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1 ***Pseudomonas aeruginosa* ventricular assist device infections: Findings from**
2 **ineffective phage therapies in five cases**

3

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34 **Key words:** device-related infection, LVAD, *Pseudomonas aeruginosa*, phage therapy, MDRO

35

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37

38 **ABSTRACT** (245 words)

39

40 **Background**

41 Left ventricular assist devices (LVAD) are increasingly used for management of heart failure;
42 infection remains a frequent complication. Phage therapy has been successful in a variety of
43 antibiotic refractory infections and is of interest in treating LVAD infections.

44

45 **Methods**

46 We performed a retrospective review of four patients that underwent five separate courses of
47 intravenous (IV) phage therapy with concomitant antibiotic for treatment of endovascular
48 *Pseudomonas aeruginosa* LVAD infection. We assessed phage susceptibility, bacterial strain
49 sequencing, serum neutralization, biofilm activity, and shelf-life of phage preparations.

50

51 **Results**

52 Five treatments of 1-4 wildtype virulent phage(s) were administered for 14-51 days after
53 informed consent and regulatory approval. There was no successful outcome. Breakthrough
54 bacteremia occurred in 4 of 5 treatments. Two patients died from the underlying infection. We
55 noted a variable decline in phage susceptibility following 3 of 5 treatments, 4 of 4 tested
56 developed serum neutralization, and prophage presence was confirmed in isolates of two tested
57 patients. Two phage preparations showed an initial titer drop. Phage biofilm activity was
58 confirmed in two.

59

60 **Conclusions**

61 Phage susceptibility alone was not predictive of clinical efficacy in *P. aeruginosa* endovascular
62 LVAD infection. IV phage was associated with serum neutralization in most cases though lack
63 of clinical effect may be multifactorial including presence of multiple bacterial isolates with
64 varying phage susceptibility, presence of prophages, decline in phage titers, and possible lack of
65 biofilm activity. Breakthrough bacteremia occurred frequently (while the organism remained

66 susceptible to administered phage) and is an important safety consideration.

67 **INTRODUCTION**

68 Heart failure affects more than 64 million people worldwide.¹ Mechanical circulatory support
69 devices have been increasingly utilized for managing heart failure that is refractory to medical
70 therapy, with increasing numbers supported on left ventricular assist devices (LVAD) either as a
71 bridge to heart transplantation or as destination therapy.²

72
73 One main complication of prolonged LVAD support is device related infection, occurring in
74 about a third of LVAD recipients, and associated with a high degree of morbidity and
75 mortality.^{2,3} *Pseudomonas aeruginosa* is a very common etiologic agent, and frequently is
76 multidrug resistant (MDR).³ In general, once infected, LVAD recipients remain on long term
77 antibiotics for suppression as cure of the infection is not possible without device removal.³
78 Recent successful outcomes with bacteriophage (phage) therapy (viruses that directly infected
79 and lyse their bacterial host) in MDR and antibiotic recalcitrant infections has prompted phage
80 use for LVAD infections as well.⁴ Large gaps in knowledge remain, which have contributed to
81 continued treatment heterogeneity in approach, formulation, and clinical investigation.
82 Moreover, relatively few phages have been shown to be effective in the clinical management of
83 device related infection.⁴⁻¹⁰

84
85 In this study, we carried out a retrospective analysis of four patients with MDR *P. aeruginosa*
86 LVAD endovascular infections that were treated with five distinct courses of phage therapy and
87 concomitant antibiotics. None of the treatments were successful and we investigate potential
88 causes of treatment failure including phage, bacteria and patient factors.

89

90 **METHODS (further details in Supplement):**

91

92 **Regulatory aspects**

93 All patients were treated after obtaining informed consent and approval to proceed from
94 regulatory authorities. Two patients (Cases 1, 2.1, 2.2) were treated at the University of
95 California San Diego hospital (San Diego, CA) under compassionate single use authorizations
96 from the Food and Drug Administration and institutional review board (IRB) approval from the
97 Human Research Patient Protection committee (IRB #200163). Two patients (Cases 3, 4) were
98 treated at the Schnieder Childrens Hospital (Petach Tikva, Israel) and Sheba Medical Center
99 (Ramat-Gan, Israel) respectively with informed consent and approval from the local IRB and
100 Israeli Ministry of Health.

