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# Delayed GALT Reconstitution in Duodenum Compared to Rectum in HIV-infected Patients Initiating Antiretroviral Therapy

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#### **Abstract**

**Background:** We aimed to characterize the impact of ART initiation on GALT at various sites along the gastrointestinal site.

**Methodology:** Peripheral blood and duodenal and rectal biopsies were obtained from 12 HIV<sup>-</sup> and 33 treatment-naïve HIV<sup>+</sup> subjects at baseline and after 9-months ART. Tissue was digested for immunophenotyping. Inflammatory, bacterial translocation and intestinal damage markers were measured in plasma.

**Results:** Twenty-six HIV<sup>+</sup> subjects completed follow-up. The lowest reconstitution of CD4<sup>+</sup> T-cells and the lowest CD4/CD8 ratio during ART compared to blood were observed in the duodenum with the rectum being either intermediate or approaching blood levels. Regulatory T-

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Competing Interests: In addition to the grant to the University of California Davis funding the clinical trial, DMA serves on advisory/ speakers boards for ViiV, Gilead, Janssen, Merck and Napo Pharmaceuticals and has conducted clinical trials for Pfizer, ViiV, Gilead, Merck, BioNor and EnteraHealth. The remaining authors have declared that no competing interests exist.

cells (Treg) were in higher proportions in the duodenum than the rectum and neither declined significantly during ART. Several correlations with biomarkers of microbial translocation were observed including increases in LTA levels, which reflects gram-positive bacterial translocation, correlated with increases in %CD4<sup>+</sup> T-cells in duodenum (Rho 0.773, P=0.033), and with decreases duodenal Treg populations (Rho -0.40, P=0.045).

**Conclusions:** HIV-mediated immunological disruption is greater in the duodenum than rectum and blood before and during ART. Small intestine damage may represent a unique environment for T-cell depletion, which might be attenuated by interaction with gram positive bacteria.

#### **Keywords**

gastrointestinal associated lymphoid tissue; HIV; immune reconstitution; efavirenz; raltegravir; maraviroc; viral persistence; drug concentrations; rectum; duodenum; ART Tissue Penetration Ratio; small intestines; lipoteichoic acid; zonulin; sCD14; HIV DNA; HIV RNA; T reg cells;  $\gamma\delta$  intestinal T-cells

#### INTRODUCTION

Depletion of mucosal CD4<sup>+</sup> T-cells and alterations in small intestinal function were recognized early in the HIV epidemic<sup>[1–4]</sup>. These functional defects are now believed to contribute to persistent systemic immune activation as a critical contributor to residual morbidity in chronic HIV infection successfully treated with antiretroviral therapy (ART) <sup>[5, 6]</sup>. Unexpectedly, the profound loss of mucosal CD4<sup>+</sup> T-cells that occurs during acute infection in humans and experimental SIV infection is usually not restored by subsequent control of viremia and increased systemic CD4<sup>+</sup> T-cell counts<sup>[7–10]</sup>. Indeed, as with systemic CD4<sup>+</sup> T-cell recovery, there is broad variation in the mucosal recovery of CD4<sup>+</sup> T-cells across patients following the initiation of ART that is independent of their systemic immune reconstitution<sup>[7, 8, 11]</sup>.

There are several hypotheses to account for the discordant recovery of immune cell populations between compartments in the gut and peripheral blood. While low-level residual viral replication detected throughout the gastrointestinal tract could account for local CD4<sup>+</sup> T-cell loss, the ratio of unspliced RNA to DNA is similarly low across all tissue and vascular compartments<sup>[12, 13]</sup>. Nonetheless, whether GALT tissue could represent a sanctuary for HIV replication remains a concern<sup>[14]</sup>. Systemically, an inflammation-induced reduction of critical mucosal homing receptor on CD4<sup>+</sup> T-cells could blunt trafficking to tissue compartments<sup>[15, 16]</sup>. Gut bacteria may also assist the immune system by accumulating proinflammatory mediators or amplification it by, for example, cleaving sialic and dolichol components from enterocytes<sup>[17]</sup>. Finally, lymphoid and lamina propria fibrosis has been demonstrated and is associated with the magnitude of immune reconstitution in clinical studies<sup>[18–20]</sup>. A unifying model to link residual morbidity, increased systemic inflammation/immune activation, and mucosal immune defects that persist despite chronic virologic suppression focuses on gut microbial antigen:mucosal immune function as a nidus for these events<sup>[5, 6, 21]</sup>.

