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1   Dynamics of Creeping Landslides Controlled by Inelastic Hydro-Mechanical Couplings

2

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8

9   **Abstract**

10   Slow-moving landslides affect proximal infrastructures and communities, often causing extensive economic loss.

11   While many of these landslides exhibit slow and episodic sliding for decades or more, they sometimes accelerate

12   rapidly and fail catastrophically. Although it is known that the landslide dynamics are controlled by hydro-mechanical

13   processes, few analytical models enable a versatile incorporation of the inelastic behavior of the shear zone materials,

14   thus hindering an accurate quantification of how their properties modulate the magnitude and rate of coupled fluid

15   flow and landslide motion. To address this problem, we develop a simulation framework incorporating rainfall-

16   induced, deformation-mediated pore-water pressure transients at the base of active landslides. The framework involves

17   the computation of two sequential diffusion processes, one within an upper rigid-porous landslide block, and another

18   within the inelastic shear zone. Although the framework can be linked to any elastoplastic constitutive laws, here we

19   model landslide motion through an elastic-perfectly plastic frictional model, which enables us to account for standard

20   properties of earthen materials such as elastic moduli, friction angle, dilation angle, and hydraulic conductivity.

21   Numerical case studies relevant to slow-moving landslides in the California Coast Ranges show that the proposed

22   formulation captures different temporal patterns of movement induced by precipitation. In each of the case, we

23   achieved a relatively accurate match between data and simulations by incorporating positive dilation coefficients,

24   which leads to spontaneous generation of negative excess pore-water pressure and self-regulating motion. Conversely,

25   simulations with no dilation (hence, reflecting the approach of critical state) produce sharp acceleration, typical of

26   catastrophic runaway acceleration. Our findings encourage the use of the proposed framework in conjunction with

27   constitutive laws tailored to site-specific geomaterial properties and data availability, thus favoring a versatile

28   representation of the variety of creeping landslide trends observed in nature.

29

30

31   **Key words**: *slow-moving landslides, hydro-mechanical coupling, rainfall infiltration, constitutive models*

1. Introduction

Slow-moving landslides are phenomena which unfold over several years, often even decades, with major implications for the serviceability of infrastructures, the safety of communities, and the local economy (Nappo, et al., 2019; Lacroix et al., 2020). Most slow-moving landslides deform at low rates (< 1 m/year) but can cause severe damage over time (Mansour et al., 2011). Some of them can even experience catastrophic acceleration and lead to fatalities (Voight, 1978; Hendron and Patton, 1985). The mobilization of these landslides is governed by environmental factors, such as precipitation, which acts to modulate the effective stress conditions in the slope (Petley et al., 2005; Cascini et al., 2010; Oberender and Puzrin, 2016). Infiltration of water leads to pore-water pressure development, which decreases the effective normal stress and reduces the frictional strength of the landslide material. As an outcome, these types of landslides normally accelerate in the wet season and slow down or arrest in the dry season (Hilley et al., 2004; Cascini et al., 2010; Handwerger et al., 2013).

In conjunction with frictional shear modulated by rainfall infiltration, downslope sliding is also mediated by the tendency of geomaterials to undergo inelastic volume change. This volume change can alter fluid flow and pore-water pressure development under certain conditions (Belmans et al., 1983; Wu et al., 2016; Song et al., 2020). The role of volumetric deformation on the sliding behavior has been extensively documented through field and laboratory measurements (Iverson et al., 2000; Moore and Iverson, 2002; Agliardi et al., 2020) and numerical models (Iverson 2005; Soga et al., 2016; Bandara et al., 2016). These studies have shown that the interaction between water infiltration and material volume change (hydro-mechanical coupling) may play an important role in governing the dynamics of slow-moving landslides.

54   A variety of methods exist to model these hydro-mechanical couplings. Such approaches account

55   for shear zone inelasticity in the coupled field equations solving for pore fluid mass and momentum

56   balance (Zienkiewicz et al., 2000; McDougall and Hungr, 2004; Soga et al., 2016). Although these

57   methods can be used to study landslides of any morphology and kinematics, most have relatively

58   high computational costs, especially if a seamless link between slow hydrologic triggering and

59   rapid post-failure movements is desirable and their dynamics unfolds over long time, of the order

60   of years or decades. In order to reduce the computational costs of full-fledged numerical methods,

61   analytical techniques can be used to identify the mechanisms underpinning landslide motion and

62   explain the role of the mechanical properties of the shear zone material. For example, early

63   contributions by Hutchinson (1986) emphasized the crucial relation between the dissipation of

64   excess pore-water pressure (i.e., consolidation mechanisms) and the dynamics of downslope

65   sliding. Such models, formulated to describe liquefiable soils displaying positive feedbacks

66   between deformation and pore-water pressure growth, led to a sliding-consolidation framework

67   able to infer landslide velocity and runout for a variety of initial conditions. Despite its many

68   benefits, this approach does not account for the inelastic deformation of the materials during

69   liquefaction, and therefore cannot explicitly resolve the triggering of a landslide and its role on the

70   onset of catastrophic motion (Buscarnera and Whittle, 2012). A more profound link between

71   landslide triggering and post-failure movement was later proposed by Iverson (2005), whose

72   seminal work encompassed excess pore-water pressure change through basal flux boundary

73   conditions mediated by material dilation/contraction. However, this model too did not fully resolve

74   the effect of inelastic mechanisms mediated by the stress-strain nonlinearity, in that excess pore-

75   water pressure dissipation was modeled via poroelastic protocols based on a constant diffusion

76   coefficient. This assumption conflicts with the notion that inelastic deformation can lead to major

77 alterations of the shear zone diffusivity (Rice, 1975; di Prisco et al., 2015; Chen and Buscarnera,

78 2021). These coupled effects render the process inherently poroplastic, which implies that a stress-

79 strain constitutive law is necessary to quantify the timescale of excess pore-water pressure

80 dissipation within the shear zone, as well as the magnitude and rate of landslide motion resulting

81 from inelastic deformation.

82 In this paper, we account for inelastic deformation during the entire life cycle of landslide motion

83 by developing a sliding-consolidation framework enabling the straightforward use of constitutive

84 laws with any desired level of sophistication. Our approach ensures readily deployable, low

85 computational cost simulations and is based on that developed by Chen and Buscarnera (2022).

86 Specifically, the framework proposed here resolves rainfall infiltration within the active sliding

87 block, thus connecting ground surface precipitation to the deformation dynamics. For this purpose,

88 the model involves two sequential diffusion processes, one taking place within a layer of rigid-

89 porous landslide material (which serves as hydrologic forcing), and another occurring within the

90 inelastic shear zone (which affects generation and dissipation of excess pore-water pressure). First,

91 the model is tested with reference to simple synthetic scenarios of precipitation, illustrating its

92 performance in interpreting the interaction between matrix deformation and pore-water pressure

93 dissipation. Then, it is used to interpret recorded time histories of landslide motion at three

94 landslide sites located in the California Coast Ranges, USA.

95 **2. Model description**

96 Field evidence suggests that the deformation of creeping (i.e., slow-moving) landslides originates

97 from localized shear zones with thickness varying between several centimeters to a few meters

98 (Corominas et al., 2000; Leroueil, 2001; Puzrin and Schmid, 2011; Wen et al., 2017; Alonso, 2021).

99   In-situ monitoring show that for many slow-moving landslides the upper block slides downslope

100   as a rigid body overriding the stable material underneath (e.g., bedrock) (Fig. 1a). When

101   precipitation occurs, infiltration impacts, sequentially, the landslide material and the shear zone,

102   generating corresponding pore-water pressure transients. As an outcome, under a constant total

103   overburden, the effective normal stress decreases in response to the transient pore-water pressure

104   rise (Fig. 1b) in accordance with Terzaghi's (1925) effective stress definition. The stress

105   components of the shear zone material can be defined as:
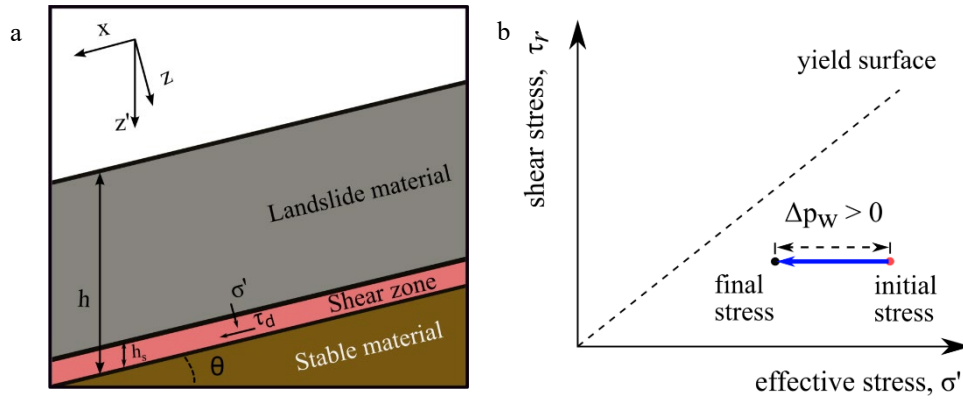
106

107   **Figure 1. Schematic of slow-moving landslide slope profile and precipitation induced stress change. a) slope**

108   **components under infinite slope geometry, b) material stress path induced by rainfall infiltration.**

109   $\sigma_d = \gamma_{sat} h \cos^2\theta$,

110   $\tau_d = \gamma_{sat} h \sin\theta \cos\theta$,   (1)

111   $\sigma' = \sigma_d - p_w$,

112   where σ_d and τ_d are the total normal stress and shear stress determined from the slope inclination

113   θ, h is the thickness of the active sliding block (consists of landslide material and shear zone), and

114   γ_{sat} is the saturated unit weight of the soil. σ' is the effective normal stress, and p_w is the pore-

115   water pressure. Incorporating elastoplastic constitutive models for the shear zone material enables

116   the landslide dynamics to be analyzed by simulating the deformation in both the tangential and