101

102 **Antibiogram**

103 Bacterial isolates were tested by the relevant clinical microbiology laboratories at each hospital
104 using VITEK® 2 (BioMérieux) for most antibiotic susceptibility data and the disk diffusion
105 method for ceftolozane/tazobactam.

106

107 **Phage susceptibility testing**

108 Clinical *P. aeruginosa* isolates were cultured and all treatment phages were confirmed to have
109 lytic activity by one of the following methods: 1) double agar overlay or spot titer method to
110 visualize plaques on agar lawns as previously described, or) time-kill kinetic curves.¹¹⁻¹⁴

111

112 **Anti-biofilm testing**

113 Phage anti-biofilm activity was carried out on Case 3's bacterial isolate using both a static
114 biofilm model in 96-well microplate as previously described¹⁵ and a dynamic model in which
115 biofilm was grown in a chamber connected to a peristaltic pump with circulating bacterial culture
116 to which phage was added after 24 hours as previously described.¹⁶

117

118 **Phage-antibiotic synergy**

119 PASA16 (used in Cases 3 and 4) was confirmed to have synergy with co-treatment antibiotic via
120 the checkerboard assay using the patient's bacterial isolates.¹⁷

121

122 **Serum phage neutralization**

123 To assess whether the patient's serum contained phage-neutralizing antibodies, serum was
124 diluted between 1:5 to 1:640 in PBS and incubated with phage serum between 1:1 to 1:10 for 30
125 min up to 24 hours. Samples were then titrated to count viable plaques after incubation using soft
126 agar overlay method.

127

128 **Whole genome sequencing of bacterial isolates** (detailed in Supplement).

129

130 **RESULTS:**

131 Four patients received five separate courses of antibiotic and phage combination therapy. Table 1
132 summarizes the clinical course of each case, along with details regarding phages used and Figure
133 1 depicts a visual timeline of the duration of phage therapy, concomitant antibiotics used, and
134 episodes of breakthrough bacteremia. All phages were sequenced prior to therapeutic use and
135 lacked antibiotic resistance genes as well as genes denoting lysogenic potential such as integrase
136 and repressor genes (data not shown). Table 2 summarizes the key investigational aspects of
137 each phage course that may have contributed to a failed outcome. Supplement Table 1 depicts
138 antibiotic susceptibility profiles of all *P. aeruginosa* isolates.

139

140 **Case 1**

141 This was a 60- year-old male with LVAD placement in 2013 complicated by *P. aeruginosa*
142 bacteremia and device infection since March 2017 leading to recurrent bacteremia, persistent
143 drainage from the driveline and sternotomy sites, and multiple hospitalizations. He was treated
144 with several prolonged courses of intravenous (IV) antibiotics (piperacillin-tazobactam,
145 cefepime, meropenem) and surgical debridement. He eventually received a 42-day course of
146 three lytic phages PaBAP5 ϕ 3, PaMTAE8 ϕ 1, and PaMTAE8 ϕ 3 (details in Table 1) with
147 concomitant antibiotics. Pre-phage blood cultures on Day1 were negative; however, blood
148 cultures on Days7 and 13 both grew *P. aeruginosa*. These new isolates had different antibiotic
149 susceptibility patterns leading to antibiotic change to ceftazidime on Day14. Blood cultures on
150 Day17 were negative but Day19 grew *P. aeruginosa* again. The patient remained afebrile,
151 hemodynamically stable, and overall asymptomatic other than chronic driveline drainage.
152 Imaging was negative for an abscess and transesophageal echocardiogram was negative for
153 vegetations. His central line was changed but Day22 blood cultures remained positive.
154 Eventually, bacteremia cleared on a combination of ceftazidime-avibactam and aztreonam and
155 the patient remained on systemic antibiotics until heart transplant approximately seven months
156 later; surgical cultures were positive for *P. aeruginosa*.

157

158 ***Phage susceptibility:*** Baseline blood and driveline *P. aeruginosa* isolates as well as
159 breakthrough bacteremia isolates on Days 7 and 13 remained susceptible to the phage cocktail
160 (Figure 2).

