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Fermentation-Mediated Growth, Signaling, and Defense in Plants

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13 Social media of K. Jardine (Facebook: Kolby Jardine, Twitter: @CarbonKolby)

14 **Summary:** While traditionally considered important mainly in hypoxic roots during flooding, 15 upregulation of fermentation pathways in plants has recently been described as an evolutionarily 16 conserved drought survival strategy, with acetate signaling mediating reprograming of 17 transcription and cellular carbon and energy metabolism from roots to leaves. The amount of 18 acetate produced directly correlates to survival through potential mechanisms including defense 19 gene activation, biosynthesis of primary and secondary metabolites, and aerobic respiration. 20 Here, we review root ethanolic fermentation responses to hypoxia during saturated soil 21 conditions and summarize studies highlighting acetate fermentation under aerobic conditions 22 coupled with respiration during growth and drought responses. Recent work is discussed 23 demonstrating long distance transport of acetate via the transpiration stream as a respiratory 24 substrate. While maintenance and growth respiration are often modeled separately in terrestrial 25 models, here we propose the concept of "Defense Respiration" fueled by acetate fermentation in 26 which upregulation of acetate fermentation contributes acetate substrate for alternative energy 27 production via aerobic respiration, biosynthesis of primary and secondary metabolites, and the 28 acetylation of proteins involved in defense gene regulation. Finally, we highlight new frontiers in 29 leaf-atmosphere emission measurements as a potential way to study acetate fermentation 30 responses of individual leaves, branches, ecosystems and regions.

31

32 Keywords: Abiotic Stress, Drought, Flooding, Growth, Defense Respiration, Aerobic

- **33** Fermentation, Acetic Acid
- 34

Plain language summary: While traditionally considered only important in oxygen poor tissues
like roots during flooding, here we summarize recent work that demonstrates fermentation plays
important roles during aerobic processes including respiration, growth, and as a warning signal
during drought.

39

40 Introduction

41 *Ethanolic fermentation and flood tolerance*

42 In higher plants, molecular oxygen (O_2) deficiency can dramatically alter cellular metabolism resulting in reductions in productivity (Fukao & Bailey-Serres, 2004). Understanding and 43 44 predicting plant metabolic responses to O₂ deficiency through changes in respiration and 45 fermentation, and physiological processes like growth, has become an increasingly active area of 46 research (Bailey-Serres & Freeling, 1990; Gibbs et al., 2011). The capacity to ferment 47 carbohydrates in higher plants derives from an ancient biochemical pathway that can be traced 48 back to green algae that ferment starch under anaerobic conditions to numerous products 49 including acetate, ethanol, formate, glycerol, lactate, H₂ and CO₂ (Gaffron & Rubin, 1942; Davies 50 et al., 1974; Catalanotti et al., 2013; Xia et al., 2016). The enhanced production of acetaldehyde 51 and ethanol in higher plants by pyruvate decarboxylase (PDC) and alcohol dehydrogenase 52 (ADH) during fermentation induced by hypoxia is well established (Kimmerer & MacDonald, 53 1987; Vartapetian et al., 1997). During hypoxic conditions in roots associated with saturated 54 soils and flooding, the suppression of ATP production by mitochondrial respiration may be 55 partially compensated by ethanolic fermentation (Tadege *et al.*, 1998). Ethanolic fermentation 56 capacity under hypoxia has been identified as a highly conserved characteristic of modern land

plants (Bui *et al.*, 2019), generally considered a critical adaptation to hypoxic environments such
as those encountered by roots in saturated or flooded soils (Vartapetian & Jackson, 1997; Tadege *et al.*, 1998).

60 Numerous genetic studies have demonstrated that PDC and ADH play an important and often 61 critical role in low-oxygen tolerance in plants (Ventura *et al.*, 2020). For example, in maize the 62 Adh1 null mutant, which fail to synthesize ADH1 isozymes, are very susceptible to hypoxia 63 (Johnson et al., 1994). In Arabidopsis, the Adhl null mutant also has reduced tolerance to 64 hypoxia while in contrast, overexpressing PDC1 or PDC2 increases survival (Ismond et al., 65 2003). Under hypoxia, pyruvate generated by glycolysis in roots cannot be effectively oxidized by aerobic respiration in mitochondria and instead is decarboxylated to acetaldehyde by PDC. To 66 67 help regenerate NAD⁺ required to maintain high rates of glycolysis, a fraction of the 68 acetaldehyde is reduced to ethanol, followed by transport of acetaldehyde and ethanol from roots 69 to the canopy via the transpiration stream (Atkinson et al., 2008). ¹⁴C-ethanol labeling of excised 70 leaves and shoots revealed that ethanol in the xylem in poplar trees is rapidly assimilated into 71 plant biomass, with 95% remaining in plant tissues after 24 hr (MacDonald & Kimmerer, 1993). 72 Despite its volatile nature, < 5% of the label was lost to the atmosphere via leaf emissions of ¹⁴C-73 ethanol and/or ¹⁴C-acetaldehyde while <1% was lost as ¹⁴CO₂, suggesting the involvement of 74 mitochondrial respiration. Likewise, while strong increases in leaf acetaldehyde emission have 75 been reported from several species following root flooding, the majority of acetaldehyde is 76 thought to be further oxidized to acetic acid which is much less volatile with a higher water 77 solubility than ethanol or acetaldehyde (Kreuzwieser & Rennenberg, 2013).

78 In addition to roots, aerial tissues like stems and leaves of trees constitutively express ADH 79 isozymes that can convert ethanol produced in hypoxic roots back to acetaldehyde (Kimmerer & 80 Stringer, 1988; Harry & Kimmerer, 1991; Strommer, 2011). Ethanol oxidation and assimilation 81 in aerobic stem and leaf tissues is therefore mediated by the reversible ADH reaction where 82 ethanol is oxidized back to acetaldehyde (Kreuzwieser et al., 1999) which is subsequently 83 oxidized to acetic acid by an aldehyde dehydrogenase (ALDH: EC 1.2.1) (Wei et al., 2009). 84 Following acetate activation to acetyl-CoA by an acetyl-coenzyme A synthetase (ACS) (Lin & 85 Oliver, 2008), this central C₂ metabolite is rapidly utilized in both anabolic (e.g. lipid 86 biosynthesis) and catabolic (e.g. mitochondrial respiration) metabolism (Figure 1), thereby 87 explaining the rapid incorporation of root derived ethanol into stem and leaf biomass 88 (MacDonald & Kimmerer, 1993). Subsequent studies provided additional experimental evidence 89 that enhanced leaf emissions of acetaldehyde and ethanol during root hypoxia involves root 90 ethanol production, its transport to aerobic tissues via the transpiration stream, and its oxidation 91 and assimilation in aerobic tissues (MacDonald & Kimmerer, 1993; Kreuzwieser et al., 1999; 92 Holzinger et al., 2000; Kreuzwieser et al., 2001; Kreuzwieser et al., 2004; Rottenberger et al., 93 2008; Kreuzwieser & Rennenberg, 2013; Kreuzwieser & Rennenberg, 2014). While these studies 94 generally did not report acetic acid emissions, significant foliar emissions of acetic acid were 95 observed from the tropical tree species that had the highest ethanol and acetaldehyde emission 96 responses to flooding (Rottenberger et al., 2008). Thus, these coupled below- and above-ground 97 plant processes were proposed as a key physiological mechanism of flood tolerance 98 (Kreuzwieser et al., 2004).

