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## A $\beta$ -Positivity Predicts Cognitive Decline but Cognition Predicts Progression to A $\beta$ -Positivity

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### Abstract

**Background**—Stage 1 of the NIA-AA's proposed Alzheimer's disease (AD) continuum is defined as  $\beta$ -amyloid (A $\beta$ ) positive but cognitively normal. Identifying at-risk individuals *before* A $\beta$  reaches pathological levels could have great benefits for early intervention. Although A $\beta$  levels become abnormal long before severe cognitive impairments appear, increasing evidence suggests subtle cognitive changes may begin early, potentially before A $\beta$  surpasses the threshold for abnormality. We examined whether baseline cognitive performance would predict progression from normal to abnormal levels of A $\beta$ .

**Methods**—We examined the association of baseline cognitive composites (Preclinical Alzheimer Cognitive Composite [PACC]; ADNI memory factor score [ADNI\_MEM]) with progression to A $\beta$ -positivity in 292 non-demented, A $\beta$ -negative Alzheimer's Disease Neuroimaging Initiative (ADNI) participants. Additional analyses included continuous CSF biomarker levels to examine the effects of subthreshold pathology.

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\* Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database ([adni.loni.usc.edu](http://adni.loni.usc.edu)). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of ADNI investigators can be found at: [http://adni.loni.usc.edu/wp-content/uploads/how\\_to\\_apply/ADNI\\_Acknowledgement\\_List.pdf](http://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf)

### DISCLOSURES

The authors report no biomedical financial interests or potential conflicts of interest.

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**Results**—Forty participants progressed to A $\beta$ -positivity during follow-up. Poorer baseline performance on both cognitive measures was significantly associated with increased odds of progression. More abnormal levels of baseline CSF p-tau and subthreshold A $\beta$  were associated with increased odds of progression to A $\beta$ -positivity. Nevertheless, baseline ADNI\_MEM performance predicted progression even after controlling for baseline biomarker levels and *APOE* genotype (PACC was trend level). Survival analyses were largely consistent: controlling for baseline biomarker levels, baseline PACC still significantly predicted progression time to A $\beta$ -positivity (ADNI\_MEM was trend level).

**Conclusions**—The possibility of intervening *before* A $\beta$  reaches pathological levels is of obvious benefit. Low cost, non-invasive cognitive measures can be informative for determining who is likely to progress to A $\beta$ -positivity, even after accounting for baseline subthreshold biomarker levels.

### Keywords

biomarker trajectories;  $\beta$ -amyloid; cognition; amyloid accumulation; Alzheimer's disease (AD); mild cognitive impairment (MCI)

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## INTRODUCTION

Given its long prodromal period, Alzheimer's disease (AD) treatment should begin as early as possible (1). Early intervention may be possible after identifying A $\beta$ -positive individuals who are still cognitively normal, defined as preclinical/Stage 1 of the AD continuum proposed by the National Institute on Aging-Alzheimer's Association (NIA-AA) research framework (2). Yet being A $\beta$ -positive means significant pathology is already present. It may be critically important to identify at-risk individuals *before* they develop substantial amyloid burden (i.e., at Stage 0) to improve treatment efficacy and slow progression to AD dementia.

Examinations of AD biomarkers primarily focus on biomarkers as predictors of cognitive decline, but here our focus was on biomarker positivity as an outcome. Abnormal biomarkers precede clinical symptom onset by years or even decades (3–5). However, there is also evidence that cognition demonstrates subtle change earlier than is typically appreciated. Cognition begins to show accelerated change across individuals with a range of baseline A $\beta$  values, including those who are A $\beta$ -negative (6, 7). Delayed recall has been shown to demonstrate accelerating change prior to other biomarker and clinical measures (8–10). Change in amyloid is also correlated with change in cognition (11, 12). Thus, A $\beta$  accumulation, including subthreshold levels, is related to concurrent or future cognitive outcomes. However, none of these studies addressed whether baseline cognitive performance can predict progression to A $\beta$ -positivity as an outcome. According to the NIA-AA framework staging, A $\beta$ -positivity precedes cognitive impairment, consistent with serial models of AD trajectories. Here, we examined whether baseline cognition among A $\beta$ -negative individuals could predict later progression to A $\beta$ -positivity, even among cognitively unimpaired individuals.

Increasing postmortem evidence indicates that abnormal tau appears in the brainstem during the earliest stages of AD – potentially before cortical A $\beta$  plaque deposition – and tau is

associated with poorer memory performance even in the absence of A $\beta$  (13–16). However, individuals classified as A–/T+ are not considered to be on the AD continuum. Although tau deposition in the absence of A $\beta$  might be age-related rather than Alzheimer’s-related, we also examined whether individuals with elevated tau would be more likely to progress to A $\beta$ -positivity, indicating increased risk of AD.

## METHODS

### Participants

Data used in the preparation of this article were obtained from the Alzheimer’s Disease Neuroimaging Initiative (ADNI) database ([adni.loni.usc.edu](http://adni.loni.usc.edu)). The ADNI was launched in 2003 as a public-private partnership, led by Principal Investigator Michael W. Weiner, MD. The primary goal of ADNI has been to test whether serial magnetic resonance imaging (MRI), positron emission tomography (PET), other biological markers, and clinical and neuropsychological assessment can be combined to measure the progression of MCI and early AD.

