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Early Ultra-Violet observations of type IIin supernovae constrain the asphericity of their circumstellar material

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ABSTRACT

We present a survey of the early evolution of 12 Type IIin supernovae (SNe IIin) in the Ultra-Violet (UV) and visible light. We use this survey to constrain the geometry of the circumstellar material (CSM) surrounding SN IIin explosions, which may shed light on their progenitor diversity. In order to distinguish between aspherical and spherical circumstellar material (CSM), we estimate the blackbody radius temporal evolution of the SNe IIin of our sample, following the method introduced by Soumagnac et al. We find that higher luminosity objects tend to show evidence for aspherical CSM. Depending on whether this correlation is due to physical reasons or to some selection bias, we derive a lower limit between 35% and 66% on the fraction of SNe IIin showing evidence for aspherical CSM. This result suggests that asphericity of the CSM surrounding SNe IIin is common – consistent with data from

resolved images of stars undergoing considerable mass loss. It should be taken into account for more realistic modelling of these events.

Keywords: keywords

1. INTRODUCTION

Type IIIn supernovae (SNe IIIn) show prominent and narrow-to-intermediate width Balmer emission lines in their spectra (Schlegel 1990; Filippenko 1997; Smith 2014; Gal-Yam 2017). This specificity is thought to be the signature of photoionized and dense, hydrogen-rich, circumstellar medium (CSM) which is ejected from the SN progenitor prior to its explosive death. Because they are the signature of an external physical phenomenon rather than of any intrinsic property of the explosion, these narrow lines may appear in the spectra of many SNe, at some point during their evolution. As a result, the Type IIIn class of SNe is a heterogeneous category of objects. Depending on the spatial distribution and physical properties of the CSM surrounding the explosion, the characteristic narrow Balmer lines may persist for days (“flash spectroscopy”, Gal-Yam et al. 2014; Khazov et al. 2016; Yaron et al. 2017), weeks (e.g., SN 1998S, Li et al. 1998; Fassia et al. 2000, 2001; SN 2005gl, Gal-Yam et al. 2007; SN 2010mc, Ofek et al. 2013a), or years (e.g., SN 1988Z, Danziger & Kjaer 1991; Stathakis & Sadler 1991; Turatto et al. 1993; van Dyk et al. 1993; Chugai & Danziger 1994; Fabian & Terlevich 1996; Aretxaga et al. 1999; Williams et al. 2002; Schlegel & Petre 2006; Smith et al. 2017; SN 2010jl, Patat et al. 2011; Stoll et al. 2011; Gall et al. 2014; Ofek et al. 2014).

Observing SNe IIIn at ultraviolet (UV) wavelengths is interesting for several reasons. First, an important ingredient of the physical picture governing SNe IIIn explosions - the collisionless shock propagating in the CSM after the shock breakout (Ofek et al. 2010) - is predicted to radiate most in the UV and X-rays (Katz et al. 2011; Murase et al. 2011, 2014; Chevalier & Irwin 2012). Observing the explosion at these wavelengths has the potential to unveil precious information about the explosion mechanism and the CSM properties (e.g., Ofek et al. 2013b). In particular, it may provide a much better estimate of the bolometric luminosity of the event.

Second, UV observations can help constrain the geometrical distribution of the CSM, which is closely related to the mass-loss processes occurring before the explosion and probe the nature of the progenitors of this type of events.

Although observations of SNe IIIn are usually analyzed within the framework of spherically symmetric models of CSM, resolved images of stars undergoing considerable mass loss (e.g., η Carinae; Davidson & Humphreys

1997, 2012), some of whom are probably SN IIIn progenitors (Gal-Yam et al. 2007; Gal-Yam & Leonard 2009) as well as polarimetric observations (Leonard et al. 2000; Hoffman et al. 2008; Wang & Wheeler 2008; Reilly et al. 2017) suggest that asphericity should be taken into account for more realistic modeling. Asphericity of the CSM has recently been invoked to interpret the spectroscopic and spectropolarimetric observations of the Type IIIn SN 2012ab (Bilinski et al. 2017) and SN 2009ip (Mauerhan et al. 2014; Smith et al. 2014; Levesque et al. 2014; Reilly et al. 2017).

In Soumagnac et al. (2019b), we showed that the light curve of the luminous Type IIIn SN PTF 12glz may be interpreted as evidence for aspherical CSM. While the spectroscopic analysis is consistent with opaque CSM obstructing our view of any growing structure, r_{BB} - the radius of the deepest transparent emitting layer - grows by an order of magnitude, at a speed of $\sim 8000 \text{ km s}^{-1}$. To explain this tension, we considered a simple aspherical structure of CSM: a three-dimensional slab, infinite in two dimensions (x and y axis) and perpendicular to the line of sight (z axis). We modeled the radiation from an explosion embedded in a slab of CSM by numerically solving the radiative diffusion equation in a slab with different density profiles: $\rho = \text{Const.}$, $\rho \propto |z|^{-1}$ and a wind density profile $\rho \propto z^{-2}$. Although this model is simplistic, it allows recovery of the peculiar growth of the blackbody radius r_{BB} observed in the case of PTF 12glz, as well as the decrease of its blackbody temperature T_{BB} .

This allowed us to derive a criterion for asphericity: a fast increase of r_{BB} can be interpreted as the signature of non-spherical CSM, if it is observed while the CSM is still optically thick. This is because the approximately stationary CSM is obscuring the expanding SN ejecta, and explaining an expanding emitting region due to photon diffusion in the CSM requires a non-spherical CSM configuration. In this paper, we assemble a sample of SNe IIIn, to which we apply this criterion in order to estimate the fraction of SNe IIIn showing evidence for non-spherical CSM.

Several samples of SNe IIIn have been gathered and studied so far. Among them, the sample by Kiewe et al. (2012), consists of four SNe IIIn observed by the Caltech Core-Collapse Project (CCCP) with the 1.5 m robotic telescope at the Palomar Observatory (P60; Cenko et al. 2006) using Johnson-Cousins $BVRI$ filters. They studies the light curve features and derived the progen-

itor star wind velocities. The sample by Taddia et al. (2013) consists of five SNe IIn observed by the Carnegie Supernova Project (Hamuy et al. 2006) at visible-light and near-infrared wavelengths, and was used to derive mass-loss parameters. The sample by Ofek et al. (2014) consists of 19 SNe IIn observed by the Palomar Transient Factory (Law et al. 2009; Rau et al. 2009) and its extension, the intermediate PTF (iPTF) using the PTF *R*-band filter. It allowed to exhibit a possible correlation between the *r*-band rise time and peak luminosity of SNe IIn and to derive a lower limits on the shock-breakout velocity, supporting the idea that early-time light curves of SNe IIn are caused by shock breakout in a dense CSM. The sample by Nyholm et al. (2019) consists of 42 objects with observations from PTF and iPTF, and was used for an in-depth study of their light-curve properties. de la Rosa et al. (2016) collected Swift UV observations of ten SNe IIn observed between 2007 and 2013 (eight of which post-peak) and studied e.g. their blackbody properties. To our knowledge, no systematic and planned survey of the early phase of SNe IIn in the UV has been performed so far. In this paper, we present a sample of 12 SNe IIn detected and observed by the Zwicky Transient Facility (ZTF) (Bellm et al. 2019; Graham et al. 2019) and followed-up in the UV by the *Neil Gehrels Swift Observatory* (*Swift*) space telescope (Gehrels et al. 2004), using the Swift’s Ultraviolet/Optical Telescope (UVOT; Roming et al. 2005; Poole et al. 2008; Breeveld et al. 2011).

We present the aforementioned observations in §2. In §3, we present some analysis of these observations. §4 is dedicated to constraining the fraction of SNe IIn exploding into aspherical CSM. We summarize our main results in §5.

2. OBSERVATIONS AND DATA REDUCTION

In this section, we present the ZTF and *Swift* observations of the 12 SNe IIn of our sample.