117   normal direction caused by hydrologic processes.
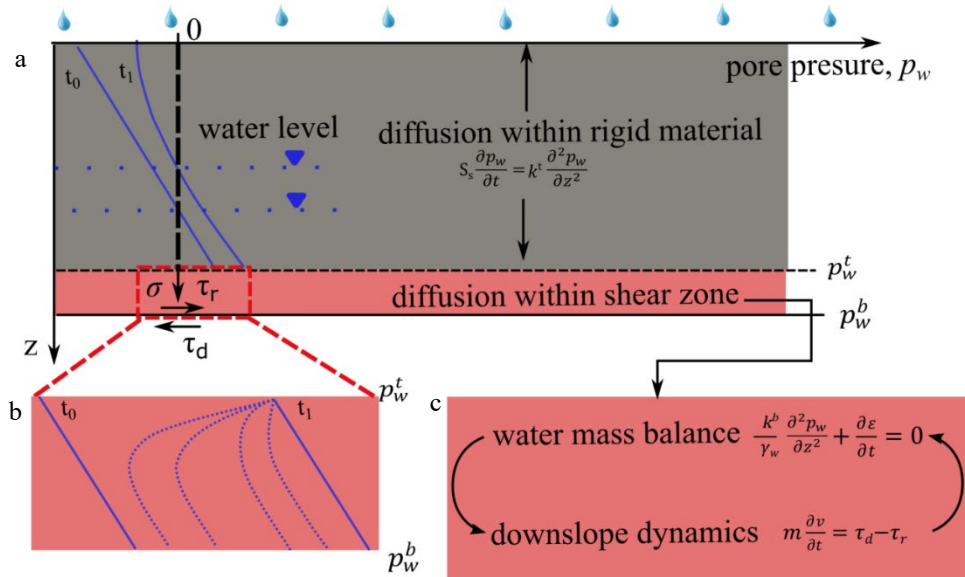
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119   **Figure 2. Schematic of the 1D infinite slope model used for coupled hydro-mechanical analyses. a) Cross section**

120   **of the landslide material. The pore-water pressure distribution (solid blue line) of a normal profile (dashed**

121   **black line) and corresponding water level (horizontal blue dots) at different times. The governing equation for**

122   **the diffusion process inside the landslide material is also illustrated. b) Zoom of the shear zone. The solid lines**

123   **represent the stationary pore-water pressure induced by the pressure input on top of the shear zone, while the**

124   **dashed blue lines represent for possible pore-water pressure development mediated by the dilation as explained**

125   **in Sections 3.1 and 3.2. c) The governing equations for the diffusion process inside the landslide material.**

126   In this study, the hydro-mechanical equations controlling the dynamics of a creeping landslide are

127   set to address two separate diffusion processes: one within the upper landslide material, here

128   treated as a porous, rigid block; another within the inelastic shear zone (Fig. 2). The former

129   diffusion mechanism determines the main external loading, i.e., hydrological forcing for the basal

130   shear zone following the variation of water level and is solved with a previously developed 1D

131   Finite Element solver for infinite slopes (Lizarraga and Buscarnera, 2019; more details in Section

132   2.1). By contrast, the second diffusion mechanism controls the timescale of pore-water pressure

133   development and dissipation in response to inelastic deformation within the basal shear zone. The

134   corresponding hydro-mechanical couplings affect both diffusivity and deformability beneath the

135   landslide mass, thus requiring the use of site-specific constitutive laws of the shear zone material

136   (Sections 2.2 and 2.3).

137   *2.1 Pore-water pressure diffusion within the landslide material*

138   Here, we solve the water mass balance in the landslide material to determine the pore-water

139   pressure transients induced by rainfall infiltration on top of the shear zone, which ultimately

140   controls the hydro-mechanical coupling of the shear zone. These transients are computed on the

141   basis of Eq. 2, where the diffusive effects are encapsulated into two constant parameters, the

142   storage coefficient, S_s, and the saturated permeability of the landslide material, k^t, (e.g., Iverson,

143   2000; Berti and Simoni, 2010; Cohen-Waeber et al., 2018), as follows:

144   $$S_s \frac{\partial p_w}{\partial t} = k^t \frac{\partial^2 p_w}{\partial z^2}, \tag{2}$$

145   where z is the normal distance from the ground surface. In this manuscript, the above equation is

146   solved through the numerical algorithm proposed by Lizárraga and Buscarnera (2019). For the

147   sake of simplicity, the hydrologic triggering is simulated by imposing flow (infiltration) conditions

148   at the top of the landslide material and an impervious boundary at its bottom due to the

149   impermeable bedrock below the shear zone (Baum et al., 2010). While more general analyses

150   accounting for permeability contrasts can be carried out (Lizarraga and Buscarnera, 2019), this

151   simplification has limited qualitative bearing on the analyses shown in this paper and will therefore

152   be used as a convenient working hypothesis.

153   We simulate dry and wet seasonal changes that are typical of sites in California (Swain, 2021),

154   which is the focus area of our research. During the simulated wet season, we apply a surface flux

155   boundary condition equivalent to the precipitation. Water run-off was not considered, but its

156   incorporation is straightforward, if needed, by accounting for moisture change at the surface (Song

157   et al., 2021). Finally, to capture the widely observed sequence of pore-water pressure rise during

158   the wet season, followed by its decrease during the dry season (e.g., Iverson and Major, 1987;

159   Schulz et al., 2018a; Finnegan et al., 2021), pressure boundary conditions were imposed at the top

160   of the slope during periods with no rainfall.

161   *2.2 Coupled flow-deformation within the shear zone*

162   Within the shear zone, coupled flow-deformation processes can be simulated by analyzing the

163   downslope dynamics and water mass balance simultaneously (Chen and Buscarnera, 2022). In this

164   portion of the slope, the initial stress state is altered by the interaction between water flow and

165   deformation. From a mechanical viewpoint, the downslope dynamics implies:

166     $ma = \tau_d - \tau_r,$ (3)

167   where $m = \rho_s h cos\theta$, is the total mass of the active sliding block, a, its acceleration, τ_d, the driving

168   shear stress (Eq. 1), τ_r, the resisting stress, here regarded as a function of the local constitutive

169   response, as follows:

170     $\dot{\tau}_r = G(\dot{\gamma} - \dot{\gamma}^p); \ \dot{\sigma}' = E_{oed}(\dot{\varepsilon} - \dot{\varepsilon}^p).$ (4)

171   where G is the elastic shear stiffness, E_{oed} is elastic oedometric modulus. ε and ε^p are the total

172   and plastic normal strain; γ and γ^p are the total and plastic shear strain. Eq. 4 involves both shear

173   stress and the effective normal stress; the latter is indeed a function of the normal strain and

174   controlled by volume change. As it will be discussed below in Section 2.3, plastic strain increments

175   can be computed with constitutive models. Here, to link the shear strain to the landslide movement,

176   the shear strain rate, $\dot{\gamma}$, is computed by assuming a linear velocity (v) profile within the shear zone

177   in z direction (MiDi, 2004; Pastor et al., 2015; Siman-Tov and Brodsky, 2021), as follows:

178    $$\dot{\gamma} = \frac{v}{h_s cos\theta},$$ (5)

179   where h_s represents the thickness of the shear zone (Fig. 1a). This choice enables us to use Eq. (3)

180   ~ (5) to derive the following sliding equation:

181    $$\rho_s hcos\theta \dot{v} = \dot{\tau}_d - G\frac{v}{h_s cos\theta} + G\dot{\gamma}^p,$$ (6)

182   where ρ_s is the saturated density of soil. The effective normal stress change in Eq. 4 must follow

183   the rates of volume change compatible with the water mass balance in the shear zone. Here, this

184   process is simulated by treating the fluid as incompressible and considering the rate of volume

185   change as the only source of diffusive feedbacks within the shear zone (Sloan and Abbo, 1999;

186   Mihalache and Buscarnera, 2016), as follows:

187    $$\frac{k^b}{\gamma_w}\frac{\partial^2 p_w}{\partial z^2} + \dot{\varepsilon} = 0,$$ (7)

188   where k^b is the saturated permeability of the shear zone material, and γ_w is the unit weight of

189   water. The normal strain rate consists of elastic and plastic deformation rates ($\dot{\varepsilon} = \dot{\varepsilon}^e + \dot{\varepsilon}^p$).

190   Therefore, we can rewrite Eq. 7, as follows:

191    $$\frac{k^b}{\gamma_w}\frac{\partial^2 p_w}{\partial z^2} + \frac{\dot{\sigma}_d - \dot{p}_w^b}{E_{oed}} + \dot{\varepsilon}^p = 0,$$ (8)

192   from which it is readily apparent that the inelastic volume change regulates the pressure diffusion

193   process across the shear zone.

194   In our model, the time varying pore-water pressure input, $\dot{p}_w^t$, at the boundary between the

195   landslide material and shear zone (here computed through the uncoupled diffusion analysis in Eq.

196   2) will serve as a forcing in Eq. 8, which is aimed at computing the change of the pore-water

197   pressure at the bottom of the shear zone ($\dot{p}_w^b$). While Eq. 8 is a second order partial differential

198   equation (PDE), a parabolic approximation of the excess pore-water pressure (p_w^e) profile

199   compatible with analytical solutions of soil consolidation (Wood, 2004) is here used to condense

200   the analysis of the landslide dynamics to an ordinary differential equation (ODE) that can be solved

201   with numerical and/or analytical solutions. Specifically, use of a parabolic pressure profile implies

202   (equation derivation see Appendix 1):

203    $$\frac{\partial^2 p_w}{\partial z^2} = \frac{2(p_w^{sb} - p_w^b)}{h_s^2 \cos^2\theta},$$ (9)

204   where p_w^{sb} represent the stationary (steady state) pore-water pressure at the bottom of shear zone

205   corresponding to a hydrological forcing applied on the top of the shear zone ($p_w^{sb} = p_w^t +$

206    $h_s \cos\theta \gamma_w$, and $\Delta p_w^t = \Delta p_w^{sb}$), p_w^b is the pore-water pressure at the bottom of the shear zone.

207   Combining Eq. 9 with Eq. 7, we can then define a set of coupled governing equations conveying

208   the effect of the inelasticity of the shear zone material on the landslide dynamics as follows:

209    $$\dot{p}_w^b = \frac{2E_{oed}k^b}{\gamma_w h_s^2 \cos^2\theta}(p_w^{sb} - p_w^b) + E_{oed}\dot{\varepsilon}^p + \dot{\sigma}_d,$$ (10a)

210    $$\rho_s h \cos\theta \dot{v} = -G\frac{v}{h_s \cos\theta} + G\dot{\gamma}^p + \dot{\tau}_d.$$ (10b)

211   The solution to Eq. 10 can be addressed once their inelastic deformation terms are specialized with

212   constitutive models.

