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A free-standing lithium phosphorus oxynitride thin film electrolyte promotes uniformly dense lithium metal deposition with no external pressure

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## 21 Abstract

Lithium phosphorus oxynitride (LiPON) is an amorphous solid electrolyte that has been 22 23 extensively studied over the last three decades. Despite the promise of pairing it with various 24 electrode materials, LiPON's rigidity and air sensitivity set limitations to understanding its intrinsic properties. Here we report a methodology to synthesize LiPON in a free-standing form 25 that manifests remarkable flexibility and a Young's modulus of ~33 GPa. We use solid-state 26 nuclear magnetic resonance and differential scanning calorimetry to quantitatively reveal the 27 chemistry of the Li/LiPON interface and the presence of a well-defined LiPON glass-transition 28 temperature of 207 °C. Combining interfacial stress and a gold seeding layer, our free-standing 29 LiPON shows a uniformly dense deposition of lithium metal without the aid of external 30 pressure. This free-standing LiPON film offers opportunities to study fundamental properties 31 of LiPON for interface engineering for solid-state batteries. 32

## 33 Introduction

One of the long-lasting debates on the fundamental understanding of LiPON material 34 pertains to the N bonding structure and its impact on the lithium transport properties. Early 35 studies on the chemistry of LiPON primarily relied on X-ray photoelectron spectroscopy (XPS), 36 where peak assignments have been disputed based on different hypothesis.<sup>1-3</sup> Alternative 37 methods suitable for probing the local bonding environment, such as neutron paired distribution 38 function (PDF) and solid-state nuclear magnetic resonance (ss-NMR), have previously been 39 unable to validate existing hypothesis due to the difficulty of obtaining a high enough signal-40 to-noise (S/N) ratio in the presence of the LiPON's substrate. Nevertheless, Lacivita et al. 41 managed to obtain sufficient sample for neutron PDF measurements by scraping LiPON from 42 the substrate.<sup>3</sup> Another aspect of LiPON research lies at its interfaces with various electrode 43 materials. Despite some recent knowledge gained on LiPON-associated interfaces,<sup>4-8</sup> the 44 electrochemomechanical properties of such interfaces appear not to have been explored, 45 although these could serve as critical metrics determining mechanical behaviors at the interface 46 that affects battery cycling.<sup>9</sup> Nevertheless, due to the presence of substrates, the limited 47 methodologies for studying the mechanical properties of LiPON have also created some 48 ambiguity in literature.<sup>10,11</sup> 49

50 The dilemma associated with the substrate and the lack of active material for 51 measurements originates from the conventional synthesis methods of LiPON thin film. In fact, 52 a variety of methods are available to synthesize LiPON besides radio frequency (RF) 53 sputtering.<sup>2,12–19</sup> (**Extended Date Table 1**). Methods that get around the use of substrate, such 54 as ammonolysis, plasma synthesis or ball milling, suffer from either altered LiPON properties 55 or the introduction of interfacial impedance between LiPON particles.<sup>17–19</sup>

In this work, we introduce a different methodology to synthesize a LiPON thin film that is 56 in free-standing form without a rigid solid substrate. By leveraging the form factor of this 57 flexible free-standing LiPON (FS-LiPON) thin film, fundamental insights have been obtained 58 from characterizations by ss-NMR, differential scanning calorimetry (DSC) and 59 nanoindentation. With the presence of interfacial stress at Cu/FS-LiPON interface and the 60 introduction of a gold seeding layer, we further demonstrate electrochemical deposition of 61 62 uniform and fully dense Li metal under zero external pressure, which provides fresh perspectives on interface engineering in bulk Li metal solid-state batteries. 63

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#### 65 A Flexible Free-standing LiPON Thin Film

Fig. 1a depicts the fabrication procedure of FS-LiPON. Before undertaking RF sputtering, 66 a spin-coating method was used to coat a clean glass substrate with photoresist. Details of the 67 spin-coating procedure can be found in the Methods. LiPON thin film was then deposited onto 68 the coated glass substrate by RF sputtering under N2 plasma. After RF sputtering, LiPON 69 sample was transferred into a container filled with Dimethyl carbonate (DMC) solvent in an 70 71 argon-filled glove box. The substrate and LiPON film were fully immersed in DMC for overnight. Photoresist was then dissolved by DMC, after which LiPON film delaminated from 72 73 the glass substrate and ready for pick-up. Unlike the usual way of producing LiPON thin film 74 on a solid substrate, this method yields LiPON film in a free-standing form and exhibits transparency and remarkable flexibility as shown in Fig. 1b and Supplementary Video S1. 75 Depending on the substrate size, deposition area and deposition time, the area, thickness and 76 sample amount of FS-LiPON can be controlled with this procedure (Extended Data Fig. 1). 77

