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1 **COMPRESSION RESPONSE OF SEDIMENTED UNSATURATED SOILS**

2 **By F. Behbehani¹ and J.S. McCartney, Ph.D., P.E., F.ASCE²**

3 **ABSTRACT**

4 This paper presents an experimental study on the hydro-mechanical behavior of unsaturated
5 sedimented soil to understand the impacts of suction on the apparent yield stress and gain insight
6 into the differences in behavior from compacted soils. A large-strain oedometer was developed for
7 use in a triaxial cell that permits initial sedimentation of soils from a slurry under backpressure,
8 suction control using the axis translation technique, and mechanical loading to characterize the
9 compression curve. A flow pump was used to control the pore water pressure at the base of the
10 soil specimen and to track water flow during suction application and mechanical loading. After
11 initial consolidation of saturated soil specimens from a slurry, the specimens were unloaded,
12 different suction values were applied, then the axial stress was increased to 11 MPa at a constant
13 strain rate. An increase in apparent yield stress with suction was observed, and the compression
14 curves for higher suctions diverged without reaching pressurized saturation in the applied stress
15 range. When compared with compression curves for the same soil compacted dry of optimum
16 presented in previous studies, the sedimented soil had a greater yield stress at saturated conditions
17 but a similar increase in yield stress with suction. Sedimented soils also experienced smaller
18 changes in void ratio with applied net stress and a higher air entry suction value compared to
19 compacted soils, reflecting a more compact soil structure. Suction was found to have a greater
20 impact on yield stress than suction stress for both sedimented and compacted soils.

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21 INTRODUCTION

22 The compression response of unsaturated soils due to changes in net normal stress or suction
23 has important implications on the performance of fill-type geotechnical structures involving
24 compacted soils, but also cut-type geotechnical structures involving naturally sedimented soils.
25 However, most previous studies on the compression response of unsaturated soils focused on the
26 hydro-mechanical behavior of compacted soils (e.g., Wheeler & Sivakumar 1995; Sharma 1998;
27 Maâtouk et al. 1995; Rampino et al. 1999; Al-Mukhtar et al. 1999; Lloret et al. 2003; Cuisinier &
28 Masrouri 2005; Jotisankasa et al. 2007; Thu et al. 2007; Uchaipichat & Khalili 2009; Coccia &
29 McCartney 2016; Khosravi et al. 2016, 2018; Mun & McCartney 2017). It is well accepted from
30 the results of these studies that an increase in suction will lead to an increase in apparent yield
31 stress, a feature that has been incorporated into most constitutive models for unsaturated soils (e.g.,
32 Alonso et al. 1990; Wheeler & Sivakumar 1995; Cui et al. 1995; Bolzon et al. 1996; Gallipoli et
33 al. 2003; Sheng et al. 2008). However, the soil structure induced by compaction may also affect
34 the yield stress, especially for the case that specimens are compressed after being compacted to
35 different gravimetric water contents (e.g., Mun & McCartney 2017) instead of the case when
36 different suctions are imposed after compaction (e.g., Uchaipichat & Khalili 2009; Coccia &
37 McCartney 2016; Khosravi et al. 2016, 2018) or when specimens are wetted or dried after
38 compaction and the suction is monitored (Jotisankasa et al. 2007). Soil specimens compacted at
39 gravimetric water contents on the dry side of optimum will have a flocculated structure due to the
40 formation of aggregates of fine particles, while soil specimens compacted at gravimetric water
41 contents wet of optimum will have a dispersed structure where particles are aligned (e.g., Mitchell
42 et al. 1965; Ahmed et al. 1974, Delage et al. 1996). Soils with a flocculated structure have higher
43 compressibility, permeability, and undrained shear strength than soils with a dispersed structure

44 (Mitchell et al. 1965). Further, soils with a flocculated structure have stable intra-aggregate pores
45 that are not affected by compaction but have inter-aggregate pores that may collapse during wetting
46 (Tarantino & de Col 2008; Tarantino 2010; Gao et al. 2016).

47 Sedimentation of soil specimens from a slurry may lead to a different soil structure (or fabric)
48 than induced by compaction, potentially affecting the yielding behavior of the soil when
49 compressed in unsaturated conditions. Only a limited number of experimental studies have been
50 performed on the volume change of unsaturated soil specimens sedimented from a slurry due to
51 changes in suction (Fleureau et al. 1993) or changes in net stress (Jennings & Burland 1962;
52 Cunningham et al. 2003; Geiser et al. 2006; Salager et al. 2008 Gao et al. 2016). However, in these
53 studies, Fleureau et al. (1993) did not measure the compression curve after drying a slurry to a
54 given suction so the changes in yield stress with suction were not detected, Jennings & Burland
55 (1962) did not measure suction or track changes in degree of saturation, Cunningham et al. (2003)
56 evaluated saturated and unsaturated specimens with different initial void ratios and did not observe
57 a clear yield stress in the applied stress range of their compression tests, Geiser et al. (2006) and
58 Salager et al. (2006) performed limited tests on unsaturated soils and did not make comparisons
59 between compacted and sedimented soils, and although Gao et al. (2016) compared compression
60 curves for compacted and sedimented soils they did not evaluate the impact of these soil
61 preparation techniques on the yield stress versus suction relationship. A comparison between the
62 compression responses of sedimented and compacted soils would be valuable in understanding the
63 role of soil preparation technique and associated soil structure on the mechanisms of suction
64 hardening in unsaturated soils. Accordingly, this study presents results from one-dimensional
65 compression tests on saturated and unsaturated Bonny silt specimens sedimented from a slurry
66 after which different matric suctions were applied to understand the impact of suction on the yield

67 stress for this soil preparation technique, and to assess the hydro-mechanical response of the soil
68 during compression including changes in degree of saturation and the suction stress at yielding.
69 Bonny silt was selected for this study as data is available in the literature for its compression
70 response in compacted conditions which can permit evaluation of the role of soil structure on the
71 shape of the compression curve and relationship between suction and yield stress.