99 Curiously, survey studies revealed that in addition to roots, fermentation metabolism capacity 100 under hypoxia is also widespread in leaves of both C3 and C4 species (Kimmerer & MacDonald, 101 1987). However, the relationship between site flooding occurrence and leaf ADH activity in 102 remains unclear. In roots, positive correlation between ADH activity, ethanol production, and 103 flood tolerance has been observed in flood tolerant species like rice (Quimio et al., 2000). While 104 it was hypothesized that ethanol and acetaldehyde emission rates correlate with flood tolerance 105 of trees (Kreuzwieser et al., 2004), root ethanol production and flood tolerance appear to be 106 poorly correlated (Raymond et al., 1985). In contrast, flood sensitive species appear to emit far 107 more ethanol and acetaldehyde than flood tolerant species (Copolovici & Niinemets, 2010). For 108 example, a flood intolerant species Quercus rubra showed much higher stimulation of leaf 109 ethanol and acetaldehyde emissions than the flood tolerant species Alnus glutinosa. Agricultural 110 plants growing in drier semi-arid climates are well documented to be highly susceptible to soil 111 saturation and flooding (Awala et al., 2016). While soil saturation of a highly flood-sensitive 112 Mediterranean species H. halimifolium resulted in high foliar emission of ethanol, acetaldehyde, 113 and acetic acid (K. Jardine, unpublished), under normal aerated soils, this same species showed 114 high temperature simulated diurnal leaf emissions of ethanol, acetaldehyde, acetic acid, and 115 methyl acetate (Jardine et al., 2014). Daytime leaf emissions of the volatile fermentation 116 products were associated with high rates of net photosynthesis and transpiration, indicating non-117 stressed conditions for net carbon assimilation. These observations suggest the capacity of plants 118 to produce and emit fermentation volatiles from leaves and other aerial tissues under aerobic 119 conditions, not necessarily related to soil oxygen levels and instead suggests local production in 120 tissues under aerobic conditions.

121 However, it should be acknowledged that root ethanolic fermentation could be activated in 122 hypoxic microsites, which may exist in aerobic well drained soils (Keiluweit et al., 2017). In 123 addition, even under aerobic soil conditions, sub-ambient oxygen concentrations can occur in 124 roots (Zabalza et al., 2009) and aerial tissues like stems with high respiratory activities (Spicer & 125 Holbrook, 2007). The existence of hypoxic niches in otherwise fully aerobic tissues is well 126 established (Ventura et al., 2020) including during shoot meristem (Weits et al., 2019) and 127 lateral root primordia (Shukla et al., 2019) development. Thus, environmental stress-associated 128 acute hypoxia during flooding is distinguished from metabolically generated hypoxia under non-129 stress conditions where lower oxygen concentrations than the rest of the plant are maintained by 130 high respiration and/or barriers to oxygen diffusion (Weits et al., 2021).

131 Poplar leaves placed under hypoxia produce more ethanol than roots (Kimmerer & MacDonald, 132 1987). An apparent paradox was presented that the plant organ (leaf) least likely to be exposed to 133 hypoxia due to efficient air exchange with the atmosphere and photosynthetic oxygen production, 134 is rich in enzymes necessary for fermentation metabolism including PDC, ADH, and ALDH 135 (Kimmerer & MacDonald, 1987). More recent studies with Arabidopsis highlighted the 136 importance of cellular energy status in activating ethanolic fermentation in plant tissues (Zabalza 137 et al., 2009). Following 1 day of hypoxia, ethanolic fermentation in roots was activated together 138 with a decline in internal tissue oxygen concentrations, and cellular energy status (ATP/ADP). 139 While roots treated with pyruvate under aerobic conditions also activated ethanolic fermentation 140 together with a decline in internal tissue oxygen concentrations and cellular energy status, it was 141 delayed by 2 days. A strong decline in tissue oxygen concentrations in roots treated with 142 pyruvate was already established after 1 d. These results suggest that ethanolic fermentation, and its sensitivity to low oxygen availability, is activated via a mechanism linked to the decline incellular energy status (Zabalza *et al.*, 2009).

145

146 In Arabidopsis, enhanced ethanolic fermentation metabolism under anoxia have been described 147 to be directly regulated by group VII Ethylene Response Factor (ERF) transcription factors 148 (Bailey-Serres et al., 2012). Under aerobic conditions, ERFs are degraded which prevents 149 transcription of hypoxia-responsive genes. In contrast, under hypoxia, proteolytic degradation of 150 ERFs is inhibited resulting in transcription of genes that enhance anaerobic metabolism such as 151 PDC and ADH (Bailey-Serres et al., 2012). These two mechanisms involved in the activation of 152 fermentation responses are integrated in Arabidopsis via an ATP-dependent shift in oleoyl-CoA 153 (Schmidt et al., 2018). A reduction in ATP/ADP ratio under hypoxia results in an increased 154 C18:1/C18:O -CoA ratio. This triggers the release of ERFVII protein bound to the plasma 155 membrane that is stable under hypoxia, enabling it to activate hypoxic responses in the nucleus. 156 More recently, oxygen and energy sensing in plants was shown to be fine-tuned by rapamycin 157 (TOR) kinase, a master energy sensor widely conserved in all eukaryotes. Reduced ATP 158 production from carbohydrate metabolism under hypoxia reduces TOR activity, attenuating the 159 activation of fermentation metabolism by ERF-VIIs. Thus, only under optimal energy availably 160 does TOR efficiently activate ERF-VIIs.