Participants from the ADNI-1, ADNI-GO, and ADNI-2 cohorts were included if they 1) had valid cognitive and cerebrospinal fluid (CSF) A $\beta$  and phosphorylated tau (p-tau) data at baseline, 2) had at least one follow-up of amyloid data based on CSF or positron emission tomography (PET), 3) were A $\beta$ -negative at baseline, and 4) did not have a diagnosis of Alzheimer’s dementia at baseline (see Table 1 for participant characteristics). In total, baseline and follow-up amyloid status were based on 585 assessments of CSF A $\beta$ , 646 florbetapir PET scans, and 10 <sup>11</sup>C-Pittsburgh Compound B (PIB) scans. Individuals were classified as A $\beta$ -stable if they had no abnormal amyloid levels at any follow-up, or as A $\beta$ -converter if they showed evidence of abnormal A $\beta$  at a follow-up assessment. Individuals who were A $\beta$ -positive at multiple assessments followed by a subsequent reversion to A $\beta$ -negative status on only a single timepoint were included as A $\beta$ -converters. Individuals who were only A $\beta$ -positive at one assessment followed by reversion to A $\beta$ -negative were excluded (n=9). Individuals diagnosed as having MCI in ADNI (17) were included if they were A $\beta$ -negative at baseline because our focus was to determine whether poorer cognition may precede amyloid positivity, and some of these A $\beta$ -negative individuals with MCI may progress to A $\beta$ -positive. Excluding them would truncate the distribution of cognitive performance, our predictor of primary interest. A total of 292 individuals were included (252 A $\beta$ -stable, 40 A $\beta$ -converters). Despite being A $\beta$ -negative, 138 (47.3%) were diagnosed with MCI at baseline.

Procedures were approved by the Institutional Review Board of participating institutions and informed consent was obtained from all participants.

### CSF and amyloid imaging measures

CSF samples were collected and processed as previously described (18). CSF A $\beta$ <sub>42</sub> and p-tau were measured with the fully automated Elecsys immunoassay (Roche Diagnostics) by the ADNI biomarker core (University of Pennsylvania). Established cutoffs designed to maximize sensitivity in the ADNI study population were used to classify biomarker

positivity [ $A\beta+$ :  $A\beta_{42} < 977$  pg/mL; p-tau+: p-tau  $> 21.8$  pg/mL] (<http://adni.loni.usc.edu/methods>) (19).

PET  $A\beta$  data were processed according to previously published methods (<http://adni.loni.usc.edu/methods>) (20, 21). Mean standardized uptake value ratios (SUVR) were taken from a set of regions including frontal, temporal, parietal and cingulate cortices using whole cerebellum (florbetapir) or cerebellar gray matter (PIB) as a reference region. Established cutoffs to determine  $A\beta+$  were used for PIB-PET (SUVR  $> 1.44$ ) and florbetapir-PET (SUVR  $> 1.11$ ) (20).

CSF  $A\beta$  assessment was more common at earlier study timepoints, whereas PET assessments became more common at later timepoints. We included both modalities to maximize the number of individuals with baseline data and increase the length of follow-up assessment for dichotomized outcomes. However, it was necessary to restrict analyses of continuous baseline values to a single modality so that values were equivalent. CSF was chosen to examine continuous levels of baseline  $A\beta$  because it was available for more participants compared with PET.

### Cognitive measures

We used two composite measures of baseline cognition. ADNI\_MEM is based on a factor model of scores from four episodic memory tests: Rey Auditory Verbal Learning Test, Alzheimer's Disease Assessment Schedule–Cognition (ADAS-Cog) word list and recognition, Mini-Mental State Examination (MMSE) word recall, and Logical Memory immediate and delayed recall (22). The Preclinical Alzheimer Cognitive Composite (PACC) (23, 24) is designed to detect amyloid-related cognitive decline and is based on delayed recall from the ADAS-Cog and Logical Memory, MMSE total score, and Trails B time. ADNI\_MEM and PACC scores were converted to z-scores and coded such that higher scores reflect *poorer* performance. In a secondary analysis, we examined the ADNI\_EF factor score (25) to test whether a composite baseline executive function measure also predicted conversion to  $A\beta$ -positivity.

### Covariates

Age and *APOE* genotype ( $\epsilon 4+$  vs.  $\epsilon 4-$ ) were included because of their association with increased amyloid (26). Although age and cognitive performance are correlated, the variance inflation factor (VIF) for these variables was 1.30 in all models, well below the common threshold indicating excessive multicollinearity. Length of follow-up was included to account for its effect on odds of observing eventual progression to  $A\beta$ -positivity. Education was included to account for long-standing differences in cognitive ability or cognitive reserve that might influence the relationship between amyloid and cognition. In other analyses, baseline biomarkers were included to assess the effect of AD-related pathology on progression to  $A\beta$ -positivity. P-tau status (p-tau+ vs. p-tau-) was included to account for differences in cognition due to other AD-related pathology. An additional set of models included continuously measured CSF  $A\beta_{42}$  and p-tau as covariates to determine whether subthreshold levels of pathology predict later progression to  $A\beta$ -positivity. These measures

were converted to z-scores and values of CSF A $\beta$ <sub>42</sub> were reverse coded such that higher values of both indicated abnormality.