213   *2.3 Constitutive models*

214   While an extensive number of constitutive relations for earthen material is nowadays available, a

215   natural choice for a specialized form of the proposed framework is a perfectly plastic frictional

216   law (Davis and Selvadurai, 2005). In fact, such a constitutive choice enables simplicity and

217   straightforward identification of model parameters for a variety of landslide case studies (Van

218   Asch et al., 2007; Corominas et al., 2005; Conte et al., 2014; Schulz et al., 2018a). In the case of

219   frictional plasticity, we define:

220    $f = \tau - \sigma' tan\varphi, \qquad g = \tau - \sigma' tan\psi,$ (11)

221   where f and g are a yield function and a plastic potential, respectively. φ is the friction angle and

222   ψ represents the dilation angle. In this scenario, the plastic deformation rate in both normal and

223   tangential directions can be obtained as:

224    $\dot{\varepsilon}^p = \Lambda \frac{\partial g}{\partial \sigma'}; \ \dot{\gamma}^p = \Lambda \frac{\partial g}{\partial \tau_r},$ (12)

225   where Λ is the plastic multiplier (i.e., a scalar that accounts for the magnitude of plastic effects)

226   determined by the consistency condition of the yield surface. By using effective normal stress and

227   shear strain as control parameters (Buscarnera et al., 2011), it follows that:

228    $\Lambda = \frac{1}{H - H_2} (\frac{\partial f}{\partial \sigma'} \sigma' + \frac{\partial f}{\partial \tau_r} G \ \dot{\gamma}),$ (13)

229   where H is the hardening modulus, H_2 is a plastic modulus determined by the control conditions

230   used to quantify plastic effects. Based on Eq. 11, the plastic moduli can be expressed as:

231    $H = 0; \ H_1 = -\frac{\partial f}{\partial \sigma'} E_{oed} \frac{\partial g}{\partial \sigma'} = -tan\varphi E_{oed} tan\psi; \ H_2 = -\frac{\partial f}{\partial \tau_r} G \frac{\partial g}{\partial \tau_r} = -G.$ (14)

232   Here, $H = 0$ in that the selected constitutive law is perfectly plastic. Introducing Eq. 14 into Eq. 13

233   and combining the result with Eq. 10, the complete set of coupled governing equations based on

234   the selected constitutive law is:

235    $\dot{p}_w^b = \frac{k^b E_{oed}}{\gamma_w} \frac{2(p_w^{sb} - p_w^b)}{h_s^2 cos^2\theta} A + B \frac{1}{tan\varphi} \frac{Gv}{h_s cos\theta} + \dot{\sigma}_d$,   (15a)

236    $\rho_s h cos\theta \ddot{v} = \frac{k^b E_{oed}}{\gamma_w} \frac{2(p_w^{sb} - p_w^b)}{h_s^2 cos^2\theta} A \, tan\varphi - B \frac{Gv}{h_s cos\theta} + \dot{\tau}_d$,   (15b)

237   where A and B are plastic coefficients expressed as $A = \frac{G}{G + tan\varphi E_{oed} tan\psi}$, and $B = \frac{tan\varphi E_{oed} tan\psi}{G + tan\varphi E_{oed} tan\psi}$,

238   respectively. From Eq. 15, we can compute the interaction between shear zone hydraulic flow and

239   mechanical deformation when rainfall infiltration occurs.

240   *2.4 Nondimensionalization*

241   It is often beneficial to identify nondimensional timescales controlling dynamic systems because

242   it helps us understand the relation between distinct timescales involved in our study such as rainfall

243   infiltration and material consolidation. Also, it can reduce the complexity of the governing

244   equations and reveal the key parameters needed to understand complex physical processes. In this

245   study, standard nondimensionalization strategies are used (Tan, 2011). This involves rescaling the

246   system variables by normalizing them for a reference quantity, here denoted through an overhead

247   hat (e.g., $\hat{t}$ for a reference time). On such basis, the normalized quantities can be displayed through

248   an overhead tilde (e.g., $\tilde{t}$ for normalized time, equals to $t/\hat{t}$). Selection of reference quantities with

249   clear physical meaning facilitates the identification of the underlying mechanics. Here, the

250   reference time is selected as the duration of forcing ($\hat{t} = T$), while other reference quantities are

251    $\hat{\sigma} = \hat{p}_w = \sigma_0 = \gamma_s h cos^2\theta, \hat{t} = \tau_0 = tan\,\theta\,\sigma_0, \hat{a} = \tau_0/\rho_s h cos\theta, \hat{v} = \hat{a}\hat{t}$.

252   Accordingly, the governing equation (Eq. 15) can be re-written as:

253    $\dot{\tilde{p}}_w^b = 2T_{lc}^e A(\tilde{p}_w^{sb} - \tilde{p}_w^b) - B\frac{tan\theta}{tan\psi}T_{lw}^e\tilde{v} + \dot{\tilde{\sigma}}_d$,   (16a)

254    $\ddot{\tilde{v}} = 2T_{lc}^e A\frac{tan\psi}{tan\theta}(\tilde{p}_w^{sb} - \tilde{p}_w^b) - BT_{lw}^e\tilde{v} + \dot{\tilde{\tau}}_d$,   (16b)

255   where $T_{lc}^e = \frac{E_{oed}k^bT}{\gamma_w h_s^2 cos^2\theta}$, is the ratio of total forcing time divided by the characteristic consolidation

256   time for an elastic material, which controls the shear zone hydraulic diffusion when it deforms

257   elastically. In addition, considering the standard expression of the shear wave velocity in an elastic

258   medium, $v_s^e = \sqrt{G/\rho}$, it is possible to define a reference time for a shear wave to travel across the

259   active sliding block ($\tilde{t}_h$) and the shear zone ($\tilde{t}_{hs}$) as:

260    $T_{lw}^e = (\tilde{t}_h\tilde{t}_{hs})^{-1} = \frac{GT^2}{\rho hh_s cos^2\theta}$.   (17)

261   Therefore, the coupled behavior of a creeping landslide inside the shear zone involves a number

262   of controlling nondimensional parameters dictating its dynamics, including the diffusion time (T_{lc}^e),

263   the shear wave propagation time (T_{lw}^e), the slope inclination (θ), and the elastoplastic properties

264   encapsulated into the plastic coefficients A and B.

265   **3. Model performance**

266   *3.1 Precipitation-induced diffusion and elastic response*

267   To explore our model's ability to simulate landslide movements induced by precipitation, the

268   model is first tested with an artificial (and unrealistic) rainfall event, which lasts for 30 days (T)

269   with a constant intensity (q). While the analysis is purely illustrative, the range of model

270   parameters is chosen on the basis of landslide sites in the California Coast Ranges (Keefer and

271 Johnson, 1983; Kelsey et al., 1996). Hence, the rainfall intensity is set equal to the saturated

272 permeability of landslide material (k^t), here assumed to be 3×10^{-6} m/s (according to measurements

273 from Iverson and Major, 1987) and the storage coefficient (S_s) is set to 0.26. The pore-water

274 pressure change at the interface between the landslide material and the active shear zone (Δp_w^t i.e.,

275 Δp_w^{sb}) can be computed numerically. The results are provided in Fig. 3a, which shows that the p_w^t

276 increases monotonically after the wetting front approaches the top of the shear zone when $\tilde{t}$ is

277 around 0.3.
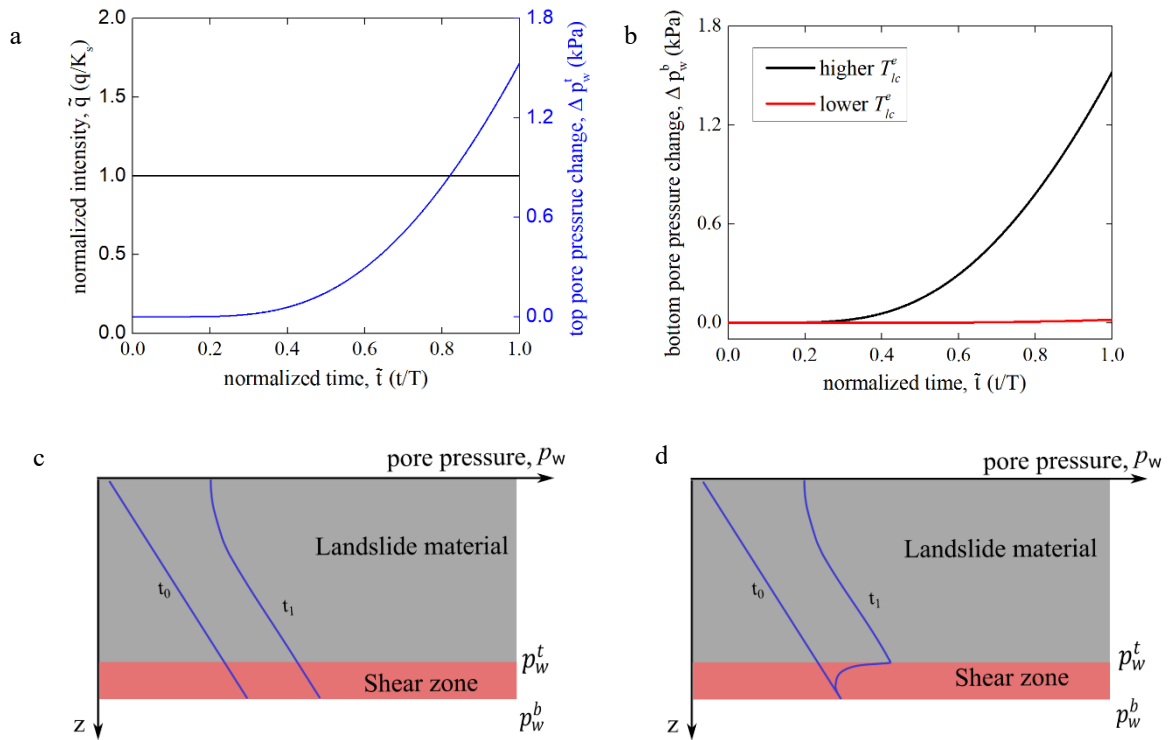
279 **Figure 3. Simulation of pore-water pressure transients caused by precipitation. a) Simulated pore-water**

280 **pressure change at top of shear zone caused by a constant rainfall. b) Computational results of pore-water**

281 **pressure change at bottom of shear zone, corresponding to different value of T_{lc}^e; c & d) schematics of pore-**

282 **water pressure distribution with higher and lower T_{lc}^e, where higher T_{lc}^e results from shear zone permeability**

283 **(k^b) 5.5×10^{-7} m/s, and lower T_{lc}^e indicate $k^b = 5.5 \times 10^{-12}$ m/s. The thickness of the landslide material is 20m.**

284 **Stiffness parameters are taken as: oedometric modulus $E_{oed} = 5$ MPa, and shear modulus $G = 2$ MPa.**

285   The computed p_w^t will then serve as the hydraulic boundary condition activating coupled behavior

286   inside the deformable shear zone. Simulations are conducted for different values of T_{lc}^e (Eq. 16) to

287   examine its role on pore-water pressure diffusion processes within the shear zone. In this study,

288   T_{lc}^e depends on the value of the hydraulic conductivity, k^b, as discussed in the previous section.

289   Fig. 3b shows that, when T_{lc}^e is relatively high, diffusion takes place rapidly within the shear zone,

290   thus the hydraulic response (Δp_w^b) at the bottom of the shear zone follows the hydrologic forcing

291   (Δp_w^t, i.e., Δp_w^{sb}). The pore-water pressure profile in this scenario is illustrated in Fig. 3c. On the

292   contrary, pore-water pressure change can be delayed in the shear zone in the presence of low T_{lc}^e

293   values. This is illustrated in Fig. 3d, where the pore-water pressure at the bottom of the shear zone

294   barely changes despite the application of a hydrological forcing at the top of the deformable zone.