161

162 ***Serum Neutralization:*** At the time this patient was treated in 2017 we did not routinely save
163 serum samples. In this case, only the Day17 sample was tested and revealed reduction in viable
164 PFU against all three phages used to treat the patient -75.7% reduction in PaBAP5 ϕ 3, 57.6%
165 reduction in PaMTAE8 ϕ 1, and 53.7% in PaMTAE8 ϕ 3 (Supplement Table 2A). Both hazy and
166 clear plaques were noted with 2 of 3 phages (PaBAP5 ϕ 1, PaBAP5 ϕ 3). Additionally, there were
167 no plaques observed when plating 100 ul of serum with the *P. aeruginosa* host strain.

168

169 ***Bacterial isolate sequence, assembly, and annotation:*** Comparison of one pre- and one
170 breakthrough isolate (GD1-2) genomes revealed that they were identical (Supplement Table 3).
171 These isolates encoded more genes associated with antimicrobial resistance than did the
172 reference strain (186 versus 49, Supplement Table 4).

173

174 **Case 2.1**

175 An 82-year-old male with an LVAD placed in 2017; his course was complicated with *P.*
176 *aeruginosa* recurrent bacteremia, driveline infection, and multiple hospitalizations over the next
177 two years. He was admitted with *P. aeruginosa* bacteremia and IV 2-phage cocktail (PAK_P1
178 and E217) along with piperacillin-tazobactam was initiated. (Table 1, Figure 1). He also received
179 a single intraoperative dose of the same phage cocktail at the site of infection following surgical
180 debridement on Day 8; surgical cultures were positive for *P. aeruginosa*. After 15 days of the
181 PAK_P1 and E217 combination, the E217 was discontinued and PAK_P1 was continued as
182 monotherapy for 11 days as we found that the Day 8 driveline pseudomonal isolate was resistant
183 to E217 (but remained susceptible to PAK_P1; the blood isolate from Day 4 was unfortunately
184 not tested). A new phage PAK_P5 was added to the ongoing PAK_P1 on Day 28 and the new
185 combination was continued through Day 50 of phage treatment. Weekly wound cultures
186 following surgery were negative and phage/antibiotic were stopped after 50 days. By this time,
187 the previous sinus tract site had healed with resolution of drainage. One week after stopping
188 treatment, the patient was readmitted with septic shock, recurrent *P. aeruginosa* bacteremia and
189 an LVAD-related abscess. New purulent drainage from the previous sinus tract grew *P.*
190 *aeruginosa*. However, the isolate exhibited a different antibiotic susceptibility pattern than before
191 (Supplement Table 1). He subsequently had two more admissions with similar clinical
192 presentations.

193

194 **Phase susceptibility:** Five baseline isolates were collected from the patient's driveline (PaD1, 2,
195 3, and 5) and blood (PaD4) starting 52 days before the first course of phage. PAK_P1 was highly
196 lytic against all, PAK_P5 showed intermediate activity, and E217 was inactive against 3 of 5
197 isolates at baseline (Figure 3A, Supplement Table 5). Phylogenetic analysis based on whole
198 genome single nucleotide polymorphisms (SNPs) confirmed the presence of three clades (Figure
199 2B).

200

201 After eight days of IV phages, *P. aeruginosa* driveline isolate (PaD6) exhibited a fourth pattern
202 of susceptibility with PAK_P1 and PAK_P5 showing high activity and E217 inactive (Figure
203 2A). This prompted PAK_P1 only treatment from Days 16-27, and addition of PAK_P5 for the
204 remainder of the course. Phage susceptibility of post-phage blood (PaD7), driveline (PaD8), and
205 sternum (PaD9) cultures displayed reduced susceptibility to PAK_P1 and PAK_P5. Although all
206 three isolates belonged to the same clade as the originating PaD1 though PaD9 diverged
207 significantly from PaD1 (Figure 2B).

208

209 **Stability of the clinical phage preparations:** Undiluted PAK_P1 and E217 stock solutions
210 remained stable when titered after 31 days (PAK_P1 5.78×10^{10} PFU/mL, E217 1.89×10^{11} PFU/

211 mL). However, the diluted patient dose samples contained 7.58×10^5 PFU/mL PAK_P1 and 1.63
212 $\times 10^8$ PFU/mL E217 which was a 4-log loss of PAK_P1 and a 1-log loss of E217 at point of
213 administration. Titration on Day69 revealed that phages did not decay as significantly after the
214 first titer drop. Stability of PAK_P5 was not tested.