However, whether specific sites along the gastrointestinal tract contribute heterogeneously to this pathologic process is unknown. Several previous reports demonstrate that (a) the duodenum lags behind the rectal mucosa in immune reconstitution following initiation of ART when both sites are sampled cross-sectionally <sup>[12]</sup> or longitudinally <sup>[11, 22]</sup>, (b) that the rectal mucosa achieves immune reconstitution comparable to normal controls over time <sup>[15, 23]</sup>, and (c) that only patients treated during acute but not chronic infection experienced immune reconstitution similar to normal controls in duodenal tissue <sup>[10]</sup>.

This trial was designed to identify possible diverse immunologic factors associated with this delay and to inform future investigations into the clinical consequences of delayed small intestinal immune reconstitution as well as therapeutic interventions to restore normal GALT immune functions.

#### **METHODS**

#### Study design

This pilot randomized controlled trial (RCT) enrolled chronically HIV-infected patients naive to ART with CCR5 tropism by Trofile ES<sup>TM</sup> and a cohort of healthy volunteers (HIV<sup>-</sup>) who were similar to the HIV-infected patients (HIV<sup>+</sup>) in age and lifestyle. HIV-infected participants were randomized to one of three ART regimens after completing baseline endoscopy as previously published<sup>[24]</sup>. In class switch to another NNRTI was permitted for subjects intolerant to EFV. All participants signed an informed consent form approved by the UC Davis Institutional Review Board prior to initiation of study procedures. (Clinicaltrials.gov:).

#### Sample preparation

At baseline and after 9 months of ART, rectal (10–15cm from the anal verge) and duodenal (second and third segments) biopsies were placed immediately in either liquid nitrogen, paraformaldehyde for paraffin-embedding or sterile media for single cell suspension by a single investigator (DMA). Peripheral blood mononuclear cells (PBMC) were isolated using Ficoll-Hypaque<sup>TM</sup> (Pfizer-Pharmacia, New York, NY). Single-cell suspensions generated by collagenase digestion were stained and analyzed by flow cytometry (Becton-Dickinson LSRII) as previously described<sup>[7, 24]</sup> and with the gating strategy as demonstrated in Figure S1. Similarly, immunofluorescent antibody tissue analysis was performed as previously reported<sup>[7, 24]</sup>. The numbers of positive cells were counted by a single observer (Z-MM) and presented as cells/mm<sup>2</sup> of lamina propria.

Plasma Interleukin-6 (IL-6; high-sensitivity kit, R&D Systems), soluble CD14 (sCD14; R&D Systems), high-sensitivity C Reactive Protein (CRP; CardioPhase high-sensitivity kit,-CRP assay, Siemens), and zonulin-1 (ALPCO) levels were assessed according to manufactures' recommendations. Lipoteichoic acid (LTA) was measured as previously published<sup>[25]</sup>. IL-4 was measured by ELISA using the Vascular Injury-II kit (MesoScale Discovery, Gaithersburg, MD)

#### **Statistical Methods**

Paired comparisons assessed using Wilcoxon matched-pairs signed-ranks test and cross-sectional pairwise comparisons between groups were performed using the non-parametric Kruskal-Wallis and Mann-Whitney U tests. Analysis of the magnitude of changes in each parameter across the different compartments were calculated by comparing the ratios between the post-treatment and baseline measurements using Wilcoxon rank tests. We used linear regression analysis to adjust the effect of the study group (independent variable) on the % of activated T-cells (dependent variable) for the %CD4<sup>+</sup> T-cells and to determine the slope of %CD4<sup>+</sup> T-cell and CD4/CD8 ratio change in each compartment. The Spearmanrank correlation coefficient was used to analyze correlations between continuous variables. Results are expressed as estimated means (95% CI) unless stated otherwise. A *P* value of <0.05 was considered statistically significant. As a pilot project designed to explore hypotheses for future investigations, no adjustments for multiple comparisons were included [26]. All statistical analyses were conducted with Stata v.13.0 (StataCorpLP College Station, Texas).