295   Since these tests are conducted for landslide material under an elastic regime, infiltration does not

296   involve approaching of the yield surface and mobilization of the plastic resources of the shear zone.

297   As an outcome, no sliding is generated.

298   *3.2 Model behavior under the plastic regime*

299   When the fluctuations of the effective stress state induced by infiltration are large enough to engage

300   the frictional yield surface, plastic shear strain and consequent sliding occur. Separating from the

301   elastic regime, the material yield surface also starts to regulate the stress changes and

302   corresponding deformation. For example, if the material dilation angle (ψ) is zero, no negative

303   excess pore-water pressure can be generated. In this context, the pore-water pressure increase will

304   be the same as triggered by water diffusion in the elastic regime (Fig. 1b). Yet, under the

305   elastoplastic framework, the yield surface cannot be surpassed. The pore-water pressure increase

306   will thus lead to stress changes along the yield surface (Fig. 4a). As an outcome, the shear zone

307   material will lose strength (Eq. 3), and runaway failure can be triggered. Most notably, if non-zero

308   plastic normal deformation is developed, negative excess pore-water pressure will be induced and

309   regulate the slope dynamics. Plastic dilation, if prevented, will generate negative excess pore-water

310   pressure (Fig. 4b), which, in order not to violate the prescribed strength criterion and sustain the

311   initial shear stress level, must be opposite and equal to the pressure change induced by infiltration

312   (Fig. 1b). Specifically, since in our analyses the shear zone material has low permeability, diffusion

313   within the basal sliding tends to progress slowly. Consequently, the increase of the bottom pore-

314   water pressure induced by infiltration is small and its value is affected by the abovementioned

315   negative excess pore-water pressure controlled by the dilative response of the material. Most

316   importantly, to comply with the strength characteristics underlying the selected perfectly plastic

317   MC constitutive law (i.e., a fixed yield surface), the resulting basal pore-water pressure and the

318   corresponding effective stress will be such that the material remains on a state of plastic sliding

319   throughout the forcing process, with the pore pressure decreasing only when the rainfall stops, the

320   material returns in a poroelastic state, and the excess pore-water pressure fully dissipates until the

321   landslide movement ceases. These arguments show that dilation generates self-regulating effects
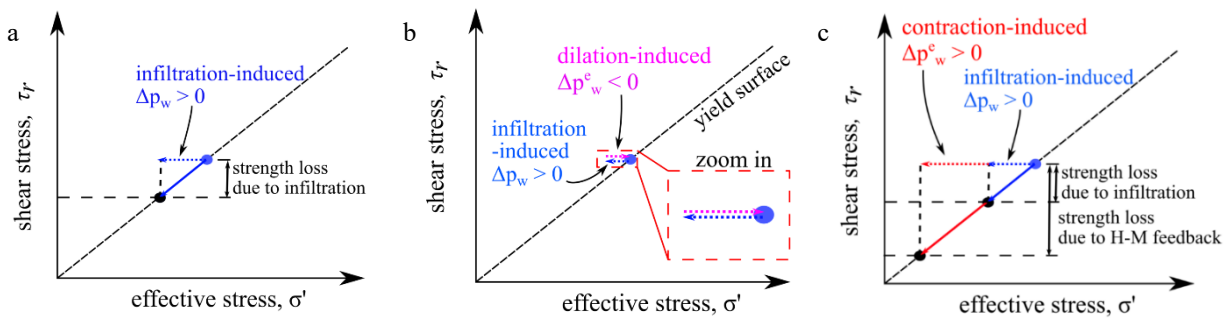
322

323   **Figure 4. Schematics of effective stress paths at the base of a landslide predicted by the proposed framework**

324   **for movements induced by hydrologic forcing. a) Stress change caused by hydrological forcing in plastic regime**

325   **with nil normal plastic deformation. b) Dilative material generated self-regulating behavior. c) Contractive**

326   **material induced self-feeding mechanisms.**

327   able to constrain the pore-water pressure build-up. In contrast, contractive deformation would lead

328   to an opposite outcome, by generating positive excess pore-water pressure and adding to the

329   infiltration effects (Fig. 4c), eventually leading to more strength loss and runaway failure.

330   For our first landslide simulation in the plastic regime, we model landslide motion with dilative

331   material. We apply a simple synthetic pore-water pressure event distributed normally with a

332   magnitude of around 11 kPa over one year ($T = 365$ days) at the top of the shear zone to represent

333   pore-water pressure changes expected during a water cycle consisting of wet season (e.g.,

334   Finnegan et al., 2021) and following dry seasons in California (Fig. 5). The T_{lc}^e ($k^b = 3 \times 10^{-9}$ m/s)

335   is set to cause nearly instantaneous pore-water pressure change (Fig. 5a). The small values of k^b

336   used in the analyses reflect the low permeability often reported for shear zone materials of the

337   study sites (Baum and Ried, 2000; Nereson et al., 2018). When $\varphi = 20°$, the material yield surface

338   is not approached and there is only elastic deformation. In contrast, a lower friction angle ($\varphi =$

339   16°) leads to plastic shearing (Fig. 5a & b, point B' to B'') under the same hydrological forcing.

340   Fig 5a and b show that, for $\varphi = 16°$, plasticity ensues when the imposed pore-water pressure at

341   the top of the deformable zone is close to 8 kPa (i.e., point B', at $\tilde{t} = 0.4$). Subsequently, plastic

342   deformation begins to develop in both normal (induce volume change) and tangential directions

343   (trigger downslope sliding, Fig. 5b). Regulated by plastic dilation, negative excess pore-water

344   pressure is generated which prevents further pore-water pressure change. As explained in the

345   previous example (Fig. 4b), the self-regulating effect halts the growth of pore-water pressure (Fig.

346   5f) and prevents the landslide from losing strength and accelerating catastrophically.

347   When the pore-water pressure applied at the top of the shear zone begins to drop and drives the

348   material back into the elastic regime (point B'', $\tilde{t} = 0.6$), the pore-water pressure at the bottom of

349 the shear zone decreases and there is a transition from the plastic to elastic domain. At the same

350 time, sliding stops (Fig. 5b point B''), marking the end of the episodic development of negative

351 excess pore-water pressure coupled with downslope sliding. For the case with $\varphi = 20°$ (Fig. 5 a,

352 c, e), the whole process is in the elastic regime and there is no sliding nor negative excess pore-

353 water pressure (Fig. 5b). These results confirm that sliding occurs only if precipitation mobilizes

354 the inelastic resources of the shear zone material. Most notably, our model shows (similar to other

355 studies) that dilation in the plastic regime leads to self-regulated landslide motion.
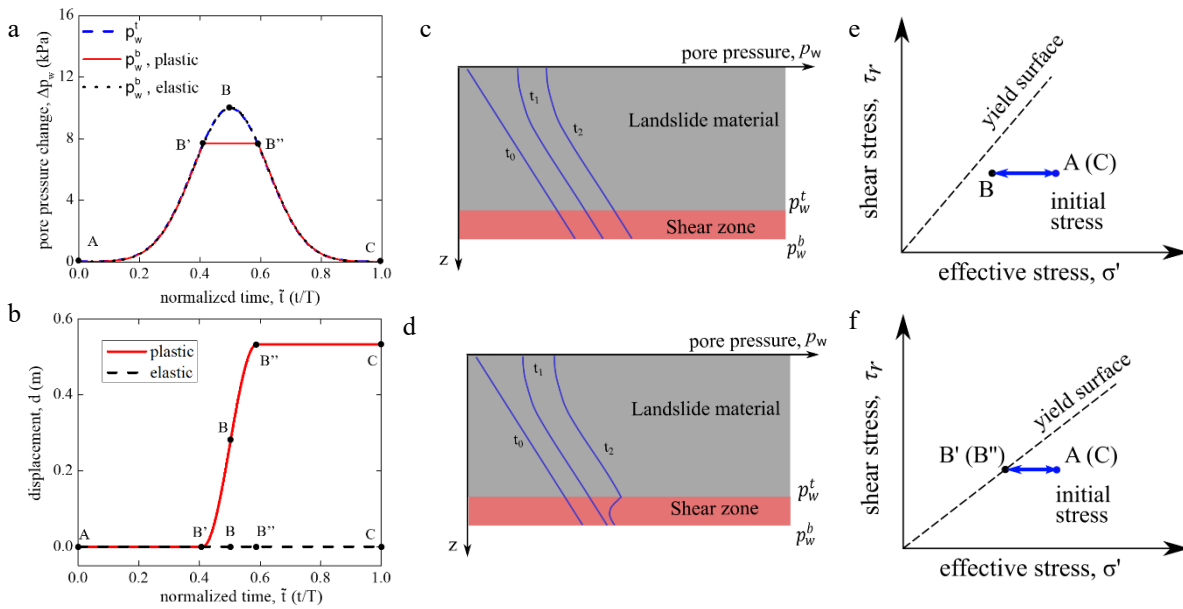

356

357 **Figure 5. Simulation of the hydro-mechanical response of an infinite slope subjected to imposed pore-water**

358 **pressure change at the top of its shear zone. A) top shear zone pore-water pressure changes in one year, with**

359 **the bottom response under both the elastic and plastic regime. b) Downslope displacement, c & d) pore-water**

360 **pressure profile when material is under the elastic and plastic regime. e & f) Schematic of hydrological response**

361 **in elastic and plastic regime. Synthetic slope tested here is assumed to be thickness, h-h_s = 7 m (h_s = 0.5 m), slope**

362 **angle $\Theta = 15°$, and dilation angle $\psi = 2°$.**

363 *3.3 Coupling effects*

364   The dilation angle ψ governs the ratio of normal deformation divided by the sliding deformation.

365   To further investigate its effects, the same synthetic slope and pore-water pressure variation

366   illustrated in Fig. 5 are tested with different values of ψ (5°, 2°, and 0°), but equal friction angle

367   (φ =16°). Among the tested cases, $\psi = 0°$ is used to explore the landslide behavior for vanishing

368   dilation (i.e., potential approach of critical state conditions).

