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Los Angeles

High-Energy Graphene-Alloy Composites for Lithium and Sodium Storage

A dissertation submitted in partial satisfaction of the
requirements for the degree of Doctor of Philosophy
in Chemical Engineering

by

Xinyi Tan

2021

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ABSTRACT OF THE DISSERTATION

High-Energy Graphene-Alloy Composites for Lithium and Sodium Storage

by

Xinyi Tan

Doctor of Philosophy Candidate in Chemical Engineering

University of California, Los Angeles, 2021

Professor Yunfeng Lu, Chair

The massive combustion of fossil fuels and associated environmental problems have placed the significance of the utilization and development of renewable energy. Although renewable energy sources, such as wind, marine, solar, hydro, geothermal and biomass, can be continually replenished by nature, many of them are intermittent in nature, which request efficient energy storage systems for effective utilization. Among the various types of energy storage systems, electrochemical-energy-storage systems stands out due to their high efficiency, excellent adaptability in miscellaneous fields, low cost, and environmental benignity.

As the most extensively investigated energy-storage system, lithium-ion batteries (LIBs) have been commercialized for portable electronics and electrical vehicles, because of the high energy density, long lifespan, and low maintenance cost. The capacity of currently used anode material (graphite), however, has almost achieved its theoretical capacity; developing novel anode materials with higher capacity and a sufficiently low working potential has been emerging as essential and challenging topic.

Metal alloys with high gravimetric capacities and volumetric capacities are regarded as promising anode candidates in lithium-ion batteries. Unfortunately, alloyed materials usually suffer from severe volume expansion (up to 500%) and huge mechanical strain, which may lead to pulverization and drastic capacity decay. To address these issues, graphene has been used to form composites with the alloyed materials. Graphene is an allotrope of graphite with several intriguing properties, such as excellent electrical conductivity, remarkable thermal conductivity, large surface area, and robust mechanical strength. Since graphene can accommodate and buffer the volume change of alloyed materials during the cycling, and to improve the electrical conductivity and rate capability of electrodes, graphene-alloy composites have attracted much attention in recent years.

In this dissertation, we have developed three types of graphene-tin (Sn) composites with designed nanostructures. For the first one, we synthesized the composites of Sn and hierarchical flower-like graphene tubes (denoted as Sn/FGT), which afforded anodes with fast-charging capability. The Sn/DGT exhibits a high reversible capacity of 742 mA h g⁻¹, excellent rate capability (211 mA h g⁻¹ at 8 A g⁻¹ with 99% capacity retention when the applied current density was switched back from 8 A g⁻¹ to 0.2 A g⁻¹) and a long cycle life. The nano-size Sn particles,

were uniformly anchored on hierarchical graphene tubes, which effectively prevented their aggregation. Such flower-like graphene tubes can serve as a highly conductive matrix, enabling efficient transfer of ions and electrons, and improving the rate performance.

Second, we have designed novel composites of Sn nanoparticles confined within graphene tubes that contain a nitrogen-doped graphene inner tube and a hydrophobic graphene outer tube (denoted as Sn/DGT). The nanosized Sn particles effectively alleviated the mechanical stress during the alloying/dealloying process, leading to improved electrical conductivity. The flexible inner void space of the graphene tubes buffered the volume expansion from the Sn nanoparticles, and provided high kinetics for the diffusion of electrons and ions. The composites delivered a high reversible capacity of 918 mA h g⁻¹ for 500 cycles, and an extraordinary rate capability with a capacity of 916, 831, 761, 642, 548, and 481 mA h g⁻¹ at the current densities of 0.2, 0.5, 1, 2, 5, and 10 A g⁻¹, respectively. Remarkably, Sn/DGT with a tap density around 2.76 g cm⁻³ showed a high volumetric capacity of 2532 mA h cm⁻³ and 1106 mA h cm⁻³ at a current density of 0.2 A g⁻¹ and 20 A g⁻¹, respectively.

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The work of this dissertation aims at providing possible solutions to tackle with current issues from alloy-based anodes in lithium and sodium storage, and broaden the nanostructure design of composite materials in energy storage. The high-performance anode materials are successfully developed through structural engineering of tin and tin alloy particles with graphene. The confined growth of tin or tin alloy particles within graphene scaffolds can fabricate highly conductive networks to retain the electrical contacts with active materials to enable prolonged cycling life, and facilitate the charge transport to improve the rate performance of the anodes. In addition, tin and tin alloy particles with high volumetric capacities can afford the anodes with high volumetric energy densities for lithium and sodium storage.

The dissertation of Xinyi Tan is approved.

Dante A. Simonetti

Philippe Sautet

Yu Huang

Yunfeng Lu, Committee Chair

University of California, Los Angeles

2021

TO MY FAMILY AND FUTURE OF MYSELF

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Chapter 1 Introduction and background

1.1 Energy and environment

Energy is like the blood of a country that drives daily operations for humans. Although energy comes from various sources, it can be categorized as two major forms: primary and secondary forms of energy.¹⁻² The crude oil, hard coal, natural gas, nuclear and wind energy that we are familiar with are classified as primary forms of energy since they only experienced extraction or capture before the utilization. For those energy such as petroleum, electricity and biofuels which are the results of transformation and conversion from the primary energy are all classified as the secondary forms of energy. The primary and secondary forms of energy both have numerous applications in human's society. As for the primary energy, fossil fuels take up the highest proportion of energy consumption in modern society. The utilization of fossil fuels is mainly carried out through the combustion; however, the massive combustion of fossil fuels will lead to a series of environmental problems. The combustion products of fossil fuels contain a large amount of CO₂ which has been identified as the main cause of global warming. The melting of polar ice sheet, rise of sea level and a sharp decline of biodiversity that caused by global warming will have a huge impact on human life. Furthermore, the sulfur and nitrate contained in fossil fuels will form SO_x and NO_x after the combustion, these oxides will form acid rain when they contact with water vapor in the sky. In addition, the formation of fossil fuels needs a very long time and the reserve of fossil fuels on earth is limited, so it is crucial to develop renewable and clean energy to release the dependence of fossil fuels in the future.

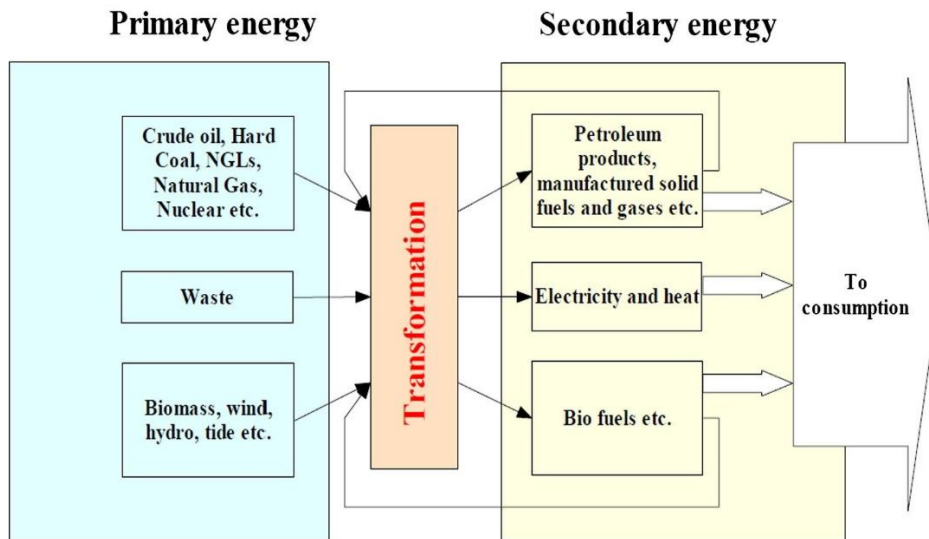


Figure 1.1 Primary and secondary energy.²

1.2 Renewable energy

Due to severe environmental issues and rapid depletion of primary energy with limited reserves, the utilization of clean and renewable energy has become the new regime. Renewable energy is collected from energy sources that are continually replenished by nature and derived directly from the sun (such as thermal, photo-chemical, and photo-electric), indirectly from the sun (such as wind, hydropower, and photosynthetic energy stored in biomass), or from other natural movements and mechanisms of the environment (such as geothermal and tidal energy).³⁻⁴

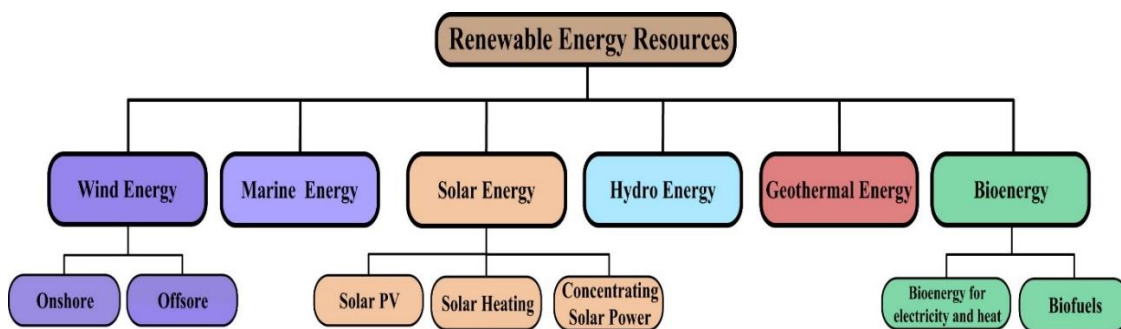


Figure 1.2 Overview of renewable energy sources³

Figure 1.2 shows an overview of renewable energy. Wind energy is defined as the conversion from wind turbines into assorted power forms; marine energy is mainly obtained from waves or tides that can convert the ocean energy into other forms; solar energy involves the utilization of sun energy through photovoltaic methods; hydro energy is derived from the energy of moving water; geothermal energy extracts thermal energy from the interior of earth which is stored in both rock and trapped steam or liquid water; biomass energy is the collection and storage of solar energy in plants or trees through photosynthesis pathways.³ However, renewable energy is usually unstable in nature which means that it needs more efficient methods to use and reserve.

1.3 Energy storage systems

Energy storage systems can accommodate the fluctuations and intermittent nature of renewable energy and give the opportunity to mitigate the imbalance between the energy supply and demand.⁵ A variety of energy storage systems have been developed to meet the needs of storage and utilization of renewable energy. Mechanical, thermal, chemical and electrochemical are four typical energy storage systems.⁶⁻⁸

1.3.1 Mechanical energy storage system

Mechanical energy usually converts potential or kinetic energy into other forms of energy such as electricity. Flywheel storage, pumped hydro storage and compressed air storage are three major types of mechanical energy storage systems.

1.3.1.1 Flywheel energy storage system

The flywheel energy storage (FES) system can generally transform the stored rotational kinetic energy into the electrical energy. FES system usually consists of a cylinder-shaped motor generator, a flywheel that can store the kinetic energy, a frequency inverter and several magnetic bearings. The working principle of FES system is that when flywheel is rotating with the driving force from the motor generator, the electrical energy will be stored as kinetic energy; when the flywheel slows down, the kinetic energy will be released as electrical energy.⁵ The energy density of FES system is largely determined by the material that used for flywheel since the energy density is linearly related to the mass and the square of the angular velocity of the flywheel.⁹ Therefore, a flywheel with higher Young's modulus and higher rotating speed will lead to a higher energy density of FES system. The FES system shows several advantages such as high power density, high energy storage efficiency, long working life, wide temperature working ranges and slight environmental concerns.¹⁰

1.3.1.2 Pumped hydro energy storage system

Pumped hydro storage (PHS) system stores the potential energy of water that is pumped from a lower level to a higher level. PHS system usually consists of an electrical generator that can raise the water from lower level to upper level, and then convert the potential energy that stored in water into the electrical energy by releasing water through turbines. PHS system can provide bulk electricity due to its large energy storage capacity. However, the practical

application of PHS system has been hindered by high cost and severe dependence on topography.

1.3.1.3 Compressed air energy storage system

Compressed air energy storage (CAES) system utilizes electricity to compress air that is stored in a large underground container, and electrical energy will be regenerated by recovering the compressed air from the storage.¹¹ CAS system consists of compressors, generator-motor, recuperator, coolers and turbines. Firstly, the compressed air is stored at a high pressure, and then the compressed air will be released and heated through turbines that are connected to an electrical generator to produce electricity. Although CAES system has the advantage of large energy storage capacity, it is not suitable for the application of portable devices due to its large volume and complicated technologies of underground storage.¹²⁻¹³

1.3.2 Thermal energy storage system

Thermal energy is widely existed in nature, and thermal energy can be stored as latent heat by warming up or melting the energy storage materials.⁹ The heat sources of thermal energy can be categorized from solar thermal energy, geothermal energy, fossil-fuels power plants, nuclear power plants, industrial waste heat and biomass.¹⁴⁻¹⁸

1.3.2.1 Solar thermal energy storage system

Solar thermal energy storage system can convert solar irradiation into the thermal energy to heat the working devices. The solar irradiation can be absorbed by several parabolic solar collectors to transfer into the heat, then the working fluid inside the tank can store the heat to provide thermal energy for the boiler. Solar thermal energy storage system has the advantages of long working life, lower cost, limited toxicity in solar collector materials and high round-trip energy efficiency (50%-100%).^{15, 19}

1.3.2.2 Geothermal energy storage system

Geothermal energy exists in the Earth's interior with the form of heat energy. Geothermal energy that stored in earth's mantle can be utilized in various fields. Geothermal fluid that has temperature higher than 150 °C is suitable for electricity generation, and geothermal fluid that has temperature lower than 100 °C can be directly used as drying of agricultural produce.^{15, 20} Compared to other renewable energy sources such as solar and wind, the continuity of geothermal energy makes it suitable for base load power generation.²¹

1.3.3 Chemical energy storage system

Chemical energy storage (CES) system can convert the stored chemical energy into the electrical energy. Chemical energy that is stored in the chemical bonds of atoms and molecules can be released in a chemical reaction.²² The most common used chemical fuels in CES system are hydrogen, natural gas, butane, ethanol and biodiesel. Hydrogen storage and synthetic natural gas storage are two major methods of CES system.

Hydrogen is a promising alternative energy since it is a carbon-free energy carrier and it exhibits the highest energy density compared to the other chemical fuels. Hydrogen energy storage system can be categorized as physical storage and materials-based storage. Gas-phase storage and liquid-phase storage are two major methods in physical storage of hydrogen energy. In gas-phase storage, hydrogen is usually stored in high-pressure gas cylinders with a maximum pressure of 80 MPa, while liquid hydrogen is stored in cryogenic tanks at 21.2 K and ambient pressure in liquid-phase storage.²³ Materials-based storage method utilizes the principle that hydrogen reacts with many transition metals and their alloys to form hydrides at elevated temperatures.²⁴⁻²⁵ Alanates, borohydrides and amides are three typical groups of materials that have been widely used in hydrogen storage system.²⁶⁻²⁷ As shown in Figure 1.3, hydrogen storage system is composed by a hydrogen generator such as water electrolyzer, a hydrogen storage container and energy conversion components. Hydrogen energy storage system has the advantages of cleanliness, abundance, affordability and high efficiency;²⁸⁻²⁹ however, the production and distribution technologies of hydrogen energy still need further research.³⁰⁻³¹

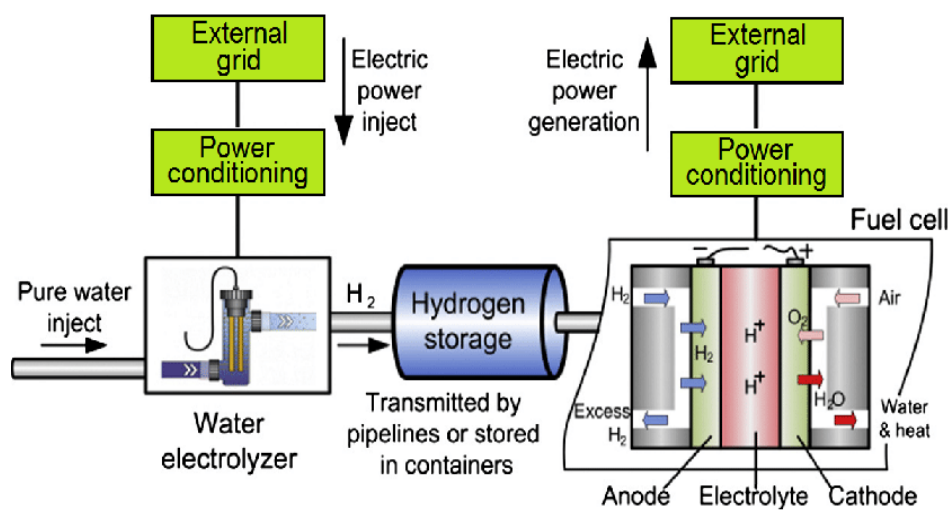


Figure 1.3 Topology of hydrogen storage system.³²

Synthetic natural gas mainly consists of methane. The storage of synthetic natural gas is usually in pressure tanks or underground.³³⁻³⁴ Similar to hydrogen energy storage, the synthetic natural gas storage system can provide clean and high-power energy with minimum effects on environment.

1.3.4 Electrochemical energy storage system

Current utilization of renewable energy relies on the conversion from those natural resources into electricity, heat or other forms of energy through variable technologies. Among several types of storage technologies, electrochemical energy storage system is one of the most desirable methods due to its high energy density, long cycle life, low cost and flexible power which can meet the needs of different functions.³⁵

Electrochemical energy storage system (EES) is widely used in the fields of portable devices, transport vehicles and stationary energy storage.³⁶ The principle of EES is the reciprocity between converting chemical energy that stored in the reactants into the electrical energy, and transforming electrical energy to synthesize reactants in a reverse direction.³⁷ The driving force of this conversion in electrochemical energy storage system is the change of Gibbs free energy in the chemical reaction between electrodes. The electrostatic energy of charged reactants can be defined as zEF , where z is the charge number of transporting species, F is Faraday's constant and E is the cell voltage of the system. As for open circuit system, the

relation between cell voltage and the change of Gibbs free energy can be described as $\Delta G = -zEF$.

The electrochemical energy storage system is usually consisted of anode, cathode, separator and electrolyte. During the discharging process, electrochemical reactions will occur at the electrodes and generate electrons that flow through an external circuit; during the charging process, an external voltage will drive the movements of electrons and reactions in a reverse direction.⁷

A wide range of devices such as batteries, fuel cells and supercapacitors have been applied with the fundamental of electrochemical storage system. Batteries can store charge within electrodes, fuel cells store charge in the fuels, and supercapacitors can store charge either in the electric double layer at the electrode/electrolyte interface or as pseudo-capacitance governed by surface redox reactions.³⁷

1.3.4.1 Batteries

According to different types of electrode materials, rechargeable batteries can be classified as lead-acid, nickel-cadmium (Ni-Cd), nickel-metal hydride (Ni-MH), sodium-sulfur (Na-S), zinc-air (Zn-air), lithium-sulfur (Li-S), lithium-ion and sodium-ion batteries. Various types of rechargeable batteries are shown in Figure 1.4.

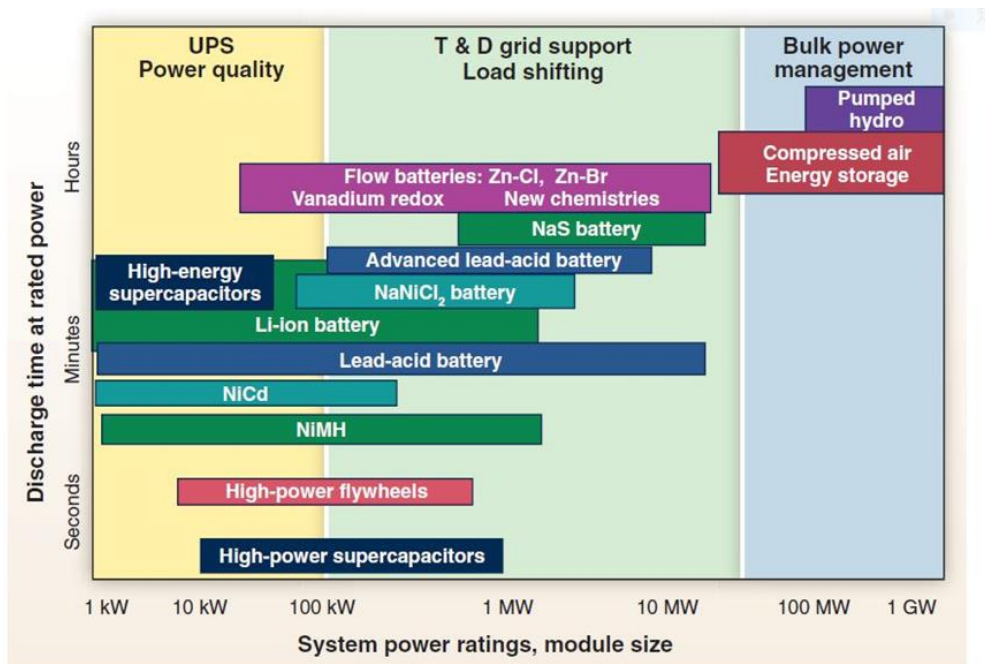


Figure 1.4 Comparison of discharge time and power ratings for various batteries.³⁵

The oldest type of rechargeable batteries is the lead-acid battery that was invented by French physicist Gaston Plante in 1859. The lead-acid battery has the advantages of low cost, stable operating voltage (>2 V), moderate operating temperature (-40 °C \sim 60 °C), good recharge efficiency ($>70\%$) and availability in various designs.³⁸⁻⁴⁰ Although lead-acid battery has been widely used in electrical vehicles and stationary power systems, it still suffers from low energy density ($30\text{-}40$ W h kg^{-1}), relatively low cycle life (approximately 500 deep cycles), large volume occupation and irreversible damage under long discharging process.⁴¹⁻⁴²

Nickel-cadmium (Ni-Cd) batteries have been applied in electronic devices for decades. The Ni-Cd battery is usually composed of a hydroxy-oxide cathode, a metallic cadmium anode and potassium hydroxide electrolyte.⁴³ In spite of low cost and high energy capacity, the market of Ni-Cd battery has been shrunk by the toxicity and scarcity of cadmium. In order to avoid

hazardous contamination of soil and water from cadmium, the recycling of Ni-Cd batteries is necessary.⁴⁴⁻⁴⁵ Besides, the severe memory effect of Ni-Cd battery can lead to a sharp drop of the capacity and end the cycle life of Ni-Cd battery in a short time.⁴⁶