72 **BACKGROUND**

73 **Stresses in Unsaturated Soil**

74 The effective stress principle is used to apply solid mechanics principles to porous media like
75 soils. Terzaghi (1923) defined the effective stress to be a single value of stress that govern the
76 elastic volume change, shear strength, and stiffness in soil as follows:

$$\sigma' = \sigma - u_w \quad (1)$$

77 where σ' is the effective stress, σ is the total stress, and u_w is the pore water pressure. Bishop
78 (1959) extended the effective stress to unsaturated soil as follows:

$$\sigma' = (\sigma - u_a) + \chi(u_a - u_w) \quad (2)$$

79 where u_a is the pore air pressure, $\psi = (u_a - u_w)$ is the matric suction, $(\sigma - u_a)$ is the net stress,
80 χ is the effective stress parameter. Bishop (1959) proposed that the parameter χ to be the degree
81 of saturation, however, Coleman (1962) stated that the effective stress parameter is related to the
82 soil structure and does not have a direct correlation with the degree of saturation. Khalili &
83 Zargarbashi (2010) found from the results of multi-stage triaxial tests that the relationship between
84 the effective stress parameter and degree of saturation may not be unique due to hydraulic
85 hysteresis. Liu et al. (2020) used discrete element modeling to calculate the effective stress
86 parameter using the average contact stress and found that it matches the degree of saturation very
87 well, in contrast to the conclusion from Coleman (1962). Bolzon & Schrefler (1995) improved

88 Bishop's (1959) definition by proposing that χ is the effective saturation, which Lu et al. (2010)
89 found permits integration of the soil-water retention curve (SWRC) into the effective stress
90 definition. For example, the effective saturation can be represented using the van Genuchten (1980)
91 SWRC model:

$$S_e = \frac{S - S_r}{1 - S_r} = \left[\frac{1}{1 + (\alpha_{vG}\psi)^{N_{vG}}} \right]^{\frac{1}{1 - N_{vG}}} \quad (3)$$

92 where S_e is the degree of saturation, S_r is the residual degree of saturation, and α_{vG} and N_{vG} are
93 parameters of the van Genuchten (1980) SWRC model. Lu et al. (2010) also noted that Equation
94 (2) can be written in the following form:

$$\sigma' = (\sigma - u_a) + \sigma_s \quad (4)$$

95 where σ_s is defined as the suction stress. The suction stress can be calculated as the product of the
96 measured suction and effective saturation during an experiment, or may be predicted by integrating
97 the SWRC from Equation (3) into Equation (2).

98 **Volume Change of Unsaturated Soils**

99 Most early studies on the compression response of unsaturated soils focused on the collapse
100 response of compacted soils (Jennings & Knight 1957; Jennings & Burland 1962; Matyas &
101 Radhakrishna 1968; Dudley 1970; Houston et al. 2001) and involved the use of double oedometer
102 tests where one compacted specimen was soaked then loaded to high stresses and another was
103 loaded to high stresses and soaked. These studies raised concerns about the applicability of the
104 effective stress principle to unsaturated soils because a volumetric contraction (collapse) was
105 observed in the loaded then soaked specimen during wetting, corresponding to a reduction in
106 effective stress. Accordingly, constitutive models like the Barcelona Basic Model (BBM) of
107 Alonso et al. (1990) and Wheeler and Sivakumar (1995) were developed to consider the effects of
108 suction and net stress on volume change independently. A key part of the model was a yield curve

109 in the net stress and suction space, referred to as the loading-collapse (LC) curve, with a shape
110 developed based on data for compacted soils that was linked to the slope of the compression curve
111 after yielding. Khalili et al. (2004) rebutted the concerns about using the effective stress principle
112 to capture the compression response of unsaturated soils and noted that a 1:1 relationship between
113 effective stress and volume change is only required for elastic conditions, and that appropriate
114 elasto-plastic frameworks can consider the collapse upon wetting phenomena in effective stress
115 terms. Khalili et al. (2004) noted that suction has independent effects on the effective stress in
116 Equation (2) and on the yield stress in the LC curve, and that soils who have a greater increase in
117 yield stress with suction than the rate of increase in effective stress with suction can be considered
118 collapsible. Recent constitutive models for unsaturated soils have used the effective stress principle
119 (e.g., Wheeler et al. 2003) and several models for the LC curve have been proposed in terms of
120 effective stress (e.g., Wheeler et al. 2003; Salager et al. 2008; Turchi and Hamidi 2015). While
121 the overconsolidation ratio (OCR) is often used in constitutive modeling of saturated soils, the dual
122 effects of suction on the effective stress and yield stress imply that it is difficult to use this
123 parameter in the interpretation of data. Nonetheless, Wu et al. (2019) found that the shear strength
124 of unsaturated sedimented soils depends on the OCR prior to desaturation.

125 Although soil structure may have a significant impact the compression response of unsaturated
126 soils, most constitutive models for unsaturated soils are generally formulated around and calibrated
127 using the experimental data from compacted soils (Alonso et al. 1990; Wheeler & Sivakumar 1995;
128 Cui et al. 1995; Bolzon et al. 1996; Gallipoli et al. 2003; Zhou et al. 2012a, 2012b). Sheng et al.
129 (2008) modified the BBM to include the yield stress effect for soils that are consolidated from a
130 slurry state and for soils that are compacted at a suction above the saturation suction. The modified
131 model showed that the yield stress of soils that are initially compacted will have higher yield stress

132 with increasing suction than soils consolidated from a slurry. McCartney & Behbehani (2021)
133 collected and analyzed compression curves from 25 studies in the literature for compacted and
134 sedimented unsaturated soils to understand the impacts of suction on the suction stress at yielding,
135 the apparent yield stress, and slope of the vertical compression line. In general, both sedimented
136 and compacted soils showed an increase in yield stress with suction, and that suction generally had
137 a greater impact on the yield stress than on the suction stress, but it was not possible to compare
138 the role of soil preparation techniques on these variables for a single soil. McCartney & Behbehani
139 (2021) noted that it was important to measure the degree of saturation during compression to
140 interpret the compression curves in terms of effective stress.