2.1. Discovery

All 12 SNe IIn were detected by the ZTF automatic pipeline as potential transients in the data from the ZTF camera mounted on the 1.2 m Samuel Oschin telescope (P48, Rahmer et al. 2008). A duty astronomer reviewing the ZTF alert stream (Patterson et al. 2019) via the ZTF GROWTH Marshal (Kasliwal et al. 2019). The host galaxies *r*-band magnitudes, as well as the coordinates, redshift and distance modulus of all objects are summarized in Table 1. The Milky Way extinction was deduced from Schlafly & Finkbeiner (2011) using the extinction curves of Cardelli et al. (1989).

2.2. Selection criterion

Since the beginning of operation, ZTF has found several spectroscopically confirmed SNe IIn per month. However, applying the criterion for asphericity from Soumagnac et al. (2019b) depends on our ability to measure the evolution of r_{BB} – the effective blackbody radius – at the time when the CSM is still optically thick and obstructing our view of any expanding material. We selected only SNe IIn which were spectroscopically confirmed while still on their rise. This selection criterion was motivated by two reasons (1) the spectrum of the SNe IIn in the early phase is still well described by a blackbody spectrum (2) the rise of the optical light curve gives a better handle on the evolution of r_{BB} than the peak phase (3) we assumed that rising SNe IIn are young enough to allow us to take several *Swift* observations and still be in the regime where expanding material has not reached optically thin areas of the CSM. The initial classification, within ZTF, was triggered as part of a variety of programs: the Redshift Completeness Factor (RCF; Fremling et al. 2019d) program, the Census of the Local Universe program (De et al. 2019), the Superluminous Supernovae program, the Rapidly Evolving Transients program, the Science Validation program or the SNe IIn program. Some of these objects were first reported and classified by other surveys, see Table 1 for details.

2.3. Photometry

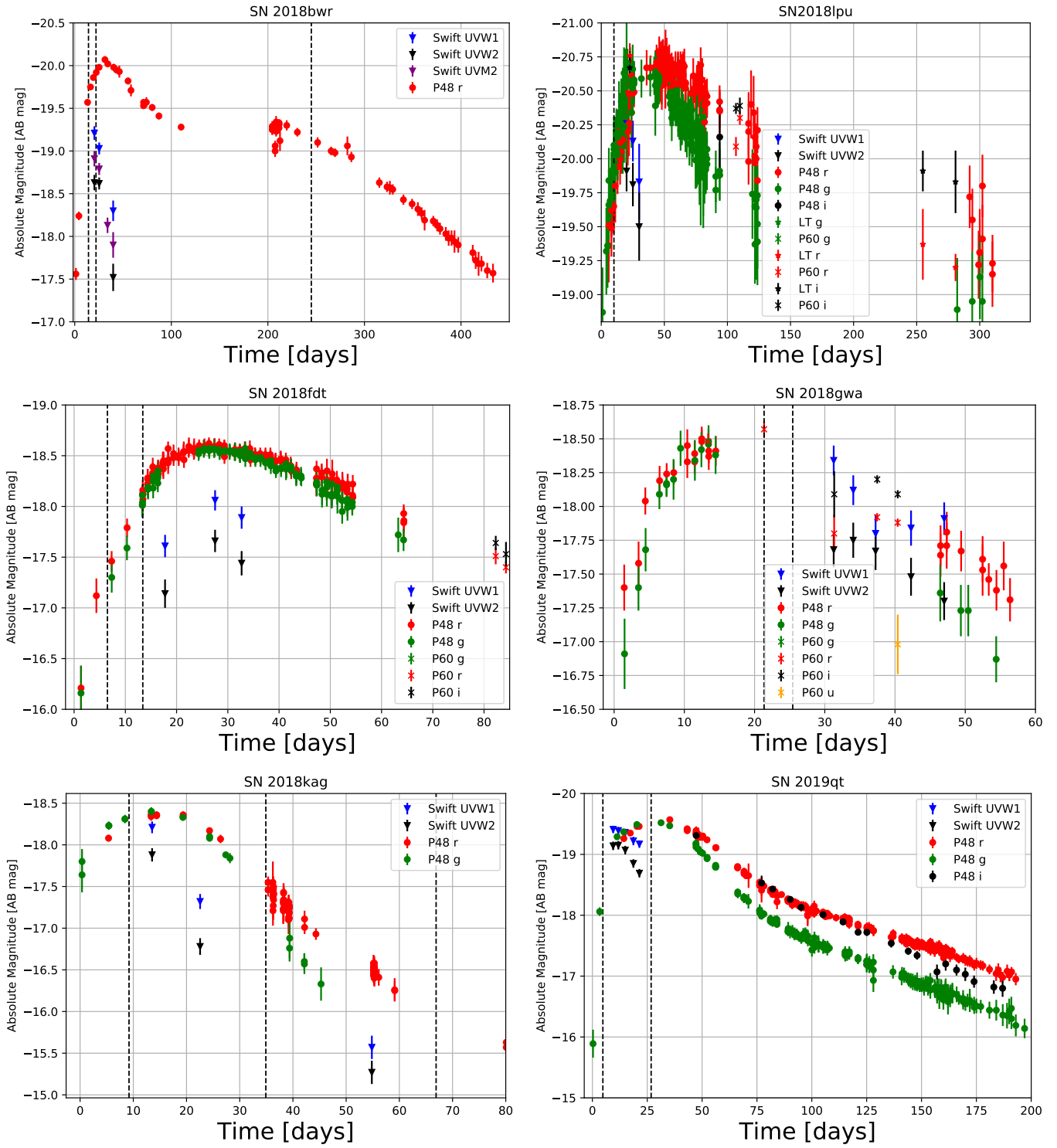
All the light curves are shown in Figure 1. The photometry is reported in electronic Table 2 and is available via WISEREP¹.

Photometry was obtained using the ZTF camera mounted on the P48 telescope, through the P48 *r* and *g* filters. Data were obtained with a cadence of about 1 – 3 days, to a limiting AB magnitude of $r = 20.5$ mag and $g = 21$ mag. The P48 data were automatically reduced using the ZTF pipeline (Masci et al. 2019), using the image subtraction algorithm ZOGY by Zackay et al. (2016).

The robotic 1.52 m telescope at Palomar (P60; Cenko et al. 2006) was used with a 2048×2048 -pixel “Rainbow” CCD camera (Ben-Ami et al. 2012; Blagorodnova et al. 2018) and g' , r' , i' SDSS filters. Data reduction of the P60 data was performed using the FPipe pipeline (Fremling et al. 2016), using the image subtraction algorithm by Zackay et al. (2016).

For several SNe IIn discussed in this work, We acquired multi-band images with the optical imager (IO:O) on the Liverpool Telescope (LT; Steele et al.