Nickel-metal hydride (Ni-MH) battery was introduced to the commercial market in 1991. The electrochemical reaction occurs at the cathode of Ni-MH battery is similar to that of Ni-Cd battery, but Ni-MH battery uses hydrogen-absorbing alloy as the anode material instead. Hydrogen diffusion in anode side can dominate the high-rate discharge capability of Ni-MH batteries.⁴⁷ Compared to Ni-Cd battery, Ni-MH battery has the features of high energy density (up to 2000 W kg⁻¹), flexible cell sizes (30 mAh~250 Ah), safe operation voltage, low cost and good environmentally compatibility with non-toxic electrode materials.⁴⁸⁻⁵⁰ However, Ni-MH battery will produce a huge amount of heat while charging at a high power, and thus lead a short lifespan of the battery.⁴⁸

Sodium-sulfur (Na-S) battery is firstly invented by Ford Company in 1967 and it is composed of sodium metal anode, sulfur cathode, beta-Al₂O₃ ceramics electrolyte and the separator. The working principle of Na-S battery is based on the electrochemical reactions between sodium metal and sulfur and the formation of sodium polysulfide. When discharging, the sodium metal will be oxidized to sodium ions to transport across the membrane and reacts with reduced sulfur anions to form sodium polysulfide. The reverse reactions will happen when charging, and sodium polysulfide will decompose to sodium and sulfur. The operating temperature of Na-S battery usually ranges from 300 °C to 350 °C to guarantee sodium and sulfur as well as the reaction product sodium polysulfide can exist in a liquid state to afford high reactivity of the electrodes.⁵¹ Although Na-S battery has the advantages of high energy

density (760 W h kg^{-1} at $350 \text{ }^\circ\text{C}$),⁵² temperature stability and low cost, the safety issue of flammable electrode materials still leaves a risk in transport.⁵³⁻⁵⁴

Zinc-air (Zn-air) battery was commercialized and applied in hearing aids in 1970s.⁵⁵ The Zn-air battery is usually composed by a zinc anode, an air cathode, a membrane separator and alkaline electrolyte. Zn-air battery generates electricity from the redox reactions between zinc anode and oxygen in the air from a porous cathode.⁵⁶ Zn-air battery has been regarded as a promising candidate for electrochemical energy storage due to its high theoretical energy density (1084 W h kg^{-1}),⁵⁷ low cost, safety and environmental friendliness.⁵⁸⁻⁵⁹ Unfortunately, the practical application of Zn-air battery has been limited by the short cycle life. The short lifespan of Zn-air battery is mainly attributed to the growth of zinc dendrites during the cycling, morphology change of zinc anode and failure of air cathode.⁶⁰⁻⁶¹

Lithium-sulfur (Li-S) battery is consisted by a metallic lithium anode, a sulfur cathode, a membrane separator and organic electrolyte such as dimethyl ether. The fundamental of Li-S battery relies on the reversible conversion between sulfur and lithium sulfides.⁶² Li-S battery possess extremely high specific capacity (1675 mA h g^{-1}) and high energy density (2600 W h kg^{-1});⁶³⁻⁶⁵ however, the commercialization of Li-S battery has been hindered by short lifespan, low charging efficiency, poor safety and a high self-discharge rate.⁶⁶⁻⁶⁹ The challenges for Li-S battery are caused by parasitic reactions between the lithium polysulfide and lithium anode and electrolyte components.⁶⁶

Lithium-ion battery (LIB) has become the predominant energy source of portable electrical devices and electric vehicles. The fundamental of lithium-ion battery is based on the reverse

reactions between a lithium anode and a lithium intercalation cathode. Compared to the other energy storage systems, lithium-ion battery exhibits miscellaneous advantages such as high energy and power density, long cycle life, low maintenance cost and no memory effects.⁷⁰⁻⁷² Although lithium-ion battery has been commercialized on a large scale, the battery capacity and electrode performance still deserve the further improvements.

Sodium-ion battery (SIB) is a new energy storage system that emerged in recent years. The large-scale applications of lithium-ion battery have caused excess consumption of lithium reserve on earth and result in an increase of the cost. Therefore, sodium-ion battery is considered to be an alternative battery system due to abundant sodium sources and similar intercalation mechanisms during electrochemical reactions.⁷³⁻⁷⁴ In addition, the copper current collector in lithium-ion battery can be replaced by cheaper aluminum in sodium-ion battery since sodium does not alloy with aluminum.⁷⁵ However, the commercialization of sodium-ion battery has been impeded by unstable low energy density and poor cycling stability.⁷⁶ Hence, the amelioration of electrode materials in sodium-ion battery is urgently needed.

1.3.4.2 Fuel cells

Fuel cell is an electrochemical system that can convert chemical energy from fuels and oxidizing agents into electricity through a pair of redox reactions.⁷⁷ Hydrogen is usually identified as the fuel due to its carbon-free energy carrier property, and oxygen is often used as the oxidizing agent in the fuel cell system.⁷⁸⁻⁷⁹ The first fuel cells were invented by Sir William Grove in 1838, and fuel cells have been commercialized in various fields such as power

vehicles and backup power for buildings. As shown in Figure 1.5, a fuel cell is usually consisted of an anode, a cathode and electrolyte that allows the movement of ions and protons between two electrodes. The fuels undergo the oxidation reactions to generate ions and electrons on the anode side, and then those ions move from the anode to the cathode through the electrolyte; the oxygen on the cathode side will react with the ions and electrons to form water and other products.⁸⁰ Compared to batteries that can only convert stored chemical energy into electricity, fuel cells can continuously output energy as long as the supplies of hydrogen and oxygen keep flow into the system. In addition, fuel cells are smaller and lighter than batteries and are easier to refuel or implement to a larger scale system.⁸¹

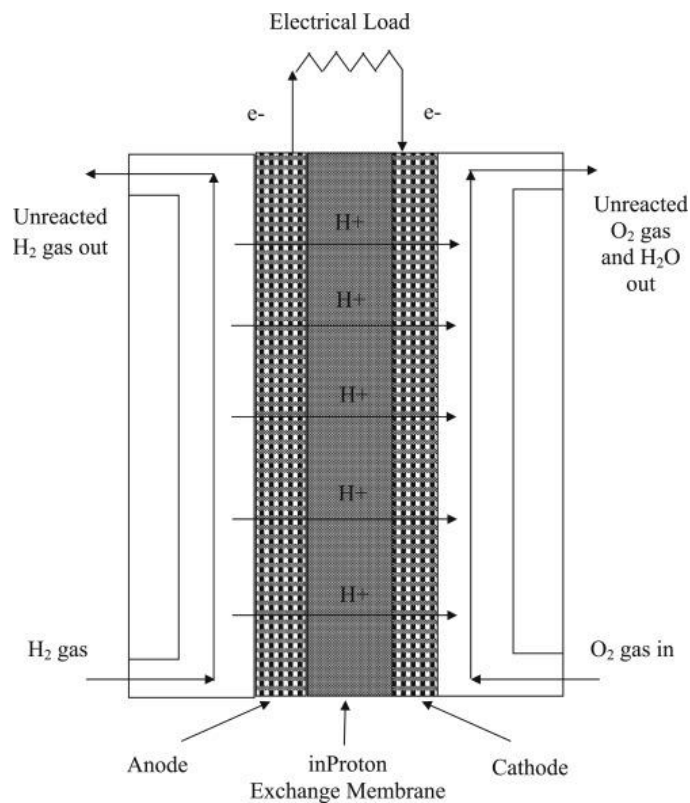


Figure 1.5 Schematic diagram of fuel cells.⁸¹

1.3.4.3 Supercapacitors

Supercapacitor acts as a bridge to gap the energy power difference between traditional electrolytic capacitors and rechargeable batteries.⁸² Electrochemical double-layer capacitors (EDLCs), pseudo capacitors and hybrid capacitors are three major types of supercapacitors.

EDLCs use carbon-based electrodes to store charge through the formation of electrochemical double layers (non-Faradic process); pseudo capacitors use metal oxides or conducting polymers as the electrodes to store charge through the redox reactions (Faradic process); hybrid capacitors use the combination of electrodes from EDLCs and pseudo capacitors to store charge in both Faradic-process and non-Faradic process.⁸³ The schematic diagrams of EDLCs and pseudo capacitors are shown in Figure 1.6 and Figure 1.7, respectively.

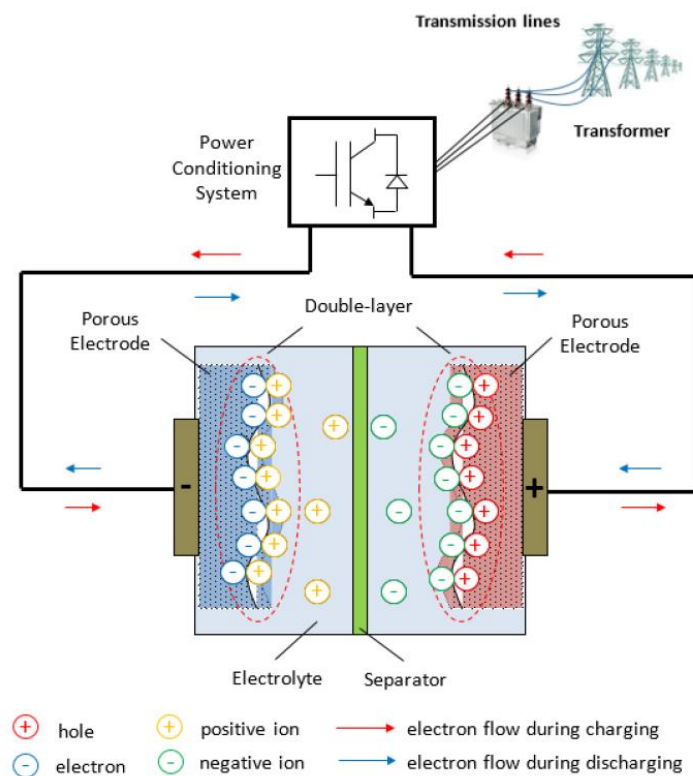


Figure 1.6 Schematic diagram of double-layer electrochemical double-layer capacitor.⁸⁴

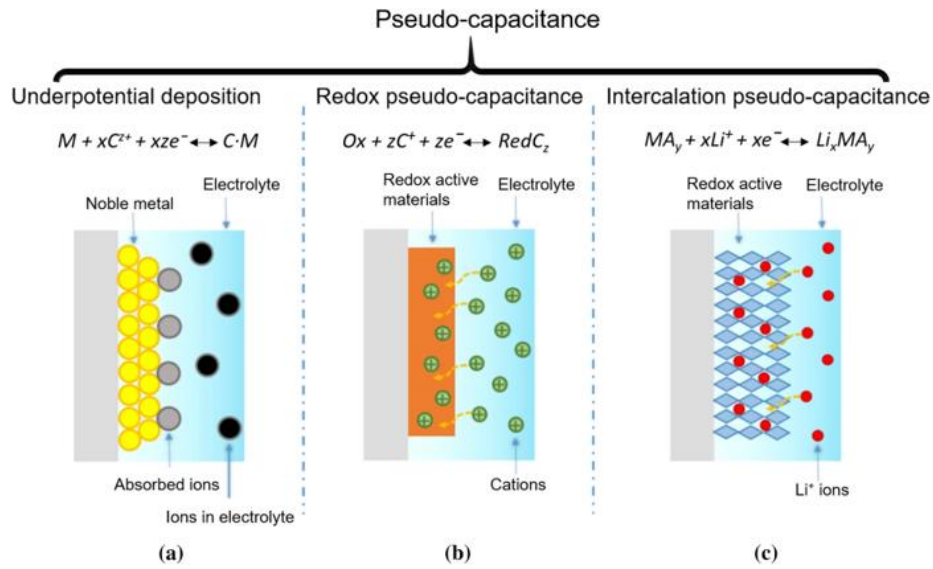


Figure 1.7 Schematic diagram of pseudo capacitor.⁸⁵

Supercapacitors have gained much attention owing to their high specific capacitance, high power density, long cycle life, low maintenance cost, no memory effect and safety in utilization.⁸⁶⁻⁸⁷

1.4 Lithium-ion batteries

Lithium-ion battery has become the primary choice of power source in portable devices, electric vehicles and grid storage due to its high energy density, high working potential, low maintenance cost, low self-discharge and long lifespan.⁸⁸⁻⁹⁰

1.4.1 Fundamental of lithium-ion batteries

Lithium-ion batteries are composed by four major parts: cathode, anode, electrolyte and a membrane which separates anode and cathode. Conventionally, the process of lithium ions

entering the cathode is called "embedding", and the process of lithium ions leaving the cathode is called "deintercalation"; the process of lithium ions entering the anode is called "insertion", and the process of lithium ions leaving the anode is called "deintercalation". Lithium ions can move freely to be intercalated and deintercalated in both electrodes.

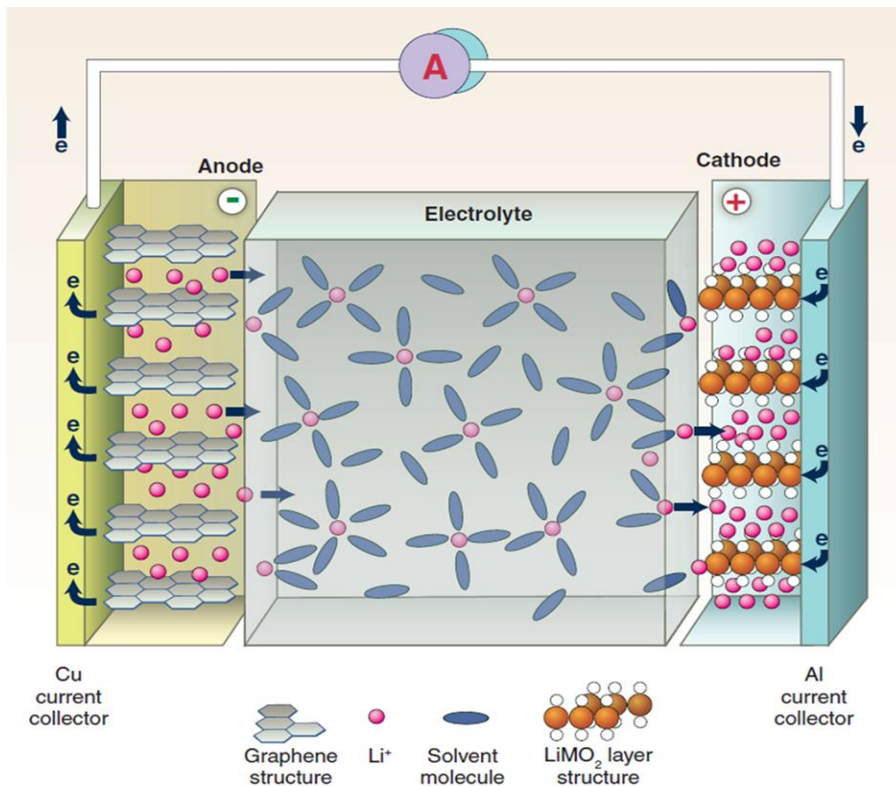
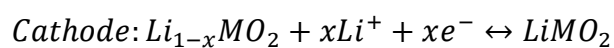
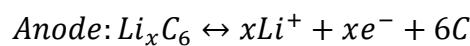


Figure 1.8 Schematic diagram of lithium-ion batteries.³⁵

The basic components of lithium-ion battery are shown in Figure 1.8. When charging, lithium ions are removed or deintercalated from cathode side (LiMO_2 layer) and intercalated into the anode (graphite layer). The process will be reversed when the battery is discharging.

The reactions that happened on the electrodes are below:



Although lithium-ion batteries have been widely applied in portable devices and electrical vehicles, the growing needs for high energy density, high capacity, long lifespan and safe energy storage system still seek the innovation and improvement of electrode materials for lithium-ion batteries.

1.4.2 Anode materials of lithium-ion batteries

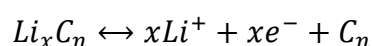
The performance of lithium-ion battery is largely determined by the theoretical specific capacity, electric conductivity, mechanical robustness, structure design and particle size of the electrode materials. Carbonaceous materials, lithium titanate, alloy-based materials and transition metal oxides are four major types of anode materials that are commonly used in lithium-ion batteries.

1.4.2.1 Carbonaceous materials

Carbonaceous materials have become the mainstay of anodes in lithium-ion batteries during the past decades. Compared to the other candidates such as metal oxides, chalcogenides and polymers, carbonaceous materials possess the features of higher specific charges, lower redox potentials and better cycling stability.⁹¹ Graphite, hard carbon, carbon nanotubes, graphene are four typical carbonaceous materials that are utilized in lithium-ion batteries.

1.4.2.1.1 Graphite

Graphite is composed by layers of linked hexagons of carbon atoms, and the layers are stacked in a ABAB sequence so that half of the atoms in a layer are directly above and below carbon atoms in the adjoining layers, while half are directly above and below the center of the hexagons.⁹² Benefit from this unique structure, graphite has a good thermal conductivity within the layers. In addition, graphite can conduct electricity since the valence electrons in carbon layers are free to move. The electrical and thermal conductivity of graphite facilitate its widespread utilization as electrode materials in energy storage.⁹³ In 1986, Rajeeva R. Agarwal and J. Robert Selman firstly introduced graphite as the anode materials for lithium-ion batteries.⁹⁴ With decades of development, graphite has become the most successful and widely commercialized anode materials for lithium-ion batteries. The layered structure of graphite provides an ideal space for lithium ions to insert and extract during the cycling. The intercalation mechanism of lithium-carbon (Li_xC_n) is described as the reversible reactions below:⁹⁵



As shown in Figure 1.9, graphite with perfect crystalline structure can form a lithium-carbon compound with every six carbon atoms take one lithium atom and possess a theoretical capacity of 372 mA h g^{-1} during the intercalation/deintercalation process.⁹⁶

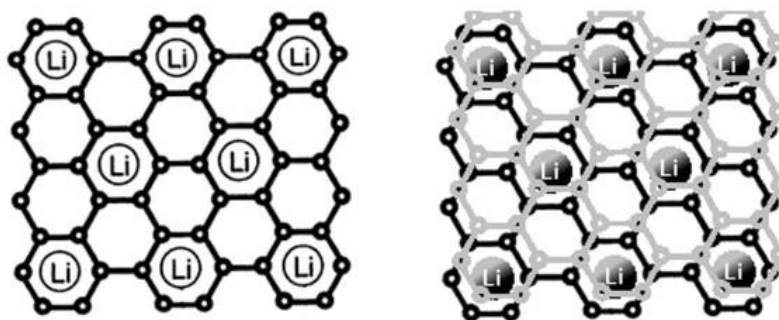


Figure 1.9 Schematic of lithium intercalation in graphite, lithium ions are inserted in every

second carbon hexagons and between the graphite layers.⁷⁰

Although graphite has the properties of high initial coulombic efficiency, low working potential and long lifespan with stable structure, the specific capacity and rate performance of graphite anodes still need to be further improved. Ding modified graphite with a thin AlF_3 layer coated on the powders, and this AlF_3 -coated graphite delivers a higher initial discharge capacity of 337 mA h g^{-1} and longer lifespan with capacity retention of 92% after 300 cycles.⁹⁷ Cheng reported a multi-channel graphite with surface etched by alkali compounds to increase the number of sites for lithium ions intercalation to reduce the diffusion distance of lithium ions and improve the rate capability of the battery.⁹⁸ Kim took the advantage that TiO_{2-x} coated layer can reduce the interfacial resistance between the electrode and the electrolyte to coat TiO_{2-x} on the surface of graphite, and the modified graphite anode exhibited 98.2% capacity retention at a high rate of 5 C without any degradation of the battery performances.⁹⁹

1.4.2.1.2 Hard carbon

Hard carbon has been investigated as an anode candidate to replace commercialized graphite in lithium-ion batteries. Hard carbon has no graphitic structure and it is usually prepared from pyrolysis of polymers.¹⁰⁰ Compared to graphite, hard carbon has larger gap space for lithium ions insertion due to its irregular and disorder arrangement of carbon atoms. As a result, hard carbon delivers a higher capacity of 526 mA h g^{-1} (about 40% greater than graphite) with an initial coulombic efficiency of 80%.¹⁰¹

Although hard carbon has the advantages of higher capacity, long cycle life and safety, it displays a low initial coulombic efficiency and high voltage hysteresis.¹⁰²⁻¹⁰³ In order to improve the electrochemical performances of hard carbon, tremendous efforts including modification of the electrode surface, design of the electrode structure and composite with other materials have been made. Jafari synthesized a nanoporous hard carbon electrode with large specific surface area that can accommodate more lithium ions and buffer the volume change during the lithium ions intercalation to improve a better rate capability and longer cycle life.¹⁰⁴ Kim prepared a hard carbon@microcrystalline graphite composite with core-shell structure to achieve a reversible capacity of 297.8 mA h g⁻¹ with an initial coulombic efficiency of 89.8%, and the capacity retention is 97% after 250 cycles.¹⁰⁵

1.4.2.1.3 Carbon nanotubes

Carbon nanotubes (CNTs) are tubes composed by carbon atoms with typical diameters at the order of nanometers. Single-walled CNTs can be regarded as a graphene sheet roll over into a seamless cylinder with typical diameter on the order of 1.4 nm; while multi-walled CNTs consist of concentric cylinders with an interlayer spacing of 3.4 Å and a diameter typically on the order of 10–20 nm.¹⁰⁶ The nanostructure of multi-walled CNTs and single-walled CNTs are shown in Figure 1.10(a) and Figure 1.10(b), respectively.