369   Fig. 6a shows that pore-water pressure at the bottom of the slope predicted for cases with dilative

370   deformation (i.e., $\psi > 0$) ceases to increase following the hydrological forcing, thus leading in all

371   cases to self-regulating effects. In contrast, these self-regulating effects vanish in the analyses

372   conducted with $\psi = 0°$ and runaway failure occurs. Our model simulations also indicate the pore-

373   water pressure stops changing in the plastic regime because the positive pore-water pressure

374   caused by infiltration is balanced by the negative excess pore-water pressure that results from

375   dilation as discussed above (Fig. 4b). In other words, for the perfectly plastic behavior inherent

376   with the MC constitutive law used in the current analyses, once the yield surface is reached, the

377   pore-water pressure will experience no further change (increase or decrease) until the seasonal

378   infiltration ends. Yet the dilation angle does impact the overall landslide displacement because it

379   represents the ratio of normal dilation divided by downslope sliding. As Fig. 6b displayed, the

380   same amount of dilative normal deformation would be triggered with a positive ψ (to induce the

381   negative pore-water pressure to balance the infiltration induced pore-water pressure increase).

382   While as ψ quantifies the normal dilation divided by sliding, under same amount of dilation, the

383   higher the ψ, the smaller the sliding will be induced. As Fig. 6c illustrated, for a dilation angle of

384   5°, the simulated sliding displacement is around 0.2 m. This movement more than doubles for ψ

385   = 2°, reaching more than 0.5 m.

386   When $\psi = 0°$, no dilation would be triggered (Fig. 6b). As an outcome, no negative excess pressure

387   can act to prevent runaway acceleration (Fig. 6c). The same analysis can be conducted for shear

388   zone materials experiencing contraction (i.e., $\psi<0$). This scenario mimics so-called liquefaction

389   effects, i.e., self-feeding growth of excess pore-water pressure accompanied by loss of shearing

390   resistance (Iverson, 2005; Iverson and George, 2014; Chen and Buscarnera, 2022). The sharp

391   transition between these different landslide dynamic regimes is qualitatively illustrated in Fig. 6d.

392   While the incorporation of multiple nonlinear constitutive laws is necessary to simulate the abrupt

393   development of excess pore-water pressure and high mobility failure events such as liquefaction,

394   it is beyond the scope of this paper.
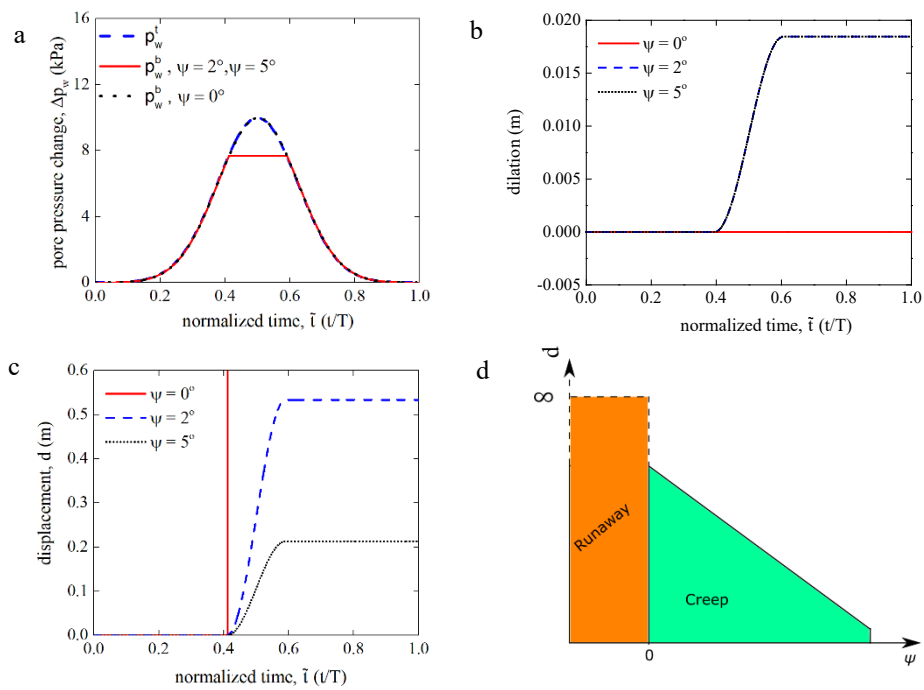
395

396   **Figure 6. Simulation of the hydro-mechanical response of infinite slopes with basal shear zone characterized**

397   **by different dilation angles. a) pore-water pressure at the top and bottom of the shear zone, b) computed normal**

398   **dilation, c) simulated displacement, d) relationship between sliding displacement after the hydrological pulse**

399   **and dilation angle, including cases leading to runaway failure.**

4. Case studies

4.1 Sites of interests

There are thousands of landslides in the California Coast Ranges (Keefer and Johnson, 1983; Kelsey et al., 1996; Bennett et al., 2016; Handwerger et al., 2019b; 2022). Landslides occur in this region due to active tectonics, mechanically weak rocks, and high precipitation (Scheingross et al., 2013; Roering et al., 2015). The precipitation in California is seasonal and most falls during the wet season between October and May (Swain, 2021). Most of the slow-moving landslides occur within the Jurassic-Cretaceous Franciscan Mélange (Fig. 7, referred to as "KJf"). The KJf is a clay-rich complex unit made of sandstone, shales, serpentinite, and conglomerates (Bailey et al., 1964; Rutte et al., 2020).

For our model simulations, we selected parameter value ranges for friction angle, permeability, and dilation angle from previously published studies (Keefer and Johnson, 1983; Vermeer and de Borst, 1984; Iverson and Major, 1987; Roadifer et al., 2009; Nereson et al., 2018) on landslides in the CA Coast Ranges (details in Section 4.2). However, for stiffness parameters that were not measured at these sites, we used reasonable approximations for clay-rich compositions (Obrzud, 2010): oedometric modulus, E_{oed} = 5 MPa, and shear modulus, G = 2 MPa.

We selected three landslide sites to test our model: 1) Two Towers landslide, northern California (Schulz et al., 2018a; b), 2) Minor Creek landslide, northern California (Iverson and Major, 1987), and 3) Mud Creek landslide, central California (Handwerger et al., 2019). Hourly movement of Two Towers landslide was measured from 11 November 2014 to 22 July 2017 using a biaxial tilt sensor (Schulz et al., 2018a; b). The Minor Creek landslide was monitored between 1982 and 1985
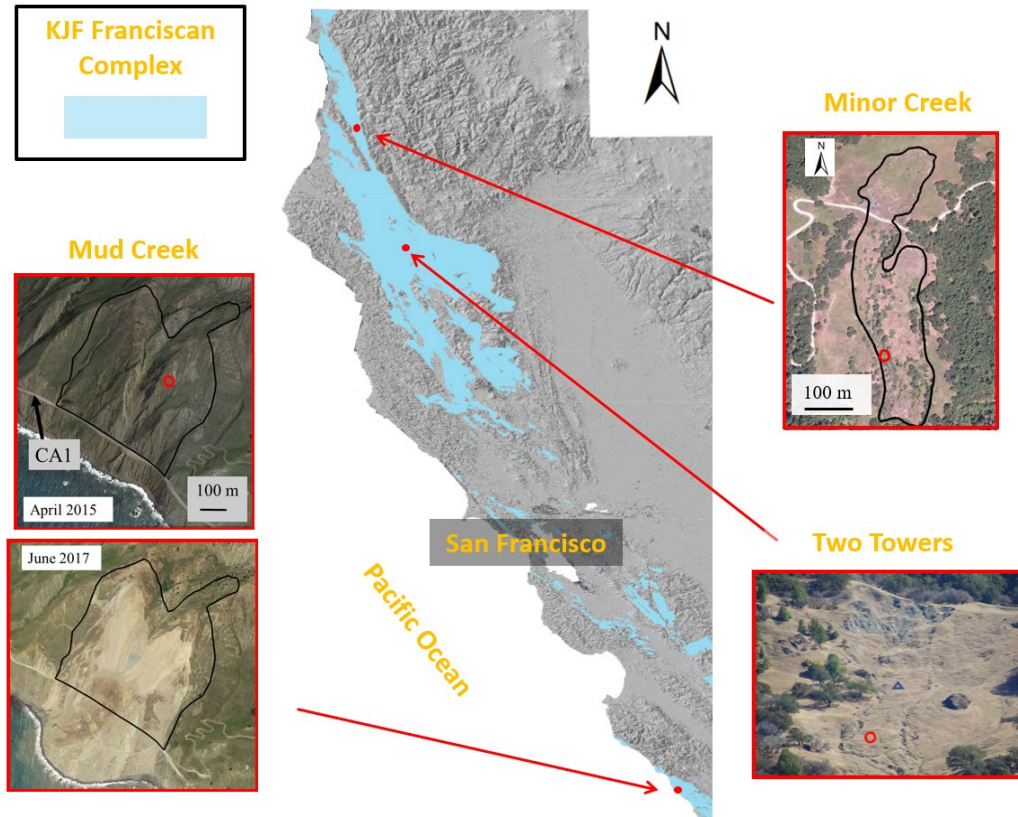
Figure 7. California Coast Ranges and Franciscan Complex lithologic unit 1 draped over a hillshade of the topography with labeling and location details of the landslide sites studied in this paper (the monitoring points at which each landslide displacement was measured are circled, and the water level monitoring location of Two Towers landslide is shown by triangle).

using extensometers (Iverson and Major, 1987). Finally, the Mud Creek landslide was monitored between 2015 and 2017 (Handwerger et al., 2019a) through satellite interferometric synthetic aperture radar (InSAR). In this study, the analyses are based on a 1D infinite slope geometry, in that the length of the considered landslides are much higher than their width and depth. This implies that the landslide is a uniform block of constant inclination with movements that do not vary along the downslope direction. This widely used simplification is applicable to capture the overall kinematics of the landslide (Angeli et al., 1996; Iverson, 2005; Van Asch et al., 2007; Li et al., 2021), but it might suffer a loss of accuracy at the edges of the domain, especially in regions

434   of extension and/or compression which require a 2D or 3D model of the slope geometry. Notably,

435   we selected these three case studies because they display distinct trends of movement over time,

436   including slow, episodic sliding and catastrophic failure, thus allowing ideal benchmarks to verify

437   the accuracy of the proposed framework.

438   *4.2 Parameter optimization method*

439   For the case studies in this paper, model parameters were assessed by optimization procedures

440   focusing on the identification of best fit values for k^b (shear zone permeability), φ (friction angle),

441   and ψ (dilation angle). We used a grid search inverse method to optimize these parameters

442   (Allmendinger, 1998). This method computes the objective function (OBJ) from initial guesses

443   based on typical ranges of these parameters and then searches the minimum OBJ.