### Statistical analysis

We tested A $\beta$ -stable and A $\beta$ -converter groups for differences in the covariates using  $\chi^2$  and t-tests. Logistic regression models were used to test whether baseline cognition in A $\beta$ -negative individuals was associated with increased odds of future progression to A $\beta$ -positivity. We chose this approach over a generalized linear mixed-effects (GLMM) logistic regression that includes data from all timepoints because the issue of primary interest was the odds of progressing to A $\beta$ -positivity at any point during follow-up, not the odds of being A $\beta$ -positive at each individual timepoint (see Supplemental Material for further discussion). The first set of models separately tested the ADNI\_MEM and PACC, with baseline cognitive performance on these measures as predictors and group (A $\beta$ -stable or A $\beta$ -converter) as the outcome. The second set of models additionally included p-tau status to assess whether lower cognitive performance was driven by abnormal levels of p-tau, the other hallmark AD pathology. Although no subject met criteria for abnormal A $\beta$  at baseline, that does not mean they were completely pathology-free. Therefore, we ran a third set of models to determine whether poorer baseline cognition was driven by sub-threshold levels of amyloid or tau. These models included continuous levels of CSF A $\beta$ <sub>42</sub> and p-tau as predictors. All models included age at baseline, *APOE* genotype (*e4+* vs. *e4-*), education, and length of follow-up as covariates. To determine whether effects were driven primarily by the subgroup with MCI at baseline, we conducted follow-up analyses excluding those individuals.

We also examined Cox proportional hazards models to test the association of baseline cognitive performance with time to conversion to A $\beta$ -positive (or censored at last follow-up). Two sets of models were run: the first included baseline cognitive performance as the predictor of interest; the second added continuous levels of baseline CSF A $\beta$ <sub>42</sub> and p-tau. These models additionally controlled for age at baseline, *APOE* genotype, and education. The survival analyses are useful for directly addressing the question of differential follow-up time. However, they consider individuals with differential times to conversion differently, and the use of multiple modalities may further affect time to conversion. Because our primary question of interest was about progression to A $\beta$ -positivity at any point during follow-up rather than its time to progression, we consider these models to be supplemental to the primary logistic regression analyses. Analyses were conducted with R version 3.5 (27).

## RESULTS

### Descriptive statistics

Descriptive statistics are presented in Table 1 and Table 2. There were no significant differences between groups for age ( $P=0.94$ ), gender ( $P=0.18$ ), or proportion of individuals with MCI ( $P=0.47$ ). A $\beta$ -converters were more likely to be *APOE-e4+* at a trend level ( $P=0.08$ ). The A $\beta$ -converter group had a higher average education (17.3 vs. 16.2 years;  $t=2.78$ ,  $P=0.007$ ). Follow-up interval was significantly longer for the A $\beta$ -converter group (4.22 vs. 3.23 years;  $t=2.50$ ,  $P=0.02$ ). The mean time between baseline cognitive testing and

the assessment at which A $\beta$ -converters first demonstrated progression to A $\beta$ -positivity was 2.8 years (interquartile range: 1.98–4.01 years). Of the 138 individuals who were A $\beta$ -negative and had MCI at baseline, 21 (15%) progressed to A $\beta$ -positivity. The MCI group did not have significantly different levels of baseline CSF A $\beta$  ( $P=0.119$ ) or p-tau ( $P=0.930$ ) compared to cognitively normal participants. However, individuals with MCI who progressed to A $\beta$ -positivity did have lower baseline CSF A $\beta$  ( $t=3.158$ ,  $P=0.004$ ) and higher p-tau ( $t=2.389$ ,  $P=0.024$ ) compared to those with MCI that did not (see Supplemental Table S1).

### Baseline cognition predicts progression to A $\beta$ -positivity during follow-up

In the first set of models, A $\beta$ -converters were also more likely to be *APOE- $\epsilon$ 4+*, have more education, and longer duration of follow-up. Age was not significantly associated with progression to A $\beta$ -positivity in either model. After accounting for covariates, individuals with poorer performance on either cognitive composite at baseline showed higher odds of progressing to A $\beta$ -positivity at follow-up (ADNI\_MEM: OR=1.66,  $P=0.013$ ; PACC: OR=1.66,  $P=0.01$ ). Full results of the regression models are presented in Figure 1.

The second set of models included a dichotomous classification for baseline CSF p-tau (Figure 2). A $\beta$ -converters were again more likely to be *APOE- $\epsilon$ 4+*, have more education, and longer duration of follow-up. Age and dichotomous p-tau status were not significantly associated with progression to A $\beta$ -positivity in either model. After controlling for covariates, poorer baseline performance on either cognitive composite remained significantly associated with increased odds of progressing to A $\beta$ -positivity at follow-up (ADNI\_MEM: OR=1.64,  $P=0.016$ ; PACC: OR=1.67,  $P=0.011$ ).