¹ <https://wiserep.weizmann.ac.il>



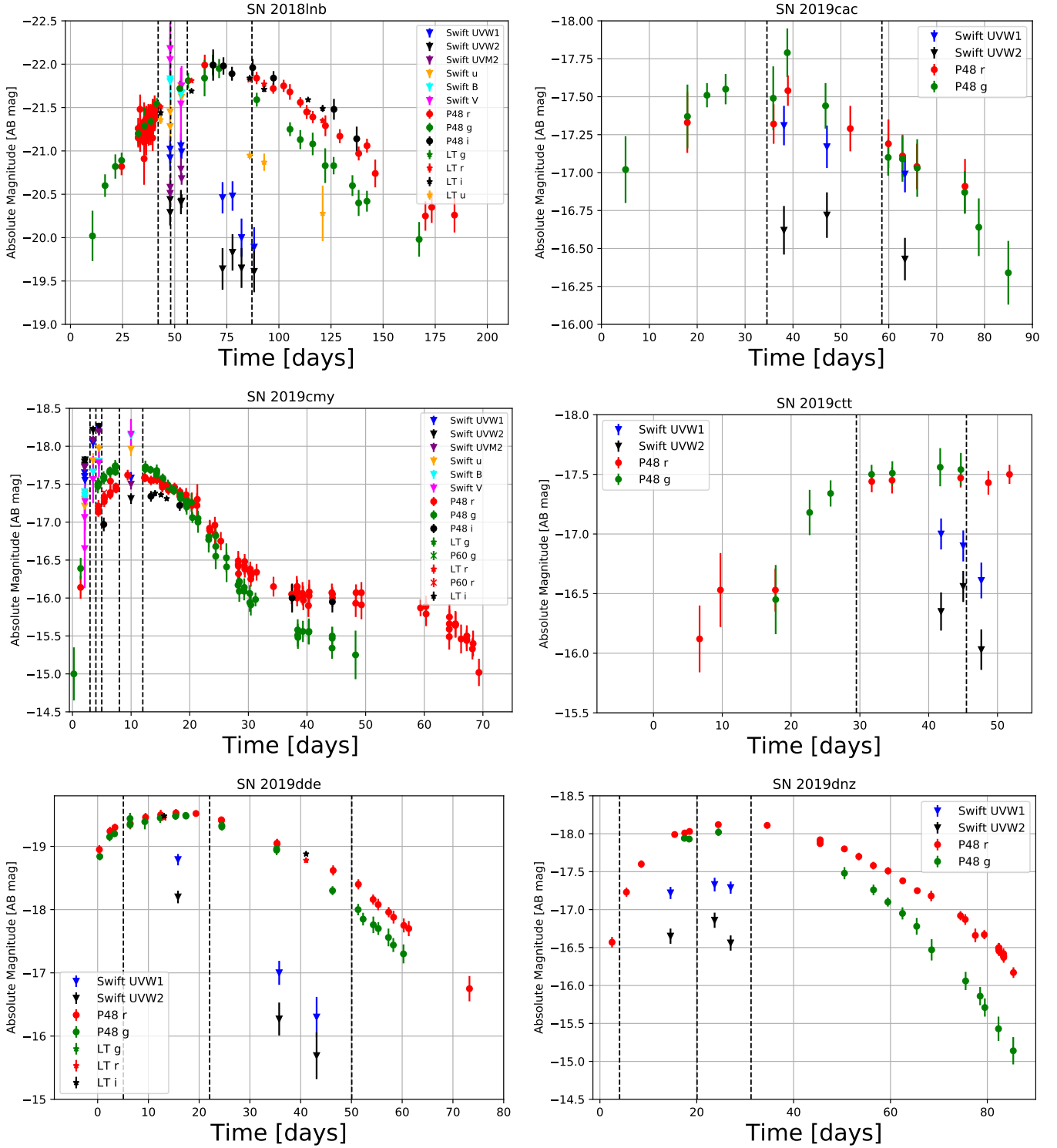


Figure 1. The light curves of all the objects in our sample. Time is shown relative to the estimated epoch at which the extrapolated light curve (Equation A1 and Equation A2) is reaching zero: t_0 , as derived in § 3.1 and summarized in Table 4. The x-axis starts at the most recent non-detection, used as the lower limit of the prior in the t_0 fit. Black dashed lines indicate dates at which spectroscopic data exist.

Table 1. Summary of observational parameters

IAU Name	ZTF Name	RA	Dec	Redshift	Distance modulus	E_{B-V}
		(deg)	(deg)		(mag)	(mag)
SN 2018lpu	ZTF18abgrlpv	283.937395	+47.441250	0.2104	40.10	0.055
SN 2018fdt	ZTF18ablthfo	256.184755	+38.235567	0.055	36.91	0.036
SN 2018gwa	ZTF18abxbhov	110.069724	+41.346650	0.0659	37.33	0.075
SN 2018bwr	ZTF18aavskep	232.109019	+8.806157	0.046	36.50	0.036
SN 2018kag	ZTF18acwzyor	133.951981	+3.584153	0.02736	35.33	0.045
SN 2019qt	ZTF19aadgimr	224.794385	+43.819899	0.035	35.88	0.017
SN 2018lnb	ZTF19aaadwfi	159.583646	+48.275291	0.222	40.23	0.012
SN 2019cac	ZTF19aaksxgp	207.5882959	-2.506948	0.0467	36.53	0.049
SN 2019cmv	ZTF19aanpcep	227.211849	+40.713750	0.0314	35.58	0.015
SN 2019ctt	ZTF19aanfqug	150.176198	+12.039836	0.0464	36.50	0.037
SN 2019dde	ZTF19aaoszuh	217.050160	-1.580420	0.06	37.11	0.052
SN 2019dnz	ZTF19aaqasrq	297.131153	+2.913750	0.025	35.13	0.183

NOTE—The three first SNe are those for which we were unable to secure enough spectroscopic data in order to include them in our analysis of the CSM geometry (see § 3.3). SN 2018lpu was discovered and classified by the ZTF survey; SN 2018fdt was discovered by the ATLAS survey on 2018-08-14 as ATLAS18tuy (Tonry et al. 2018b), also detected by Gaia surveys as Gaia18chl, classified by ZTF (Fremling et al. 2018a); SN 2018gwa was discovered (Fremling 2018) and classified (Fremling et al. 2018b) by ZTF, also detected by Gaia on 2018-10-05 as Gaia18cxl; The rest of the SNe in the table are all included in our analysis of the CSM geometry. SN 2018bwr was discovered by the ATLAS survey on 2018-05-21 as ATLAS18ppb (Tonry et al. 2018a), also detected by PS1 and Gaia surveys as PS18aau and Gaia18bpl, classified by ZTF (Fremling & Sharma 2018); SN 2018kag was discovered by the ASAS-SN survey on 2018-12-17 as ASASSN-18abt and classified by Prentice et al. (2018); SN 2019qt was discovered (Nordin et al. 2019a) and classified (Payne et al. 2019) by ZTF, also detected by ATLAS, Gaia and PS1 as ATLAS19btl, Gaia19aid and PS19ahv; SN 2018lnb was discovered and classified by ZTF (Fremling et al. 2019a); SN 2019cac was discovered and classified by ZTF (Fremling 2019a), also detected by ATLAS and PS1 as ATLAS19doj and PS19ym; SN 2019cmv was discovered (Nordin et al. 2019b) and classified (Fremling et al. 2019b) by ZTF, also detected by ATLAS as ATLAS19elx; SN 2019ctt was discovered by ZTF (Nordin et al. 2019c) and classified by SCAT (Tucker et al. 2019); SN 2019dnz was discovered by ZTF (Fremling 2019b) and classified by TCD (Prentice et al. 2019), also detected by ATLAS as ATLAS19hra; SN 2019dde was discovered by ZTF, classified by ZTF (Fremling et al. 2019c) and (Cartier et al. 2019), also detected by MASTER and PS1 as MASTER OT J142812.05-013615.2 and PS19aaa.

2004). Images reductions were provided by the basic IO:O pipeline and image subtraction was performed versus PS1 (g,r,I,z -bands) or SDSS (u -band) reference imaging, following the techniques of Fremling et al. (2016). PSF photometry was performed relative to PS1/SDSS photometric standards.

The *Swift* UVOT data were retrieved from the NASA Swift Data Archive² and reduced using standard software distributed with HEASOFT version 6.26³. Photometry was measured using the FTOOLS `uvotimsum` and `uvotsource` with a 5 circular aperture.

² <https://heasarc.gsfc.nasa.gov/cgi-bin/W3Browse/swift.pl>

³ <https://heasarc.nasa.gov/lheasoft/>

None of the SNe II in our sample were detected with the *Swift* XRT camera.

2.4. Spectroscopy

Optical spectra of all SNe were obtained using the telescopes and spectrographs listed in Table 3. The spectra were used to determine the redshift from the narrow host lines ($H\alpha$). All the spectra were corrected for Galactic extinction as deduced from Schlafly & Finkbeiner (2011), using Cardelli et al. (1989) extinction curves.