141 Mun and McCartney (2017) proposed a general hypothetical representative of the drained
142 hydro-mechanical compression response of compacted soils to high stresses, which was adapted
143 in Figure 1 for the case of soils sedimented from a slurry, unloaded, desaturated to different
144 suction, then loaded to high stresses. The hypothetical compression curves in Figure 1(a) start in a
145 saturated slurry condition that undergoes self-weight consolidation following a nonlinear path that
146 eventually stabilizes and follows the log-linear virgin compression line (VCL). After loading to a
147 state that is clearly on the VCL, the specimens are unloaded to provide an initial point of
148 comparison with the compression response of compacted soils. The suction is then applied which
149 will lead to a decrease in the degree of saturation and an increase in effective stress as shown in
150 the hypothetical curves in Figure 1(b). Each applied suction will thus lead to a different starting
151 point on the recompression curve. While a saturated specimen is expected to yield at the same
152 stress from which the soil had been unloaded, the unsaturated specimens are expected to have a
153 yield stress that depends on the suction and the soil structure induced by sedimentation. During
154 drained compression, the degree of saturation will typically increase as the volume of voids

155 decreases, although some water outflow and reduction in the volume of water may also occur for
156 soils with higher initial degrees of saturation making it important to track outflow. Soils with lower
157 initial degrees of saturation typically do not experience outflow of water and can be assumed to
158 have constant water content. Greater increases in degree of saturation are expected after yielding
159 (Wheeler et al. 2003). After yielding, the compression curves for unsaturated soils are expected to
160 converge with the compression curve for saturated soils at mean stresses greater than 10 MPa (Mun
161 and McCartney 2017). However, the review of compression response of unsaturated soils reported
162 by McCartney and Behbehani (2021) indicates that the compression curves are highly nonlinear
163 and depending on the maximum stress applied the curves may show a diverging trend (e.g.,
164 Maatouk et al. 1995) or a parallel trend (e.g., Sharma 1998; Rampino et al. 1999).

165 **EXPERIMENTAL INVESTIGATION**

166 **Material and Specimen Preparation**

167 The silt investigated in this study was collected from the Bonny dam on the Colorado–Kansas
168 border. Although several previous studies have investigated the volume change response of
169 compacted Bonny silt specimens having different compaction conditions, initial degrees of
170 saturation, and temperatures (Khosravi & McCartney 2011; Vega et al. 2012; Alsherif &
171 McCartney 2015; Khosravi et al. 2016; Coccia & McCartney 2016), the compression response of
172 unsaturated Bonny silt specimens consolidated from a slurry has not yet been investigated. Bonny
173 silt is classified as ML (inorganic low plasticity silt) according to the Unified Soil Classification
174 System (USCS, ASTM D2487), and relevant index properties of Bonny silt are given in Table 1.
175 The silt has a relatively low air entry suction of less than 10 kPa and does not reach residual
176 saturation until approximately 1000 kPa, making it well suited for the suction range of the axis
177 translation technique.

178 **Experiment Setup**

179 To accommodate both large-strain consolidation and desaturation of soils using the axis
180 translation technique, a new oedometer was developed for use in a triaxial pressure cell, as shown
181 in Figure 2(a). The base platen of the triaxial cell was adapted to accommodate a central high-air
182 entry porous disc for use in the axis translation technique, and a concentric outer coarse porous
183 stone that permits larger water flow rates anticipated during consolidation from a slurry. The air
184 entry suction of the high air entry ceramic disc has a capacity of 300 kPa, which was deemed to
185 be a sufficiently high capacity to characterize the funicular regime of the SWRC. The outer
186 diameter of the 35 mm-tall base platen has two “O”-ring grooves which provide a seal with a slip-
187 fit specimen tube having a height of 175 mm, an inner diameter of 70 mm, and a wall thickness of
188 15 mm as shown in Figure 2(b). The base platen and specimen tube were fabricated from anodized
189 aluminum. The wall thickness of the specimen tube was selected to have sufficient rigidity to
190 minimize radial strains induced by application of high axial stresses up to 11 MPa to the soil
191 specimen. A coarse porous stone having a thickness of 17 mm is to use provide drainage from the
192 top of the specimen, and a slip fit top platen made from anodized aluminum is used to distribute
193 the force from the piston of the triaxial cell. The slip fit of the top platen is designed to prevent
194 tilting during large strain consolidation while still allowing drainage of water or air from the top
195 of the specimen. A linearly variable differential transformer (LVDT) is connected to the top of the
196 specimen tube within the pressure cell, with the core resting on the top platen. Axial stresses are
197 applied to the soil specimen using a 44.5 kN load frame in displacement control mode as shown
198 in Figure 2(c), and an S-type load cell is used to record the force applied to the piston of the triaxial
199 cell. The triaxial cell pressure, which is also the air pressure in the axis translation technique when
200 desaturating the soil specimen, is applied using a pressure panel. A flow pump is used to control

201 the water pressure at the base of the soil specimen. During compression under saturated conditions,
202 the flow pump is connected to the coarse porous stone in the bottom platen, while during
203 desaturation, the flow pump is connected to the high air entry porous stone. The flow pump has
204 pressure and volume control capabilities, has a volumetric capacity of 75 ml, a maximum flowrate
205 of 25 ml/min, and can apply pressures between -100 to 2070 kPa. The flow pump incorporates a
206 pressure sensor that can be used for maintaining constant pressures with the pump via a feedback
207 loop. A pore pressure transducer (PPT) is attached to the coarse porous stone to record the pressure
208 at the base of the specimen during consolidation and desaturation. During desaturation, the
209 measurements from this PPT do not provide a meaningful quantification of the pore air or water
210 pressures, but as will be shown in the results section was useful in detecting the point of air entry
211 into the soil. Pictures of the setup within the triaxial cell are shown in Figure 3.