The third set of models addressed the question of whether subthreshold levels of AD pathology could account for the effect of lower cognitive performance on progression by including continuous CSF A $\beta$  and p-tau measures (Figure 3). More abnormal baseline CSF A $\beta$  and p-tau were associated with increased odds of progression to A $\beta$ -positivity (CSF A $\beta$ : OR=2.53 – 2.59,  $P<0.001$ ; CSF p-tau: OR=1.51,  $P=0.03$ ). Note that for CSF A $\beta$ , these values were all in the normal range according to standard cut-offs. After controlling for baseline biomarkers, the performance on the ADNI\_MEM remained a significant predictor (OR=1.61,  $P=0.03$ ), but the effect of the PACC was reduced to trend level (OR=1.49,  $P=0.071$ ). Education and length of follow-up remained significant predictors of progression, whereas the effect of *APOE- $\epsilon$ 4* status was reduced to trend level.

To determine whether these results may be driven by the MCI participants, we conducted analyses on cognitively normal and MCI groups separately. The large drop in sample size resulted in non-significant results for most analyses, but effect sizes of cognition predicting progression to A $\beta$ -positivity tended to be larger for the cognitively normal group.

Baseline performance on ADNI\_EF also significantly predicted later conversion at A $\beta$ -positivity. This effect remained when including dichotomous p-tau status, but became non-significant when including continuous levels of baseline CSF A $\beta$  and p-tau. (See Supplemental Table S2.)



### Baseline cognition predicts progression time to A $\beta$ -positivity

The Cox models were largely consistent with the logistic regression models (Figure 4, Supplemental Figure S1). In models including only baseline cognitive performance and covariates, *APOE*- $\epsilon$ 4 and higher education were associated with significantly higher risk whereas age was not. After accounting for covariates, lower cognitive performance was associated with increased risk of progression to A $\beta$ -positivity (ADNI\_MEM: HR=1.48,  $P=0.024$ ; PACC: HR=1.61,  $P=0.006$ ).

Additional Cox models were conducted including baseline CSF A $\beta$  and p-tau to assess the impact of subthreshold pathology on risk of progression to A $\beta$ -positivity (Figure 4, Supplemental Figure S2). More abnormal baseline CSF A $\beta$  and p-tau were associated with increased risk of progression to A $\beta$ -positivity (CSF A $\beta$ : HR=2.3,  $P<0.001$ ; CSF p-tau: OR=1.5,  $P<0.001$ ). The PACC remained significantly associated with increased risk of progression (HR=1.45,  $P=0.04$ ) whereas the effect of the ADNI\_MEM was reduced to trend level (HR=1.41,  $P=0.063$ ). Age was not associated with increased effects, and both *APOE*- $\epsilon$ 4 and education were reduced to trend level.

## DISCUSSION

### Cognitive function predicts A $\beta$ -positivity

Here we found that cognition can be a useful early risk indicator. The ability to identify individuals at risk *before* substantial A $\beta$  accumulation would enhance prospects for slowing AD progression and may be useful for selection of participants in clinical trials. The NIA-AA research framework represents a move toward defining AD as a biological construct (2). However, as noted by the NIA-AA workgroups on diagnostic guidelines for AD (28), behavioral markers may still hold great promise for early identification. Cognitive measures can predict progression from MCI to AD as well as or better than biomarkers (29–32). It is not surprising that cognitive measures predict future cognition, but we found that cognitive measures can predict progression to A $\beta$ -positivity even after accounting for baseline biomarker levels. Furthermore, composite measures such as those used here may provide substantial boosts in sensitivity compared to individual test scores (33, 34).

### Impact of subthreshold A $\beta$

Why would cognition predict future accumulation of AD pathology? There may be several potential explanations. Pathological processes may already be underway, and lower cognitive function may represent decline driven by subthreshold pathology. In a smaller ( $n=35$ ) study of ADNI participants, baseline cognition did not predict later progression to A $\beta$ -positivity (35). However, with the larger sample in our analysis, cognitive function was a significant predictor. Controlling for subthreshold A $\beta$  in our analysis attenuated the effect of cognition, lending support to the idea that even low levels of A $\beta$  are at least partially contributing to lower cognitive performance. This fits with growing evidence that subthreshold levels of A $\beta$  are clinically relevant. Cognitive tests at this early stage seem to be more sensitive than dichotomous classifications of biomarker abnormality at current detection thresholds. As biomarker measures become more sensitive, classification of biomarker abnormality may more consistently appear before cognitive differences.



On the other hand, cognition still predicted future progression to A $\beta$ -positivity even after controlling for subthreshold A $\beta$ . Therefore, cognitive performance contributes independent information, and the effect is not driven solely by individuals closer to the A $\beta$ -positivity threshold. Cognitive testing early on is also more practical, non-invasive, and far less costly than CSF or PET biomarkers.

Although CSF and PET measure different aspects of the amyloid process, both are considered valid indicators of abnormal A $\beta$  and use of both is consistent with the goals of the A/T/(N) framework. On the other hand, it may introduce some inconsistencies such as timing of conversion. Of the 40 A $\beta$ -converters, only 6 (15%) were based on different modalities (baseline CSF-negative; follow-up PET-positive), largely because later follow-ups were with PET. Moreover, these measures show high concordance (36–38) such that it is likely that if an individual is positive on one, it is likely they would be positive on the other at some point in the near future. Most importantly, our primary analyses only assess if—not when—someone converts to A $\beta$ -positivity, which should mitigate differences in these modalities.