All spectra are shown in Figure 2 and are available from WISEREP. In the following, we summarize the reduction procedures applied for each spectrum. All spectroscopic observations were calibrated in the following way: since we have contemporaneous P48 r -band data,

Table 2. Photometry

Object	Epoch	Mag	Magerr	Flux	Abs. mag	Abs. magerr	Filter	Instrument
	(JD)	(AB)	(AB)	(erg/s)	(AB)	(AB)		
ZTF18aavskep	2458273.8166	16.75	0.01	$(5.267 \pm 0.049) \times 10^{-16}$	-19.75	0.01	<i>r</i>	ZTF+P48
ZTF19aadgimr	2458502.9868	16.59	0.04	$(1.097 \pm 0.040) \times 10^{-15}$	-19.29	0.04	<i>g</i>	ZTF+P48
ZTF19aadgimr	2458586.8067	17.75	0.04	$(1.366 \pm 0.050) \times 10^{-16}$	-18.13	0.04	<i>i</i>	ZTF+P48
ZTF18aavskep	2458277.8361	17.87	0.09	$(1.833 \pm 0.152) \times 10^{-15}$	-18.63	0.09	<i>UVW2</i>	Swift+UVOT
ZTF18aavskep	2458277.8383	17.58	0.09	$(2.004 \pm 0.166) \times 10^{-15}$	-18.91	0.09	<i>UVM2</i>	Swift+UVOT
ZTF18aavskep	2458277.8405	17.29	0.08	$(1.984 \pm 0.146) \times 10^{-15}$	-19.21	0.08	<i>UVW1</i>	Swift+UVOT

NOTE—This table is available in its entirety in machine-readable format in the online journal. A portion is shown here for guidance regarding its form and content. Time is shown relative to the estimated epoch at which the extrapolated light curve (based on Equation A2 and Equation A1) is reaching zero, as derived in § 3.1 and shown in Table 1.

all spectra were scaled so that their synthetic photometry matches the P48 *r*-band value.

The Spectral Energy Distribution Machine (SEDm, Ben-Ami et al. 2012; Blagorodnova et al. 2018) spectra were automatically reduced by the IFU data reduction pipeline (Rigault et al. 2019).

The SPRAT spectra were processed by a modification of the pipeline for FrodoSpec (Barnsley et al. 2012).

The spectra taken with the Andalucia Faint Object Spectrograph and Camera (ALFOSC), mounted on the 2.56-meter Nordic Optical Telescope (NOT), were reduced following standard IRAF⁴ procedures.

The spectra taken with the Auxiliary-port CAMera (ACAM), mounted on the 4.2-m William Herschel Telescope (WHT), were processed following standard IRAF procedures.

The data from the Double Beam Spectrograph (DBSP) on the Palomar 200-inch (P200) telescope were reduced following standard IRAF procedures of long slit spectroscopy. The two-dimensional (2D) images were first bias subtracted and flatfield-corrected, then the 1D spectral spectra were extracted, wavelength calibrated with comparison lamps, and flux calibrated using observations of spectrophotometric standard stars observed during the same night and at approximately similar airmasses to the SN.

The spectra taken with the SuperNova Integral Field Spectrograph (SNIFS; Aldering et al. 2002; Lantz et al. 2004) were obtain from TNS with kind permission from Anna V Payne and Michael A. Tucker.

Data taken with the FLOYDS spectrograph mounted on the 2m Faulkes Telescope North, Hawaii, USA through the observing program TAU2019A-008. A 1''2 slit was placed on the target. The spectrum was extracted and calibrated following standard procedures using the FLOYDS data reduction pipeline⁵ (Valenti et al. 2014).

Data from the Dual Imaging Spectrograph (DIS) mounted on the 3.5 m Astrophysics Research Consortium (ARC) telescope at the Apache Point Observatory were reduced using standard procedures and calibrated to a standard star obtained the same night using the PyDIS package (Davenport 2018);

Data taken with the the Keck Low-Resolution Imaging Spectrometer (LRIS) (Oke et al. 1995). The data was reduced with the LRIS automated reduction pipeline⁶(Perley 2019).

3. ANALYSIS

3.1. Epoch of zero flux

In order to derive the extrapolated epoch of zero flux of all the events, we used the `Photomanip`⁷ package (released in the Appendix of this paper) to fit the *r*-band flux during the rise time (or the *g*-band flux light curve, when early *r*-band data points are not available) with an exponential function of the form

$$f = f_{\max}\{1 - \exp[(t_0 - t)/t_c]\}, \quad (1)$$

and a power-law of the form

$$f = a(t - t_0)^n, \quad (2)$$

⁴ IRAF is distributed by the National Optical Astronomy Observatories, which are operated by the Association of Universities for Research in Astronomy, Inc., under cooperative agreement with the National Science Foundation.

⁵ https://github.com/svalenti/FLOYDS_pipeline

⁶ <http://www.astro.caltech.edu/dperley/programs/lpipe.html>

⁷ <https://github.com/maayane/PhotoManip>

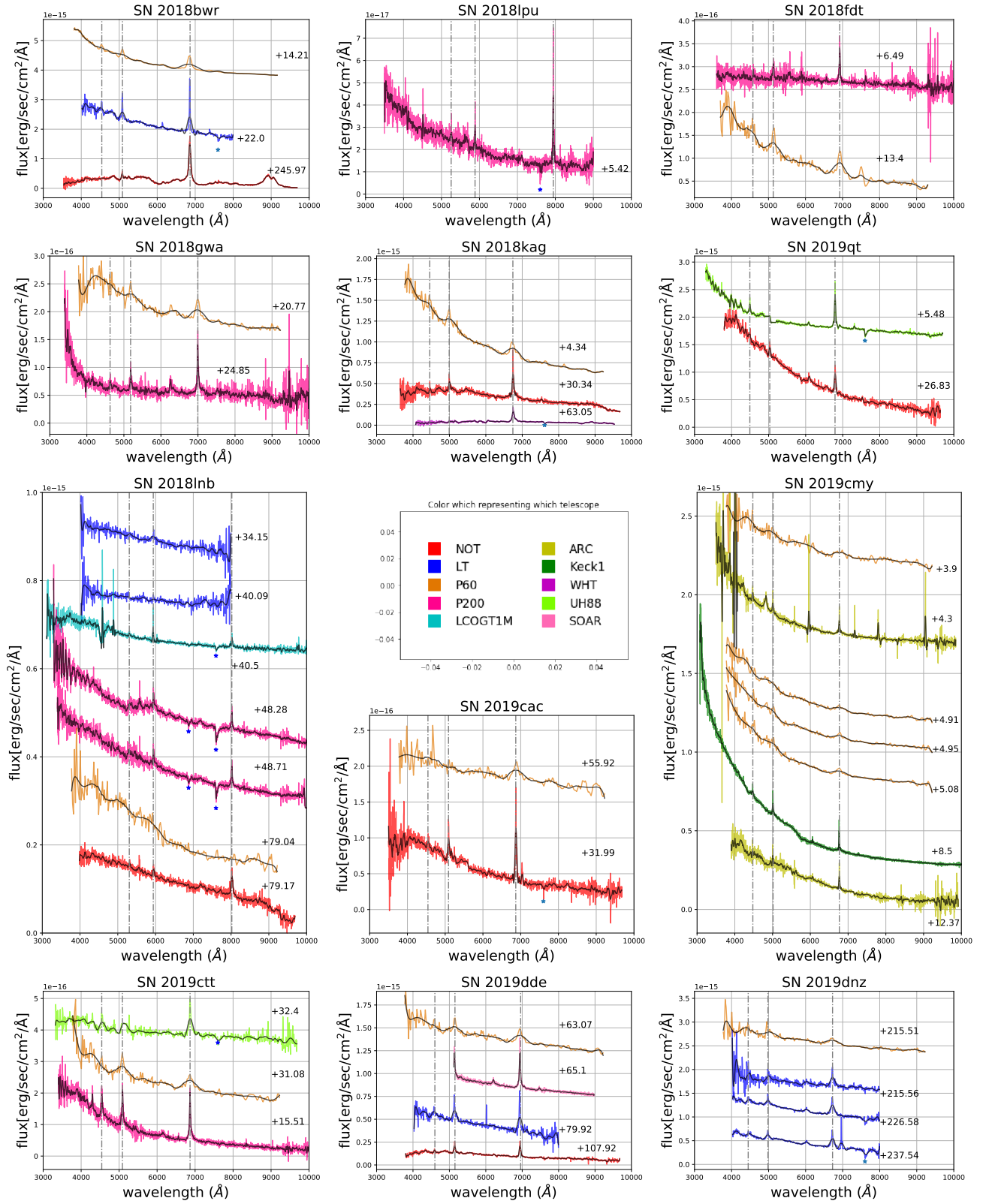


Figure 2. Optical spectra of all Type IIIn SNe studied for this article. The dashed vertical lines show the Balmer series. The blue stars indicate telluric absorption.