161

162 Part of the variability observed in root ethanolic fermentation and foliar ethanol and 163 acetaldehyde emission rates of flood tolerant species may be related to the presence of a number 164 of morphological, physiological, and anatomical adaptations to help increase oxygen supply to 165 roots (Sauter, 2013; Jia *et al.*, 2021). This includes the development of air spaces in roots and

stems in structures like aerenchyma (Evans, 2004), as well as structures that enhance 166 167 atmospheric update and diffusion of oxygen from aerial portions of the plant to roots including 168 stem lenticels (Shimamura et al., 2010), adventitious roots (Gonin et al., 2019), prop roots 169 (Zhang et al., 2015), and pneumatophores (Kitaya et al., 2002). In both flood tolerant and 170 intolerant species, foliar emissions of acetaldehyde and ethanol do not remain constant following 171 flooding. Emissions show a pattern of increasing typically 1-2 days after flooding followed by an 172 emission rate drop after some days, reaching a steady emission level that is moderately higher 173 than in non-flooded controls (Kreuzwieser & Rennenberg, 2013). The reason for the decrease in 174 acetaldehyde and ethanol emissions despite the continuous root flooding is not known, but has 175 also been observed in other studies that showed enhanced acetaldehyde and ethanol emissions as 176 an intermittent response to flooding (Rottenberger et al., 2008). Mechanisms behind this 177 phenomenon may include improved oxygen transport to the roots via structural adjustments 178 (aerenchyma, lenticels, adventitious roots, etc.), reduced TOR activity in roots which attenuates the activation of fermentation metabolism by ERF-VIIs, and an upregulation of acetaldehyde and 179 180 ethanol oxidation in aerial tissues like leaves.

181

182 Light-dark transitions as evidence for fermentation metabolism capacity in leaves under 183 aerobic conditions

The high activity of fermentation metabolism in leaves under aerobic conditions (Fall, 2003) was proposed to explain large emission bursts of acetaldehyde lasting several minutes following light-dark transitions after a period of photosynthesis (Karl *et al.*, 2002). Subsequent studies found large emission bursts of not only acetaldehyde following light/dark transitions, but also 188 ethanol, acetic acid, acetone, and methyl acetate (Jardine et al., 2012; Dewhirst et al., 2021; 189 Jardine *et al.*, 2022) with the magnitude of these bursts greatly increasing under hypoxia (Jardine 190 et al., 2012). While the biochemical origin of acetone biosynthesis in plants remains uncertain, 191 one possibility is the decarboxylation of acetoacetate in a similar mechanism as in bacteria (Fall, 192 2003). In bacteria, acetone is a well-known fermentation product generated from the 193 decarboxylation of acetoacetate (Fall, 2003; Han et al., 2011), and large scale production of 194 acetone by solvent-producing strains of *Clostridium spp.* was among the first large-scale 195 industrial fermentation processes to be developed (Jones & Woods, 1986). Evidence that acetone 196 emissions in leaves is produced from acetoacetate decarboxylation and linked to fermentation 197 was obtained from feeding detached leaves positionally-specific ¹³C-pyruvate solutions via the 198 transpiration stream. Direct ¹³C-incorporation into large ¹³C-acetone emissions was observed 199 under pyruvate-2-¹³C feeding, but not pyruvate-1-¹³C (Jardine *et al.*, 2010). This is consistent 200 with pyruvate entering the acetate fermentation pathway in plants which involves the 201 decarboxylation of the C₁ of pyruvate followed by acetyl-CoA utilization by the mevalonate 202 pathway leading to acetone production via acetoacetate decarboxylation (Suganuma et al., 1993) 203 (AAD, Figure 1).

204

Recently, acetaldehyde emission bursts following light-dark transitions was suggested to not derive from the decarboxylation of pyruvate mediated by PDC, but instead from an intermediate of the photosynthesis-linked methylerythritol phosphate pathway (MEP) in chloroplasts (Jud *et al.*, 2016). Consistent with this idea, light/dark emission bursts of acetaldehyde, ethanol, acetic acid, and acetone were inhibited by drought (Jud *et al.*, 2016; Jardine *et al.*, 2022), increased 210 with cumulative photosynthesis (the total net amount of CO_2 assimilated during the light period, 211 μ mol CO₂ m⁻²), strongly suppressed by removing CO₂ from the atmosphere, and directly incorporated ${}^{13}CO_2$ assimilated during the light period into ${}^{13}C_2$ -acetyl-CoA (Jardine *et al.*, 2012). 212 213 However, as photosynthetic production of triosephosphates (glyceraldehyde-3-phosphate, G3P) 214 can be exported to the cytosol and converted to pyruvate via glycolysis, the role of the pyruvate 215 overflow mechanism in the emission bursts of volatile fermentation products (acetaldehyde, 216 ethanol, acetic acid, acetone and methyl acetate) following light-dark transitions, as originally 217 proposed by Karl et al., 2002, should still be considered as a potentially important mechanism 218 (Figure 1: acetaldehyde production in the cytosol via the PDC catalyzed reaction). Chloroplasts 219 may lack the ability to convert photosynthetically derived G3P to phosphoenolpyruvate (PEP) 220 via glycolysis and consequently may require the export of G3P to the cytosol followed by re-221 importation as PEP (Sharkey & Monson, 2014) and/or pyruvate (Jardine et al., 2010) in order to 222 synthesize fatty acids and isoprenoids directly linked to recent photosynthate (Figure 1). 223 Consistent with a pyruvate overflow mechanism involving PDC, light/dark emission bursts of 224 acetaldehyde, ethanol, acetic acid, and acetone are greatly enhanced under hypoxia relative to 225 aerobic conditions (Jardine et al., 2012)

226

The co-occurrence of fermentation volatile emission bursts of acetaldehyde, ethanol, acetic acid, and acetone following light-dark transitions provides additional evidence that leaves, known to constitutively express enzymes involved in fermentation (Kimmerer, 1987; Nguyen *et al.*, 2009), can have high activities under aerobic conditions. For example, in Arabidopsis, although *PDC1* and *PDC2* are strongly upregulated under hypoxia, their expression is already high under 232 aerobic conditions (Mithran et al., 2014). The importance of ADH and PDC activity during 233 fermentative metabolism to plant growth under aerobic conditions was recently highlighted in 234 Arabidopsis lacking a functional ADH1 or both PDC1 and PDC2 (Ventura et al., 2020). Relative 235 to the wild type, these mutants showed a higher growth penalty under aerobic conditions than 236 under hypoxia. By demonstrating an important role in plant growth under aerobic conditions, 237 these recent observations demonstrate that fermentation genes, known to be induced by hypoxia, 238 go beyond their classical role during environmental hypoxia due to waterlogging or flooding 239 (Ventura et al., 2020).