#### **RESULTS**

Thirty-two HIV-infected patients naïve to ART underwent endoscopy for duodenal and rectal biopsies and peripheral blood collection at baseline and 9 months after randomization to efavirenz (EFV) versus maraviroc (MVC) versus MVC plus raltegravir (RAL) (1:1:1), each in combination with a fixed-dose combination of emtricitabine and tenofovir disoproxil fumarate (FTC/TDF) as described in the results of the parent clinical trial<sup>[24]</sup>. Twelve HIV<sup>-</sup> controls with similar demographics to the HIV<sup>+</sup> subjects underwent identical procedures at a single time point, permitting the analysis to examine immunologic reconstitution with respect to what is expected in normal controls. It is noteworthy that these normal controls yielded similar results to our previous study with a different cohort of normal controls<sup>[7]</sup>. Six patients were excluded from the analyses due to loss of follow-up (n=5) or ART change to another class (n=1). Twenty-six patients completed the 9 months of treatment as recently reported in an analysis that focused on the impact of different antiretroviral regimens on immune reconstitution (Table 1)<sup>[24]</sup>. Clinically, all individuals rapidly achieved and maintained HIV suppression (plasma HIV RNA 20 copies/mL).

# Greater impairment in recovery of CD4<sup>+</sup> and CD8<sup>+</sup> T-cells in duodenum compared to blood and rectum

The percent CD4<sup>+</sup> T-cells was depleted in all compartments in HIV<sup>+</sup> vs. HIV<sup>-</sup> individuals (in blood, 28.3% vs. 58.2%; in rectum, 18.9% vs. 63.1%; and in duodenum 6.2% vs. 44.4%, all P values <0.001), and this difference was particularly striking in the duodenum where the ratio with HIV<sup>-</sup> was lowest (0.49, 0.30, and 0.14 for blood, rectum and duodenum respectively)(Table S1). The lowest reconstitution of percent CD4<sup>+</sup> T-cells (median ratio of HIV<sup>+</sup> to HIV<sup>-</sup> after treatment was observed in duodenum: 0.69, 0.62, 0.37 for blood, rectum, and duodenum; P=0.001 for the comparison across compartments), where the slope of increase was the smallest (Figure 1A).

The CD8<sup>+</sup> T-cell subset was expanded in all compartments in HIV<sup>+</sup> vs. HIV<sup>-</sup>, but to a larger extent in the gut (blood, 63.4% vs. 36.7%, rectum, 72.2% vs. 30.9% and duodenum 88.7% vs. 44.2%, all P values <0.001)(Table S1). The percent CD8<sup>+</sup> T-cells significantly decreased during ART, but at the end of follow-up remained more expanded in the gut with respect to blood (median ratio in blood, rectum and duodenum compared with HIV<sup>-</sup>: 1.4, 1.7 and 1.7, P=0.007 for the comparison across compartments). As shown in Figure S2, changes in %CD4+ T cells in gut tissue were not predictive of changes in blood, but significantly correlated between rectum and duodenum. In contrast, changes in duodenal, but not rectal, %CD8+ T cells were more predictive of changes in peripheral CD8+ T cells, and did not correlated between compartments. These findings support the importance of the duodenum in the immunopathogenesis of HIV infection and underline the relevance of addressing different gastrointestinal sites in studies aimed at understanding the mucosal immunology implications of HIV.

Persistence of low circulating CD4/CD8 ratio during ART predicts immune activation and increased mortality in the setting of peripheral CD4<sup>+</sup> T-cell immune reconstitution <sup>[27]</sup>. The greatest CD4/CD8 ratio imbalance in HIV<sup>+</sup> vs. HIV<sup>-</sup>individuals was observed in the duodenum (in blood, 0.43 vs.1.59; in rectum 0.26 vs. 2.04 and in duodenum 0.07 vs. 0.99, all P values <0.001). The CD4/CD8 ratio increased slower in duodenum than in rectum and blood, but still remained substantially decreased in duodenum after 9 months of ART (median CD4/CD8 ratio of HIV<sup>+</sup>/HIV<sup>-</sup>0.5, 0.4 and 0.2, P<0.001 for the comparison across compartments)(Figure 1B). These data indicate that the gut, especially the small intestines, serves as a site for more severe CD4<sup>+</sup> T-cell depletion and CD4/CD8 ratio imbalance during ART.