Table 3. Summary of spectroscopic observations

Object	Date	Facility	
SN 2018bwr	2018 Jun 02	P60 + SEDM	
	2018 Jun 10	LT + SPRAT	
	2019 Jan 19	NOT + ALFOSC	
SN 2018lpu	2018 Jul 17	P200 + DBSP [1]	
SN 2018fdt	2018 Aug 12	P60 + SEDM	
	2018 Aug 19	P200 + DBSP	
SN 2018gwa	2018 Oct 06	P60 + SEDM	
	2018 Oct 10	P200 + DBSP	
SN 2018kag	2018 Dec 24	P60 + SEDM	
	2019 Jan 19	NOT + ALFOSC	
	2019 Feb 20	WHT + ACAM	
SN 2019qt	2019 Jan 13	UH88 + SNIFS *	
	2019 Feb 04	NOT + ALFOSC	
SN 2018lnb	2019 Jan 29	LT + SPRAT	
	2019 Feb 04	LCOGT 2m + FLOYDS	
	2019 Feb 04	LT + SPRAT	
	2019 Feb 12	P200 + DBSP	
	2019 Feb 12	P200 + DBSP	
	2019 Mar 15	NOT + ALFOSC	
	2019 Mar 15	P60 + SEDM	
	2019 Mar 14	P60 + SEDM	
SN 2019cac	2019 Apr 07	NOT + ALFOSC	
	2019 Mar 29	P60 + SEDM	
SN 2019cmy	2019 Mar 30	ARC + DIS	
	2019 Mar 30	P60 + SEDM	
	2019 Mar 30	P60 + SEDM	
	2019 Mar 31	P60 + SEDM	
	2019 Apr 03	Keck1 + LRIS	
	2019 Apr 07	ARC + DIS	
	SN 2019ctt	2019 Apr 06	UH88 + SNIFS *
		2019 Apr 22	P60+SEDM
2019 Apr 24		P200+DBSP	
SN 2019dde	2019 Apr 14	P60 + SEDM	
	2019 Apr 16	SOAR + Goodman *	
	2019 May 01	LT + SPRAT	
SN 2019dnz	2019 Apr 19	P60 + SEDM	
	2019 Apr 19	LT + SPRAT	
	2019 Apr 30	LT + SPRAT	
	2019 May 11	LT + SPRAT	

NOTE—The spectra marked with a star were obtained from the TNS and kindly made available to us by Anna V Payne, Michael A. Tucker (SCAT) and Dr. Regis Cartier. [1] The 600/4000 grism and 316/7500 grating were used for the blue and red cameras, respectively, with the D55 dichroic.

(where t_0 is the extrapolated time of zero flux, f_{\max} is the maximum flux, t_c is the characteristic rise time of the r -band light curve). In each case, we chose the function giving the best fit (i.e. lowest χ^2/dof), which allowed us to estimate the epochs at which the extrapolated light curves are reaching zero, which are used throughout this paper as the reference time t_0 , and are summarized in Table 4. For each SN in our sample, the table shows the band in which the fit was performed (g or r , depending on how constraining the data are), the prior on t_0 is taken to be a time-interval from ~ 1 day before the most recent pre-explosion upper limit and the first detection. Table 4 also shows the 1σ confidence interval on t_0 . The typical uncertainty on t_0 is of order 1 to a few days, with the exception of SN 2019cac (where no previous non-detection exists and for which we applied a broad conservative prior on t_0), for which it is higher than 20 days.

3.2. Blackbody temperature, radius and bolometric luminosity

Taking advantage of the multiple-band photometry coverage, we used the `PhotoFit`⁸ tool (Soumagnac et al. 2019a) to derive the temperature and radius of the blackbody that best fits the photometric data at each epoch. The derived best-fit temperatures T_{BB} and radii r_{BB} are shown in Figure 3. We observe that seven objects of our sample exhibit a fast increase of the blackbody radius, a result in contrast with most previous observations. Indeed, many previously studied SNe IIn showed a constant blackbody radius (e.g., SN2010jl; Ofek et al. 2014), consistent with the continuum photosphere being located in the unshocked optically thick CSM. In some cases a blackbody radius stalling after a short increase (e.g., 2005kj, 2006bo, 2008fq, 2006qq, Taddia et al. 2013; 2006tf, Smith et al. 2008) or even a shrinking blackbody radius (e.g., SN2005ip; SN2006jd, Taddia et al. 2013) were observed. Such observations were explained by the possible presence of clumps in the CSM that may expose underlying layers (Smith et al. 2008). PTF 12glz was not the only case where a fast increase of the blackbody radius was observed: three of the SNe IIn observed - in the UV - by de la Rosa et al. (2016) showed blackbody radii growing at comparable rates. This could be due to the fact that UV observations provide a better handle on the blackbody spectrum shape than visible light alone, suggesting that a fast increase of the blackbody radius of SNe IIn may be more

⁸ <https://github.com/maayane/PhotoFit>

Table 4. Reference times fitting results

IAU Name	ZTF Name	model	band	most recent upper limit (<i>MJD</i>)	t_0 (<i>MJD</i>)	confidence interval (<i>MJD</i>)
SN 2018lpu	ZTF18abgrlpv	power law	<i>g</i>	58306.35	58306.35	[58306.35,58306.83]
SN 2018fdt	ZTF18abltfho	exponent	<i>r</i>	58334.17	58335.83	[58335.22,58336.10]
SN 2018gwa	ZTF18abxbhov	exponent	<i>g</i>	58374.47	58376.04	[58374.21,58376.04]
SN 2018bwr	ZTF18aavskep	exponent	<i>r</i>	58257.02	58257.03	[58256.86,58257.13]
SN 2018kag	ZTF18acwzyor	power law	<i>g</i>	58464.46	58467.08	[58465.54,58467.37]
SN 2019qt	ZTF19aadgimr	exponent	<i>g</i>	58487.51	58491.23	[58491.13,58491.30]
SN 2018lnb	ZTF19aadwfi	power law	<i>g</i>	58467.47	58469.83	[58467.76,58471.83]
SN 2019cac	ZTF19aaksxgp	power law	<i>g</i>	58521.28	58521.44	[58503.48,58526.27]
SN 2019cmv	ZTF19aanpcep	exponent	<i>g</i>	58567.48	58568.00	[58567.82,58567.84]
SN 2019ctt	ZTF19aanfqug	exponent	<i>r</i>	58541.30	58549.52	[58546.17,58551.33]
SN 2019dde	ZTF19aaozsuh	power law	<i>r</i>	58573.40	58581.94	[58579.55,58582.24]
SN 2019dnz	ZTF19aaqasrq	exponent	<i>r</i>	58581.50	58582.92	[58582.35,58583.18]

NOTE—The "model" column specifies whether a power law (Equation A2) or a concave exponent (Equation A1) gives the best fit. The "band" column specifies the band (*g* or *r*) used for the fit, and was chosen according to the amount of data available in each band. We then report the most recent non detection, which we use as the lower limit of our prior on t_0 (we use the most recent detection as the upper limit). For SN 2019cac, no previous non-detection exists, and so our prior interval is a time interval of 100 days before the first detection. The " t_0 " column is the best fit time at which the flux reaches zero - the time used as an estimate of the explosion epoch. The confidence interval, shown in the last column, is defined here as the tightest intervals containing 68% of the probability and including our best-fit t_0 value.

common than suggested by visible-light surveys of these objects.