We performed a variety of characterizations to ensure that the structure, chemical bonding 78 environments and electrical properties of FS-LiPON are not affected during the above synthesis 79 procedure. The cross-sectional scanning electron microscopy (SEM) and energy-dispersive X-80 81 ray spectroscopy (EDS) elemental mapping, shown in Extended Data Fig. 2, demonstrate that FS-LiPON retains its fully dense nature in this 3.7-µm-thick film and that P, O, and N elements 82 83 are uniformly distributed across the sample. The X-ray diffraction (XRD) results in Extended Data Fig. 3a indicate the amorphous characteristic of FS-LiPON. Fig. 1c shows the XPS result 84 of FS-LiPON thin film; the O 1s, N 1s, P 2p and Li 1s regions manifest consistent features with 85 substrate-based LiPON (Sub-LiPON) as shown in Extended Data Fig. 4 & 5 and reported in 86 the literature.<sup>20,21</sup> EDS elemental mapping in Extended Data Fig. 3B also confirm that N, P 87 and O elements are uniformly distributed on the surface of the FS-LiPON film. In its role as a 88 solid-state electrolyte (SSE), LiPON acts as an ionic conductor while also being an excellent 89 electrical insulator. Electrochemical impedance spectroscopy (EIS) and direct-current (DC) 90 polarization were subsequently employed to examine the electrical properties of FS-LiPON. 91 The EIS spectrum in Fig. 1d yields an ionic conductivity of 2.5×10<sup>-6</sup> S/cm for FS-LiPON, 92 consistent with that of Sub-LiPON shown in Extended Data Fig. 6. DC polarization plot in 93 **Fig. 1e** gives an electronic conductivity of  $1.2 \times 10^{-14}$  S/cm, on the order of that of Sub-LiPON 94 as reported in literature.<sup>21,22</sup> Therefore, despite its free-standing form, FS-LiPON exhibits 95 consistent properties with those of Sub-LiPON. 96



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Fig 1. Synthesis procedure and basic properties examination of FS-LiPON. a, Schematic of synthesis procedure for FS-LiPON. b, Optical photo of a transparent and flexible FS-LiPON thin film. c, XPS spectra of O 1s, N 1s, P 2p and Li 1s regions of FS-LiPON thin film. d, EIS plot of FS-LiPON. The equivalent circuit is shown as an inset, where R1 is the ionic resistance of FS-LiPON film and CPE1 is the dielectric polarization capacitance of FS-LiPON film. R2 and CPE2 are the resistance and capacitance generated by potential interfaces between copper and FS-LiPON at medium frequency. e, DC polarization result of FS-LiPON.

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# 105 Further Exploration of the Fundamentals of LiPON

The Li/LiPON interface remains one of the most important interfaces in the solid-state
battery field and shows extraordinary electrochemical stability.<sup>7,23</sup> As a model example to
demonstrate the advantage of using FS-LiPON for spectroscopic characterization, ss-NMR was
performed on Li/FS-LiPON sample and the results are shown in Fig. 2a-c. The Li/FS-LiPON
sample was prepared by depositing Li metal on FS-LiPON film using thermal evaporation. Fig.
2a shows the <sup>31</sup>P magic angle spinning (MAS) NMR spectra of FS-LiPON and Li/FS-LiPON.

