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**Permalink** <https://escholarship.org/uc/item/1z140546>

**Journal** Physics in Medicine and Biology, 61(21)

0031-9155

### **Authors**

**ISSN**

Giannakidis, Archontis Melkus, Gerd Yang, Guang [et al.](https://escholarship.org/uc/item/1z140546#author)

**Publication Date** 2016-11-07

## **DOI**

10.1088/0031-9155/61/21/7765

Peer reviewed



# **HHS Public Access**

Author manuscript Phys Med Biol. Author manuscript; available in PMC 2017 November 07.

Published in final edited form as:

Phys Med Biol. 2016 November 7; 61(21): 7765–7786. doi:10.1088/0031-9155/61/21/7765.

# **On the Averaging of Cardiac Diffusion Tensor MRI Data: The Effect of Distance Function Selection**

**Archontis Giannakidis**a,b,c,\* , **Gerd Melkus**d,e, **Guang Yang**b,c, and **Grant T. Gullberg**a,e

aLife Sciences Division, Lawrence Berkeley National Laboratory–Berkeley, CA, 94720, USA.

<sup>b</sup>Cardiovascular Biomedical Research Unit, Royal Brompton Hospital–London, SW3 6NP, UK.

<sup>c</sup>National Heart & Lung Institute, Imperial College London–London, SW3 6NP, UK.

<sup>d</sup>Department of Radiology, University of Ottawa, Ottawa, ON, K1H 6L8, Canada.

<sup>e</sup>Department of Radiology and Biomedical Imaging, University of California San Francisco–San Francisco, CA, 94143, USA.

#### **Abstract**

Diffusion tensor magnetic resonance imaging (DT-MRI) allows a unique insight into the microstructure of highly-directional tissues. The selection of the most proper distance function for the space of diffusion tensors is crucial in enhancing the clinical application of this imaging modality. Both linear and nonlinear metrics have been proposed in the literature over the years. The debate on the most appropriate DT-MRI distance function is still ongoing. In this paper, we presented a framework to compare the Euclidean, affine-invariant Riemannian and log-Euclidean metrics using actual high-resolution DT-MRI rat heart data. We employed temporal averaging at the diffusion tensor level of three consecutive and identically-acquired DT-MRI datasets from each of five rat hearts as a means to rectify the background noise-induced loss of myocyte directional regularity. This procedure is applied here for the first time in the context of tensor distance function selection. When compared with previous studies that used a different concrete application to juxtapose the various DT-MRI distance functions, this work is unique in that it combined the following: (i) Metrics were judged by quantitative –rather than qualitative– criteria, (ii) the comparison tools were non-biased, (iii) a longitudinal comparison operation was used on a samevoxel basis. The statistical analyses of the comparison showed that the three DT-MRI distance functions tend to provide equivalent results. Hence, we came to the conclusion that the tensor manifold for cardiac DT-MRI studies is a curved space of almost zero curvature. The signal to noise ratio dependence of the operations was investigated through simulations. Finally, the "swelling effect" occurrence following Euclidean averaging was found to be too unimportant to be worth consideration.

#### **Keywords**

Diffusion tensor magnetic resonance imaging; distance function; geodesics; six-dimensional manifolds; tensor averaging; myocardium microstructure; primary cardiomyocyte orientation

<sup>\*</sup>Corresponding author: tel: +44 20 7351 8819, fax: ++44 20 7351 8819., A.Giannakidis@rbht.nhs.uk (Archontis Giannakidis) . gmelkus@toh.ca (Gerd Melkus), G.Yang@rbht.nhs.uk (Guang Yang), GTGullberg@lbl.gov (Grant T. Gullberg)

#### **1. Introduction**

#### **1.1. Subject Description**

Diffusion tensor magnetic resonance imaging (DT-MRI) has emerged (Basser et al., 1994) as a powerful tool for inferring the microstructure of anisotropic tissues. To do so, it elegantly relates the self-diffusion of water molecules that undergo Brownian motion to proton spin relaxation magnetic resonance (MR) signals. The clinical significance of DT-MRI derives from the fact that it provides such information in a noninvasive, nondestructive fashion. Therefore, it paves the way for whole-organ longitudinal *in vivo* studies needed to evaluate disease-related tissue microstructural changes.

Ever since the advent of this imaging modality, there has been a substantial interest in the development of a consistent and complete framework that would allow the various manipulations of the whole diffusion tensors (and not only the analysis of some scalar or vector tensor-derived parameters). This interest has increased significantly nowadays following the initial success that DT-MRI has seen in elucidating the composition and connectivity of various anisotropic tissues including brain (Pierpaoli et al., 1996), and myocardium (Rohmer et al., 2007).

The selection of a tensor distance function (or metric) resides in the foundation of the tensorvariate framework. This selection is in essence equivalent to choosing a geodesic curve (i.e. the shortest path joining two tensors in their space). The cruciality of this selection lies in the fact that it dictates many operations such as mean estimation, anisotropy estimation, interpolation, regularization, segmentation, registration, and principal component analysis to name a few. This may be easily seen by looking at the definitions of the above operations: The averaging procedure is a tensor distance dispersion minimization problem; anisotropy estimation refers to the calculation of the distance to the isotropic tensor; the interpolation task is a weighted computation where the weights depend on tensor distances; regularization is a minimization estimation problem that aims at reducing the amount of spatial variation by taking into account the distances between neighboring tensors; segmentation algorithms involve choosing a distance function which should be small between tensors belonging to the same structure and large between tensors belonging to different structures; registration techniques rely on distance metrics to match tensors between regions of different datasets; in principal component analysis, the computed modes of variation are represented as flows along paths of minimum tensor distance. In general, the use of an appropriate distance function for diffusion tensors provides the following twofold benefit: one may fully exploit the potential of DT-MRI by endowing the diffusion tensor space with a reliable manifold structure, while, at the same time, interpretation pitfalls are avoided.