We further discuss and exploit the r_{BB} measurement in § 4.

Based on the measurement of r_{BB} and T_{BB} , we were able to derive the luminosity $L_{BB} = 4\pi r_{BB}^2 \sigma T_{BB}^4$ of the blackbody fits, shown in Figure 4.

3.3. Spectroscopy

In this section, we only report the spectroscopic information that allow us to assess which photometric data are usable for our analysis of the CSM geometry. Indeed, the asphericity criterion proposed by Soumagnac et al. (2019b) is only applicable at times when the CSM surrounding the explosion is optically thick. To verify this, we require that the spectrum will be dominated by a blackbody continuum with no high velocity ($\gtrsim 2000 \text{ km s}^{-1}$) absorption and emission lines.

We can only include in our analysis multiple-band photometry that was collected before, or close to, the observation of a spectrum showing no evidence for high-velocity material. Unfortunately, we were unable to secure such spectroscopy for the SNe IIn SN 2018lpu, SN 2018fdt and SN 2018gwa, for which no spectra were taken after or close to the last *Swift* data point.

3.3.1. SN 2018bur

The first two spectra show H_α , H_β and H_γ emission lines. In the last spectrum, we see prominent broad Ca II emission, blended with the O I $\lambda 8446 \text{ \AA}$ feature. The numerous Fe lines are blended, exhibiting a pseudo-continuum around $\sim 5500 \text{ \AA}$. Such a pseudo continuum is also seen e.g. in PTF 12glz (Soumagnac et al. 2019b) and in SN 2005 cl (Kiewe et al. 2012). We conclude from this that the spectra are dominated by interaction out to late times, and we can use all of the UV photometry for our analysis.

3.3.2. SN 2018kag

The first spectrum shows a blue continuum with Balmer emissions lines. The Balmer lines remain discernible at +30.3 d and the continuum becomes flat. At +63.10 d, higher velocity absorption and emission lines have appeared in the spectrum, hinting that the CSM may not be optically thick anymore. As a result, only the UV photometry taken between the first two spectra is usable for our analysis of the CSM geometry.

3.3.3. SN 2019qt

Distinct narrow H_α and H_β emission lines are visible in both spectra. H_γ emission is also visible, especially in

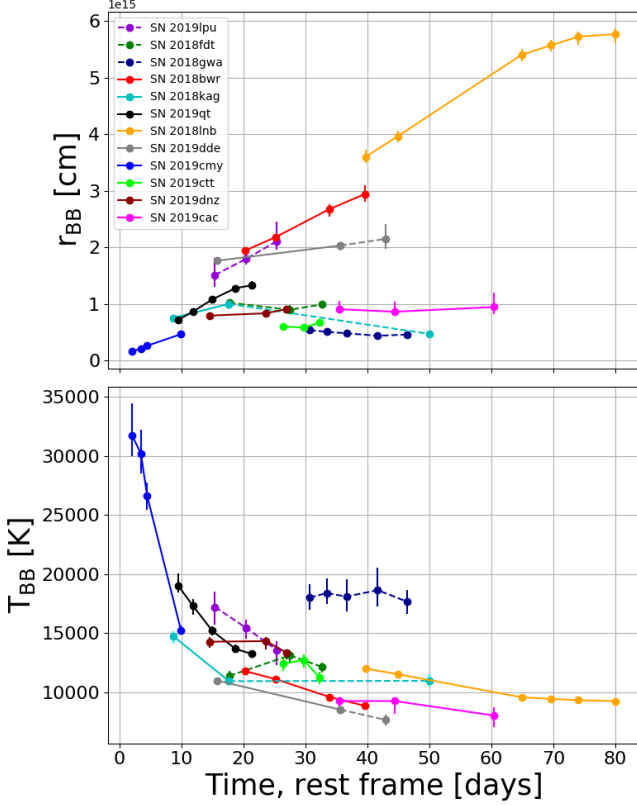


Figure 3. The evolution in time of: (1) the radius (upper panel), (2) the temperature (lower panel) of a blackbody with the same radiation as each of the twelve SNe in our sample. The points were obtained by fitting a blackbody spectrum to the observed photometry, after interpolating the various data sets to obtain data coverage of coinciding epochs. The errors were obtained with Monte Carlo Markov chain simulations. The dashed lines correspond to objects for which no late spectra was obtained in order to confirm that the CSM is optically thick. They should be taken cautiously.

the earlier spectrum. Since all the UV photometry was taken between the epochs of these two spectra, all of it is usable for our analysis.

3.3.4. SN 2018lnb

Narrow Balmer emission are visible in all spectra except for the first two spectra, in which the $H\alpha$ component falls outside the spectral range of SPRAT/LT, and the SEDm/P60 spectrum which has low signal-to-noise. All of the UV photometric data is usable for our analysis.

3.3.5. SN 2019cac

In spite of the low resolution of the first spectrum, $H\alpha$ emission is visible at +31.9 d. Strong emission lines of $H\alpha$, $H\beta$ and $H\gamma$ can be observed at +55.9 d. Although

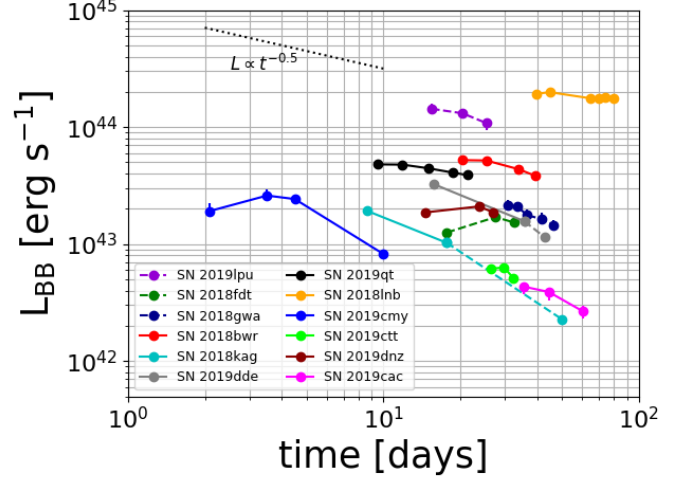


Figure 4. The evolution in time of the bolometric luminosity of a blackbody with the same radiation as each of the twelve SNe in our sample. The dashed lines correspond to objects for which no late spectra was obtained in order to confirm that the CSM is optically thick. They should be taken cautiously. The dotted line shows the $t^{-0.5}$ slope (see e.g. Ofek et al. 2014).

the last UV data point was taken after the second spectrum, we consider their epochs to be close enough so that all of the UV data can be used for our analysis.

3.3.6. SN 2019cmv

The limit between flash-spectroscopy events and Type IIn SNe can be blurry, when the Balmer lines persist for weeks or a few months.

In the case of SN 2019cmv, prominent narrow Balmer emission lines are visible at +4.9 d, with the characteristic broad wings of the $H\alpha$ line, interpreted as the signature of electron scattering, clearly visible. Strong high-ionization emission lines of He II $\lambda 4686 \text{ \AA}$ only persists at +4.9 days. An excess on the blue side of the He II $\lambda 4686 \text{ \AA}$ coincides with the high-ionized C III $\lambda 4650 \text{ \AA}$. However, by +8.5 d, the C III $\lambda 4650 \text{ \AA}$ and He II $\lambda 4686 \text{ \AA}$ lines have completely disappeared, consistent with flash-ionized emissions. The Balmer lines decrease in strength with time: the $H\gamma$ $\lambda 4341 \text{ \AA}$ and $H\delta$ $\lambda 4102 \text{ \AA}$ are marginally detected at +8.5 d and have disappeared by day +12.4. A spectrum taken two months after first light (and not shown in this paper) exhibits the features of a “normal” Type II SN, without any particular signature of CSM interaction.

