

UC Riverside

UC Riverside Previously Published Works

Title

LiDAR-derived topography and forest structure predict fine-scale variation in daily surface temperatures in oak savanna and conifer forest landscapes

Permalink

<https://escholarship.org/uc/item/21k554jm>

Authors

Davis, Frank W
Synes, Nicholas W
Fricker, Geoffrey A
[et al.](#)

Publication Date

2019-05-01

DOI

10.1016/j.agrformet.2019.02.015

Peer reviewed

1 **LiDAR-derived topography and forest structure predict fine-scale**
2 **variation in daily surface temperatures in oak savanna and conifer forest**
3 **landscapes**

4 **Authors**

5 Frank W. Davis^{a*}, Nicholas W. Synes^b, Geoffrey A. Fricker^{b,c,d}, Ian M. McCullough^e, Josep M. Serra-
6 Diaz^{f,g}, Janet Franklin^{b,d}, and Alan L. Flint^h

7

8 **Affiliations**

9 ^a Bren School of Environmental Science & Management, University of California, Santa Barbara, CA
10 93106, USA

11
12 ^b School of Geographical Sciences & Urban Planning, Arizona State University, P.O. Box 875302, Tempe,
13 AZ 85287-5302, USA

14
15 ^c Social Sciences Department, California Polytechnic University, San Luis Obispo, San Luis Obispo, CA
16 93407, USA

17
18 ^d Department of Botany and Plant Sciences, University of California, Riverside, Riverside, California.
19 Riverside, CA 92507, USA

20
21 ^e Department of Fisheries and Wildlife, Michigan State University, East Lansing MI, 48824 USA

22
23 ^f Université de Lorraine, AgroParisTech, Inra, Silva, 54000 Nancy, France

24
25 ^g Center for Biodiversity Dynamics in a Changing World (BIOCHANGE), Department of Bioscience,
26 Aarhus University, Denmark

27

28 ^h California Water Science Center, USGS, 6000 J Street, Sacramento, California 95819 USA

29

30 * Corresponding author. Email address: fwd@bren.ucsb.edu

31 **ABSTRACT**

32 In mountain landscapes, surface temperatures vary over short distances due to interacting influences
33 of topography and overstory vegetation on local energy and water balances. At two study landscapes
34 in the Sierra Nevada of California, characterized by foothill oak savanna at 276-481 m elevation and
35 montane conifer forest at 1977-2135 m, we deployed 288 near-surface (5 cm above the surface)
36 temperature sensors to sample site-scale (30 m) temperature variation related to hillslope orientation
37 and vegetation structure and microsite-scale (2-10 m) variation related to microtopography and tree
38 overstory. Daily near-surface maximum and minimum temperatures for the 2013 calendar year were
39 related to topographic factors and vegetation overstory characterized using small footprint LiDAR
40 imagery acquired by the National Ecological Observatory Network (NEON) Airborne Observation
41 Platform (AOP). At both landscapes we recorded large site and microsite spatial variation in daily
42 maximum temperatures, and less absolute variation in daily minimum temperatures. Generalized
43 boosted regression trees were estimated to measure the influence of tree canopy density, understory
44 solar radiation, cold-air drainage and pooling, ground cover and microtopography on daily maximum
45 and minimum temperatures at site and microsite scales. Site-scale models based on indices of
46 understory solar radiation and landscape position explained an average of 61-65% of daily variation in
47 maximum temperature; site-scale models based on tree canopy density and landscape position
48 explained 65-83% of variation in minimum temperatures. Models explained <15% of variation in
49 microsite-scale maximum temperatures but within-site heterogeneity was significantly correlated with
50 within-site heterogeneity in modeled understory radiation at both landscapes. Tree canopy density and
51 slope explained 33% of microsite-scale variation in minimum temperatures at savanna sites. Our
52 results demonstrate that it is feasible to model site-scale variation in daily surface temperature
53 extremes and within-site heterogeneity in surface temperatures using LiDAR-derived variables,
54 supporting efforts to understand cross-scale relationships between surface microclimates and regional
55 climate change. Improved understanding of topographic and vegetative buffering of thermal
56 microclimates across mountain landscapes is key to projecting microclimate heterogeneity and
57 potential species' range dynamics under future climate change.

58 **KEYWORDS:** microclimate, insolation, cold-air drainage, NEON

59 **Highlights**

- 60 ● Spatial variation in daily maximum surface temperatures is high in foothill oak savannas and
61 even higher in montane conifer forest landscapes
- 62 ● Modeled understory radiation, landscape position and tree canopy explain >60% of observed
63 variation in daily surface temperature extremes
- 64 ● Topographic and canopy controls on surface temperature extremes vary seasonally
- 65 ● NEON LiDAR data are useful for modeling site and microsite variation in surface
66 temperatures

67 **Abbreviations**

Acronym	Term	units
AOP	NEON Airborne Observation Platform, which collects remote sensing data over NEON field sites.	
<i>CAP</i>	Cold-air pooling index (Eq. 5)	m
<i>CD</i>	Tree canopy density, the percent of ground covered by tree canopy (0-100) in a circle of specified radius centered on the point (Eq. 1)	%
<i>CDs</i>	Tree canopy density within a semi-circle of specified radius south of the temperature sensor	%
<i>DEM</i>	Digital elevation model.	m
<i>GCs</i>	Fractional ground cover (shrubs, large herbs and large woody debris) in a semicircle of specified radius south of the point.	%
LAI	Leaf Area Index	m ² /m ²
LiDAR	Light Detection and Ranging	
LPI	Laser Penetration Index, derived from LiDAR (Eq. 2)	0-1
NEON	National Ecological Observatory Network	
<i>S</i>	Slope calculated from DEM	deg
SJER	San Joaquin Experimental Range	
<i>SR</i>	Modeled integrated daily solar radiation	Whm ⁻²
TEF	Teakettle Experimental Forest	
<i>TPI</i>	Topographic Position Index, a proxy for cold-air drainage and pooling (Eq. 4)	m
<i>UR</i>	Index of understory direct solar radiation (Eq. 3)	Whm ⁻²

68

69 **1. Introduction**

70 Climate varies continuously across spatial scales ranging from macroclimates ($>2 \times 10^5$ m
71 horizontally) to mesoclimates ($10^3 - 2 \times 10^5$ m), local topoclimates (10^2 - 10^4 m), and ultimately
72 microclimates (10^{-1} - 10^2 m) (Barry, 1970; Geiger et al., 2009). Microclimatic variation within a few
73 decimeters of the ground surface is of particular interest to ecologists and natural resource managers
74 because this is the climate that many organisms experience (Kearney and Porter, 2009; Rosenberg et
75 al., 1983). To better understand how species could be affected by ongoing climate change, ecologists
76 have intensified research to characterize spatial variation in near-surface temperature regimes at
77 topoclimate and microclimate scales (e.g., Ashcroft and Gollan, 2013a; Lenoir et al., 2017; Scherrer
78 and Körner, 2011). Attention has focused on the potential for thermal refugia in mountain landscapes,
79 which harbor large topographic variation in surface temperatures at topoclimate and microclimate
80 scales (Ashcroft and Gollan, 2013b; Dobrowski, 2011). This fine-scale heterogeneity has important
81 ramifications in moderating climate change exposure for species and communities (Hannah et al.,
82 2014; Woods et al., 2015).

83 Overstory trees and shrubs are another important source of surface temperature variation. Numerous
84 studies have documented large meter-to-meter differences in daily surface temperature extremes
85 between understories compared to edges or open areas in temperate forests (reviewed by Chen et al.,
86 1999; Schmidt et al., 2017), semi-arid woodlands and savannas (Belsky et al., 1993; Breshears et al.,
87 1998; Parker and Muller, 1982) and shrublands (Pierson and Wight, 1991). Differences in daytime
88 temperatures are mainly due to overstory interception of incident shortwave solar radiation and effects
89 of vegetation on near-surface air movement and sensible heat exchange, whereas nighttime
90 differences are largely due to canopy absorption and re-radiation of longwave radiation emitted by the
91 ground surface (Geiger et al., 2009). Fine-scale spatial heterogeneity in surface temperatures is
92 especially pronounced under discontinuous tree and shrub cover depending on canopy arrangement,
93 height and closure (Breshears, 2006; Martens et al., 2000). Heterogeneity can be extreme in semi-arid
94 ecosystems where high summer insolation and dry surface soils combine to produce high surface
95 temperatures in open areas (Belsky et al., 1993; Parker and Muller, 1982).

96 In mountainous terrain the influence of overstory vegetation on surface temperatures is complicated
97 by spatial variation in surface energy balance with changing elevation, slope orientation (Musselman
98 et al., 2013), terrain shadowing (Flint and Childs, 1987), air flow patterns and cold-air pooling (Burns
99 and Chemel, 2014; Lundquist et al., 2008), hillslope hydrology, snowpack depth and duration
100 (Broxton et al., 2015), as well as the co-variation of vegetation structure with topographic position
101 (Ford et al., 2013). Understanding the joint influence of vegetation and terrain on fine-scale variation
102 in surface temperature extremes is important both in assessing the potential for climate buffering in
103 mountainous areas and in weighing vegetation management options that favor biodiversity persistence
104 (Frey et al., 2016).

105 Small-footprint Light Detection and Ranging (LiDAR) imaging systems now provide unprecedented
106 ability to characterize surface topography and overlying vegetation structure at very fine scales over
107 large areas (Eitel et al., 2016), enabling cross-scale study of biophysical controls on solar radiation
108 and associated surface temperature regimes in mountainous regions (Bode et al., 2014; Frey et al.,
109 2016; Lenoir et al., 2017; Musselman et al., 2013). From 2011-2017 we deployed temperature
110 microsensors to monitor near-surface air temperatures in a foothill oak savanna landscape and a
111 montane conifer forest landscape in the southern Sierra Nevada, California. We are studying these
112 landscapes as part of a larger effort to relate microclimates to tree seedling establishment in California
113 (Davis et al., 2016; Dingman et al., 2013; Serra-Diaz et al., 2016). In this paper we analyze daily
114 maximum and minimum surface temperatures for 2013 in relation to topography and overstory
115 vegetation as characterized by discrete return, small-footprint LIDAR data acquired in that year by the
116 NEON AOP (Kampe et al., 2010).

117 Our objectives here are to:

118 1) Evaluate the magnitude and spatiotemporal patterns of local topoclimatic and microclimatic
119 variation in daily surface temperature extremes across Mediterranean-climate savanna and
120 forest landscapes.

121 - Based on physical theory and prior empirical research we expected greater

122 heterogeneity in maximum than minimum surface temperatures at both topoclimate and
123 microclimate scales (Ashcroft and Gollan, 2013b);

124 2) Quantify the dynamic influence of topography and overstory vegetation on surface
125 temperatures at both topoclimate and microclimate scales as a function of time of year.

126 - We expected to observe a larger influence of topography on solar radiation and
127 surface temperatures in areas with steeper slopes (Dubayah et al., 1990). We also expected
128 topography to influence cold-air drainage and cold-air pooling in canyons and stream valleys
129 (Bergen, 1969; Pypker et al., 2007; Lundquist et al., 2008). We expected significant canopy
130 buffering of surface temperature extremes at both topoclimate and microclimate scales,
131 especially during summer months and in montane forested landscape with taller trees and
132 higher tree cover (Breshears et al., 1998; Chen et al., 1999; Ma et al., 2010)

133 3) Evaluate the potential to model microclimatic variation in temperature extremes using small
134 footprint LIDAR obtained by the NEON AOP.

135 - Prior research has demonstrated the potential of small footprint LiDAR to
136 characterize microtopography and 3-dimensional vegetation structure (Musselman et al.,
137 2013). Given NEON plans to deploy the AOP across multiple regions for many years, the
138 present study constitutes an early test of the applicability of NEON LiDAR data products for
139 microclimate research.

140 **2. Methods**

141 **2.1. Study sites**

142 The Sierra Nevada region experiences a Mediterranean-type climate with warm to hot, dry summers
143 and cool to cold, wet winters. Our foothill savanna landscape is the San Joaquin Experimental Range
144 (SJER; 37°5'45"N, 119°43'W, www.fs.fed.us/psw/ef/san_joaquin), a rangeland research area that
145 also serves as the NEON core site for the Pacific Southwest region ([http://www.neonscience.org/field-](http://www.neonscience.org/field-sites/field-sites-map/SJER)
146 [sites/field-sites-map/SJER](http://www.neonscience.org/field-sites/field-sites-map/SJER)) (Fig. 1, 2). Our measurements were from a ~24 km² area spanning 276-
147 481 m in elevation with average slope of 8°. Mean monthly temperatures in winter have historically

148 ranged from 4-10 °C and summer monthly mean temperatures from 24-27 °C
149 (https://www.fs.fed.us/psw/ef/san_joaquin/). Most precipitation falls as rain between December and
150 March, averaging around 490 mm annually. The area supports savanna and open woodland dominated
151 by winter-deciduous blue oak (*Quercus douglasii*), evergreen interior live oak (*Q. wislizenii*), and
152 evergreen foothill pine (*Pinus sabiniana*). Oaks are generally 6-10 m in height. The herb layer, which
153 is grazed by cattle, is 0.1-1 m in height and dominated by Mediterranean annual grasses that senesce
154 by May, notably *Bromus hordeaceus*, *B. diandrus*, and *Avena fatua*.

155 [Fig. 1 about here]

156 Our montane forest study landscape is within the Teakettle Experimental Forest (TEF; 36°58'N,
157 119°1'W, <http://www.fs.fed.us/psw/ef/teakettle>), 53 km southeast of SJER (Fig. 1, 2). Our samples
158 span a ~4 km² area and range from 1977-2135 m elevations. Based on 10 m digital elevation data,
159 slopes across TEF average 24°. Intensive ecological and microclimate research has been conducted
160 here over the past 20 years (Ma et al., 2010; North et al., 2010), and TEF is also one of two re-
161 locatable NEON sites for the Pacific Southwest region. Mean monthly summer temperatures range
162 from 14-18 °C, winter temperatures from 0-2 °C. Mean annual precipitation is ~1200 mm, falling
163 mainly as snow between November and April. Old-growth mixed-conifer forest ranges from open
164 stands with isolated tree crowns to closed forest, and the landscape also contains persistent forest gaps
165 averaging 5-20 m in diameter (Ma et al., 2010). Open areas are predominantly shrub covered, duff or
166 rock outcroppings. The forest is dominated by evergreen conifers; white fir (*Abies concolor*), red fir
167 (*A. magnifica*), sugar pine (*Pinus lambertiana*) and Jeffrey pine (*P. jeffreyi*) are among the largest
168 diameter and tallest trees, with heights of 40 - >60 m. Shrub cover consists primarily of whitethorn
169 ceanothus (*Ceanothus cordulatus*) and green leaf manzanita (*Arctostaphylos patula*) (North et al.,
170 2010).

171 [Fig. 2 about here]

172 **2.2. Temperature data**

173 One hundred and thirty-three temperature sensors were located at 18 sites across SJER; 141 sensors
174 were placed at 24 sites across TEF. Sites were chosen to sample topographic variation in surface

175 temperature within a narrow range of elevations on northeast to southwest-facing slopes, ridges and
176 valleys (Fig. 3). For the rest of the paper we refer to this sample of sites across the landscape as the
177 "site scale" aimed at capturing topoclimatic effects related to landscape position and hillslope
178 orientation.

179 To characterize surface temperature variation within a site, 21 sensors were arranged in an identical
180 pattern around and in six, 5x5 m experimental gardens (Fig. 4). We refer to these densely
181 instrumented sites as "arrays" and within-array variation as "microsite scale" variation. The gardens
182 were used for seedling establishment trials (Davis et al., 2016) and were located to sample microsite
183 variation on generally north-facing, south-facing and level sites in the same local area of the
184 landscape (Fig. 3). Gardens were deliberately located in canopy gaps at least 8-m wide, but the
185 sensors in the surrounding arrays could be in gaps, tree understories, in or near shrub patches, and on
186 various microtopographic positions. The location of each temperature sensor was collected using a
187 differential global positioning system that provided sub-meter accuracy for the majority of sensors
188 and sub-2 m accuracy in all cases.

189 Temperatures were recorded using HOBO© (Onset, www.onsetcomp.com) model U23-004 sensors,
190 which have an operating range of -40° to 70 °C and accuracy of ± 0.21 °C from 0° to 50 °C. Sensor
191 integrity was checked for 24 h in a constant-temperature environment prior to field deployment.
192 Sensors were suspended 5 cm above the soil surface and shielded from direct sunlight by inverted
193 white styrene funnels 10 cm in diameter. For brevity we refer to these near-surface air temperatures as
194 "surface temperatures" but emphasize that these were not taken on the ground surface. Temperatures
195 were recorded at 10-min intervals. Data were downloaded manually twice over the course of the year
196 and underwent extensive automated and manual quality control prior to the derivation of summary
197 variables, resulting in a relatively conservative selection of daily values for the 2013 calendar year
198 (Table A.1). Pre-processed and processed data can be downloaded from the Environmental Data
199 Initiative (<https://environmentaldatainitiative.org/>).

200 **2.3. LiDAR Data**

201 The NEON AOP simultaneously collects airborne LiDAR, hyperspectral and three-band color
202 orthophotography datasets timed to be as close to the period of regional ‘peak vegetation greenness’
203 as practicable. In 2013, NEON AOP datasets were collected for SJER between June 9th and 13th and
204 for TEF between June 14th and 15th (Kampe et al., 2013). This was close to peak greenness for TEF,
205 but late for April-May peak greenness at SJER.

206 The 2013 NEON campaign at SJER and TEF is described in detail by Kampe et al. (2013). The
207 LiDAR point cloud data have high ground locational precision (<0.1 pixel), vertical sampling
208 precision of 0.3 m, and resolution ranging from 1.7 to 3.8 points m⁻² at TEF and SJER, respectively.
209 We did not conduct an independent test of the accuracy of the NEON Level 1 LiDAR point cloud
210 data. The data products were released as engineering-grade, that is, produced using NEON-generated
211 algorithms that are the preliminary versions of those that will be used for science-grade data products
212 (Kampe et al., 2013).