A high S/N ratio of the NMR spectra was obtained, attributed to the increased sampling volume 112 permitted by freestanding form of the samples. Based on the previous assignments for FS-113 LiPON,<sup>24</sup> four different structural units are identified in each spectrum, including 114 orthophosphate tetrahedra  $PO_4^{3-}$  (Q<sup>0</sup><sub>0</sub>), P<sub>2</sub>O<sub>7</sub><sup>4-</sup> dimers (Q<sup>1</sup><sub>0</sub>), bridging-N P<sub>2</sub>O<sub>6</sub>N<sup>5-</sup> dimers (Q<sup>1</sup><sub>1</sub>) 115 and apical-N PO<sub>3</sub>N<sup>4-</sup> units ( $O_1^{0}$ ). A clear difference regarding the content of these structural 116 units is shown in Fig. 2b. The Li/FS-LiPON sample shows an increase (13%) of  $Q_0^0$  units 117 relative to the FS-LiPON sample at the expense of PON units ( $Q^{0}_{1}$  and  $Q^{1}_{1}$ ). This increase of 118 PO4<sup>3-</sup> content indicates that a large amount of Li<sub>3</sub>PO4 components were generated between Li 119 metal and LiPON as a result of interface formation, consistent with our previous observation 120 via cryogenic electron microscopy (Cryo-EM).<sup>7</sup> The decrease in the other structural units such 121 as bridging-O configuration  $(Q^{1}_{0})$ , bridging-N configuration  $(Q^{1}_{1})$  and apical-N configuration 122  $(Q^{0}_{1})$  in turn facilitate the formation of interface components such as Li<sub>3</sub>N and Li<sub>2</sub>O. <sup>7</sup>Li MAS 123 NMR spectrum of Li/FS-LiPON in Fig. 2c shows a clear shoulder around 7.5 ppm compared 124 with FS-LiPON, indicating Li<sub>3</sub>N formation at the interface.<sup>25</sup> The slight peak shift shown in 125 Fig. 2c may be due to dynamical heterogeneities between the interfacial Li ions and Li ions 126 deep in LiPON. A Li metal signal was also clearly observed at 264 ppm in Extended Data Fig. 127 7. Previous observations by electron microscopy probed the spatial distribution of interface 128 components between Li metal and LiPON,<sup>7,8</sup> while above ss-NMR results of Li/FS-LiPON 129 sample provide quantitative insights on the content of interface components, revealing the 130 amount of Li<sub>3</sub>N and Li<sub>3</sub>PO<sub>4</sub> formation as the interface products. The coupling of ss-NMR 131 results with cryo-EM observation give a more complete view of the Li/LiPON interface both 132 compositionally and spatially. 133

The nature of FS-LiPON also enables analysis of its thermal properties. LiPON is known 134 to be a glassy material and the glass transition temperature is one of the most important metrics 135 to determine the metastable states and application environments. Nevertheless, limited by the 136 insufficient amount of active material for measurement, previously documented trials using 137 DSC to examine the glass transition temperature of Sub-LiPON failed to capture clear 138 transition behaviors.<sup>26</sup> In an attempt to compensate for this, DSC was conducted on FS-LiPON. 139 The results in Fig. 2d show an obvious glass transition with an onset temperature of 207 °C 140 and inflection around 234 °C, consistent with LiPON glass transition temperature studied using 141 spectroscopic ellipsometry.<sup>27</sup> Subsequent thermal response further captured the crystallization 142 process of LiPON, along with the gas evolution observed during heating (inset images in Fig. 143 2d). DSC results suggest that LiPON should be handled at temperatures <325 °C. Extra 144 consideration needs to be taken when heat treatment is performed on LiPON-related 145

146 samples/devices.



148Fig. 2 Interfacial chemistry, thermal property, and mechanical properties of FS-LiPON. a,  ${}^{31}P$  MAS NMR149spectra of FS-LiPON and Li/FS-LiPON films. The spectrum of FS-LiPON was a reprint of our previous work<sup>25</sup>,150used here for comparison. b, Structural unit component differences based on NMR deconvolution.  $Q^0_0$  stands for151the orthophosphate tetrahedra  $PO_4{}^{3-}$  units,  $Q^1_0$  stands for the bridging-O  $P_2O_7{}^{4-}$  dimer units,  $Q^1_1$  stands for the152bridging-N  $P_2O_6N{}^{5-}$  dimer units and  $Q^0_1$  stands for the apical-N  $PO_3N{}^{4-}$  units. c,  ${}^7Li$  MAS NMR spectra of FS-153LiPON and Li/FS-LiPON d, DSC analysis of FS-LiPON film. The inset photos show the gas evolution due to

154 DSC measurement. e, Film hardness values measured via continuous stiffness measurement (CSM) indentation

155 up to  $\sim 10\%$  of the film thickness. Inset image shows the indents array on FS-LiPON during the nanoindentation

- 156 experiment using a Berkovich indenter.
- 157

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158 Another intriguing finding lies in LiPON's mechanical properties. Note that it is essential