#### **1.2. Previous Studies & Current Situation in the Literature**

With the view to satisfying the demand for a proper distance function for diffusion tensors and accordingly assisting in the development of a consistent tensor-variate framework, various metrics have been proposed in the literature over the years. To start with, the Euclidean distance function was introduced (Alexander et al., 1999; Jones et al., 2002;

Basser and Pajevic, 2003; Pajevic and Basser, 2003; Pasternak et al., 2008, 2010, 2012) as a generalization of the classical scalar distance to tensors or, simply, on empirical grounds (that is to say evidence acquired by concurrently analyzing: (i) Physical properties of the measured quantities, (ii) properties of the several different candidate metrics, and (iii) the accumulative effect of the miscellaneous sources that cause variability to the measurements). As per this conventional approach, the –quantification of the distance between two tensors– operation is applied linearly to the matrix elements. Next, the affine-invariant Riemannian (AIR) distance function was put forward (Moakher, 2005; Batchelor et al., 2005; Pennec et al., 2006; Lenglet et al., 2006; Fletcher and Joshi, 2007) for DTMRI analysis based on differential geometry considerations. In particular, it was identified that the data in hand are sample covariance matrices of normally distributed random variables and, as such, they represent points in the space of real symmetric positive-definite matrices. This distance function brings about nonlinear calculations. Finally, in light of the high computational burden imposed by the AIR metric, the similitude-invariant log-Euclidean metric, that deals with the matrix logarithms of the diffusion tensors, was also proposed (Arsigny et al., 2006). Its computational efficiency lies in the fact that the high complexity calculations are converted into simple Euclidean ones, once tensors are transformed into their matrix logarithms. $<sup>1</sup>$ </sup>

Following the admission of the various DT-MRI distance functions, a multifaceted dispute broke out between the researchers who support the Euclidean metric and those who are in favor of the nonlinear ones. On the one hand, the non-Euclidean side (Moakher, 2005; Batchelor et al., 2005; Pennec et al., 2006; Lenglet et al., 2006; Fletcher and Joshi, 2007; Arsigny et al., 2006), driven chiefly by mathematical considerations, argue: (i) Since the space of the symmetric positive-definite matrices constitutes a curved subset (namely, the interior of a convex half-cone) in the vector space of symmetric  $3\times3$  matrices, the AIR metric, that akes this space topology (curvature) into account, is a more natural solution. (ii) By using tools for DT-MRI, that are obtained by generalizing tools for scalar-to matrixvalued data, the natural properties of the diffusion tensors are not preserved leading to nonphysical complications. For instance, by relying on the Euclidean metric to perform nonconvex operations (like regularization or second-order statistics), the positive-definiteness is not always preserved. However, diffusion tensors with negative eigenvalues lack substance. By the same token, the linear averaging/interpolation may not preserve the determinant, resulting in a mean/interpolated tensor that has a determinant (or, equivalently, volume) that is larger than the determinants (volumes) of the individual tensors. This "swelling effect" occurrence suggests that the averaging/interpolating procedure by itself introduces additional diffusion which is intangible. The two limitations described above are remedied by employing a nonlinear metric, which diminishes the "swelling effect" and places tensors with null or negative eigenvalues at an infinite distance from any symmetric positive-definite matrix. (iii) Non-Euclidean distance functions should be preferred as they offer a better

<sup>&</sup>lt;sup>1</sup>Numerous other DT-MRI distance functions have been introduced such as Cholesky (Wang et al., 2004), matrix square root (Dryden et al., 2009), Procrustes (Dryden et al., 2009), square-root of the J-divergence (Wang and Vemuri, 2005), geodesic loxodromes-based (Kindlmann et al., 2007), weighted component-based (Gahm et al., 2013), and the chordal metric in the space of quaternions (Collard et al., 2014) to name a few. However, in this study we deal only with the three distance functions that have been most often adopted by the DT-MRI community.

Phys Med Biol. Author manuscript; available in PMC 2017 November 07.

contrast when transitioning from a highly anisotropic region to a more isotropic one (Fletcher and Joshi, 2007).

On the other side of the fence, the Euclidean approach investigators (Alexander et al., 1999; Jones et al., 2002; Basser and Pajevic, 2003; Pajevic and Basser, 2003; Pasternak et al., 2008, 2010, 2012) put forth the following arguments: (i) The selection of a metric should not be prompted by mathematical considerations alone. Practical and physical considerations must also be appraised. (ii) Affine-invariance is not a desirable property for a metric that deals with diffusion tensors because it leads to a substantial bias in the calculations (especially when dealing with a highly anisotropic tissue). This property would be suitable for a metric that measures the distance between arbitrarily scaled quantities. (iii) The Euclidean distance function is consistent with the expected statistical properties of the diffusion tensor distribution. (iv) If one wants to perform statistical inference through hypothesis testing for tensor-valued data, it is preferred to do so by relying on the Euclidean distance (Whitcher et al., 2007). Moreover, statistical tests that are based on non-Euclidean distances may easily lead to wrong conclusions (Pasternak et al., 2010). (v) With regard to the preservation of natural properties: The topic of negative eigenvalues may be remedied by excluding tensors with negative eigenvalues, or correcting tensors with negative eigenvalues through averaging, or estimating the nearest positive-definite tensor of each tensor with negative eigenvalues. As to preserving the determinant, there is no physical reason to do so. Changes in the determinant are perfectly predicted by the background noise that introduces variability in the orientations and lengths of the diffusion ellipsoid axes. Instead, preservation of the trace (which is proportional to the orientationally averaged diffusivity) might be more desired, and the Euclidean distance function (as well as the nonlinear functions) preserves the trace. (vi) The anisotropy indices based on a nonlinear distance function are inappropriate for DT-MRI analysis because they consistently and unrealistically increase the difference between highly anisotropic tensors and other more isotropic ones (Pasternak et al., 2010). (vii) Another drawback of Riemannian metrics is their sensitivity to small shape changes close to the degenerate cases. Because of this, small shape variations (due to noise) of two such tensors may result in an infinite distance between them (Peeters et al., 2009; Zhou et al., 2015).

The debate on the most appropriate DT-MRI distance function is still ongoing as suggested by more recent publications (Bouchon et al., 2015). To make things worse, the situation in the related literature is inconsistent as papers that advocated the non-Euclidean framework, they employed, at the same time, tools that are based on the Euclidean distance to evaluate their analysis results. As an illustration, Arsigny et al. (2006), and Fillard et al. (2007) used plots of a Euclidean distance-based tool to demonstrate the performance of the proposed non-Euclidean regularization. Similarly, Corouge et al. (2006) claimed that it is inappropriate to perform tensor calculations by relying on the Euclidean distance; nevertheless they employed plots of a Euclidean distance-based tool to test the feasibility of their fiber-tract-oriented approach. Finally, Verma et al. (2007) relied on Euclidean distancebased maps to simulate pathology, even though they stated that differences between diffusion tensors need to be measured by nonlinear metrics. The observations outlined in this paragraph served as the main motivation for this paper.