213 **2.4. Elevation and slope**

214 NEON AOP Level 1 LiDAR data were reprocessed from calibrated point cloud data to create a 1-m
215 resolution digital elevation model (DEM). The procedure discriminated ground vs. non-ground points
216 and censored points that fell either below the immediate ground surface or that exceeded 80 m above
217 the ground surface. We manually edited the point cloud classification to remove additional
218 topographic outliers and anomalies. From the classified and edited point cloud, a 1-m resolution
219 triangulated ‘last-return’ surface was rasterized to produce a DEM that was resampled to 2-m
220 resolution to match the locational accuracy of the temperature sensors. Slope angle (*S*) was derived
221 from the 2 m DEM using the ‘Slope’ tool in ArcGIS 10.4.

222 **2.5. Solar radiation**

223 The sum of direct and diffuse solar irradiance at each sensor location was calculated on a 30-minute
224 time step and summed to calculate daily solar radiation using the ‘Points Solar Radiation’ modeling
225 tool in ArcGIS 10.4 (Fu and Rich, 2002). Daily atmospheric transmittance values were estimated as

226 the ratio of ground to top-of-atmosphere solar radiation, where ground radiation data (300 to 2800
227 nm) were obtained from flux towers maintained by the Southern Sierra Critical Zone Observatory for
228 SJER (Goulden and Kelley, 2016) and the P301 Tower near TEF (37°04'N, 119°12'W, 2030 m
229 elevation) (Goulden and Kelley, 2015). Microsite-scale daily incident solar radiation was modeled at
230 2-m resolution (*SR2*); site-scale daily incident solar radiation was modeled at 30-m resolution (*SR30*).

231 **2.6. Tree Canopy Density, Laser Penetration Index, and Ground Cover**

232 LiDAR point returns were separated into ground surface returns, ground cover returns (<1 m above
233 the putative ground surface), and tree overstory returns (> 1 m above the putative ground surface).
234 The 1 m threshold for tree overstory returns follows Musselman et al. (2013). Tree canopy density
235 (*CD*) was calculated using the 'Las Tools' toolkit (<https://rapidlasso.com>) as:

$$236 \quad CD = [1 - (GR + GC) / TR] * 100 \quad (1)$$

237 where *CD* is the percent of the ground covered by tree canopy, *TR* is the total number of return points,
238 *GR* is the number of ground returns, and *GC* the number of ground cover returns on a 1 m grid,
239 subsequently resampled to 2 m resolution. Site-scale canopy density (*CD30*) was calculated as mean
240 *CD* within a 30-m radius centered on each sensor location or, in the case of sensor arrays, on the
241 center of the array. We also calculated *CD* at 60 m and 90 m scales but model results were either
242 comparable or inferior to those using *CD30*, so only results for *CD30* are presented here. For
243 microsite-scale analyses *CD* was calculated within circles of 2.5, 5, and 10 m radii (*CD2.5*, *CD5*,
244 *CD10*).

245 The Laser Penetration Index (*LPI*) is the fraction of LiDAR point returns that reaches the understory:

$$246 \quad LPI = (GR + GC) / TR \quad (2)$$

247 where *LPI* is unitless and ranges from 0 to 1. To model sunlight penetration through the forest canopy,
248 we calculated *LPI* for a semi-circle south of the sensor (*LPIs*) with a radius of 30 m for site-scale
249 analysis (*LPI30s*) and 10m for microsite-scale analysis (*LPI10s*).

250 For modeling daily maximum temperatures, we calculated a simple proxy for understory irradiance
251 as:

$$252 \quad UR = SR * LPIs \quad (3)$$

253 where UR is total daily understory irradiance in Whm^{-2} . This proxy for actual understory incoming
254 shortwave radiation does not separate direct from diffuse terms (see Bode et al. 2014), does not
255 account for partial transmission of sunlight through the tree canopies or variation in path length
256 through the tree canopy as a function of sun position, and ignores canopy interception of incoming
257 direct beam radiation from the northeast and southwest quadrants during early morning and late
258 afternoon hours between the Spring and Autumnal equinoxes.

259 **2.7. Cold-air drainage and pooling**

260 Previous studies in the Sierra Nevada, including TEF, have documented lower temperatures and
261 greater snow persistence in riparian areas and local topographic depressions subject to the influences
262 of cold-air drainage and cold-air pooling (Curtis et al., 2014; Lundquist et al., 2008; Rambo and
263 North, 2009). We tested two topographic indices that have been developed to predict locations prone
264 to cold-air drainage or cold-air pooling effects at landscape-to-regional scales. The cold-air pooling
265 index of Lundquist et al. (2008) analyzes a DEM to locate flat valley bottoms and concave areas
266 where cold-air pools are likely to form (Curtis et al. 2014). The algorithm relies on a Topographic
267 Position Index (TPI) – the difference between a location’s elevation and the mean elevation in a circle
268 surrounding the point that approximates the typical peak-to-peak or ridge-to-ridge distance:

$$269 \quad TPI = z - \overline{z(d)} \quad (4)$$

270 where TPI is a location’s relative topographic position in meters, z is the elevation of the location, and
271 $\overline{z(d)}$ is the mean elevation in a circle with radius d surrounding the point. Ashcroft and Gollan
272 (2013b) developed a similar index using the difference between a location’s elevation and the
273 minimum elevation in a circle of 500-m radius, taking the log of this distance as an index of cold-air
274 pooling potential:

275 $CAP = \log(z - \min(z(d)))$ (5)

276 where CAP is the cold-air potential in meters, z is the elevation of the location, and $\min(z(d))$ is the
277 minimum elevation within d meters of the location. Both TPI and CAP are scale-dependent and
278 sensitive to extent of the circular neighborhood used to calculate relative elevation. To test these
279 measures at different spatial scales we calculated both indices based on neighborhood radii of 150,
280 250, 500 and 1000 m ($TPI[150-1000], CAP[150-1000]$).

281 **2.8. Statistical Analyses**

282 Temperature micro-sensors such as those used in this study measure temperature variation on the
283 scale of cm and are highly sensitive to small differences in placement and radiation shield design
284 (Ashcroft and Gollan, 2013b; Geiger et al., 2009; Graae et al., 2012; Holden et al., 2013). Both
285 absolute and relative temperatures will be affected by factors such as sensor height, weather
286 conditions and shielding. Maximum surface temperatures may be especially sensitive to shield design
287 (Holden et al., 2013). We focus here on relative differences as indicative results, although we expect
288 differences will be larger in locations with more hot, sunny weather, or where sensors are
289 inadvertently positioned slightly closer to the ground surface.

290 Data from SJER and TEF were analyzed separately to model daily T_{max} and T_{min} as a function of
291 site-scale and microsite-scale biophysical variables. At TEF we limited our analysis to the period
292 April 1 to September 30, when most or all sensors were snow-free. For SJER we analyzed the
293 complete calendar year. Predictor variables and scales were selected based on previous research
294 outlined in the introduction, general principles of surface energy balance, and extensive exploratory
295 analysis with solar radiation, canopy density, understory radiation and cold-air pooling rendered
296 across a range of spatial scales.

297 For the site-scale analysis we included a single sensor from each site across the landscape for each
298 daily model. At sites with sensor arrays, we included the single sensor recording the median value for
299 the array on that day. The resulting daily sample sizes were 21-24 for TEF and 17-18 for SJER. Given
300 these small sample sizes we limited the number of site-scale model predictor variables to two and did

301 not include highly correlated variables in the same model. Predictor variables tested at the site scale
302 included those characterizing solar radiation regime (*SR30*), canopy effects (*CD30*), understory
303 radiation (*UR30*) and cold-air drainage or pooling potential (*TPI[150-1000]*, *CAP[150-1000]*).

304 For microsite-scale analyses we included only array sensors and analyzed each sensor's departure
305 from the daily mean of all sensors at that array. Microsite-scale variables included elevation (*DEM2*),
306 slope angle from the 2-m DEM (*S2*), three scales of canopy density (*CD2.5*, *CD5*, *CD10*) and
307 understory radiation (*UR2.5*, *UR5*, *UR10*), and solar radiation (*SR2*).

308 Generalized Boosted Regression Tree models were estimated using the R package 'gbm' (Ridgeway,
309 2007) along with the package 'caret' (Kuhn, 2017) for calibration. This ensemble statistical learning
310 approach was selected because it is suitable for handling different types of predictor variables, and for
311 characterizing complex data-generating processes (Elith et al., 2008; Hastie et al., 2009). Site-scale
312 model parameters were calibrated with 10-fold cross-validation and a full factorial design with
313 interaction depth (i.e., decision tree size) varied from 1 to 3. We explored a range of parameter
314 settings but in the models reported here the number of tree models varied from 1,000 to 5,000 in
315 increments of 1,000, and the shrinkage rate was kept constant at 0.001.

316 To simplify presentation of model results we report 2-factor models for those factors and scales that
317 on average, based on adjusted r^2 , best predict daily temperature extremes across all daily models.
318 Given high correlations among some predictor variables and for the same factor at different scales
319 (Tables A.2, A.3), many other models were only slightly inferior to those reported here, and could
320 even be slightly better on specific days.

321 **3. Results**

322 **3.1. Daily surface temperature extremes at foothill savanna and montane forest landscapes**

323 Temperature records for the foothill and montane landscapes are summarized in Figure 5 and Table 1.
324 Daily maximum temperatures across the foothill oak savanna landscape (SJER) routinely exceeded 50
325 °C in summer months and ranged widely between sites, with hottest and coolest sites differing on
326 average by 13.1°C across the year as well as for the period April 1 through September 30 when data

327 were available for both savanna and montane forest sites. Spatial variation in *Tmax* across the
328 montane forest landscape was generally higher than at the foothill landscape, with daily inter-site
329 temperature range averaging 15.6°C from April through September. Between April and September at
330 both sites, inter-site variation in *Tmax* was lowest in June-August summer months (Table 1, Fig. A.1).
331 In absolute terms, inter-site variation in *Tmin* was low at both oak savanna and conifer forest
332 landscapes, although relative to daily means the standard deviation in *Tmin* was as high or higher than
333 that for *Tmax* (Table 1).

334 [Fig. 5 about here]

335 Daily *Tmax* was consistently 5-9 °C higher on the warmest (south-facing grassland) vs. coolest
336 (north-facing woodland) arrays at SJER (Fig. 6). Within arrays, daily standard deviations in *Tmax*
337 were comparable to those at TEF, averaging 3.4-4.6 °C. Daily *Tmin* in SJER arrays was generally 4-5
338 °C lower on the coolest site (level open savanna) relative to the warmest site (rocky, southwest-facing
339 slope) (Fig. A.2). Within-array variation in *Tmin* was low, with daily standard deviation of array
340 means usually <1 °C.

341 [Table 1 about here]

342 At the montane landscape (TEF), daily *Tmax* was 3-7 °C higher for south and southwest-facing arrays
343 vs. north and northeast arrays (Fig. 6). *Tmax* also varied considerably at the microsite scale within
344 arrays, with daily standard deviations averaging 4-6 °C at five sites and a maximum of 9.7 °C at one
345 level site. Daily *Tmin* values were typically 0.5-3 °C higher for arrays on two north-to-northeast
346 facing slopes compared to those on south-facing and level sites (Fig. A.2). Microsite variation in
347 minimum temperatures at TEF was low, with standard deviations of 0.2-0.5 °C within arrays.

348 [Fig. 6 about here]

349 **3.2. Daily temperature models, oak savanna landscape**

350 At SJER, site-scale variation in *Tmax* was best modeled by the understory radiation index (*UR30*) and
351 topographic position index (*TPI250*), which combined to explain 18-89% (mean adj. $r^2 = 0.63$) of

352 variation in 365 daily models (Fig. 7). The relative influence of *UR30* averaged 60% vs. 40% for
353 *TPI250*. Partial dependence on *UR30* averaged 2.3 °C; in other words, surface temperature at the site
354 with highest *UR30* was predicted to be, on average, 2.3 °C warmer than that at the site with lowest
355 *UR30*. Model partial dependence on *UR30* was lowest during late February-early March and June-
356 August when spatial variation in *Tmax* was also relatively lower (Fig. 7, Fig. A.1). The effect of
357 *TPI250* was most pronounced in March-May, when partial dependence generally ranged from 2-6 °C,
358 and was lowest in July through October (Fig. 7). Thus maximum daily surface temperatures at sites
359 that were higher in the landscape (upper slopes and ridges) were predicted to be warmer than
360 relatively low sites in valleys and riparian areas.

361 [Fig. 7 about here]

362 Site-scale models for *Tmin* across the oak savanna landscape based on canopy density *CD30* and
363 *CAP150* explained 0.19-0.86 (mean adj. $r^2 = 0.65$) of daily site variation (Fig. 8). Relative influence
364 of *CD30* exceeded that of *CAP150* throughout the year, averaging 62%, but partial dependence varied
365 from positive (i.e., higher canopy was associated with higher minimum daily temperatures) to
366 negative from January through early May, was generally positive from mid-May through September,
367 and then generally negative from October through December (Fig. 8). Partial dependence for *CAP150*
368 ranged from 0.6-5.84 °C (mean 1.84 °C), such that sites relatively low in the landscape were modeled
369 as experiencing consistently lower minimum daily temperatures (Fig. 8).

370 [Fig 8 about here]

371 Microsite-scale models for *Tmax* based on *UR[5,10]*, *SR2* and *GCS2.5* were generally weak at
372 predicting intra-site maximum temperatures (mean adj. $r^2 < 0.15$). However, within-array standard
373 deviation in *Tmax* was significantly correlated with within-array standard deviation in *UR5* ($r = 0.51$,
374 $p < 0.001$) (Fig. A.3).

375 Microsite-scale models for *Tmin* based on *CD10* and slope (*S2*) explained 0.07 – 0.51 (mean adj. $r^2 =$
376 0.33) of the variation in *Tmin* among sensors within garden arrays (Fig. 9). Minimum temperatures
377 increased with increasing *CD10*, with partial dependence ranging from 0-1.2 °C (average = 0.6 °C).

378 *Tmin* also increased with increasing slope angle, although the magnitude of the effect was small
379 (average partial dependence = 0.2 °C).

380 [Fig. 9 about here]

381 **3.3. Daily temperature models, montane conifer forest landscape**

382 At TEF, general boosted models based on *UR30* and *TPI500* explained 35-94% (mean adj. $r^2 = 0.63$)
383 of observed site-scale variation in daily *Tmax* (Fig. 10a). Model skill was generally higher in May and
384 June and lower on days with highest atmospheric transmittance (Fig. A.4). The relative influence of
385 *UR30* in daily models averaged 73% vs. 27% for *TPI500*. Partial dependence of *Tmax* on *UR30*
386 ranged from 2 -10 °C (mean= 4.6 °C), and the association of *UR30* with *Tmax* was generally weaker
387 in late June through August when inter-site variation in *Tmax* was also lower (Fig. 10a, Fig. A.1).
388 Model partial dependence on *TPI500* generally ranged from -4 to 4 °C (mean= 0.9 °C) with one
389 outlying value of 8.0 °C (Fig. 10a). From April through July, *Tmax* at the site with highest *TPI500*
390 (i.e., highest relative elevation) was predicted to be 1.4 °C warmer on average than that with the
391 lowest *TPI500*. The influence of *TPI500* varied considerably from August through September, when
392 on many days sites with lower *TPI500* were relatively warmer (Fig. 10a).

393 [Fig. 10 about here]

394 General boosted models based on *CD30* and *TPI500* explained 32-95% (mean adj. $r^2 = 0.83$) of site-
395 scale variation in *Tmin*, with less variation in daily model performance than for *Tmax* models (Fig.
396 10b). The relative influence of *CD30* averaged 74% vs. 26% for *TPI500*. Partial dependence on
397 *CD30* ranged from -0.5-4.5 °C, and on average *Tmin* at the site with highest *CD30* was predicted to
398 be 2.6 °C warmer than the site with lowest canopy cover. *Tmin* at the site with lowest *TPI500* was
399 predicted to be 0.3 °C cooler on average than the highest site, although the effect of topographic
400 position varied considerably in April, May and late September (Fig. 10b).

401 At the microsite scale, fitted models (not shown) had low skill in predicting *Tmax* or *Tmin*, with
402 adjusted r^2 generally < 0.15 . However, the magnitude of microsite variation (standard deviation) in

403 *Tmax* was significantly associated with microsite variation in ground cover (*GCs2.5*) ($r = 0.62$, p
404 < 0.001) and *URI0* ($r = 0.51$, $p < 0.001$) (Fig. A.5).

405 **4. Discussion**

406 **4.1. Site- and microsite-scale variation in surface temperature extremes**

407 Our study was designed to sample inter- and intra-site variation in temperature regimes in foothill oak
408 savanna and montane conifer forest landscapes in Mediterranean-climate California. The deployment
409 of 133 sensors at the foothill landscape and 141 sensors at the montane landscape, with 6 densely
410 instrumented sites in both landscapes, allowed us to investigate spatial variability at both site and
411 microsite scales. We recorded large site-scale and microsite-scale variation in surface temperature
412 extremes across both landscapes, particularly in maximum temperatures. Warm, dry conditions and
413 early snowmelt in the southern Sierra Nevada in 2013 associated with regional drought (Asner et al.,
414 2016; Robeson, 2015) produced unusually long periods of dry surface soils that probably exacerbated
415 the role of discontinuous overstory vegetation as a source of both site- and microsite-scale spatial
416 variation in *Tmax* (Belsky et al., 1993; Breshears et al., 1998).

417 Across foothill savanna sites, the standard deviation of daily maximum temperatures was typically 5-
418 15% of the mean, with lower variation in summer and winter months and higher variation in spring
419 and fall. Highest inter-site variation was recorded in early April, by which time the deciduous oaks
420 were probably nearing full canopy development (Ryu et al., 2012) thereby enhancing contrast
421 between grasslands and woodland sites (e.g., Baldocchi et al., 2004). Moreover, in April we would
422 expect high spatial variance in midday solar irradiance and surface soil moisture as a function of local
423 slope and aspect. Similarly, the lower spatial variation in summer surface *Tmax* is probably related to
424 uniformly dry surface soil moisture conditions across the landscape and lower topographic variation
425 in midday solar irradiance at higher sun angles.