215

216 **Case 2.2**

217 The same patient as Case 2.1 was readmitted for the third time with recurrent *P. aeruginosa*
218 bacteremia approximately three months after the first phage therapy course. Bacteremia was
219 cleared on ceftolozane/tazobactam, ciprofloxacin, and tobramycin. A second IV course of a 4-
220 phage cocktail PPM3 was administered for 36 days (Table 1). On Day29 of phage treatment, he
221 had an aspiration event, septic shock, fever, and multisystem organ failure. While still on phage,
222 his blood cultures were now positive for *P. aeruginosa*. Due to overall poor prognosis, the
223 patient transitioned to comfort care and passed.

224

225 **Phage susceptibility:** New custom phage cocktail, PPM3 (phages Epa11, Epa17, Epa22, Epa39),
226 commenced 105 days after the end of the first phage course (Case 2.1). These phages were
227 chosen from a library of seven *Pseudomonas* phages screened for lytic activity on the initial
228 PaD1-9 isolates and two additional sternum isolates PaD10-11. (Figure 3A, Supplement Table
229 6). All four phages were mostly active against all 11 isolates, except PaD4 which was resistant to
230 all PPM3 phages. On the contemporary isolates PaD10-11, Epa11 and Epa39 were highly lytic,
231 Epa22 showed variable activity, and Epa17 only moderate activity (Figure 3A). PaD10-11 were
232 in the clade with PaD1 and most closely related to PaD9 (Figure 3B). PaD4 also belonged to the
233 same clade but showed divergence from the cluster of PaD9-11. Variability in phage
234 susceptibilities to individual phages, including PaD4, did not appear to correlate with timing of
235 phage therapy.

236

237 After 36 days of PPM3 treatment, two new breakthrough bloodstream isolates, PaD12-13,
238 exhibited an inversed susceptibility pattern (Figure 3A). Although both isolates were still
239 susceptible to all four phages, phages Epa11, Epa22, and Epa39 had reduced efficiency of plating
240 (EOP) while the Epa17 exhibited increased EOP. Phylogeny showed that PaD12-13 had
241 diverged from the contemporary isolates PaD9-11 (Figure 3B). Likewise, in Case 2.1, isolates
242 during phage treatment evolved reduced susceptibility towards phages and antibiotic.

243

244 **Biofilm activity of the phage:** PPM3 was not tested specifically against the patient isolates but
245 has known anti-biofilm activity when tested against a laboratory *P. aeruginosa* isolate
246 (Supplement Figure 2).

247

248 **Serum neutralization:** We did not see any serum neutralization for three of the phages used in
249 this case (Epa11, Epa 22, Epa39) from Days1-30 of PPM3 administration. Minimal
250 neutralization was seen with Epa17 at Day30 (Supplement Figure 3).

251

252 **Stability of the clinical phage preparations:** Total (4-phage) titers on days 3, 14 and 60 were 6.0
253 $\times 10^8$, 2.0×10^8 and 5.5×10^4 PFU/mL respectively. Thus, a one-log decrease was noted at day 3,
254 remained stable at Day14 and had a 4-log drop by 2 months.

255

256 **Isolate sequence, assembly and annotation of Cases 2.1 and 2.2:** All 13 bacterial isolates from
257 this patient were strains of the same sequence type (a novel sequence type by a traditional MLST
258 scheme for *P. aeruginosa* most similar to ST-690) and closely related to each other. However,
259 there were genomic differences between isolates that had some correlation with differences in
260 phage susceptibilities (Supplement Tables 7, 8). The genomes of isolates PaD1-9 contained two
261 prophages that were predicted in all 9 strains.

262

263 **Case 3**

264 A 10-year-old female with a genetic cardiomyopathy was admitted with cardiogenic shock and
265 underwent placement of a Berlin heart Excor VAD in January 2019 as a bridge to transplant. In
266 August 2019, she developed recurrent and almost persistent *P. aeruginosa* bacteremia attributed
267 to endovascular LVAD infection. She was treated with several IV antibiotics including
268 ceftazidime, ciprofloxacin, piperacillin-tazobactam and eventually due to increasing drug
269 resistance, with ceftolozane/tazobactam and amikacin. In September 2019, she developed an
270 intracranial hemorrhage requiring ventriculoperitoneal shunt. While on antibiotics, she
271 developed fever and recurrent *P. aeruginosa* bacteremia and was started on IV monophage
272 PASA16^{18,19} twice daily plus meropenem. During phage and antibiotic treatment, she had fever
273 spikes every 3-4 days along with altered consciousness, without shunt malfunction or elevated
274 intracranial pressure; however, blood cultures remained negative. After 33 days on phage and
275 antibiotic combination, the patient clinically worsened with daily fever and worsening
276 consciousness, but multiple blood cultures remained negative, C-reactive protein was normal,
277 and meningoencephalitis was excluded. Phage and meropenem were stopped on Day51 due to
278 concern for inflammatory/allergic reaction to either the antibiotic or phage. The patient remained
279 febrile and three days after stopping the phage and meropenem, blood cultures were again
280 positive for MDR *P. aeruginosa*. This isolate had similar antibiotic susceptibilities as the pre-
281 phage isolate. Due to poor neurological status, persistent *P. aeruginosa* infection, and failure to
282 thrive, the patient transitioned to palliative care and passed.