to maintain an inert environment during the mechanical examination of LiPON, as Extended 159 Data Fig. 8 and Supplementary Video S2 show that FS-LiPON stiffened due to air exposure 160 after 3 days. Fig. 2e display the nanoindentation results collected within a vacuum chamber. 161 The hardness values were plotted against contact depth of the indenter into FS-LiPON from 162 continuous stiffness measurement (CSM), with statistics collected from over 100 indentation 163 locations (inset image in Fig. 2e shows the indents array at one sampling region). 164 Nanoindentation gives an average hardness value around 2.7 GPa of FS-LiPON in the 165 displacement range from 60 nm to 200 nm. The hardness values below 60 nm were primarily 166 surface effect and have been excluded when determining the film hardness. Such a hardness of 167 FS-LiPON is lower than the previously reported value of 3.9 GPa on sub-LiPON.<sup>28</sup> Based on 168 the mathematical methods developed by Ma et al.<sup>29</sup> to determine Young's modulus from 169 hardness, we obtained an average Young's modulus of FS-LiPON around 33 GPa, in contrast 170 to the previously reported value of ~80 GPa for sub-LiPON.<sup>28</sup> It has been documented that 171 vacuum deposition process commonly generates residual stress within thin film because the 172 substrate may experience thermal expansion, contraction, or lattice mismatch, etc. during the 173 deposition.<sup>30</sup> Specifically, the sputtering process tends to generate compressive residual stress 174 in the deposited thin films, which can affect the mechanical properties, resulting in, for example, 175 increased hardness and Young's modulus.<sup>31</sup> Therefore, the removal of substrate for FS-LiPON 176 potentially releases stress within LiPON film, leading to the observed diminished hardness and 177 Young's modulus. This observation also suggests the importance of quantifying residual stress 178 in LiPON film before determining its mechanical properties. A series of bending tests that 179 explore LiPON's flexibility with regard to film thickness were summarized in Supplementary 180 Video S3 and Extended Data Fig. 9. 181

182 The above results again illustrate the potential for using this free-standing film to obtain 183 native properties of LiPON material itself. Regarding the mechanical properties, further tests 184 such as tensile test, compression test, etc. can be performed on FS-LiPON, which would 185 otherwise be impossible to conduct on Sub-LiPON.





Fig. 3 Electrochemical deposition and analysis on FS-LiPON. a, Cross-section schematic of the FS-LiPON LiCu cell. b,c, Photos of FS-LiPON Li-Cu cell from top view (b) and upon bending (c). d, Voltage curve of Li metal
plating and stripping in a FS-LiPON Li-Cu cell. e, f, Cross-section cryo-FIB/SEM images of Li-Cu cell before Li
metal plating (e) and after Li metal plating (f). The plated capacity in (f) is ~0.31 mAh/cm<sup>2</sup>. g, Schematic showing
the proposed non-uniform void formation mechanism during Li metal plating.

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## 193 Electrochemical Activity of FS-LiPON

Apart from its intrinsic properties, FS-LiPON is also demonstrated to be applicable in electrochemical devices. An FS-LiPON Li-Cu cell was fabricated using the configuration shown in **Fig. 3a**, where Cu and Li electrodes with the same designed area were aligned across

FS-LiPON film. As-fabricated Li-Cu cell harnesses the flexible nature of FS-LiPON as shown 197 in Fig. 3b. The flexibility of the cell was further demonstrated in Fig. 3c, which shows how the 198 cell can be bent by the tweezer while still able to sustain Li metal plating and stripping 199 capability afterwards. After cell fabrication, the cell was tested using the configuration in 200 Supplementary Fig. 1. Fig. 3d shows the voltage curve of the Li-Cu cell during constant-201 202 current measurement. When a current of -50 nA is applied, the cell exhibits a voltage dip and reaches an overpotential of  $\sim$ -1V, after which a stable plating process proceeds. When altering 203 the current direction, a stripping curve feature is obtained. The cell demonstrated a stable 204 205 plating and stripping over 13 cycles without short-circuiting, indicating the ability of FS-LiPON to shuttle lithium ions. The relatively high overpotential is likely caused by the 206 resistance to deformation of the Cu current collector while Li metal nucleates and grows. Apart 207 208 from the nucleation barrier of Li metal, extra mechanical work is needed to overcome the Cu deformation. It is noteworthy that owing to the unique configuration of FS-LiPON Li-Cu cell, 209 210 no external pressure was applied to the cycled cell.

The plated Li metal morphology in the FS-LiPON system was then examined by cryogenic 211 212 focused ion beam/scanning electron microscopy (cryo-FIB/SEM). Fig. 3e displays the crosssection morphology of pristine Li-Cu cell, where no extra layer is observed between Cu and 213 214 FS-LiPON before plating and the evaporated Li metal on the other side of FS-LiPON appears fully dense. Note that cryogenic protection during FIB milling is crucial to preserve the pristine 215 morphology and chemistry of Li metal, as reported elsewhere before<sup>32</sup> and demonstrated in 216 Supplementary Fig. 2. After a constant current plating, The Li-Cu cell shows a dense Li layer 217 with dark contrast between Cu and FS-LiPON in Fig. 3f. The associated Li-Cu cell voltage 218 curve is plotted in Supplementary Fig. 3. The EDS mapping of a plated Li-Cu cell in 219 Supplementary Fig. 4 illustrates the presence of Cu, P, O and Ga over corresponding regions. 220 Such features indicate a fully dense Li metal electrochemical deposition was realized by this 221 FS-LiPON configuration when no external pressure was present. 222