426 Spatial variation in daily maximum temperatures was even more pronounced across the montane
427 conifer forest landscape, where inter-site standard deviation in daily *Tmax* often exceeded 20% of the
428 mean. On clear days, sites and microsites could differ by 20 °C or more in daily maximum

429 temperatures. This high level of spatial variation at TEF was also documented by Ma et al. (2010),
430 who observed inter-site differences in soil surface temperatures of up to 30 °C and standard deviations
431 of >3 °C in mean monthly soil surface temperatures from May through August. Ashcroft and Gollan
432 (2013b) reported similar large variation in maximum surface temperatures at a semi-arid western
433 Australian landscape, with site-scale and microsite-scale variation accounting for roughly 60% and
434 40% of total spatial variance, respectively.

435 Low microsite spatial variation in daily minimum surface temperatures has been previously reported
436 at TEF (Dingman et al., 2013; Ma et al., 2010), and for other forests and woodlands (e.g., Ashcroft
437 and Gollan, 2013b; Breshears et al., 1998; Frey et al., 2016; Suggitt et al., 2011). We also observed
438 low within-site variation in *Tmin*, but at the site scale we recorded systematically higher or lower
439 minimum temperatures, even over distances of less than 100 m, associated with topography and
440 vegetation cover.

441 **4.2. Dynamic influence of tree canopy density and solar radiation**

442 Incoming shortwave solar radiation is a key driver of diurnal surface energy balance and a primary
443 determinant of spatiotemporal variation in surface temperatures in rugged terrain (Dozier and Outcalt,
444 1979; Geiger et al., 2009). In the absence of overstory trees, spatial variation in incoming shortwave
445 radiation increases with average slope of the terrain and atmospheric transmittance, and varies
446 dynamically during the course of the day and the year as a function of solar zenith angle (Dubayah et
447 al., 1990). Site-scale (~30-90 m horizontal resolution) models of solar radiation have proven effective
448 for modeling air temperatures at 1 to 2 m above the ground surface, (e.g., Flint et al., 2013; Fridley,
449 2009; Lookingbill and Urban, 2003; Vanwalleghem and Meentemeyer, 2009). However, we found
450 that modeled surface radiation that did not account for the forest canopy was less effective at
451 predicting site- and microsite-scale surface temperatures than an index of direct understory radiation
452 that accounted for overstory interception of incoming radiation. Much of the site-scale variation in
453 daily maximum temperatures could be predicted with a simple proxy that reduced modeled top-of-
454 canopy solar radiation by fractional canopy density immediately south of the point. The index, which

455 is similar to the direct understory insolation term of Bode et al. (2014), is readily calculated so long as
456 atmospheric transmittance data are available.

457 Baldocchi et al. (2004) provide a detailed analysis of the dynamic effect of deciduous blue oak
458 canopies on savanna microclimates. Blue oaks at our foothill oak savanna landscape would be
459 expected to have highest Leaf Area Index (LAI) between April and November (Ryu et al., 2012) and
460 maximum gross carbon uptake and evapotranspiration in April through June (Goulden et al., 2012).
461 Ideally we would have multi-date LiDAR imagery to capture the seasonal phenology of these
462 woodlands. Low LAI from November through March may partially explain why modeled canopy
463 density (*CD30*) was associated with lower minimum nighttime temperatures between October and
464 April and higher minimum temperatures from May through September. The negative association of
465 *Tmin* and *CD30* in winter months may be more related to the association of higher tree density with
466 cooler, north-facing slopes and ravines. Microsite-scale influence of canopy density within 5-10 m on
467 daily temperature extremes suggests the highly localized influence of isolated oak canopies on surface
468 microclimates (e.g., Parker and Muller 1982).

469 The combination of rugged topography and tall, evergreen conifer canopies at the montane forest
470 landscape resulted in pervasive site- and microsite-scale buffering of surface temperature extremes
471 throughout the snow-free period of 2013. The contrast in incident solar radiation at the soil surface
472 between understories and sunlit gaps was presumably much greater in this conifer forest than in
473 foothill oak savanna. One-sided LAI is >7 for *Abies concolor* and *A. magnifica* trees (Westman,
474 1987). At ecosystem scales, effective tree canopy LAI – which includes all light intercepting canopy
475 elements – ranges from 0 to 1 on the continuum from grassland to *Q. douglasii* woodland (Ryu et al.,
476 2010) compared to 0 to 3.5 on the continuum from gaps to denser tree patches in Sierra mixed-conifer
477 forest (Musselman et al., 2013).

478 The generally lower model performance for maximum temperatures on clear summer days at the
479 montane forest site could be due to less reliable temperature readings for sensors in hot sunlit areas or
480 the challenge of modeling microsite-scale variation in incoming radiation (sunflecks) on clear days at
481 high elevations (e.g., Ustin et al. 1984). Better prediction of surface temperatures should be obtainable

482 with more complete models of canopy sunlight interception by discontinuous tree canopies (e.g.,
483 Bode et al., 2014; Gryning et al., 2001; Musselman et al., 2013). For example, Musselman et al.
484 (2013) present a sophisticated solar raytrace model that calculates light paths through LiDAR-derived
485 3-dimensional forest structure over heterogeneous terrain. They demonstrate the model using 1-m³
486 discrete cubic volumes (voxels) for mixed-conifer forest very similar in structure and composition to
487 Teakettle Experimental Forest, revealing large microsite-scale differences in solar radiation at the
488 surface, even in the tallest (>60 m) conifer forest. A systematic comparison of the power of these
489 different approaches for modeling surface temperature regimes in discontinuous plant canopies would
490 be useful, especially for characterizing the very high microsite-scale variation in surface temperatures
491 associated with Mediterranean-climate ecosystems. We were unsuccessful at predicting this microsite
492 variation in maximum temperatures, although the high correlation between microsite spatial
493 heterogeneity in *URI0* and *Tmax* suggests that it is at least possible to model the magnitude of
494 microsite variation in *Tmax* within sites across these landscapes.

495 **4.3. Dynamic influence of topographic position related to cold-air drainage**

496 In mountainous regions, nocturnal surface cooling is controlled by both fine-scale surface longwave
497 energy balance and larger-scale downslope advection of cold air due to more rapid radiative cooling
498 in highlands vs. lower elevations (Bergen, 1969; Burns and Chemel, 2014; Pypker et al., 2007). Cold-
499 air pooling is well documented in wide valleys of the Sierra Nevada, especially in dry, stable, clear-
500 sky conditions (Lundquist and Cayan, 2007). Our temperature data show evidence of cold-air
501 drainage and perhaps localized shallow pooling – especially in the foothill oak savanna landscape.
502 The montane study landscape lacks large topographic depressions where extensive, deep pooling
503 would be expected (Rambo and North, 2008; Curtis et al., 2014; Lundquist et al., 2008). Accordingly,
504 we suspect the small cold-air effects detected in our analysis are mainly the result of cold-air drainage
505 and, at the montane conifer forest landscape, riparian influence (Rambo and North, 2008; Rambo and
506 North, 2009). Rambo and North (2009) measured air temperatures from 5-45 m above the forest floor
507 at TEF and found that both summer and winter nighttime minimum temperatures were consistently
508 cooler than those in surrounding upland forests. On the other hand, they observed that in summer

509 daytime maximum temperatures in riparian areas tended to be higher than adjacent upland areas,
510 which they attributed to the influence of warm upslope winds. In a related study at TEF, Rambo and
511 North (2008) found that the zone of riparian influence was confined to a few meters horizontally and
512 vertically from the stream channel and noted that effects of local shrubs and trees could dominate over
513 riparian influence. Although our study was not designed to systematically investigate riparian
514 environments, our results generally associate lower position in the landscape with lower daily
515 minimum and maximum surface temperatures. The patterns can vary both seasonally and on a daily
516 basis, and at the montane study landscape (TEF) late summer maximum daytime temperatures were
517 frequently higher in valley and riparian locations. Our models help reveal how much these patterns
518 can vary from day to day, perhaps in relation to variable local wind effects.

519 The relative elevation metrics proposed by Lundquist et al. (2008) and Ashcroft and Gollan (2013b)
520 were significantly correlated at scales from 150-1000 m (0.34 – 0.86) (Tables A.2, A.3) and both
521 proved effective for modeling spatial variation in surface temperature extremes, although *TPI[500-*
522 *1000]* yielded better models at the montane forest landscape vs. *TPI250* and *CAP150* at the foothill
523 savanna landscape. The shorter scales at SJER suggest more localized cold-air effects consistent with
524 shorter ridge-to-ridge distances here compared to TEF.

525 In principle, given average lapse rates in the southern Sierra Nevada of -6-7 °C/km (Lundquist and
526 Cayan, 2007), we would expect elevational cooling effects of 1.2-1.4 °C and 1.0-1.1 °C across our
527 foothill and montane sites, respectively. However, as pointed out by Lundquist and Cayan (2007),
528 lapse rates in the Sierra Nevada can vary dramatically from one day to the next and are highly
529 location-dependent. Within our study landscapes, topographic position or cold-air pooling indices
530 yielded better model predictions for both *Tmax* and *Tmin* than simple elevation, and the direction of
531 the elevation effect was usually inverse to the regional lapse rate. Across both landscapes, sites at
532 lowest relative elevations generally recorded *Tmax* and *Tmin* values that were 1-2 °C cooler than
533 nearby upland sites. Correlations between elevation and *TPI* or *CAP* were low at the montane
534 landscape ($0.03 < |r| < 0.41$) and moderate-to-low at SJER ($0.39 < r < 0.57$), and with more samples

535 across a greater range of elevations we may have been able to tease apart the contrasting influences of
536 average regional lapse rates from local cold-air drainage and riparian effects.

537 **4.4. Modeling variation in site and microsite temperature extremes with NEON AOP LiDAR**
538 **data**

539 With a small set of LiDAR-derived topographic and canopy variables, we were able to account for, on
540 average, 61-83% of variation in site-scale daily surface temperature extremes. These results compare
541 favorably with other efforts to model surface or near-surface air temperature extremes using ground
542 observations of canopy cover (Ashcroft and Gollan, 2013b) or other small-footprint LiDAR systems
543 (Frey et al., 2016). Our study suggests that NEON AOP data, combined with inexpensive temperature
544 micro-sensor arrays, can be used to monitor and model temperature variation over large areas. This
545 information could increase understanding of microclimates near the ground in heterogeneous
546 landscapes, support calibration and validation of more mechanistic niche models (Kearney and Porter,
547 2009), and inform spatially explicit population models for improved projections of species
548 vulnerability to climate change (Dullinger et al., 2012; Franklin et al., 2014).

549 **4.5. Cross-scale modeling of thermal microrefugia in mountainous terrain**

550 The complex thermal microclimates in landscapes of the Sierra Nevada are under a hierarchy of
551 controls related to regional weather conditions, environmental lapse rates, topographic position and
552 microsite location relative to vegetation overstory and surface characteristics (Broxton et al., 2015;
553 Dobrowski et al., 2009; Lundquist and Cayan, 2007; Musselman et al., 2013). At very fine
554 microclimate scales, surface temperature regimes can depart significantly from landscape and
555 regional trends and thus provide potential opportunities for species' stepping stones, holdouts or
556 microrefugia under rapid climate change (Hannah et al., 2014), not only in the Sierra Nevada but in
557 mountain landscapes in general. Although our study focused on modeling surface temperatures and
558 did not explicitly account for mediating effects of water availability, soil moisture also covaries with
559 topography and overstory vegetation at site- and microsite scales (e.g., Villegas et al., 2010), adding
560 additional buffering capacity in these landscapes.

561 The empirical statistical models reported here were fitted to specific landscapes over a single year and
562 are correlative. Nevertheless, they demonstrate the magnitude of fine-scale variation in temperature
563 extremes in these Mediterranean-climate landscapes that must be accounted for when considering
564 climate change effects on species ranges at broader spatial scales, and the mediating influences of
565 local biophysical factors (i.e., microtopography, vegetation structure) on regional climate change
566 exposure (Lenoir et al., 2017). Forest canopy effects on surface temperatures were comparable to or
567 exceeded the effects of topographically induced variation in solar radiation, highlighting the potential
568 for biological moderation of surface temperature regimes associated with regional climate and, by
569 extension, regional climate change (Lenoir et al., 2017; von Arx et al., 2013; Woods et al., 2015).
570 The increasing prevalence of global change-type droughts, however, as well as increasing wildfire
571 activity, has increased forest mortality events across the Sierra Nevada and western North America
572 more generally (e.g., Denison et al., 2014), altering the moderating effects of overstory vegetation and
573 reducing heterogeneity in microclimates and associated species' habitats over large areas.

574 **5. References**

- 575 Ashcroft, M.B., Gollan, J.R., 2013a. Moisture, thermal inertia, and the spatial distributions of near-
576 surface soil and air temperatures: Understanding factors that promote microrefugia. *Agric.*
577 *For. Meteorol.* 176, 77–89. <https://doi.org/10.1016/j.agrformet.2013.03.008>
- 578 Ashcroft, M.B., Gollan, J.R., 2013b. The sensitivity of topoclimatic models to fine-scale
579 microclimatic variability and the relevance for ecological studies. *Theor. Appl. Climatol.* 1–9.
- 580 Asner, G.P., Brodrick, P.G., Anderson, C.B., Vaughn, N., Knapp, D.E., Martin, R.E., 2016.
581 Progressive forest canopy water loss during the 2012–2015 California drought. *Proc. Natl.*
582 *Acad. Sci.* 113, E249–E255. <https://doi.org/10.1073/pnas.1523397113>
- 583 Baldocchi, D.D., L. Xu, N. Kiang, 2004. How plant functional-type, weather, seasonal drought, and
584 soil physical properties alter water and energy fluxes of an oak–grass savanna and an annual
585 grassland. *Agric. For. Meteorol.* 123,13-39. <https://doi.org/10.1016/j.agrformet.2003.11.006>
- 586 Barry, R.G., 1970. A framework for climatological research with particular reference to scale
587 concepts. *Trans. Inst. Br. Geogr.* 49, 61–70. <https://doi.org/10.2307/621641>

588 Belsky, A.J., Mwangi, S.M., Amundson, R.G., Duxbury, J.M., Ali, A.R., 1993. Comparative effects
589 of isolated trees on their undercanopy environments in high-rainfall and low-rainfall
590 savannas. *J. Appl. Ecol.* 30, 143–155. <https://doi.org/10.2307/2404278>

591 Bergen, J.D., 1969. Cold air drainage on a forested mountain slope. *J. Appl. Meteorol.* 8, 884–895.
592 [https://doi.org/10.1175/1520-0450\(1969\)008<0884:CADOAF>2.0.CO;2](https://doi.org/10.1175/1520-0450(1969)008<0884:CADOAF>2.0.CO;2)

593 Bode, C.A., Limm, M.P., Power, M.E., Finlay, J.C., 2014. Subcanopy Solar Radiation model:
594 Predicting solar radiation across a heavily vegetated landscape using LiDAR and GIS solar
595 radiation models. *Remote Sens. Environ.* 154, 387–397.
596 <https://doi.org/10.1016/j.rse.2014.01.028>

597 Breshears, D.D., 2006. The grassland-forest continuum: Trends in ecosystem properties for woody
598 plant mosaics? *Front. Ecol. Environ.* 4, 96–104. <http://www.jstor.org/stable/3868713>

599 Breshears, D.D., Nyhan, J.W., Heil, C.E., Wilcox, B.P., 1998. Effects of woody plants on
600 microclimate in a semiarid woodland: Soil temperature and evaporation in canopy and
601 intercanopy patches. *Int. J. Plant Sci.* 159, 1010–1017. <https://doi.org/10.1086/314083>

602 Broxton, P.D., Harpold, A.A., Biederman, J.A., Troch, P.A., Molotch, N.P., Brooks, P.D., 2015.
603 Quantifying the effects of vegetation structure on snow accumulation and ablation in mixed-
604 conifer forests. *Ecohydrology* 8, 1073–1094. <https://doi.org/10.1002/eco.1565>

605 Burns, P., Chemel, C., 2014. Evolution of cold-air-pooling processes in complex terrain. *Bound.-*
606 *Layer Meteorol.* 150, 423–447. <https://doi.org/10.1007/s10546-013-9885-z>

607 Chen, J., Saunders, S.C., Crow, T.R., Naiman, R.J., Brosfokske, K.D., Mroz, G.D., Brookshire, B.L.,
608 Franklin, J.F., 1999. Microclimate in forest ecosystem and landscape ecology. *BioScience* 49,
609 288–297. <https://doi.org/10.2307/1313612>

610 Curtis, J.A., Flint, L.E., Flint, A.L., Lundquist, J.D., Hudgens, B., Boydston, E.E., Young, J.K., 2014.
611 Incorporating cold-air pooling into downscaled climate models increases potential refugia for
612 snow-dependent species within the Sierra Nevada Ecoregion, CA. *PLoS ONE* 9, e106984.
613 <https://doi.org/10.1371/journal.pone.0106984>

614 Davis, F.W., Sweet, L.C., Serra-Diaz, J.M., Franklin, J., McCullough, I., Flint, A., Flint, L., Dingman,
615 J.R., Regan, H.M., Syphard, A.D., Hannah, L., Redmond, K., Moritz, M.A., 2016. Shrinking

616 windows of opportunity for oak seedling establishment in southern California mountains.
617 Ecosphere 7, 1–18. <https://doi.org/10.1002/ecs2.1573>

618 Dennison, P.E., Brewer, S.C., Arnold, J.D., Moritz, M.A., 2014. Large wildfire trends in the western
619 United States, 1984–2011. Geophys. Res. Lett. 41, 2928–2933.
620 <https://doi.org/10.1002/2014GL059576>

621 Dingman, J.R., Sweet, L.C., McCullough, I., Davis, F.W., Flint, A., Franklin, J., Flint, L.E., 2013.
622 Cross-scale modeling of surface temperature and tree seedling establishment in mountain
623 landscapes. Ecol. Process. 2, 1–15. <https://doi.org/10.1186/2192-1709-2-30>

624 Dobrowski, S.Z., 2011. A climatic basis for microrefugia: The influence of terrain on climate. Glob.
625 Change Biol. 17, 1022–1035. <https://doi.org/10.1111/j.1365-2486.2010.02263.x>

626 Dobrowski, S.Z., Abatzoglou, J.T., Greenberg, J.A., Schladow, S.G., 2009. How much influence does
627 landscape-scale physiography have on air temperature in a mountain environment? Agric.
628 For. Meteorol. 149, 1751–1758. <https://doi.org/10.1016/j.agrformet.2009.06.006>