283

284 **Phage susceptibility:** Baseline bacterial isolates, SH1-2 were susceptible to PASA16 when tested
285 by plaque assay and growth kinetics (Figure 4A, 4B). The activity of PASA16 was also tested in
286 the presence of sub-inhibitory concentrations of various antibiotics (Figure 4C). Based on these
287 results, PASA16 and meropenem combination was chosen for clinical use. Two additional *P.*
288 *aeruginosa* isolates were collected after the end of phage therapy, SH3-4; both had reduced
289 phage plaquing denoting reduced phage susceptibility.

290

291 **Serum Neutralization:** Baseline serum did not neutralize phage; however, serum on Days7, 12,
292 19 demonstrated almost undetectable phage recovery indicating serum neutralization
293 (Supplement Table 2B).

294

295 **Biofilm activity:** PASA16 demonstrated reduction of SH1-2 biofilms in vitro using static and
296 dynamic models (Figures 4E-F).

297

298 **Isolate sequence, assembly and annotation:** Isolates SH1, SH2 and SH4 were identical on
299 sequencing and SH3 showed 98% identity with them. Regarding lysogens, spontaneous plaques
300 were observed in the cultures of SH1, SH3 and SH4 but we could not observe them on SH2.
301 PHASTER (<https://phaster.ca/>) analysis detected 5 putative lysogens in the genome of the
302 strains, but no induction was observed.

303

304 **Stability of the clinical phage preparations (Case 3, 4):** Stability of PASA16 was tested after
305 storage for a year in -80C and no reduction in titer was observed. Moreover, PASA16 was later
306 used in several other treatments and in all cases titers remained stable.¹⁹

307

308 **Case 4**

309 A 52-year-old male was admitted in June 2021 with cardiogenic shock requiring extracorporeal
310 membrane oxygenation and then LVAD. Two months later purulent discharge from the LVAD
311 driveline was positive for *P. aeruginosa* which was treated with ceftazidime for 6 weeks though
312 the patient had recurrent episodes of driveline drainage that was treated with ciprofloxacin or
313 ceftazidime based on antibiotic susceptibility data. In August 2022, the patient developed
314 persistent *P. aeruginosa* bacteremia. He was treated with several antibiotics including
315 ciprofloxacin, piperacillin/tazobactam, ceftazidime, meropenem and gentamicin without
316 clearance of the bloodstream. In November 2022, PET/CT scan demonstrated infection along the
317 driveline and around the pump itself, including the deep cannula of the device. In April 2023, IV
318 monophage PASA16 and ceftazidime co-therapy was initiated for 2 weeks. Patient became

319 bacteremic again on day 12 of phage therapy and thus therapy was not continued. Phage
320 PASA16 susceptibility on the initial infection isolates (August 2022) and PASA16 synergy with
321 ceftazidime, was used to determine combination formulation.

322

323 **Phage susceptibility:** Baseline bacterial isolate, C393 (August 2022) was susceptible to PASA16
324 when tested by plaque assay and growth kinetics (Figure 5A, 5B). The activity of PASA16 was
325 also tested in the presence of sub-inhibitory concentrations of various antibiotics (Figure 5C).
326 Based on these results, PASA16 and ceftazidime combination was chosen for clinical use.
327 Additional *P. aeruginosa* isolate, C442, was collected after the end of phage therapy. This isolate
328 had increased phage susceptibility as demonstrated by plaque assay (Figure 5A).

329

330 **Serum Neutralization:** Baseline serum neutralized phage by 2 logs; however, serum on Day8
331 demonstrated undetectable phage recovery indicating complete serum neutralization (Figure 5E).