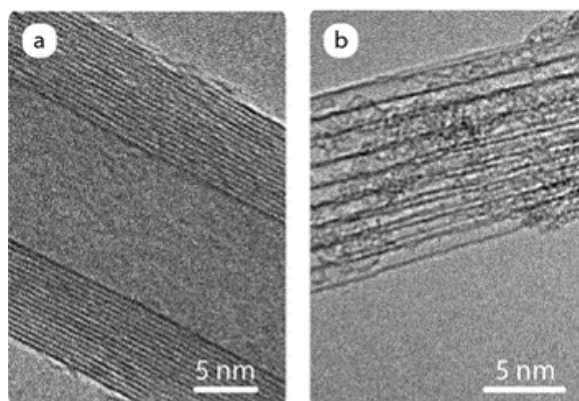


Figure 1.10 Transmission electron micrographs of an as-produced (a) multi-walled CNTs and (b) single-walled CNTs.¹⁰⁷

As an allotrope of graphite, CNTs have aroused intense attention in energy storage due to their remarkable electrical conductivity (10^6 S m^{-1} at 300 K for single-walled CNTs and $>10^5 \text{ S m}^{-1}$ for multi-walled CNTs),¹⁰⁸ exceptional tensile strength (300 GPa for single-walled CNTs and 1000 GPa for multi-walled CNTs)¹⁰⁹ and excellent thermal conductivity ($3000 \text{ W m}^{-1} \text{ K}^{-1}$ for single-walled CNTs and $6000 \text{ W m}^{-1} \text{ K}^{-1}$ for multi-walled CNTs).¹¹⁰

CNTs have been demonstrated as promising anode materials for lithium-ion batteries due to their remarkable electrical conductivity that can facilitate electrons transport, the structural integrity that can alleviate the pulverization of active materials during the charging/discharging process and the capability of composing the free-standing electrodes to provide physical support for metal anodes such as silicon or tin. Landi reported a free-standing single-walled CNTs anode (SWCNT) with a capacity of 520 mA h g^{-1} and good cycle stability that can maintain 95% of the initial capacity after 10 cycles.¹¹¹ Lahiri demonstrated a binder-free multiwall CNTs anode with a high reversible capacity of 767 mA h g^{-1} at a high discharging rate of 3.0 C (1.116 Ag^{-1}) with no capacity degradation in 50 cycles and a high coulombic

efficiency at 99%.¹¹² Ren developed aligned multi-walled CNTs fibers (MWCNT) as the lithium-ion battery anode that can achieve a capacity of 174.40 mA h g⁻¹ at a current density of 2×10^{-3} mA. ¹¹³ Di Lecce reported a multiwalled CNTs anode that can deliver a capacity of 380 mA h g⁻¹ at the current density of 124 mA g⁻¹ with a small voltage hysteresis and high coulombic efficiency of 99.6%.¹¹⁴ Kang used thermal compression process to synthesize a multi-stacked 3D CNTs anode with a high surface area and a bulk density that is twice to that of graphite anode to achieve a high mass loading of 1.85 g cm⁻³ with an excellent volumetric capacity of 465 mA h cm⁻³ at 0.5C.¹¹⁵

Although CNTs anodes have miscellaneous properties that can be applied in batteries, they still suffer from low coulombic efficiency, lack of voltage plateau and large voltage hysteresis issues.¹¹⁶ CNTs anode can display a high specific capacity at the initial cycle, but there is a large percentage of lithium ions are consumed rather than stored in the anode and thus lead to a low coulombic efficiency.¹¹⁷ CNTs anode also has a problem of flat voltage plateau that is caused by the broad changes in voltage as the cell discharges.¹¹⁸ Another problem is that the working potential of CNTs anode is usually 0.6 V–3 V (vs Li/Li⁺) higher than the potential of a commercial graphite anode, and this results in a large voltage hysteresis during the cycling.¹¹⁹ Therefore, amelioration and modification of CNTs anode are still deserved further research.

Vinayan composited graphene sheets with multi-walled CNTs (graphene-MWNTs) to achieve a discharge capacity of 768 mA h g⁻¹ after 100 cycles, the improved electrochemical performance of CNTs anode can be attributed to the strong electrostatic interaction between graphene sheets and multi-walled CNTs that can prevent the restacking of graphene and provide a shorten diffusion distance for lithium ions.¹²⁰ The nanostructure of graphene-

MWNTs composites is shown in Figure 1.11.

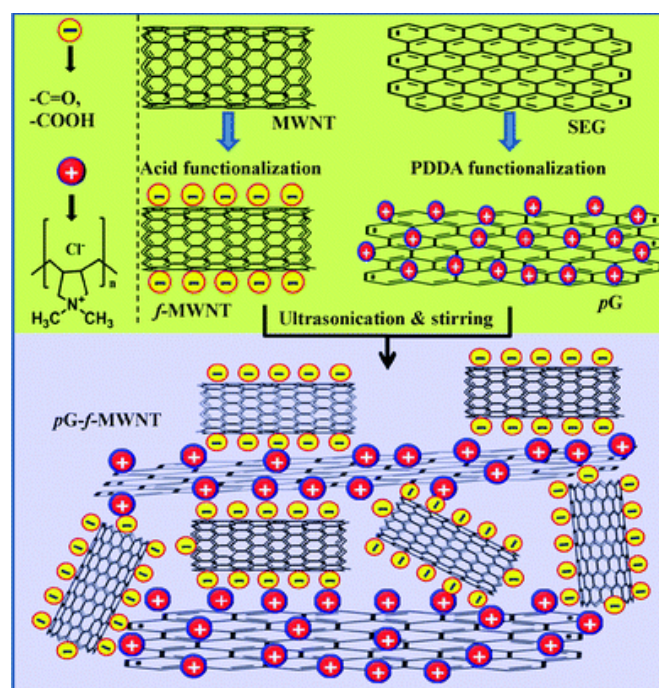


Figure 1.11 Schematic diagram of graphene-MWNTs composites.¹²⁰

Park fabricated a three-dimensional aligned mesoporous CNTs filled with Co₃O₄ nanoparticles composites (Co₃O₄/MCT) as the anode material for lithium-ion battery, and the composites exhibit a high reversible capacity of 627 mA h g⁻¹ for 50 cycles with less than 0.4% capacity loss per cycle.¹²¹ The improved electrochemical performance of Co₃O₄/MCT can be ascribed to the shorten diffusion distance provided by the three-dimensional mesoporous CNTs and nanosize effect offered by Co₃O₄ nanoparticles. The structure of Co₃O₄/MCT is shown in Figure 1.12.

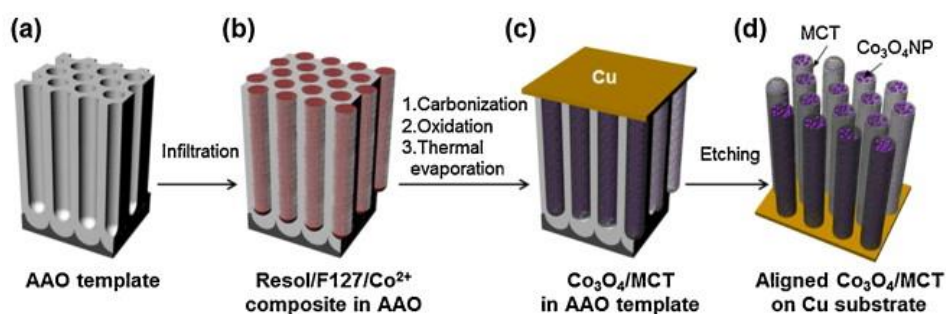


Figure 1.12 Process flow diagram for the preparation of a $\text{Co}_3\text{O}_4/\text{MCT}$ composite aligned on a Cu current collector using a porous AAO template.¹²¹

1.4.2.1.4 Graphene

Graphene is a kind of two dimensional sheet of sp^2 hybridized carbon, it can be stacked to form three dimensional graphite, rolled to form one dimensional nanotubes and wrapped to zero dimensional fullerenes.¹²² Each carbon atom in graphene is connected to three nearest carbon atoms with σ -bond, and contributes one electron to a conduction band that extends over the whole graphene sheet.¹²³ The basic structure of graphene is shown Figure 1.13.

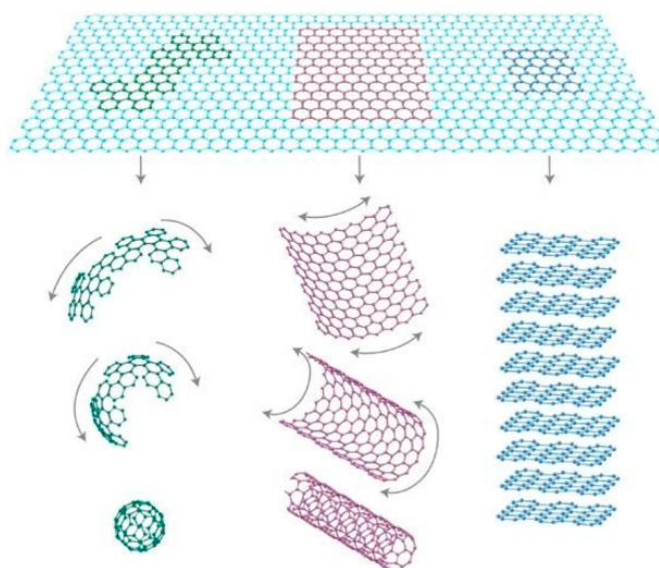


Figure 1.13 Graphene is the base for its allotropic carbonaceous materials.¹²⁴

For a very long time, graphene is thought to be an academic concept but not existed substance in real life until Novoselov isolated a single-atom-thick layer of carbon in 2004.¹²⁵ Single layer graphene has extraordinary mechanical strength with a Young's modulus of 1.0 TPa and superior thermal conductivity of 5000 W m⁻¹ K⁻¹ which is 10 times of that of copper.¹¹⁹ Graphene also has remarkable electron mobility (2.5×10^5 cm² V⁻¹ s⁻¹ at room temperature)¹²⁶ which lead to an excellent performance in electric conduction.¹²⁷ In addition, graphene also has a good optical absorption¹²⁸ and endurance of extremely high current densities.¹²⁹⁻¹³⁰

	Fullerene	Carbon nanotube	Graphene	Graphite
Dimensions	0	1	2	3
Hybridization	Mostly sp ²	Mostly sp ²	sp ²	sp ²
Hardness	High	High	Highest (for single layer)	High
Tenacity	Elastic	Flexible, elastic	Flexible, elastic	Flexible, non-elastic
Experimental Specific surface area (m² g⁻¹)	80-90	~1300	~1500	~10-20

Electrical conductivity (S cm⁻¹)	10 ⁻¹⁰	Structure dependent	~2000	Anisotropic: 2×10 ⁴ ~3×10 ⁴
Thermal conductivity (W m⁻¹ K⁻¹)	0.4	3500	4840~5300	Anisotropic: 1500~2000

Table 1.1 The comparison of properties of graphene and other typical carbonaceous materials.¹³¹

As shown in Table 1.1, graphene can be considered as the most promising material for electrochemical energy storage system compared to other typical carbonaceous materials. First of all, graphene has the highest electrical conductivity coefficient among the rest of materials. This feature can help reduce the resistance during charge transfer process, improve rate performance and increase the energy density of the battery. Second, the specific surface area of graphene ranks the top of all other carbon-based materials which means that graphene can store more lithium ions both on internal surfaces and the empty nanopores existed between several layers.¹³¹ The more lithium ions stored in electrode materials, the higher specific capacity will the electrode materials be. Graphene can provide a specific capacity of 744 mA h g⁻¹ by a Li₂C₆ stoichiometry that is twice than the capacity of graphite (372 mA h g⁻¹).¹³² The large specific area of graphene also facilitates the transport of ions and electrons and lead to an improvement of the rate capability of the battery. Third, graphene has a better flexibility than brittle and non-elastic graphite which can be favorable in bendable and portable electronic

devices. All of these unique characteristics laid the status of graphene in energy storage field, especially the battery applications.

Typical methods of mass production for graphene are summarized in Figure 1.14. The first method is mechanical exfoliation, the core of this method is to use external force to overcome the van der Waals attraction between adjacent graphene flakes to acquire graphene from the graphite.¹³³ The second approach is chemical vapor deposition (CVD), this approach allows the growth of high quality and uniform graphene, but this method will consume a large amount of energy since the production process is operated at a high temperature.¹³⁴⁻¹³⁵ The third method is chemical exfoliation of graphitic materials, this method has been widely used in industry since it can produce bulk quantity of graphene at one time with relatively low costs; however, this method cannot grow very high-quality graphene.¹³⁶ The fourth approach is liquid-phase exfoliation, the basic procedure of this method is to expose graphite or graphite oxide powders into particular solvents and then sonicate solutions to acquire graphene.¹³⁷ Since the energy input of liquid-phase exfoliation is relatively low compared to the other approaches, this method usually has the advantage of low cost. Another method is epitaxial growth of graphene on silicon carbide (SiC), this method can control the number of graphene layers grown on the surface of SiC; unfortunately, the high cost of SiC wafer and high temperature required in the process have hindered the further applications of this method.¹³⁸⁻¹³⁹

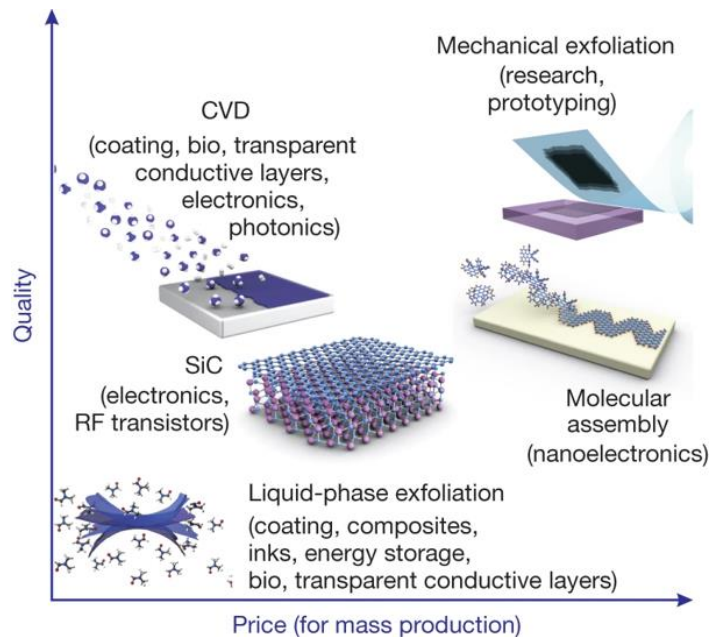


Figure 1.14 Several methods of mass-production of graphene, which allow a wide choice in terms of size, quality and price for any particular application.¹⁴⁰

Graphene-based anodes have variable structures such as graphene sheets,¹⁴¹ graphene spheres,¹⁴² graphene fibers,¹⁴³ graphene ribbon¹⁴⁴ and three-dimensional graphene foam,¹⁴⁵ graphene aerogel¹⁴⁶ and graphene hydrogel.¹⁴⁷

Graphene sheets can be prepared from graphite powders through chemical and thermal exfoliation process. The thin film structure of graphene can provide plentiful active edge sites to react with lithium ions, and facilitate the transport of ions and electrons by offering shorten diffusion pathways from a colossal surface area. Lian reported a graphene sheet with only four layers, and this tiny-layer graphene sheet can be applied as anode material for lithium-ion battery to achieve an initial capacity of 1264 mA h g⁻¹ at a current density of 100 mA g⁻¹, the reversible capacity of this graphene sheet can be maintained at 848 mA h g⁻¹ for 40 cycles.¹²² Wang prepared graphene nanosheets as the anode for lithium-ion battery, this anode displayed

an initial capacity of 945 mA h g^{-1} and a reversible capacity of 650 mA h g^{-1} with no more than good cycling stability for 100 cycles.¹⁴⁸ The irreversible capacity decay can be attributed to the formation of solid electrolyte interface (SEI) layer. Zhang synthesized nanoporous graphene sheets to apply in the lithium-ion battery, the specific capacity of this anode can be retained at 800 mA h g^{-1} with a coulombic efficiency of 95.2% for 100 cycles.¹⁴⁹ The synthesis process of nanoporous graphene sheets is shown in Figure 1.15.

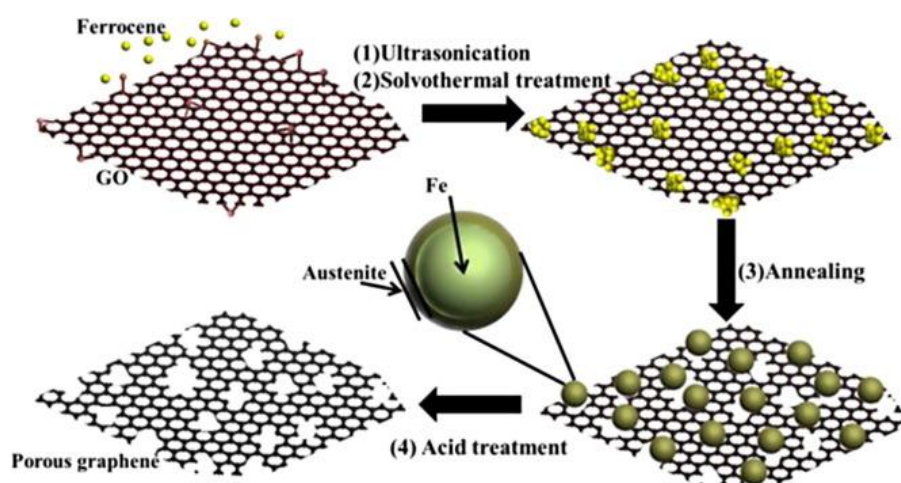


Figure 1.15 Schematic representation of the formation mechanism of nanoporous graphene.¹⁴⁹

Although the progress of graphene nanosheets anodes has been made in lithium-ion batteries, there are still some problems related to this kind of nanostructure materials. The most significant issue is that graphene sheets tend to aggregate due to the van der Waals forces, and the severe aggregation will cause the loss of active reaction sites for lithium ions and reduction in effective surface area that can contact with electrolyte. Hence, graphene anodes with sphere or ball structure aroused the attention. Cai developed an ultrathin-shell graphene hollow spheres with a uniform thickness of only 5 nm as the anode material for lithium-ion battery, this graphene spheres anode exhibited a high reversible specific capacity of $249.3 \text{ mA h g}^{-1}$ at

a high current density of 5 A g^{-1} , and 97.1% of the capacity can be maintained even after 100 cycles.¹⁵⁰ The hollow sphere structure can not only prevent the agglomeration of graphene nanosheets, but also decrease the diffusion distances of lithium ions with an interconnected structure. Besides, the porous interconnected graphene spheres can also provide an electrically conductive network to facilitate the transport of electrons, and abundant reaction sites for lithium ions storage due to its large surface area.

Graphene spheres are also widely used as the carbon support for metal oxide anodes of lithium-ion batteries. For instance, Yu composited ordered mesoporous graphene spheres (OMGSs) with GeO_2 nanoparticles as the anode for lithium-ion battery, and this anode delivered a high specific capacity of 1230 mA h g^{-1} at the current density of 0.2 A g^{-1} , and $\text{GeO}_2@$ OMGSs can still exhibit a capacity of 770 mA h g^{-1} with a coulombic efficiency of 98% even after 500 cycles.¹⁵¹ The highly ordered mesoporous graphene spheres endure the mechanical stress of volume expansion from GeO_2 nanoparticles during the cycling and enhance the lithiation and delithiation kinetics with large surface area and porous structure. The preparation steps and structure of $\text{GeO}_2@$ OMGSs are shown in Figure 1.16.

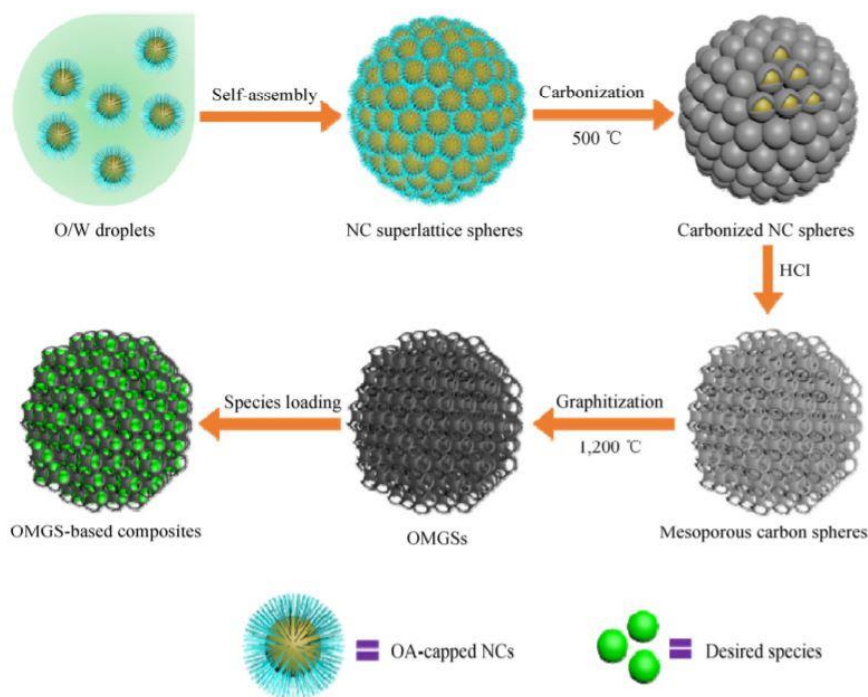


Figure 1.16 Schematic illustration of the overall procedure for synthesizing OMGSs and related OMGSs-based composites.¹⁵¹

Three-dimensional graphene materials that have large specific surface area, continuous electron conductive network, rapid ion-diffusion pathways and robust mechanical strength have become a cutting-edged research direction for lithium-ion batteries.¹⁵²

Duan prepared a solvated graphene frameworks (SGFs) by using a solvent-exchange approach, the SGFs anode demonstrated a high reversible capacity of 1158 mA h g⁻¹ at 0.1 A g⁻¹ which is 2.6 times higher than that of unsolvated graphene, excellent rate capability (472 mA h g⁻¹ and 307 mA h cm⁻³ at 5.0 A g⁻¹), and an ultra-stable cycling performance with a 93% capacity retention at the current density of 5 A g⁻¹ for 500 cycles.¹⁵² The remarkable electrochemical performances of SGFs are mainly ascribed to the large surface area and faster ions/electrons transfer provided by the porous networks of SGFs with an increased intersheet

distance. The synthesis procedure of SGFs is shown in Figure 1.17.