629 Dozier, J., Outcalt, S.I., 1979. An approach toward energy balance simulation over rugged terrain.
630 Geogr. Anal. 11, 65–85. <https://doi.org/10.1111/j.1538-4632.1979.tb00673.x>

631 Dubayah, R., Dozier, J., Davis, F.W., 1990. Topographic distribution of clear-sky radiation over the
632 Konza Prairie, Kansas. Water Resour. Res. 26, 679–690.
633 <https://doi.org/10.1029/WR026i004p00679>

634 Dullinger, S., Gatttringer, A., Thuiller, W., Moser, D., Zimmermann, N.E., Guisan, A., Willner, W.,
635 Plutzer, C., Leitner, M., Mang, T., Caccianiga, M., Dirnböck, T., Ertl, S., Fischer, A., Lenoir,
636 J., Svenning, J.-C., Psomas, A., Schmatz, D.R., Silc, U., Vittoz, P., Hülber, K., 2012.
637 Extinction debt of high-mountain plants under twenty-first-century climate change. Nat. Clim.
638 Change 2, 619–622. <https://doi.org/10.1038/nclimate1514>

639 Eitel, J.U.H., Höfle, B., Vierling, L.A., Abellán, A., Asner, G.P., Deems, J.S., Glennie, C.L., Joerg,
640 P.C., LeWinter, A.L., Magney, T.S., Mandlburger, G., Morton, D.C., Müller, J., Vierling,
641 K.T., 2016. Beyond 3-D: The new spectrum of lidar applications for earth and ecological
642 sciences. Remote Sens. Environ. 186, 372–392. <https://doi.org/10.1016/j.rse.2016.08.018>

643 Elith, J., Leathwick, J.R., Hastie, T., 2008. A working guide to boosted regression trees. *J. Anim.*
644 *Ecol.* 77, 802–813. <https://doi.org/10.1111/j.1365-2656.2008.01390.x>

645 Flint, A.L., Childs, S.W., 1987. Calculation of solar radiation in mountainous terrain. *Agric. For.*
646 *Meteorol.* 40, 233–249. [https://doi.org/10.1016/0168-1923\(87\)90061-X](https://doi.org/10.1016/0168-1923(87)90061-X)

647 Flint, L.E., Flint, A.L., Thorne, J.H., Boynton, R., 2013. Fine-scale hydrologic modeling for regional
648 landscape applications: the California Basin Characterization Model development and
649 performance. *Ecol. Process.* 2, 25. <https://doi.org/10.1186/2192-1709-2-25>

650 Ford, K.R., Ettinger, A.K., Lundquist, J.D., Raleigh, M.S., Hille Ris Lambers, J., 2013. Spatial
651 heterogeneity in ecologically important climate variables at coarse and fine scales in a high-
652 snow mountain landscape. *PLoS ONE* 8, e65008.
653 <https://doi.org/10.1371/journal.pone.0065008>

654 Franklin, J., Regan, H.M., Syphard, A.D., 2014. Linking spatially explicit species distribution and
655 population models to plan for the persistence of plant species under global change. *Environ.*
656 *Conserv.* 41, 97–109. <https://doi.org/10.1017/S0376892913000453>

657 Frey, S.J.K., Hadley, A.S., Johnson, S.L., Schulze, M., Jones, J.A., Betts, M.G., 2016. Spatial models
658 reveal the microclimatic buffering capacity of old-growth forests. *Sci. Adv.* 2, e1501392–
659 e1501392. <https://doi.org/10.1126/sciadv.1501392>

660 Fridley, J.D., 2009. Downscaling climate over complex terrain: High finescale (< 1000 m) spatial
661 variation of near-ground temperatures in a montane forested landscape (Great Smoky
662 Mountains). *J. Appl. Meteorol. Climatol.* 48, 1033–1049.
663 <https://doi.org/10.1175/2008JAMC2084.1>

664 Fu, P., Rich, P., 2002. A geometric solar radiation model with applications in agriculture and forestry.
665 *Comput. Electron. Agric.* 37, 25–35. [https://doi.org/10.1016/S0168-1699\(02\)00115-1](https://doi.org/10.1016/S0168-1699(02)00115-1)

666 Geiger, R., Aron, R.H., Todhunter, P., 2009. *The climate near the ground*, 7th ed. Rowman &
667 Littlefield Pub. Group, Lanham, Md.

668 Goulden, M.L., Anderson, R.G., Bales, R.C., Kelly, A.E., Meadows, M., Winston, G.C., 2012.
669 Evapotranspiration along an elevation gradient in California’s Sierra Nevada. *J. Geophys.*
670 *Res.-Biogeosciences* 117, 13. <https://doi.org/10.1029/2012jg002027>

671 Goulden, M.L., Kelley, A., 2016. CZO Dataset: Flux Tower Transect, SJER - Flux Tower,
672 Meteorology (2009-2016) [WWW Document]. URL
673 <http://criticalzone.org/sierra/data/dataset/3657/> (accessed 12.27.16).

674 Goulden, M.L., Kelley, A., 2015. CZO Dataset: Flux Tower Transect, P301 - Flux Tower,
675 Meteorology (2007-2015) [WWW Document]. URL
676 <http://criticalzone.org/sierra/data/dataset/3654/> (accessed 12.27.16).

677 Graae, B.J., De Frenne, P., Kolb, A., Brunet, J., Chabrierie, O., Verheyen, K., Pepin, N., Heinken, T.,
678 Zobel, M., Shevtsova, A., 2012. On the use of weather data in ecological studies along
679 altitudinal and latitudinal gradients. *Oikos* 121, 3–19.
680 <https://doi.org/10.1111/j.16000706.2011.19694.x>

681 Gryning, S.-E., Batchvarova, E., Bruin, H.A.R.D., 2001. Energy Balance Of A Sparse Coniferous
682 High-Latitude Forest Under Winter Conditions. *Bound.-Layer Meteorol.* 99, 465–488.
683 <https://doi.org/10.1023/A:1018939329915>

684 Hannah, L., Flint, L., Syphard, A.D., Moritz, M.A., Buckley, L.B., McCullough, I.M., 2014. Fine-
685 grain modeling of species' response to climate change: Holdouts, stepping-stones, and
686 microrefugia. *Trends Ecol. Evol.* 29, 390–397. <https://doi.org/10.1016/j.tree.2014.04.006>

687 Hastie, T., Tibshirani, R., Friedman, J.H., 2009. The elements of statistical learning: data mining,
688 inference, and prediction, 2nd ed. ed, Springer series in statistics. Springer, New York, NY.

689 Holden, Z.A., Klene, A.E., F. Keefe, R., G. Moisen, G., 2013. Design and evaluation of an
690 inexpensive radiation shield for monitoring surface air temperatures. *Agric. For. Meteorol.*
691 180, 281–286. <https://doi.org/10.1016/j.agrformet.2013.06.011>

692 Kampe, T.U., Johnson, B.R., Kuester, M.A., Keller, M., 2010. NEON: the first continental-scale
693 ecological observatory with airborne remote sensing of vegetation canopy biochemistry and
694 structure. *J. Appl. Remote Sens.* 4, 043510. <https://doi.org/10.1117/1.3361375>

695 Kampe, T.U., Leisso, N., Musinsky, J., Petroy, S., Karpowicza, B., Krause, K., Crocker, R.I., DeVoe,
696 M., Penniman, E., Guadagno, T., Gallery, W., Ramond, T., Wasser, L., Barnett, D., van
697 Aardt, J., Cawse-Nicholson, K., Serbin, S.P., 2013. The NEON 2013 Airborne Campaign at

698 Domain 17 Terrestrial and Aquatic Sites in California (NEON Technical Memo No. 005),
699 <http://www.neoninc.org/sites/default/files/bibliofiles/TM-005.pdf>

700 Kearney, M., Porter, W., 2009. Mechanistic niche modelling: combining physiological and spatial
701 data to predict species' ranges. *Ecol. Lett.* 12, 334–350.
702 <https://doi.org/10.1111/j.14610248.2008.01277.x>

703 Kuhn, M., 2017. A short introduction to the caret package [WWW Document]. URL [http://cran.r-](http://cran.r-project.org/web/packages/caret/vignettes/caret.pdf)
704 [project.org/web/packages/caret/vignettes/caret.pdf](http://cran.r-project.org/web/packages/caret/vignettes/caret.pdf) (accessed 9.25.17).

705 Lenoir, J., Hattab, T., Pierre, G., 2017. Climatic microrefugia under anthropogenic climate change:
706 implications for species redistribution. *Ecography* 40, 253–266.
707 <https://doi.org/10.1111/ecog.02788>

708 Lookingbill, T.R., Urban, D.L., 2003. Spatial estimation of air temperature differences for landscape-
709 scale studies in montane environments. *Agric. For. Meteorol.* 114, 141–151.
710 [https://doi.org/10.1016/S0168-1923\(02\)00196-X](https://doi.org/10.1016/S0168-1923(02)00196-X)

711 Lundquist, J.D., Cayan, D.R., 2007. Surface temperature patterns in complex terrain: Daily variations
712 and long-term change in the central Sierra Nevada, California. *J. Geophys. Res.* 112, D11124.
713 <https://doi.org/10.1029/2006JD007561>

714 Lundquist, J.D., Pepin, N., Rochford, C., 2008. Automated algorithm for mapping regions of cold-air
715 pooling in complex terrain. *J. Geophys. Res.* 113. <https://doi.org/10.1029/2008JD009879>

716 Ma, S., Oakley, B., North, M., Chen, J., 2010. Spatial variability in microclimate in a mixed-conifer
717 forest before and after thinning and burning treatments. *For. Ecol. Manag.* 259, 904–915.
718 <https://doi.org/10.1016/j.foreco.2009.11.030>

719 Martens, S.N., Breshears, D.D., Meyer, C.W., 2000. Spatial distributions of understory light along the
720 grassland/forest continuum: effects of cover, height, and spatial pattern of tree canopies. *Ecol.*
721 *Model.* 126, 79–93. [https://doi.org/10.1016/S0304-3800\(99\)00188-X](https://doi.org/10.1016/S0304-3800(99)00188-X)

722 Musselman, K.N., Margulis, S.A., Molotch, N.P., 2013. Estimation of solar direct beam transmittance
723 of conifer canopies from airborne LiDAR. *Remote Sens. Environ.* 136, 402–415.
724 <https://doi.org/10.1016/j.rse.2013.05.021>

725 North, M., Oakley, B., Chen, J., Erickson, H., Gray, A., Izzo, A., Johnson, D., Ma, S., Marra, J.,
726 Meyers, M., Purcell, K., Rambo, T., Rizzo, D., Roath, B., Schowalter, T., 2010. Vegetation
727 and ecological characteristics of mixed-conifer and Red Fir forests at the Teakettle
728 Experimental Forest (Technical Report PSW-GTR-186). USDA Forest Service. Pacific
729 Southwest Research Station.

730 Parker, V.T., Muller, C.H., 1982. Vegetational and environmental changes beneath isolated live oak
731 trees (*Quercus agrifolia*) in a California annual grasslands. Am. Midl. Nat. 107, 69–81.
732 <https://doi.org/10.2307/2425189>

733 Pierson, F.B., Wight, J.R., 1991. Variability of near-surface soil temperature on sagebrush rangeland.
734 J. Range Manag. 44, 491–497. <https://doi.org/10.2307/4002751>

735 Pypker, T.G., Unsworth, M.H., Mix, A.C., Rugh, W., Ocheltree, T., Alstad, K., Bond, B.J., 2007.
736 Using nocturnal cold air drainage flow to monitor ecosystem processes in complex terrain.
737 Ecol. Appl. 17, 702–714. <https://doi.org/10.1890/05-1906>

738 Rambo, T.R., North, M.P., 2008. Spatial and Temporal Variability of Canopy Microclimate in a
739 Sierra Nevada Riparian Forest. Northwest Sci. 82, 259–268. [https://doi.org/10.3955/0029-
740 344X-82.4.259](https://doi.org/10.3955/0029-344X-82.4.259)

741 Rambo, T.R., North, M.P., 2009. Canopy microclimate response to pattern and density of thinning in
742 a Sierra Nevada forest. For. Ecol. Manag. 257, 435–442.
743 <https://doi.org/10.1016/j.foreco.2008.09.029>

744 Ridgeway, G., 2007. Generalized Boosted Models: A guide to the gbm package [WWW Document].
745 URL <http://cran.r-project.org/web/packages/gbm/vignettes/gbm.pdf> (accessed 9.25.17).

746 Robeson, S.M., 2015. Revisiting the recent California drought as an extreme value. Geophys. Res.
747 Lett. 42, 6771–6779. <https://doi.org/10.1002/2015GL064593>

748 Rosenberg, N.J., Blad, B.L., Verma, S.B., 1983. Microclimate; The biological environment 2nd ed.
749 Wiley-Interscience, New York.

750 Ryu, Y., Sonnentag, O., Nilson, T., Vargas, R., Kobayashi, H., Wenk, R., Baldocchi, D.D., 2010.
751 How to quantify tree leaf area index in an open savanna ecosystem: A multi-instrument and

752 multi-model approach. *Agric. For. Meteorol.* 150, 63–76.
753 <https://doi.org/10.1016/j.agrformet.2009.08.007>

754 Ryu, Y., Verfaillie, J., Macfarlane, C., Kobayashi, H., Sonnentag, O., Vargas, R., Ma, S., Baldocchi,
755 D.D., 2012. Continuous observation of tree leaf area index at ecosystem scale using upward-
756 pointing digital cameras. *Remote Sens. Environ.* 126, 116–125.
757 <https://doi.org/10.1016/j.rse.2012.08.027>

758 Scherrer, D., Körner, C., 2011. Topographically controlled thermal-habitat differentiation buffers
759 alpine plant diversity against climate warming. *J. Biogeogr.* 38, 406–416.
760 <https://doi.org/10.1111/j.1365-2699.2010.02407.x>

761 Schmidt, M., Jochheim, H., Kersebaum, K.-C., Lischeid, G., Nendel, C., 2017. Gradients of
762 microclimate, carbon and nitrogen in transition zones of fragmented landscapes – a review.
763 *Agric. For. Meteorol.* 232, 659–671. <https://doi.org/10.1016/j.agrformet.2016.10.022>

764 Serra-Diaz, J.M., Franklin, J., Sweet, L.C., McCullough, I.M., Syphard, A.D., Regan, H.M., Flint,
765 L.E., Flint, A.L., Dingman, J.R., Moritz, M., Redmond, K., Hannah, L., Davis, F.W., 2016.
766 Averaged 30 year climate change projections mask opportunities for species establishment.
767 *Ecography* 39, 844–845. <https://doi.org/10.1111/ecog.02074>

768 Suggitt, A.J., Gillingham, P.K., Hill, J.K., Huntley, B., Kunin, W.E., Roy, D.B., Thomas, C.D., 2011.
769 Habitat microclimates drive fine-scale variation in extreme temperatures. *Oikos* 120, 1–8.
770 <https://doi.org/10.1111/j.1600-0706.2010.18270.x>

771 Ustin, S.L., R.A. Woodward, M.G. Barbour, J.T. Hatfield, 1984. Relationships between sunfleck
772 dynamics and red fir seedling distribution. *Ecology* 65: 1420-1428.
773 <https://www.jstor.org/stable/1939122>

774 Vanwallegem, T., Meentemeyer, R., 2009. Predicting forest microclimate in heterogeneous
775 landscapes. *Ecosystems* 12, 1158–1172. <https://doi.org/10.1007/s10021-009-9281-1>

776 von Arx, G., Graf Pannatier, E., Thimonier, A., Rebetez, M., 2013. Microclimate in forests with
777 varying leaf area index and soil moisture: potential implications for seedling establishment in
778 a changing climate. *J. Ecol.* 101, 1201–1213. <https://doi.org/10.1111/1365-2745.12121>

779 Villegas, J.C., D.D. Breshears, C.B. Lou, D.J. Larin., 2010. Ecohydrological controls of soil
780 evaporation in deciduous drylands: How the hierarchical effects of litter, patch and vegetation
781 mosaic cover interact with phenology and season. *J. Arid Env.* 74: 595-602.
782 <https://doi.org/10.1016/j.jaridenv.2009.09.028>

783 Westman, W.E., 1987. Aboveground biomass, surface area, and production relations of red fir (*Abies*
784 *magnified*) and white fir (*A. concolor*). *Can. J. For. Res.* 17, 311–319.
785 <https://doi.org/10.1139/x87-052>

786 Woods, H.A., Dillon, M.E., Pincebourde, S., 2015. The roles of microclimatic diversity and of
787 behavior in mediating the responses of ectotherms to climate change. *J. Therm. Biol.* 54, 86–
788 97. <https://doi.org/10.1016/j.jtherbio.2014.10.002>

789 **6. Acknowledgements**

790 Funding was provided by the U.S. National Science Foundation (EF-1065864, -1550653, -1065826
791 and -1550640). Sabbatical office space and computing support for F. Davis during manuscript
792 preparation were provided by the Department of Earth and Environment at Boston University. We
793 thank participants in NSF Project EF-1065864, Macrosystems Biology Program grant "Do
794 microenvironments govern macroecology") for discussions that led to many of the ideas and analyses
795 undertaken in this research and for help with field equipment installation and data collection, notably:
796 Lorrie Flint, Lee Hannah, Elizabeth Hiroyasu, Eric Hopkins, Andy MacDonald, Sean McKnight,
797 Jason McClure, Malcolm North, Phoebe Prather, Kelly Redmond (deceased), Anderson Shepard,
798 Peter Slaughter, Lynn Sweet, and Whitney Wilkinson. Flux tower data were provided by the NSF-
799 supported Southern Sierra Critical Zone Observatory. We thank Tristan Goulden and Nathan Leisso at
800 NEON, Inc., for providing data, advice and support. Thanks also to two anonymous reviewers for
801 their helpful comments on the draft manuscript.

802

803 **Table 1.** Summary of daily surface temperature extremes at the foothill oak savanna landscape
 804 (SJER) and montane forest landscape (TEF) for 2013. Entries are the means of daily values for the
 805 indicated monthly time periods.

