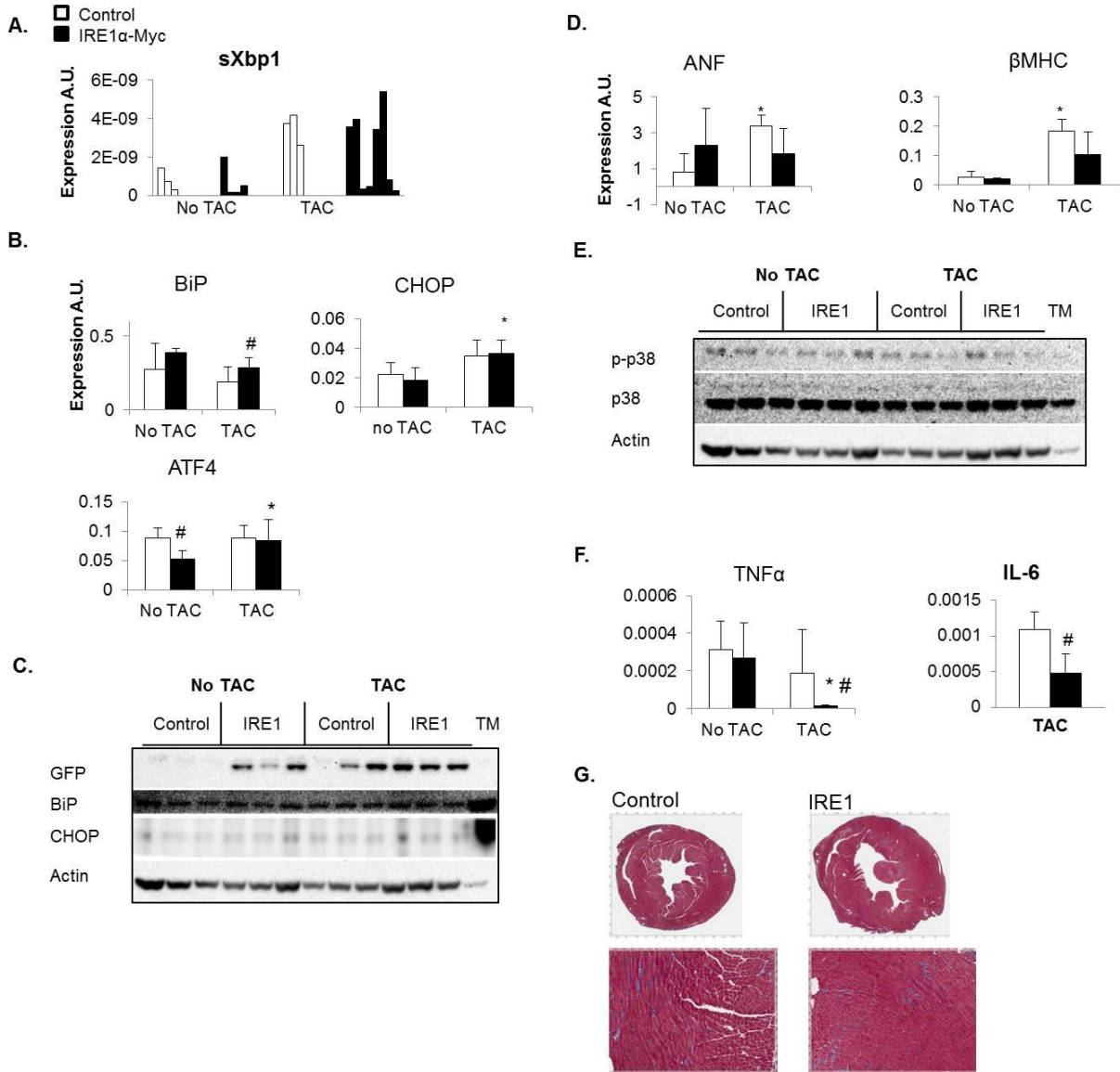


Figure 3-4. Molecular Profile of IRE1 $\alpha$  Mice After Four Weeks of TAC. A. Quantitative RT-PCR of sXbp1 from animals treated with tamoxifen or tamoxifen and four weeks TAC. Each bar represents mRNA expression from a single animal. B. Quantitative RT-PCR measuring mRNA expression of ER stress signaling four weeks after tamoxifen or tamoxifen and TAC treatment. C. Western blot measuring protein expression of UPR signaling in hearts from genotypes and treatment groups as indicated. D. Quantitative RT-PCR as in B, measuring mRNA expression of fetal gene program induction after tamoxifen or tamoxifen and TAC. E. Western blot measuring p38 MAPK activation in hearts from control and IRE1 $\alpha$  groups at baseline and four weeks after TAC as indicated. F. RT-PCR measuring inhibition of inflammatory cytokine TNF and IL-6 after TAC. G. Trichrome/ EVG staining for fibrosis in short axis sections from mid-ventricle of heart after four weeks TAC. In all RT-PCR experiments, # denotes significant difference between control and IRE1 $\alpha$  animals within the treatment group and \* denotes significant difference within the same genotype between No TAC and TAC treatments.

## CHAPTER 4

### IRE1 $\alpha$ Induces Adaptive and Transient Unfolded Protein Response Signaling in Cardiac Myocytes

#### A. IRE1 $\alpha$ Induces Stress Signaling in Various Cell Types

IRE1 $\alpha$  has been reported to induce cell