212 **Experimental Procedures**

213 The soil specimens were prepared by initially drying them at 100°C for 24 hours, cooling to
214 room temperature, and crushing any agglomerates with a mortar and pestle so that it passes a 2 mm
215 sieve opening. Then the dry soil was mixed with tap water to reach a water content of two times
216 the liquid limit. The slurry was poured into the specimen tube and covered to prevent any water
217 loss and then left to homogenize and consolidate under its self-weight for 48 hours. The slurry
218 specimens initially had heights between 37.5 and 40.0 mm high, and 70 mm diameter wide. The
219 initial gravimetric water contents of the slurries ranged from 49 to 51%. After self-weight
220 consolidation, the soil was sufficiently stiff to support the weight of the coarse porous stone and
221 the top platen. At this stage, the cell was assembled and filled with water just below the top of the
222 specimen tube so that the LVDT would remain dry. A constant initial backpressure of 172.4 kPa
223 was applied to the air in the upper portion of the cell using the pressure control panel and to the

224 specimen base using the flow pump. In the initial stage of the test, the cell/air pressure is conveyed
225 to the water on top of the soil layer. The backpressure was applied to ensure that any air dissolved
226 in the water remained dissolved after passing out of the specimen during desaturation using the
227 axis translation technique.

228 All sedimented soil specimens were initially loaded in saturated conditions to 420 kPa at a
229 constant displacement rate of 1.8 mm/day (axial strain rates of 4.5 to 4.8 %/day) then unloaded to
230 zero applied axial stress. The hydro-mechanical behavior of unsaturated soils is related to the strain
231 rate at which the experiment is conducted, as the compression curve is defined as the relationship
232 between void ratio and effective stress at hydraulic equilibrium after any excess pore water
233 pressures due to mechanical loading have dissipated. Many experiments were conducted to
234 understand the loading rate influence on unsaturated soil specimens. For example, the strain rate
235 can affect the yield stress value and the compression behavior of the specimen (Qin et al. 2015),
236 and at high suction levels, the loading rate will change the soil stiffness (Rojas & Mancuso 2009).
237 Wu et al. (2020) showed that increasing the strain rate will lead to decrease the degree of saturation
238 and the volumetric strain of the unsaturated specimen at the critical state. The displacement rate
239 during loading of 1.8 mm/day was found to be sufficient to minimize the build-up of pore water
240 pressure during both consolidation of the slurry and later compression of the unsaturated soil as
241 measured by the PPT in the coarse porous stone. Unloading was performed at half the rate during
242 compression following recommendations in ASTM D4186/D4186M. The typical frame movement
243 during this initial loading and unloading process is shown in the schematic time series Figure 4(a).
244 Suctions values ranging from 0 to 270 kPa were the applied to the specimen and time was permitted
245 for desaturation. The time required for reaching equilibrium of water outflow from the base
246 required different times, which is why Figure 4 is shown without a time scale for ease of

247 comparisons between controlled variables in the different tests. To apply the suction values, the
248 water pressure at the base of the specimen (applied through the high air entry ceramic) was
249 maintained at 172.4 kPa and the air pressure was elevated to different values as shown in Figure
250 4(b). This led to the application of different suction values as shown in Figure 4(c). After reaching
251 equilibrium of water outflow during desaturation under the different suction values, the specimens
252 were reloaded to a vertical effective stress of approximately 11 MPa at a rate of 1.8 mm/day then
253 unloaded to finish the test. The maximum load was selected to encompass the range of the stresses
254 encountered in near-surface geotechnical engineering applications of approximately 10 MPa.

255 **RESULTS**

256 The actual time series from the desaturation phase in the tests on specimens with different
257 suction magnitudes is shown in Figure 5. During consolidation of the soil from a slurry, the
258 specimen is double drained, so water can flow freely upward through the top coarse porous stone
259 and downward through the outer coarse porous stone as the pump maintains a constant pressure.
260 The outflow volume from the base can be tracked from the pump position. The flow pump
261 maximum speed was set to be 0.1 ml/min, which was sufficiently fast to maintain a constant
262 pressure of 172.4 kPa during outflow but slow enough to avoid overshooting. As mentioned, the
263 water that is drained through the top stone is stored above the soil layer in the specimen tube. After
264 application of the difference in air and water pressures shown in Figure 4(b), a gradient is induced
265 across the saturated specimen, and the water above the specimen starts to flow downward through
266 the specimen and out of the high air entry ceramic disc to the flow pump. As all the applied suctions
267 are greater than the air entry suction, air will eventually enter the soil and the water outflow will
268 gradually decrease until reaching equilibrium. Time series of water outflow and calculated degree
269 of saturation in the different tests are shown in Figure 5(a), which indicates that equilibrium is