The relevance of subthreshold pathology also has implications for the use of dichotomous versus continuous biomarker measures. Some have argued that making A $\beta$  thresholds less conservative may improve sensitivity without a substantial sacrifice of specificity (39). Our findings suggest that current thresholds may not detect meaningful early A $\beta$  accumulation, so the development of thresholds optimized for detecting the earliest stages of A $\beta$  deposition is an important goal. Analysis of continuous measures should also be conducted when possible because continuous and binary A/T/(N) measures may lead to inconsistent inferences. An alternative approach is to examine A $\beta$  accumulation over time. Several studies have examined individuals who do not meet the criteria for abnormal A $\beta$  but do demonstrate evidence of change in A $\beta$  (11, 12, 40–42). These studies find that change in A $\beta$  levels is correlated with concurrent cognitive decline, commonly assumed to result from A $\beta$  accumulation. Here we shifted the focus earlier in time and found that baseline cognition itself can predict later A $\beta$  accumulation.

### **Non-AD-related processes and ordering of AD-related changes**

An alternative explanation for cognition predicting A $\beta$ -positivity is that lower cognitive function at baseline may result from non-AD-related processes. Individuals who progress to MCI while being A $\beta$ -negative exhibit different biomarkers and cognitive profiles and tend to be on a non-AD trajectory (43). As a whole, the A $\beta$ -negative MCI group in our analysis did not differ from the cognitively normal group on baseline A $\beta$  or p-tau, perhaps suggesting a non-AD etiology for cognitive impairment. However, the significant association between baseline cognition and later A $\beta$ -positivity suggests that such processes are still somehow a risk factor for AD. Indeed, 15% of A $\beta$ -negative MCI participants did progress to A $\beta$ -positivity, at which point they would be classified as Stage 3 in the AD continuum. This 15% had more abnormal levels of baseline A $\beta$  (although still subthreshold) and p-tau compared to MCI participants that did not progress, suggesting that AD pathology may at least partially contribute to their cognitive impairment. Some individuals may be more sensitive to the effects of A $\beta$  such that even subthreshold levels result in cognitive impairment.

It is, of course, possible to have mixed etiology driving impairment whether it appears before or after an individual surpasses the threshold for A $\beta$ -positivity. Although the A/T/(N) framework is agnostic to the sequence of AD-related changes (44), these A $\beta$ -negative (A-) MCI cases would not be considered to be on the AD continuum. As such, cognitive impairment prior to A $\beta$ -positivity is assumed to have a non-AD etiology. However, as pointed out in the NIA-AA framework, it is also uncertain that cognitive impairment arising after A $\beta$ -positivity is solely due to AD pathology (2). Indeed, it is well known that there can be significant AD pathology without cognitive impairment (45–47). Although the proposed NIA-AA research framework staging captures the typical progression, it will be beneficial to maintain a degree of flexibility to account for individuals who may progress through these stages in a non-typical trajectory.

Tau-PET studies find that tau is confined to the medial temporal lobe and only spreads to the rest of the isocortex once A $\beta$  is present (48–51). However, some have suggested that tau and A $\beta$  develop independently, which may give rise to variable ordering in their progression (14, 15, 52). These different findings may raise questions about serial models of AD biomarker trajectories, i.e., that A $\beta$  always precedes tau. We found that continuous – but not dichotomous – levels of CSF p-tau were associated with significantly higher odds of progression to A $\beta$ -positivity. Thus, some individuals with elevated tau and subthreshold A $\beta$  do develop more typical AD-like profiles. Being at heightened risk of entering the AD continuum, they would be worth monitoring more closely.

### Long-standing individual differences

Another explanation for why cognition predicts A $\beta$ -positivity is that lower baseline cognition might reflect long-standing individual differences. Lower cognitive function may reflect less efficient neural processing, which would in turn require higher activity. It has been proposed that elevated synaptic activity across the lifespan could result in increased release and aggregation of A $\beta$  (53). Individuals with less efficient processing (indexed by lower cognitive function) may therefore be at greater risk of accumulating A $\beta$ .

However, this idea may seem to be contradicted by the unexpected finding that higher education was associated with increased odds of progression to A $\beta$ -positivity. We propose two potential explanations. First, individuals with lower education may be at greater risk of becoming A $\beta$ -positive prior to their baseline visit, and thus would not have been included in our analysis. Those with lower education who remained A $\beta$ -negative until their baseline visit may be more resistant to A $\beta$  deposition, and thus less likely to progress in the future. Second, the seemingly paradoxical education finding might be, in part, a function of ADNI ascertainment. Average education was 16+ years, yet only about 10% of this age cohort in the U.S. attained a 4-year college degree (54). ADNI participants were recruited at AD Research Centers, which are likely to attract people with concerns about memory and AD risk. There might, in turn, be a link between well-educated older adults with memory concerns and increased likelihood of progressing to A $\beta$ -positivity.

### Are the results driven by MCI cases?

We considered that the present results might be driven by the 47.3% of the sample diagnosed with MCI at baseline. However, ORs were in the direction of greater magnitude among cognitively normal participants when analyzed separately. It is also worth emphasizing that the results for the majority (52.7%) of the sample are consistent with typical disease progression because these non-MCI individuals did not have cognitive impairment prior to reaching A $\beta$ -positivity. Rather, differences within the range of normal cognitive function were informative about who is more likely to become A $\beta$ -positive.