# T-cell activation is slow to decrease in duodenum compared to blood and rectum and not correlated with systemic inflammation

The subset of activated T-cells is considered of crucial importance as it is targeted for ongoing HIV infection/replication (CD4<sup>+</sup> subset), fuels the inflammatory response and promotes immunosenescence<sup>[28]</sup>. We observed a parallelism between the dynamics of activated T-cells in blood and rectum that was not replicated in the duodenum. In duodenum, the %HLA-DR<sup>+</sup>/CD3<sup>+</sup>/CD4<sup>+</sup> T-cells was not increased at baseline in HIV<sup>+</sup> vs. HIV<sup>-</sup> individuals (52.2% vs. 58.6%, P=0.192), but significantly declined to levels lower than observed in HIV<sup>-</sup> (38.6%, P=0.029)(Table S1). This difference at the end of follow-up between HIV<sup>+</sup> and HIV<sup>-</sup> subjects, however, was not significant when adjusted by the percentage of duodenal CD4<sup>+</sup> T-cells in a linear regression analysis (Coef. -1.09, P=0.852). Likewise, duodenal %HLA-DR+/CD38+/CD8+ showed non-statistically significant higher values in HIV<sup>+</sup> vs. HIV<sup>-</sup> individuals (64.0% vs. 57.0%, P=0.192), but significantly decreased to normal levels during ART (58.3%, P=0.028). Thus, not only did the CD8<sup>+</sup> Tcell frequency decline, but the proportion with an activated phenotype also decreased. T-cell activation reductions were significantly greater in blood compared to rectum and duodenum, with the duodenum being the compartment with the smallest changes during treatment (median fold month 9/month 0 change in blood, rectum and duodenum: CD4+ T-cells; 0.5, 0.6 and 0.8, P=0.016; CD8<sup>+</sup> T-cells; 0.5, 0.8 and 0.9, P<0.001)(Figure 2). These data indicate that peripheral T-cell activation reductions as measured by CD38+/HLA-DR+ co-

expression, exceed those in duodenum or rectum. Indeed, the correlation with peripheral CD8<sup>+</sup> T-cell activation at month 9 was weaker in duodenum (Rho 0.49) than in colon (Rho 0.70) suggesting perhaps that the antigenic stimulation driving the high constitutive levels of mucosal activation are more diverse and less influenced by ART in the duodenum than the rectum.

# Comparison of gamma delta ( $\gamma\delta$ ) and regulatory T-cells subsets in HIV-infected patients at baseline with respect to normal controls and changes during treatment

 $\gamma\delta$  T-cells encompass a lymphoid subset with a distinct T-cell receptor on its surface and are critical to the protection of the host to a variety of outside challenges. This population is most abundant in gut mucosa and mainly resides within the intraepithelial T-cell subset in closest proximity to luminal antigen. We observed three-fold higher frequencies of  $\gamma\delta$  T-cells in blood in HIV<sup>+</sup> at baseline vs. HIV<sup>-</sup> (3.4% vs. 0.9%, P<0.001), but not in rectum or duodenum (Table S1). Further,  $\%\gamma\delta$  T-cells only differed from levels observed in HIV<sup>-</sup> after ART in blood but not in the gut (Figure S3).

We further investigated the role of  $\gamma\delta$  T-cells by exploring the V $\delta$ 1+ and V $\delta$ 2+ subpopulations. This was accomplished by gating  $\gamma\delta$  subpopulations by mean fluorescence intensity (MFI), as it has been shown that V $\delta$ 1+ show low, while V $\delta$ 2+ display high MFI signal<sup>[29]</sup>. While V $\delta$ 2+ cells predominate in blood, the  $\gamma\delta$ T-cell population of the gut mucosa is mainly comprised of V $\delta$ 1+ cells<sup>[29]</sup>. V $\delta$ 1+ T-cells play well-documented roles in enterocyte barrier homeostasis and tumor surveillance<sup>[30]</sup>. In contrast, circulating V $\delta$ 2+ T-cells display enhanced gut-homing potential upon microbial activation and populate intestinal mucosa<sup>[31]</sup>. We observed a striking difference in peripheral blood from predominance of V $\delta$ 1+ T-cells in HIV- to V $\delta$ 2+ T-cells in HIV-infected subjects, with three-fold increased frequencies in HIV+ vs. HIV-, (between group comparison, P=0.005)(Figure S4a). ART did not affect this V $\delta$ 2+/V $\delta$ 1+ imbalance and no differences were observed in the tissue frequencies of these subsets, suggesting that if this subset plays any role in HIV-related gut mucosal immunopathogenesis, it does so primarily at a functional level.