270 typically reached after 60 hours. As the specimens are all initially saturated and overconsolidated,
271 the specimens were relatively stiff. The changes in height during application of the greatest suction
272 was less than 2×10^{-7} m. Accordingly, the volume of voids had a negligible change so the volume
273 of water flowing out of the specimen and the degree of saturation were observed to follow similar
274 but inverted trends in Figure 5(a). The pump pressure, controlled via the port connected to the high
275 air entry ceramic during desaturation, is shown together with the degree of saturation in Figure
276 5(b), which indicates that the pump pressure is generally constant but that greater fluctuations with
277 a maximum amplitude of ± 15 kPa occur when large amounts of water outflow is occurring during
278 the early stage of desaturation. An interesting observation was made from the PPT attached to the
279 coarse porous stone during the desaturation phase shown together with the degree of saturation in
280 Figure 5(c). This sensor is not measuring the air or water pressure, but a value between the two
281 applied values. A temporary drop in pressure was observed to coincide with the point of air entry.
282 During desaturation, the pump (water) and cell (air) pressures are maintained constant, and any
283 water outflow is permitted to drain to the flow pump due to the lower water pressure. The water
284 outflow and the volume of voids were used to track the degree of saturation during compression,
285 as will be shown later. The PPT attached to the coarse porous stone indicated that the pressure
286 remained steady during compression, indicating that the rate of compression was slow enough to
287 maintain drained conditions. The tests on the specimens at suctions of 0 (saturated) 20 kPa were
288 performed first before the testing procedures were refined, and unfortunately were stopped before
289 reaching 11 MPa without recording of the during unloading. At the end of the tests, the final
290 dimensions of the specimen and the gravimetric water content were measured.

291 The compression curves for the sedimented Bonny silt specimens are shown in Figure 6(a) in
292 terms of effective stress calculated using the sum of the applied net stress and the product of the

293 applied suction and measured degree of saturation. Because the test was performed over a wide
294 range of stresses and void ratios, the portions of the compression curves during initial consolidation
295 of the slurry up to 420 kPa are shown in Figure 6(b) while the portion during suction application
296 and subsequent loading to approximately 11 MPa is shown in Figure 6(c). All the compression
297 curves are shown in terms of the change in void ratio with respect to the initial slurry void ratio as
298 due to slight differences in the initial void ratios of the slurries and specimen dimensions. The
299 initial compression curves in Figure 6(b) have different slopes at very low stresses due to the fragile
300 condition of the slurry and because the loads were close to the lower limit of the load cell. However,
301 at around 300 kPa, the compression curves converge and follow a similar path. The compression
302 index is approximately 0.24 for the saturated soil which is similar to oedometer tests on saturated
303 Bonny silt specimens compacted dry of optimum (Alsherif & McCartney 2015). During the
304 transient desaturation stage shown in Figure 6(c), the effective stress will initially equal to the
305 applied suction as the degree of saturation is initially 1 and the axial load is zero. However, the
306 effective stress will reduce over time as water flows out of the soil and the degree of saturation
307 reaches equilibrium, as shown in Figure 6(c). Consistent with observations from compacted soils,
308 the recompression curves in Figure 6(c) indicate that the applied suction does lead to an increase
309 in yield stress for sedimented soils, with the yield stress defined using the approach of Casagrande
310 (1946). An interesting observation is that in the range of axial stresses applied, the slopes of the
311 VCLs for unsaturated specimens were either parallel to that of the saturated soil specimen or
312 slightly diverged. This was consistent with the compression curves for compacted Bonny silt
313 specimens tested by Coccia & McCartney (2016) and Khosravi et al. (2018). This observation is
314 not consistent with the hypothetical compression curves in Figure 1(a) but could be attributed to
315 the nonlinearity of the compression curves and the fact that larger axial stresses may be necessary

316 to reach pressurized saturation and air expulsion. The water outflow during the reloading of the
317 specimens with different suction values is shown in Figure 6(d). Specimens with higher suction
318 values have lower amounts of water outflow mainly as there is less water in the soil and water is
319 held at the particle contacts. The suction stress calculated as the product of the degree of saturation
320 and suction is shown in Figure 6(e) as a function of total vertical stress. This figure shows that the
321 initial suction stress increases with applied suction, and that the rate of change in suction stress
322 with net stress depends on the initial suction of the soil due to the trends in water outflow observed
323 in Figure 6(d).

324 The hydro-mechanical behavior of the unsaturated soil specimens during the compression
325 stage is shown in Figure 7. A plot of the applied matric suction versus the change in void ratio is
326 shown in Figure 7(a), for the portion of the compression curve focused on unsaturated conditions
327 shown in Figure 6(c). As the suction was constant during compression, the relationships between
328 the change in void ratio and suction are vertical lines. Smaller changes in void ratio occur during
329 compression to 11 MPa for increasing suction, which can be attributed to the shallower slopes of
330 the VCL for unsaturated specimens at high stresses observed in Figure 6(c). A maximum change
331 in void ratio of 1.217 was observed for the saturated specimen while a minimum change in void
332 ratio of 1.003 was observed for the specimen with a suction of 270 kPa. The degree of saturation
333 versus the change in void ratio during the desaturation and recompression stages is shown in Figure
334 7(b). As noted, there was not a significant change in void ratio during desaturation, but
335 recompression led to an increase in the degree of saturation. In the tests on specimens at 20 and
336 40 kPa, the specimens reached saturation ($S=1$) during recompression, while in the other tests, an
337 increase was observed but saturation was not reached. The curves in Figure 7(c) show the transient
338 loops of degree of saturation versus the effective stress. The curves are initially inclined downward

339 during the transient desaturation process shown in Figure 6(b), after which the degree of saturation
340 increases nonlinearly while the vertical effective stress increases. A major increase in degree of
341 saturation does not occur until reaching yielding, as noted in Figure 1(b). During unloading, the
342 degree of saturation rebounded slightly in the tests on the specimens with high suction values,
343 forming a loop. The changes in degree of saturation with effective stress follow generally parallel
344 slopes prior to yielding, then the specimens with initially higher suction values show a more rapid
345 increase in degree of saturation during compression.