### Implications for study participant selection

Use of A $\beta$ -positivity as inclusion criteria should be context dependent. Defined cut-points are necessary for clinical diagnosis and for clinical trials targeting A $\beta$  pathology. Including only biomarker-confirmed MCI cases will reduce the number of false-positive diagnoses and provide more certainty that cognitive deficits arise from AD pathology. Our results suggest that early cognitive testing may also have utility as a screening tool for identifying who should receive biomarker assessments to more directly assess disease etiology or suitability for clinical trials. However, it would exclude A $\beta$ -negative MCI cases who may later enter the AD continuum upon progression to A $\beta$ -positivity. If the goal is to understand the earliest stages of the AD continuum, it will be important to capture individuals who demonstrate putative atypical disease progression to better detect and identify sources of variability.

### Summary

Despite much evidence for the standard model of biomarker and cognitive trajectories, the current results demonstrate the complex nature of disease progression. Differences in cognition that predict future progression to A $\beta$ -positivity may be driven by subthreshold pathology, perhaps suggesting a need to reconsider current biomarker thresholds or to focus more on approaches that measure A $\beta$  accumulation. Additionally, higher levels of tau are associated with increased risk of becoming A $\beta$ -positive. Thus, elevated tau should be considered when identifying those at risk for developing AD. A subset of individuals with MCI but normal A $\beta$  levels may similarly end up on the AD pathway as indicated by later progression to A $\beta$ -positivity. Importantly, the results strongly suggest that cognition should not be considered important only as a late-stage endpoint of AD. Rather, even when cognitive function is still within the normal range, it can provide a sensitive, low-cost, non-invasive predictor of risk, potentially before current thresholds for A $\beta$ -positivity are reached.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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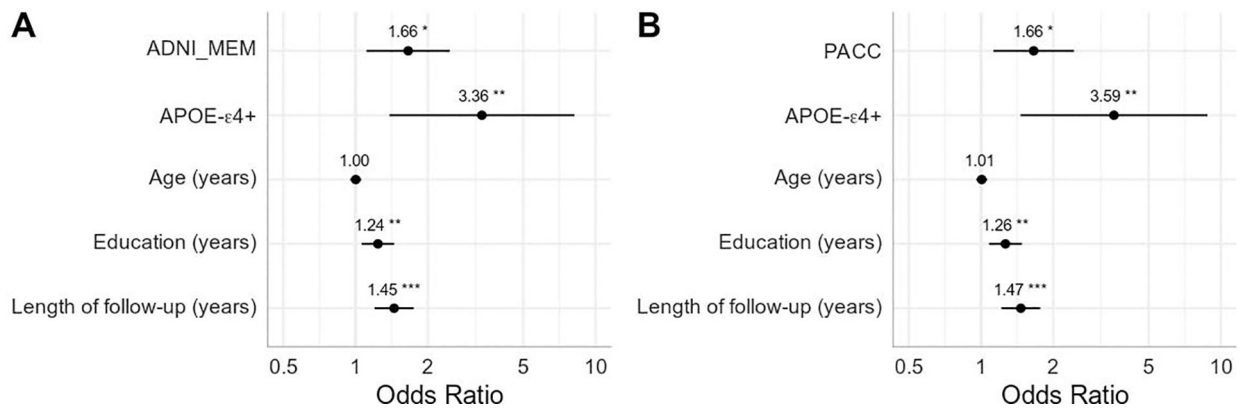
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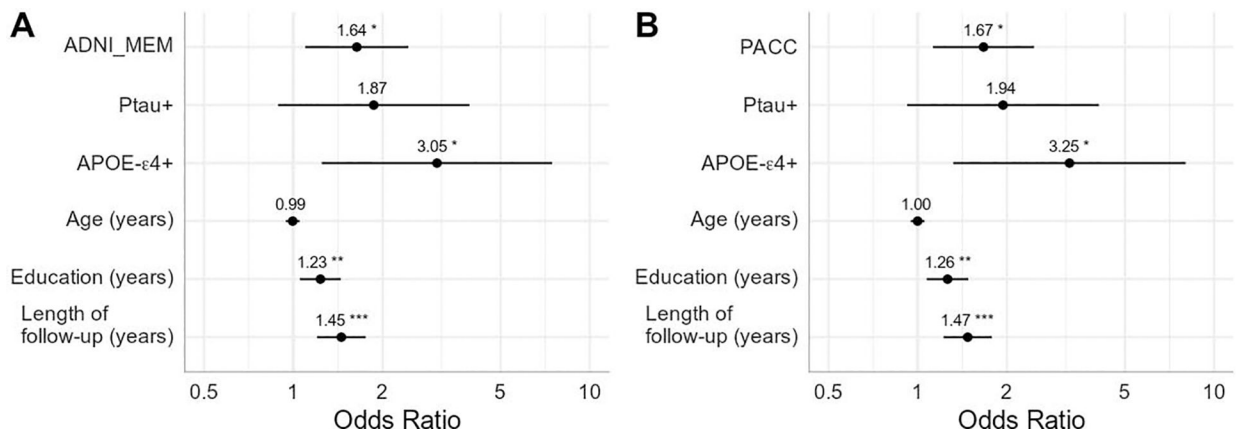