Regulatory T-cells (Treg) are increased in HIV infection, but their mechanism for contributing to HIV immunopathogenesis is controversial [32, 33]. While the baseline frequency of peripheral Treg was similar in HIV+ cohorts versus HIV-, we observed 1.5-fold and 4-fold increased levels at baseline in rectum and duodenum in HIV+ compared to HIV- (p=0.052 and p<0.001, respectively)(Figure 3). During treatment, there was a significant decline of the Tregs in the blood from 9.1% to 7.5% (p=0.04) but not in the rectum or duodenum. GALT levels remained significantly higher after 9-months of ART relative to HIV-. As with other immunologic parameters, this appeared more discordant in the duodenum.

# Association of changes in immune cell populations with biomarkers of inflammation and intestinal damage

Biomarkers of inflammation, intestinal damage, and microbial translocation were measured on plasma samples. IL-6, sCD14, and LTA declined under ART from baseline to 9-months of treatment (P=0.032, 0.035, 0.028 and <0.001, respectively)(Figure 4). Zonulin-1, a tight

junction protein that reflects enterocyte integrity, and interleukin-4, a mediator of TH2 response did not change significantly from baseline [34]. To determine whether circulating and intestinal immune restoration were associated with less inflammation and gut damage, we then calculated the correlations between changes in CD4+ and CD8+ T-cells in each compartment and changes in the plasma biomarkers (IL-4, IL-6, sCD14, LTA, and zonulin-1) in the HIV+ group. Increases in %CD4+ T-cells in rectum were associated with decreases in sCD14 levels (Rho -0.636, P=0.047), reflective of lipopolysaccharide (LPS)-induced monocyte activation. Increases in LTA levels, which reflects gram-positive bacterial antigen translocation, correlated with increases in %CD4+ T-cells in duodenum (Rho 0.773, P=0.033), and with decreases in rectal V82+ populations (Rho -0.717, P=0.029) and duodenal Treg populations (Rho -0.40, P=0.045) with no significant correlations detected for changes in mucosal %CD8+ T-cells. These exploratory findings suggest that regulation of duodenal mucosal immune cells may be positively influenced by translocation of by-products from gram-positive bacteria, indicating that the consequences of bacterial translocation might be determined by the nature of the translocated products.

We did not detect significant correlations between changes in T-cell activation phenotypes in blood or GALT and changes in IL-6, sCD14, LTA or zonulin-1 concentrations. In sum, CD4<sup>+</sup> T-cell recovery in the rectum correlates with decreased sCD14 consistent with less Gram-negative bacterial translocation, yet CD4<sup>+</sup> T-cell recovery in the duodenum is associated with Gram-positive bacterial translocation, which suggests that the gut microbiome may be shifting towards anti-inflammatory Gram-positive organisms.

#### DISCUSSION

These results demonstrate that a more blunted reconstitution in the duodenum than the rectum is observed after 9-months of ART achieving only 38.9% compared to 61.3% of normal control proportions of CD4<sup>+</sup> T-cells in GALT tissue from the duodenum and rectum, respectively. The 'hyper-infiltration' of CD8<sup>+</sup> T-cells into the duodenum that we identified in a previous clinical trial was again observed here<sup>[7]</sup>. CD8<sup>+</sup> T-cell percentages declined significantly in all three compartments, but the changes were in parallel in the blood and rectum from baseline to 9-months on ART, while the decline in the duodenum was to a lesser degree. This suggests that the diverse antigens driving the homing of CD8<sup>+</sup> T-cells to mucosal tissue are modestly impacted by viral suppression in the duodenal tissue while rectal and the peripheral blood compartments appear in equilibrium with each other.