Month	Foothill (SJER)				Montane (TEF)			
	T_{\min} (°C)	CV (%)	T_{\max} (°C)	CV (%)	T_{\min} (°C)	CV (%)	T_{\max} (°C)	CV (%)
Jan - Mar	3.3	33	24.8	13	NA	NA	NA	NA
Apr	8.7	32	38.6	12	0.2	57	23.5	25
May	12.0	15	45.2	8	2.8	148	28.2	15
Jun	16.7	10	51.1	6	7.8	24	35.6	10
Jul	21.0	8	54.8	5	11.6	11	39.3	9
Aug	17.7	10	52.2	6	9.1	17	38.4	10
Sep	15.1	12	47.0	8	6.8	12	32.9	15
Oct-Dec	5.5	17	31.2	14	NA	NA	NA	NA

806

807

808 **Fig. 1.** Location map for San Joaquin Experimental Range (SJER) and Teakettle Experimental Forest
809 (TEF) study landscapes. Elevations from the San Joaquin Valley floor up the western slope of the
810 Sierra Nevada are displayed on shaded relief.

811 **Fig 2.** Photos of Teakettle Experimental Forest a) north-slope and b) south-slope sites, and San
812 Joaquin Experimental Range c) north-slope and d) south-slope sites illustrating the difference in
813 vegetation structure at the two landscapes. Fenced experimental gardens and a subset of the surface
814 temperature sensors (vertical posts) in site sensor arrays are also visible.

815 **Fig. 3.** Surface temperature sensor locations at a) foothill savanna landscape, San Joaquin
816 Experimental Range (SJER) and (b) montane forest landscape, Teakettle Experimental Forest (TEF).
817 Yellow triangles are locations of single temperature sensors and open white circles are locations of
818 21-sensor arrays. Background is shaded relief, illuminated from due south. LiDAR-derived tree
819 crowns are displayed as two height classes, < 25m (light green) and >25m (dark green).

820 **Fig. 4.** Spatial arrangement of temperature sensors (closed dot) and weather station (open dot) in and
821 around experimental gardens (open square) used to characterize microsite temperature variation.

822 **Fig. 5.** Time series of daily maximum (red) and minimum (blue) surface temperatures at (a) foothill
823 oak savanna, San Joaquin Experimental Range (SJER), and (b) montane conifer forest, Teakettle
824 Experimental Forest (TEF), for the 2013 calendar year. Daily temperatures at 24 sites at TEF and 18
825 sites at SJER are displayed; vertical line length shows the daily temperature range among sites.
826 Dashed vertical lines divide months shown on the X-axis.

827 **Fig. 6.** Loess-smoothed average maximum daily surface temperatures and standard deviations (shaded
828 bands) for sensor arrays at 6 sites at (a) the San Joaquin Experimental Range foothill oak savanna
829 landscape and (b) Teakettle Experimental Forest montane conifer forest landscape. Labels indicate the
830 topographic positions of the arrays (N – north facing, NE – northeast, L – level, VF, valley floor, S –
831 south, SW – southwest).

832 **Fig. 7.** Generalized boosted model results relating daily site-scale maximum temperatures (T_{max}) in
833 the foothill savanna landscape (SJER) to (a) modeled understory radiation (UR_{30}) and (b) a cold-air

834 drainage index (*TPI250*); Partial model dependence on *UR30* and *TPI250* is the difference in
835 predicted temperatures at lowest and highest observed values of the predictor variable. (c) Model
836 adjusted r^2 , the squared correlation between predicted and observed temperatures for each daily
837 model.

838 **Fig. 8.** Generalized boosted model results for daily site-scale minimum temperatures (*Tmin*) at San
839 Joaquin Experimental Range (SJER) showing model partial dependence on (a) canopy density
840 (*CD30*) and (b) a cold-air drainage index (*CAP150*) as well as (c) model adjusted r^2 for each daily
841 model.

842 **Fig. 9.** Generalized boosted model results for daily microsite-scale minimum temperatures (*Tmin*) at
843 San Joaquin Experimental Range (SJER) showing model partial dependence on (a) microsite canopy
844 density (*CD10*) and slope angle (*S*), as well as (c) model adjusted r^2 for each daily model.

845 **Fig. 10.** Generalized boosted model (GBM) results for (a) daily site-scale maximum surface
846 temperatures (*Tmax*) at Teakettle Experimental Forest (TEF) showing model partial dependence on
847 (top panel) modeled understory radiation (*UR30*) and (middle panel) a cold-air drainage index
848 (*TPI500*), as well as (bottom panel) model adjusted r^2 for 183 daily models between April 1 and
849 September 30, 2013. (b) GBM results for daily site-scale minimum surface temperatures (*Tmin*) at
850 TEF in relation to (top panel) tree canopy density (*CD30*) and (middle panel) *TPI500*; (bottom panel)
851 daily model adjusted r^2 .

852 **Supplementary Materials**

853

854 **Table A.1.** Screening criteria used to delete daily temperature data records that were deemed
855 potentially unreliable.

1. Logger temperature changed by more than 20 °C in any 60 minute period – daily record removed.

2. Recorded temperature remained identical for ten or more readings – daily record removed.

3. For sensors in garden arrays, single temperature reading was more than 5 °C above or below daily maximum or minimum values for any other sensor in the garden array. This process was run repeatedly until no more daily records could be removed.

4. If sensor daily temperatures remained in the range -2 °C to 2 °C, it was assumed that the sensor was submerged in snow and that sensor's daily record was removed.

5. If physical sensor issues or data download issues were noted during manual data download, data were manually inspected and suspicious daily records were removed.

856

857

858 **Table A.2.** Correlation matrix, LIDAR-derived measures for Teakettle Experimental Forest (TEF)
859 temperature monitoring sites (n=24), including elevation (DEM), tree canopy density (CD) at 30, 60
860 and 90m scales, cold-air pooling index (CAP) at 150, 250, 500 and 1000m scales, and topographic
861 position index (TPI) at 150, 250,500 and 1000m scales. Entries in bold are significant at p <0.05.

	DEM	CD30	CD60	CD90	CAP150	CAP250	CAP500	CAP1000	TPI150	TPI250	TPI500	TPI1000
DEM	1	0.37	0.47	0.53	0.33	0.18	-0.06	-0.26	0.05	0.14	0.41	0.24
CD30	0.37	1	0.91	0.86	-0.09	-0.08	-0.23	-0.36	-0.17	-0.24	-0.34	-0.2
CD60	0.47	0.91	1	0.96	-0.12	-0.07	-0.28	-0.39	-0.21	-0.29	-0.31	-0.19
CD90	0.53	0.86	0.96	1	-0.11	-0.14	-0.33	-0.46	-0.26	-0.32	-0.22	-0.24
CAP150	0.33	-0.09	-0.12	-0.11	1	0.7	0.63	0.45	0.46	0.52	0.58	0.57
CAP250	0.18	-0.08	-0.07	-0.14	0.7	1	0.9	0.67	0.68	0.7	0.34	0.76
CAP500	-0.06	-0.23	-0.28	-0.33	0.63	0.9	1	0.79	0.73	0.75	0.42	0.78
CAP1000	-0.26	-0.36	-0.39	-0.46	0.45	0.67	0.79	1	0.56	0.59	0.42	0.86
TPI150	0.05	-0.17	-0.21	-0.26	0.46	0.68	0.73	0.56	1	0.96	0.51	0.67
TPI250	0.14	-0.24	-0.29	-0.32	0.52	0.7	0.75	0.59	0.96	1	0.66	0.75
TPI500	0.41	-0.34	-0.31	-0.22	0.58	0.34	0.42	0.42	0.51	0.66	1	0.66
TPI1000	0.24	-0.2	-0.19	-0.24	0.57	0.76	0.78	0.86	0.67	0.75	0.66	1

862

863 **Table A.3.** Correlation matrix, LIDAR-derived measures for San Joaquin Experimental Range
864 (SJER) temperature monitoring sites (n=18), including elevation (ELEV), tree canopy density (CD) at
865 30, 60 and 90m scales, cold-air pooling index (CAP) at 150, 250, 500 and 1000m scales, and
866 topographic position index (TPI) at 150, 250,500 and 1000m scales. Entries in bold are significant at p
867 <0.05.

	DEM	CD30	CD60	CD90	CAP150	CAP250	CAP500	CAP1000	TPI150	TPI250	TPI500	TPI1000
DEM	1	0.3	0.42	0.44	0.53	0.52	0.49	0.46	0.43	0.5	0.57	0.39
CD30	0.3	1	0.92	0.86	0.14	0.06	-0.05	-0.05	-0.1	-0.13	-0.16	0.02
CD60	0.42	0.92	1	0.98	0.15	0.07	-0.06	-0.09	-0.07	-0.1	-0.1	0.05
CD90	0.44	0.86	0.98	1	0.11	0.04	-0.1	-0.14	-0.12	-0.14	-0.12	-0.01
CAP150	0.53	0.14	0.15	0.11	1	0.97	0.87	0.78	0.64	0.75	0.83	0.61
CAP250	0.52	0.06	0.07	0.04	0.97	1	0.86	0.78	0.7	0.81	0.86	0.65
CAP500	0.49	-0.05	-0.06	-0.1	0.87	0.86	1	0.95	0.67	0.78	0.87	0.6
CAP1000	0.46	-0.05	-0.09	-0.14	0.78	0.78	0.95	1	0.67	0.77	0.85	0.58
TPI150	0.43	-0.1	-0.07	-0.12	0.64	0.7	0.67	0.67	1	0.96	0.8	0.96
TPI250	0.5	-0.13	-0.1	-0.14	0.75	0.81	0.78	0.77	0.96	1	0.92	0.88
TPI500	0.57	-0.16	-0.1	-0.12	0.83	0.86	0.87	0.85	0.8	0.92	1	0.67
TPI1000	0.39	0.02	0.05	-0.01	0.61	0.65	0.6	0.58	0.96	0.88	0.67	1

868

869

870 **Captions for Supplementary Figures**

871

872 Fig. A.1. Spatial variation in T_{max} , measured as the standard deviation of maximum daily
873 temperature, across (a) 18 sites at the foothill savanna site, San Joaquin Experimental Range (SJER),
874 and (b) the montane forest site, Teakettle Experimental Forest, as a function of day of the year,
875 January 1 – December 31, 2013. Loess locally-weighted regression line \pm standard error (shaded
876 region) are also shown. Note the change in horizontal scale, as indicated by arrows. Temperature data
877 were only analyzed from day 91 to 273 at TEF.

878 Fig. A.2. Loess-smoothed minimum daily surface temperatures (T_{min}) and standard deviations
879 (shaded areas) for sensor arrays at 6 sites at the foothill oak savanna landscape (SJER, top panel) and
880 montane conifer forest landscape (TEF, lower panel). Labels indicate the topographic positions of the
881 arrays (N – north facing, NE – northeast, L – low slope, VF, valley floor, S – south, SW –
882 southwest).

883 Fig. A.3. Predicted versus observed within-array standard deviation in daily T_{max} at the foothill
884 savanna landscape, San Joaquin Experimental Range. Results are for a linear mixed model with
885 garden array as a random effect and an index of microsite understory radiation (UR5) as a fixed
886 effect. Daily values for different gardens are plotted as different colors for arrays located on south
887 slope (S), valley floor (VF), level (L), southwest slope (SW), northeast slope (NE) and north (N).

888 Fig. A.4. Relationship between model fit (adjusted r^2) and atmospheric transmittance for generalized
889 boosted models of site-scale T_{max} at TEF. The line is a locally weighted regression line with standard
890 error ribbon.

891 Fig. A.5. Predicted versus observed within-array standard deviation (SD) in daily T_{max} at the
892 montane conifer forest landscape, Teakettle Experimental Forest (TEF). Results are for a linear mixed
893 model with garden array as a random effect and an index of microsite understory radiation (UR10)
894 and fractional ground cover within 5 m south of the sensor (GCs5) as fixed variables. Daily values for
895 different gardens are plotted as different colors for arrays located on south slope (S), valley floor
896 (VF), level (L), southwest slope (SW), northeast slope (NE) and north slope (N).

Figure 1.

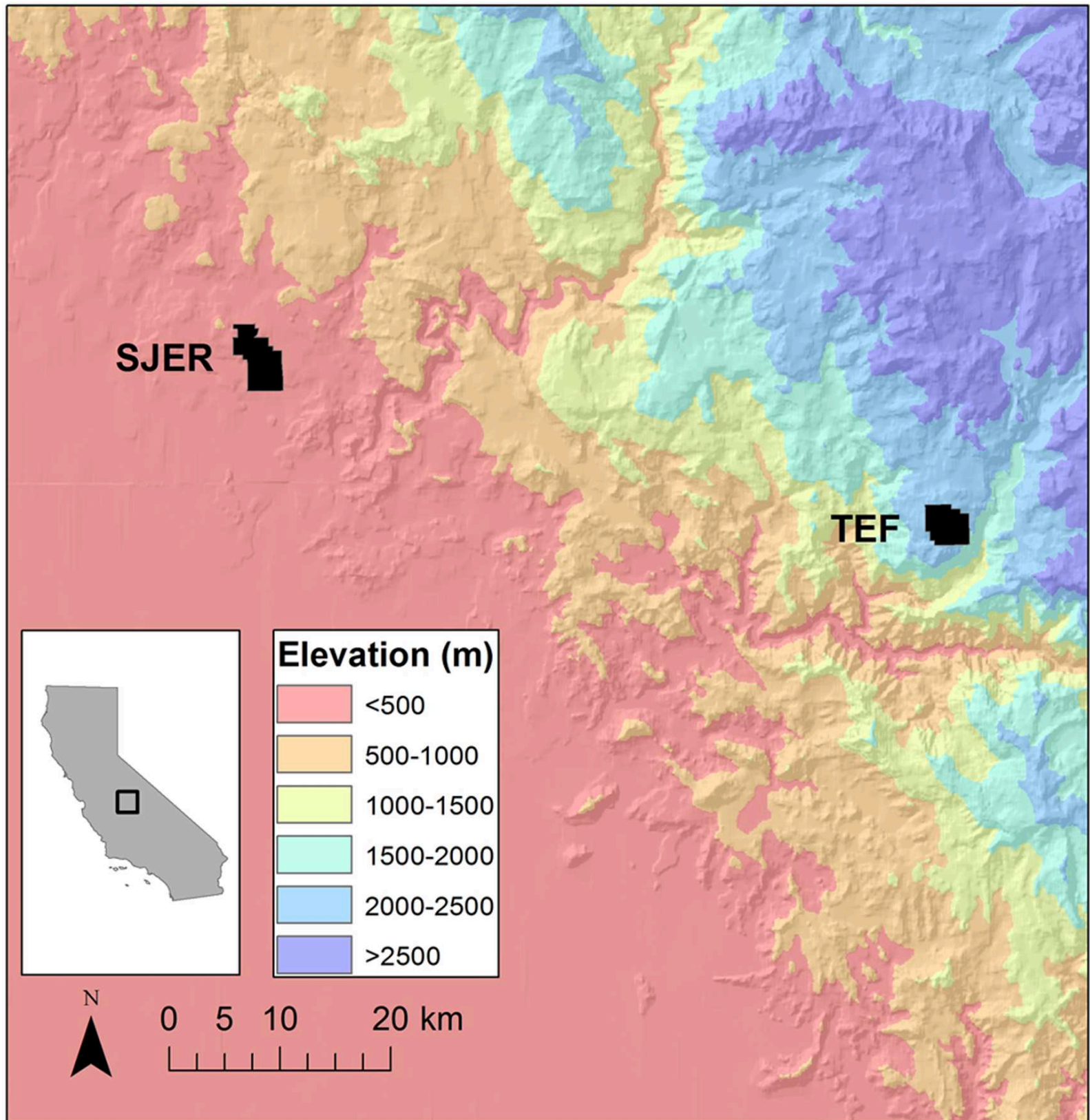


Figure 2



Figure 3

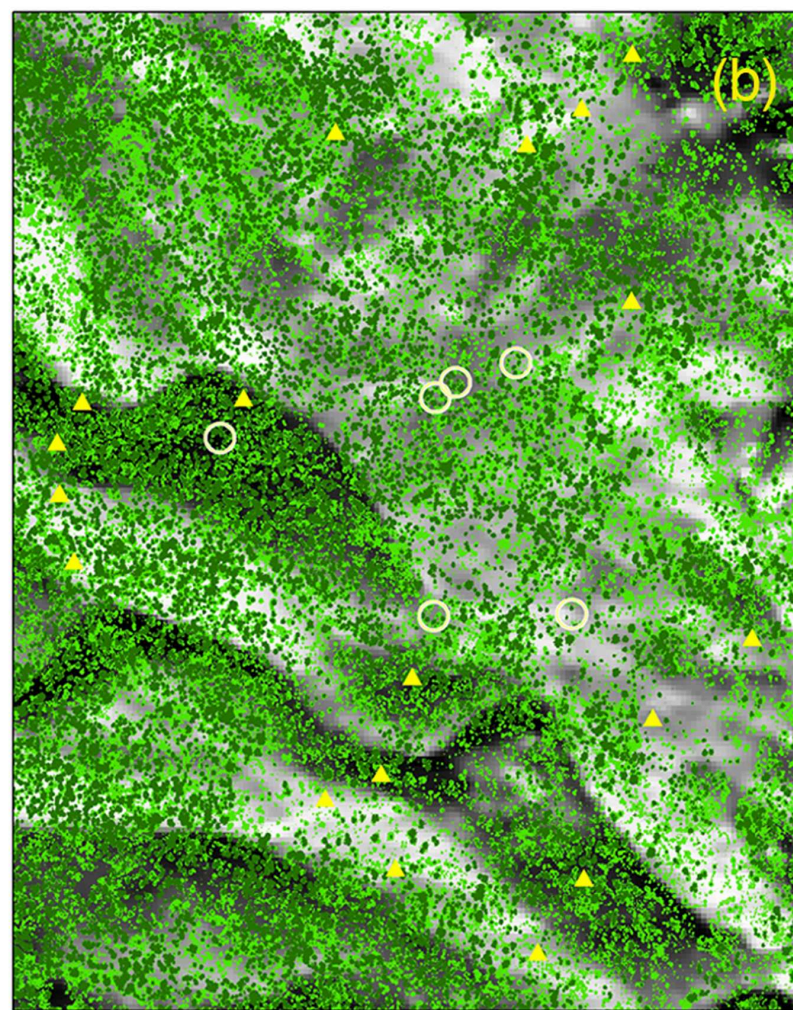
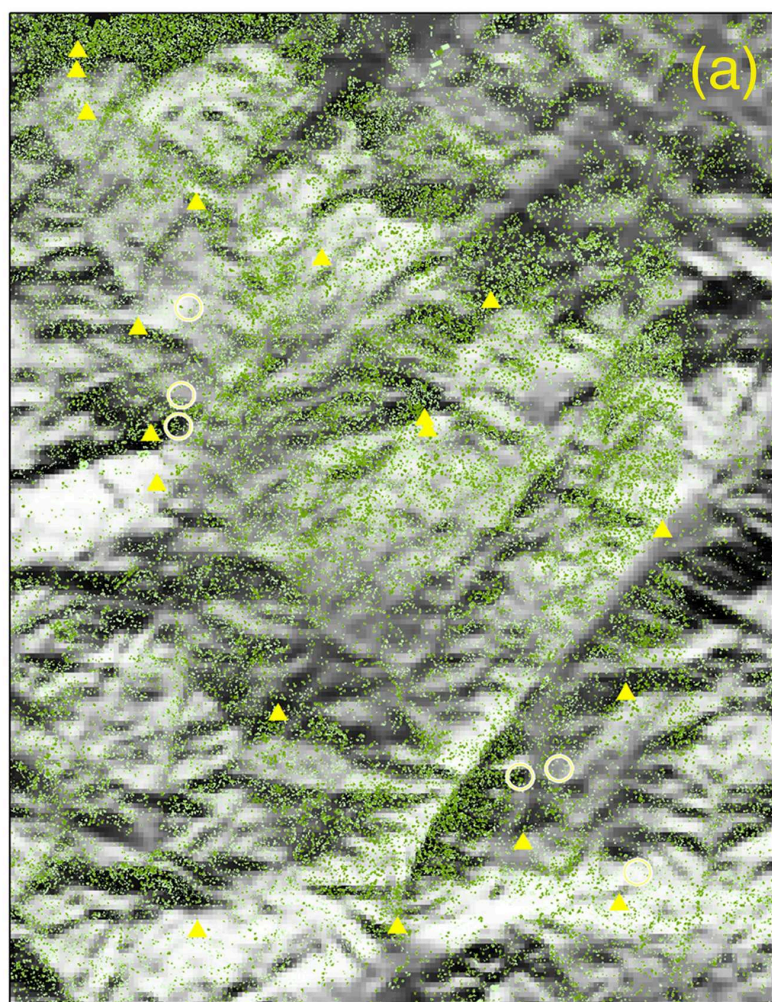