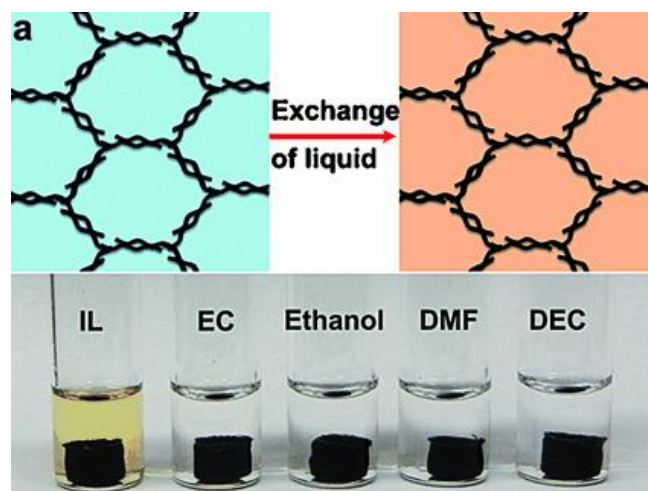


Figure 1.17 Schematic representation of solvent exchange for the preparation of SGFs (top) and photographs of SGFs in various organic solvents (bottom).¹⁵²

Wang reported a three-dimensional Fe_2O_3 /graphene sheets aerogels (Fe_2O_3 /GS) to apply as the anode for lithium-ion battery, this hybrid anode exhibited a remarkable rate capability (930 mA h g^{-1} at 0.5 A g^{-1} and 520 mA h g^{-1} at 4 A g^{-1}) and stable cycling performance that can maintain the capacity of 733 mA h g^{-1} at a current density of 2 A g^{-1} for 1000 cycles.¹⁵³ The superior electrochemical performances of Fe_2O_3 /GS can be ascribed to the large specific surface area and fast electron-ion diffusion pathways that provided by three-dimensional interconnected framework of graphene. Besides, Fe_2O_3 nanoparticles also contribute the capacity for this three-dimensional electrode during the cycling. The synthesis process and structure of Fe_2O_3 /GS are shown in Figure 1.18.

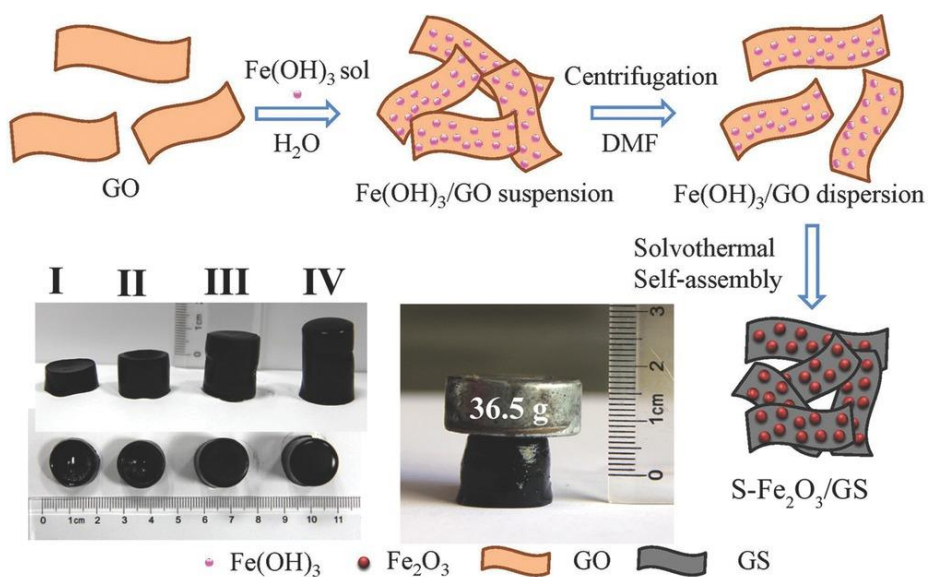


Figure 1.18 Schematic illustration of preparation of S-Fe₂O₃/GS aerogels.¹⁵³

1.4.2.2 Lithium titanate oxide

Lithium titanate oxide (LTO) has been extensively investigated as the anode candidates for lithium-ion batteries for decades. The structure of Li₄Ti₅O₁₂, Li₇Ti₅O₁₂ and Li₉Ti₅O₁₂ are shown in Figure 1.19.

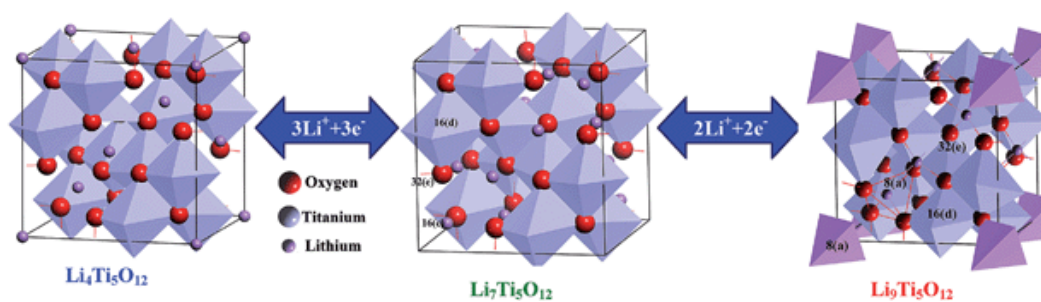


Figure 1.19 Structure of Li₄Ti₅O₁₂, Li₇Ti₅O₁₂ and Li₉Ti₅O₁₂.¹⁵⁴

Li₄Ti₅O₁₂ spinel is regarded as the most promising material due to its zero strain features which lead to negligible volume expansion during the cycling, stable potential platform at 1.55 V (vs. Li/Li⁺) which is above the potential of SEI formation, long cycle life and high

safety.¹⁵⁵⁻¹⁵⁶ The theoretical specific capacity of LTO anode in lithium-ion battery is 175 mA h g⁻¹.¹⁵⁷ However, LTO anodes usually suffer from poor electrical conductivity and low lithium ions diffusion coefficient that leads to unsatisfactory of rate performances in lithium-ion batteries. To address the issues for poor rate capability of LTO anodes, tremendous efforts such as modification and design of the anode structures and adjunction of conductive agents have been made.

Jung developed microscale C-Li₄Ti₅O₁₂ composites with a carbon layer coated on the surface of Li₄Ti₅O₁₂ particles to form sphere structure, this composite anode delivered a high capacity of 165 mA h g⁻¹ at 0.17 A g⁻¹ which is very close to the theoretical capacity of Li₄Ti₅O₁₂, and the capacity of this anode can retain at 160 mA h g⁻¹ even though the applied current density was increased to 1.7 A g⁻¹.¹⁵⁸ The structure of C-Li₄Ti₅O₁₂ composites is shown in Figure 1.20. The uniform carbon layer wrapped on the surface of Li₄Ti₅O₁₂ particles not only reinforced the electrical conductivity of LTO, but also provide the possibility for faster ions and electrons diffusion with the nanopores existed in the carbon layer. Besides, the mixed valence state of Ti³⁺ and Ti⁴⁺ also has a positive effect on the improvement of electrical conductivity and rate capability of the anode.

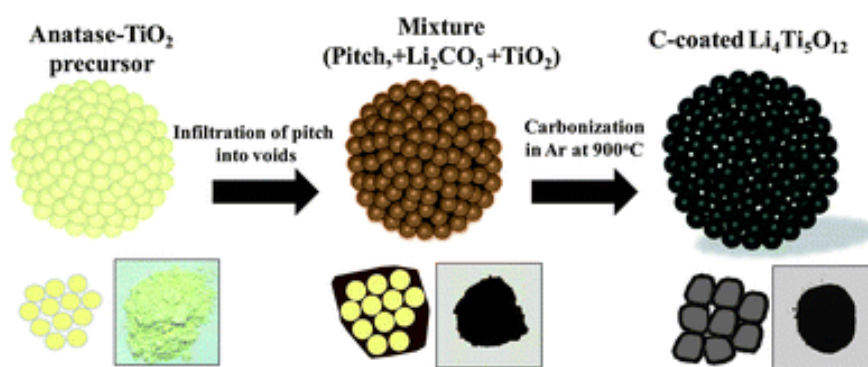


Figure 1.20 Schematic illustration of synthetic process of microscale, spherical, carbon-coated Li₄Ti₅O₁₂ and photographs of material formed during synthesis.¹⁵⁸

Apart from the modification and design for the structure of LTO, the addition of materials with high electrical conductivity is another approach to improve the drawbacks of LTO anode. Graphene has been widely used as the conductive additives in LTO anodes due to its outstanding electrical conductivity, large specific surface area and high mechanical strength. For instance, Yang fabricated a composite of LTO with cathodically induced graphene (CIG) as the anode for lithium-ion battery, the composite exhibited an outstanding cycling performance for 96.2% capacity retention at 0.5 C for 500 cycles and excellent rate capability (162 mA h g^{-1} at 10C and 126 mA h g^{-1} at 100 C).¹⁵⁵ The structure of LTO/CIG is demonstrated in Figure 1.21.

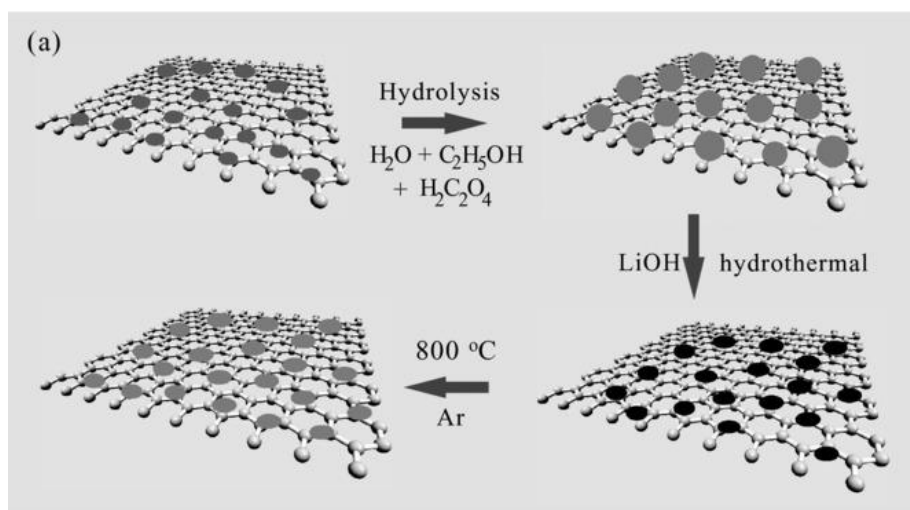


Figure 1.21 Schematic illustration for the fabrication of LTO/CIG composite.¹⁵⁵

1.4.2.3 Alloys

Alloys have been investigated as promising substitute anodes for lithium-ion batteries since they possess theoretical specific capacities that are 2–10 times higher than that of graphite and

4–20 times higher than that of LTO.¹⁵⁹ Lithium can alloy with numerous metals, such as silicon, tin, antimony, aluminum, bismuth and so on.¹⁶⁰ Although alloy anodes are known for their high specific capacities and safety during the cycling, the commercialization of alloy anodes has been hindered by their severe volume expansion in lithium ions intercalation/deintercalation process and irreversible capacity decay caused by the pulverization of active alloy particles.¹⁶¹

The comparison of different kinds of alloy anodes are displayed in Table 1.2.

Materials	Graphite	Li ₄ Ti ₅ O ₁₂	Silicon	Tin	Antimony	Aluminum
Density (g cm⁻³)	2.25	3.5	2.33	7.29	6.7	2.7
Lithiated phase	LiC ₆	Li ₇ Ti ₅ O ₁₂	Li _{4.4} Si	Li _{4.4} Sn	Li ₃ Sb	LiAl
Theoretical specific capacity (mA h g⁻¹)	372	175	4200	994	660	993
Theoretical charge density (mA h cm⁻³)	837	613	9786	7246	4422	2681

Volume change (%)	12	1	320	260	200	96
Potential vs. Li (~V)	0.05	1.6	0.4	0.6	0.9	0.3

Table 1.2 Comparison of the theoretical specific capacity, charge density, volume change and onset potential of various anode materials.¹⁵⁹

Compared to the other alloys in Table 3, silicon (Si) anode has triggered enormous interests in energy storage due to its highest specific capacity (4200 mA h g⁻¹), huge volumetric capacity (9786 mA h cm⁻³), low discharge potential (0.4 V vs Li/Li⁺) and abundant reserve on earth. Unfortunately, the severe volume expansion of Si anode in lithium-ion battery can cause nearly 30% capacity decay only after five cycles.¹⁶² In order to address the huge volume change and unstable SEI layer of Si anode during the cycling, several strategies have been exploited. As summarized in Figure 1.22, size control, surface coating, active/inactive alloy, void space design and composites are five typical approaches to solve the issues of Si anodes.¹⁶³ Decreasing the size of Si particles into nano range can mitigate the stress during the cycling and shorten the ions/electrons diffusion pathways to improve the rate capability of Si anodes. Coat a carbonaceous layer on the surface of Si anode can increase the electrical conductivity and avoid unfavorable reactions with the protection of outer layer. Active-inactive alloy can dilute lithium ions with the inactive phase to improve rate capability and improve the electrical conductivity of Si anode at the same time. Construct a void space structure can buffer the

volume expansion of Si particles and prevent the continuous growth of SEI layer. Composite Si particles with other materials can achieve higher energy density with great productivity.

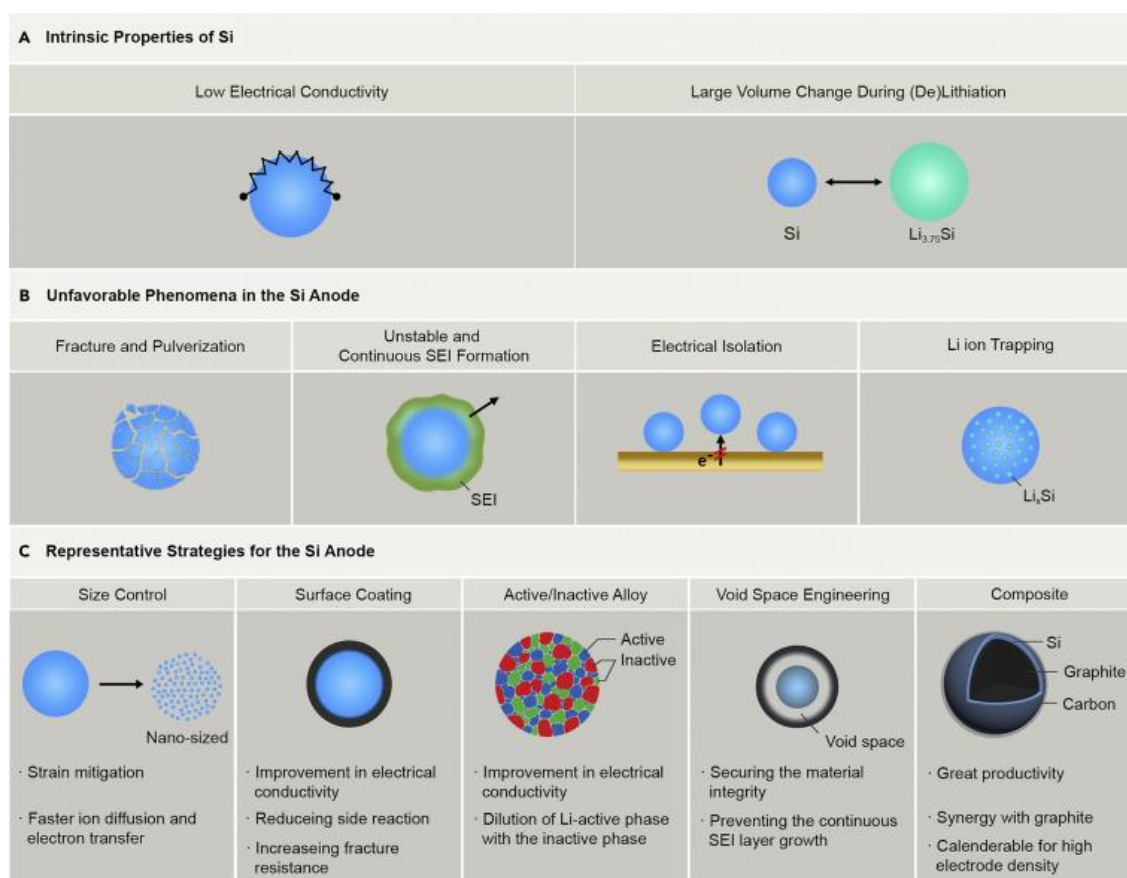


Figure 1.22 Overview of the challenges and representative strategies associated with the Si anode.¹⁶³

Wang demonstrated a silicon nanowire/overlapped graphene sheet core-sheath nanocables intertwined with CNTs (SiNW@G-CNT) to achieve a high reversible capacity of 1120 mA h g⁻¹ at a current density of 4.2 A g⁻¹ which is approximately three times of a commercial graphite anode with a coulombic efficiency maintains at 99 %–100 %.¹⁶⁴ The nanostructure of SiNW@G-CNT is shown in Figure 1.23. In this hybrid structure, CNTs act as the buffer matrix to accommodate the volume changes of silicon, while overlapped graphene sheets prevent the direct contact of silicon with electrolyte to avoid side reactions and provide a shorten diffusion

pathway for lithium ions during the cycling.

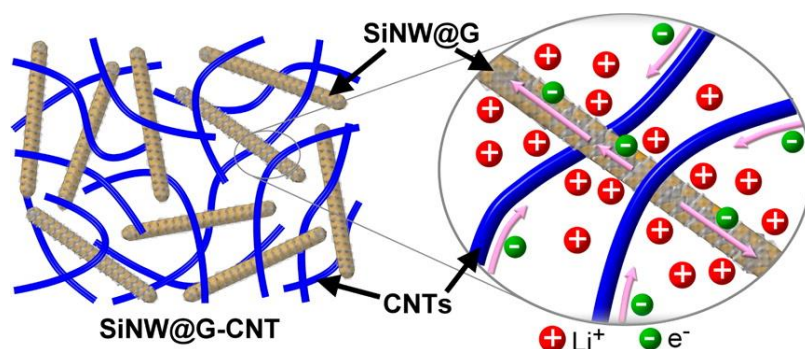


Figure 1.23 Schematic illustration of the 3D intertwined network of SiNW@G nanocables and CNTs.¹⁶⁴

Cui designed a carbon-silicon core-shell nanowires (SiNWs) anode for lithium-ion battery that exhibits a high capacity of 2000 mA h g^{-1} with coulombic efficiency of 98–99.6% for 80 cycles.¹¹⁸ The nanostructure of SiNWs is shown in Figure 1.24. Unlike other carbon shell that buffers the volume expansion of silicon anode from the outside, the carbon core that experienced less structural stress in SiNWs can function as a robust mechanical support and provide efficient electron transport pathways at the same time during the cycling.

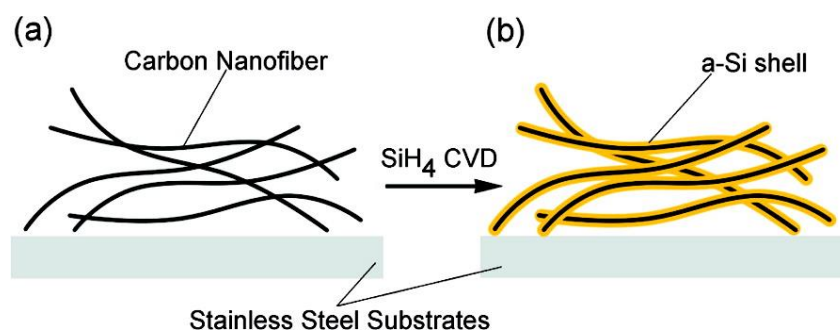


Figure 1.24 Schematic illustration of Si coating onto carbon nanofibers (a) Bare CNFs. (b) C–Si core–shell NWs.¹¹⁸

Similar to Si anodes, tin (Sn) anodes have been regarded as another promising alloy anodes

in lithium-ion batteries due to their high theoretical capacity (994 mA h g^{-1}), high tap density (3.15 g cm^{-3}), relatively low voltage (0.6 V vs Li/Li^+) and safety in charging/discharging process. The practical applications of Sn anodes are still impeded by the mechanical disintegration and severe capacity fading that caused by huge volume expansion during the cycling. Similar strategies have been applied to Sn anodes to solve the challenges of large volume change.

Wang designed a core-shell structure for Sn@C nanoparticles embedded in graphene nanosheets (Sn@C-GN), this composite anode delivered a specific capacity as high as 1069 mA h g^{-1} and an excellent cycling stability with capacity maintaining at 566 mA h g^{-1} for 100 cycles.¹⁶⁵ The synthesis procedure of Sn@C-GN is shown in Figure 1.25. The void space between the carbon shell and Sn core provided extra space to confine the growth of active Sn particles and buffer the volume expansion of Sn core during the cycling. Furthermore, the electrical conductivity and mechanical strength of the composites were improved by the presence of graphene sheets.

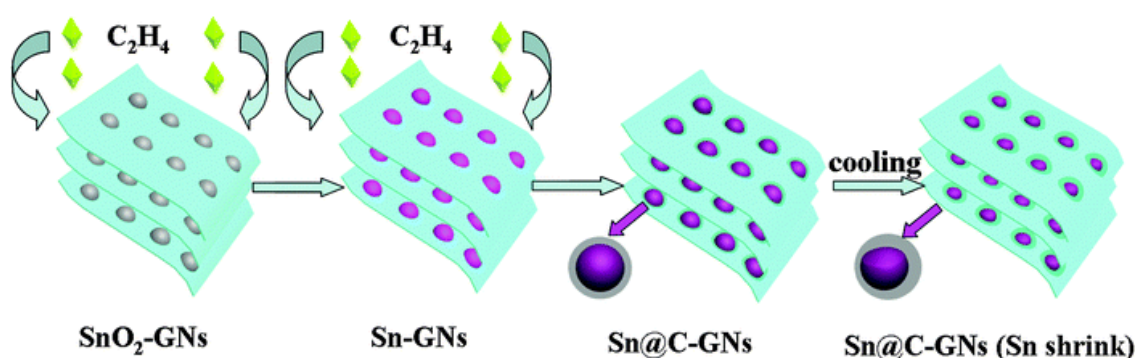


Figure 1.25 Schematic sketch for the Sn@C-GN composite growth procedure.¹⁶⁵

Zhang encapsulated Sn nanoparticles into hollow elastic carbon spheres (TNHCs), and the

composite anode exhibited a high specific capacity ($>800 \text{ mA h g}^{-1}$ in the initial 10 cycles and $>550 \text{ mA h g}^{-1}$ even after 100 cycles).¹⁶⁶ The morphology of TNHCs is displayed in Figure 1.26. The void space of the carbon spheres provides enough buffer for the volume expansion of Sn nanoparticles during the insertion/extraction of lithium ions, and prolong the cycle life with excellent structural integrity. In addition, the hollow carbon spheres also construct a conductive network to enhance the electron transfer efficiency of the anode.