346 ANALYSIS

347 The equilibrium values of suction and degree of saturation prior to recompression in each of
348 the specimens permits definition of points on the SWRC for sedimented Bonny silt, as shown in
349 Figure 8(a) along with the best-fit van Genuchten (1980) SWRC from Equation (3) obtained using
350 fitting parameters of $\alpha_{vG} = 0.025 \text{ kPa}^{-1}$ and $N_{vG} = 1.64$. A comparison of the best fit SWRC for
351 the sedimented Bonny silt is compared with two SWRCs reported in the literature for compacted
352 Bonny silt in Figure 8(b). The sedimented specimen had an air entry suction of approximately
353 10 kPa, which is greater than that of the compacted specimens, which were approximately 1 to
354 2 kPa. The high air entry suction for the sedimented specimen could be related to a dispersed
355 structure associated with initial compression of the slurry to 420 kPa. An interesting observation
356 is that the fitting parameter N_{vG} for the sedimented Bonny silt was similar to those defined by
357 Alsherif & McCartney (2016) and Başer et al. (2018) for compacted Bonny silt. The parameter
358 N_{vG} is related to the pore size distribution of the soil, indicating that the sedimented and compacted
359 Bonny silt specimens may have different soil structures but similar pore size distributions.

360 A relationship between the yield stress and the degree of saturation at yielding for the
361 sedimented Bonny silt is shown in Figure 9(a), along with similar relationships for compacted

362 Bonny silt from the literature. The dry unit weight for the sedimented specimen attained after
 363 compression to 420 kPa is 16.1 kN/m³, which is greater than the dry unit weights of 14.22 and
 364 14.05 kN/m³ reported by Coccia and McCartney (2016) and Khosravi et al. (2018) for compaction
 365 at a gravimetric water content of 14%. Although this compaction gravimetric water content
 366 corresponds to the optimum water content for the standard Proctor compaction curve as noted in
 367 Table 1, the lower dry unit weights investigated in these studies indicates that this gravimetric
 368 water content likely corresponds to dry of optimum conditions for this effort. The yield stresses
 369 for the sedimented specimens are consistent greater than those of the compacted specimens,
 370 potentially due to the higher initial dry unit weight associated with sedimentation. Additionally,
 371 both Coccia and McCartney (2016) and Khosravi et al. (2018) measured the compression curves
 372 in isotropic stress states, which may lead to a softer response than in oedometric conditions. The
 373 yield stresses of the sedimented and compacted Bonny silt specimens are shown as a function of
 374 matric suction on a 1:1 scale in Figure 9(b). Although Uchaipichat and Khalili (2009) noted that
 375 the yield stress should not increase from the value at saturation until reaching the air entry suction,
 376 this feature was not well observed in the data because the air entry suction of the soil was below
 377 the lowest value of suction applied. As the yield-stress vs. suction relationships were
 378 approximately linear over the range of suctions applied, and because the air entry suction is
 379 relatively small compared to range of applied suctions, the linear LC curve of Tourchi & Hamidi
 380 (2015) model was fitted to the data. Their LC curve is given as follows:

$$\sigma'_y(\psi) = \sigma'_y(\psi_0) + \varpi\psi \quad (5)$$

381 where $\sigma'_y(\psi)$ is the effective yield stress at any suction, $\sigma'_y(\psi_0)$ is the yield stress at zero suction
 382 (saturation), and ϖ is a fitting parameter representing the slope of the LC curve. Although there is
 383 some scatter in the data, the slopes of the LC curves for the compacted and sedimented Bonny silt

384 specimens were similar with a value of $\varpi = 1.7$. This observation may be related to the similar
385 N_{vG} parameters for the compacted and sedimented silt specimens reflecting similar pore size
386 distributions despite the different soil structures associated with the preparation technique.

387 As Khalili et al. (2004) noted that unsaturated soils can be categorized based on whether
388 suction has a greater effect on the yield stress or the effective stress, the suction stress at the point
389 of yielding (defined as the product of the measured degree of saturation and applied matric suction)
390 is shown in Figure 10. Similar curves for the compacted Bonny silt specimens are also shown in
391 this figure, which indicate a clear overlap with the curve for sedimented Bonny silt specimens. The
392 results in this figure indicate that the suction has a much greater effect on the yield stress than the
393 suction stress (and thus the effective stress) for both the compacted and sedimented Bonny silt
394 specimens. This indicates that the sedimented and compacted Bonny silt specimens would both be
395 collapsible.

396 The compression indices for the specimens with different constant matric suction values are
397 shown in Figure 11 for the compacted and sedimented Bonny silt specimens. As noted in the
398 evaluation of the compression curves in Figure 6(c), a decreasing trend in the compression indices
399 is noted with increasing suction, indicating that the compression curves are diverging, and not
400 converging as shown in the hypothetical compression curves in Figure 1(a). This is likely because
401 the stress range applied in this study is not sufficient to lead to the transition to pressurized
402 saturation. The compression indices for the sedimented soils were greater than those for the
403 compacted soils, indicating that they will be stiffer with less changes in volume for the same
404 changes in stress. Although there is a large difference in the slopes of the curves of the sedimented
405 specimens with suctions greater than 40 kPa and the ones at 0 and 20 kPa, the trend in compression
406 index with suction in the higher suction range is similar to that observed in the studies on the

407 compacted Bonny silt specimens. This further adds to the possibility that the sedimented and
408 compacted Bonny silt specimens have similar pore size distributions despite the differences in
409 preparation technique.