240

241 Acetate fermentation and drought tolerance

242 Although fermentation metabolism has traditionally been considered during root flooding 243 (Figure 2a), its important roles in other biotic stresses like drought and high temperature have 244 recently been revealed (Figure 2b). Drought, often occurring together with high temperature 245 stress, can lead to large changes in cellular carbon and energy metabolism associated with 246 enhanced osmotic (Fontes et al., 2016) and oxidative (Jardine et al., 2015) stress, including 247 reduced stomatal conductance, net photosynthesis, and transpiration (Medrano et al., 2002), and an acceleration of photorespiration (Voss et al., 2013), potentially promoting the carbon 248 249 starvation processes (McDowell et al., 2022). Drought tolerant species have long been 250 recognized to efficiently adjust energy metabolism during stress acclimation (Tari *et al.*, 2013). 251 Recent studies demonstrated a central role of acetate fermentation in leaves during plant drought 252 responses associated with local fermentation from carbohydrates (Kim et al., 2017). Drought 253 induced ethanol accumulation was observed in the aerial tissues of three conifer tree species

including Douglas-fir, lodgepole pine, and ponderosa pine (Manter & Kelsey, 2008). While acetate was not quantified, needles accumulated greater quantities of ethanol associated with lower leaf water potential than sapwood or phloem. Mediated by protein acetylation, drought tolerance is characterized by a large metabolic shift towards fermentation with acetate coordinating whole-plant reprogramming of transcription, cellular metabolism, hormone signaling, and chromatin modification (Kim et al., 2017).

260

261 Under aerobic conditions, the activation of acetate fermentation can lead to an accumulation of 262 acetic acid in leaves and other tissues which promotes *de novo* jasmonic acid (JA) synthesis via 263 an enrichment of histone H4 acetylation, thereby priming the JA signaling pathway for drought 264 tolerance (Hu et al., 2019). Exogenous acetic acid applied to A. thaliana, rapeseed (Brassica 265 napus), maize (Zea mays), rice (Oryza sativa), and wheat (Triticum aestivum) confirmed that 266 acetate-linked protein acetylation and signaling under drought is an evolutionarily conserved 267 survival strategy in plants with the amount of acetate produced during drought directly 268 correlating to survival (Kim et al., 2017). These conclusions were further supported by a study 269 using transgenic Arabidopsis where Pdc1 and Aldh2b7 were overexpressed resulting in 270 prolonged survival during drought (Rasheed et al., 2018). Moreover, soybean foliar acetic acid 271 sprays (20 mM) promoted drought acclimation by reducing oxidative stress while enhancing root 272 biomass, leaf area, net photosynthesis rates, and water use efficiency leading to improved growth 273 performance (Rahman et al., 2021). Applications of acetic acid solutions also improved drought 274 tolerance for rice (Ogawa et al., 2021), cassava (Utsumi et al., 2019), apple (Sun et al., 2022), 275 and cotton (Li et al., 2021). Roots treated pre-treated acetic acid showed root-to-shoot 276 jasmonates signals that partially overlap with those induced by drought, conferring an acclimated

277 state to shoots prior to drought (Ogawa et al., 2021). Similar improvements to drought tolerance 278 were observed in Arabidopsis, wheat, and rice from exogenous ethanol applications (Bashir et 279 al., 2022). In contrast, drought tolerance was not enhanced when key ALDH genes were mutated 280 or when abscisic acid signaling was impaired. Ethanol was concluded to mediate enhanced 281 drought tolerance through acetate biosynthesis, ABA signaling, and gluconeogenesis (Bashir et 282 al., 2022). NMR analysis during ¹³C-ethanol treatment of Arabidopsis roots revealed a rapid 283 production of ¹³C-acetate as well as ¹³C-labeled sugars, amino acids, and TCA cycle 284 intermediates.

285

286 In addition to the utilization of acetate fermentation to enhance protein acetylation, the potential 287 for drought-induced acetate fermentation to enhance acetylation of other biopolymers, such as 288 structural polysaccharides in cell walls, was recently suggested (Jardine et al., 2022). In poplar 289 trees, drought induced elevated foliar acetic acid emissions together with acetaldehyde, ethanol, 290 and acetone emissions and led to an increase in leaf bulk cell wall O-acetylation. The regulation 291 of O-acetylation of plant cell wall polysaccharides is vital for the determination of wall 292 architecture, mechanical strength, and function (Qaseem & Wu, 2020). Severe decreases in cell 293 wall O-acetylation are associated with dwarfism, reduced mechanical strength of the stem, 294 collapsed xylem vessels, and stunted plant growth (Lee et al., 2011; Manabe et al., 2013; Xiong 295 et al., 2013). In contrast, increased O-acetylation is known to enhance the thermal tolerance, 296 mechanical strength, and hydrophobicity of major cell wall polysaccharides like xylan, with the 297 degree and pattern of O-acetylation regulating intra-molecular interactions within the cell wall 298 including cellulose-xylan and lignin-xylan interactions (Qaseem & Wu, 2020).

299

300 Central to the enhancement of drought tolerance mediated by acetate fermentation is the 301 detoxification of acetaldehyde mediated by ALDH. Numerous ALDH genes have long been 302 recognized as a key component of plant response to abiotic stress (Kirch et al., 2004) by acting 303 as "aldehyde scavengers" generated from lipid peroxidation (Singh et al., 2013) and funneling 304 acetaldehyde generated in fermentation metabolism to acetic acid (Wei et al., 2009). In 305 Arabidopsis, the ALDH311 gene is transcriptionally activated by abiotic stress, with over-306 expression providing enhanced stress tolerance (Singh et al., 2013). Moreover, both constitutive 307 and stress-induced expression of both the chloroplastic ALDH311 and the 308 cytoplasmic ALDH7B4 conferred tolerance to osmotic and oxidative stress, thereby protecting 309 plants against lipid peroxidation of sensitive membranes central for example in photosynthesis 310 and mitochondrial respiration (Singh *et al.*, 2013).