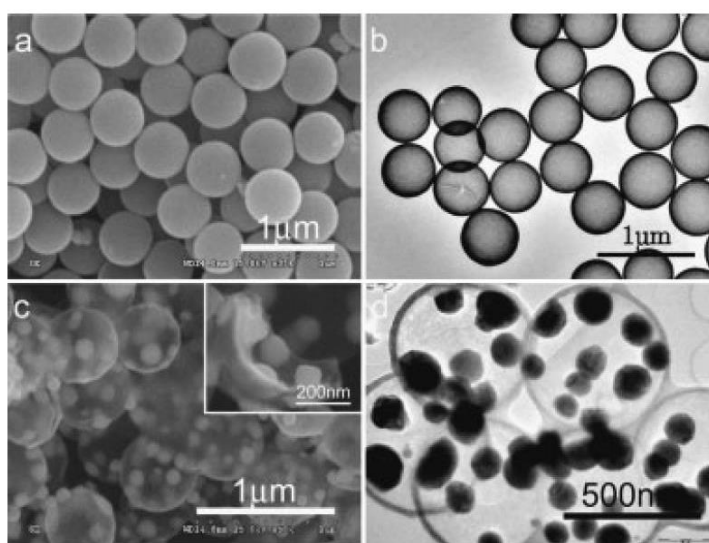


Figure 1.26 (a) SEM image of SnO_2 coated SiO_2 spheres; (b) TEM image of the hollow SnO_2 spheres; (c) and (d) SEM and TEM image of TNHCs, respectively.¹⁶⁶

1.4.2.4 Transition metal oxides

Compared to alloys, transition metal oxides such as SnO_2 , TiO_2 , Fe_2O_3 and Co_3O_4 exhibit prolonged cycle life due to the formation of Li_2O that can cushion the volume change and provide crystal structure for lithium ions insertion at the same time.¹⁶⁷ However, the employment of metal oxides in lithium-ion batteries is still dampened by the poor electrical conductivity and unstable cycling performance. Compositing with porous carbonaceous

materials to enhance the electrical conductivity and shorten the diffusion pathways for lithium ions by large surface area has been a common method to address the drawbacks of metal oxide anodes.

Han used sulfur template to fabricate a cage hybrid composite of SnO₂ and graphene (SnO₂@GC) with void space, the composite anode exhibited an ultra-high volumetric capacity of 2415 mA h cm⁻³ at a current density of 100 mA g⁻¹ for 300 cycles with 84% capacity retention.¹⁶⁸ The schematic structure of SnO₂@GC is demonstrated in Figure 1.27. The well-designed graphene cage provides adequate void space to buffer the volume expansion of SnO₂ nanoparticles during the lithium ions intercalation/deintercalation process, avoid the pulverization of SnO₂ nanoparticles to prevent the capacity decay, and offer the continuous electrically conductive network to facilitate the electrons transfer and improve the rate capability of the composite anode.

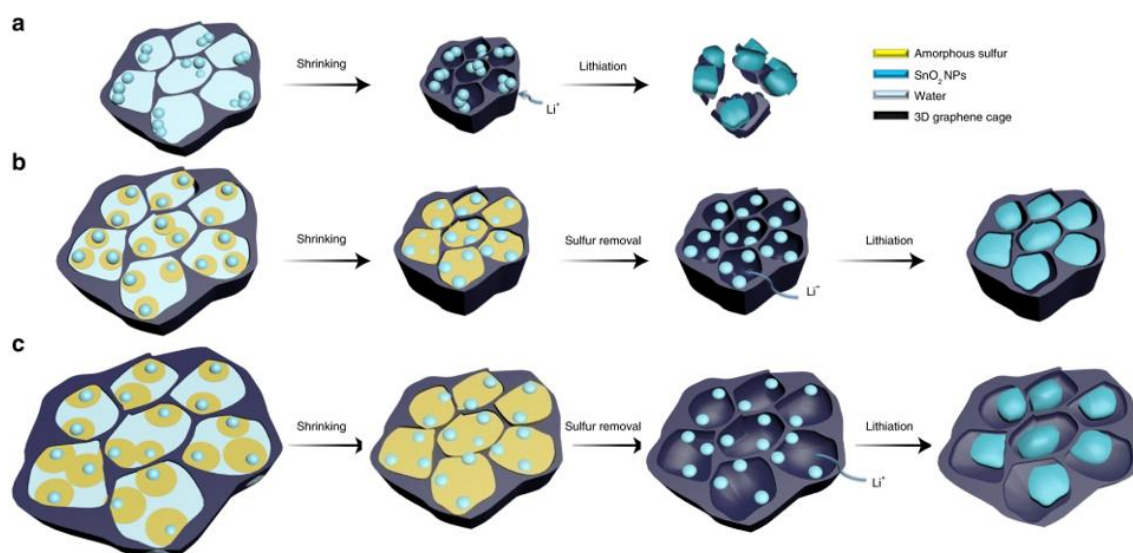


Figure 1.27 Sulfur template control of incorporated void space of SnO₂@GC.¹⁶⁸

Xin fabricated a composite with TiO₂ nanoparticles condensed on a flower-like graphene oxide sheets, this TiO₂/graphene composite was able to deliver a capacity of 230 mA h g⁻¹ at a current density of 17 mA g⁻¹ and exhibited a superb cycling stability even at 50 C.¹⁶⁹ The synthesis procedure is illustrated in Figure 1.28. The porous flower-like graphene sheets offer a large surface area to shorten the diffusion distances of lithium ions and enhance electrical conductivity of the composite.

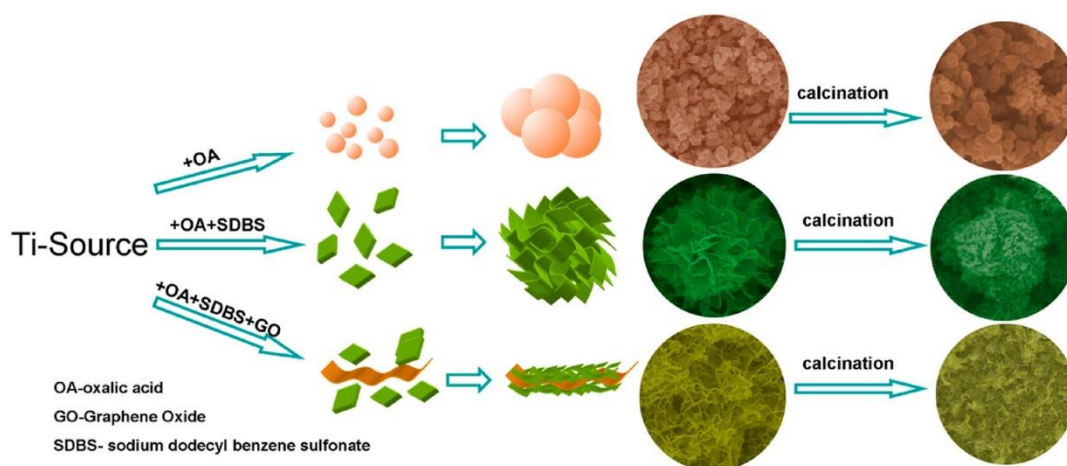


Figure 1.28 Illustration of the preparation processes and growth mechanisms of TiO₂-based nanocomposites.¹⁶⁹

1.4.2.5 Graphene-alloy composites

Among various types of anode materials for lithium-ion batteries, alloy anodes aroused the attention with their extremely high gravimetric capacities and volumetric capacities, while graphene possess the intriguing properties of high specific surface area, excellent electrical and thermal conductivity and remarkable mechanical strength. Suffered from the restacking the agglomeration issues, graphene anodes usually have a big drop of the effective surface area, and thus lead to a large irreversible capacity loss after the initial cycle with a low coulombic

efficiency.^{119, 170} Alloy particles can diffuse into the porous graphene layers easily to reduce the possibility of restacking and provide more activated sites for lithium ions insertion at the same time. Meanwhile, graphene can act as a carrier to support the nucleation of alloy particles, and help build a uniform surface for the composites to inhibit the growth of lithium dendrites that causes the short circuit of the battery. In order to integrate the merits from alloys and graphene anodes, address the severe volume expansion and pulverization issues from alloy anodes, tackle the restacking and agglomeration problems of graphene and increase the capacity of graphene anodes, graphene-alloy composites come into birth.

Progress of graphene-alloy composites have been made in recent decades. Zhang constructed a phosphorus bridge to connect SnO₂ nanoparticles with graphene (SnO₂@P@GO) to apply as the anode for lithium-ion battery, this composite exhibited a specific capacity of 610 mA h g⁻¹ at the current density of 100 mA g⁻¹ with 95% capacity retention for 700 cycles.¹⁷¹ The synthesis procedure of SnO₂@P@GO composite is shown in Figure 1.29. The ultra-stable cycling performance and structure integrity of SnO₂@P@GO anode can be attributed to the covalent bonds through P-C and Sn-C-P which can buffer the change of mechanical stress during the volume expansion of SnO₂ nanoparticles.

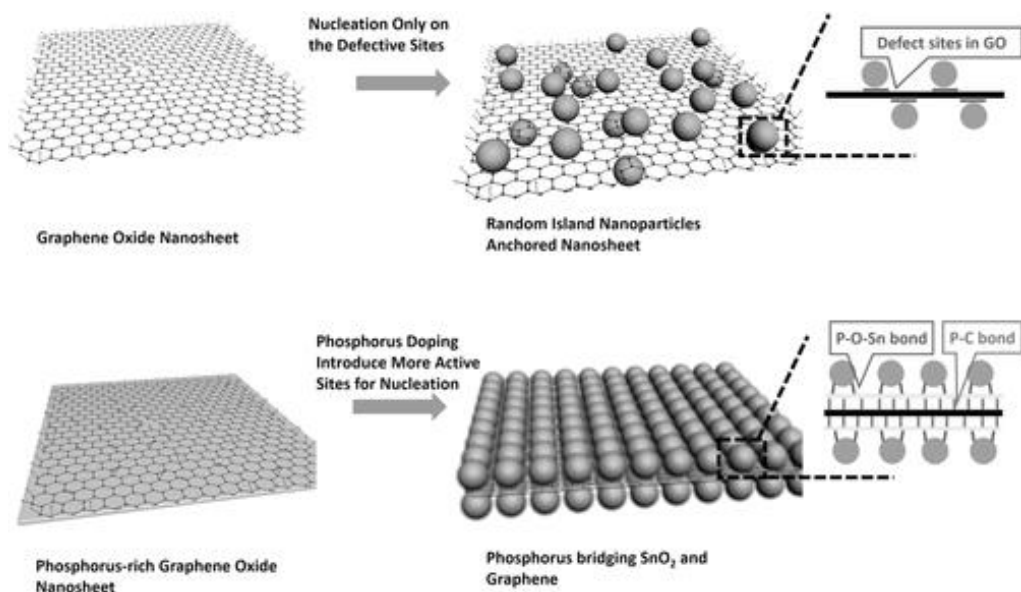


Figure 1.29 The schematic illustrations of formation process of SnO₂/GO and SnO₂@P@GO.¹⁷¹

Teng designed an anode composite with MoS₂ nanosheets vertically grown on the graphene sheets (MoS₂/G), this composite manifests a high reversible capacity of 1077 mA h g⁻¹ at 100 mA g⁻¹ after 150 cycles with excellent rate capability and extraordinary cycling stability that can maintain the capacity of 907 mA h g⁻¹ at 1000 mA g⁻¹ after 400 cycles.¹⁷² The nanostructure of MoS₂/G composite is demonstrated in Figure 1.30. The perpendicularly aligned MoS₂ nanosheets can not only prevent the restacking of graphene sheets, but also offer abundant edge sites for lithium ions to react. Besides, the graphene sheets can provide a colossal surface area to accommodate lithium ions as well as offer a shorter diffusion pathway for ions and electrons to facilitate the rate capability of the battery. In addition, the flexible graphene sheets can act as a buffer for volume expansion of MoS₂ nanosheets during the cycling.

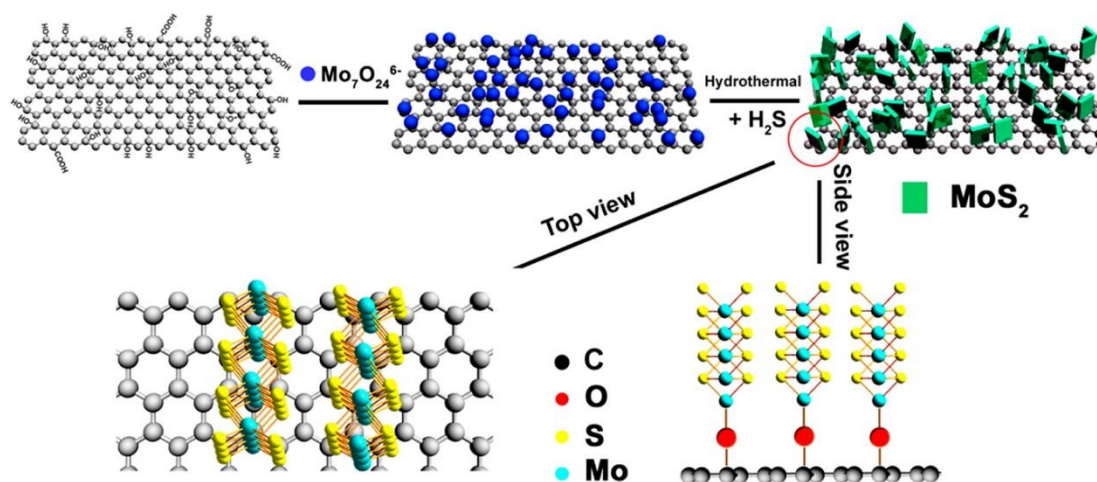


Figure 1.30 Schematic illustration of the synthesis procedure of MoS₂/G.¹⁷²

Choi applied spray pyrolysis to synthesize Fe₃O₄-decorated graphene balls with uniform distribution of Fe₃O₄ nanoparticles on the surface, this composite anode possessed a high discharge capacity of 1210 mA h g⁻¹ at the current density of 7 A g⁻¹, remarkable cycling stability that can maintain a capacity of 690 mA h g⁻¹ for over 1000 cycles and excellent rate capability (1040 to 540 mA h g⁻¹ with the current density increased from 1 to 30 A g⁻¹).¹⁷³ The nanostructure of Fe₃O₄-decorated graphene balls are illustrated in Figure 1.31. The uniform distribution of Fe₃O₄ nanoparticles on the graphene sheets can effectively prevent the agglomeration of graphene layers and guarantee enough surface area for lithium ions to accommodate in the anode which is beneficial in the capacity. In addition, the sphere design of the composite can keep the mechanical integrity of the anode, and thus improve the cycling stability of the battery. The porous spheres can shorten the diffusion distances of lithium ions and improve the rate capability of the composite.

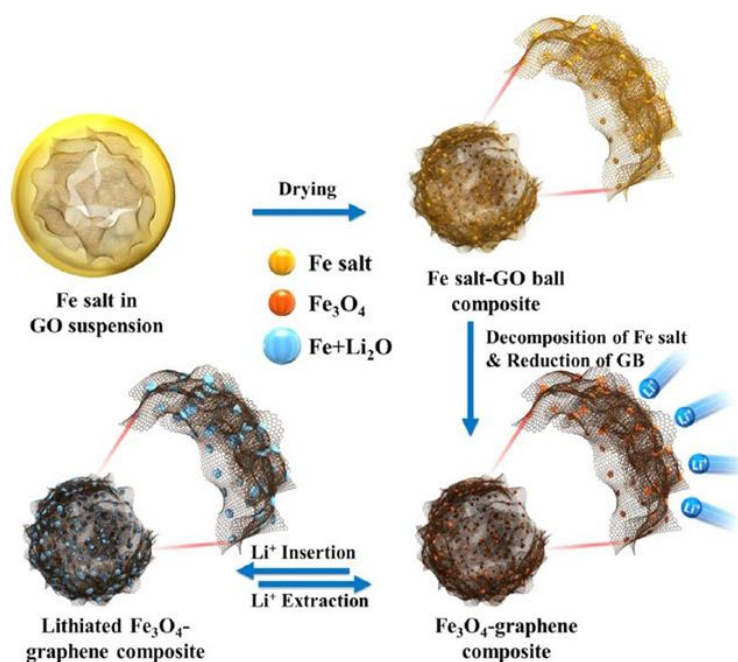


Figure 1.31 Schematic diagram of formation and lithium insertion/desertion mechanisms of Fe_3O_4 -decorated hollow graphene ball.¹⁷³

Luo designed a hierarchical composite with foam-like graphene matrix and Sn nanoparticles (F-G/Sn@C), the composite anode delivered a reversible capacity of 506 mA h g^{-1} for 500 cycles and a high-rate capacity of 270 mA h g^{-1} even at the current density of 3200 mA g^{-1} in lithium-ion battery.¹⁷⁴ The schematic structure of F-G/Sn@C is illustrated in Figure 1.32. The foam-like graphene matrix functions as a buffer for the severe volume expansion of Sn nanoparticles during the cycling, as well as a restricted frame to suppress the aggregation of Sn nanoparticles. The capacity exhibited by the composite can be ascribed to the synergistic effect of both Sn nanoparticles and graphene matrix.

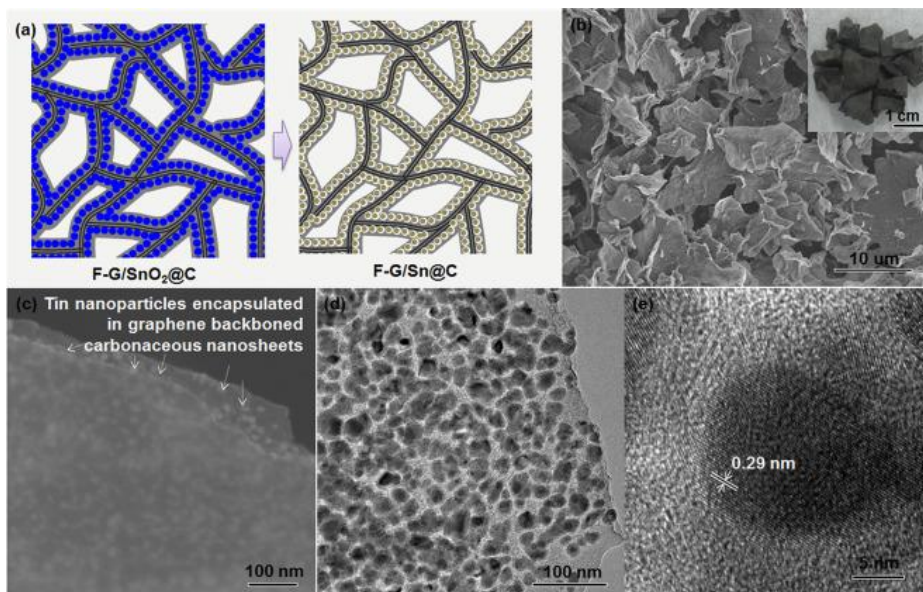


Figure 1.32 (a) Schematic illustration of the synthesis of F-G/Sn@C composite, (b, c) SEM images, (d) TEM image, (e) HR-TEM image.¹⁷⁴

1.4.3 Cathode materials of lithium-ion batteries

The cathode materials in lithium-ion batteries are the major source of lithium ions during the charging/discharging phases.¹⁷⁵ The most common and commercialized cathode materials for lithium-ion batteries are lithium cobalt oxide (LiCoO_2), lithium iron phosphate (LiFePO_4), lithium manganese oxide (LiMn_2O_4) and lithium layered metal oxides.¹⁷⁶

The most widely applied cathode material in lithium-ion battery is LiCoO_2 which has a distorted rock-salt structure where cations order in alternating (1 1 1) planes.¹⁷⁷ The structure of LiCoO_2 is illustrated in Figure 1.33. As the dominant cathode in lithium storage, LiCoO_2 has the advantages of high theoretical capacity (274 mA h g^{-1}), high tap density (3.9 g cm^{-3}) and long lifespan.¹⁷⁸ However, LiCoO_2 cathode still suffers from a high potential ($>4.2 \text{ V vs Li/Li}^+$) that leads to unfavorable bulk phase transition, surface degradation and inhomogeneous

reactions.¹⁷⁹ In order to address the challenges of LiCoO₂ cathode, several methods such as modification of the electrode surface and composite with other conductive materials have been attempted. Lee modified the surface of LiCoO₂ cathode with a AlF₃ layer, and this composite delivered a capacity of 188 mA h g⁻¹ at the voltage of 4.5 V (vs. Li/Li⁺) with a 95% capacity retention for 50 cycles.¹⁸⁰ Sun coated graphene quantum dots on the surface of LiCoO₂ particles to exhibit a specific capacity of 158.9 mA h g⁻¹ with a capacity retention of 82.8 % for 100 cycles.¹⁸¹

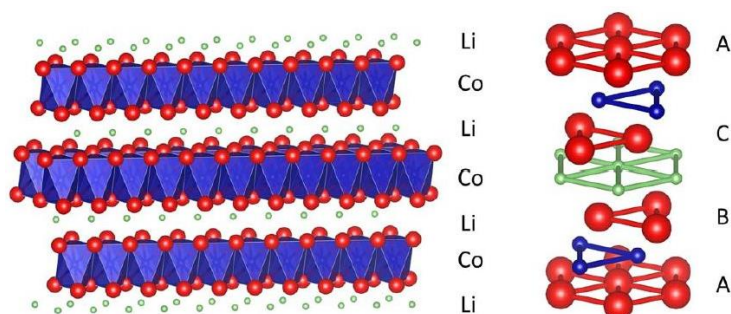


Figure 1.33 Schematic structure of LiCoO₂ (red: oxygen; purple: cobalt; green: lithium).¹⁸²

LiFePO₄ possesses an olivine-like structure where phosphorus takes up tetrahedral sites, iron occupies octahedral sites and lithium form one-dimensional chains along the (0 1 0) direction.¹⁸³ The structure of LiFePO₄ is shown in Figure 1.34. LiFePO₄ has been known for its flat discharge plateau at 3.3 V vs Li/Li⁺, a moderate charge capacity of 170 mA h g⁻¹, negligible volume change, excellent thermal stability and cheap price.¹⁸⁴ Unfortunately, the application of LiFePO₄ cathode has been impeded by low electrical conductivity, low tap density and poor performance at low temperature ranges.¹⁸⁵ The drawbacks of poor electrical conductivity and low tap density can be ameliorated by compositing LiFePO₄ with other carbonaceous materials such as CNTs or graphene. Li reported a composite of

LiFePO₄ particles with multi-walled CNTs that can deliver an initial capacity of 155 mA h g⁻¹ at the current density of 0.05 mA cm⁻².¹⁸⁶ Wang designed a composite with LiFePO₄ particles embedded in the graphene sheets, and the cathode with a three-dimensional conducting network exhibited a discharge capacity of 160.3 mA h g⁻¹ at 0.1 C which is very close to the theoretical capacity of LiFePO₄.¹⁸⁷

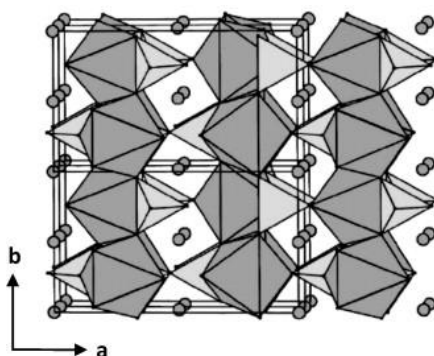


Figure 1.34 The crystal structure of LiFePO₄ viewed along the *c*-axis.¹⁸⁸

LiMn₂O₄ provides a three-dimensional connected network that can facilitate the insertion and deintercalation of lithium ions, and the tetrahedral sites that neighbor to empty octahedral sites of Mn₂O₄ are occupied by lithium ions.¹⁸⁹ The structure of LiMn₂O₄ is displayed in Figure 1.35. Compared to LiCoO₂ cathodes with high toxicity and high cost, LiMn₂O₄ cathodes attract the attention due to their non-toxicity, low cost and abundant reserve on earth. The LiMn₂O₄ cathode with spinel structure can deliver a theoretical capacity of 148 mA h g⁻¹.¹⁹⁰ However, the obvious capacity decay at elevated temperature and surface degradation that leads to poor cycling stability have been a hamper for the commercialization of LiMn₂O₄ cathodes. Tu coated a gold layer on the surface of LiMn₂O₄ powders to enhance the electrical conduction and improve the cycling stability of the cathode, the composite displayed a capacity of 125 mA h

g^{-1} for 50 cycles.¹⁹¹ Yu designed a flexible cathode that composed by hollow carbon nanofibers with truncated conical graphene layers and LiMn_2O_4 nanocrystals ($\text{LiMn}_2\text{O}_4@\text{C}/\text{CNF}$), this unique cathode exhibited a high specific capacity of 126 mA h g^{-1} with 81% capacity retention for over 1000 cycles at 1C.¹⁹² The hollow carbon nanofibers in the composite provide abundant graphitic edge planes for the accommodation LiMn_2O_4 nanocrystals, and graphene layers can bear the high-temperature oxidation resistance during the cycling.