444 $$OBJ = \sum (d_o - d_s(k^b, \varphi, \psi))^2, \tag{19}$$

445   where d_o is observed displacement; d_s is simulated displacement. The parameters (k^b, φ, ψ)

446   leading to the minimum OBJ will be the optimized ones. Using the Two Towers landslide as an

447   example, (detail given in the next section), we perform a grid search for ψ ranging between 0.5°

448   to 5° (dilation angle for clayey material is limited; Vermeer and de Borst, 1984). The permeability

449   and friction angle for the KJf material has a large range, with permeability ranging from 1.6x10⁻⁵

450   to 3x10⁻¹⁰ m/s within a single landslide body (Iverson and Major, 1987) and friction angle ranging

451   from 12°~50° (Keefer and Johnson, 1983; Roadifer et al., 2009; Schulz et al., 2018b; Nereson et

452   al., 2018). We also note that studies have shown that the permeability of the shear zone (k^b) is often

453   smaller than the landslide body material (Baum and Reid, 2000; Nereson et al., 2018). Thus, we

454   performed the grid search using permeabilities between 1.6x10⁻⁷ and 3x10⁻¹². Our parametric

455 analysis provides a narrow band of values (dark blue in Fig. 8) with relatively small OBJ values.

456 Among them, the minimum value can be found. Optimized parameters are displayed in Table 1.

457 **Table 1. Properties and optimized parameters for each case study**

Model parameters	Two Towers	Minor Creek	Mud Creek	Initial values
Area (hectares)	1	10	23	-
Inclination (°)	15	15	32	-
Depth (m)	7	6	20	-
k^b (m/s)	3.3×10^{-11}	3.3×10^{-9}	8.8×10^{-10}	$1.6 \times 10^{-7} \sim 3 \times 10^{-12}$
φ (°)	22.8	19.3	47.8	12~50
ψ (°)	2	2	0.5	0.5~5

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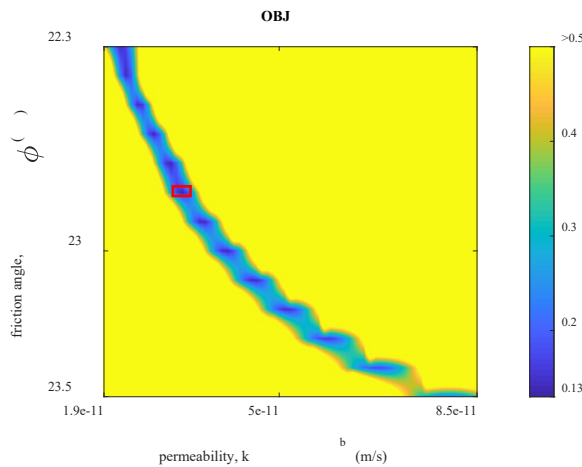
459

460 **Figure 8. Inverse analyses obtained OBJ values corresponding to friction angle and permeability when $\psi = 2°$,**

461 **optimized parameter is indicated by the red polygon.**

462

463 *4.3 Two Towers landslide*

464 The Two Towers landslide (Fig. 7) is around 250 m long and averages about 40 m in width and 7

465 m in depth; with an average inclination of 15° (Schulz et al., 2018a). The thickness of the shear

466   zone is ~0.5 m (Schulz et al., 2018b). The groundwater head (monitored at multiple sites within

467   the active landslide) and landslide movement (monitored from an inclinometer located at the

468   landslide toe, Fig. 7) were monitored at multiple sites within the active landslide from November

469   2014 to July 2017 by Schulz et al. (2018a; b). We selected the ground water head measured at the

470   middle of the landslide (Schulz et al., 2018a), in that it is far from the boundaries and can be

471   regarded as the representative descriptor of the hydrologic state for a translational landslide.

472   However, it is important to point out that other options (e.g., the average of all measurement points)

473   would also be viable choices in this modeling context. In the central portion, we used data from

474   the piezometer located at around 5.7 m below the ground, from which the water head above

475   landslide base is reported. The resulting pore-water pressure at the top of the shear zone (p_w^t, Fig.

476   9a) was then computed for a scenario of downslope seepage and eventually used as boundary

477   condition for the simulation. The cumulative rainfall during the observation period is provided in

478   Fig. 9a. Clay swelling was observed at the site and shown to have played a major role in the

479   landslide dynamics (Schulz et al., 2018a). However, we did not explicitly incorporate clay swelling

480   into our model. We also note that Schulz et al. (2018a) concluded that shear-induced dilation was

481   not evident from their field or laboratory measurements. Nonetheless, our model simulations are

482   here aimed at testing whether dilation can explain the observed motion at the Two Towers site.

483   Fig. 9a shows the simulated pore-water pressure distribution at the top and bottom of the shear

484   zone, characterized by delayed bottom hydrological response compared to the forcing pore-water

485   pressure imposed at the top of the shear zone (p_w^t and corresponding p_w^{sb}). Although the overall

486   simulated displacement trend is consistent with the monitoring data at the Two Towers landslide

487   site, as Fig 9c shows, a mismatch exists between model results and data. For instance, we find that

488   the simulated movement begins earlier than the observations and underestimates the measured

489 displacement in 2016 and 2017. Because in our simulation movements are generated by hydraulic

490 forcing, these mismatches can be interpreted as a result inaccuracies in the pore-water pressure

491 simulations. Most notably, the discrepancies between our simulations and the measured motion

492 suggests that shear-induced dilation alone cannot explain the measured landslide response and

493 other mechanisms, such as clay swelling, need to be considered (Schulz et al., 2018a).

494
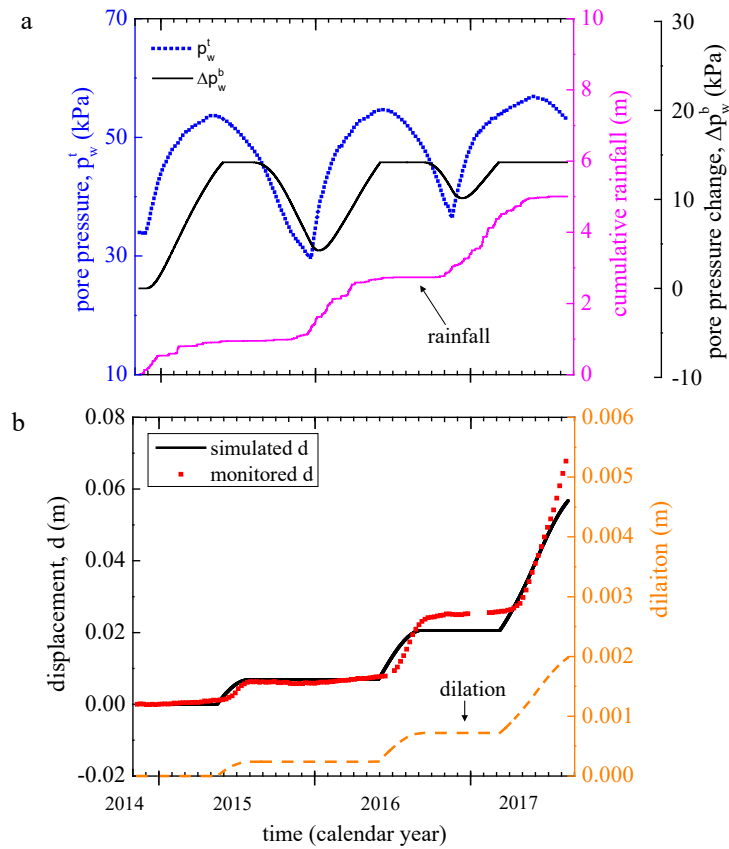
Figure 9. Model and measured data at Two Towers landslide. a) Pore-water pressure change at top of shear

zone (Schulz et al., 2018a; b) based on the monitoring of water head above landslide base from a piezometer in

the middle of the landslide, optimized pore-water pressure distribution at the bottom of shear zone, and

cumulative rainfall. b) computational displacement from optimization compared to the monitored value and

simulated normal dilation.

500   To quantify the movements due to dilation, we also computed the normal displacement (Fig. 9b)

501   predicted by the model during the three-year period considered in this study. The results show an

502   increase in plastic normal strain in correspondence with each episode of motion, with an increasing

503   trend that produces a 2 mm total heave by the end of the considered period. Although not zero,

504   this dilation-induced motion is predicted to be small, thus requiring very accurate measurements

505   to verify the actual extent of dilation at the field scale.

506   *4.3 Minor Creek*

507   Minor Creek landslide (Fig. 7) is a slow-moving landslide covering about 10 hectares in Redwood

508   Creek drainage basin, northern CA Coast Ranges. Iverson and Major (1987) collected three years

509   of detailed rainfall, groundwater and movement data (Fig. 10a and b) of this landslide from

510   October 1982 to September 1985. Iverson (2005) also previously explored the role of shear-

511   induced dilation (with 3° dilation angle) as a key mechanism controlling the slow-moving behavior

512   of Minor Creek. The average slope angle is 15°; the thickness of the landslide along its longitudinal

513   axis is 6 m and the shear zone thickness is 1 m (Iverson and Major, 1987).

514   Saturated permeability ($k^t = 9\times10^{-7}$ m/s) and storage coefficient ($S_s = 0.45$) of the landslide

515   material can be determined by simulating the monitored pore-water pressure data through trial and

516   error (Fig. 10a). Our calculated diffusivity (2×10^{-6} m^2/s) and mechanical parameters (Table 1) are

517   similar to the value back calculated by Iverson and Major (1987). Our model can capture much of

518   displacement trend, such as seasonal and year to year changes in displacement magnitude (Fig.