Figure 4

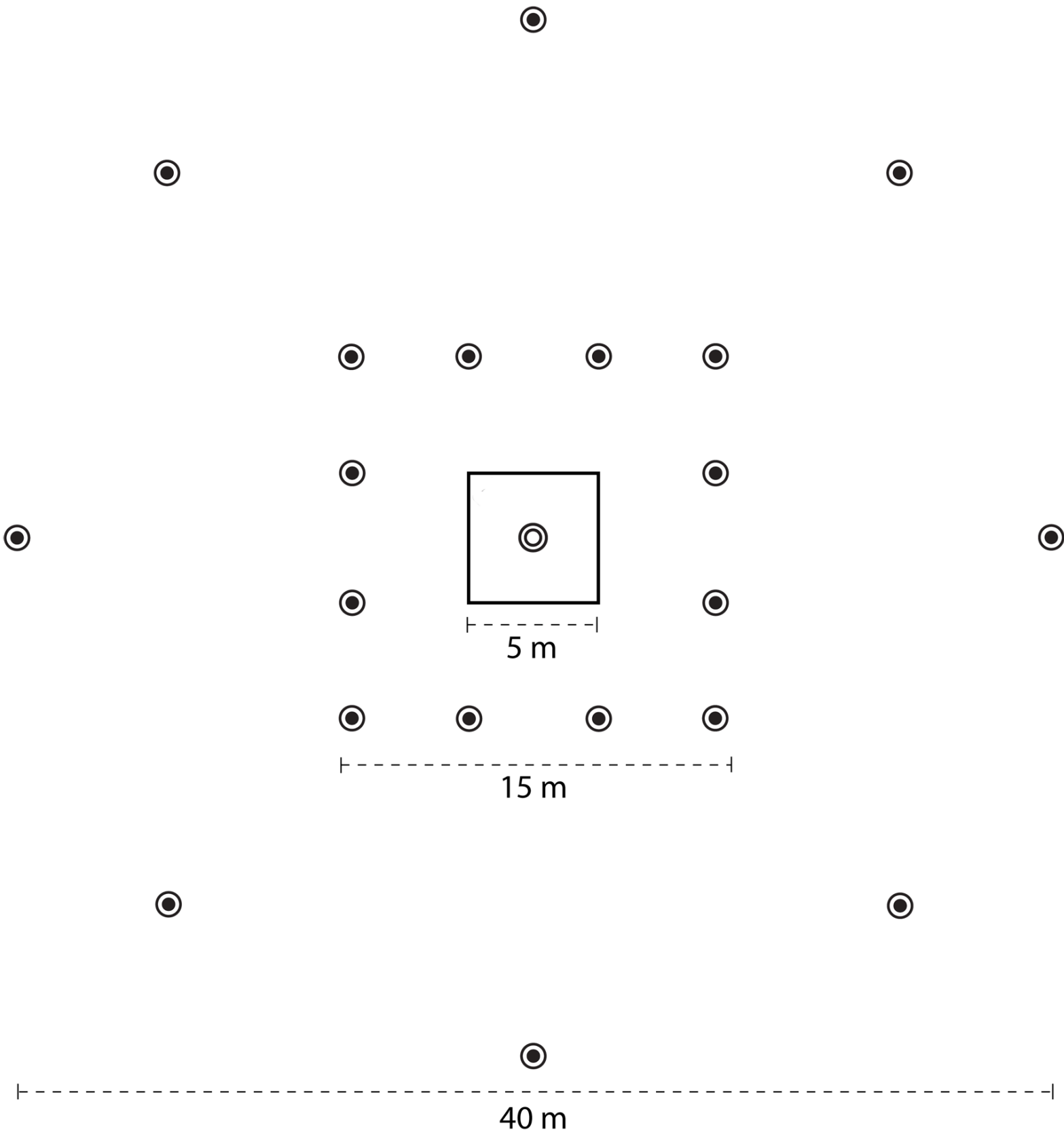


Figure 5

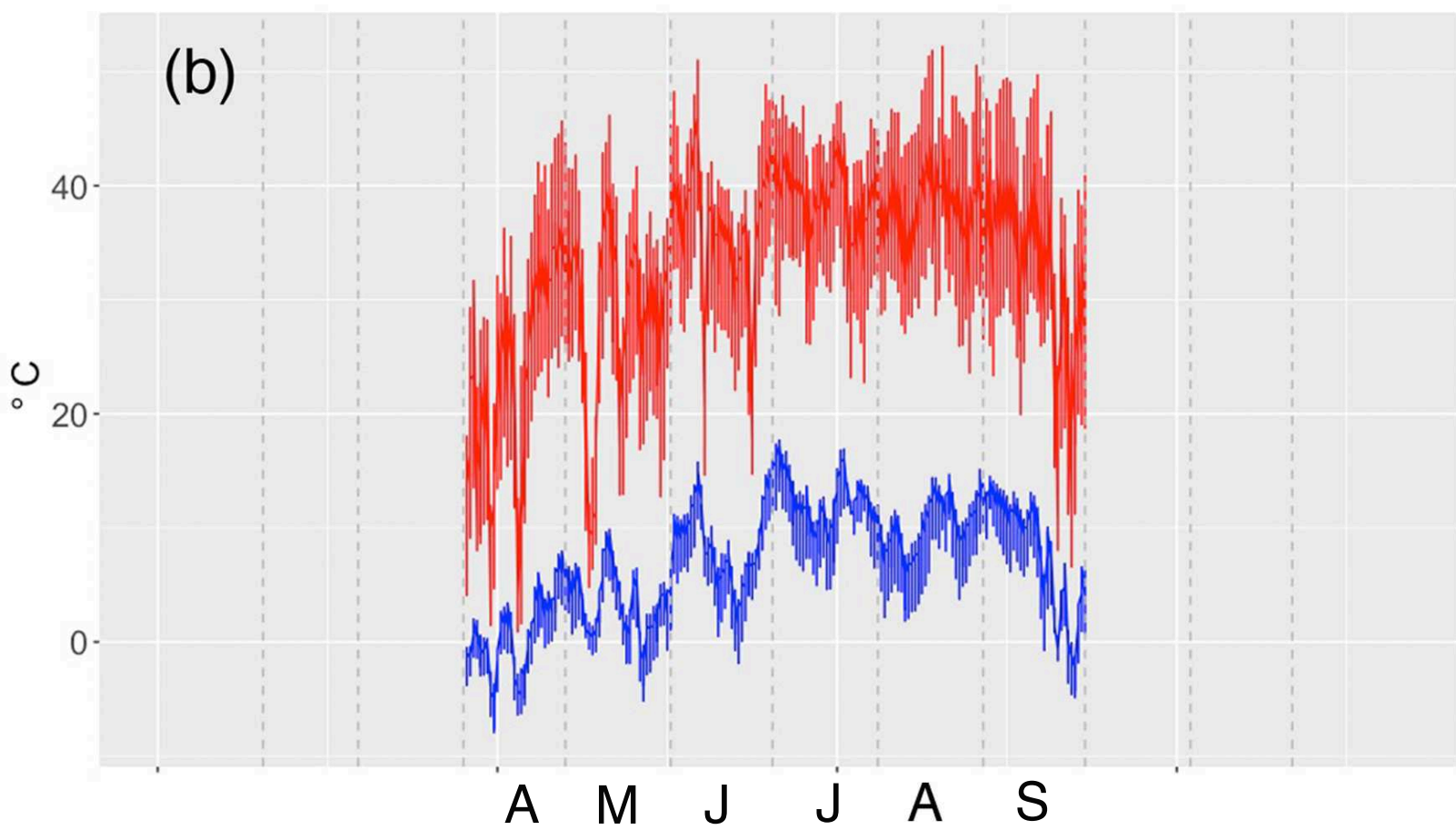
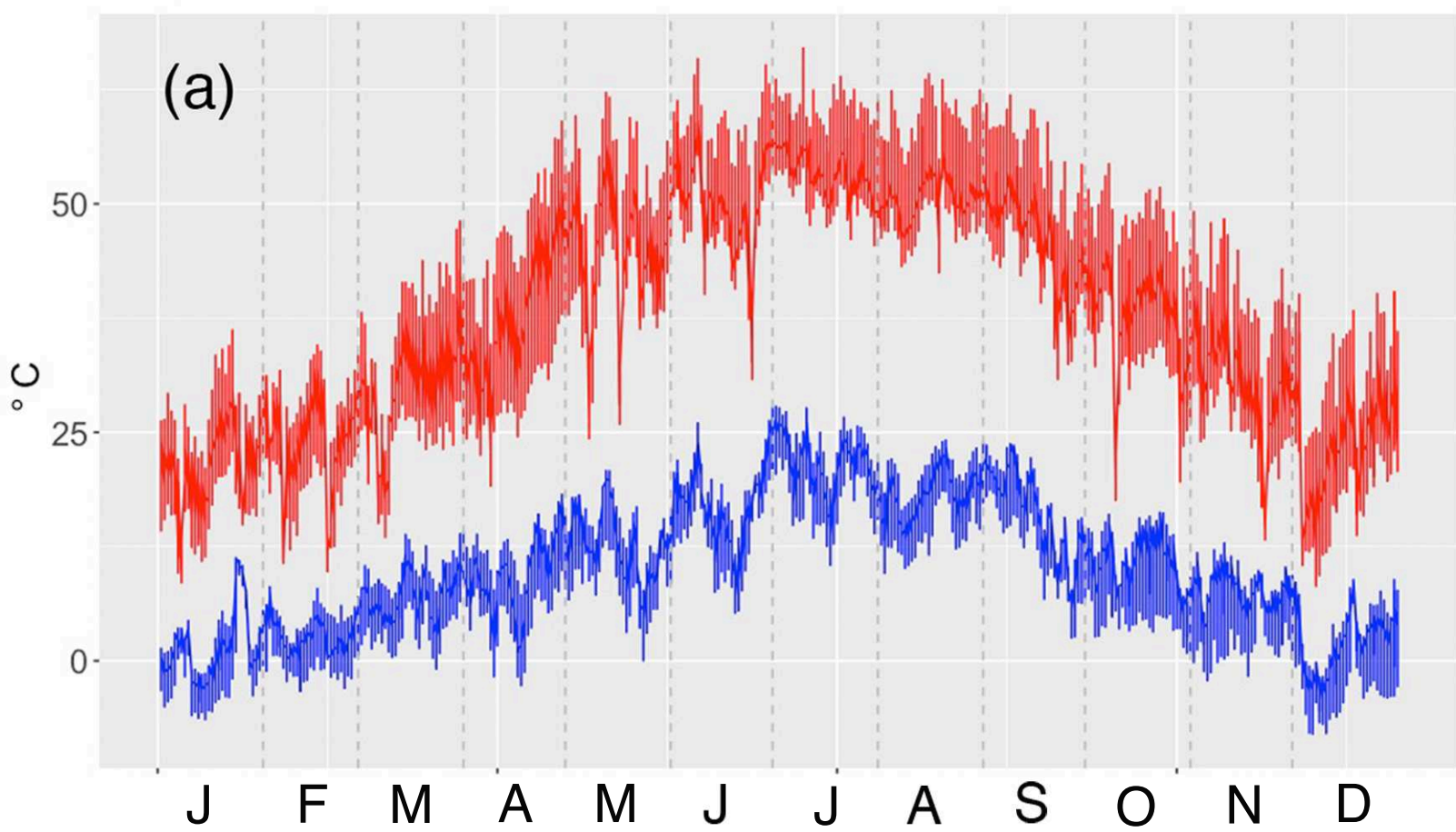
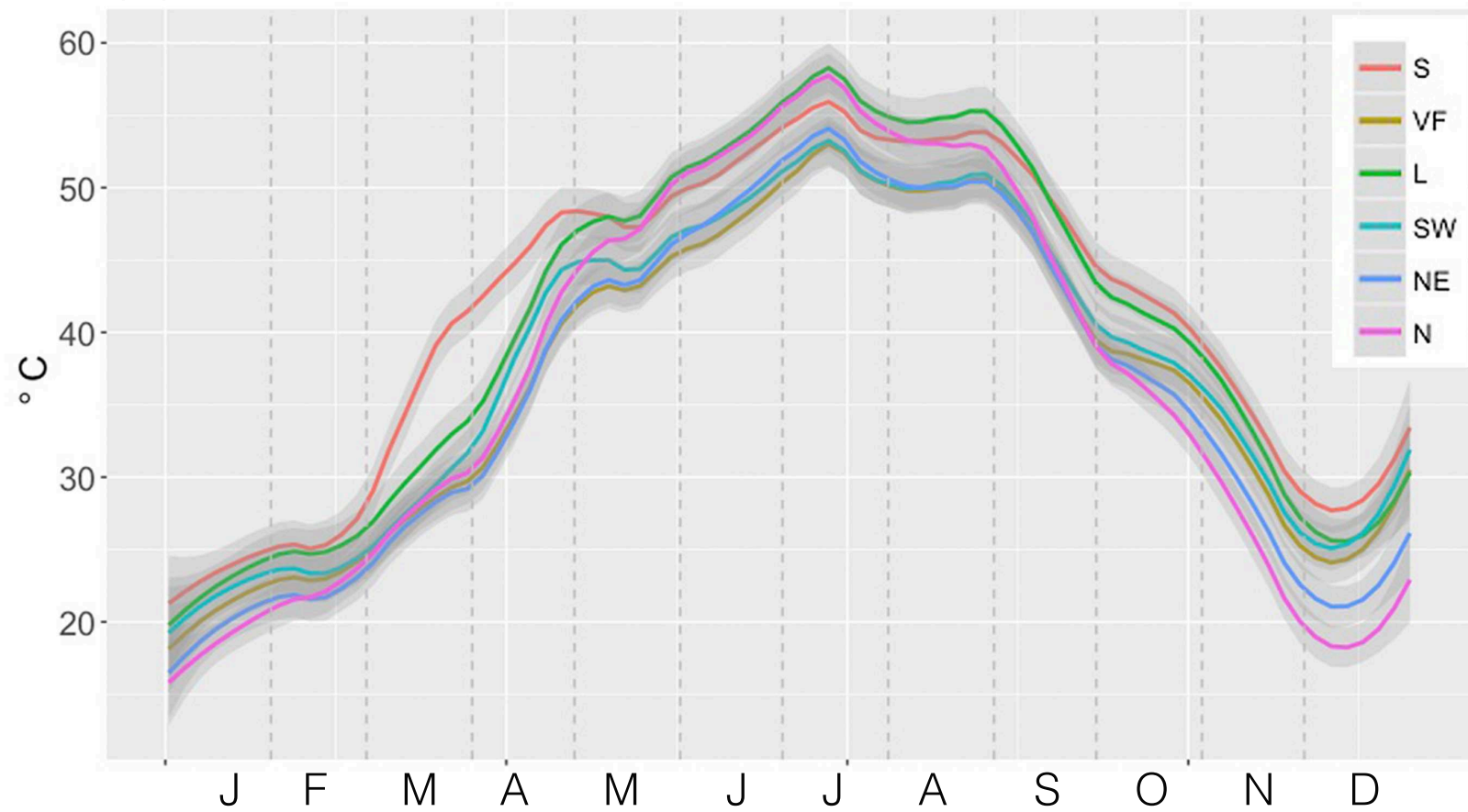


Figure 6

(a)



(b)