death in CHO, COS, and 293 cells (31). In order to establish a robust system for investigation of IRE1 $\alpha$  signaling in heart in vitro, IRE1 $\alpha$  was first expressed in 293 cells or INS-1 cells. After 2 days, both 293 and INS-1 cells with overexpressed IRE1 $\alpha$  were irregular in shape, rounded up, and detached from the culture dishes (Figure 3-1A, 4-1A).

In order to investigate UPR activation following IRE1 $\alpha$  overexpression in INS-1 cells, RNA and protein were collected from cells two days after treatment. IRE1 $\alpha$  kinase and RNase were both found to be activated following IRE1 $\alpha$  overexpression. Spliced Xbp1 mRNA was amplified by PCR in samples with IRE1 $\alpha$  overexpression in a dose-dependent fashion (Figure 4-1B). Phospho-eIF2 $\alpha$  was increased with IRE1 $\alpha$ , indicating parallel activation of PERK (Figure 4-1C). BiP protein was also increased following IRE1 $\alpha$  expression.

Besides adaptive UPR signaling, IRE1 $\alpha$  has been shown to activate MAPK signaling, including p38 and JNK (40). Therefore, we investigated downstream MAPK signaling by IRE1 $\alpha$  in INS-1 cells (Figure 4-1D). IRE1 $\alpha$  overexpression led to a dose-dependent increase in p-JNK, but not p-p38. Therefore, we concluded that IRE1 $\alpha$

overexpression in INS-1 cells led to activation of all three branches of UPR as well as the detrimental p-JNK signaling pathways independent of true ER stress.