519   10c), but again we observe significant mismatches between our model results and the observed

520   motion. Like Two Towers, we attribute these mismatches to our simulated pore-water pressure

521   time series which differs significantly from the observed pore-water pressure. We find our model

522   involves a several months delay in the prediction of the activation of the landslide in 1983 and

523   over predicts the total displacement by a factor of 2. Improvements to our hydraulic simulation are

524   needed to better account for these hydrologic changes and could be accomplished by incorporating

525   factors such as evapotranspiration, unsaturated effect, or lateral flow.
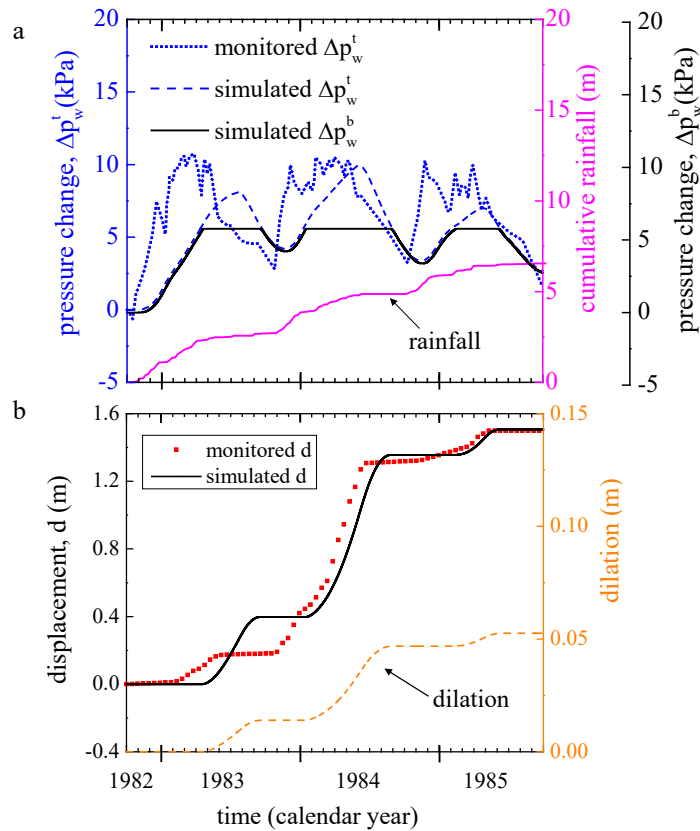
526

527   **Figure 10. Simulated and monitored hydrological and mechanical behaviors at the Minor Creek landslide site.**

528   **a) monitored and simulated pore-water pressure at top of the shear zone, simulated pore-water pressure at the**

529   **base of the shear zone, and cumulative rainfall, c) monitored and simulated displacement, and simulated**

530   **dilation.**

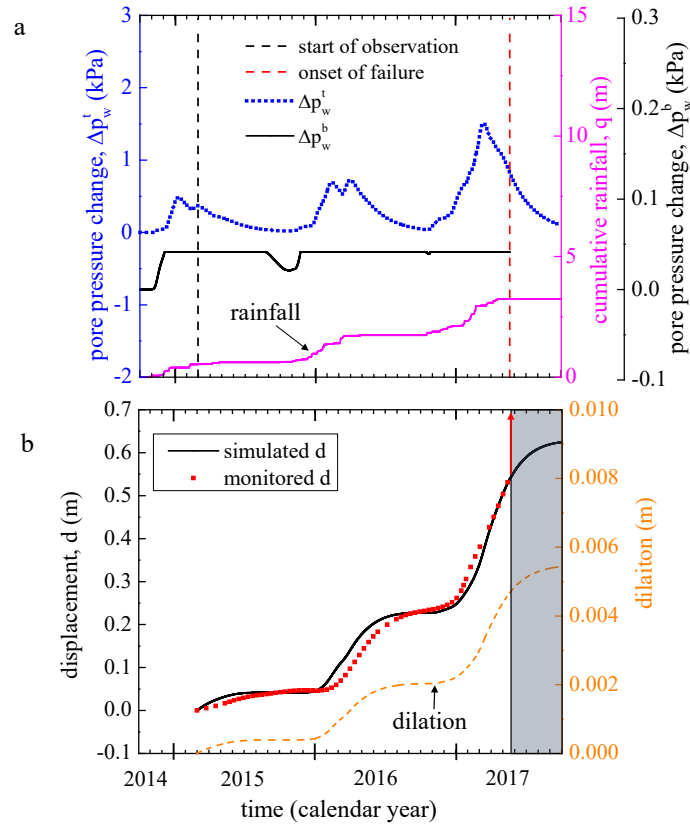531   *4.4 Mud Creek landslide*

532   Our last test case is the Mud Creek landslide (Fig. 7), central California Coast Ranges. The Mud

533   Creek landslide displayed stable sliding for more than 8 years; however, it suddenly failed

534   catastrophically on a dry day (May 20, 2017) following a prolonged season of heavy rainfall. This

535   event caused major damage to California State Highway 1 and has been studied through a variety

536   of remote sensing observations and hydrologic models (Handwerger et al., 2019a; Warrick et al.,

537   2019). More than two years of landslide displacement was measured by InSAR before the

538   catastrophic failure occurred and we model these measurements here (Handwerger et al., 2019a).

539   Mud Creek is characterized by relatively steep terrain with an average slope angle around 32°. We

540   assume the shear zone is located at a depth of 20 m, which is within the range of values measured

541   by Warrick et al., (2019). In this analysis, given the lack of ground based hydraulic observations,

542   the pore-water pressure at the top of the shear zone (p_w^t) is simulated using back-calculated

543   hydraulic parameters $k^t = 3 \times 10^{-6}$ m/s and $S_s = 0.14$ m^{-1} as illustrated in Appendix 2. Using these

544   parameters, the pore-water pressure distribution of Mud Creek landslide can be computed as

545   illustrated by Fig. 11a (affected by the precipitation displayed as Fig. 11b).

546   The optimization strategy discussed in the previous sections is also used for this case, leading to

547   simulation of both pore-water pressure at bottom of shear zone (Fig. 11a), sliding movement (Fig.

548   11c), and normal deformation (Fig. 11c). The results are consistent with the InSAR observations

549   prior to the catastrophic collapse. Notably, the optimized friction angle for Mud Creek is very high

550   (about 48°; Table 1), which is an outcome of the steep (i.e., high initial stress ratio), deep-seated

551   slope and nearly fully saturated initial condition (leads to high pore-water pressure). We assume

552   saturated conditions in that field data from other landslide sites in the KJf show that the

553   groundwater table remains within 2-3 m of the ground surface during the dry season and rises to

554   the ground surface during the wet season (Iverson and Major, 1987; Schulz et al., 2018a; Hahm et

555   al., 2019; Finnegan et al., 2021). Our results for Mud Creek provide a better fit of the observations

556   compared to Two Towers and Minor Creek. Yet, mismatches in the predicted temporal evolution

557   of the movements appear in this case study too (e.g., early activation in 2015 and 2016), and once

558   again can be attributed to the simulated early build-up of pore-water pressure (Fig. 11a). Similar

559   to the previous cases, dilation-induced heave (Fig. 11b) was also computed by tracking the

560   evolution of the plastic normal strain during sliding.

561

562   **Figure 11. Simulated and monitored hydrological and mechanical behaviors at the Mud Creek landslide site.**

563   **a) Simulated pore-water pressure distribution at top and bottom of the shear zone and cumulative rainfall, b)**

564   **monitored and simulated displacement by the end of stable sliding (the left boundary of the shaded rectangle**

565   **represents the occurrence of catastrophic failure) and simulated normal dilation.**

566   Although Mud Creek landslide did eventually fail catastrophically, a positive dilation angle (with

567   less than 3 mm normal deformation increase, Fig. 11d) is required to capture the pre-failure slow

568   movement. As a result, our model will always predict self-regulating creep. To further emphasize

569   this point (and highlight a key model limitation), we extend the simulation beyond the time at

570   which catastrophic failure was observed in the field, so as to show how the model would have

571   erroneously predicted the motion (Fig. 11b). It can thus be concluded that, to capture runaway

572   acceleration, our model would need to account for vanishing dilative effects (Fig. 6d) (Moore and

573   Iverson, 2002).

574   **5. Discussion**

575   In this manuscript, we developed a hydro-mechanical modeling framework to describe the

576   dynamics of landslides in response to rainfall infiltration. We showed that an elastic-perfectly

577   plastic frictional model enables the simulation of landslide creep in the presence of plastic dilation,

578   as well as of runaway failure due to lack of self-regulating mechanisms (e.g., shear zone having

579   reached critical state or exhibiting plastic contraction; Fig. 6d). While the model can be used to

580   simulate different modes of landslide movement triggered by precipitation, the formulation

581   discussed in the paper cannot capture transitions from stable creep to runaway failure, as illustrated

582   in our case study of the Mud Creek landslide. This finding encourages future model development

583   to account for more realistic constitutive laws based on the critical state theory (Roscoe et al., 1958;

584   Schofield and Wroth, 1968), which would enable the evolution of plastic deformation.

585   We studied three cases of slow-moving landslides located in California. Their velocity changes

586   are governed by precipitation, while different magnitudes of acceleration were observed for each

587   site. The Two Towers landslide exhibited rates from around 0.01 to 0.04 m/yr, while Minor Creek

588   landslide exhibited rates from 0.2 to 1 m/yr with a large increase in displacement during the 1984

589   wet season. The sliding velocity of Mud Creek landslide, before the catastrophic failure, falls in

590   between the above two cases. It is not surprising that the three landslides experienced different

591   magnitudes of sliding movement and exhibited different behaviors. Several factors can lead to this

592 phenomenon: topography (Table 1), local precipitation (Fig 9c; Fig 10c; Fig. 11c), groundwater

593 hydrology, variations in material properties, stress level (i.e., thickness), and more. Considering

594 these complex conditions, we find it may always be reasonable to use inverse analysis to optimize

595 parameters even when landslides occur within the same region and appear similar.

596 As for our optimized parameters (Table 1), they all fall in range obtained from laboratory tests or

597 field observations as explained in Section 4.2. Yet, the optimized friction angle of Mud Creek

598 landslide (φ =47.8°) is much larger than values typically observed from laboratory tests on

599 landslide materials. We propose this high back calculated value results from a few reasons: first,

600 we assume the landslide is fully saturated and has zero cohesion. If we accounted for cohesion and

601 lower pore-water pressures this would offset the strength required by the friction angle to maintain

602 stability. Second, Mud Creek landslide was steep (average slope angle 32°) which requires a

603 relatively high friction angle (~30 °) to remain stable during the dry season.

604 Both the Minor Creek and Two Towers landslides have been the subject of previous investigations

605 and modeling efforts (e.g., Iverson and Major, 1987; Iverson, 2005; Schulz et al., 2018a). Iverson

606 (2005) explained the seasonal dynamics of Minor Creek landslide using a shear-induced dilation

607 model with interfacial hydro-mechanical coupling. In agreement with this prior work, our

608 simulation leads to an acceptable representation of both the magnitude and the rate of sliding,

609 which in all cases displayed the attributes of a stable, self-regulated episodic creep. Moreover,

610 while our analysis enabled for inherent differences between the diffusivity of the landslide material

611 and that of the basal shear zone (the latter being mediated by frictional/dilative properties), the

612 good agreement between our results and those reported by Iverson (2005) suggest that inelastic

613 effects play a limited role in the diffusivity of landslides not yet undergoing runaway motion (i.e.,

614 not having reached local shear instability conditions and/or critical state). This argument is also

615   relevant for more recent extensions of coupled sliding-consolidation analyses specific for multi-

616   dimensional domains (Iverson and George, 2014; George and Iverson, 2014). However, since

617   these approaches also rely on poroelastic diffusivity models, the potential implications of inelastic

618   deformation on the pore-water pressure diffusivity may warrant further study, especially in the

619   presence of liquefied materials (Rice, 1975; Chen and Buscarnera, 2022).