#### **1.3. Our Contribution**

In this work we use actual high-resolution DT-MRI rat heart data to compare the Euclidean, AIR and log-Euclidean distance functions. We look at temporal averaging as a means to rectify the background noise-induced loss of myocyte directional regularity through realigning post-diagonalization diffusion tensor primary eigenvectors<sup>2</sup> with atypical directions. This simple and effective noise compensation method is based upon the following reasonable assumptions: (i) The tissue has constant true diffusion properties over time (Pasternak et al., 2010). (ii) The background noise processes of repetitive and identically-acquired scans are not directionally correlated (Sun et al., 2001). (iii) The cardiac wall has a well-ordered and highly coherent microstructure (Streeter and Bassett, 1966). By performing averaging at the diffusion tensor level of three consecutive and identicallyacquired DT-MRI datasets from each of five rat hearts and by using the Euclidean, AIR, and log-Euclidean distance functions, the objective of this study is to shed more light on the problem of choosing the most appropriate tensor distance function. To this end, we seek to ascertain in a quantitative manner the metric that (drives the averaging method that) achieves the greatest restoration of microstructural organization (when compared with the organization of the individual datasets before averaging) or, equivalently, the most effective outlier realignment. In other words, we set out to find the distance function that yields the most accurate representation of the reality. Then, this will be identified as the preferable metric upon which a consistent tensor-variate framework may be built.

Even though the compensation of directional coherence loss through the time averaging procedure is applied here for the first time in the context of tensor distance function selection, a number of previous studies also presented an application-oriented comparison of the several different DT-MRI distance functions. For example, in Fletcher and Joshi (2007), and Dryden et al. (2009) the comparison of metrics was carried out with respect to the anisotropy estimation performance, in Pennec et al. (2006), Arsigny et al. (2006), and Frindel et al. (2009) the various distance functions were rated according to their regularization efficiency, while in Arsigny et al. (2006), Gahm et al. (2011) and Yang et al. (2012) the metric that best characterizes the distance between diffusion tensors was decided by the interpolation performance.

Our work is unique in that it combines the following: (i) The majority of the previous tensor distance function comparison studies, that were based on actual DT-MRI data, were qualitative. In order to validate their results, the authors of these papers relied on optical inspection of the volume size and color uniformity of neighboring elllipsoids<sup>3</sup> (or other visually appealing structural renderings) combined with micro-anatomical knowledge. For instance, they labelled as the most proper DT-MRI distance function the one that appeared to suppress the outliers to the greatest extent (Pennec et al., 2006; Frindel et al., 2009), or the one that seemed to yield the best contrast (Fletcher and Joshi, 2007; Dryden et al., 2009), or the one that manifested the lowest degree of blurring (Arsigny et al., 2006), or the one that

<sup>&</sup>lt;sup>2</sup>The primary eigenvectors of the diffusion tensors are generally taken (Hsu et al., 1998) to coincide with the local primary cardiomyocyte orientation.<br><sup>3</sup>It is common to use ellispoids to describe diffusion tensors, where the three principal axes of the ellipsoid point at the diffusion

tensor eigenvectors' directions and each axis length is proportional to the corresponding eigenvalue.

presented the highest color (orientation) and size uniformity among neighboring ellipsoid volumes (Yang et al., 2012). In contrast to these comparison studies that were judged by unquantifiable criteria, our work provides quantification of the relative performance of each distance function. To this end, we relied on the intervoxel diffusion coherence (IVDC) index (Wang et al., 2008). The validity of the specific eigenvector homogeneity criterion for assessing the perturbation of cardiac tissue directional regularity due to disease has been tested elsewhere (Giannakidis et al., 2012). (ii) There exist few studies (Frindel et al., 2009; Yang et al., 2012; Collard et al., 2014; Zhou et al., 2015) that used fractional anisotropy (FA) to compare the various diffusion tensor distance functions. However, such a criterion is unfairly prejudiced, as it represents the Euclidean distance of each tensor from the fully isotropic tensor. Unlike these studies, our comparison tool is not biased. (iii) In contrast to all previous studies, where the operation (that yielded the comparison results) was performed in the neighborhood of the examined voxel, our study involves a longitudinal comparison operation that is carried out on the individual voxel. In the final analysis, this difference in the comparison circumstances might play a role in the conclusive choice according to the idea proposed in Pasternak et al. (2008) about context-dependent DT-MRI metrics.

#### **2. Methods**

#### **2.1. Research Animal Model & Heart Preparation**

We performed ex vivo<sup>4</sup> studies of five Wistar Kyoto (WKY) rats bought from the Charles River Laboratories International, Inc, Wilmington, MA, USA. WKY rat is a well-established animal model of normal nonpathologic cardiac behavior. Under deep isoflurane-inhalation anaesthesia, each intact heart was rapidly removed from the chest and flushed with warmed isotonic saline. Once the heart was rinsed, it was weighed and instantaneously placed in 60cc of 10% buffered formalin for fixation. The age of the rats during the heart excision was one month old. The time period between excision and imaging was approximately one week. All animal procedures conformed to the guidelines set forth by the Animal Welfare and Research Committee of Lawrence Berkeley National Laboratory.

#### **2.2. Imaging & DT-MRI Post-processing**

Three back-to-back DT-MRI acquisitions of each of the five rat hearts were carried out at the UCSF Imaging Center at China Basin using a 7 T (310 mm bore size) superconducting magnet equipped with actively shielded imaging gradients (400 mT/m maximum gradient strength, 120 mm inner bore size) (Agilent Technologies, Palo Alto, CA, USA). A 20 mm inner diameter linear  ${}^{1}H$  birdcage resonator was used for radio frequency pulse transmission and signal reception. For the imaging, the excised rat hearts were suspended in a 15 mm diameter cylinder filled with Fomblin (Sigma Aldrich Corp., St. Louis, MO, USA). Fomblin does not have a visible proton MR signal and reduces susceptibility artifacts near the boundary of the heart. Hearts were secured inside the containers using gauze to prevent the specimen from floating in the container. We did not observe any effects from movement or

<sup>&</sup>lt;sup>4</sup>The large (compared to the diffusion-induced displacement length) movement of a pumping heart poses a significant challenge to in vivo cardiac DT-MRI (Hsu et al., 2010). As a result, at present, cardiac DT-MRI is mainly conducted ex vivo using excised fixed hearts, especially when three-dimensional (3D) high spatial resolution images are required.

Phys Med Biol. Author manuscript; available in PMC 2017 November 07.

vibrations. The long axis of the heart was aligned with the direction of the main magnetic field (z-axis). The field of view (FOV) for the DT-MRI sequence was placed to cover the full short axis and the central part (mid-ventricular region) of the long axis of the heart. The DT-MRI imaging protocol parameters (that were common for all acquisitions) are summarized in Table 1.