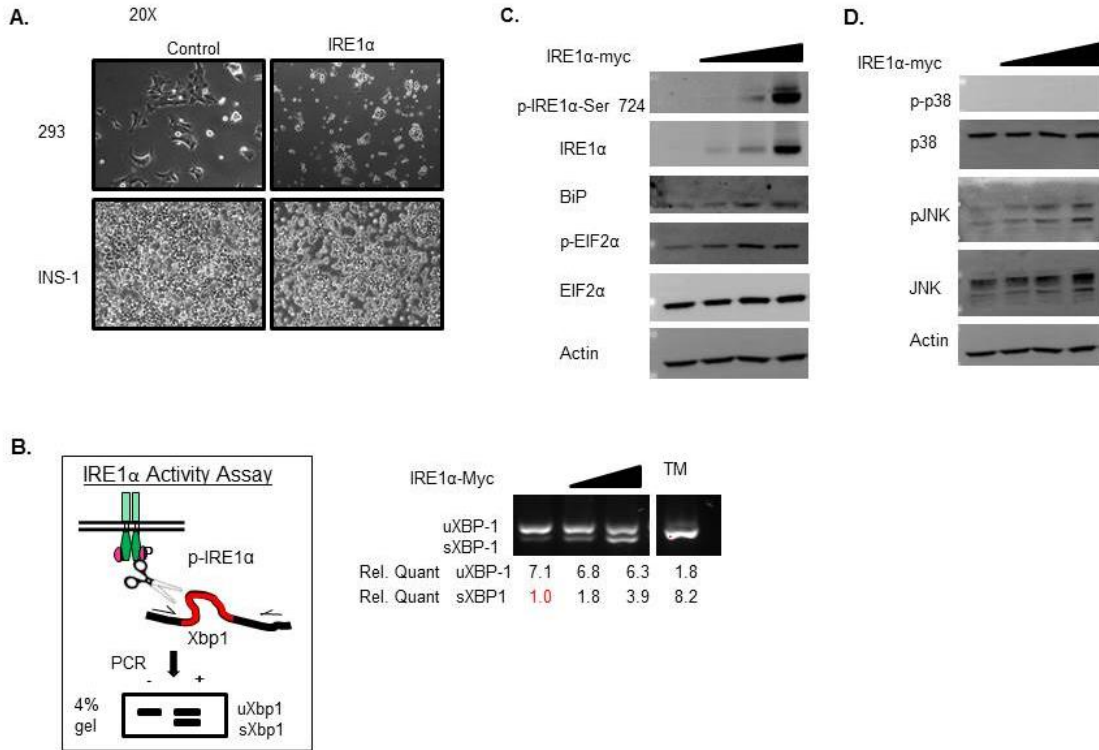
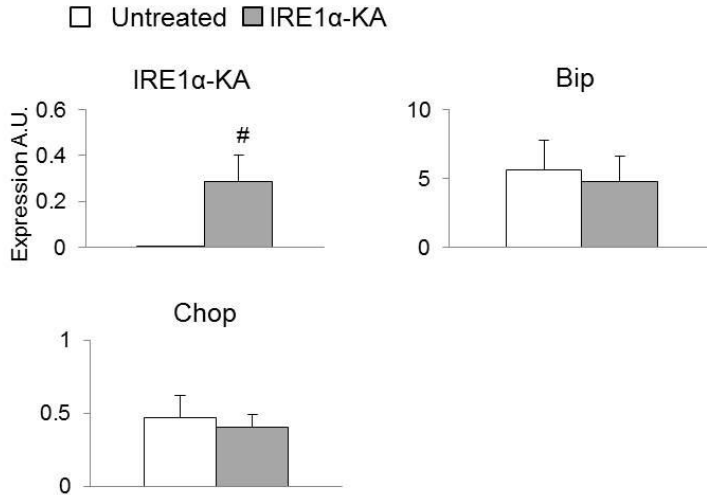


Figure 4-1. IRE1 $\alpha$  Activates UPR and Stress Signaling in INS-1 Cells. A. Brightfield images of 293 (top) and INS-1 (lower) cells two days after treatment as indicated. B. Schematic of IRE1 $\alpha$  RNase activity assay and amplification of sXBP-1 and uXBP-1 by PCR with IRE1 $\alpha$  or TM treatment. C. Western blot measuring activation of UPR in INS-1 cells IRE1 $\alpha$  overexpression. D. As in C, but monitoring MAPK stress signaling.

A.



B.

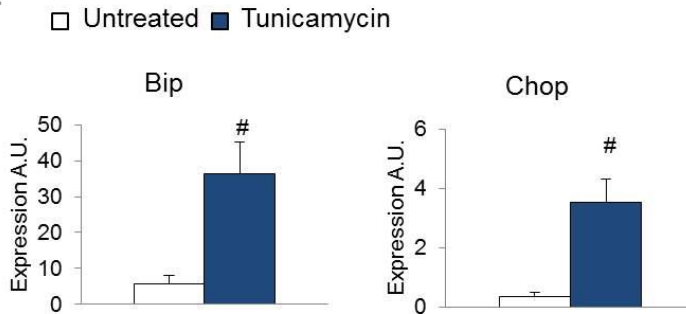


Figure 4-2. NRVMs Are Sensitive to ER Stress. A. RT-PCR to measure IRE1-KA overexpression after 2 days in NRVMs and UPR signaling members CHOP and BiP. B. RT-PCR to measure UPR induction after TM-mediated ER stress in NRVMs. In all RT-PCR experiments, # denotes significant difference between control and IRE1 $\alpha$  animals within the treatment group and \* denotes significant difference within the same genotype between No TAC and TAC treatments