332

333 **Isolate sequence, assembly and annotation:** Sequencing was not performed on isolates C393
334 and C442

335

336 **DISCUSSION**

337 Interest in phage therapy for antibiotic recalcitrant infections is growing rapidly and current
338 interest far outstrips availability of phage.⁵ The current literature has a preponderance of
339 successful cases and unsuccessful cases are not published.⁴ In this paper, we describe the clinical
340 course of four patients with *P. aeruginosa* LVAD endovascular infections that were treated with
341 five separate courses of phage therapy and explore reasons for unsuccessful outcomes.

342

343 Infections occur in up to a third of LVAD recipients and commonly are due to *Staphylococcus*
344 *aureus* and *P. aeruginosa*.³ In general, once the device is infected, targeted antimicrobial therapy
345 is usually long-term as antibiotics alone cannot resolve the biofilm based infection; over time
346 patients may develop increasing antimicrobial resistance as well as superinfections from other
347 organisms. All our cases had persistent MDR pseudomonal LVAD infection associated with
348 recurrent bacteremia and hospitalization. Several case reports demonstrate cure of cardiac device
349 infections with phage and antibiotic combination: these include two cases of localized *P.*
350 *aeruginosa* driveline infections without vascular infection,^{8,9} a case of MDR *Klebsiella*
351 *pneumoniae* LVAD pump and driveline infection (the device was exchanged in this case),²⁰ *S.*
352 *aureus* LVAD-related abscess with drainage and local application of phage,^{21,22} *S. aureus*
353 cardiovascular implantable electronic device infection (device explanted),²¹ and a case of *S.*
354 *aureus* LVAD infection associated with driveline infection, sternal osteomyelitis and bacteremia

355 (device removed during transplant).¹⁰ Only one case of *P. aeruginosa* driveline infection that
356 failed phage therapy has been published.²¹ We are unaware of successful outcomes of phage
357 therapy in the setting of LVAD endovascular infections marked by recurrent/ persistent
358 bacteremia due to *P. aeruginosa*.

359

360 In this study, we investigated each case to try and pinpoint reasons for the unsuccessful outcome,
361 though no single etiology was readily observed. All patients had longstanding device infection
362 associated with bacteremia with infection duration ranging between months to years. All
363 received IV phage in addition to systemic antibiotics. Only one case underwent debridement and
364 intra-operative local phage application. The infected devices remained in situ for all cases in our
365 series, as opposed to two previously published successful cases of LVAD bacteremia in which
366 the device was removed (though neither was due to *P. aeruginosa*).^{10,20} Other successful LVAD
367 phage publications have all been local device infections *without* bacteremia.

368

369 Baseline phage susceptibility testing was performed in all cases. For the second patient (Case
370 2.1, 2.2), the individual phages were active against a majority of baseline isolates though not all,
371 with some phages showing intermediate susceptibility pattern. However, in Cases 1, 3 and 4,
372 phages were active against all baseline isolates (though isolate collection was not as extensive).
373 Cases 2.1 and 2.2 clearly had several *P. aeruginosa* variants at baseline though all appeared
374 within the same MLST lineage and potentially reflected prolonged antibiotic pressure as the
375 infection had been present for a few years. The breakthrough bacterial isolate in Case 2.1 was
376 resistant to 1 of 3 phages (conversely remained susceptible to the other 2 phages) in the cocktail
377 being used; breakthrough isolates during phage therapy in Cases 2.2 and 3 showed reduced
378 plaquing denoting reduced susceptibility; however, the breakthrough isolate in Case 4 actually
379 had improved phage susceptibility. In Case 1, breakthrough isolates remained susceptible to the
380 phage cocktail as a whole but individual phages were not tested. Of note, there are no clear
381 definitions of what constitutes “susceptible”, “intermediate”, and “resistant” for phage and this
382 hinders our interpretations of in vitro results and subsequent phage choices, especially for
383 development of personalized therapies.²³ Within these limitations, it does not seem that bacterial
384 resistance to phage was responsible for clinical failure in these 5 phage treatments though “less”
385 susceptible isolates were noted at time of infection relapse in some cases.

386

387 In vitro studies demonstrate that synergy as well as antagonism is possible with various phage
388 and antibiotic combinations and inclusion in baseline assessment when considering phage
389 therapy is recommended.^{23–26} Cases 3 and 4 had baseline antibiotic and phage synergy testing
390 performed which assisted in using a synergistic combination for treatment; however this was not

391 associated with a successful outcome.