311

312 Acetate fermentation under aerobic conditions is linked to respiration, lipid biosynthesis, and 313 growth

314 In contrast to hypoxic conditions which inhibit mitochondrial respiration while stimulating 315 fermentation, aerobic conditions can support high rates of respiratory metabolism. Although 316 fermentation was traditionally considered only in hypoxic tissues, the emerging view is that this 317 process also occurs under aerobic conditions in plants regulated by the cell energy status that can 318 decline due to both decreases in usable energy production during abiotic stress like flooding and 319 drought, but also during high energy demand associated with accelerated growth rates. Similar to 320 the yeast pathway termed the "pyruvate dehydrogenase bypass" as an alternate route for acetyl-321 CoA production, acetate generated during aerobic fermentation in plants can be activated to 322 acetyl CoA by an acetyl CoA synthetase (Wei et al., 2009). Germinating tobacco pollen under 323 aerobic conditions has high rates of both aerobic fermentation and respiration to meet its high 324 energetic and biosynthetic demands (Bucher et al., 1995). As aerobic respiratory metabolism is 325 important during both growth and defense processes (Millar et al., 2011), fermentation under 326 aerobic conditions in leaves and other plant tissues may help funnel respiratory substrates into 327 mitochondria to support energy production and amino acid biosynthesis as well as into 328 chloroplasts and the cytoplasm for the biosynthesis of fatty acids and secondary metabolites like 329 terpenoids. For example, acetate fermentation under aerobic conditions coupled to acetate-330 dependent acetyl-CoA production was concluded to be very important in lipid biosynthesis in 331 germinating tobacco pollen (Mellema *et al.*, 2002). Recently, a ${}^{13}C_2$ -acetate solution directly 332 injected into the xylem of poplar trees was shown to be efficiently transported to the canopy via the transpiration stream and utilized as a respiratory substrate in leaves (Jardine et al., 2022). 333 334 These observations demonstrated the potential for acetate fermentation under aerobic conditions 335 to contribute to whole plant metabolism and growth. However, light appeared to inhibit the 336 allocation of acetate into leaf respiratory metabolism during the day, with increased daytime 337 allocation to biosynthetic processes speculated (Jardine et al., 2022). The importance of acetate 338 as a growth promoter was further highlighted in a recent study where exogenously supplied 339 acetate was incorporated into biomass through major metabolic pathways (Hann et al., 2022). 340 This opens the door to acetate delivery to plants being used to enhance growth yields, especially 341 for food production under controlled environments (Hann et al., 2022).

342

343 Acetate fermentation and 'Defense Respiration' during drought and high temperature stress

Although variable, drought generally reduces plant respiration rates that are considered criticalfor not only survival during the drought, but also rapid recovery of productivity following the

346 release of water stress (Atkin & Macherel, 2009). Decreases in photosynthesis are routinely 347 observed to be more extreme than those of respiration in response to drought (Schwalm *et al.*, 348 2010). Together with the increased temperature associated with many droughts, a conversion to a 349 negative whole plant carbon balance with increased risk of carbon starvation has been observed 350 under drought (Zhao et al., 2013). How drought causes shifts in respiratory substrates in plant 351 tissues is poorly understood. In stems, a paradox was presented that together with decreasing 352 respiratory rates, an increase in respiratory substrates was observed including sugars and sugar 353 alcohols and to a lesser extent, amino acids and organic acids (Rodríguez-Calcerrada et al., 2021). Although acetate was not reported, one hypothesis is the glycolysis of accumulated sugars 354 355 and a metabolic shift away from a direct entry of pyruvate into the TCA cycle and towards 356 acetate biosynthesis (Kim et al., 2017) leads to a large increase in acetate utilized as a respiratory 357 substrate during drought.

358

359 While maintenance and growth respiration are often treated separately in most terrestrial models, 360 the lack of an underlying biochemical basis to separate these processes has been noted (O'Leary 361 et al., 2019). Although lacking experimental evidence of its existence, we propose the new 362 concept of 'Defense Respiration' fueled by acetate fermentation. This process would not only 363 lead to enhanced acetylation of proteins and structural polysaccharides involved in defense 364 signaling and modified cell wall chemical and physical properties under reduced tissue water 365 potential, but also provide acetate substrate for both biosynthesis and alternative energy 366 production via aerobic respiration. To test this hypothesis, future studies aiming to characterize 367 shifts in respiratory rates and substrate composition during drought should aim to specifically 368 include tissue acetate concentrations in analytical methods.

370 Remote chemical sensing of acetate fermentation in terrestrial ecosystems through emerging 371 methods in land-atmosphere fluxes and atmospheric concentrations of acetic acid

372 Despite the increased recognition of ethanolic and acetate fermentation under both hypoxic and 373 aerobic conditions, relatively few measurements of plant-atmosphere gas-exchange of 374 fermentation volatiles exist in Earth's major biomes including both managed and natural 375 ecosystems (Kesselmeier & Staudt, 1999; Kesselmeier, 2001; Jardine et al., 2012). This is in 376 part, because fermentation products acetaldehyde, ethanol, acetone, and acetic acid deriving from 377 pyruvate decarboxylation by PDC are present in low concentrations in plant tissues where they 378 are rapidly metabolized and transported between tissues in the aqueous and gaseous phases, and are highly volatile allowing them to escape the plant via stomatal emissions (Jardine et al., 379 380 2010). However, it should be noted that acetic acid establishes chemical equilibria between the 381 protonated volatile acid form (acetic acid) which can escape the plant as a gas, and the non-382 volatile acetate anion, which is restricted to the aqueous phase. Thus, intracellular and 383 extracellular pH affects the relative fraction of acetate and acetic acid. For example, the slightly 384 alkaline pH of a typical plant cytoplasm (pH of 7.5-6.5) is expected to favor the dissociation of 385 acetic acid to acetate. In contrast, pH of the apoplast is more acidic with typical values between 386 4-6 (Yu et al., 2000), favoring a larger fraction in the volatile acetic acid form with 50% acetic acid and 50% acetate at pH of 4.8, the pKa of acetic acid. How intra- and extra-cellular 387 388 variations in pH control the volatility and solubility of acetate/acetic acid in plants, and therefore 389 determine its function and fate from the allocation to anabolic and catabolic metabolism to the 390 formation of gas-phase acetic acid, which can escape the plant, is an area of active research.

392 Real-time observations of fermentation volatile gas-exchange fluxes between leaves, stems, and 393 roots, individual plant canopies, whole ecosystems and the atmosphere offers new opportunities 394 for non-invasive studies of fermentation metabolism in plants and their biological and 395 environmental controls. Gas-exchange methods can be adapted to both process-based laboratory 396 studies under controlled environmental conditions, and field studies aimed at developing a 397 predictive understanding of the response of ecosystems to environmental change, where 398 environmental conditions are monitored, but not controlled. Limited studies on plant acetic acid 399 emissions have found emissions to be positively influenced by environmental parameters 400 affecting stomatal opening and/or biosynthesis such as photosynthetically active radiation and 401 leaf temperature (Kesselmeier et al., 1998; Gabriel et al., 1999; Kesselmeier, 2001). Using 402 dynamic plant chambers on potted trees grown outdoors continuously flushed with purified air, acetic acid emissions were observed between 0.7 and 8.1 nmol m⁻² min⁻¹ from numerous tree 403 404 species (Kesselmeier et al., 1998).