## B. IRE1 $\alpha$ Induces Adaptive and Transient UPR Signaling in Cardiac Myocytes

In order to uncover the molecular mechanism underlying cardioprotection by IRE1 $\alpha$  in vivo, we further investigated IRE1 $\alpha$ -mediated UPR signaling in NRVMs. We first established whether or not virus treatment would activate endogenous UPR signaling. Treatment with kinase dead IRE1 $\alpha$  adenovirus did not activate UPR signaling (Figure 4-2A). Neither BiP ( $p=0.64$ ) nor Chop ( $p=0.53$ ) expression were induced in response to IRE1 $\alpha$  overexpression. Treatment with true ER stress by Tunicamycin,



however, activated UPR as indicated by RT-PCR measurements of increased BiP ( $p=0.004$ ) and CHOP ( $p=0.002$ ) expression (Figure 4-2B).

In order to next investigate UPR signaling by IRE1 $\alpha$ , IRE1 $\alpha$ -Myc was overexpressed by adenovirus and gross cell morphology, activation, activity, and downstream signaling were assessed. After two days, NRVM with IRE1 $\alpha$  overexpression were indistinguishable from untreated controls (Figure 4-3A) whereas 293 and INS-1 cells with the same treatment were highly irregular in shape and detached from the culture dish (Figure 3-1A and Figure 4-1A). IRE1 $\alpha$ -Myc protein expression and kinase and RNase activation in NRVM were confirmed by western blot and PCR, respectively (Figure 4-3B-C). Adaptive protein chaperone Bip was significantly upregulated following IRE1 $\alpha$  overexpression ( $p=0.03$ ). Apoptotic molecule Chop, on the other hand, was not ( $p=0.09$ ). Expression of TNF $\alpha$  was reduced with IRE1 $\alpha$  expression ( $p=0.03$ ), recapitulating the observations of blunted inflammatory cytokine expression in vivo. We did not observe activation of either adaptive (Bip) or apoptotic (Chop) downstream UPR signaling following IRE1 $\alpha$ -KA expression, further indicating that the adaptive signaling we observed downstream of IRE1 $\alpha$  expression was specific to IRE1 $\alpha$  and not an artifact of viral infection (Figure 4-2A). Additionally, we confirmed that true ER stress elicited an UPR in cardiac myocytes (Figure 4-2B).

We next sought to uncover the contribution of chronic IRE1 $\alpha$  overexpression in NRVMs. Prolonged p-IRE1 $\alpha$  activation (5 days) did not cause sustained RNase or downstream UPR gene expression (Figure 4-3E-G). Bip expression was not significantly greater in IRE1 $\alpha$ - expressing cells ( $p=0.25$ ). Even after sustained IRE1 $\alpha$  expression, CHOP mRNA expression was not induced ( $p=0.76$ ) compared to control.

This result suggested that IRE1 $\alpha$  kinase activation was sustained, but RNase activity and UPR signaling was transient in NRVMs in the absence of ER stress.