392

393 We planned to treat each patient with a phage concentration of at least 10^9 PFU/mL or higher.
394 However, stability testing of the clinical preparations demonstrated a modest drop in titers in
395 Cases 2.1 and 2.2. Case 1 was not tested and titers of PASA16 in Cases 3 and 4 were maintained.
396 Thus, it is unclear if lower than planned phage concentration impacted outcomes in Cases 2.1
397 and 2.2. Of note, the actual delivered phage concentration used in published case studies is
398 unknown as stability testing results have not been reported and so the threshold concentration for
399 a successful clinical outcome is not clear.

400

401 Four phage treatments were complicated by development of bacteremia while on phage
402 (associated with septic shock in Cases 2.1 and 2.2). Development of bacteremia after initiating
403 phage occurred within the first week in two cases; we hypothesize that this could potentially be
404 related to release of pathogens within the bloodstream as the device biofilm is rapidly degraded
405 by phage, as the isolates were mostly susceptible to the administered phages. This would be an
406 important safety point moving forward in the treatment of vascular device infections; it has not
407 been described in non-vascular infections treated with phage. Another possibility is that the
408 phage selectively eradicated a few specific isolates quickly from the many present at baseline
409 allowing for “less” susceptible isolates to take over the resulting ecological niche. Phage biofilm
410 activity was only confirmed in the setting of Case 3, though PPM3 (used in Case 2.2) has
411 demonstrated antibiofilm activity against a laboratory *P. aeruginosa* isolate. Given that these
412 were all biofilm based infections, we recommend assessment of biofilm activity against patient
413 isolates prior to development of personalized phage cocktails in a standardized fashion.²³
414 Development of bacteremia after phage initiation in endovascular infection is an important safety
415 consideration.

416

417 Phages elicit an immune response which has been described with several modes of
418 administration including enteral, nebulization and IV.²⁷⁻²⁹ At least in one published case, serum
419 neutralization of administered phage was associated with worsening clinical status²⁷ though this
420 has not been seen in other cases in which successful clinical outcomes occurred despite
421 development of serum neutralization.^{6,28} We noted complete serum neutralization in 4 of 5
422 treatments; this may have been an important element impacting effectiveness of phage therapy in
423 our patients and for endovascular infections in general. As noted, serum neutralization did not
424 develop in response to PPM3 used in Case 2.2; thus, phages that preferentially do not lead to
425 serum neutralization may be preferred in the setting of endovascular infection (though this one
426 issue alone may not be sufficient for clinical success).

427

428 Sequencing also noted the presence of prophages in the bacterial isolates from 2 patients (though
429 not assessed in the others) and this potentially may have impacted treatment outcome as well.

430 Previous studies demonstrate that in vitro induction of filamentous Pf4 prophages in *P.*

431 *aeruginosa* can lead to a change in antibiotic susceptibility pattern of the organism,³⁰ enhance

432 biofilm formation,³¹ trigger a maladaptive local immune response impairing bacterial clearance,³²

433 and can confer a competitive advantage against phage superinfection.³³ In our series, it is

434 unknown if presence of prophages in the patient isolates impacted clinical outcomes.

435

436 In summary, we describe the clinical course of five phage treatments for MDR *P. aeruginosa*

437 endovascular LVAD infections. Unfortunately, therapy failed in all cases, and we posit that this

438 may be due to a combination of factors including serum neutralization, presence of multiple

439 bacterial isolates at baseline with varying phage susceptibility patterns, development of reduced

440 phage susceptibility in some cases, presence of prophage, and lack of testing for anti-biofilm

441 activity. Additionally, assessment of phage titers of the administered product and phage-

442 antibiotic synergy may be informative for future cases. There may be other factors that we have

443 not investigated that are pertinent to the success of phage therapy in this specific clinical

444 situation. Lastly, we note an important safety concern with development of bacteremia after

445 phage initiation.