In the only previous clinical trial that simultaneously sampled both upper and lower intestinal compartments but in a cohort with symptomatic gastrointestinal disease, Cassol *et al.* observed that the percentage of CD4<sup>+</sup> T-cells increased in parallel in the blood and rectum after 6 months of NNRTI/NRTI treatment in a cohort of AIDS patients in South Africa<sup>[11]</sup>. However, the proportion of CD4<sup>+</sup> T-cells in the duodenum did not change over that same 6-month period. The additional immunologic cellular subsets examined here provide insights into potential interactions between these parameters.

The present study was able to expand on the profile of GALT lymphoid populations to include an analysis of the CD4/CD8 ratio, lymphocytes with an activated phenotype,  $\gamma\delta$ 

cells, and Treg populations. CD4/CD8 ratio has regained attention as an indicator of immune reconstitution<sup>[27]</sup>. The CD4/CD8 ratio increased the most in the blood and rectum and achieved the same ratio of 0.76 after 9 months of treatment. However, the recovery of CD4/CD8 ratio follows a separate trajectory in the duodenum, and still remains remarkably depressed at 0.22 after 9 months of ART. This pattern of recovery suggests that over time the large intestines immune populations achieve equilibrium with the peripheral blood as has been reported previously in patients on long-term suppressive ART (mean of 28 months)<sup>[23]</sup>. The activated CD8<sup>+</sup> T-cell proportions fell in all three compartments with the largest declines in the blood and rectum and least in the duodenum. The small decline in the duodenum suggests ongoing immune stimulation in the duodenum. These data suggest that homing pathways stimulating CD8<sup>+</sup> T-cell migration to the lamina propria may be important targets of investigation.

 $\gamma\delta$  T-cells are located primarily in the intraepithelial layer on the luminal side of the basement membrane and are believed to be an important source of innate antimicrobial factors that protect against invasion by bacterial pathogens<sup>[35]</sup>. Interestingly, statistically significant higher levels were observed in the peripheral blood before and after HIV treatment compared with normal controls. The increased proportion of  $\gamma\delta$  cells in the peripheral blood may represent a persistent state of proinflammatory hyperactivity with IL-4 and IL-10 production seen in other chronic infections such as malaria where persistently elevated  $\gamma\delta$  cells is associated with symptomatic clinical disease during reinfection<sup>[36]</sup>. Indeed, we observed increased frequencies of the V $\delta$ 2+  $\gamma\delta$  subset, which have been demonstrated to increase in response to intestinal bacterial species activation and enhances gut-homing potential<sup>[31]</sup>.

In contrast, analysis of Treg subsets in peripheral blood and intestinal mucosa revealed a much more provocative insight into the immunopathology of these compartments, especially the duodenum. There exists significant literature exploring the role of Tregs in the pathogenesis of HIV disease<sup>[21, 37]</sup>. 'Classically', Treg CD4<sup>+</sup> cells are believed to exert an anti-inflammatory role but in HIV, they are elevated and are associated with disease progression. In the intestines in particular, they exist in a reciprocal relationship with TH17 cells that participate in epithelial integrity such that high Treg/TH17 ratios correlate with microbial translocation. Perhaps due to persistent stimuli from viral, bacterial or other processes, suppressive ART did not normalize Treg populations in either tissue compartment. Future studies will need to address the cytokine production and functional status of this population of cells to better understand their potential role in HIV mucosal immune deficiency.

The clinical implications of delayed CD4/CD8 recovery in the small intestines are unknown. However, different sites across the body represent unique niches for HIV biology. For example, the sequestered sites protected by B-cell follicles may represent a focus for the HIV reservoir<sup>[38, 39]</sup>. Similarly, the data presented here supports the hypothesis that the small intestine represents a unique site with respect to immune deficiency and the dynamics of immune reconstitution. The mechanisms behind the severe CD4<sup>+</sup> T-cell depletion, other perturbations in immune populations and the delayed recovery following ART initiation are unknown, but important clues are provided by the research reported from this clinical trial.