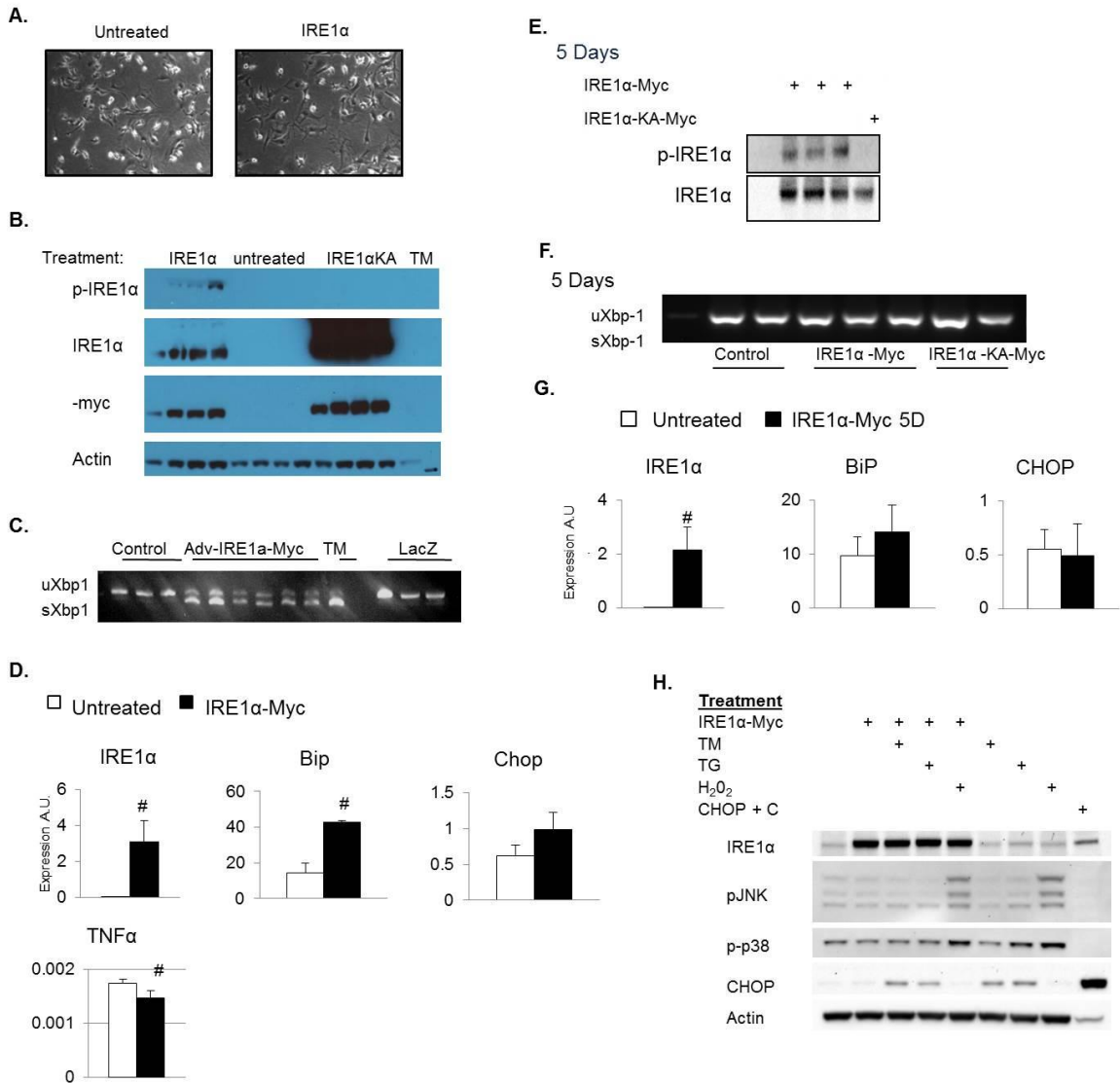


Figure 4-3. IRE1 $\alpha$  Induces Adaptive UPR Signaling in NRVM. A. Representative images of NRVMs viewed at 20X magnification two days after treatment. B. Western blot of IRE1 $\alpha$  expression and kinase activation (p-IRE1 $\alpha$  Ser724). C. Xbp1 PCR splicing assay to assess IRE1 $\alpha$  RNase activation in NRVMs. D. RT-PCR to measure IRE1 $\alpha$  expression and adaptive and apoptotic downstream UPR signaling. Significant differences ( $p < 0.05$ ) compared to control are indicated by #. E. NRVMs were treated with Adv-IRE1 $\alpha$ -Myc, nothing, or Adv-IRE1 $\alpha$ -KA-Myc for five days before harvesting. Western blot of IRE1 $\alpha$  protein expression and sustained activation by phosphorylation. F. Xbp1 splicing PCR assay. NRVMs were treated as in A and total RNA was collected after five days. G. RT-PCR measuring UPR induction after five days of IRE1 $\alpha$  overexpression in NRVMs. H. IRE1 $\alpha$  does not induce stress signaling or full UPR in the absence of stress. Western blot of total protein from NRVMs treated as indicated. TM, tunicamycin 5 $\mu$ g/mL 4 hours, TG, thapsigargin 100nM 4 hours, H<sub>2</sub>O<sub>2</sub>, 10  $\mu$ M 30 minutes.

In order to understand if IRE1 $\alpha$  could protect against specific ER stress insults, we treated cells with various forms of ER stress in the presence or absence of IRE1 $\alpha$  expression (Figure 4-3H). We measured MAPK signaling activation by western blot. IRE1 $\alpha$  did not activate any stress signaling on its own. Cells with IRE1 $\alpha$  had reduced activation of JNK when challenged with ER stress, whereas p-p38 activation and CHOP were not markedly reduced.