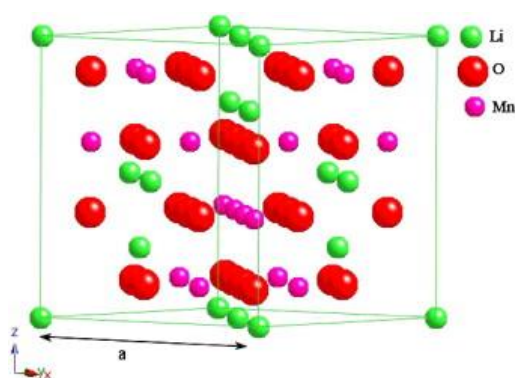


Figure 1.35 The crystal structure of spinel LiMn_2O_4 .¹⁹³

1.4.4 Current challenges of lithium-ion batteries

Lithium-ion batteries have been the most competitive candidates in electrochemical energy storage system since they have the advantages of high energy density, long lifespan, safety at wide range of temperatures, non-toxicity and low cost. The energy source of portable electronic devices and electrical vehicles are mainly provided by lithium-ion batteries, and the capacity of batteries largely relies on the intrinsic and extrinsic properties of electrode materials. Specifically, lithium-ion batteries with high-voltage and high-capacity cathodes as well as low-voltage and high-capacity anodes are pursued for energy storage and transportation.¹⁹⁴

With the increasing demand for high energy density batteries in transportation and energy

storage, there is a growing interest in developing cathode materials with higher capacities and higher operating potentials. Based on the intention to lower the cost, consideration of environmental friendliness and safety concerns during the charging/discharging process, LiMn_2O_4 and LiFePO_4 cathodes have become intriguing choices as the replacement of LiCoO_2 cathodes. However, both LiMn_2O_4 and LiFePO_4 cathodes are suffered from the limited energy capacities and unfavorable reactions on the cathode surface. Coating the cathode surface with a protective layer to suppress the continuous formation of thick SEI layer and composite with other carbonaceous materials can be feasible methods to address the current issues of cathode materials in lithium-ion batteries.

Nowadays, the high-capacity anodes of lithium-ion batteries are focused on the design and construct of alloy materials. Although alloy materials have appealing properties of extremely high theoretical capacities, high volumetric capacities with considerable compacted densities and relatively low discharge potentials, the detrimental volume change that leads to pulverization of active alloy particles and drastic capacity decay during the lithium ions intercalation/extraction process has been an obstacle of the practical application of alloy anodes. Size control and surface modification of alloy particles can be possible approaches to enhance the electrical conductivity of alloy anodes, but this method cannot tackle with the huge volume expansion of the anodes. Therefore, design and construct of alloy composites with unique nanostructure to alleviate the volume expansion, cushion the mechanical stress, deliver high power densities and improve the cycling stability have been major challenges for anodes of lithium-ion batteries.

Another problem of lithium-ion batteries is the increasing price of raw materials due to the

limited reserve of lithium on earth. Reducing production costs while ensuring the high energy density has become a major challenge for next-generation lithium-ion batteries.

1.5 Sodium-ion batteries

The market of portable electronic devices has been dominated by lithium-ion batteries for decades. Although lithium-ion batteries possess the advantages of high energy densities, remarkable cycling stability and lightweight designs, the limited reserve of lithium on earth and elevated cost due to the insufficient supply of raw materials have risen the concerns of seeking complementary energy storage systems. Compared to the limited resources of lithium that illustrated in Figure 1.36, sodium attracts the attention with its abundant reserve in earth's crust.

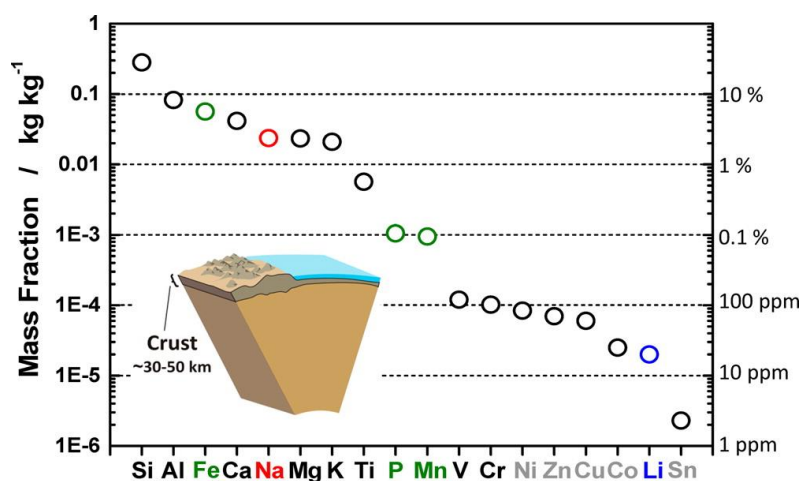


Figure 1.36 Elemental abundance in the Earth's crust.¹⁹⁵

Due to the wide abundance of sodium, low electrochemical potential (-2.71 V vs the standard hydrogen electrode) which is only 330 mV above that of lithium¹⁹⁶, high gravimetric

capacity (1165 mA h g^{-1})¹⁹⁷ and analogous intercalation mechanism in electrochemistry, sodium-ion battery (SIB) has been considered as an alternative energy source of lithium-ion battery.

1.5.1 Fundamental of sodium-ion batteries

Similar to the electrochemistry of lithium-ion battery, the fundamental of sodium-ion battery can be classified as intercalation, alloying and conversion.¹⁹⁶ As shown in Figure 1.37, a typical sodium-ion battery is composed by a cathode, an anode, ionic conductive electrolyte and a separator that isolates the cathode and anode.

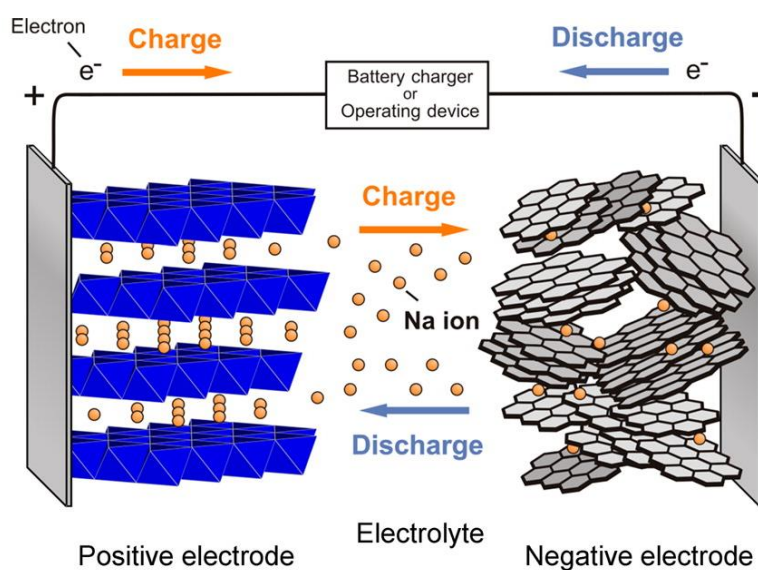
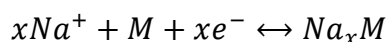


Figure 1.37 Schematic illustration of sodium-ion batteries.¹⁹⁵

During the charging process, sodium ions are extracted from the cathode side and intercalated into the anode. The process will be in reverse direction when the battery is discharging. The reverse reactions that happened on the electrodes are below, and M represents

the electrode active material:



Compared to lithium ions, sodium ions have the larger radius size that leads to greater mechanical stress and volume change during the insertion/extraction process. As a result, the electrode materials for sodium-ion batteries need to have enough space to accommodate sodium ions as well as sustain huge volume expansion without cracking of active particles or change of morphology.

1.5.2 Anode materials of sodium-ion batteries

In parallel to the anode materials that are widely applied in lithium-ion batteries, the typical anode materials of sodium-ion batteries can be classified as carbonaceous materials, alloys and metal oxides.

1.5.2.1 Carbon-based materials

As the most successful commercialized anode in lithium-ion batteries, graphite is not suitable for sodium-ion batteries due to the insufficient interlayer space for sodium ions insertion. Graphite only has an interlayer distance of 0.34 nm that is smaller than the minimum distance of 0.37 nm for sodium ions to be inserted.¹⁹⁸ In order to solve the problem of small interlayer space, Wen reported an expanded graphite with an interlayer distance of 0.43 nm to accommodate sodium ions, the anode can deliver a reversible capacity of 284 mA h g⁻¹ which

is 8 times of the theoretical capacity of graphite in SIBs (35 mA h g^{-1})¹⁹⁹ with a capacity retention of 73.92% for 2000 cycles.²⁰⁰ Similar methods to enlarge the interlayer space of graphite to accommodate sodium ions have been reported.²⁰¹⁻²⁰³

Hard carbon has been widely applied in sodium-ion batteries due to its highly disordered structure that can provide large interlayer space for sodium ions insertion. Unlike graphite that can only store sodium ions between the graphitic layers, hard carbon has a versatility in the storage of sodium ions. The intercalation of sodium ions can be held in turbostratic graphitic structure, closed micropores and defects on the surface of hard carbon.²⁰⁴ However, the utilization of hard carbon anode has been hampered by low initial coulombic efficiency and poor rate capability. Optimizing the surface of electrode with lower defects and constructing interconnected electrically conductive network can be efficient ways to address current issues of hard carbon. Xiao synthesized a hard carbon anode with low defects, and this anode exhibited a high reversible capacity of 361 mA h g^{-1} and excellent cycle stability for 93.4% capacity retention over 100 cycles.²⁰⁵ Yuan designed a three-dimensional hard carbon anode with interconnected network, this anode deliver a high initial capacity of 341 mA h g^{-1} , outstanding rate capability ($210, 128$ and 112 mA h g^{-1} at $200, 5000, 8000 \text{ mA g}^{-1}$, respectively) and ultralong lifespan of 3000 cycles.²⁰⁶

Graphene has numerous appealing properties including large specific surface area, excellent electrical conductivity, outstanding thermal conductivity and robust mechanical strength. Unfortunately, pure graphene anode can only deliver a low capacity of 105 mA h g^{-1} due to its undersized interlayer space for the intercalation of sodium ions.²⁰⁷ Therefore, introducing alloys or metal oxide particles to enlarge the interlayer of graphene can be a

feasible way to improve the electrochemical performances of graphene anodes. Yue reported a composite with Sn/SnSb nanoparticles homogeneously dispersed on a nitrogen-doped graphene sheet, the anode delivered a high discharging capacity of 709 mA h g^{-1} at 0.2 A g^{-1} , excellent rate capability (320 mA h g^{-1} , 202 mA h g^{-1} and 119 mA h g^{-1} at 0.6 A g^{-1} , 2 A g^{-1} and 5 A g^{-1} , respectively) and remarkable cycling stability with a capacity of 251 mA h g^{-1} at 1 A g^{-1} for 1000 cycles.²⁰⁸ The synthesis process is displayed in Figure 1.38. The uniformly dispersed Sn-SnSb nanoparticles can prevent agglomeration of graphene sheets and enlarge the space of intercalation layer for sodium ions. Besides, the flexible graphene can buffer the volume expansion of alloy particles and shorten electrons/ions diffusion distance with its large surface area.

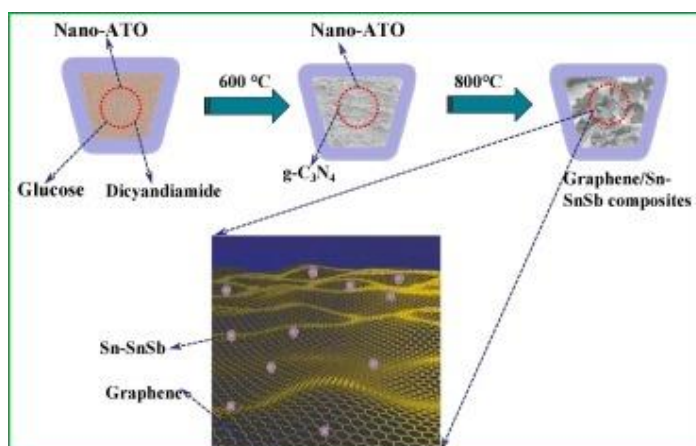


Figure 1.38 Schematic illustration of the preparation procedures for highly dispersed nano Sn-SnSb/G composite.²⁰⁸

Liu synthesized a mesoporous Co_3O_4 sheets/3D graphene networks nanohybrid (Co_3O_4 MNSs/3DGNs), and this composite anode exhibited a high initial capacity of $670.5 \text{ mA h g}^{-1}$ with a coulombic efficiency of 91%.²⁰⁹ The morphology of Co_3O_4 MNSs/3DGNs is shown in Figure 1.39. The mesoporous Co_3O_4 sheets can provide extra surface area to insert more

sodium ions and reduce the diffusion distances for electrons/ions transfer to enhance the kinetics of the anode. Moreover, the 3D graphene networks can serve as a buffer for the volume changes of Co_3O_4 to refrain the pulverization of active materials. Besides, the Co_3O_4 nanosheets can prevent the restacking of graphene layers.

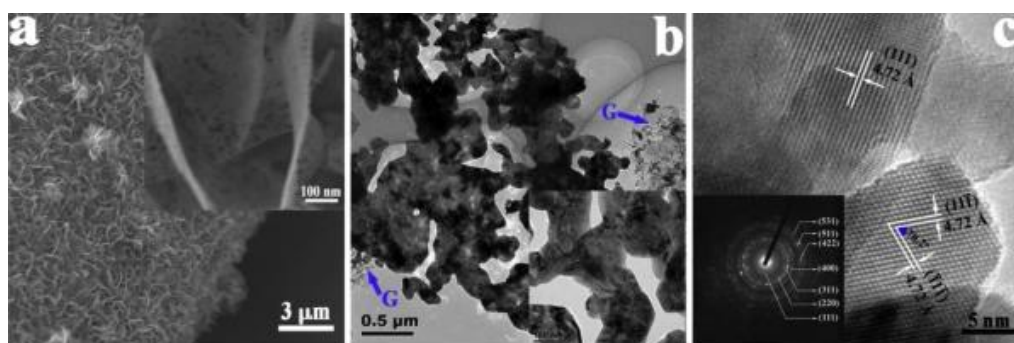


Figure 1.39 (a-c) Structural characterizations of Co_3O_4 MNSs/3DGNs nano hybrids.²⁰⁹

1.5.2.2 Alloy-based materials

Silicon anodes that are widely used in lithium-ion batteries are abandoned in sodium-ion batteries since they cannot form reversible alloy reactions with sodium ions. The most common alloy anodes that have been intensively studied in sodium-ion batteries are tin (Sn) and antimony (Sb).

Sn anodes have been regarded as the most promising alloy anode in sodium-ion batteries due to their high theoretical specific capacity of 847 mA h g^{-1} and relatively low discharge potential.²¹⁰ However, the huge volume expansion ($\sim 420\%$ associated with the formation of $\text{Na}_{15}\text{Sn}_4$) that leads to fracturing of active Sn particles and loss of contact with electrolyte has impeded the practical application of Sn anodes in sodium storage.²¹¹ For the sake of ameliorating the poor cycling stability and sluggish kinetics due to the pulverization of Sn

anodes, tremendous efforts including design of the electrode structure, composite with carbonaceous materials and construct void space to buffer the volume changes have been made.

Liu encapsulated Sn nanodots into porous nitrogen-doped carbon nanofibers as a free-standing anode for sodium-ion batteries, the Sn NDs@PNC nanofibers exhibited a high reversible capacity of 633 mA h g^{-1} at the current density of 0.2 A g^{-1} , and maintain a capacity of 483 mA h g^{-1} at the current density of 2 A g^{-1} for over 1300 cycles.²¹² The synthesis process of Sn NDs@PNC nanofibers is shown in Figure 1.40. The huge volume change and mechanical strain of Sn can be balanced by uniformly distribution of Sn nanodots and carbon nanofibers with integrity and flexibility. Moreover, the interconnected conductive network that linked by porous carbon nanofibers can enhance the transport efficiency of sodium ions and improve the rate capability of the anode. The carbon nanofibers can also function as a current collector to prevent the loss of contacts between Sn nanodots and electrolyte.

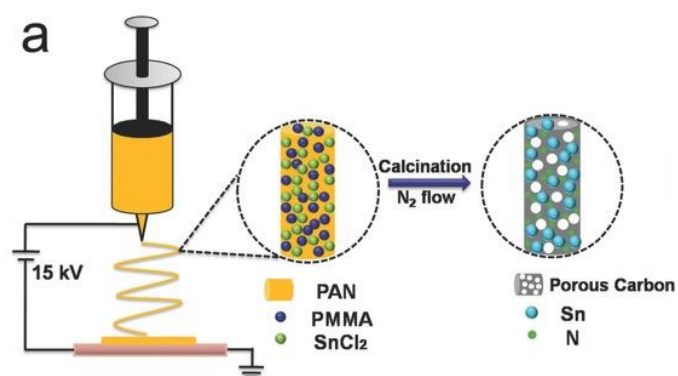


Figure 1.40 Schematic illustration of the preparation process for Sn NDs@PNC nanofibers.²¹²

Jeon prepared a porous scaffold reduced graphene oxide as the host for Sn nanoparticles, the anode delivered a high reversible capacity of 615 mA h g^{-1} and stable cycling performance with a 84% capacity retention after 50 cycles.²¹³ The synthesis steps are shown in Figure 1.41.

The porous graphene scaffold endows enough space for the intercalation of sodium ions as well as provides a three-dimensional conductive network to facilitate the electrons transfer and leads to an improvement in the rate capability of the anode. Furthermore, the porous graphene scaffold can help buffer the volume expansion caused by Sn nanoparticles and avoid the cracking of the electrode.

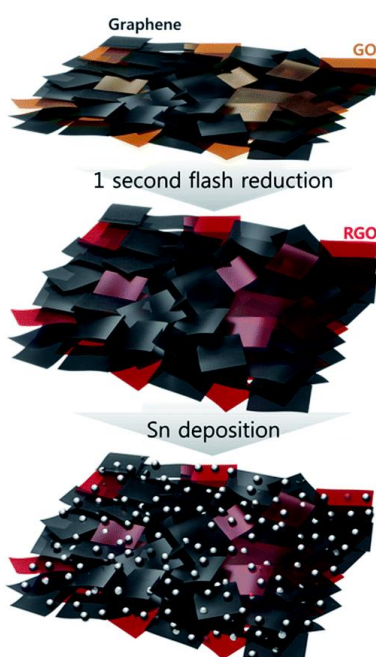


Figure 1.41 Schematic illustration of Sn coated *c*-axis popped graphene fabrication and mechanical property diagram according to the mixing ratio of GO and graphene.²¹³

Antimony (Sb) has emerged as an intriguing alloy anode for sodium-ion batteries in recent years. Sb anode possesses a theoretical capacity of 660 mA h g^{-1} associated with the formation of Na_3Sb .²¹⁴ Similar to Sn anodes, Sb anodes also suffer from the drastic volume expansion that causes the quick capacity decay only after a few cycles. Since both Sn and Sb anodes are facing the analogous challenges as severe volume changes during the cycling, the approaches to tackle with these issues are in resemblance.

Cui developed a Sb/C composite with Sn nanorods encapsulated into the conductive N-S codoped carbon (Sb@(N, S-C)), the hybrid anode delivered a high reversible capacity of 621.1 mA h g⁻¹ for 150 cycles and an excellent rate capability (534.4, 430.8 and 374.7 mA h g⁻¹ at the current density of 2, 5 and 10 A g⁻¹, respectively).²¹⁵ The nanostructure and reaction mechanism of Sb@(N, S-C) are illustrated in Figure 1.42. The cross-linked carbon frameworks provide large surface area to adsorb Sb nanorods and decrease the diffusion pathways of ions/electrons transport. Furthermore, the codoped carbon networks can act as a cushion to accommodate the volume expansion of Sb nanorods and enhance the electrical conductivity to ameliorate the rate capability and cycling performance.