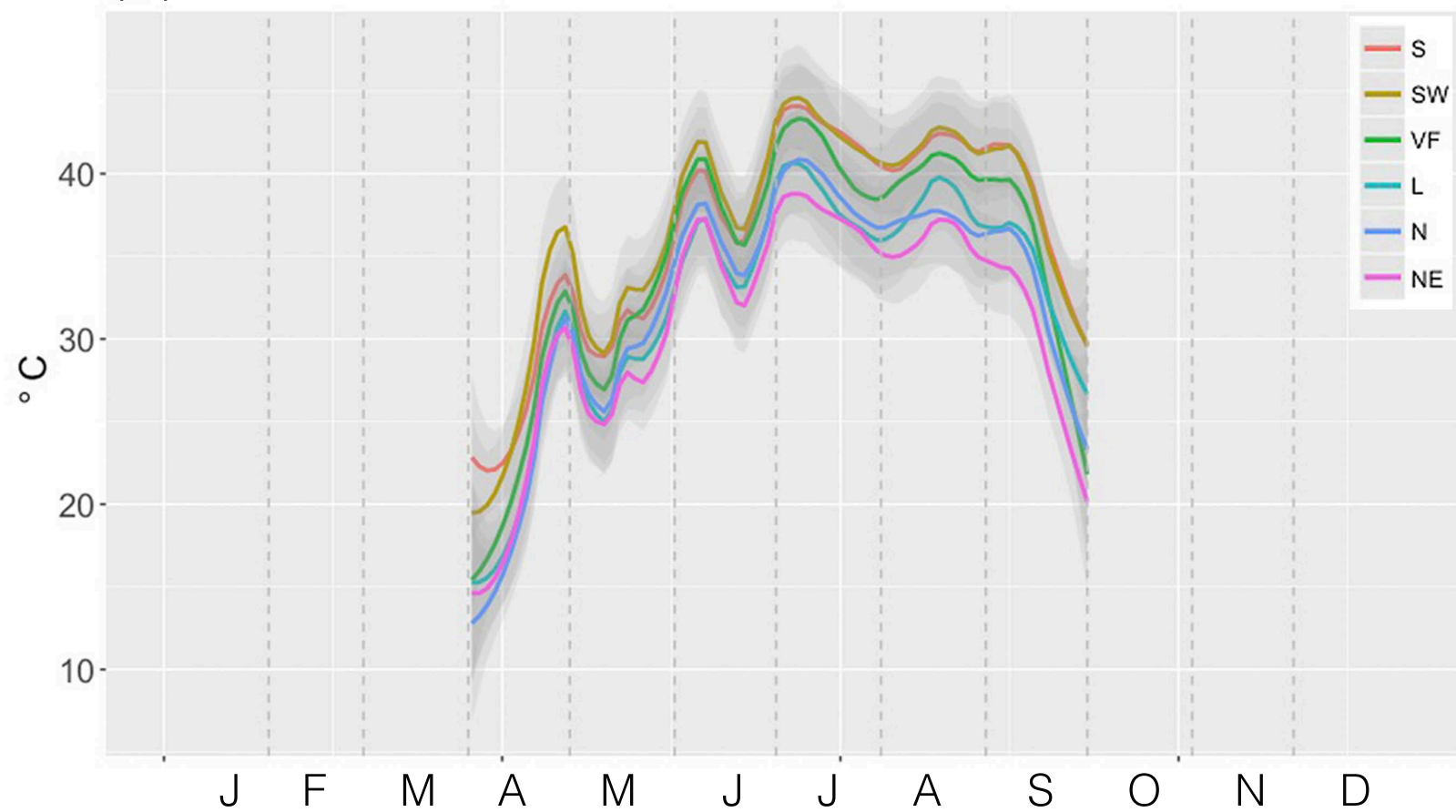


Figure 7

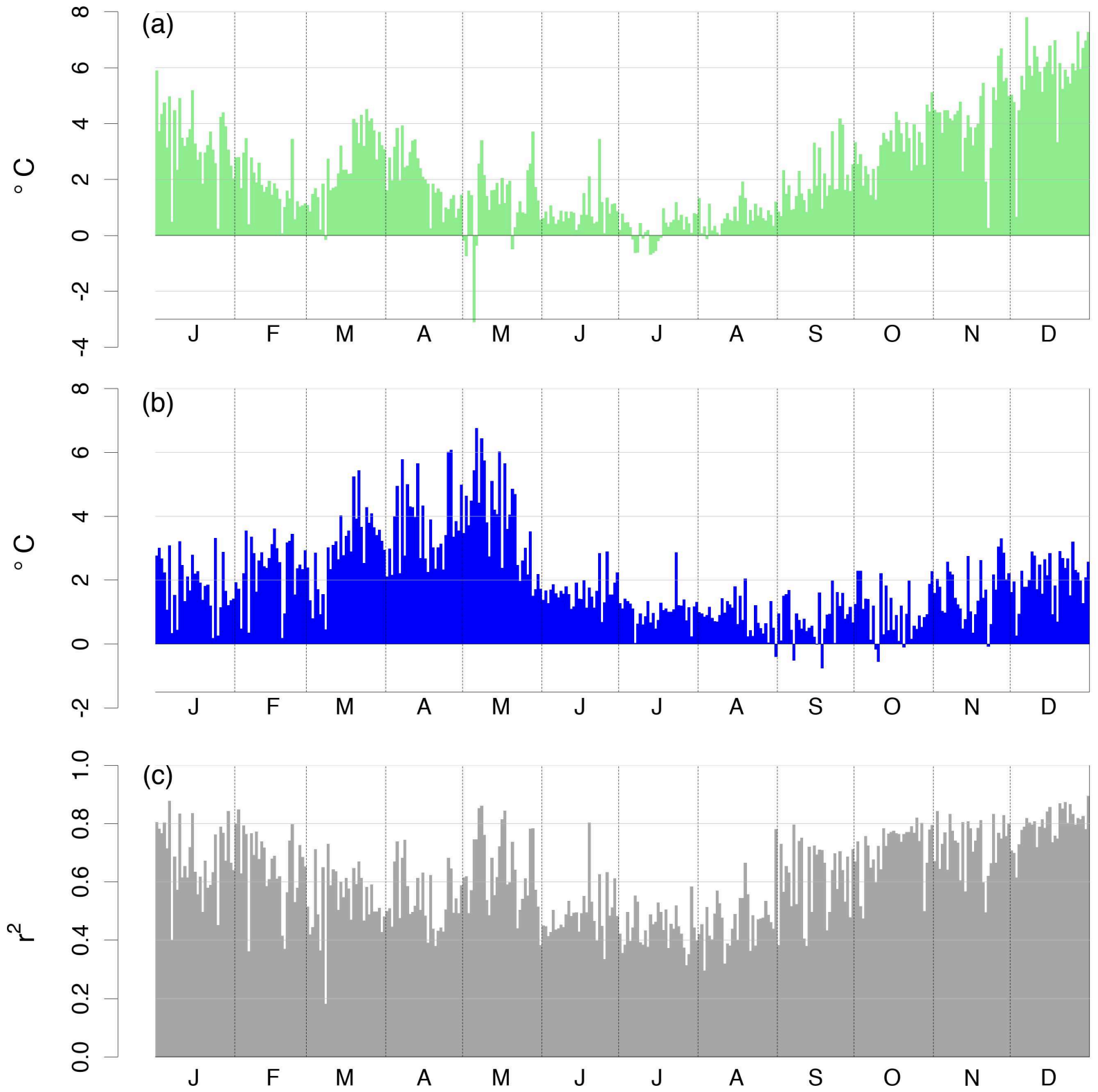


Figure 8

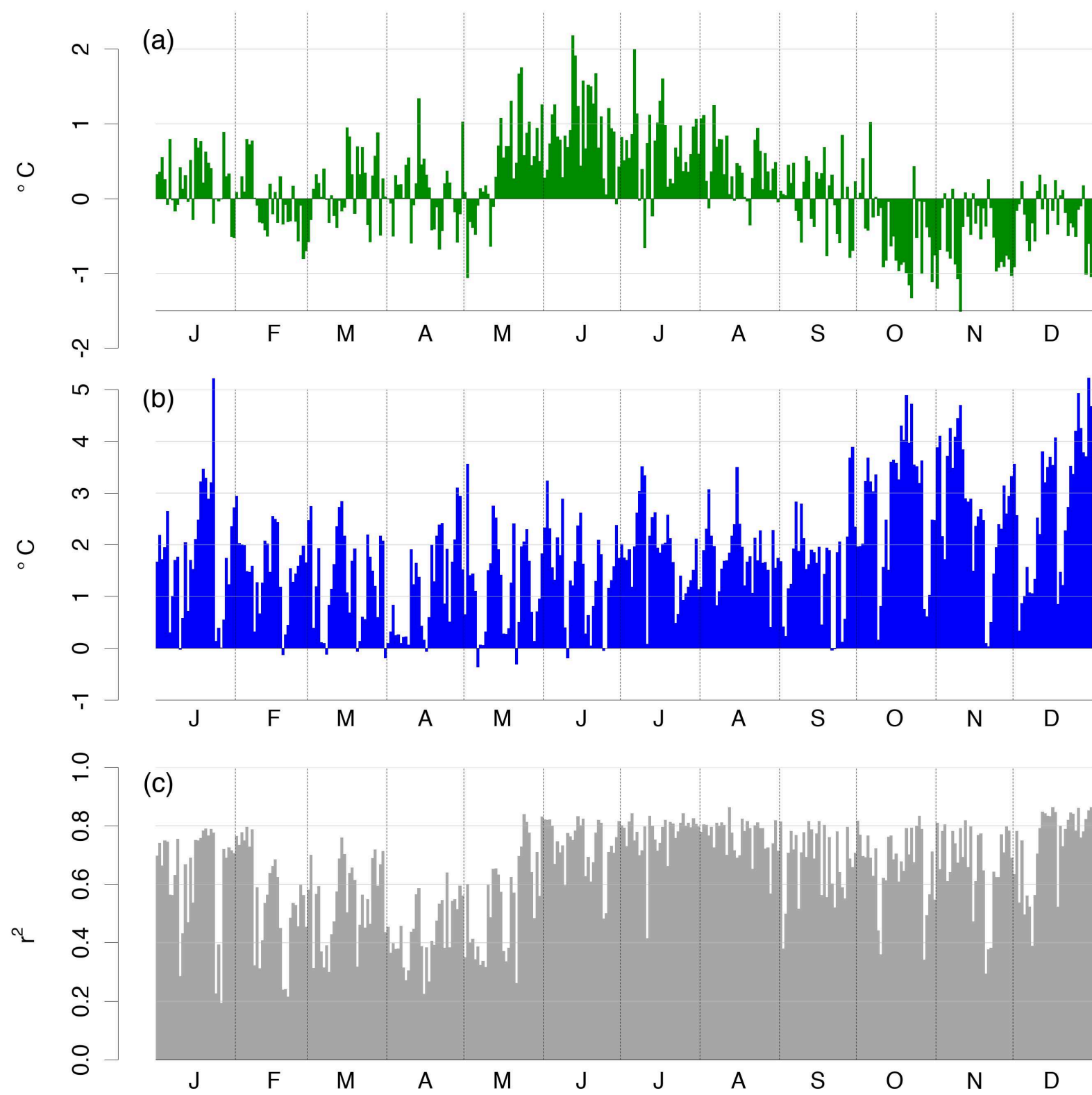


Figure 9

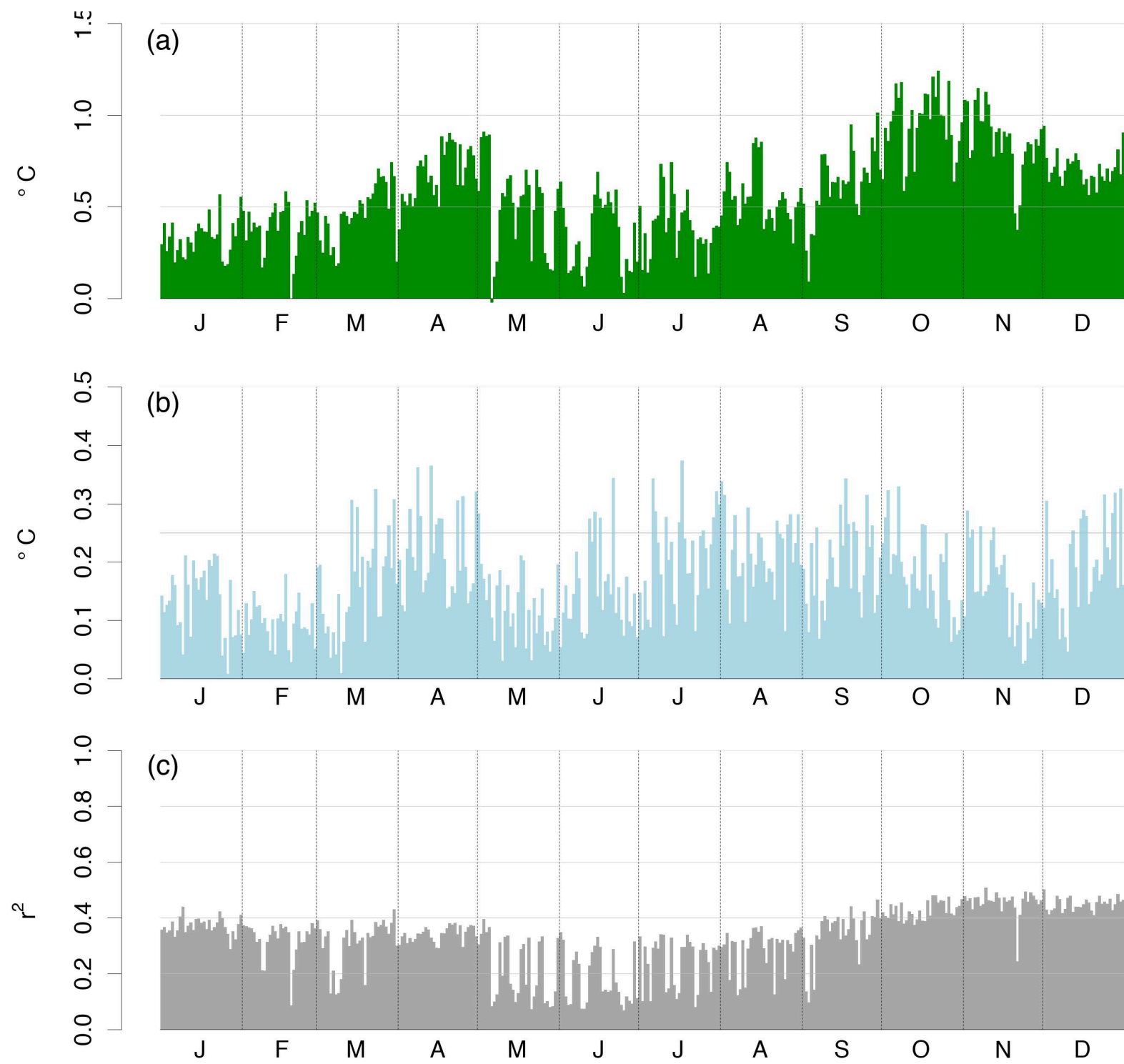


Figure 10

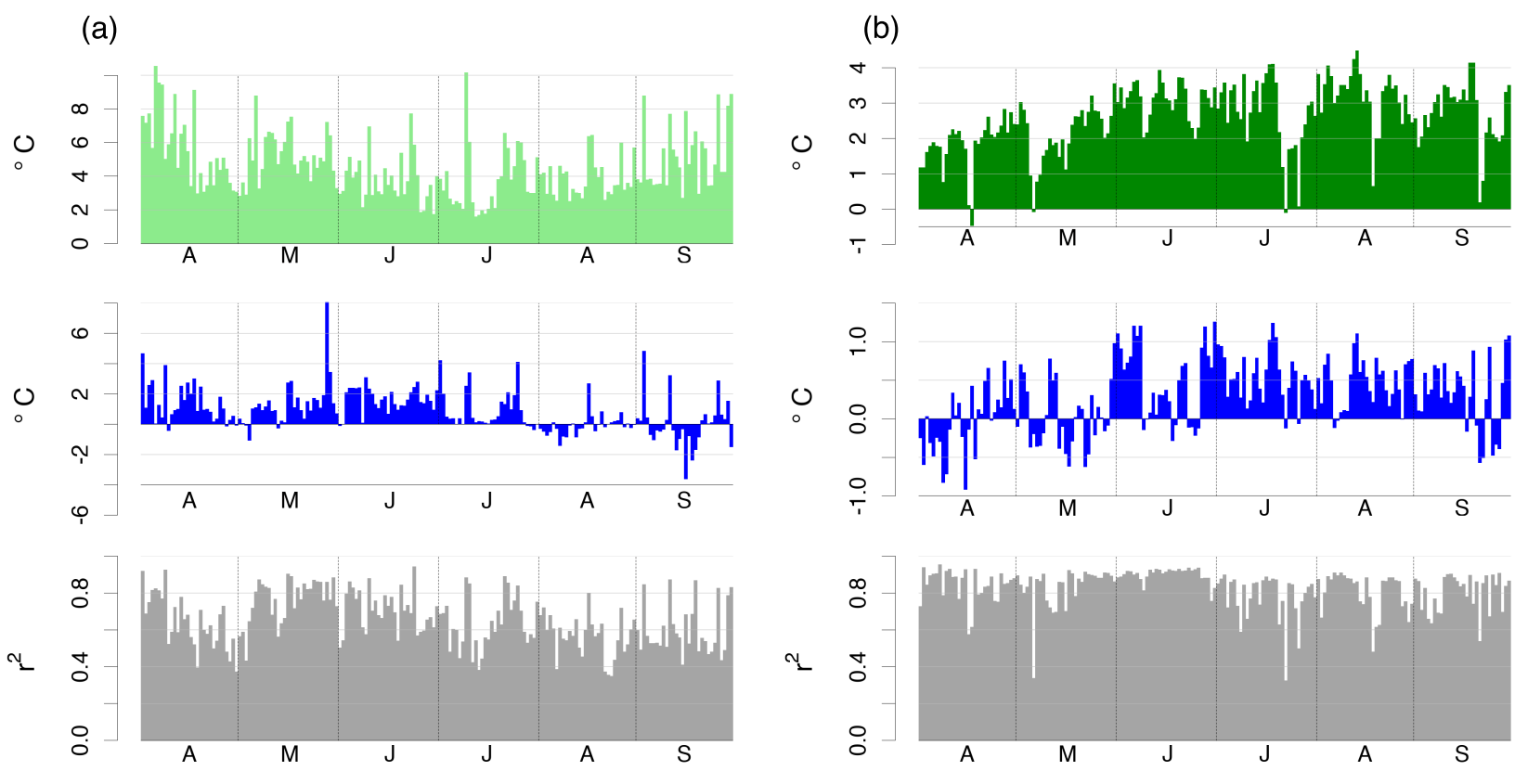


Figure A.1