## CHAPTER 5

### Discussion

The Unfolded Protein Response is an important cellular response to various insults and is activated in human heart failure (49), ischemic heart disease (47) and heart failure in response to the cancer drug imatinib (52). Recent experimental models have recapitulated these observations (48-49) but it is unclear whether ER stress signaling contributes to protective (48, 54-55) or pathological signaling to heart (50, 52, 57, 73).

UPR signaling can be cardioprotective (48) or deleterious (55, 57). An animal model with constitutive activation of ATF6 had strong protection against a model of ischemia reperfusion. CHOP, on the other hand, is a strong inducer of apoptosis in cardiac myocytes as CHOP deletion protected mouse hearts against apoptosis in ischemia/reperfusion injury (57). Chop deletion in NRVMs was also protective against apoptosis in the setting of ER stress by proteasome inhibition (55). IRE1 $\alpha$  is capable of both protective and apoptotic signaling through XBP1 or TRAF2 and MAPK signaling cascades, respectively. IRE1 $\alpha$  preserved heart function and adaptive ER stress signaling in response to pressure overload insult. Here we report the molecular events in vitro that may underlie the protective phenotype in vivo.

We sought to uncover a role for IRE1 $\alpha$  in whole heart and so generated a mouse model with heart-specific, temporally regulated IRE1 $\alpha$  overexpression. Like others, we observed that IRE1 $\alpha$  overexpression in vitro caused cell death in several cell lines (31).

In whole heart, IRE1 $\alpha$  overexpression did not lead to change in heart structure or function and induced slight upregulation in adaptive molecule BiP. This led us to hypothesize that, in cardiac myocytes, IRE1 $\alpha$  protective signaling may be preferentially activated in the absence of true ER stress and that IRE1 $\alpha$  may prevent cardiac injury.

Preconditioning has been shown to improve outcomes in response to acute injury in kidney (74), eye (75), neurons (76), and heart (48). Here, we demonstrate that IRE1 $\alpha$  protects the heart against pressure-overload injury. We observed that IRE1 $\alpha$  mice had preserved heart function and myocardial structure in response to pressure-overload. Fibrosis and inflammatory signaling are known to be significant contributors to left ventricular remodeling. We tested whether expression levels of inflammatory cytokines were reduced. Indeed, both TNF $\alpha$  and IL-6 mRNA expression was strongly reduced in IRE1 $\alpha$  animals following TAC. TNF $\alpha$  signaling is induced by p38 in heart (58). We were unable to detect an increase in p-p38 in IRE1 $\alpha$  hearts after TAC, suggesting this signaling pathway is not preferentially activated downstream of IRE1 $\alpha$  in our model. Thus, our results reveal a new intersection between IRE1 $\alpha$  and inflammatory signaling pathways. Integration between ER, inflammation, and MAPK signaling networks is not fully characterized.

In order to uncover the molecular mechanism of IRE1 $\alpha$ -mediated cardioprotection, we investigated IRE1 $\alpha$  signaling in NRVMs. IRE1 $\alpha$  specifically induced downstream adaptive UPR signaling, including activation of Xbp1 splicing and Bip mRNA expression. These observations were reconstituted in diverse cell types, including 293 and INS-1. On the other hand, apoptotic signaling pathways were never induced by IRE1 $\alpha$ , even though NRVMs were sensitive to ER stress. Even sustained

IRE1 $\alpha$  kinase activation did not lead to morphological abnormality (data not shown) or induction of apoptotic Chop.

The data presented here suggest a protective role for IRE1 $\alpha$ . In heart, IRE1 $\alpha$  was cardioprotective against a pressure overload. It is unknown if IRE1 $\alpha$  will provide a similar protective role in acute injuries, such as ischemia/reperfusion or myocardial infarction. Moreover, this study highlights the need for greater understanding of integration between UPR, MAPK, and inflammatory signaling pathways. Also, the data presented herein suggests the presence of cardiac specific IRE1 $\alpha$  regulatory mechanisms that are yet to be characterized.

## CHAPTER 6

### Future Directions

ER stress is gaining attention as an important factor underlying many diseases, including heart diseases (10, 78). Understanding the biology of ER stress signaling may identify novel therapeutic targets (59). ER stress signaling has been described as protective (48, 54, 55) or detrimental to heart (50, 52, 57, 73). Stress signaling from the Endoplasmic Reticulum is a critical component of cellular homeostasis.