The signal to noise ratio (SNR) of each dataset was measured as the mean signal of the myocardium in the central slice of the dataset divided by the standard deviation of the noise (measured outside the myocardium), multiplied by the factor 0.655

$$
SNR_{(b=0)} = 0.655 * \frac{Mean \ (Myocardium)}{Standard_{p} \,eviation \left(Background_{N} \,oise\right)} \tag{1}
$$

where the factor 0.655 comes from the fact that the SNR was estimated on the magnitude data and the mean of the noise is not 0 (Rician Noise) (Henkelman, 1985).

For DT-MRI analysis, the raw diffusion weighted datasets were loaded into the FSL software package. The diffusion tensor fitting and the calculations of eigenvectors, eigenvalues and FA were performed using the FSL diffusion toolbox (FDT) (Behrens et al., 2003).

#### **2.3. Regions of Interest - Segmentation**

We analyzed the lateral wall (Fig. 1) from the short-axis slice with the largest area (in the mid left ventricular region) for each heart of this study. The region of interest (ROI) was segmented semi-automatically in the B0 image using cubic splines (de Boor, 1978). The papillary muscles of the heart were excluded from our analysis. In addition, any voxel, at which the diffusion tensor was estimated to have at least one negative eigenvalue, was excluded on thermodynamic grounds.

#### **2.4. From Geodesic Curves to Averaging**

Consider that we have a set of  $N(N \ 2)$  symmetric positive-definite  $3 \times 3$  matrices  $D_1, \ldots$ ,  $D_N$  each of them representing a diffusion tensor. Below we briefly outline the definitions and properties of the metrics for the three studied frameworks. We also provide the mean estimators.

**2.4.1. The Euclidean Tensor-Variate Framework—**By placing the set of N tensors in a Euclidean space, the geodesic (i.e. curve of minimum length)  $\gamma_E(t)$  going from  $D_1$  (at  $t =$ 0) to  $D_2$  (at  $t = 1$ ) is a straight line given by:

$$
\gamma_E(t) = (1 - t) D_1 + t D_2 \quad (2)
$$

The distance between tensors  $D_1$  and  $D_2$  is

$$
dist_E = ||D_1 - D_2||_F
$$
 (3)

where  $\| \cdot \|_F$  denotes the Frobenius norm, and  $\|D\|_F = \sqrt{\text{tr}(D^T D)}$  where "tr" is the matrix trace. The metric of Eq. (3) is reflection and rotation invariant<sup>5</sup>, and is defined over the entire space of symmetric matrices. The average of the set of N tensors that is associated with the Euclidean distance function is unique (Dryden et al., 2009) given by:

$$
\mu_{E} (D_1, ..., D_N) \equiv \arg \min_{D} \sum_{i=1}^{N} ||D_i - D||_F^2 = \frac{1}{N} \sum_{i=1}^{N} D_i
$$
 (4)

**2.4.2. The Affine-Invariant Riemannian (AIR) Tensor-Variate Framework—**By placing the set of N tensors in an AIR manifold, the geodesic  $\gamma_{AIR}(t)$  going from  $D_1$  (at  $t =$ 0) to  $D_2$  at  $(t = 1)$  is given (Arsigny et al., 2006) by

$$
\gamma_{\scriptscriptstyle AIR}(t) = D_1^{\frac{1}{2}} exp\left(t \log\left(D_1^{-\frac{1}{2}} D_2 D_1^{-\frac{1}{2}}\right)\right) D_1^{\frac{1}{2}} \quad (5)
$$

where  $D_1^{-\frac{1}{2}} D_2 D_1^{-\frac{1}{2}}$  belongs to the space of real symmetric positive-definite matrices (Lenglet et al., 2006), and the square root, the exponential and the logarithm of a matrix are defined in Appendix B. The distance between these two tensors is represented by

dist<sub>AIR</sub>=
$$
||log(\mathbf{D}_1^{-1}\mathbf{D}_2)||_F = \sqrt{\text{tr}(log^2(\mathbf{D}_1^{-1}\mathbf{D}_2))} = \sqrt{\sum_{i=1}^3 log^2 \eta_i}
$$
 (6)

where  $\eta_i$  { $i = 1, 2, 3$ } are the three real positive eigenvalues of matrix  $D_1^{-1}D_2$  (Moakher, 2005). The metric of Eq. (6) is affine (i.e. reflection, rotation, scale, shear, and inversion) invariant and pertains only to symmetric positive-definite matrices. The mean estimator in the AIR sense of the N tensors is obtained by solving the following nonlinear minimization problem:

$$
\mu_{AIR} \equiv \arg\min_{\bm{D}} \sum_{i=1}^{N} ||log(\bm{D}_i^{-1} \bm{D})||_F^2
$$
 (7)

Even though this problem always has a unique solution (given the negative curvature of the space of symmetric positive-definite matrices (Dryden et al., 2009)), there is a closed-form

<sup>5</sup>The invariance properties of the DT-MRI distance functions are explained in Appendix A.

Phys Med Biol. Author manuscript; available in PMC 2017 November 07.

solution only for the case of  $N = 2$ . In this case, the average estimator is given explicitly (Moakher, 2005) by:

$$
\mu_{\scriptscriptstyle AIR}\!\!=\!\bm{D}_1(\bm{D}_1^{-1}\bm{D}_2)^{\frac{1}{2}}\quad \ \ (8)
$$

For the general case of  $N$  – 3 tensors, there is no closed-form solution and one may obtain the mean via an unconstrained optimization algorithm. In our study (where  $N = 3$ ),  $\mu_{AB}$  was estimated using the well-known quasi-Newton method (in particular the BFGS algorithm) (Nocedal and Wright, 2006). Some of the advantages of the particular quasi-Newton method are: (i) It achieves rapid superlinear convergence. (ii) Unlike other Newton methods, it is designed to prevent the analytical calculation of the Hessian matrix or its inverse at each iteration. (iii) Due to the efficiency of the quasi-Newton methods, it facilitates the realistic development and solution of large-scale optimization problems.