Intriguingly, in Supplementary Fig. 4 a void region was observed between FS-LiPON 223 and evaporated Li metal, as hinted by the aggregation of Ga signal that is commonly caused by 224 redeposition during FIB milling and that is prevalently found at the bottom of void region after 225 FIB milling.<sup>7</sup> A similar void feature is also observed in **Fig. 3f**, where a gap is present between 226 FS-LiPON and evaporated Li. Although the theoretical thickness of plated Li metal is 227 calculated to be 1.5 µm based on the areal capacity, the observing region shows a plated Li 228 metal thickness around 4 µm in Fig. 3f. The top-view SEM image in Supplementary Fig. 5 229 displays various bumps distributed over the Cu surface after plating. Fig. 3g delineates the 230

plating process occurring in Li-Cu cell without pressure control. Before plating, each constituent in the cell is distinguishable by the well-defined interfaces. After plating, plated Li metal forces up the Cu layer around the initial nucleation site, while the non-uniform lithium-ion flux within FS-LiPON drives lithium atoms around the vicinity of the nucleation site to migrate and compensate the metallic lithium reservoir right under the nucleation site. Therefore, void regions are formed around the nucleation site after plating is completed.

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## 238 Fully Dense, Uniform Li Deposition Without External Pressure

239 The electrochemically deposited Li metal in other SSE systems (that is, Li7La3Zr2O12 and Li<sub>6</sub>PS<sub>5</sub>Cl, etc.) usually appears fully dense regardless of dendrite formation issues. This kind 240 of morphology is likely due to the presence of external pressure on the order of several 241 MPa.<sup>33,34</sup> Analogous to the cases of other solid electrolyte systems, fully dense Li metal plating 242 has also been demonstrated in FS-LiPON system. Nevertheless, it is noteworthy that no 243 external pressure is applied to the LiPON system, suggesting the possible presence of 244 interfacial stress that could act as internal pressure to promote Li metal yielding and facilitate 245 246 subsequent dense Li metal deposition. Previous work by Motoyama et al. proposed a model to simulate the interfacial stress between Li metal and Cu current collector after plating, where 247 248 they used an imaginary Li metal sphere and estimated the radial stress on Li metal surface based on Hoop stress formula.35 249

Employing the similar stress analysis model shown in **Fig. 4a**, we applied formula (1) as follows:

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$$P_{i} = \frac{\varepsilon_{Cu} E_{Cu}}{(1 - \nu_{Cu})} \cdot \left\{ \frac{3(r_{i} + t)^{3}}{2[(r_{i} + t)^{3} - r_{i}^{3}]} - \frac{\nu_{Cu}}{1 - \nu_{Cu}} \right\}^{-1}$$
(1)

where  $P_i$  is the interfacial stress between Li and Cu,  $\varepsilon_{Cu}$  is strain in the circumferential 253 directions,  $E_{Cu}$  is Young's modulus of Cu, t is the thickness of Cu,  $r_i$  is the radius of Li 254 metal imaginary sphere,  $\kappa$  is the curvature of Cu,  $\nu_{Cu}$  is the Poisson's ratio of Cu. The input 255 values of above parameters were extracted from Supplementary Fig. 6 and listed in 256 Supplementary Fig. 7. Fig. 4b shows the Cu strain and resulting interfacial stresses in the Li-257 Cu cells with different Cu thickness, ranging from 0.151 GPa to 0.503 GPa as Cu strain ramps 258 from 0.024 to 0.052. The stresses obtained here are hundreds of times higher than the external 259 pressure applied on bulk SSE analogues. Such high interfacial stress present at Cu/Li interface 260 confines Li metal morphology to achieve fully dense feature. Based on the stress formula given 261 above, the interfacial stress is inversely proportional to the Li deposit diameter and proportional 262