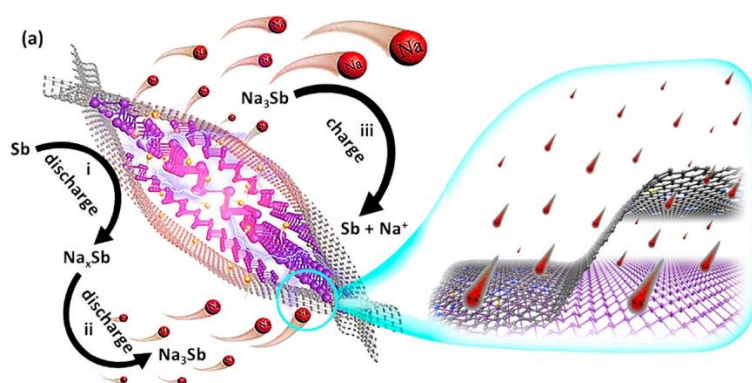


Figure 1.42 Scheme of the reaction mechanism during the charge/discharge process for Sb@(N, S-C).²¹⁵

Luo synthesized a composite with Sb nanoparticles anchored in three-dimensional carbon network (SbNPs@3D-C), the anode exhibited a high reversible capacity of 456 mA h g⁻¹ at 0.1 A g⁻¹ with a 94.3% capacity retention after 500 cycles, and fabulous rate capability (270 mA h g⁻¹ at 2 A g⁻¹).²¹⁶ The synthesis steps are shown in Figure 1.43. The three-dimensional carbon network not only buffers the drastic volume changes from Sb nanoparticles during the insertion/extraction of sodium ions, but also provides conductive channels for electrons/ions

transport. The uniform distribution of Sb nanoparticles can also help enhance the electrical conductivity and prevent agglomeration of active particles.

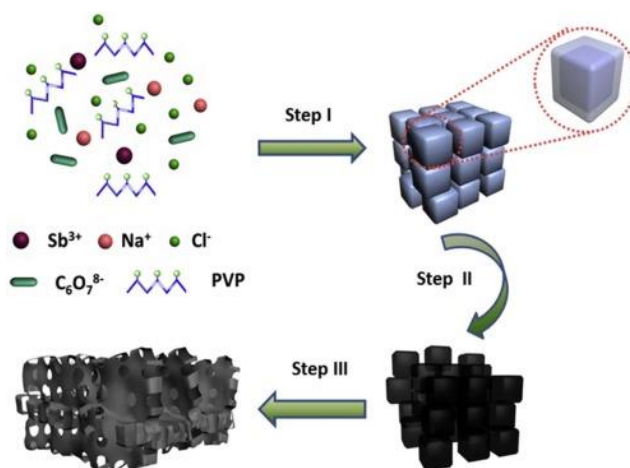


Figure 1.43 Synthesis procedure of SbNPs@3D-C. Step I: freeze drying; step II: carbonization; step III: template removal.²¹⁶

1.5.2.3 Phosphorus-based materials

As a possible anode candidate for sodium-ion batteries, phosphorus (P) can deliver a particularly high theoretical capacity of 2596 mA h g⁻¹ when it forms Na₃P compound.²¹⁷ Red P, white P and black P are three allotropes of phosphorus. Since white P has safety hazard and red P is electronically insulate, black P is investigated as the electrode for energy storage. Although black P has a high theoretical capacity, the practical application of phosphorus has been dampened by low electrical conductivity ($\sim 10^{-14}$ S cm⁻¹)²¹⁸ and detrimental volume expansion (up to 500%)²¹⁹ that leads to a sharp decline of the capacity and unsatisfied cycling stability.

Tin phosphide (Sn₄P₃) aroused the attention in energy storage since it integrates the high electrical conductivity from Sn and high theoretical capacity from P. Sn₄P₃ possesses a high

theoretical capacity of 1132 mA h g^{-1} , decent electrical conductivity of 30.7 S cm^{-1} and dendrite-preventive redox potential of 0.3V (vs. Na/Na^+).²²⁰ The only drawback that is still remained is the huge volume expansion of active materials during the cycling. To tackle with the issues of Sn_4P_3 anodes, several strategies have been applied. Ran fabricated a composite with Sn_4P_3 nanoparticles grown on the surface of a porous stem-like carbon nanotube ($\text{Sn}_4\text{P}_3@\text{CNT}/\text{C}$), the hybrid anode delivered a high capacity of 742 mA h g^{-1} at 0.2C for 150 cycles and superb rate capability for a capacity of 449 mA h g^{-1} at 2C for 500 cycles.²²¹ The morphology of $\text{Sn}_4\text{P}_3@\text{CNT}/\text{C}$ is illustrated in Figure 1.44. The porous stem-like CNT acts as a buffer of the severe volume changes from Sn_4P_3 nanoparticles, as well as an electron expressway to facilitate the rate capability of the composite. Furthermore, the fructus-like Sn_4P_3 nanoparticles on the surface of CNT can expose more active sites for sodium ions to react.

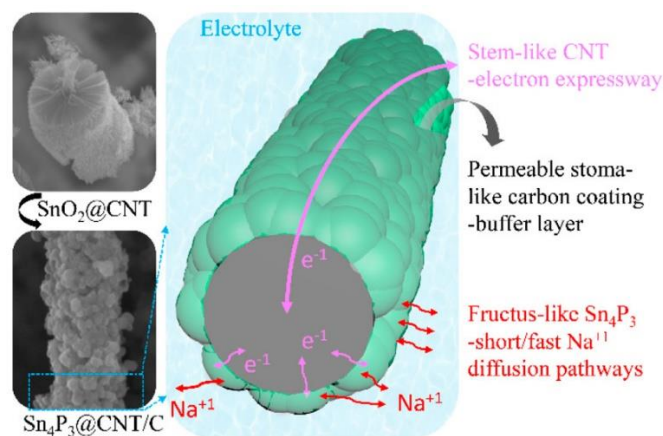


Figure 1.44 Schematic illustration of the transport mechanism of $\text{Sn}_4\text{P}_3@\text{CNT}/\text{C}$.²²¹

Liu synthesized uniform yolk-shell $\text{Sn}_4\text{P}_3@\text{C}$ nanospheres, and the composite displayed a high reversible capacity of 790 mA h g^{-1} and excellent cycling stability that can retain a

capacity of 360 mA h g^{-1} at 1.5C for 400 cycles.²²² The synthesis procedure of $\text{Sn}_4\text{P}_3@\text{C}$ nanospheres is demonstrated in Figure 1.45. The carbon shell can provide a void space for the volume expansion of Sn_4P_3 nanoparticles and lead to a uniform and stable growth of SEI layer for the anode to improve the cycling stability. Moreover, the carbon shell can function as the conductor for ions and electrons to increase the transport efficiency of the anode.

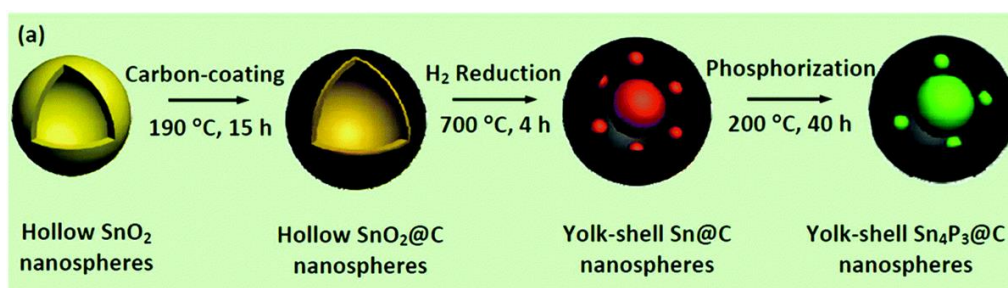


Figure 1.45 Schematic illustration of the fabrication of uniform yolk–shell $\text{Sn}_4\text{P}_3@\text{C}$ nanosphere anodes.²²²

Ran encapsulated Sn_4P_3 nanoparticles into a porous carbon nanofiber as a self-supported anode, the composite showed a high reversible capacity of 712 mA h g^{-1} with 99% coulombic efficiency for 200 cycles and excellent cycling stability that can maintain a capacity of 336 mA h g^{-1} even after 500 cycles.²²³ The fabrication of $\text{Sn}_4\text{P}_3@\text{CNF}$ is shown in Figure 1.46. The porous carbon nanofiber with large surface area can provide intimate contact between Sn_4P_3 nanoparticles and electrolyte to promote the electrons and ions transport. The inner space of carbon nanofiber can confine the growth of Sn_4P_3 nanoparticles and mitigate the volume expansion of the anode.

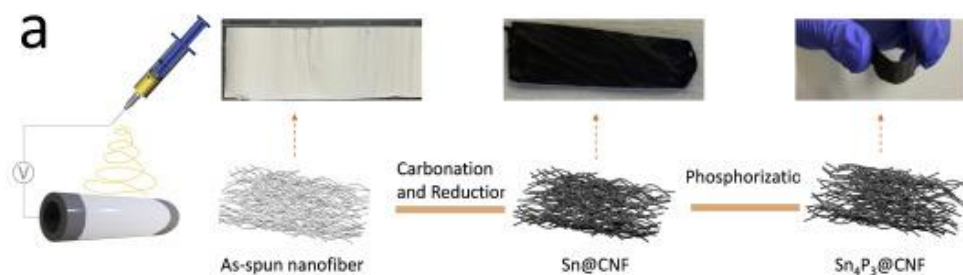


Figure 1.46 Schematic illustration of the fabrication of $\text{Sn}_4\text{P}_3@\text{CNF}$.²²³

1.5.2.4 Metal oxides and sulfides

Transition metal oxides such as TiO_2 , Fe_2O_3 , Co_3O_4 have been investigated as the anode candidates for sodium-ion batteries due to their high energy densities, low cost and environmental benignity. Unfortunately, the commercialization of metal oxide anodes has been hampered by low initial coulombic efficiency, sluggish kinetics and poor cycling stability.²²⁴ To solve the problems of metal oxide anodes, composite with conductive materials to enhance the rate performance may be a feasible way. Oh coated a carbon layer on TiO_2 microsphere to deliver a high discharge capacity 155 mA h g^{-1} at 0.1 C with 100% capacity retention for 50 cycles.²²⁵ Li reported a composite of Fe_2O_3 nanoparticles with graphene nanosheets that exhibited a specific capacity of 440 mA h g^{-1} with 81.2% coulombic efficiency.²²⁶ Xu confined Co_3O_4 nanoparticles into rambutan-like hybrid hollow spheres of carbon to exhibit an ultra-high capacity of 712 mA h g^{-1} with 74.5% capacity retention after 500 cycles.²²⁷ Metal sulfides such as MoS_2 , CuS , Bi_2S_3 ²²⁸ and Co_9S_8 also have been studied as the anode materials for sodium-ion batteries.²²⁹⁻²³¹

1.5.3 Cathode materials of sodium-ion batteries

The most common cathode materials in sodium-ion batteries can be classified as layered metal oxides and polyanion compounds.

Layered transition metal oxides such as Na_xMO_2 (M can be Fe, Mn, Ti, Co etc.) have been investigated as the cathode materials for sodium-ion batteries due to their high discharge potential, simple crystalline structure and facile synthesis that are favorable for large-scale production. However, layered metal oxide cathodes usually suffer from a series of phase transitions that caused by the extraction of sodium ions, and this will lead to structural collapse and rapid capacity decay of the anodes.²³² To mitigate the drawbacks of layered metal oxide cathodes, solutions including limit the cutoff voltage to avoid the phase change and composite with different types of metal oxides have been attempted. Han reported a Ca-doped Na_xCoO_2 cathode that exhibited a specific capacity of 110 mA h g^{-1} with a negligible capacity decay ($0.07 \text{ mA h g}^{-1} \text{ cycle}^{-1}$) for 60 cycles.²³³ Zhuang coated a carbon layer on NaFeO_2 cathode to deliver a high reversible specific capacity of 89.6 mA h g^{-1} at 0.1C with 87.3% capacity retention after 100 cycles.²³⁴ Billaud reported a $\beta\text{-NaMnO}_2$ with a high capacity of 190 mA h g^{-1} at a rate of C/20 and a good capacity retention for 100 mA h g^{-1} after 100 cycles.²³⁵

Polyanion compounds with the unit of $(\text{SO}_4)^{2-}$, $(\text{PO}_4)^{3-}$, $(\text{BO}_3)^{3-}$ and $(\text{SiO}_4)^{4-}$ have been explored as cathode candidates for sodium-ion batteries, since they can raise the redox potential largely and enhance the safety with a higher potential.²³⁶ The most representative polyanion compound cathodes are NaFePO_4 and $\text{Na}_3\text{V}_2(\text{PO}_4)_3$. NaFePO_4 with triphylite structure has a one-dimensional sodium ion transport channel, while $\text{Na}_3\text{V}_2(\text{PO}_4)_3$ possesses a rhombohedral crystalline structure that can accommodate the sodium ions. The wide applications of NaFePO_4

and $\text{Na}_3\text{V}_2(\text{PO}_4)_3$ have been impeded by the low electrical conductivity and sluggish kinetics. As a result, the improvement for both cathodes are deserved further research. Ma reported $\text{NaFePO}_4@\text{C}$ loaded on an acid-etched carbon cloth to deliver a high reversible capacity of 142 mA h g^{-1} at 0.1 C .²³⁷ Li fabricated hollow NaFePO_4 nanospheres that can exhibit a high specific capacity of $147.8 \text{ mA h g}^{-1}$ for 100 cycles with excellent capacity retention.²³⁸ Rui designed a three-dimensional hierarchical $\text{Na}_3\text{V}_2(\text{PO}_4)_3$ hybrid cathode that can maintain a capacity of 91 mA h g^{-1} for 10000 cycles.²³⁹ Saravanan prepared a porous $\text{Na}_3\text{V}_2(\text{PO}_4)_3/\text{C}$ composite that can have a capacity of 114 mA h g^{-1} with a 92.2% capacity retention at 10 C .²⁴⁰

1.5.4 Current challenges of sodium-ion batteries

Sodium-ion battery is not a complete substitute for lithium-ion battery, but a complementary battery system in energy storage. Compared to lithium-ion battery, the competitive point for sodium-ion battery lies in its abundant reserve for raw materials, and the production cost is cheaper than lithium-ion battery while achieving the same capacity. Therefore, design and construct of high-capacity electrode materials with low-cost have become the key challenge in the commercialization of sodium-ion batteries.

The most apparent problems for cathode materials in sodium-ion batteries are the low electrical conductivity from the intrinsic properties of cathodes and sluggish kinetics that caused by a series of complicated phase transitions of their crystalline structures. Several approaches including modification of the electrode surface, composite with different types of metal oxide or carbonaceous materials to improve the electrical conductivity and rate capability

have been investigated. The application of cathode materials with high operating voltage and high capacity still remained as challenges for further research.

Alloy-based anodes are very promising in sodium-ion batteries due to their high theoretical capacities and relatively low potentials that can ensure a larger range of operating voltage. The obvious capacity degradation that caused by drastic volume changes and huge mechanical strain of active alloy particles during the insertion/extraction process of sodium ions has hindered the development of alloy-based anodes. Composite with carbonaceous materials that have robust mechanical strength to keep the structural integrity and enhance the rate capability by providing intimate contacts between active materials and electrons can be a possible method to ameliorate the drawbacks of alloy anodes.

In comparison with other mature energy storage systems, sodium-ion battery is still regarded as a new-born technology with immeasurable potential, and these challenges are expected to be solve in the future research.

Chapter 2 Objective of this dissertation

The objective of this dissertation is to develop high-capacity graphene-alloy composite anodes to address the structural fracture, huge capacity degradation and sluggish kinetics caused by alloy anodes in lithium-ion batteries and sodium-ion batteries, as well as open up a new avenue for the design and construct of anode materials for energy storage. This dissertation is mainly focused on the characterizations and electrochemical performances of graphene tube/Sn-based composite anodes for lithium and sodium storage.

In order to tackle with the sluggish kinetics of current commercialized graphite anodes, we attempt to design a flower-like nitrogen-doped graphene tube with Sn nanoparticles composite. Such porous composite with hierarchical nanostructure can be applied in lithium-ion batteries to achieve a high reversible capacity and good rate capability with significant improvement compared to pristine Sn anodes.

After the successful attempt of flower-like graphene tube/Sn composite in lithium-ion batteries, we aim to further optimize the composite with an amphiphilic graphene tube that has hydrophobic graphene layer outside and hydrophilic graphene layer inside to confine the growth of Sn nanoparticles inside the tube to resist the mechanical strain from Sn nanoparticles during the cycling. This well-designed double-graphene-tube/Sn composite can exhibit a high gravimetric and volumetric energy density with a remarkable rate capability and ultra-stable

cycling performance in lithium-ion batteries.

Because of the analogous reaction mechanism possessed by alloy anodes between the lithium-ion batteries and sodium-ion batteries, we tried to expand the application of Sn-based composite with amphiphilic graphene tube into the sodium storage. We replaced Sn in the composite with Sn_4P_3 since Sn_4P_3 has a higher theoretical capacity in sodium-ion batteries, and the Sn_4P_3 /graphene tube anode endowed a high reversible capacity with superior rate capability and excellent capacity retention after long cycling.

The successful applications of graphene tube/Sn-based composite in lithium-ion and sodium-ion batteries mean that this unique design of structure can have broader versatility in electrode materials with drastic volume change in energy storage.

Chapter 3 High-capacity anodes for lithium-ion batteries based on hierarchical flower-like graphene tube/Sn composites

3.1 Introduction

With the ever-increasing demands for portable electronic devices and environmentally friendly electric vehicles, the development of lithium-ion batteries is explosive in recent decades. As a result, electrode materials with high energy densities have been extensively investigated.

Alloy-based materials are one of the most promising anode candidates in lithium-ion batteries due to their high theoretical capacities that are 2–10 times higher than that of graphite and 4–20 times higher than that of LTO,¹⁵⁹ relatively low discharge potential and safety during the long cycling. Despite many advantages associated with alloy-based materials, they usually suffer from severe volume change occurred in lithium ions intercalation/deintercalation process, and thus result in pulverization of active alloy particles and irreversible capacity degradation in a few cycles.

As a typical alloy material for lithium-ion batteries, Sn can react with 4.4 lithium ions to form $\text{Li}_{4.4}\text{Sn}$ to deliver a high theoretical capacity of 994 mA h g^{-1} with a huge volume expansion which can reach up to 260% in charging/discharging process. Tremendous efforts have been made to mitigate the volume change of Sn particles, such as size control, surface

coating, active/inactive alloy, void space design and composite with carbonaceous materials. Hybrid nanocomposites based on alloy materials are proposed as the next-generation anodes for lithium-ion batteries due to their extremely high energy densities and stable cycling performance. As the most common composite material, graphene is known for its appealing properties including large specific surface area, excellent electrical conductivity, outstanding thermal conductivity and robust mechanical strength. Graphene-alloy composites can combine the merits from both alloy and composite materials, as well as adapt to various applications with its different kinds of nanostructures, several attempts to synthesize graphene-alloy composites have been reported.^{153, 165, 168-169, 171}

Compared to two-dimensional nanostructures that may have the drawbacks of agglomeration of graphene layers and loss of active sites to react with lithium ions, one-dimensional hierarchical graphene-based composites are particularly desirable since the transport of electrons and ions can proceed along one dimension to shorten the diffusion distances and enable fast-charging performance.

Here, we synthesized a hierarchical flower-like graphene tube with dense porous nanostructure and superior conductivity fabricated by chemical vapor deposition. To demonstrate its potential application in lithium-ion batteries, Sn nanoparticles were anchored on hierarchical flower-like graphene tube (Sn/FGT) to form the graphene-alloy composite through facile hydrothermal reactions. The robust flower-like graphene hierarchical tube not only worked as a highly conductive host matrix for enabling efficient ion and electron transportation, but also provided large surface area to accommodate more Sn nanoparticles to improve the electrochemical performances of the electrode.

3.2 Experimental

Synthesis of $\text{MgCO}_3 \cdot 3\text{H}_2\text{O}$ tube. $\text{MgCl}_2 \cdot 6\text{H}_2\text{O}$ (0.9744 g, Sigma-Aldrich) and NH_4HCO_3 (0.7584 g, Sigma-Aldrich) was added into deionized water (60 mL) to form a uniform precursor solution of $\text{MgCO}_3 \cdot 3\text{H}_2\text{O}$. The solution was then transferred into a volumetric flask (100 mL) and diluted with deionized water. Ethanol (25 mL) was added into a round-bottomed flask (250 mL) and stirred at a speed of 450 r min^{-1} in a water bath of $50 \text{ }^\circ\text{C}$. The as-prepared $\text{MgCO}_3 \cdot 3\text{H}_2\text{O}$ solution (50 mL) was added into the stirring ethanol and kept stirring for 2.5 min. After the stirring, the mixed solution was aged in a water bath of $50 \text{ }^\circ\text{C}$ without stirring for 2 h followed by filtered and washed with deionized water and ethanol. The product was dried in oven at $60 \text{ }^\circ\text{C}$ for 8 h to obtain $\text{MgCO}_3 \cdot 3\text{H}_2\text{O}$ tube.

Synthesis of flower-like MgO tube. The as-formed $\text{MgCO}_3 \cdot 3\text{H}_2\text{O}$ rod (2.0 g) was added into deionized water (60 mL) at $80 \text{ }^\circ\text{C}$ followed by stirring and ultrasonic treatment until the $\text{MgCO}_3 \cdot 3\text{H}_2\text{O}$ tube is uniformly dispersed in the solution. Then, the $\text{MgCO}_3 \cdot 3\text{H}_2\text{O}$ tube solution was stirred at a speed of 200 r min^{-1} for 15 min in a water bath of $80 \text{ }^\circ\text{C}$. The obtained products were filtered, washed with deionized water and ethanol, then dried in oven at $60 \text{ }^\circ\text{C}$ for 8 h. After drying, the $4\text{MgCO}_3 \cdot \text{Mg}(\text{OH})_2 \cdot 4\text{H}_2\text{O}$ tube is obtained through the phase inversion of $\text{MgCO}_3 \cdot 3\text{H}_2\text{O}$ tube. Thereafter, the flower-like MgO tube was produced by calcination of

4MgCO₃•Mg(OH)₂•4H₂O tube at 500 °C for 4 h with a heating rate of 10 °C min⁻¹.