Unfolded Protein Response signaling by IRE1 $\alpha$  is highly conserved from yeast to mammals and can have drastic protective or apoptotic effects. IRE1 $\alpha$  overexpression was detrimental to many cell types, but not NRVM. IRE1 $\alpha$ -mediated cardioprotection to chronic pressure-overload suggests that signaling activities and regulation specific to cardiac myocytes may exist and are not yet identified.

Additional characterization of IRE1 $\alpha$ -mediated UPR signaling in cardiomyocytes is needed in order to advance the understanding of ER biology. Investigations of highest priority are to identify heart-specific IRE1 $\alpha$  interaction partners. Because endogenous IRE1 $\alpha$  expression is very low and not measurable by standard western blot, an approach where IRE1 $\alpha$  is overexpressed in cardiac myocytes in vitro would be required. IRE1 $\alpha$ -Myc would be overexpressed in vitro and IRE1 $\alpha$ -Myc protein complex pulled out by -Myc antibody-directed immunoprecipitation. Alternatively, this experiment could be conducted using adult cardiac myocytes from animals where IRE1 $\alpha$  is overexpressed, assuming that the process by which the myocytes are collected from the heart does not

activate ER stress signaling pathways. Proteins from the IRE1 $\alpha$ -Myc complex would then be separated by electrophoresis and specific proteins would be identified by mass spectrometry. This approach has already been accomplished by members of our laboratory to identify IRE1 $\alpha$  interacting partners in 293 cells, providing the reagents, technical strategy, and also the dataset to compare IRE1 $\alpha$  interacting partners in 293 cells versus cardiac myocytes. This investigation would be critical for identifying the molecular signaling that contributes the heart-specific role for IRE1 $\alpha$ .

The current investigation identified a new, protective role for IRE1 $\alpha$  in heart. Unlike in 293 and INS-1 cells, NRVMs did not have detrimental phenotype following IRE1 $\alpha$  overexpression. In search of the molecular mechanisms underlying this observation, we discovered that during extended overexpression, IRE1 $\alpha$  remained activated by phosphorylation independent of the RNase. Even though abundant phospho-IRE1 $\alpha$  could be detected, no spliced Xbp1 could be observed, suggesting that IRE1 $\alpha$  kinase and RNase activities could be uncoupled. Alternatively, this result could be indicative of an unknown cytosolic regulator of IRE1 $\alpha$  RNase. The experiment described above to characterize IRE1 $\alpha$  interacting partners may provide insight into the cytosolic regulation of IRE1 $\alpha$  RNase activity.

It is also possible that IRE1 $\alpha$  has activity toward mRNA targets in addition to Xbp1 in NRVMs. Future investigations into IRE1 $\alpha$  regulation would test these hypotheses. It is likely that no single mechanism fully explains the diverse cellular behaviors that are observed.



IRE1 $\alpha$  overexpression in vitro was reported to cause cell death in several cell lines (reported here and reference (31)). We sought to uncover a role for IRE1 $\alpha$  in whole heart. In pursuit of this, we generated a mouse model with heart-specific, temporally regulated IRE1 $\alpha$  overexpression. We did not observe any adverse phenotype following IRE1 $\alpha$  overexpression in heart in adult mice. This led us to hypothesize that IRE1 $\alpha$  protective signaling may be preferentially activated in the absence of true ER stress in heart. We found that downstream BiP expression was slightly higher in IRE1 $\alpha$  animals, and thus may act as preconditioning against cardiac injury.

Preconditioning, or priming, specific cell signaling pathways has been shown to be cardioprotective against ischemia/reperfusion injury in mouse models (79). Preconditioning by activating UPR pathways has been shown to protect neurons, kidney, and retina against acute injury (74-76). We found that IRE1 $\alpha$  overexpression provided protection against a chronic pressure overload stress model in a manner similar to preconditioning. Future studies into cardioprotection by IRE1 $\alpha$  will address the question of whether this protective effect is also observed in an acute injury such as ischemia/ reperfusion. Data reported here indicates that even in the setting of acute ER stress in vitro, IRE1 $\alpha$  did not induce detrimental signaling; suggesting that the cardioprotected phenotype observed following chronic pressure overload may also be seen in response to acute injury.