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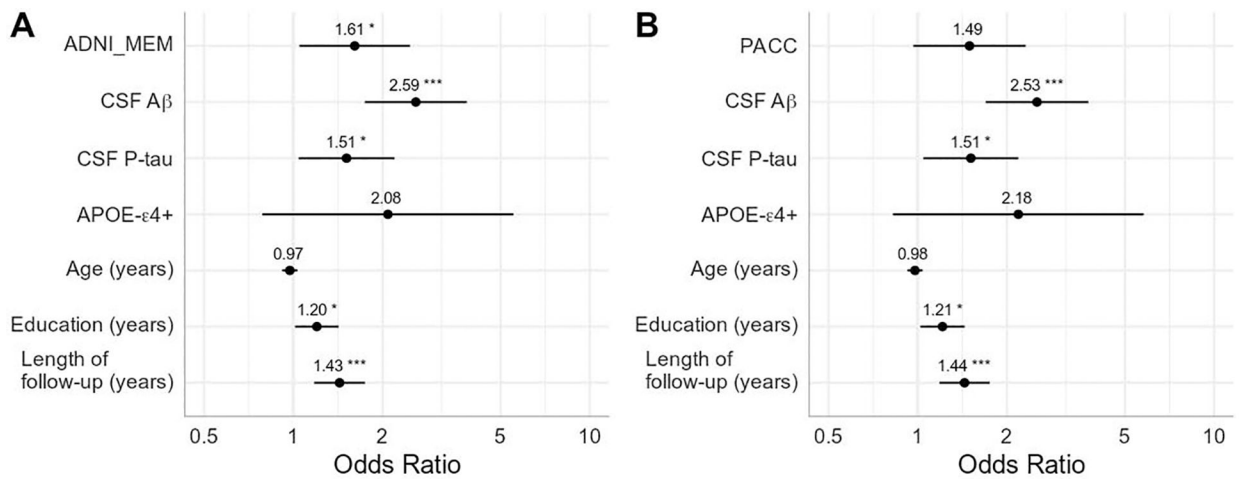


**Figure 1. Baseline cognitive performance predicting future conversion to A $\beta$ -positivity.** Results of two logistic regression models using A) the ADNI Memory composite (ADNI\_MEM) and B) the Preclinical Alzheimer Cognitive Composite (PACC). Measures are all taken from baseline and predict future progression to A $\beta$ -positivity. Cognitive scores were converted to z-scores and reverse coded such that higher scores indicate poorer performance. Odds ratios are presented with asterisks indicating significant estimates (\* $p$ <0.05, \*\* $p$ <0.01, \*\*\* $p$ <0.001). Lines represent 95% confidence intervals.



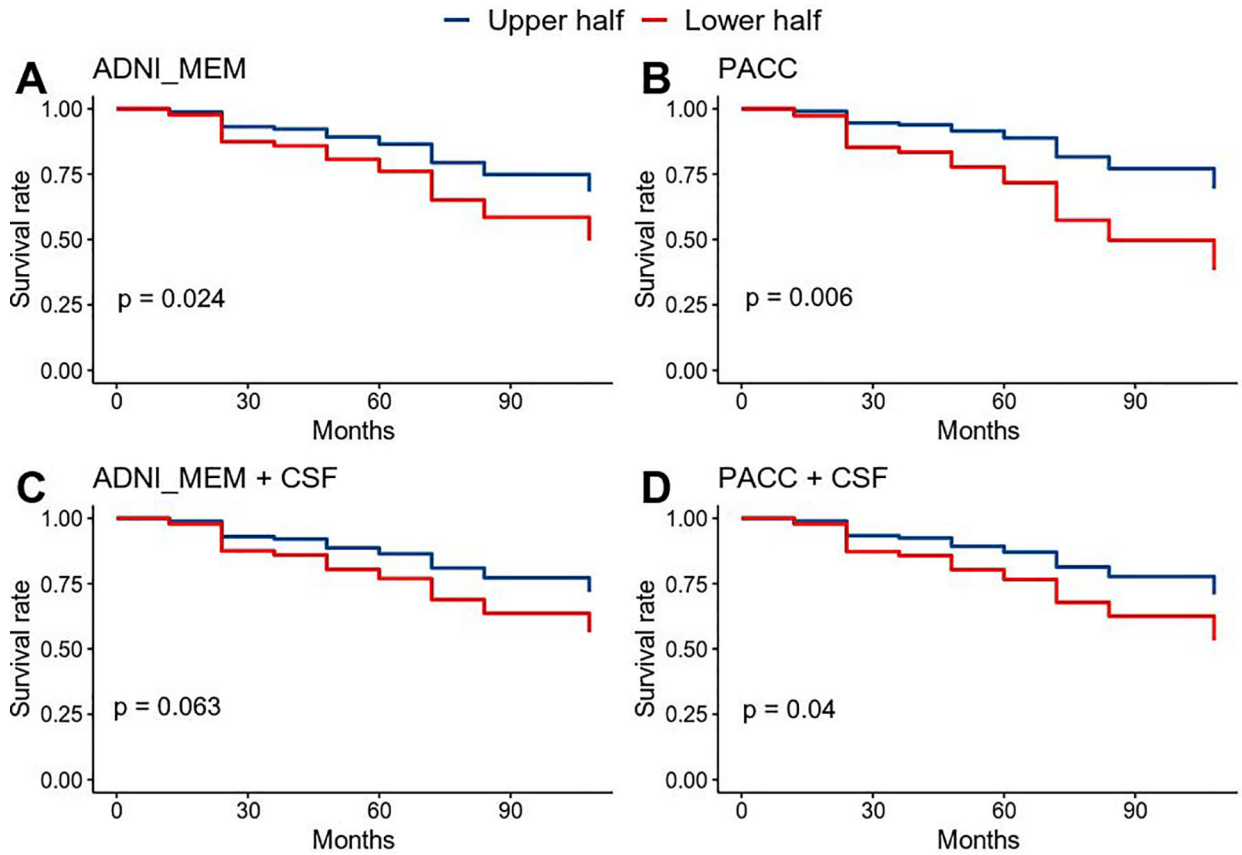
**Figure 2. Baseline cognitive performance and p-tau+ status predicting future conversion to Aβ-positivity.**