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Fig. 4 Stress analysis and proposed criteria for uniform Li deposition. a, Schematic of the interface model for interfacial stress simulation, where  $P_i$  is the interfacial stress between Li and Cu,  $\sigma_{\theta}$  is the stress on Cu in the circumferential directions,  $\sigma_r$  is the stress on Cu in the radial direction, t is the thickness of Cu,  $d_c$  is the length of the chord marked in the Li sphere underneath the Cu dome region,  $r_i$  is the radius of Li metal imaginary sphere. b, Cu strain and simulated interfacial stress in Li-Cu cell with regard to different Cu thicknesses. c, Proposed principles and solution to achieve uniform Li metal deposition in solid system. d, Cryo-FIB/SEM image showing uniform Li deposition realized by adding Au seeding layer in FS-LiPON Li-Cu cell.

to Cu strain, suggesting that Li metal deposit tends to have plenary growth so that overall stress 272 can be released, resulting in more uniform coverage of Li metal on LiPON and less chance of 273 dendrite formation. Therefore, we propose several criteria that need to be considered while 274 building the ideal configuration for Li metal plating in solid state systems. As shown in Fig. 275 4c, intrinsic interfacial stress is essential to generate pressure during Li metal plating without 276 277 the aid of external pressure; proper current collector thickness is needed to confine Li metal morphology while maintaining its own structural integrity; uniform Li metal nucleation and 278 rapid merging of different Li nuclei help reduce the plastic deformation of current collector to 279 prolong cyclability. Consequently, one solution to achieve uniform Li metal deposition is 280

adding seeding layer at Cu/SSE interface to facilitate uniform Li metal nucleation and 281 subsequent uniform and dense Li metal growth.<sup>36</sup> Prior to depositing Cu on FS-LiPON, a 3-282 nm-thick Au layer was first evaporated on FS-LiPON. The surface SEM image and EDS results 283 in Supplementary Fig. 8 validates the Au film formed on FS-LiPON before Li-Cu cell 284 fabrication. After electrochemical plating without external pressure (Supplementary Fig. 9a), 285 Cu surface remains relatively smooth as shown in Supplementary Fig. 9b, suggesting a 286 uniform Li metal deposition beneath. Fig. 4d shows a cross-section image of the Li-Cu cell 287 with Au seeding layer after plating. The measured thickness of Li metal deposit is  $\sim 1.3 \mu m$ , 288 close to the thickness calculated from areal capacity (Supplementary Fig. 9a). Li metal deposit 289 appears not only full dense, but also uniform across the whole region. Small inclusions found 290 in plated Li metal layer are likely the Li-Au alloy according to the cross-section EDS in 291 Supplementary Fig. 10. Based on above results, with the aid of interfacial stress and seeding 292 layer, uniform and fully dense Li metal deposition can be realized in solid-state system under 293 zero external pressure. 294

Additionally, a further effort to demonstrate Li stripping in the Li-Cu FS-LiPON cell is 295 summarized in Supplementary Fig. 11 & 12. Although the Li-Cu FS-LiPON cell without 296 external pressure ended up with non-uniform stripping that led to the formation of gaps 297 between Cu and FS-LiPON and generates inactive lithium (Supplementary Fig. 11), an 298 external pressure of ~87.5 kPa helped largely improve the Coulombic efficiency to 82.7% 299 (Supplementary Fig. 12). It is important to stress that external pressure appears to be essential 300 301 for the stripping process, while uniformly dense Li metal plating could be realized via just interfacial stress and a seeding layer. An intriguing observation was that the gap/void caused 302 303 by stripping was formed between Cu and Li metal instead of being present between Li metal and FS-LiPON (Supplementary Fig. 12), in contrast to the scenarios reported in bulk solid-304 state systems using argyrodite- or garnet-type SSEs.<sup>37,38</sup> This difference is indeed related to the 305 various current densities applied. Nevertheless, the fact that void was absent between Li metal 306 307 and SSE in this case might be related to LiPON's unique amorphous characteristic and its electrochemical stability against Li metal. 308

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### 310 **Conclusions**

This work presents a methodology to produce a thin film LiPON in a free-standing form that is transparent and demonstrates remarkable flexibility. The absence of substrate enables fundamental studies of LiPON and the Li/LiPON interface by ss-NMR. DSC captures the glass

transition behavior of LiPON around 207 °C with a high signal-to-noise ratio. Nanoindentation 314 and flexibility test yield a Young's modulus of ~33 GPa of LiPON and show the flexible nature 315 of LiPON film, respectively. An electrochemical cell employing FS-LiPON shows its ability 316 to conduct lithium ions. Stress analysis at Li/Cu interface suggests the presence of a high 317 compressive stress in the order of 10<sup>-1</sup> GPa, which facilitates Li metal yielding and a dendrite-318 free, dense Li metal morphology. Using a gold seeding layer, we realize a fully dense and 319 uniform Li metal deposition under zero external pressure. These conditions, combining 320 interfacial stress and a seeding layer, are ideal for uniform Li metal deposition. The amorphous 321 322 nature and interfacial stability of LiPON prevents void formation within Li metal deposits during the stripping process. We believe that this free-standing form of LiPON thin films will 323 lead to a wider application of LiPON material. When coupled with casted cathodes, FS-LiPON 324 can potentially enable Li metal anode deposition with minimal external pressure. 325