**2.4.3. The Log-Euclidean Tensor-Variate Framework—**In the log-Euclidean case, the geodesic  $\gamma_{LE}(t)$  going from  $D_1$  (at  $t = 0$ ) to  $D_2$  (at  $t = 1$ ) is a straight line in the domain of matrix logarithms described by the following equation:

$$
\gamma_{LE}(t) = exp((1-t)\log(D_1) + t\log(D_2)) \quad (9)
$$

In an analogous manner to the Euclidean space, the distance between  $D_1$  and  $D_2$  is given by:

$$
\operatorname{dist}_{\scriptscriptstyle{LE}}=\left\|\log\left(\bm{D}_1\right)-\log\left(\bm{D}_2\right)\right\|_{\scriptscriptstyle{F}}\quad(10)
$$

The metric of Eq. (10) is reflection, rotation, scale, and inversion invariant. The mean estimator of the set of N tensors that is associated with the log-Euclidean distance function is given (Dryden et al., 2009) by:

$$
\mu_{LE} \equiv \arg\min_{\mathbf{D}} \sum_{i=1}^{N} \lVert \log\left(\mathbf{D}_{i}\right) - \log\left(\mathbf{D}\right) \rVert_{F}^{2} = \frac{1}{N} \exp\left(\sum_{i=1}^{N} \log\left(\mathbf{D}_{i}\right)\right) \tag{11}
$$

#### **2.5. Metric Comparison Tool Based on Microstructural Orientation Coherence**

**—**After performing averaging at the diffusion tensor level by relying on the Euclidean, AIR and log-Euclidean distance functions (as detailed in Section 2.4), the goal was to juxtapose the primary cardiomyocyte orientation coherence restoration performance of the three metrics. To gauge the performance of each distance function, it was necessary to quantify the primary cardiomyocyte orientation coherence before and after each type of averaging. To quantitatively map the orientation integrity of the principal water diffusion eigenvectors within a given voxel neighborhood, we relied on the intervoxel diffusion coherence (IVDC) index (Wang et al., 2008). IVDC offers a quantitative assessment and allows a more objective directional analyses than the visually appealing red-green-blue (RGB) color maps

(Pajevic and Pierpaoli, 1999) and cardiac tractography methods (Rohmer et al., 2007). In addition and unlike other measures of primary eigenvector dispersion applied in the DT-MRI literature such as the coherence index (CI) (Klingberg et al., 1999), IVDC has the supplementary favorable quality that it is insensitive to the inherent eigenvector sign ambiguity (Beg et al., 2007). Below the definition of the IVDC index is briefly provided.

IVDC is a scatter matrix-based tool (Wang et al., 2008), so let us first recall what is a scatter matrix. Consider an arbitrary voxel  $(i, j, k)$ , of the cardiac wall, and a local ROI that consists of that voxel and its 26 nearest neighbors that span in the same short-axis slice and the adjacent slices above and below. Then, the scatter matrix  $T(i, j, k)$  (with respect to the primary diffusion eigenvectors) at this voxel and for this ROI is a symmetric positive semidefinite second-order dyadic tensor of size 3×3 given by

$$
T(i,j,k) = \frac{1}{27} \sum_{l=i-1}^{i+1} \sum_{m=i-1}^{i+1} \sum_{n=i-1}^{i+1} \epsilon_1(l,m,n) \epsilon_1^T(l,m,n)
$$
(12)

where  $\epsilon_1(l, m, n)$  is the major eigenvector at the  $(l, m, n)$  voxel. Finally, the IVDC index is given by

$$
IVDC(i,j,k) \equiv \frac{\sqrt{(t_1 - \bar{t})^2 + (t_2 - \bar{t})^2 + (t_3 - \bar{t})^2}}{\bar{t}\sqrt{6}}
$$
(13)

where  $t_1 \equiv t_1(i, j, k)$ ,  $t_2 \equiv t_2(i, j, k)$ ,  $t_3 \equiv t_3(i, j, k)$  are the eigenvalues of  $\mathbf{T}(i, j, k)$  and  $\overline{t} = \overline{t}$   $(i, j, k)$  is the mean of these eigenvalues. IVDC is normalized between 0 and 1. A large value of this index at a specific voxel indicates that there is uniform primary cardiomyocyte orientation distribution in this voxel's neighborhood (that is the case in tissues with highly coherent cardiomyocyte organization such as the myocardium). On the other hand, smaller values of IVDC reflect the regional loss of primary cardiomyocyte orientation integrity [that could be due to the presence of noise-induced outliers or disease (disarray)]. The foundation work for the IVDC definition as a measure of vector angular uniformity may be found in Bingham (1974).

To assess the extent of the directional regularity restoration achieved by each distance function, we estimated the relative IVDC changes before and after each of the three types of averaging.

#### **2.6. Signal to Noise Ratio Dependence of the Operations**

We performed simulations to investigate the SNR dependence of the operations. We synthesized noisy replicates of the original datasets through adding noise of Rician distribution in the magnitude images (Henkelman, 1985). Four simulated realizations of various levels of noise were used. We performed the comparison of the three tensor distance functions for each noisy realization.

#### **2.7. Preservation of Diffusion Tensor Natural Properties by Euclidean Averaging**

Linear algebra-based tensor averaging might not preserve the determinant giving rise to a mean diffusion tensor that: (i) Has a determinant larger than the determinants of the individual tensors (Corouge et al., 2006), and (ii) is substantially more isotropic than the nonlinear average tensors (Arsigny et al., 2006). This "swelling-effect" occurs because (contrary to the vector-case, where the linear averaging of a set of vectors gives the mean event) the linear averaging of a set of tensors provides both the mean event and the range of present events (Westin et al., 2002). Even though the determinant preservation requirement is in question (Pasternak et al., 2010), the extensive discussions about this topic provided us with a reason to calculate the "swelling-effect" occurrence as it was observed in the averaging procedure of our real cardiac DT-MRI data.

In addition, it has been argued that by relying on the Euclidean metric to perform operations, the positive-definiteness is not always preserved. Motivated by this statement, we also calculated the number of voxels for which the Euclidean averaging operation generated negative eigenvalues.

#### **2.8. Statistical Analysis**

To test the statistical significance of the differences in the primary cardiomyocyte orientation coherence recovery performance (as well as determinant and degree of isotropy) among the three studied distance functions, we employed the nonparametric Kruskal-Wallis test (Breslow, 1970). A value of  $p < 0.05$  was considered to be statistically significant.

All computations described in Section 2 were performed using in-house code written in Matlab (Mathworks, Natick, MA, USA).

#### **3. Results**

The measured SNR values for the datasets of this study are provided in Table 2. To visualize the extent of the directional regularity restoration achieved by each distance function, we plotted the logarithmic relative change in IVDC before and after each of the three types of averaging (Fig. 2 - top). This plot shows that the three different DT-MRI distance functions tend to provide equivalent results.