Our geometrical analysis, which probes the shape of the CSM rather than its amount or the physical ways by which it was ejected, should still hold. All the UV photometry is usable for our analysis.

3.3.7. *SN 2019cct*

Narrow Balmer lines (H_α , H_β , H_γ) are visible in all three spectra. The H_δ line is also visible in the higher resolution spectrum at +32.4 d. All the UV photometry is usable for our analysis.

3.3.8. *SN 2019dde*

The first spectrum, taken at +63.07 d with the SEDm/P60 shows narrow Balmer lines (H_α , H_β , H_γ , H_δ , H_ϵ). The three later spectra at +65.10 d, +79.92 d and +107.92 d show narrow H_α and H_β emission lines,

In the last spectrum, a narrow He $\lambda 5876 \text{ \AA}$ emission line is visible. Although the Balmer series is strongly dominated by narrow emission, the broad absorption at 5000-10000 km s^{-1} suggests that the ejecta have become visible, and the CSM is not completely optically thick anymore.

To account for this, we only use the first two UV epochs for our analysis.

3.3.9. *SN 2019dnz*

Narrow Balmer lines (H_α , H_β , H_γ) are visible in all three spectra. In addition, H_δ , H_ϵ emission lines can be seen in the last spectrum. All the UV photometry can be used for our analysis.

3.3.10. *Events with missing final spectra*

For three objects in our sample, we were unable to collect a spectrum showing no evidence for high-velocity material close to or after the last UV photometry epoch. For SN 2018lpu, one spectrum was taken, where strong and narrow Balmer lines can be seen. Other interesting features include narrow emission of He II ($\lambda 3203 \text{ \AA}$, $\lambda 4686 \text{ \AA}$), [O II] $\lambda 3727 \text{ \AA}$, and [O III] $\lambda 5007 \text{ \AA}$. For both SN 2018fdt and SN 2018gwa, two spectra were obtained before any *Swift* photometry was taken. Both show prominent narrow Balmer emissions lines.

4. FRACTION OF SNE IIN SHOWING EVIDENCE FOR ASPHERICAL CSM

In all that follows, we assume that the criterion from Soumagnac et al. (2019b), i.e. a fast increase of the blackbody effective radius (if observed at times when the CSM surrounding the explosion is still optically thick), is an indication for asphericity. We note that asphericity could manifest in other ways, and that this approach does not allow us to exclude or constrain more complicated geometries.

4.1. *Application of the asphericity criterion from Soumagnac et al. (2019b)*

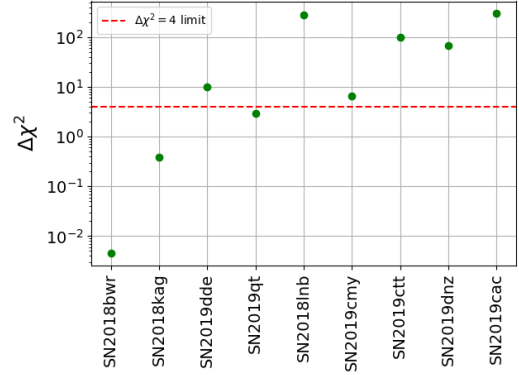


Figure 5. Result of the likelihood-ratio test (or chi-square difference test), when modeling the evolution of r_{BB} with a power law and with a flat function. The red dashed line shows the $\Delta\chi^2 = 4$ (i.e. 2σ) limit for one degree of freedom difference: objects with a $\Delta\chi^2$ limit above this line are better modeled by a non-zero power law (and hence show evidence for aspherical CSM), whereas objects below this line are better modeled by a flat line (i.e. show no evidence for aspherical CSM). Applying the criterion from Soumagnac et al. (2019b), six out of nine SNe IIn in our sample show evidence for aspherical CSM. The SNe are ordered by their maximum measured bolometric luminosity (left to right).

Assessing whether the blackbody radius r_{BB} , shown in Figure 3, is growing or not, is a hypothesis testing problem, i.e. we need to select between two models the one that best explains the data. Our model is a power law function of the form $R = R_0 \left(\frac{t}{t_0}\right)^n$, where the null hypothesis is that $n = 0$ and the alternative hypothesis is that $n \neq 0$. Since these models are nested, we can apply a likelihood-ratio test (or chi-square difference test) to discriminate between them. In Figure 5, we show the χ^2 difference between the two models derived for all objects. For six out of nine objects, $\Delta\chi^2 > 4$ i.e. the chi-square difference indicates that the increasing radius is more likely than the constant radius at a 2σ level. Therefore 66% of the SNe in our sample (taking into account only the SNe to which our analysis is applicable) show evidence for aspherical CSM.

4.2. *Do brighter SNe IIn have more aspherical CSM? correction for potential selection effects*

In Figure 6, we show the distribution of absolute magnitudes of the SNe IIn in our sample. The overall distribution (in blue) is comparable to previously published absolute luminosity distribution for SNe IIn (see e.g. Figure 17 in Richardson et al. 2014). However, the SNe showing no evidence for a rising r_{BB} are on the faint end of the distribution. This trend is also visible in Figure 7, where we show the lower limit on the bolometric lumi-

osity of all the SNe IIn in our sample (also reported in Table 5), as a function of (1) the index of the power law that best fits r_{BB} and (2) the χ^2 difference between the two models derived for all objects (also reported in Table 5; see § 4.1).

The objects of our sample which are intrinsically brighter appear to show evidence for an increasing blackbody radius – which we interpret as an indication for aspherical CSM – whereas fainter objects tend not to show such feature. The Spearman rank correlation between the power law index and the lower limit on the bolometric luminosity is 0.67, and 0.82 between the lower limit on the bolometric luminosity and $\Delta\chi^2$. The false alarm probabilities are 0.03 and 0.005, respectively (the false alarm probability were estimated using bootstrap simulations implemented in Ofek 2014).

There are several possible explanations to this correlation. It could be the result of either some selection bias or some physical reasons (or a combination of both). Among the possible physical reasons are the following: (i) More massive, or alternatively more energetic explosions, tend to occur in aspherical CSM; (ii) A geometrical effect, related to the viewing angle, could also be playing a role. Indeed, if one thinks about a slab of CSM (for simplicity), the increase of the blackbody radius, which we used in this paper as a criterion for asphericity, is most patent when the explosion is observed perpendicularly to the long axis of the slab. The brighter events of our sample could happen to be observed from this direction, while the fainter events could be observed from the short axis direction, preventing us from detecting the asphericity of their CSM using our criterion. We plan to explore this effect in future work.

The observed correlation could also be due to some selection effects. If we assume that both classes of SNe IIn obey the same luminosity - and volume - distribution, the SNe showing no evidence for a rising r_{BB} appear to be under-represented in our sample, a fact that needs to be corrected for in the final probability calculation. (A full relative rate calculation, taking into account a broader variety of selection effects, e.g. due to the cadence, the varying limiting magnitude of each image or the extinction at the location of the SN, is beyond the scope of this paper). Here, we simply estimate the relative probability p_i of finding the i^{th} SN IIn of our sample (SN_i) as

$$p_i = \frac{1}{V_{max,i}} \frac{1}{\sum_{j=1}^9 \frac{1}{V_{max,j}}} \quad (3)$$

where $V_{max,i}$ is the maximum volume to which SN_i can be observed, under the assumption of a constant limiting

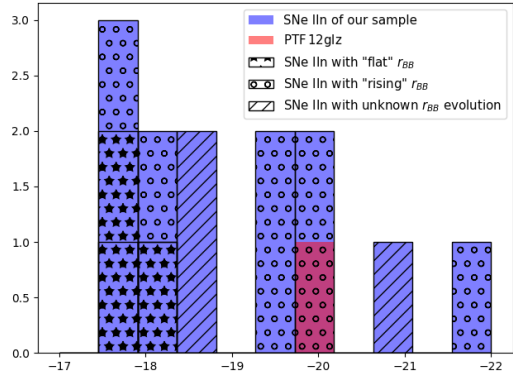


Figure 6. Absolute magnitude of the twelve SNe IIn of our sample and PTF 12glz. The blue histograms correspond to the entire sample and the red square corresponds to PTF 12glz. The star-patterned histograms correspond to the SNe IIn whose radius is better modeled by a flat function than by a power law (i.e. showing no evidence for aspherical CSM). These objects are at the faint end of the distribution, an effect we need to correct for in the calculation of their probability to occur (see § 4.2). The circle-patterned histograms correspond to the SNe IIn whose radius is better modeled by a power law (i.e. showing evidence for aspherical CSM). The line-patterned histograms correspond to the SNe IIn discussed in § 3.3.10, i.e. for which no late spectrum was collected and to which our analysis of the CSM geometry does not apply.

magnitude for the survey in the r , $m_{lim} = 20.5$. In case both classes of objects obey the same luminosity distribution, the corrected fraction of SNe IIn exhibiting a rising r_{BB} is 35%.