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## **339** Author Contributions

D.C., M.Z. and Y.S.M conceived the ideas. D.C., T.W., B.L., R.S. and B.S. prepared the thin
film sample. The FS-LiPON Li-Cu cell was designed by D.C. B.H., M.Z. and G.Z., and
fabricated by D.C. M.M. performed and analyzed ss-NMR measurements. D.C. conducted
cryo-FIB/SEM and electrical measurements. D.C. and H.N. collected XRD data. D.C., J.B. and
P.H. collected and analyzed the nanoindentation data. D.C., Y.Y. and W.L. collected XPS data.
D.C., M.Z., Y.S.M., T.W., M.M., G.Z. and B.H. co-wrote the paper. All authors discussed the
results and commented on the paper. All authors have approved the final paper.

347

## 348 **Declaration of Interests**

349 The authors declare no competing interests.

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448 Methods

#### 449 **Photoresist Spin Coating**

450 AZ1512 photoresist (from EMD Performance Materials Corp.) is coated on clean glass

451 substrate by spin coating. The heater temperature for prebake and postbake was set as 100°C.

452 The spinning recipe includes 500 RPM for 20s, 1000 RPM for 20s and 2000 RPM for 60s.

- 453 The resulting photoresist layer thickness is about 1.7  $\mu$ m, followed by UV light treatment for
- 454  $\sim 1 \text{ min.}$
- 455

## 456 **Thin Film Deposition**

- 457 LiPON thin film was deposited on photoresist-coated glass substrate by RF sputtering using a
- 458 crystalline Li<sub>3</sub>PO<sub>4</sub> target (2" in diameter, from Plasmaterials, Inc.) in UHP nitrogen
- 459 atmosphere. The base pressure of the sputtering system was  $3.0 \times 10^{-6}$  Torr. LiPON deposition
- 460 used a power of 50W and nitrogen gas pressure of 15 mTorr. The as-deposited LiPON thin
- 461 film was 3.7  $\mu$ m in thickness with a growth rate of ~0.46 Å/s. The copper pads for EIS tests

and current collector were deposited by thermal evaporation using copper pellets (from Kurt

- 463 J. Lesker, 99.99% purity). Growth rate is 1 Å/s. Li metal anode for the Li-Cu cell was
- deposited by thermal evaporation with a base pressure of  $2.5 \times 10^{-8}$  Torr and growth rate of 3-4
- 465 Å/s. The Au seeding layer was deposited by thermal evaporation using gold pellets (from
- 466 Kurt J. Lesker, 99.99% purity). Growth rate is 1.5 Å/s.
- 467

#### 468 X-Ray Diffraction

469 The powder crystal X-ray diffraction was carried out on a Bruker micro focused rotating anode,

- 470 with double bounced focusing optics resulting in Cu K<sub>a1</sub> and K<sub>a2</sub> radiation ( $\lambda_{avg} = 1.54178$  Å)
- 471 focused on the sample. A sample of FS-LiPON was mounted onto a four circle Kappa geometry
- 472 goniometer with APEX II CCD detector.
- 473

## 474 Microscopic Morphology and Chemical Analysis

- 475 Scanning Electron Microscopy (SEM) was performed using an FEI Apreo SEM with an
- electron beam energy of 5 keV and an electron beam current of 0.1 nA. The energy dispersive
- 477 spectroscopy X-Ray spectroscopy (EDS) was collected using an electron beam energy of 5
- 478 keV by the Pathfinder EDS software from Thermo Scientific.
- 479

#### 480 X-ray Photoelectron Spectroscopy

X-Ray photoelectron spectroscopy (XPS) was performed in an AXIS Supra XPS by Kratos 481 Analytical. XPS spectra were collected using a monochromatized Al K $\alpha$  radiation (hv = 1486.7 482 eV) under a base pressure of 10<sup>-9</sup> Torr. To avoid moisture and air exposure, a nitrogen filled 483 glovebox was directly connected to XPS spectrometer. All XPS measurements were collected 484 with a 300  $\times$  700  $\mu$ m<sup>2</sup> spot size. Survey scans were performed with a step size of 1.0 eV, 485 followed by a high-resolution scan with 0.1 eV resolution, for lithium 1s, carbon 1s, oxygen 486 1s, nitrogen 1s, and phosphorous 2p regions. A 5 keV Ar plasma etching source was used for 487 surface etching with a pre-etching for 5 s, etching for 60 s and post-etching for 10 s. All spectra 488 were calibrated with adventitious carbon 1s (284.6 eV) and analyzed by CasaXPS software. 489