IRE1 $\alpha$  is capable of both protective and apoptotic signaling, either through XBP1 or TRAF2 and MAPK signaling cascades, respectively. XBP1, with ATF6, upregulates BiP in response to ER stress thereby providing adaptation to ER stress and protection

from CHOP mediated cell death (55). In contrast, IRE1 $\alpha$  interactions with TRAF2 allow complex formation with JNK in vitro (40). Roles for TRAF2 and JNK in the heart have been interrogated (independent of IRE1 $\alpha$ ), but it is unclear how the volatile and diverse activities of JNK contribute to IRE1 $\alpha$  downstream signaling. It is also unknown if the reported interactions of various molecules with IRE1 $\alpha$ , including JNK, are recapitulated in heart in vitro or in vivo.

Although the molecular mechanisms of IRE1 $\alpha$  activation have been known for many years, many questions remain. Endogenous IRE1 $\alpha$  protein expression is reported to be very low, and is not detected by standard western blot (19). Because of this, characterization of the molecular identity and interaction partners of IRE1 $\alpha$  requires model systems where IRE1 $\alpha$  is overexpressed. IRE1 $\alpha$  overexpression can drive activation in the absence of ER stress, thus potentially forcing interactions in vitro which do not occur in vivo.

Additional cytosolic activation states have been reported, but it is unknown if these activities occur in vivo. IRE1 $\alpha$  may possess additional RNase activity directed toward a library of mRNAs for degradation (80). It has been proposed that this mRNA degradation is part of the adaptive ER stress response and represents an additional mechanism by which IRE1 $\alpha$  relieves ER stress by reducing protein folding load. Alternatively, this could also be part of the cell death program where self-destructive activities disrupt cell homeostasis so that apoptosis pathways will become activated. It is unknown if these pathways exist. These conflicting hypotheses are yet to be investigated.

Molecular characterization of IRE1 $\alpha$  activation has been limited by inherent properties of IRE1 $\alpha$ . Unique properties of IRE1 $\alpha$ , such as having an N-terminus within the highly specialized ER lumen environment, a transmembrane domain, and an RNase domain within the cytosol creates a technical challenge that, so far, has prevented accurate crystallization. Partial structures have been reported with the cytosolic domain in complex with small molecules but arrive at conflicting conclusions due to the different IRE1 $\alpha$  partial peptides that are used for crystallization. This underscores the structural complexity of this conserved stress sensor. Until full-length, native IRE1 $\alpha$  is characterized, the true molecular nature of IRE1 $\alpha$  activation and regulation will not be fully understood. Further, a full-length IRE1 $\alpha$  crystal structure would allow investigation into the interaction between BiP and IRE1 $\alpha$  within the ER lumen and provide additional information about IRE1 $\alpha$  activation and inactivation in response to ER stress.

Currently, experimental limitations prevent true understanding of ER stress within the ER lumen. Experimental manipulations to investigate properties of the ER lumen inherently disturb the highly specialized environment and, thus, present a fundamental challenge. New imaging and calcium sensor tools can be used to visualize properties of the ER lumen, but because they require overexpression of recombinant fluorescent proteins, do not actually portray a physiologic state. Therefore, innovative research techniques and strategies will be required to advance understanding of true ER biology.

Fibrosis and inflammatory signaling is known to be a significant contributor to left ventricular remodeling. Because we saw preserved function in IRE1 $\alpha$  animals, we tested whether inflammatory cytokine expression was reduced. Indeed, both TNF $\alpha$  and IL-6 mRNA expression was strongly reduced in IRE1 $\alpha$  animals following TAC compared

to controls or IRE1 $\alpha$  animals at baseline. P38 has been reported to induce TNF $\alpha$  signaling in heart. We were unable to detect any increase in p-p38 in IRE1 $\alpha$  hearts after TAC, suggesting this signaling pathway may not be activated downstream of IRE1 $\alpha$  in our model. Further investigations into signal integration between UPR and inflammatory pathways will identify additional intersections and regulatory mechanisms.

Although countless investigations have characterized the highly conserved UPR signaling pathway, many questions remain. Molecular, cellular, and integrative biology of the ER contributes to health and disease. Advancing the understanding of UPR signaling from the ER will likely drive development of new therapeutic strategies for new drugs to treat many diseases, including heart failure.

## CHAPTER 7

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