To determine whether the primary cardiomyocyte orientation coherence recovery performance is indeed the same for the three metrics, we performed the Kruskal-Wallis test for the IVDC-based comparison results. The outcomes of this nonparametric test are summarized in the table shown at the bottom of Fig. 2. This table has columns for the sum of squares (SS), degrees of freedom (df), mean squares (MS=SS/df), chi-square statistic, and  $p$ value. It is this chi-square statistic that was actually used to test the null hypothesis that all three metrics perform the same. The extremely high  $p$ -value that was obtained consists a strong indication that we cannot reject the null hypothesis. Hence, there is no important statistical difference in the three studied metrics.

To assess whether the results are sensitive to the cardiac wall region, we compared the performance of the Euclidean, AIR, and log-Euclidean tensor distance functions on 20 short-

axis slices of one rat heart of this study. The 20 slices spanned the whole 3D acquisition volume. The comparison results, shown in Fig. 3, indicate that the three distance functions tend to provide equivalent results, irrespective of cardiac region.

Regarding the SNR dpendence investigation, and taking into account that the SNR of the acquired datasets was found to be approximately 80, the synthesized noisy replicates corresponded to SNR values of 28, 41, 54, and 67. The results ( $p$ -values) of the tensor distance function comparison for each noisy realization are given in Table 3. It is obvious from these results that the three distance functions tend to provide equivalent results, irrespective of the noise level.

The results of our investigation about the preservation of diffusion tensor natural properties by Euclidean averaging are described in Table 4, and Figs. 4, 5. It may be seen there that: (i) Euclidean averaging did not generate any negative eigenvalues (Table 4). (ii) The percentage of ROI voxels that did not preserve the determinant of the Euclidean mean ranged between 7.47% and 33.33% for the five hearts (Table 4). (iii) The determinant of the Euclidean mean at a voxel was almost always (>99.9%) larger than the determinant of the other two nonlinear means at the same voxel (Table 4). (iv) The differences in the average determinant among the three resultant tensor average datasets was insignificant  $(p=0.7788)$  (Fig. 4). (v) The differences in the degree of isotropy among the three resultant tensor average datasets was insignificant  $(p=0.5117)$  (Fig. 5). (vi) The increased determinant of the Euclidean mean tensor (when compared with the determinants of the two nonlinear mean tensors) at a voxel did not always translate to a more isotropic tensor, though it happened more often than not (>72%) (Table 4).

#### **4. Discussion**

#### **4.1. The Comparison Results**

We presented a framework to compare the Euclidean, AIR and log-Euclidean distance functions using actual high-resolution DT-MRI rat heart data. By performing averaging (which is an operation that is dictated by the distance function) at the diffusion tensor level of three DT-MRI datasets (that were back-to-back and identically acquired) from each of five excised and fixed rat hearts, and by applying the three distance functions, this study sought to shed some more light on the selection of the most appropriate tensor distance function. To this end, we sought to ascertain in a quantitative manner the metric that achieved the greatest rectification of background noise-induced misaligned principal diffusion eigenvectors. Primary orientation is a piece of central information carried by the diffusion tensor data, as well as a main measure affected by disease (Giannakidis et al., 2012). The comparison performed in this paper showed that the linear and non-linear DT-MRI functions tend to provide equivalent results (Fig. 2). Hence, we conclude that the diffusion tensor manifold for cardiac studies is a curved space of almost zero curvature (Kay, 1988). The results of our study are different from the findings of several works in the related literature that suggest the superiority of one of the three metrics. Moreover, it is worth noting that despite the solid mathematics that underlie the Riemannian framework, its superiority over the uncomplicated Euclidean and log-Euclidean frameworks could not be demonstrated

here. For equivalent performances, the Euclidean and log-Euclidean frameworks offer the advantage of being much more  $($   $\sim$  13 times here) computationally inexpensive.

When compared with previous DT-MRI metric comparison studies that used a different concrete application to assess suitability, this work is unique in that it combines the following: (i) We provide quantification (by using IVDC) of the relative performance of each distance function. Thus, our strict formalism allows the objective comparison of metrics instead of subjective assessment of displays. (ii) Our comparison tool (IVDC) is not biased. (iii) Our study involved a longitudinal comparison operation that was carried out on a same-voxel-basis.

There has been a lot of discussion in the literature about the "swelling effect" occurrence when performing linear averaging. In this paper, we investigated the relevance of the Euclidean metric in terms of stability. We found that maybe this topic is more an academic rather than a practical one (Table 4, Fig. 4, Fig. 5). Also it is worth noting that the increased determinants of the Euclidean mean tensor dataset (when compared with the determinants of the log-Euclidean and AIR mean tensor datasets) did not always translate to a more isotropic tensor distribution, though it happened more often than not (>72%) (Table 4). It would be reasonable to accept that the "swelling effect" occurrence will be more substantial when averaging: (i) Tensors that are different realizations of a tensor in the same voxel and the individual datasets were obtained at experiments of great variability (for example, in the imaging parameters). (ii) Tensors that belong to areas of significantly different degree of anisotropy. However, great caution should be exerted relative to both cases described above: (i) One should be very careful when interrelating tensors obtained at various experiments with different imaging parameters. (ii) One should avoid performing operations between regions of very different anisotropy degree. For example, Arsigny et al. (2006) should have refrained from the interpolation between tensors that belong to the corpus callosum and the adjacent ventricles. It would be more prudent to apply some pre-segmentation.

This paper did not investigate the effect of experimental parameters such as the number of diffusion gradients. Instead, we used the gradient scheme proposed by Papadakis et al. (1999). Papadakis et al. (1999) performed a comparison of gradient schemes, and the scheme used in our work was that selected as optimal in the comparison. While there is still some debate (Lebel et al., 2012) as to whether it is more beneficial to use imaging time to acquire more diffusion directions or signal averages, we do not believe that the parameter selection would have a large effect on the relative performance of the tensor distance metrics. In fact, the error caused by the limited number of gradients is not pertinent to this study, as it is systematic error (Hsu et al., 2010); the implemented temporal averaging has an impact only on non-systematic errors, such as the one caused by the background noise.

In this paper, averaging was performed at the level of diffusion tensors. The mean estimation procedure may be also applied at the level of diffusion weighted images, in k-space data, or at the level of diffusion eigenvector fields. However, the subject of this paper is to compare metrics/distance functions for the 6-dimensional space of diffusion tensors; the scalar/vector averaging topic and the error propagation study (Koay et al., 2007) are beyond the scope of this work.