490

#### 491 Electrochemical Measurements

A Biologic SP-200 potentiostat was used to measure the electrochemical impedance 492 spectroscopy (EIS) and DC polarization of FS-LiPON, and electrochemical cycling of Li-Cu 493 FS-LiPON cells. The frequency range for EIS was 3 MHz to 100 mHz with an amplitude of 10 494 mV and the obtained data fitted with a linear least square fitting method. The constant voltage 495 used for DC polarization is 1V. The setup for electrochemical measurement is shown in the 496 schematic in Supplementary Fig. 6a and 10. To apply external pressure on Li-Cu FS-LiPON 497 cell, a rigid stainless-steel plate  $(2 \times 2 \times 0.03 \text{ mm}^2)$  was placed between the active region of the 498 cell and the probe during measurements. 499

500

#### 501 Solid-state Nuclear Magnetic Resonance

The NMR measurements performed on FS-LiPON and Li/FS-LiPON were collected using a 502 2.5 mm H/X/Y channel Bruker probe on a 600 MHz Bruker Biospin Avance III, operating at 503 242.94 and 233.25 MHz for <sup>31</sup>P and <sup>7</sup>Li. The samples were packed within a 2.5 mm pencil-type 504 ZrO<sub>2</sub> rotor and spun at 25 kHz. The <sup>31</sup>P spectra were collected as a rotor synchronized Hahn 505 echo experiment with a 90° pulse of 2.54 µs (B1 field strength ~98 kHz). The Hahn echo 506 experiments were processed from the top of the echo to remove the effects of ring down from 507 the FID. A single pulse experiment with a pulse length of 2.875  $\mu$ s (B<sub>1</sub> field strength ~87 kHz) 508 was used to acquire the <sup>7</sup>Li spectra. The recycle delays used for the 1D experiments was 60 s 509

- 510 for  ${}^{31}P$  and 2 s for  ${}^{7}Li$ .
- 511

#### 512 Differential Scanning Calorimetry

The Differential Scanning Calorimetry (DSC) measurement was conducted with DSC 214 Polyma (Netzsch). The temperature range was from 50°C to 500°C with a heating rate of 10°C /min. The DSC measurement was conducted under N<sub>2</sub> environment. All samples were sealed in aluminum pans in an Argon-filled glovebox to reduce contamination.

517

#### 518 Nanoindentation

Nanoindentation was performed inside of a Thermo Fisher Scientific Scios 2 DualBeam 519 FIB/SEM using a FemtoTools FT-NMT04 nanoindenter equipped with a Berkovich tip. 520 Measurements of hardness and reduced modulus employed the continuous stiffness 521 522 measurement (CSM) technique using a displacement-controlled test. Mechanical property values were averaged between displacements of ~60 nm and ~200 nm. Several five-by-five 523 indent arrays were performed at various locations on FS-LiPON films that were bonded to 524 SEM stubs using epoxy. Tests were performed using a 4 s load-ramp time and a 0.2 s unload-525 ramp time. When transferring samples from an inert environment to the Scios 2 SEM, samples 526 were exposed to <120s of atmosphere prior to the vacuum conditions inside the SEM. 527

528

#### 529 Cryogenic Focused Ion Beam/Scanning Electron Microscopy

A FEI Scios DualBeam FIB/SEM equipped with cryo-stage was used to observe the surface 530 and cross-section morphology of plated Li metal in FS-LiPON Li-Cu cell. The operating 531 voltage of electron beam was 5 kV. Emission current of electron beam was set to 25 pA to 532 minimize potential damage of electron beam. A gallium ion beam source was used to mill and 533 thin the sample. The operating voltage of ion beam source was 30 kV. Emission currents of ion 534 beam were chosen for different purposes, i.e., 10 pA for imaging by ion beam, 0.1 nA for cross-535 536 section cleaning and 3 nA for pattern milling. To preserve the Li metal pristine morphology, a 537 cryo-stage was used during pattern milling and cross-section cleaning processes, where the temperature of cryo-stage was maintained at around -185°C due to heat exchanging with cooled 538 nitrogen gas. 539

# 540 Data availability

541 Additional data related to this paper are available from the corresponding authors upon

542 reasonable request.

543

544