410 **CONCLUSIONS**

411 A comparison between the compression curves of sedimented and compacted Bonny silt in
412 terms of effective stress provided new insights into the impacts of specimen preparation on the
413 yielding response of unsaturated soils. An increase in apparent yield stress with suction was
414 observed for both the saturated and unsaturated soils. After yielding, the compression curves for
415 specimens with higher suctions diverged without tending toward pressurized saturation in the
416 applied axial stress range of 11 MPa. Sedimented soils were found to have greater yield stresses
417 in both saturated and unsaturated conditions when compared with soils compacted dry of optimum,
418 which may have occurred due to the greater initial dry unit weight of the sedimented soils.
419 However, a similar increase in yield stress with suction was observed for both the sedimented and
420 compacted soils. Sedimented soils also experienced smaller changes in volume with applied stress
421 and higher air entry suction value than compacted soils, possibly due to a denser, dispersed soil
422 structure. However, similar pore size parameters in the SWRC, similar slopes of the yield stress
423 versus suction relationship, and similar changes in the compression index with suction indicate
424 that the compacted and sedimented soils may have similar pore size distributions. Suction was
425 found to have a greater impact on yield stress than suction stress for both sedimented and
426 compacted soils, indicating that they are both susceptible to collapse upon wetting.

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429 Kuwait University.

430 **DATA AVAILABILITY STATEMENT**

431 All data, models, and code generated or used during the study appear in the submitted article.

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565

566 **TABLE 1:** Geotechnical properties of Bonny silt.

Parameter	Value
D ₁₀	<0.0013 mm
D ₃₀	0.022 mm
D ₅₀	0.039 mm
% Passing No. 200 sieve	83.9
% Clay size	14
% Silt size	69.9
% Sand size	16.1
Liquid limit, LL	25
Plastic limit, PL	21
Plasticity index, PI	4
Maximum dry unit weight* (γ_{dry})	16.3 kN/m ³
Optimum water content* (w_{opt})	14%

567 *Defined according to the standard Proctor compaction effort

568 **FIG. 1:** Hypothetical hydro-mechanical behavior of unsaturated soils during drained compression
569 with constant suction: (a) Compression curves in terms of effective stress of unsaturated soils
570 during drained compression with constant suction; (b) Increases in degree of saturation during
571 drained compression.

572 **FIG. 2:** Experimental setup for the custom oedometer for unsaturated soils within a pressure cell:
573 (a) Schematic of the oedometer in the triaxial cell; (b) Detailed schematic of oedometer body;
574 (c) Schematic showing connections from the triaxial cell to the pressure panel and flow pump.

575 **FIG. 3:** Pictures of the custom oedometer for unsaturated soils within a pressure cell setup:
576 (a) Picture of the assembled setup; (b) Picture of the oedometer setup; (c) Picture showing the
577 hydraulic connections from the cell to the pressure panel and flow pump.

578 **FIG. 4:** Schematic time series for variables controlled in tests on specimens with different
579 suctions: (a) Load frame movement; (b) Pore water and pore air pressures; (c) Applied suction.

580 **FIG. 5:** Time series during desaturation for the tests with different suctions: (a) Changes in the
581 volume of water outflow and degree of saturation; (b) Pore water pressure and degree of
582 saturation results; (c) Fluid pressure at the base and degree of saturation.

583 **FIG. 6:** Compression curves for sedimented Bonny silt specimens; (a) Full compression curves;
584 (b) Initial portions during slurry consolidation (all specimens are fully saturated); (c) Portions
585 during and after desaturation showing transient suction application effects and yield stresses;
586 (d) Water outflow during compression of unsaturated specimens; (e) Suction stress during
587 compression of unsaturated specimens.

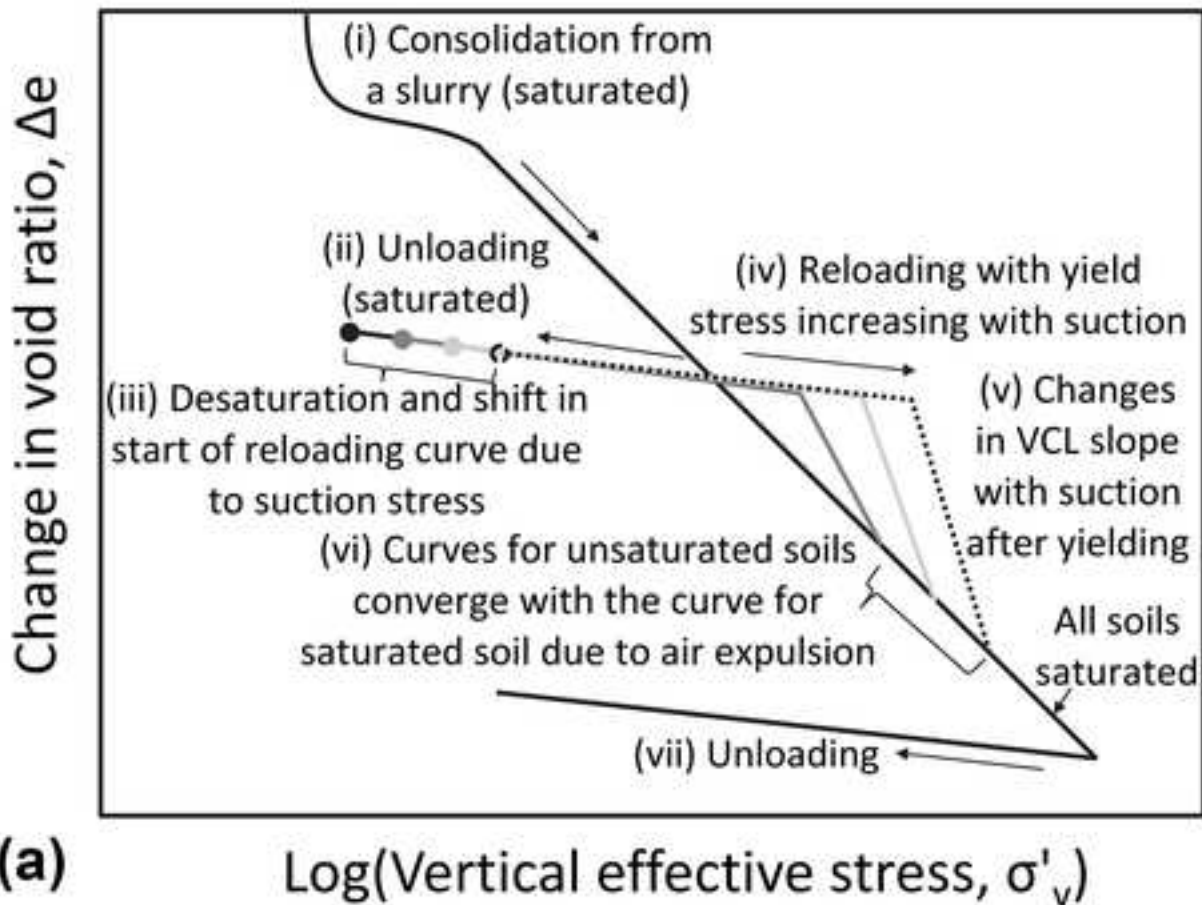
588 **FIG. 7:** Hydro-mechanical behavior of sedimented Bonny silt during compression at different
589 matric suction values: (a) Changes in void ratio with matric suction; (b) Changes in void ratio
590 with degree of saturation; (c) Vertical effective stress versus degree of saturation.