#### **4.2. The Comparison Method**

To ensure the proper quantitative comparison of the performances of the three DT-MRI distance functions, through the results obtained by a primary cardiomyocyte orientation coherence restoration study, a noise-free "gold standard" was needed. As a rule, it is difficult for one to have the objective reality of the situation when dealing with real tissue samples. Traditionally, non-destructive DTMRI has been validated against histologically reconstructed cardiomyocyte orientations (Hsu et al., 1998), and high resolution nondestructive 3D fast low angle shot (FLASH) MRI (Bernus et al., 2015). However, the histological validation is difficult and imperfect. It requires cutting and mounting the histological sections which can easily distort the tissue. Subsequent registration of the DT-MRI and histological data is also difficult. FLASH MRI is also a surrogate measure of cardiomyocyte orientation. In fact, it can only infer the cardiomyocyte orientation based on the direction of minimal change in image gradient. Therefore, the ground truth for this study was accommodated by the related literature. In particular, invasive morphological studies at the cellular level showed (Streeter and Bassett, 1966) that myocardium is a well-ordered and highly coherent medium. As a matter of fact, by examining locally the myocardium composition, it was found by Streeter and Bassett (1966) that the long axes of the myocytes are parallel, thus providing a systematic and relatively homogeneous primary structure for the aggregates. In addition, it was revealed by Streeter and Bassett (1966) that the rate, with which the cardiomyocyte inclination angles change as a function of transmural location, is continuous and smooth. The conformation of the heart wall cells described above is a key determinant of the cardiac electromechanics (Roberts et al., 1979).

In this paper, we analyzed the mid-lateral cardiac wall of five rats which were at an early stage of their life when the heart excision took place. By staying clear of the posterior and anterior junction areas of the left ventricle wall and the right ventricle free wall (where cardiomyocyte disarray has been reported (Kuribayashi, 1987)) and also by avoiding noncollinearities in the cardiomyocyte distribution due to aging (Kuribayashi, 1987), this further enhances the truthfulness of our expectation that the noise-free "gold-standard" of the studied tissue orientation distribution is a collinear one.

DT-MRI is highly susceptible to noise with the background signal of the diffusion weighted volumes being the main interference component (Chen and Hsu, 2005; Bao et al., 2009; Hsu et al., 2010).<sup>6</sup> The manifestation of this background signal has its roots in the signal attenuation nature of the diffusion encoding and the prolonged data acquisition requirement (i.e. a minimum of seven MRI readings is needed) (Chen and Hsu, 2005; Hsu et al., 2010). If one attempts to improve the spatial resolution (which is equivalent to obtaining larger datasets), this gives a further boost to the background noise effects due to the unfortunate trade-off (that DT-MRI is subject to) between spatial resolution and signal to noise ratio (SNR). The diffusion tensor at each voxel (which is derived by the noisy diffusion weighted volumes) is typically diagonalized to yield eigenvectors (that indicate the principal directions of diffusion) and eigenvalues (that are measures of the amount of diffusion along

<sup>6</sup>Other stochasticity sources in DT-MRI entail: (i) The eddy currents following the high demands placed on the gradient system, and (ii) other sources of systematic error such as deficiencies in the diffusion encoding scheme and poor diffusion modeling.

Phys Med Biol. Author manuscript; available in PMC 2017 November 07.

the directions pointed by the respective eigenvectors). Therefore, it is quite justifiable that the presence of the background noise discussed above has been accounted (Bao et al., 2009; Basser and Pajevic, 2000; Martin et al., 1999) for the miscalculation of the eigenvector directions and the magnitude (or, even worse, the sorting) of the eigenvalues. With respect to the primary eigenvectors (that are of particular interest in this study), the background noise in the diffusion weighted volumes causes some of them to have atypical directions. In the case of cardiac DT-MRI, the background signal results in the microstructure of a few myocardium regions erroneously appearing as discontinuous (Yang et al., 2011; Frindel et al., 2009). In fact, there have been proposed few DT-MRI regularization methods (Frindel et al., 2009; Zhou et al., 2015) that used priors to favor smoothness of local diffusion in highly homogeneous tissues.

In our study, averaging of repetitive DT-MRI acquisitions was employed with a view to correcting misaligned principal diffusion eigenvectors. In fact, it has been a common practice (Haacke et al., 1999) (due to simplicity and effectiveness) in diffusion imaging to increase the accuracy of the estimation of various parameters by performing multiple measurements and then averaging. As an illustration, averaging of consecutive (and identically acquired) scans was carried out in Sun et al. (2001), and Skare et al. (2000) with a view to reducing the background noise influence in the estimation of anisotropy indices.

#### **4.3. Quantitation of Averaging Impact on Tissue Orientation Integrity**

We would like to emphasize that the rectification of misaligned (due to noise) primary eigenvectors in DT-MRI is a topic that has been researched at a fair extent in the past. A number of sophisticated (and much more time efficient than averaging) techniques based, for example, on partial differential equation anisotropic filtering (Chen and Hsu, 2005), sparse representation (Bao et al., 2009), and the variational method (Coulon et al., 2004) have been proposed. In this work, we do not propose averaging as a means to carry out denoising. We only bring this topic in the context of DT-MRI distance function selection. However, it has caught the authors' attention the fact that while, on one hand, the time averaging for DT-MRI background noise removal is a task that is carried out heavily by researchers and scanners (Haacke et al., 1999), on the other hand, the quantitative impact of this task in specific characteristics (such as tissue orientation integrity) remains largely uncharacterized. Motivated by this observation, a supplementary contribution of this paper is the quantification (see Table 5) of the improvement in cardiomyocyte direction coherence (amongst adjacent voxels) that is achieved by averaging three (and/or two) back-to-back DT-MRI scans of a rat heart over the individual scans. From this table it is clear that the IVDC value increases with the number of averages. A main reason why the averaging impact on primary cardiomyocyte orientation coherence is not larger is that averaging cannot correct systematic errors (Haacke et al., 1999). One such source of systematic error is the fact that, in some voxels, the single tensor model provides a poor modeling for the diffusion process. To get a better insight into the averaging impact on primary cardiomyocyte orientation coherence discussed above, we also provide images (see Fig. 6) of the primary eigenvector field. These pinpoint in great detail the realignment of post-diagonalization diffusion tensor primary eigenvectors with atypical directions following tensor averaging.

Finally, IVDC could prove a useful quantitative tool for other DT-MRI applications, where structure-sensitive functions (derived from local orientation similarity) are needed. Such applications are, for example, segmentation (Lenglet et al., 2006), and interpolation comparison (Yang et al., 2011).