405

406 Current methods to quantify acetate fermentation responses to drought stress require destructive 407 tissue sampling, greatly limiting the temporal and spatial scales that can be studied. Moreover, 408 metabolomics techniques based on tissue extractions often miss acetate while most trace volatile 409 components like acetaldehyde, ethanol, acetic acid, and acetone are lost during tissue extraction 410 and sample preparations (Qualley & Dudareva, 2009). In contrast, chemical sensing techniques 411 are under development using techniques to quantify plant-atmosphere emissions of volatile 412 fermentation products like acetic acid as well as vertical atmospheric concentration profiles from 413 a variety of spatial and temporal scales ranging from individual leaves, branches, ecosystems, 414 landscapes, regions and even global scales (Figure 3). Towards the goal of developing a 415 comprehensive understanding of whole plant acetate transport and metabolism (**Figures 1-2**), 416 future studies could leverage recent advances in continuous non-destructive whole plant ¹³C-417 labeling of respiratory CO₂ using the dynamic xylem solution injection (DXSI) technique for 418 continuous delivery of ¹³C₂-acetate to plant canopies via the transpiration stream (Jardine, K *et* 419 *al.*, 2022).

420

421 In contrast to real-time measurement techniques like PTR-MS and SIFT-MS, offline techniques 422 have been reported for gaseous acetic acid measurements based on thermal desorption pre-423 concentration (Dewhirst et al., 2020) as well as aqueous collections of gaseous acetic acid 424 followed by analysis of acetate in the laboratory by gas chromatography (Kesselmeier et al., 425 1998). While modern gas chromatography-mass spectrometer systems show high selectivity and 426 sensitivity for low ppb acetic acid quantification in low air values (e.g. 100 ml), great care must 427 be taken to minimize and take into account any artifacts caused by the creation of acetic acid 428 during the thermal desorption process (Dewhirst et al., 2020). However, due to relatively strong 429 interactions with surfaces including the stationary phase of the analytical column leading to 430 severe peak tailing and other chromatographic issues, organic acids like acetic acid typically do 431 not perform well in GC analysis without derivatization. Alternatively, owing to the high-water 432 solubility of acetic acid, it can be quantitatively collected by bubbling air samples through a 433 sterile, pH buffered aqueous solution followed by later quantification of aqueous acetate and/or 434 acetic acid in the laboratory (Kesselmeier et al., 1998). To avoid the chromatography issues with 435 non-derivatized acetic acid, one possibility is to quantify the aqueous acetic acid concentration 436 indirectly using colorimetric acetate assay kits commonly used to quantify acetate concentrations437 in tissue extracts (Dewhirst et al., 2020).

438

439 Using online mass spectrometry, temperature-dependent emissions of acetaldehyde, ethanol, 440 acetic acid, and methyl acetate were quantified in real-time from individual leaves and branches 441 during drought response in poplar trees (Dewhirst et al., 2021; Jardine et al., 2022). Acetic acid 442 emission has also been evaluated at the ecosystem scale using continuous vertical profiles of acetic acid ambient concentrations within and above a tropical forest canopy in South America 443 444 (Jardine et al., 2011) and in North America using the technique of eddy covariance where acetic 445 acid emission fluxes are calculated every 30 min from the covariance between above canopy 446 vertical wind speed and ambient acetic acid concentrations (Kim et al., 2010; Park et al., 2013). 447 However, due to the low concentrations (low ppb), high water solubility, and "stickiness" of 448 acetic acid, gas phase emission measurements from plants and ecosystems remains highly 449 technical. It can be completely lost if the gas sample encounters condensed water along the 450 sample path and often shows memory effects in unheated tubing due to surface adsorption and 451 desorption from many materials at ambient temperate. Thus, operating online trace gas sensors 452 for volatile organic compounds like PTR-MS and SIFT-MS at the highest sensitivity possible, 453 regular calibration with a certified primary standard for acetic acid, using high purity water 454 sources for regulating humidity and preventing condensation in plant enclosures, and heating all 455 gas sample tubing to 50-60 °C to prevent condensation and wall losses during gas sample 456 transport to the analyzer is critical (Jardine et al., 2022).

457

458 Extending beyond the ecosystem scale, horizontal heterogeneity at the landscape scale of surface 459 emissions of volatile organic compounds has recently been demonstrated for volatile isoprenoids 460 using a drone fitted with a volatile collection system (Batista *et al.*, 2019). While acetic acid has 461 not yet been quantified across landscapes using drones, this is expected to change in the near 462 future given the rapid pace of development in both drone and atmospheric sensor technologies 463 (Galle et al., 2021). Development of real-time infrared spectroscopy methods, such as cavity 464 ring-down spectroscopy (CRDS) and tunable laser direct absorption spectroscopy (TILDAS) 465 methods for trace analysis of gaseous ethanol, acetic acid, acetaldehyde, acetone, and other 466 fermentation volatile fluxes is on the horizon (Crunaire et al., 2006; Zhou et al., 2016) and 467 promises to bridge the gap between larger real-time mass-spectrometer systems, and more field 468 portable systems that require reduced footprint and energy requirements. While a commercial 469 TILDAS system is available for high sensitivity real-time observations of formaldehyde 470 (Aerodyne Research, Inc., <100 ppt detection limit with 1 second averaging), in general further 471 developments in CRDS and TILDAS selectivity and sensitivity are needed to reach the necessary 472 limits of detection needed to quantify concentrations and fluxes of fermentation volatiles; Gas-473 phase concentrations are typically observed in plant enclosures and forested atmospheres in the 474 low ppb range. Furthermore, vertical gradient measurements of volatile constituents of the lower 475 troposphere are routinely collected during atmospheric measurement campaigns using tethered 476 balloon systems. For example, a tethered balloon system was developed to collect volatile 477 organic compounds at different heights up to 1 km in altitude into Teflon bags using a timer-478 controlled pump system placed at different lengths on the tether as the balloon ascends into the 479 lower troposphere (Tseng et al., 2009). Finally, a global view of regional surface source of acetic 480 acid has recently been demonstrated based on infrared atmospheric sounding interferometer (Franco et al., 2020). Consistent with a terrestrial vegetation source, acetic acid abundance was found to be highly correlated to model-derived emissions of isoprene and monoterpenes, biomarkers of terrestrial photosynthesis. While careful validation at each spatial scale is required, these developments offer the exciting possibility of monitoring both managed and natural ecosystem fermentation response to abiotic stress across spatial and temporal scales by evaluating changes in atmospheric concentrations of acetic acid and other volatile fermentation products from individual leaves (minutes-hours) to regions (days-year).