591 **FIG. 8:** (a) Sedimented Bonny silt SWRC data from this study along with the fitted van Genuchten
592 (1980) SWRC model; (b) Comparison of the van Genuchten (1980) SWRC models for
593 sedimented and compacted Bonny silt specimens.

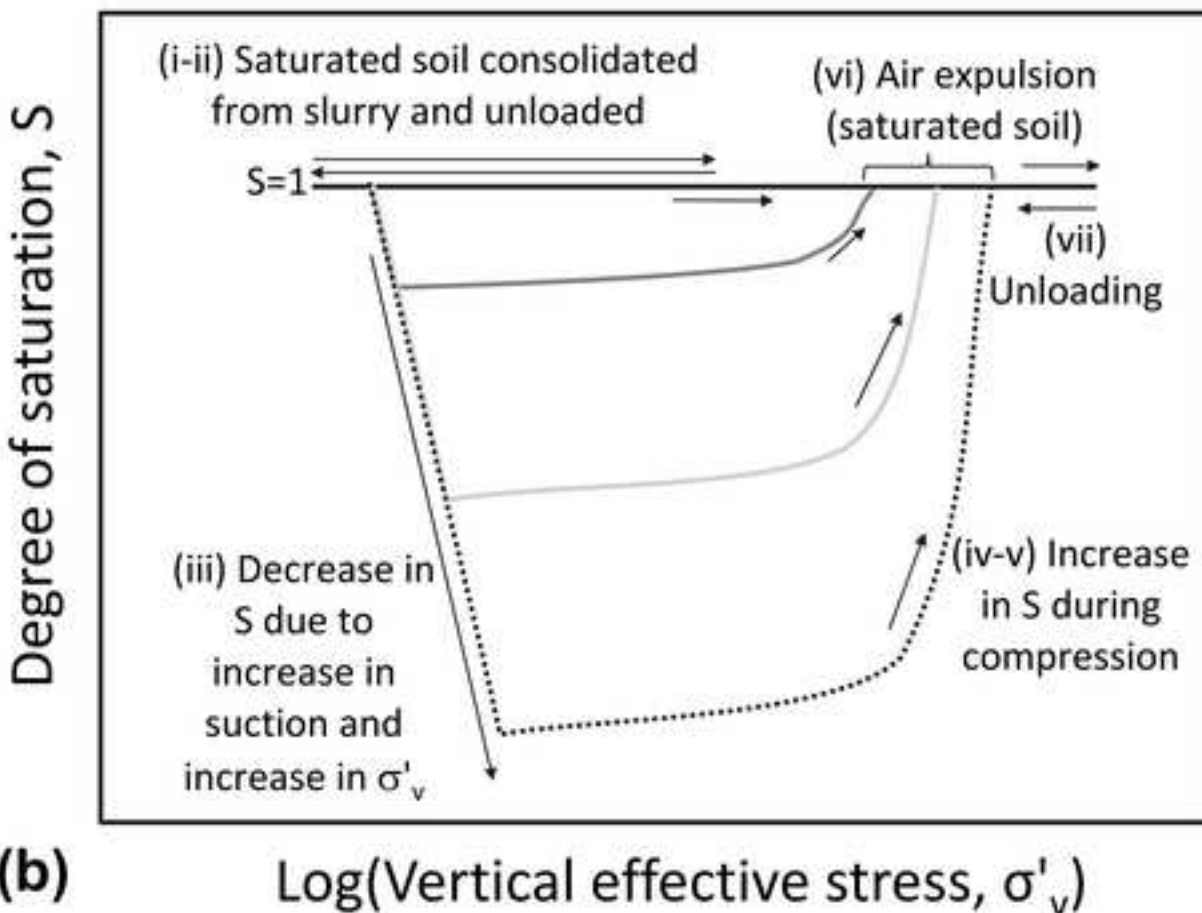
594 **FIG. 9:** Evolution in yield stress for unsaturated sedimented and compacted Bonny silt specimens:
595 (a) Yield stress as a function of degree of saturation at yielding; (b) Yield stress as a function
596 of matric suction at yielding

597 **FIG. 10:** Evolution in suction stress as a function of matric suction at yielding for sedimented and
598 compacted Bonny silt specimens.

599 **FIG. 11:** Evolution in the compression index with matric suction for sedimented and compacted
600 Bonny silt specimens.



(a)

Log(Vertical effective stress, σ'_v)

(b)

Log(Vertical effective stress, σ'_v)