Given the exploratory study design, our results must be interpreted cautiously. A number of potential uncontrolled confounders, including the extent of pre-treatment of immune suppression or the effects of the ART regimen used could have affected our results<sup>[24]</sup>.

In the same cohort of patients, we have recently described the correlations between antiretroviral drug tissue penetration and HIV RNA/DNA persistence<sup>[40]</sup>. We did not find evidence for a significant contribution of residual HIV replication in the tissue compartments for persistent CD4<sup>+</sup> T-cell depletion even though drug tissue distribution was similar for the multiple classes of ART measured in this RCT. While our negative data do not exclude a potential contribution to ongoing HIV replication as others have reported in mucosal tissues <sup>[12,41]</sup>, the robust penetration of these antiretroviral drugs into these highly vascularized tissues does weighs in favor of other local factors playing a more dominant role, namely, bacterial translocation or mesenteric lymph node fibrosis, which may explain the heterogeneous immune restoration along the GI tract.

While plasma levels of LPS, the pro-inflammatory gram-negative cell wall antigen have been well characterized in HIV literature with inconsistent results, the gram-positive cell wall component, LTA has not been explored in response to HIV treatment up to now. The observation that LTA positively correlated with increases duodenal %CD4+ T-cells, peripheral %CD8+ T-cells and with duodenal %Treg decline is very provocative and will require further characterization to fully understand. Our findings, coupled with systemic CD4+ T-cell immune reconstitution correlating with zonulin levels as a measure of enterocyte integrity, further support that hypothesis that restoration systemic immune function depends on restored mucosal immune function. However, given the exploratory nature of our study, these correlations must be interpreted as hypothesis-generating. Given the wide spectrum of pathogenicity of gram-positive bacteria in the gut, including bacteria with known beneficial immune properties such as bifidobacterial, to clear pathobionts, such as *Staphylococcus aureus*, defining the associations of specific mucosal-adherent bacteria with mucosal T cell subsets is one of our future directions.

In conclusion, this study indicates that HIV-mediated immunological disruption is greater in the duodenum than rectum and blood before and during ART. Small intestine damage may represent a unique environment for T-cell depletion possibly related to gut microbe:mucosal immunological interactions. Future studies should examine both the tissue adherent microbial communities as well as their metabolic products in order to define novel strategies to enhance GALT reconstitution.

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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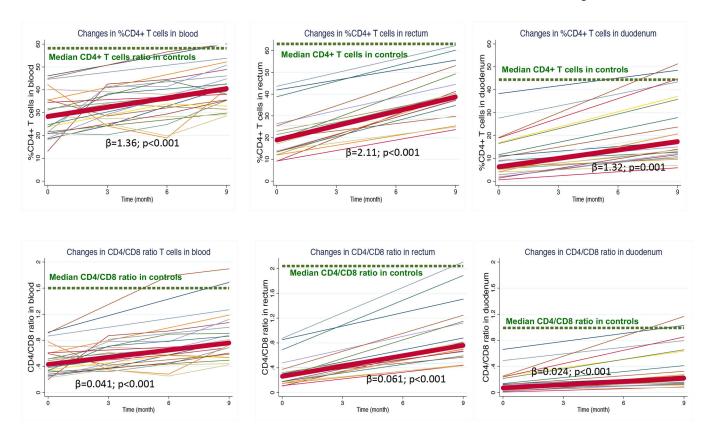


Figure 1. (A) Changes in %CD4+ T-cells. (B) Changes in CD4/CD8 ratio. Cross-sectional values in controls are represented by a dashed green line). Individual trajectories of values derived from HIV-infected participants are represented by thin color lines, and mean values are illustrated by a thick red line. The coefficient of slope (β) ant its P value was calculated by regressing the %CD4+ T-cells over time.