#### **5. Conclusion**

The comparison performed in this paper showed that the Euclidean, AIR and log-Euclidean tensor distance functions tend to provide equivalent results in terms of primary cardiomyocyte orientation coherence restoration performance. The tensor manifold for cardiac DT-MRI studies is a curved space of almost zero curvature. The results of our study are different from the findings of several works in the related literature that suggest the superiority of one of the three metrics. Moreover, it is worth noting that despite the solid mathematics that underlie the Riemannian framework, its superiority over the uncomplicated Euclidean and log-Euclidean frameworks could not be demonstrated here. For equivalent performances, the Euclidean and log-Euclidean frameworks offer the advantage of being much more  $($   $\sim$  13 times here) computationally inexpensive.

Finally, the "swelling effect" occurrence following Euclidean averaging was found to be too unimportant to be worth consideration. Hence, this topic is maybe more an academic rather than a practical one.

#### **Acknowledgments**

The authors would like to thank Prof. Thomas F. Budinger, Dr. Jean-Marc Peyrat, Dr. Ziad El Bitar, Dr Pedro F. A. D. C. Ferreira, Dr Andrew D. Scott, and Steve Collins for their help and Prof. David Firmin for the generous hospitality. We are grateful to Stephanie Murphy for preparation of the excised heart samples. This work was supported by: (i) The National Institutes of Health under Grant R01 EB007219. (ii) The Director, Office of Science, Office of Biological and Environmental Research, Medical Sciences Division of the U.S. Department of Energy under Contract DE-AC02-05CH11231. (iii) The NIHR Cardiovascular Biomedical Research Unit of Royal Brompton & Harefield NHS Foundation Trust and Imperial College London.

#### **Appendix A: Invariance Properties of the Various Distance Functions**

Assume d is a function used to measure distance between two diffusion tensors, **P** and **Q**. Then:

- *d* is invariant under reflection (or, else, re-ordering) if  $d(P, Q) = d(Q, P)$ .
- d is invariant under rotation if  $d(P, Q) = d(S<sup>T</sup> PS, S<sup>T</sup> QS)$ , where *S* any orthogonal matrix.
- *d* is scale invariant if  $d(P, Q) = d(\beta P, \beta Q)$ , for all  $\beta > 0$ .
- **•** d is invariant under inversion if  $d(P, Q) = d(P^{-1}, Q^{-1})$ .
- *d* is affine invariant, if  $d(P, Q) = d(G<sup>T</sup>PG, G<sup>T</sup>QG)$ , where *G* a general full rank matrix.

# **Appendix B: Definition of Matrix Logarithm, Exponential of a Matrix, and Square Root of a Matrix**

Assume  $W = UDU<sup>T</sup>$  is the spectral decomposition of a symmetric positive-definite matrix *W*, where *U* is the orthonormal matrix the columns of which are the eigenvectors of *W*, and  $D = diag(d_i)$  is the diagonal matrix of the strictly positive eigenvalues  $d_i$  of W. Then:

- The exponential of *W* is  $exp(W) \equiv \sum_{k=0}^{\infty} \frac{W^k}{k!} = U \text{diag}(exp(d_i)) U^T$ .
- The matrix logarithm is represented by:

 $log\left(\boldsymbol{W}\right) \equiv -\sum_{k=1}^{\infty} \frac{\left(\boldsymbol{I}-\boldsymbol{A}\right)^{k}}{k}$   $=$   $\boldsymbol{U}\mathrm{diag}\left(log\left(d_{i}\right)\right)\boldsymbol{U}^{T}$  where  $\boldsymbol{I}$  denotes the identity matrix.

The formula for the square root of *W* is:  $W^{\frac{1}{2}} \equiv exp \left( \frac{1}{2} log(W) \right)$ .

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#### **Figure 1.**

The region of interest (in yellow color) for the current comparison study.





#### **Figure 2.**

Top: The directional regularity restoration performance of the Euclidean, log-Euclidean and AIR distance functions as assessed by the logarithmic relative change in the IVDC index before and after averaging for the five hearts. Bottom: The results of the Kruskal-Wallis test for the null hypothesis that the primary cardiomyocyte orientation coherence recovery performance, as assessed by relying on the IVDC index, is the same for the three distance functions.



#### **Figure 3.**

The directional regularity restoration performance of the Euclidean (Eucl), log-Euclidean (logEucl) and affine-incvariant Riemannian (AIR) distance functions as assessed by the logarithmic relative change in the IVDC index before and after averaging for the five hearts. Results are presented over 20 short-axis slices of one rat heart of this study. The 20 slices spanned the whole 3D acquisition volume.



#### **Figure 4.**

Top: The determinant of the Euclidean (left), log-Euclidean (middle) and AIR (right) resultant tensor average datasets for the five hearts. ROI averages for each heart appear as symmetric error bars that are centered in the mean value and two standard deviations long. Bottom: The results of the Kruskal-Wallis test for the null hypothesis that the average determinant is the same for the three ways of tensor averaging.



#### **Figure 5.**

Top: The fractional anisotropy (FA) of the Euclidean (left), log-Euclidean (middle) and AIR (right) resultant tensor average datasets for the five hearts. ROI averages for each heart appear as symmetric error bars that are centered in the mean value and two standard deviations long. Bottom: The results of the Kruskal-Wallis test for the null hypothesis that FA is the same for the three ways of tensor averaging.



#### **Figure 6.**

(a) Helix angle map, where helix angle is defined (Streeter et al., 1969) as the angle between the cardiac short-axis plane and the projection of the primary eigenvector onto the epicardial tangent plane. (b)-(i) Images of the primary eigenvector field, color encoded to the absolute local helix angle. (b) The whole equatorial short-axis slice. The red sector indicates the zoom-in area (lateral wall). Remaining images are close-ups that refer to the 1st acquisition (c), 2nd acquisition (d), 3rd acquisition (e), mean of the 1st and 2nd acquisitions (f), mean of the 1st and 3nd acquisitions (g), mean of the 2nd and 3rd acquisitions (h), mean of all three acquisitions (i). The tensor averaging impact is the realignment of some primary eignvectors that had atypical directions due to noise. Results are shown when the Log-Euclidean distance function was used for tensor averaging. Similar results were obtained for the other two studied distance functions.

The imaging parameters used for each scan.



The measured signal to noise ratio (SNR) values for the datasets of our study.



The results ( $p$ -values) of the tensor distance function comparison for each noisy realization.



The results of our investigation about the preservation of diffusion tensor natural properties by Euclidean averaging.



Quantification of the averaging impact on the primary cardiomyocyte orientation coherence as assessed by the IVDC index for one heart of this study. Results are shown when the Log-Euclidean distance function was used for tensor averaging. Similar results were obtained for the other two studied distance functions.



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