Results of two logistic regression models using A) the ADNI Memory composite (ADNI\_MEM) and B) the Preclinical Alzheimer Cognitive Composite (PACC). Measures are all taken from baseline and predict future progression to Aβ-positivity. Cognitive scores were converted to z-scores and reverse coded such that higher scores indicate poorer performance. P-tau-positivity is entered as a dichotomous variable. Odds ratios are presented with asterisks indicating significant estimates (\* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ ). Lines represent 95% confidence intervals.



**Figure 3. Baseline cognitive performance and continuous measures of CSF A $\beta$  and p-tau predicting future conversion to A $\beta$ -positivity.**

Results of two logistic regression models using A) the ADNI Memory composite (ADNI\_MEM) and B) the Preclinical Alzheimer Cognitive Composite (PACC). Measures are all taken from baseline and predict future progression to A $\beta$ -positivity. Cognitive scores were converted to z-scores and reverse coded such that higher scores indicate poorer performance. CSF A $\beta$  and P-tau were entered as continuous variables. Both measures were z-scored and CSF A $\beta$  was reverse coded such that higher values on both indicates abnormality. Odds ratios are presented with asterisks indicating significant estimates (\* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ ). Lines represent 95% confidence intervals.



**Figure 4. Survival estimates of progression to Aβ-positivity based on baseline cognitive performance.**

Cox proportional hazard models were run using continuous measures of baseline performance. For display purposes, scores were grouped based on a median split and adjusted survival curves are shown for better (upper half) and worse (lower half) performance on baseline cognitive measures. Results from 4 models are presented: A) ADNI Memory composite (ADNI\_MEM) + covariates; B) the Preclinical Alzheimer Cognitive Composite (PACC) + covariates; C) ADNI\_MEM + covariates + baseline CSF Aβ and p-tau; D) PACC + covariates + baseline CSF Aβ and p-tau. CSF Aβ and P-tau were entered as continuous variables. Covariates include: APOE-ε4+ status, age at baseline, and education. P-values of hazard ratios for cognitive measures are shown for each model.

**Table 1.**  
**Baseline sample characteristics of A $\beta$ -stable versus A $\beta$ -converters.**

Descriptive statistics of A $\beta$ -stable and A $\beta$ -converter participants at baseline. Mean (SD) presented for continuous variables, count (%) presented for categorical variables. An asterisk indicates a significant ( $p < 0.05$ ) difference between the two groups.

Measures (units)	A $\beta$ -stable	A $\beta$ -converter
n	252	40
Age (years)	71.62 (7.20)	71.69 (6.71)
Gender (male)	128 (50.8%)	25 (62.5%)
APOE- $\epsilon$ 4 status ( $\epsilon$ 4+)	41 (16.3%)	12 (30.0%)
MCI Diagnosis (MCI)	117 (46.4%)	21 (52.5%)
Education* (years)	16.21 (2.56)	17.20 (2.22)
Length of follow-up (years)*	3.22 (1.59)	4.30 (2.44)
ADNI_MEM	0.89 (0.68)	0.70 (0.59)
PACC	-1.32 (3.31)	-1.97 (3.03)

**Table 2.**  
**Baseline sample characteristics of cognitively normal versus mild cognitive impairment.**

Descriptive statistics of cognitively normal participants versus those with mild cognitive impairment at baseline. Mean (SD) presented for continuous variables, count (%) presented for categorical variables. An asterisk indicates a significant ( $p < 0.05$ ) difference between the two groups.

Measure (units)	Cognitively Normal	MCI
n	154	138
Age (years)	72.67 (5.97)	70.47 (8.09)
Gender (male)	80 (51.9%)	73 (52.9%)
APOE- $\epsilon$ 4 status ( $\epsilon$ 4+)	25 (16.2%)	28 (20.3%)
Education* (years)	16.50 (2.50)	16.18 (2.57)
Baseline CSF A $\beta$ * (pg/ml)	1488.68 (233.40)	1443.50 (260.50)
Baseline CSF P-tau* (pg/ml)	19.47 (5.75)	19.54 (7.86)
ADNI_MEM*	0.59 (0.69)	0.46 (0.57)
PACC*	-3.25 (3.15)	-3.40 (2.42)