488

489 Conclusions and perspectives

490 Plant fermentation is an ancient metabolic pathway that may be critical in surviving hypoxic 491 conditions experienced by roots during flooding. However, emerging evidence suggests that 492 rather than a strict dependence on oxygen availability in tissues, fermentation can also be active 493 under aerobic conditions linked to a drop in cellular energy status. Key adaptations to root 494 hypoxia in flood tolerant species, including enhanced uptake of atmospheric oxygen in aerial 495 tissues and delivery to roots, is lacking in flood intolerant species. This likely explains why much 496 higher foliar emissions of ethanol and acetaldehyde have been observed from some flood 497 intolerant species during flooding compared with flood tolerant species, in contrast to early 498 predictions. Moreover, high tissue concentrations and atmospheric emissions of fermentation 499 volatiles have been observed under aerobic conditions in well drained soils associated with 500 temperature-linked growth processes as well as drought stress. Further, genomic and 501 transcriptomic studies have revealed that a metabolic shift towards acetate fermentation occurs in 502 roots and leaves which coordinates drought tolerance in plants via protein acetylation and the 503 activation of the jasmonate signaling pathway. Additional studies revealed that acetate

504 fermentation under aerobic conditions improves plant growth and that co-occurrence of acetate 505 fermentation, aerobic respiration, and the utilization of acetate in biosynthetic pathways helps 506 plants meet the high energetic and carbon demands of fast growth rates. This recent research 507 appears to resolve the paradox from earlier work of why fermentation enzymes are so abundant 508 in leaves when they are the least likely tissue to experience hypoxia. While destructive methods 509 are mainly used to study fermentation patterns in plants, the emerging frontier of quantifying 510 biosphere-atmosphere fluxes of fermentation volatiles and atmospheric vertical concentration 511 gradients may provide a means to study dynamic fermentation responses in plants during growth 512 and environmental stress from leaves, branches, ecosystems, landscapes and whole regions. This 513 may enable studies aimed at improving the quantitative understanding of the plant physiological 514 and ecological roles of fermentation under hypoxic and aerobic conditions. This includes 515 potential critical roles in supporting productivity during favorable conditions for net carbon 516 assimilation and growth, as well as defense processes linked to survival during abiotic stress in a 517 changing climate.

518

519 Although field observations to quantify plant fermentative metabolism patterns across diverse 520 plant functional types are in general lacking, ecosystems regularly exposed to root flooding like 521 mangroves (McKee & Mendelssohn, 1987) and low-lying tropical forests may be considered to 522 have high rates of fermentative metabolism (Kreuzwieser et al., 2004). For example, in 523 Amazonian floodplain forests, more than 1000 tree species are exposed to extended annual 524 submergence lasting up to 9 months each year, with full submergence of young trees (Parolin, 525 2009). Despite hypoxia, restricted photosynthesis rates, and extremely low light levels during the 526 submergence, leafed seedlings survival rates are high (Parolin, 2009). Aerobic fermentation 527 metabolism coupled to respiration in fast growing tropical pioneer tree species like Vismia 528 guianensis (Rodrigues et al., 2020), may also be important in the re-growth of tropical forests 529 following a disturbance. In addition, high temperature and drought stress characteristic of desert 530 ecosystems may also stimulate high rates of fermentative metabolism as a key survival trait. For 531 example, creosotebush (Larrea tridentata), which grows in well drained sandy soils, is vastly 532 distributed in North American deserts, and was reported to have large temperature-stimulated 533 leaf emissions of the fermentation volatiles acetaldehyde, acetic acid, acetone, ethanol, and 534 methyl acetate during the summer monsoon in the Sonoran desert (Jardine et al., 2010). Thus, 535 plant fermentation studies across diverse plant functional traits like photosynthetic types (C_3 , C_4 , 536 and crassulacean acid metabolism), growth rates, wood density, specific leaf area, etc. may lead 537 to improvements in our predictive understanding of the roles of plant fermentative metabolism in 538 the establishment and resilience of ecosystem structure and function (Figure 4).

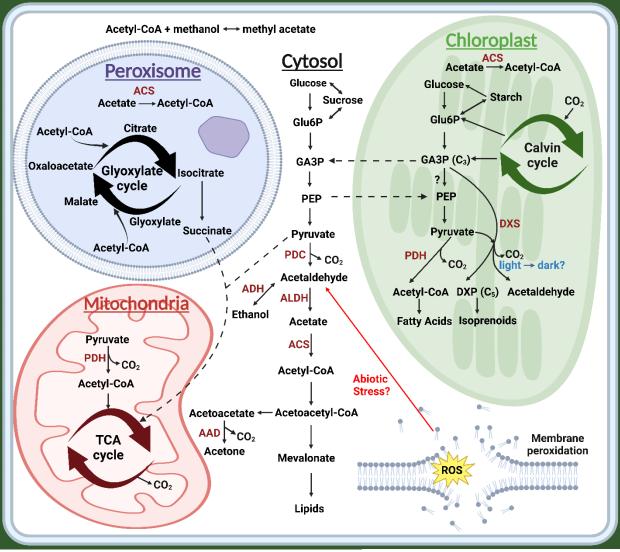
539

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- 550
- 551 Competing Interests
- 552 None declared.





554 555 Figure 1: Graphic summary of acetate metabolism of photosynthetic plant cells including the 556 volatile fermentation intermediates acetaldehyde, ethanol, acetic acid, acetone, and methyl 557 acetate. Following the activation of acetate to acetyl-CoA in the cytosol, peroxisome and 558 chloroplast, acetate is efficiently utilized by the mevalonate pathway for the biosynthesis of lipids 559 and secondary metabolites, oxidized to CO₂ via the coupled activities of the glyoxylate cycle and 560 mitochondrial respiration, and utilized to support fatty acid biosynthesis in chloroplasts. Two 561 putative alternative sources of acetaldehyde are also highlighted including the potential production of acetaldehyde from photosynthesis-linked intermediates of the isoprenoid pathway 562 following light-dark transitions, and the production of acetaldehyde from membrane 563 peroxidation by reactive oxygen species (ROS) during abiotic stress. Key enzymes (and their 564 565 Enzyme Commission number, EC) mediating these transformations are acetoacetate decarboxylase (AAD, EC 4.1.1.4), acetyl-CoA synthetase (ACS, EC 6.2.1.1), pyruvate 566 567 dehydrogenase (PDH, EC 1.2.4.1), pyruvate decarboxylase (PDC, EC 4.1.1.1), alcohol dehydrogenase (ADH, EC 1.1.1.1), aldehyde dehydrogenase (ALDH, EC 1.2.1.3), and 1-deoxy-568 569 D-xylulose 5-phosphate synthase (DXS, EC 2.2.1.7). Metabolite abbreviations include

- 570 glyceraldehyde-3-phosphate (GA3P), glucose-6-phosphate (Glu6P), phosphoenolpyruvate (PEP),
- and 1-deoxy-D-xylulose 5-phosphate (DXP).