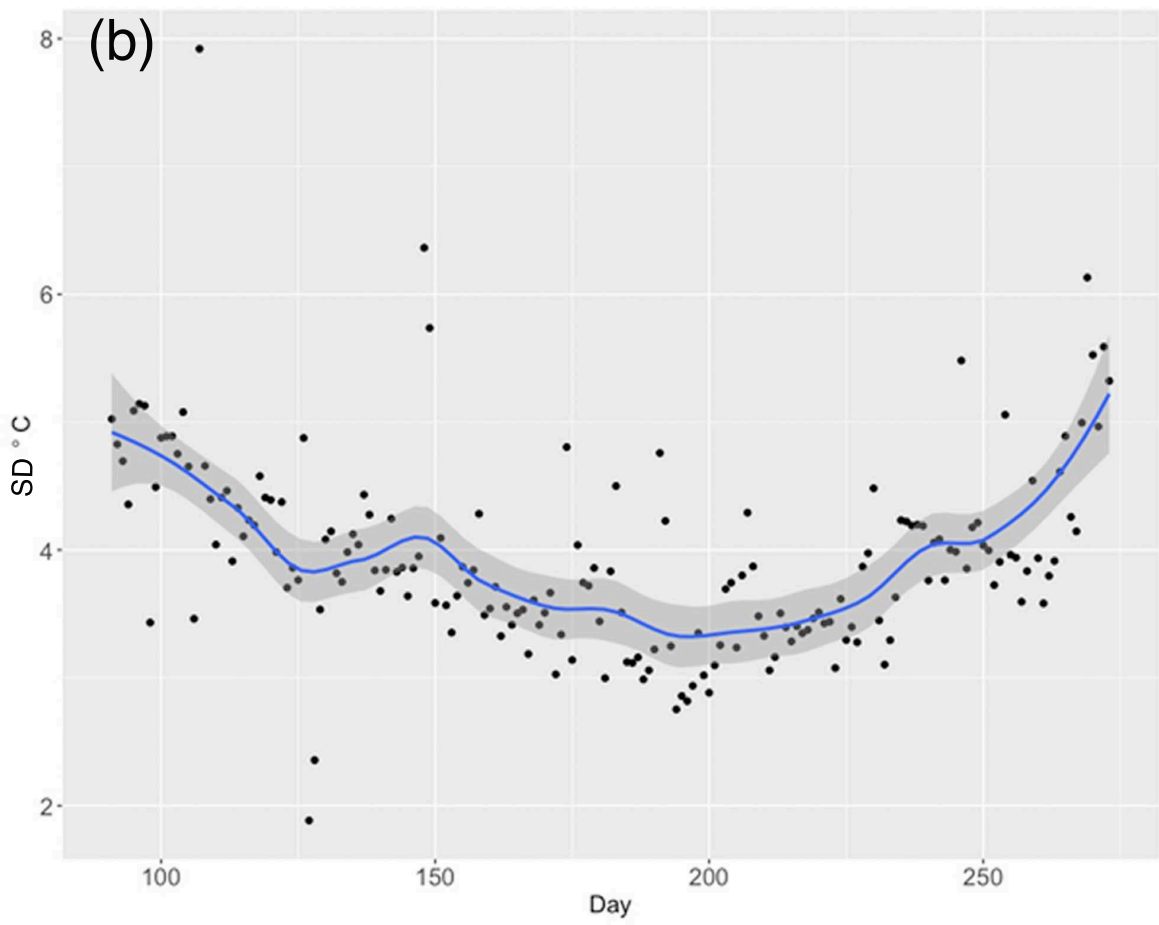
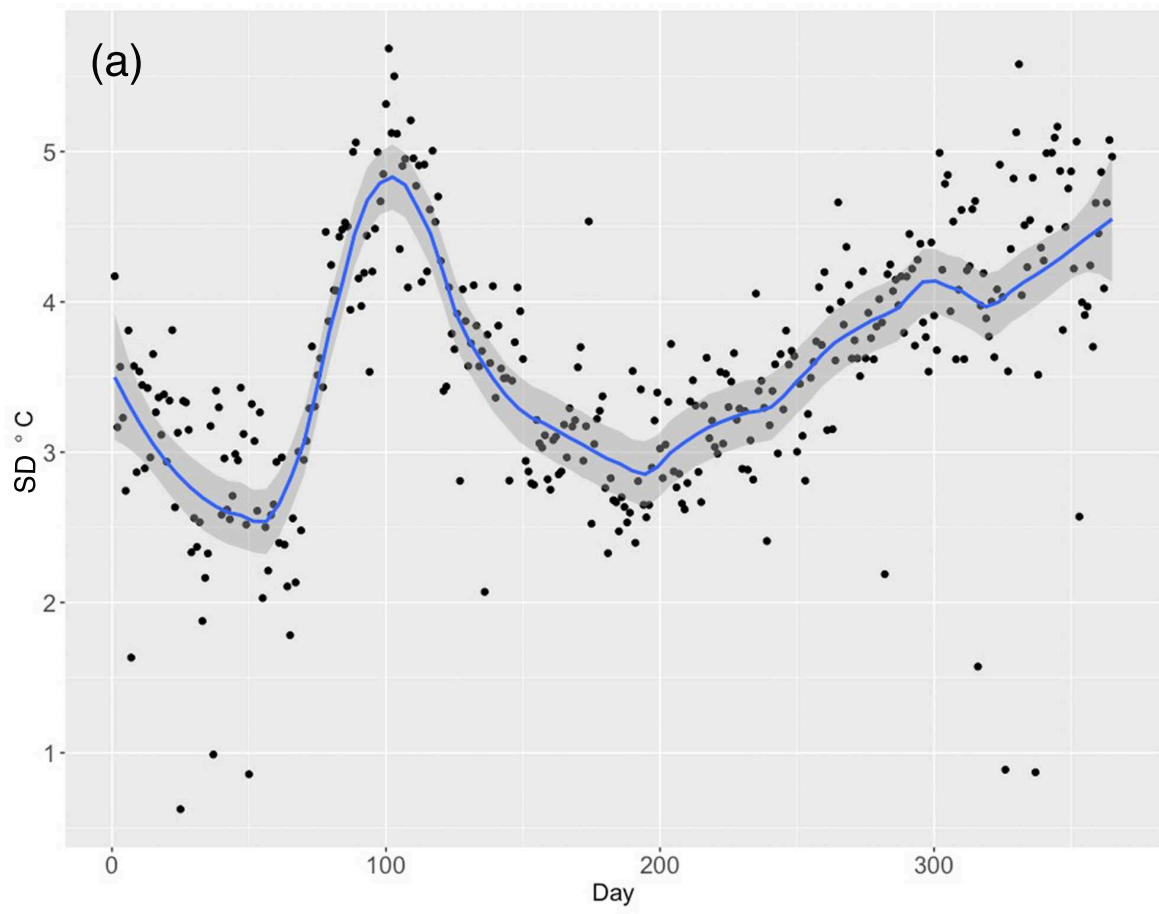


Figure A.2

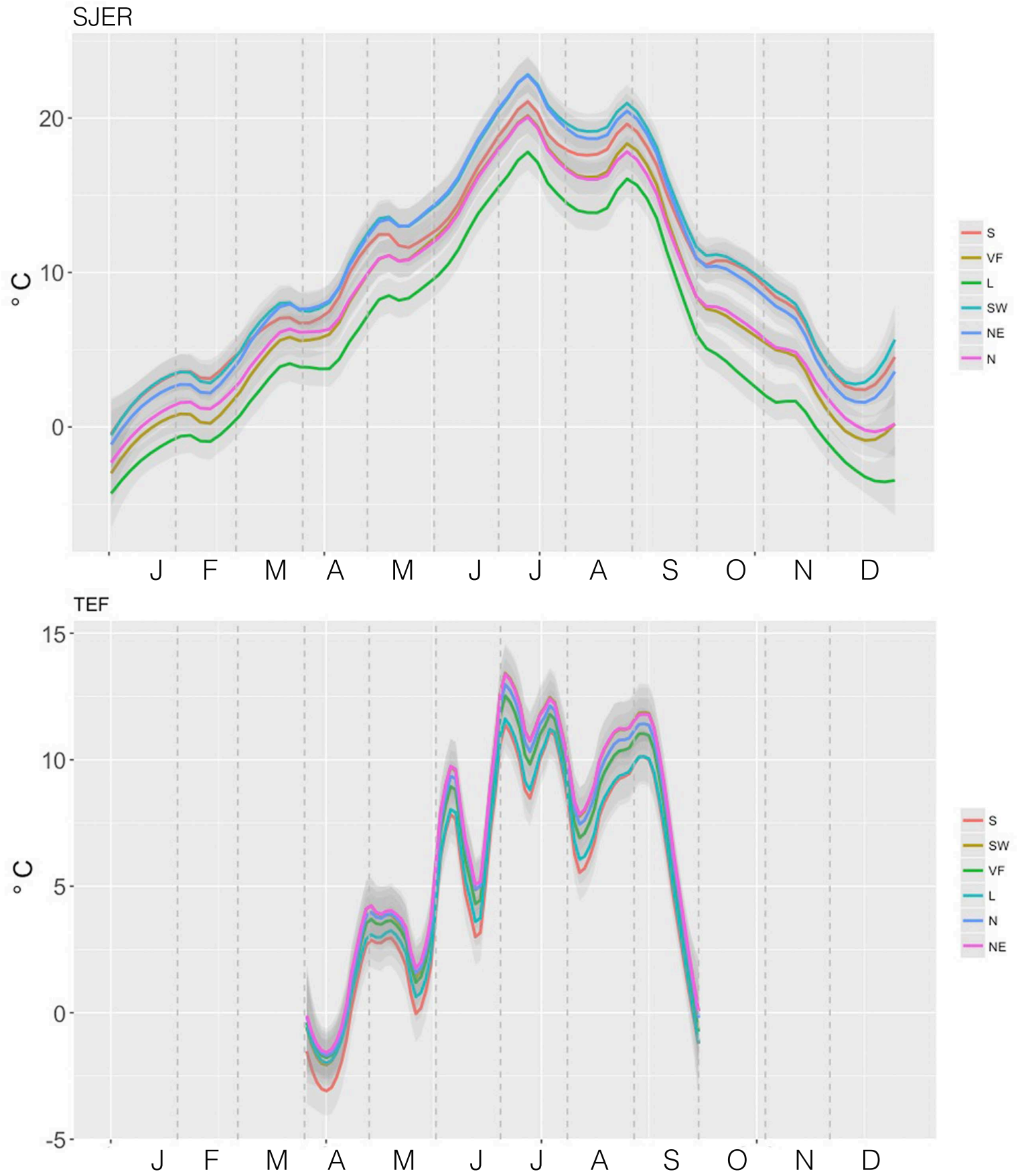


Figure A.3

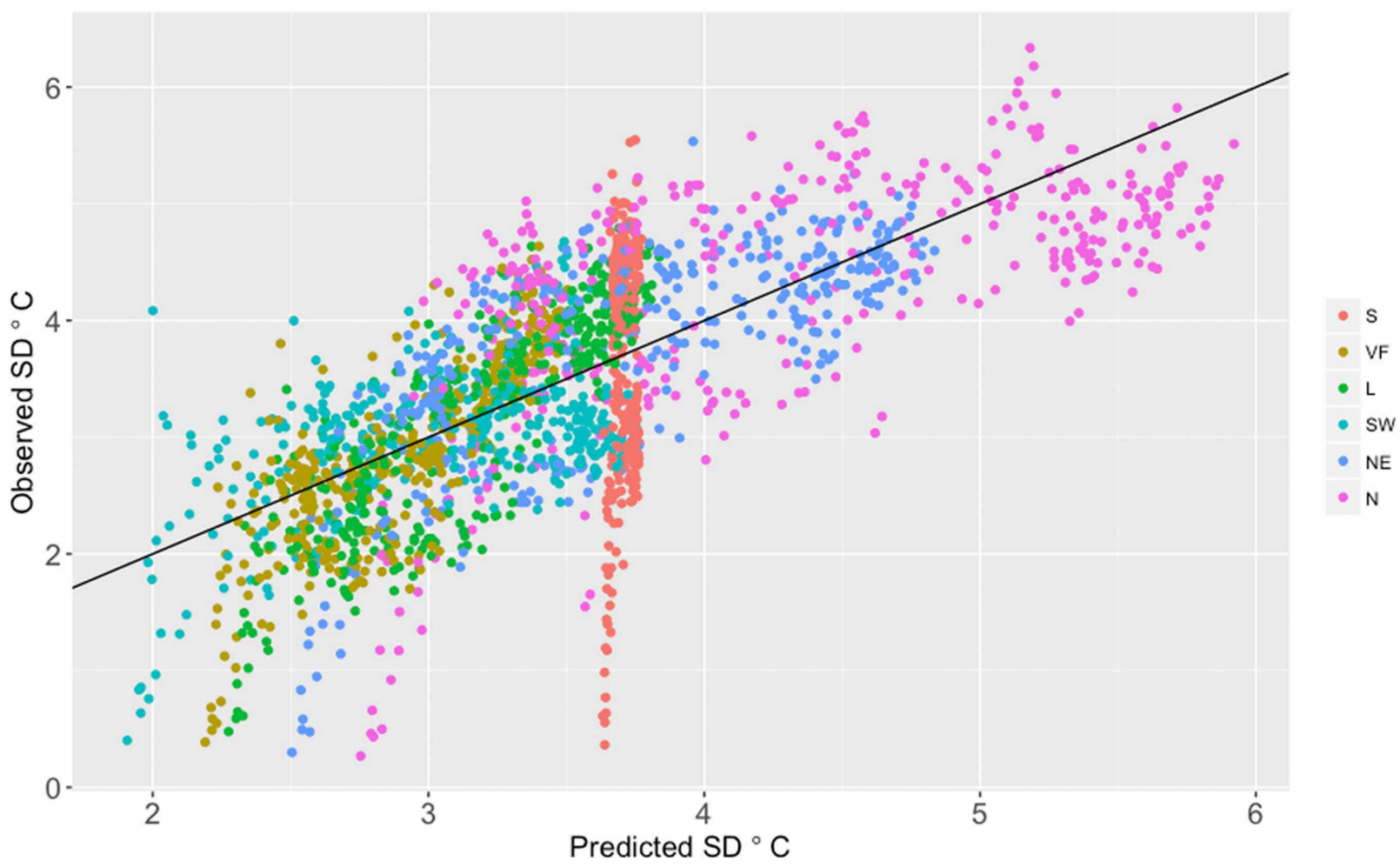


Figure A.4

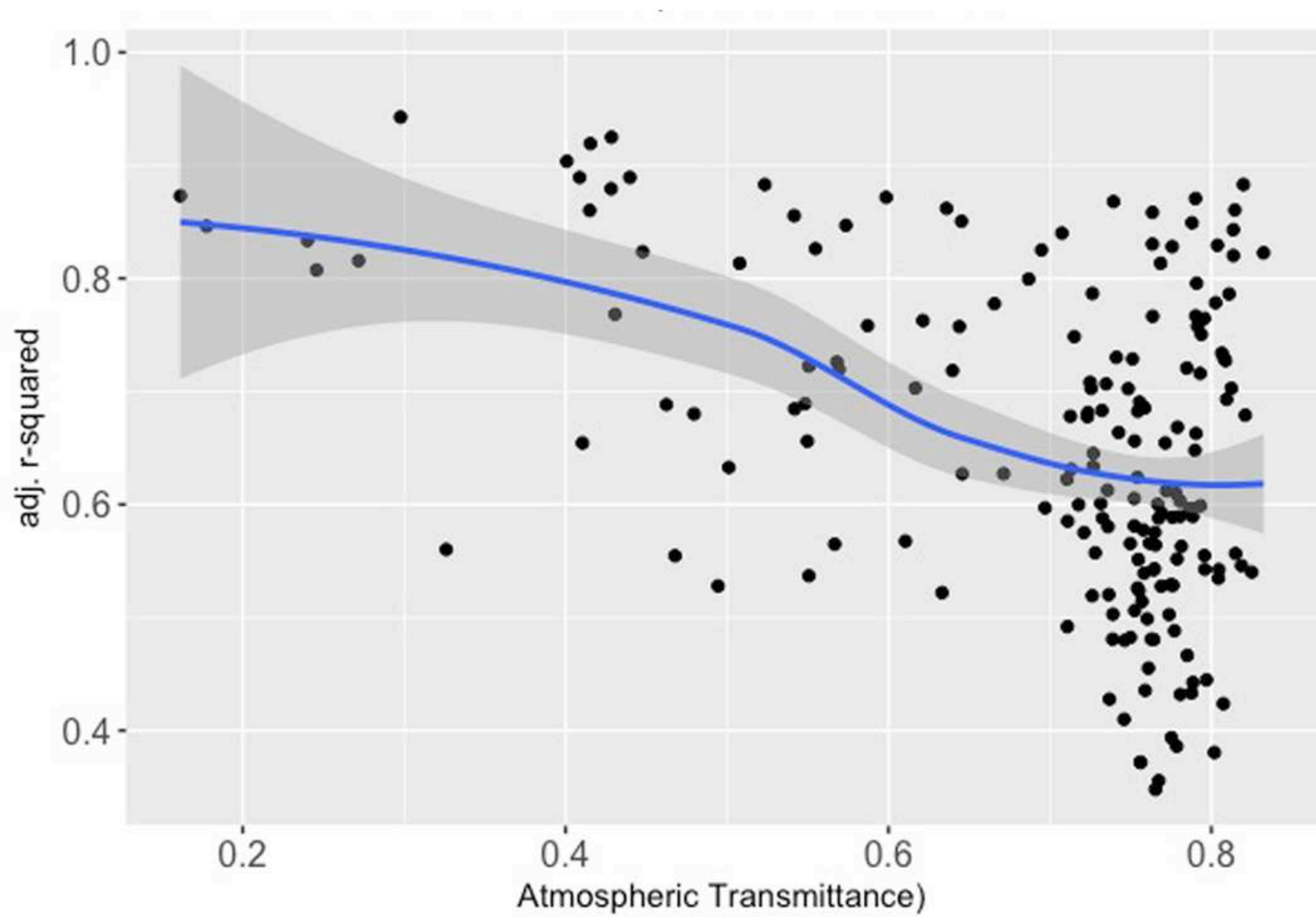


Figure A.5