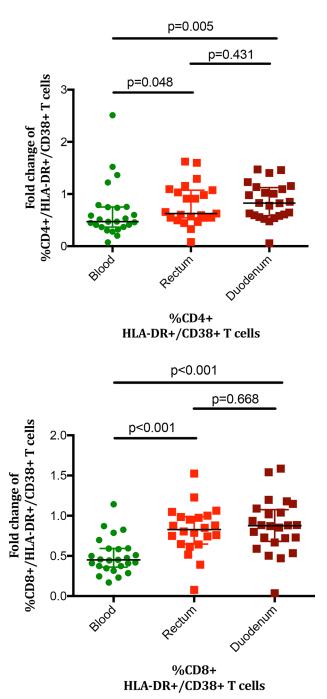
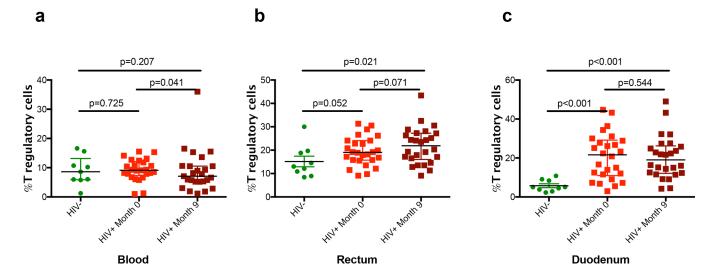


Figure 2. Changes in proportions of (A) CD4+ and (B) CD8+ T-cells with the activated phenotype compared with baseline activation levels.

No change from baseline to the 9-month measurement would result in a fold change of 1. ART had minimal impact on T-cell activation level changes in GALT P values were calculated using the Mann Whitney's U test.



**Figure 3. Percentage of T regulatory cells across compartments.**P values between HIV- and HIV+ groups were calculated using the Mann Whitney's U test.
P values between month 0 and month 9 time-points in the HIV+ group were calculated using the Wilcoxon signed-rank test.

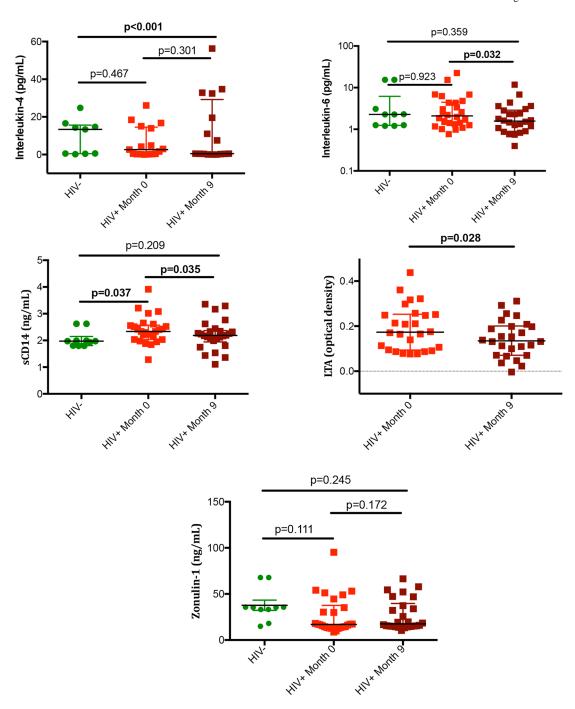


Figure 4. Soluble biomarkers of inflammation and intestinal damage.

Plasma levels of IL-4, IL-6, sCD14, LTA, and zonulin-1 are represented. P values between HIV- and HIV+ groups were calculated using the Mann Whitney's U test. P values between month 0 and month 9 time-points in the HIV+ group were calculated using the Wilcoxon signed-rank test. LTA levels could not be measured in controls due to insufficient plasma volume.

Zonu, w0 N=26

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TABLE 1.

General characteristics of the study population.

	HIV-uninfected controls (n=12)	HIV-infected (n=26)
Age (years, IQR)	35 (29, 42)	37 (25, 42)
Male gender (No., %)	7 (58%)	22 (85%)
Race (No., %)		
Asian	1 (8.3%)	2 (7.7%)
Black	1 (8.3%)	10 (38.4%)
Caucasian	9 (55.0%)	12 (46.1%)
Pacific Islander	1 (8.3%)	1 (3.8%)
Hispanic	0 (0%)	1 (3.8%)
CD4 <sup>+</sup> T-cell count (cells/mm <sup>3</sup> , IQR)	NA	436 (283, 572)
CD4/CD8 ratio (IQR)	1.6 (1.2, 2.6)	0.43 (0.33, 0.60)
HIV RNA Level (copies/mL, IQR)	NA	14130 (4059, 34009)