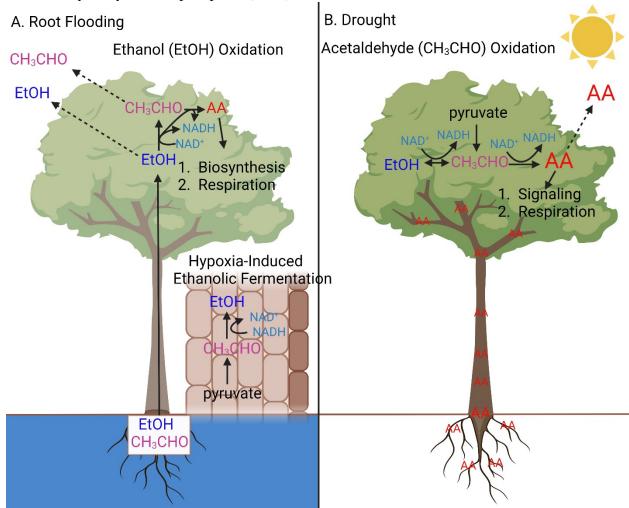


Figure 2: Graphical representation of tree fermentation processes during root hypoxia stress 573 induced by flooding and drought stress associated with decreased precipitation and cloud-free 574 575 conditions. In scenario A (Root Flooding), Ethanol (EtOH) production during ethanolic 576 fermentation in roots under hypoxia helps regenerate Nicotinamide Adenine Dinucleotide 577 (NAD⁺) consumed during the oxidation of sugars by glycolysis. Transport of EtOH and its 578 precursor acetaldehyde (CH₃CHO) to the canopy via the transpiration stream results in enhanced 579 emissions of these volatiles to the atmosphere. However, coupled to Nicotinamide Adenine 580 Dinucleotide Hydrogen (NADH) formation in leaves and other aerobic tissues, the majority of 581 EtOH is oxidized back to acetaldehyde which is further oxidized to acetic acid (AA). Finally, AA is then utilized by both catabolic (aerobic respiration) and biosynthetic (e.g. lipid synthesis) 582 583 processes associated with growth. In scenario **B** (Drought), transpiration is greatly reduced and 584 AA is directly produced in roots, stems and leaves via acetate fermentation under aerobic 585 conditions where it coordinates whole plant signaling and other defense processes via acetylation 586 and respiration.

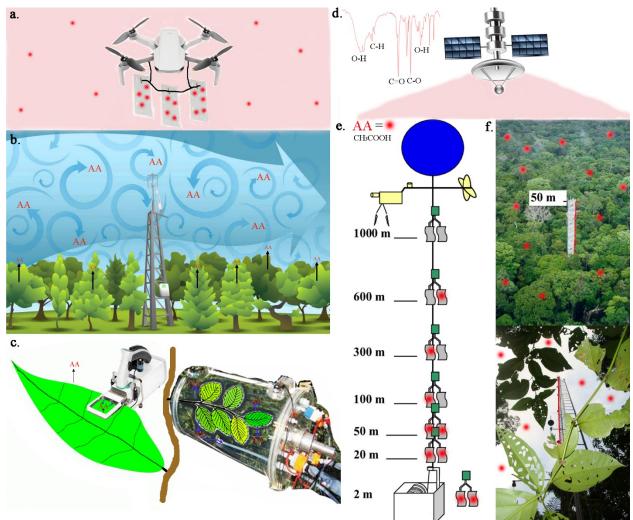


Figure 3: Graphical summary of emerging methods to quantify acetic acid (CH₃COOH, AA)
emissions from the terrestrial biosphere. a. Drone based air sample collections to characterize
above canopy AA flux horizontal heterogeneity at the landscape scale, b. Ecosystem-scale
vertical AA flux measurements using eddy covariance, c. Individual leaf and branch AA flux
measurements using environmentally controlled dynamic chambers, d. Satellite-based remote
sensing of atmospheric AA using IR-absorbance spectroscopy, e. Vertical tropospheric gradients

- 594 of AA to characterize regional scale AA sources and sinks, and **f.** fine-scale within canopy
- 595 sources and sinks determined by high resolution vertical concentration gradient system on a flux
- 596 tower within and above a forest canopy.

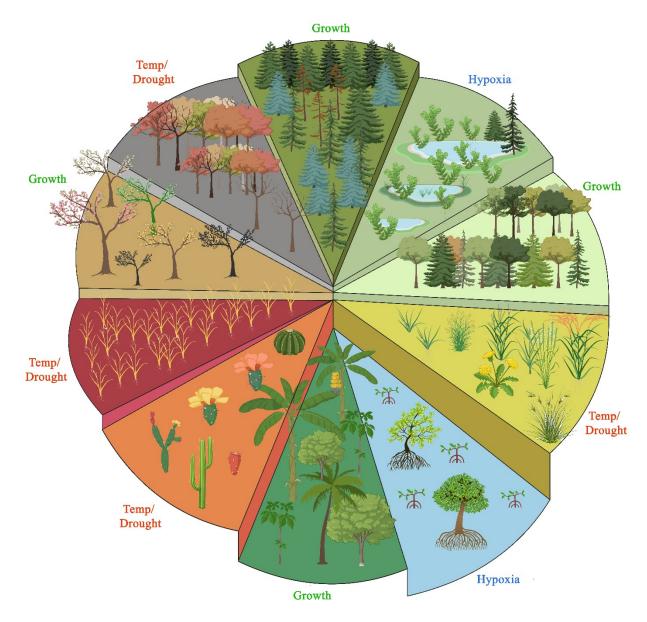


Figure 4: Exploring physiological and ecological roles of plant fermentation metabolism in
diverse ecosystems globally under a changing climate. The dominant process anticipated to be
linked with plant fermentation activity in each ecosystem is listed as root response to hypoxia
(blue), growth and development (green), and high temperature/drought responses (red).

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