To conclude, depending on the assumption we make on the luminosity distribution of both classes of objects, the fraction of SNe IIn showing an increasing radius, deduced from our sample, could be 35%, or as high as 66%. As this is a sufficient but not necessary condition for the surrounding CSM to be aspherical, these numbers are a lower limit on the fraction of SNe IIn exploding in aspherical CSM.

5. CONCLUSIONS

We presented the first planned Ultra-Violet (UV) survey of the early evolution of type IIn supernovae (SNe IIn). Our sample consists of 12 SNe IIn discovered and observed with the Zwicky Transient Facility (ZTF) and followed-up in the UV by the *Neil Gehrels Swift Observatory*. All SNe were also spectroscopically followed-up: we present and release the spectroscopic data we collected.

The UV observations presented in this paper could help shed light on various aspects of the physical picture governing these events. For example, they may be used

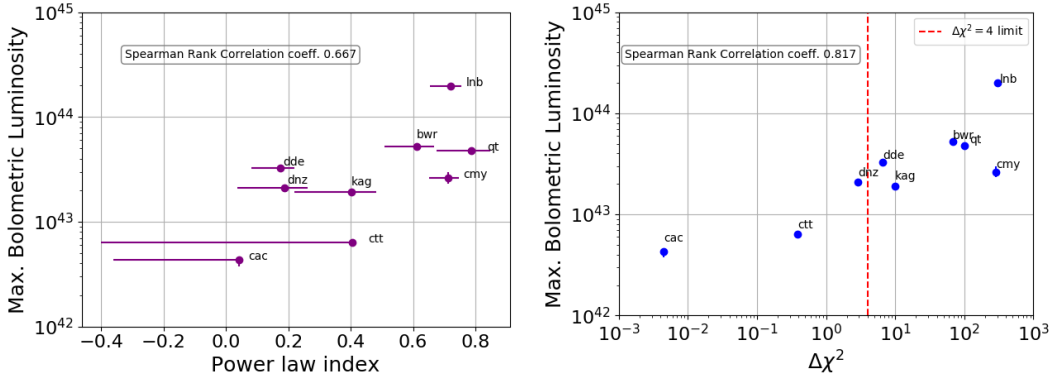


Figure 7. Lower limit on the peak bolometric luminosity as a function of: (left panel) the index of the power law that best fits the blackbody radius and (right panel) the χ^2 difference between the two models derived for all objects (see § 4.1). Both quantities are assumed to be related to the asphericity of the CSM, and appear to be correlated with the peak bolometric luminosity.

Table 5. Bolometric luminosity and asphericity of the CSM

IAU Name	lower limit on peak L_{BB} [erg/s]	$\Delta\chi^2$
SN2018bwr	5.22×10^{43}	68.6
SN2018kag	1.92×10^{43}	9.8
SN2019dde	3.26×10^{43}	6.5
SN2019qt	4.80×10^{43}	99.3
SN2018lnb	19.87×10^{44}	302.0
SN2019cmy	2.60×10^{43}	284.6
SN2019ctt	6.32×10^{42}	0.4
SN2019dnz	2.10×10^{43}	2.9
SN2019cac	4.33×10^{42}	0.0

NOTE—Lower limit on the peak bolometric luminosity L_{BB} and χ^2 difference between a power law model with $n \neq 0$ and $n = 0$.

to better understand the explosion mechanism and the CSM properties (e.g., Ofek et al. 2013b), since the collisionless shock propagating in the CSM after the shock breakout (Ofek et al. 2010) is predicted to radiate most in the UV and X-rays.

Observations of SNe IIn at UV wavelengths provide a better handle on the bolometric luminosity, blackbody radius and blackbody temperature than visible-light observations alone. This may be a reason why the fast rising blackbody radius - which we observe for seven objects out of the twelve of our sample - was only observed in the past in works using UV observations of SNe IIn (de la Rosa et al. 2016; Soumagnac et al. 2019b). This result is in contrast with most previous observations

using visible-light observations alone, of either a constant, slowly rising (and then stalling) or even a shrinking blackbody radius.

Assuming that a rising blackbody radius is an indication for asphericity, we used the UV observations to address the following question: "what fraction of SNe IIn explode in aspherical CSM?". Indeed, although observations of SNe IIn are usually analyzed within the framework of spherically symmetric models of CSM, resolved images of stars undergoing considerable mass loss as well as polarimetry observations, suggest that asphericity is common, and should be taken into account for realistic modeling of these events. Constraining the geometrical distribution of the CSM surrounding the explosion is key to understanding the mass-loss processes occurring before the explosion and the nature of the yet-to-be determined progenitors of SNe IIn. Indeed, the presence of aspherical CSM around the progenitor is hard to explain by a simple wind, and requires to invoke other scenarios, such as episodic emission, rapid stellar rotation, or binarity.

We applied the criterion for asphericity introduced by Soumagnac et al. (2019b), stating that a fast increase of the blackbody effective radius, if observed at times when the CSM surrounding the explosion is still optically thick, may be interpreted as an indication that the CSM is aspherical. We find that two thirds of the SNe in our sample show evidence for aspherical CSM. We also find that higher luminosity objects tend to show evidence for aspherical CSM. This correlation could be due to physical reasons or to some selection bias. If we assume that both classes of SNe IIn obey the same luminosity - and volume - distribution, the fraction of SNe showing evidence for a rising blackbody radius needs to be corrected. Depending on the assumption we make

on the luminosity distribution of both classes of objects, the lower limit deduced from our sample on the fraction of SNe IIn showing evidence for aspherical CSM could be 35%, or as high as 66%. This result suggests that asphericity of the CSM surrounding SNe IIn is common – consistent with data from resolved images of stars undergoing considerable mass loss. It also suggests that asphericity should be taken into account for more realistic modelling of these events.

As future wide-field transient surveys and the *ULTRASAT* UV satellite mission (Sagiv et al. 2014) are deployed, more UV observations of interacting SNe will be collected, allowing to build upon this survey and to refine the lower limit derived in this paper.

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This paper shows observations made with the Nordic Optical Telescope, operated by the Nordic Optical Telescope Scientific Association at the Observatorio del Roque de los Muchachos, La Palma, Spain, of the Instituto de Astrofísica de Canarias.

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APPENDIX

A. RELEASE OF THE PHOTOMANIP CODE

The **PhotoManip** tool, used to calculate the reference time for all the light curves and figures in this paper, is made available at <https://github.com/maayane/PhotoManip>.

The reference time is calculated as the epochs at which the extrapolated light curve is reaching zero. **PhotoManip** fits either the *r*-band or the *g*-band flux during the rise time with an exponential function of the form

$$f = f_{\max}\{1 - \exp[(t_0 - t)/t_c]\}, \quad (\text{A1})$$

and a power-law of the form

$$f = a(t - t_0)^n, \quad (\text{A2})$$

(where t_0 is the time of zero flux, f_{\max} is the maximum flux, t_c is the characteristic rise time of the bolometric light curve). The fit uses the MCMC algorithm **emcee** (Foreman-Mackey et al. 2013).

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