620   The activation and arrest of Two Towers landslide site was closely examined by Schulz et al.

621   (2018a) through a limit equilibrium method incorporating a new strength coefficient governed by

622   clay swelling. They concluded that the additional strength imparted by swelling effects controlled

623   the lag between the water level fluctuation and landslide activation. In our work, we found that

624   shear-induced dilation can also partially explain the lag between when pore-water pressures above

625   the shear zone rise and when the landslide starts to move, with notable mismatches described above.

626   However, we note again that Schulz et al. (2018a) concluded that neither field measurement nor

627   laboratory tests indicated shear-induced dilation at the Two Towers landslide. These observations

628   warrant questions as to why a dilation model was used in this work. One of the core reasons behind

629   this choice is that dilation is commonly invoked as a key strengthening mechanism that permits

630   slow and stable motion of creeping landslides (Iverson et al., 2000; Iverson, 2005; Agliardi, et al.,

631   2020), and it thus deserves full consideration whenever testing any new hydro-mechanical

632   formulation for the prediction of landslide motion. Hence, one of our key goals was to determine

633   to what extent dilation can explain any of the observed behaviors documented in the literature.

634   Despite the ability of our model to capture the overall trends at the Two Towers site, the difficulty

635   of achieving an accurate match of both hydraulic and mechanical response (paired with the already

636   mentioned challenges of constraining the value of dilation in the field) indicates that dilation alone

637   may not suffice to explain the observed dynamics and must then be studied in conjunction with

638   other processes, such as clay swelling. In our opinion, only fully coupled, deformation-based

639   approaches encompassing all the potential causes of self-regulating motion can definitively reveal

640   which factors play a primary role, as opposed to those that are secondary and may be regarded as

641   inessential to explain field observations. While this more complete analysis was not attempted here,

642   our proposed framework enables future extensions through the incorporation of constitutive laws

643   with suction-induced swelling and other moisture-regulated inelastic processes (Song et al., 2020).

644   While we have shown that the flow-deformation coupling may in part regulate landslide behaviors,

645   there are other widely used models to simulate slow-moving landslides. The most common of these

646   are viscoplastic models (Van Asch et al., 2007; Angeli et al., 1996; Oberender and Puzrin, 2016),

647   which can be used to depict the time-dependent behaviors of earthen materials (Mitchell et al.,

648   1968; Liingaard et al., 2004; Marinelli et al., 2018). Ring shear tests of samples taken from Two

649   Towers landslide showed the friction angle varies ~21° and ~24° with shear rates from 0.01 to 1

650   mm/s (Schulz et al., 2018b). These findings imply some the landslide material exhibits some rate

651   dependency, although at the range of the sliding rates exhibited in the field. It thus indicates that

652   viscoplastic models can be used to capture creeping landslide movement under quasi-static

653   conditions (Li et al., 2023). However, these models may not always be appropriate for landslides

654   forming within earthen materials exhibiting negligible viscosity (Iverson, 2020). In this manuscript,

655   the proposed hydro-mechanical coupled framework was able to describe landslide creep without

656   incorporating earthen material viscosity. Yet, its mathematical formulation does not hinder the

657   possibility of accounting for viscous effects, which can be readily inserted by expressing the

658   inelastic strain rate in Eq. (15) by a viscoplastic flow rule. In a future perspective, this possibility

659   can prove useful to quantify the peak velocity of flow-like landslides (Chen and Buscarnera, 2022),

660   as well as to replicate temporal patterns of landslide creep more complex than standard episodic

661   slips (Li et al., 2023).

6. Conclusions

663   We developed a modelling framework enabling the study of rainfall induced landslide dynamics,

664   with the goal to account for the interaction between precipitation, pore-water pressure change, and

665   inelastic deformation within the shear zone of active landslides. Our framework involves two

666   sequential diffusion processes, one within a rigid landslide block and another within an

667   inelastically deformable basal shear zone. While the former is used to simulate hydraulic forcing

668   across the landslide material, the latter enables explicit consideration of the inelasticity of the shear

669   zone material, thus modulating the timescale of sliding and pore-water pressure diffusion through

670   dedicated constitutive laws. Spatial condensation procedures are used to derive a set of coupled

671   ordinary differential equations reflective of the landslide dynamics and accounting for the

672   feedback between transient water flow, inertial movement, and material inelasticity. To illustrate

673   the main characteristics of the proposed framework, the model was linked with a perfectly plastic

674   frictional law enabling dilation and/or contraction of the shear zone material during sliding.

675   We showed that the model can operate both under elastic and plastic regimes. By suppressing

676   plastic effects, our model is able to simulate delayed hydraulic forcing as a function of the

677   diffusivity of both the landslide material and shear zone. Moreover, we found that sliding can be

678   simulated if the hydraulic forcing drives the effective stress state to the plastic regime. The

679   simulations indicate that the onset of plasticity starts to generate negative excess pore-water

680   pressure, which regulates the sliding dynamics through constitutive feedbacks modulating the

681   effective diffusivity of the basal shear zone. Case studies indicate that distinct types of landslide

682   behaviors can be simulated satisfactorily with reduced computational cost and a limited number

683   of model parameters. Our model framework enables the computation of self-feeding catastrophic

684   failure in the presence of either contractive shear zone material (e.g., liquefaction events) or critical

685   state conditions (i.e., no dilation or contraction) and self-regulating (i.e., dilatative) episodic and/or

686   quasi-steady landslide motion. The main advantage of our proposed framework is the virtually

687   endless opportunities it offers to augment the constitutive description of the shear zone material

688   that can describe various mechanical-hydrologic feedbacks.

689

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696

697   **Appendix 1. Simplification of water mass balance using Parabolic assumption**

698   In this manuscript, in order to solve the 2nd order PDE, $\frac{k^b}{\gamma_w}\frac{\partial^2 p_w}{\partial z^2} + \dot{\varepsilon} = 0$, a parabolic p_w^e (excess

699   pore-water pressure) profile (Wood, 2004) is used to simplify the computation. We write the total

700   pore-water pressure expression:

701    $p_w = p_w^s + p_w^e,$ (A1)

702 where p_w^s is the stationary (steady state) pore-water pressure, its value is influenced

703 instantaneously by the applied pore-water pressure at the top of the shear zone. Here, we assume

704 that the p_w^e distributed in a parabolic profile (Wood, 2004) as illustrated in Fig. A1:

705 $p_w^e = a\xi^2 + b\xi + c.$ (A2)

706 where ξ represents the normal distance from the base of shear zone. We can thus obtain that at the

707 bottom of the shear zone, where $\xi = 0$:

708 $c = p_w^{eb} = p_w^b - p_w^{sb}.$ (A3)

709 Where the superscript b indicates the pore-water pressures values are for the basal shear zone.

710 While, at the top of the shear zone, $\xi = h_s cos\theta$, $p_w^{et} = 0$ because the top of the shear zone is

711 assumed to be drained (no excess pore-water pressure can be built up), thus:

712 $ah_s^2 cos^2\theta + bh_s cos\theta + p_w^{eb} = p_w^{et} = 0.$ (A4)

713 Meanwhile, the bottom of shear zone is undrained, so that the p_w^e distribution will be symmetric

714 above and below the $\xi = 0$ surface. Therefore, at $\xi = -h_s cos\theta$:

715 $ah_s^2 cos^2\theta - bh_s cos\theta + p_w^{eb} = 0.$ (A5)

716 Adding Eq. A4 with Eq. A5, we get:

717 $a = \dfrac{-p_w^{eb}}{h_s^2 cos^2\theta}.$ (A6)

718 As the stationary (steady state) pressure will be changed simultaneously within the whole shear

719 zone, from Eq. A2 and Eq. A6, we can get: $\dfrac{\partial^2 p_w}{\partial z^2} = \dfrac{\partial^2 (p_w^e)}{\partial \xi^2} = 2a = 2\dfrac{p_w^{sb} - p_w^b}{h_s^2 cos^2\theta}.$
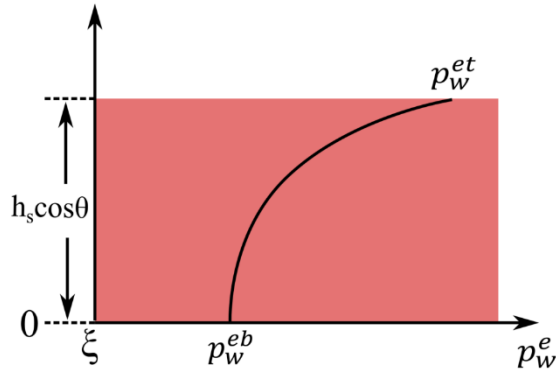
720

721   **Figure A1. Schematic of parabolic distributed p_w^e.**

722

723   **Appendix 2. Hydrological parameter determination of Mud Creek landslide**

724   There are no ground-based observations of pore-water pressure changes for the Mud Creek

725   landslide. In order to obtain the hydrological response for Mud Creek landslide, we used field data

726   from the Minor Creek landslide site to train our hydrological model. Both Minor Creek and Mud

727   Creek landslide located in KJf, we assumed that the sliding surface of them experience similar

728   hydrological changes driven by rainfall. Our assumption is reasonable based on the hydrological

729   observations of KJf at numerous sites throughout California (Iverson and Major, 1987; Schulz et

730   al., 2018a; Hahm et al., 2019; Finnegan et al., 2021).

731   In order to calibrate the model parameters for Mud Creek landslide, we adjusted the simulated

732   landslide thickness for Minor Creek to 20 m thick (i.e., Mud Creek thickness); we then back

733   calculated the parameters that would lead to simulation results that match the Minor Creek

734   observation. Fig. A2 shows the $k^t = 3 \times 10^{-6}$ m/s (saturated permeability of landslide material) and

735   S_s (storage coefficient) changes to 0.14 m^{-1} are reasonable values. The back calculated diffusivity

736   2×10^{-5} m^2/s still falls in the range of estimation.
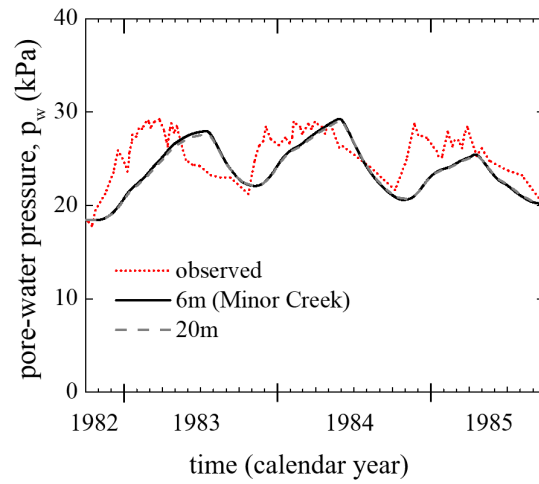
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738 **Figure A2. Calibration of Mud Creek hydraulic parameters of the landslide material, simulation of Minor**
739 **Creek compared with 20 m depth.**

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