446

447 **GenBank Accession numbers:**

448 PAK_P1 # KC862297.1, PAK_P5 # KC862301.1, E217_# MF490240, EPa11: MT108727.1;

449 EPa17: MT108728.1; EPa22: MT108729.1; EPa39: MT118303.1

450

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459 §101 defines U.S. Government work as work prepared by a military service member or employee

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474

475

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482 Phage Therapeutics exists now but work presented in this manuscript predated it. Patent
483 PCT/US22/73852, METHOD OF TREATING DRUG RESISTANT ESKAPE PATHOGENS
484 USING THERAPEUTIC BACTERIOPHAGES was filed, but work reported in this manuscript
485 predated the filing.

486 Biswajit Biswas: Navy Work Unit # A1417. Dr. Biswas has a patent “Bacteriophage
487 compositions and methods of selection of components against specific bacteria”
488 US patent #10357522, which was licensed before.

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516 FIGURE LEGENDS

518 **Figure 1.** Timeline depicting phage duration, onset of positive blood cultures and antibiotic
519 therapy in five cases of multidrug resistant *Pseudomonas aeruginosa* left ventricular assist device
520 infections.

521

522 **Figure 2.** Susceptibility of *Pseudomonas aeruginosa* isolates from Case 1 to phages used in the
523 treatment of the patient using the Biolog method. This consisted of inoculation of standardized
524 bacterial suspensions with bacteriophages individually and in combination in 96-well microtiter
525 plates incubated at 37°C in a Biolog® machine for 24 hours. Bacterial respiration led to a
526 reduction of the tetrazolium dye leading to a color change which is depicted as relative units of
527 bacterial growth.

528

529

530 **Figure 3:** Antimicrobial susceptibility and relatedness of *Pseudomonas aeruginosa* isolates for
531 Cases 2.1 and 2.2. (A) Phage and antibiotic susceptibility of isolates PaD1-13. Susceptibility was
532 determined by spotting 4µL of 10⁹ PFU from a library of *Pseudomonas* phages to determine the
533 three candidate virulent myoviruses with the highest activity PAK_P1, PAK_P5, and E217 The
534 isolate ID indicates the order of isolation. The source, day, and timeline of sample collection are
535 listed, with day 0 being the start of first course phage administration (Case 2.1). Phage
536 susceptibility was tested using efficiency of plating (EOP) for both Cases 2.1 and Case 2.2
537 phages. Phage susceptibility is indicated as sensitive (blue), partial clearing as intermediate
538 (yellow), no plaquing as resistant (red), or not determined (white). Antibiot¹ susceptibility was
539 determined using VITEK® 2 in the clinical microbiologic laboratory. (B) Phylogenetic tree
540 assembled with the complete genome sequence of case originating isolate PaD1 and short read
541 sequences of PaD2 to 13. Branch colors indicate clades and lengths indicate relative evolutionary
542 distance.

543

544 **Figure 4.** Phage susceptibility testing for Case 3. A) Plaque morphologies of baseline
545 *Pseudomonas aeruginosa* isolates, Sh1 and Sh2. B) Growth curves of baseline Sh1, Sh2, and
546 combined culture of the two strains in 1:1 ratio as affected by the phage. Graphs are average of 3
547 replicates and standard deviations (SD) are shown. C) Growth curves of Sh1 and Sh2 combined
548 culture in the presence of various sub-inhibitory levels of antibiotics: ciprofloxacin (cipro),
549 gentamicin (genta), meropenem (mero). Graphs are average of 3 replicates and SD are shown. D)
550 Plaque morphologies of post-phage *Pseudomonas aeruginosa* isolates, Sh3 and Sh4. E-F) Effect
551 of PASA16 on the strains SH1 and SH2 which were isolated before the treatment, in biofilm
552 setting in two assays; CFU count in a 96-well plate static model (E) and biomass detection by
553 crystal violet staining in a flow model (F). * denotes differences with P-value < 0.05.

554

555 **Figure 5.** Phage susceptibility testing for Case 4. A) PFU of phage PASA16 on the
556 *Pseudomonas aeruginosa* isolates, C393 (left panel) and C442 (right panel). As a positive
557 control for PASA16 efficacy served the strain PA14 B) Growth curves of C393 alone and with

558 PASA16 phage. Graphs are average of 3 replicates and standard deviations (SD) are shown. C)
559 Growth curves of C393 in presence of combinations of PASA16 and various antibiotics in
560 concentration of their MIC and 0.1 MIC. Graphs are average of 3 replicates and SD are shown.
561 D) CFU count of the cultures presented in C, at the endpoint of experiment E) Effect of patient's
562 serum on the PFU of PASA16 pre- and post- treatment.

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