Synthesis of hierarchical flower-like graphene tube. The as-prepared flower-like MgO tube was placed in a horizontal quartz tube to develop graphene with chemical vapor deposition (CVD) method. The quartz tube was heated to 900 °C under a flow of Argon (1000.0 mL min⁻¹) and another Argon stream (150.0 mL min⁻¹) flowing through a flask of acetonitrile for 15 min to develop nitrogen-doped graphene coated on the flower-like MgO tube. Finally, the product was etched with hydrochloric acid solution (1 mol L⁻¹) to obtain hierarchical flower-like graphene tube.

Synthesis of hierarchical flower-like graphene tube/Sn composite. SnCl₄ (0.015 mmol, Sigma-Aldrich) was added into the as-prepared hierarchical flower-like graphene tube (50 mg) to deposit homogenous SnO₂ nanoparticles on the tube. The final product of flower-like graphene tube/Sn composite was obtained from flower-like graphene tube/SnO₂ composite under a thermal reduction at 650 °C for 6 h with a flow of mixed gas of Argon and hydrogen.

Material characterization. The morphology and structure of the as-prepared products were conducted by field-emission scanning electron microscopy (FESEM, FEI Nova 430), transmission electron microscopy and high-resolution transmission electron microscopy (HRTEM, FEI Titan STEM). Powder X-ray diffraction was performed on Rigaku Miniflex II diffractometer with Cu K α radiation operated at 30 kV and 15 mA. Raman spectroscopy was measured with Renishaw 2000 System. Thermogravimetric analysis was characterized using Netzsch STA 449 F3 Jupiter.

Electrochemical measurements. The flower-like graphene tube/Sn composites electrode was prepared by mixing 80 wt-% active materials, 10 wt-% acetylene carbon black, 10 wt-% PVDF binder, and an adequate amount of N-methyl-2-pyrrolidone. The slurry was coated onto copper foil and dried in a vacuum oven at 110 °C for 12 h. The electrode was cut into a round shape with a diameter of 1.2 cm circular pieces. To measure the performance, CR2025 type coin cells were assembled using Li metal foil as the counter electrode and 1 M LiPF₆ in ethyl carbonate/dimethyl carbonate (1:1 v/v) as electrolyte. The charge-discharge properties were measured using Land Battery Test System (LAND CT2001A) within a voltage window from 0.01 to 2.5 V (versus Li⁺/Li) at room temperature. The capacities were calculated according to the total weight of the flower-like graphene tube/Sn composites.

3.3 Results and discussions

The preparation process of hierarchical flower-like graphene tube/Sn composite is illustrated in Figure 3.1. The first step is to prepare precursor MgCO₃•3H₂O with uniform tube structure by precipitation from soluble magnesium salt and bicarbonate salt, then the tube-like 4MgCO₃•Mg(OH)₂•4H₂O was obtained from MgCO₃•3H₂O through phase inversion, the flower-like MgO tube template was produced by the calcination of 4MgCO₃•Mg(OH)₂•4H₂O tube. Second, the flower-like MgO tube template was moved into a quartz boat to develop nitrogen-doped graphene layer with acetonitrile in CVD process. Third, the MgO template was etched by dilute hydrochloric acid to obtain the hierarchical flower-like graphene tube. The fourth step is a crucial one where the homogeneous SnO₂ nanoparticles were deposited by using SnCl₄ and spontaneously encapsulated SnO₂ nanoparticles into flower-like graphene

hierarchical tube matrix. The final step is a thermal reduction that transformed SnO_2 nanoparticles into Sn nanoparticles to obtain the hierarchical flower-like graphene tube/Sn composite.

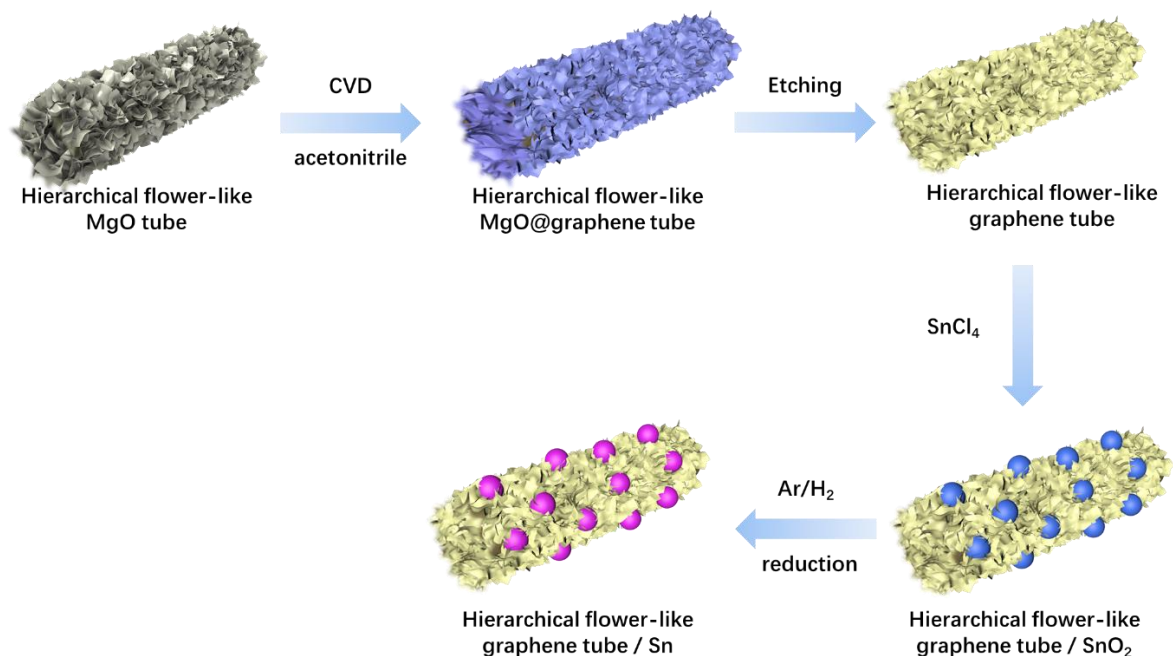


Figure 3.1 A schematic illustrating the synthesis process of hierarchical flower-like graphene tube/Sn composite with chemical vapor deposition and hydrothermal reactions.

3.3.1 Characterization

X-ray diffraction (XRD) patterns of flower-like graphene tube, flower-like $\text{MgO}@$ graphene tube and flower-like MgO tube are shown in Figure 3.2(a). The flower-like MgO exhibited two typical patterns with (2 0 0) and (2 2 0) reflections at two-theta 43.1° and 62.5° , respectively. After the nitrogen-doped graphene layer was developed on the surface of MgO template, the typical diffraction peaks of MgO still remained in the flower-like $\text{MgO}@$ graphene tube, but the shape of two peaks become sharper as the size of MgO nucleus is increasing under the high temperature atmosphere in CVD process. When the MgO template was etched, the characteristic diffraction peaks for MgO disappeared and only left a

broaden peak at around 25° in the pattern of flower-like graphene tube. This broaden peak is usually recognized as the typical (0 0 2) diffraction peak of graphene.

Figure 3.2 (b) displays the Raman spectra for flower-like graphene tube. The typical D band at 1350 cm^{-1} , G band at 1570 cm^{-1} and 2D band at 2630 cm^{-1} are corresponding to the characteristic spectra of graphene. The presence of D band reflected the defects in the graphene which were attributed to the disorder graphitic layers and nitrogen dope.¹⁶⁸ The observed G band in the curve represented the vibration of sp^2 -boned carbon atoms in the graphene.²⁴¹ The 2D band stands for the high intensity of single layer graphene. The higher relative intensity of G band over D band denotes the high graphitization degree and electrically conductive nature of flower-like graphene tube.

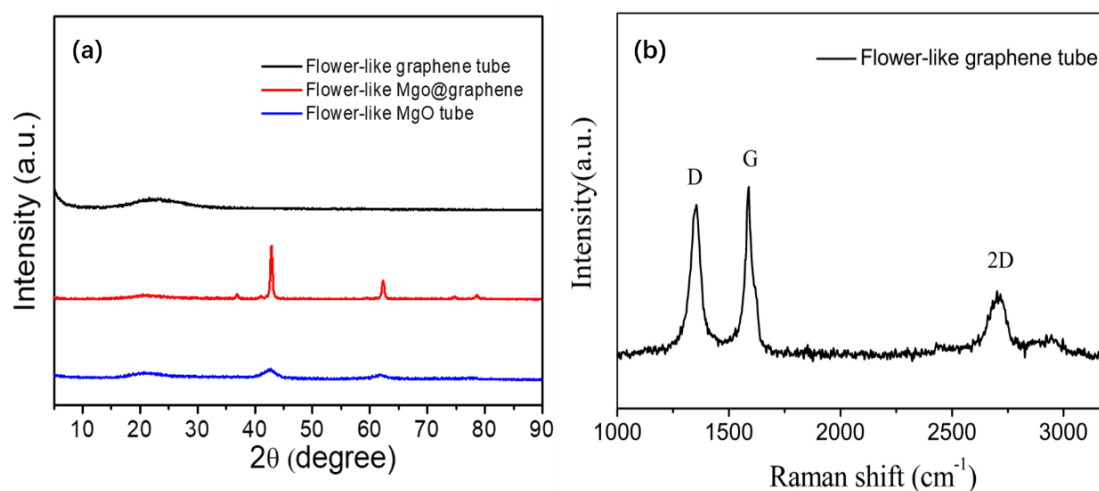


Figure 3.2 (a) XRD patterns of flower-like graphene tube, flower-like MgO@graphene tube and flower-like MgO tube. (b) Raman spectra for flower-like graphene tube.

The morphologies of hierarchical flower-like MgO tube were investigated by scanning electron microscopy (SEM), and the corresponding results are shown in Figure 3.3 (a)-(d). As shown in Figure 3.3 (a), the MgO template showed a rod-like porous morphology. When we zoomed in to focus on the single MgO template in Figure 3.3 (b), it was found that the MgO

template possessed a hierarchical flower-like tube structure with an average diameter of 10 μm . The individual element mapping of magnesium and oxygen on hierarchical flower-like MgO tube were demonstrated in Figure 3.3 (c) (d), the results revealed that magnesium and oxygen were uniform distributed in the flower-like MgO tube.

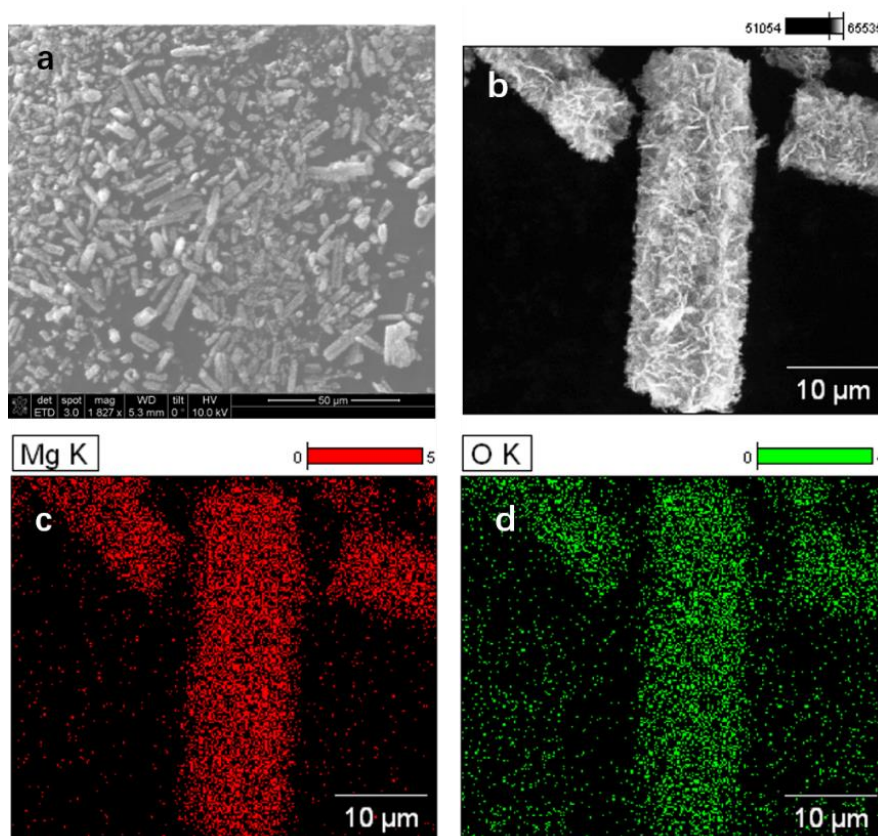


Figure 3.3 Morphology of hierarchical flower-like MgO tube. (a) (b) SEM images of hierarchical flower-like MgO tube; (c) (d) Element mapping of Mg and O of hierarchical flower-like MgO tube, respectively.

The morphologies of hierarchical flower-like MgO@graphene tube were displayed in Figure 3.4 (a)-(f). As shown in Figure 3.4 (a) (b), the porous flower-like tube structure of MgO template was still remained after the nitrogen-doped graphene layer coated on the template, and the average diameter of the hierarchical flower-like MgO@graphene tube was still at the range of 10 μm . The element mapping results from Figure 3.4 (c)-(f) proved that magnesium

oxygen, carbon and nitrogen were uniform dispersed in the flower-like MgO@graphene tube.

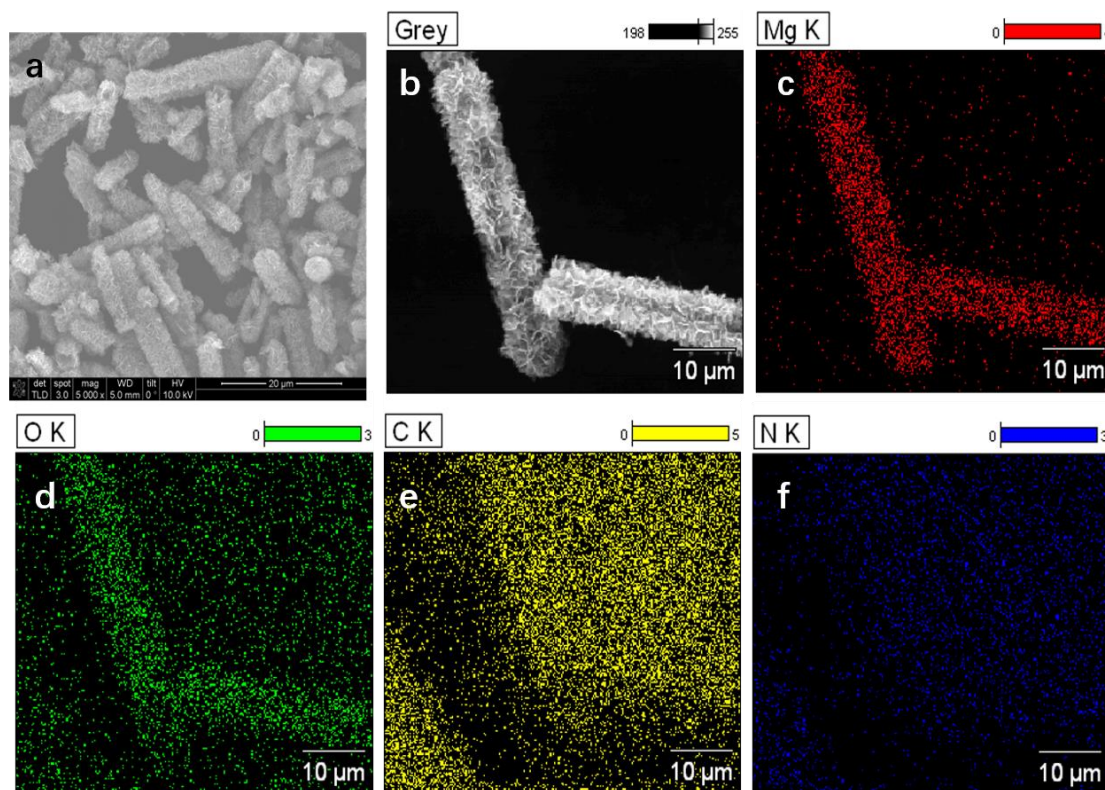


Figure 3.4 Morphology of hierarchical flower-like MgO@graphene tube. (a) (b) SEM images of hierarchical flower-like MgO@graphene tube; (c)-(f) Element mapping of Mg, O, C and N of hierarchical flower-like MgO@graphene tube, respectively.

Figure 3.5 (a)-(d) show the SEM images of flower-like graphene tube, and the hierarchical porous structure of graphene tube retained well even after the removal of the MgO template. The average diameter of the flower-like graphene tube was shrunk to 5 μm and a length at around 20-40 μm . Figure 3.5 (e)-(h) demonstrate the high-resolution transmission electron microscopy (HRTEM) images of flower-like graphene tube. The porous petal nanostructure can be clearly visualized from the HRTEM images shown in Figure 3.5 (f) (g). Figure 3.5 (i) exhibits the selected-area electron diffraction (SAED) of the flower-like graphene tube.

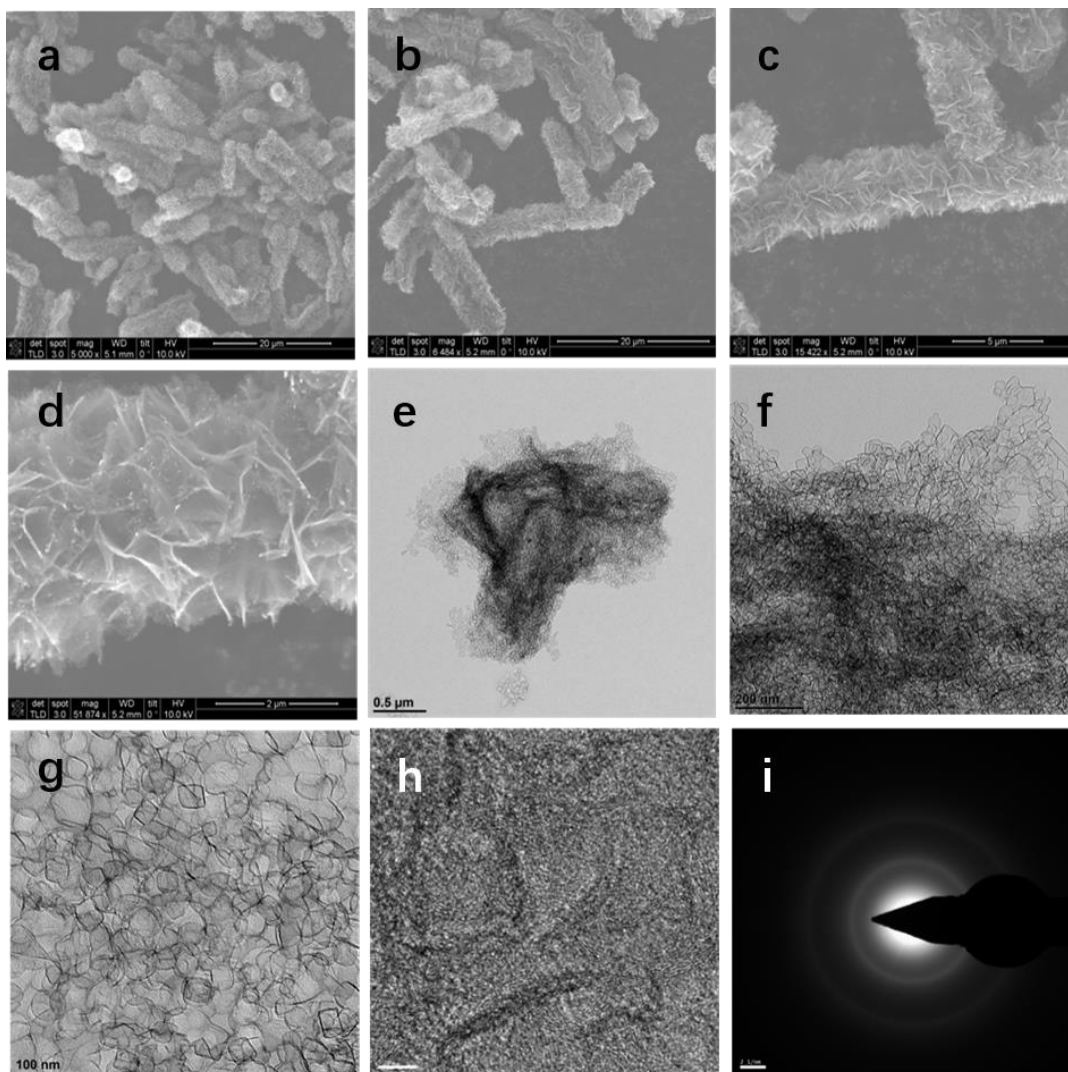


Figure 3.5 Morphology of flower-like graphene hierarchical tube. (a)-(d) SEM images of hierarchical flower-like graphene tube; (e)-(h) High-resolution TEM images of hierarchical flower-like graphene tube; (i) Selective area electronic diffraction of hierarchical flower-like graphene tube.

Figure 3.6 (a) shows the XRD pattern of hierarchical flower-like graphene tube/Sn composite. The well-matched diffraction peaks in the composite are corresponding to the typical XRD pattern of Sn (JCPDS No. 04-0673).²¹² The small broaden peak at around 25° is the characteristic diffraction peak of graphene. Figure 3.6 (b) displays the thermogravimetric analysis (TGA) results of hierarchical flower-like graphene tube and hierarchical flower-like graphene tube/Sn composite. The TGA of both materials are tested at 800°C under the air flow.

Since carbon will be completely combusted at 800°C, so there is no weight remained after the test for flower-like graphene tube. For flower-like graphene tube/Sn composite, the weight loss started at 480°C, became rapid at 500°C and leveled off at 600°C. During the heating process, Sn nanoparticles reacted with oxygen to form SnO₂ which were not burned at 800°C, and this conversion led to an increase of the mass from the sample. Meanwhile, graphene was burned completely at 800°C. As a result, the weight drop of the sample is caused by the mass difference between increasing weight from SnO₂ and weight loss from graphene. The mass loading of Sn in the hierarchical flower-like graphene tube/Sn composite can be calculated as 62.53%.

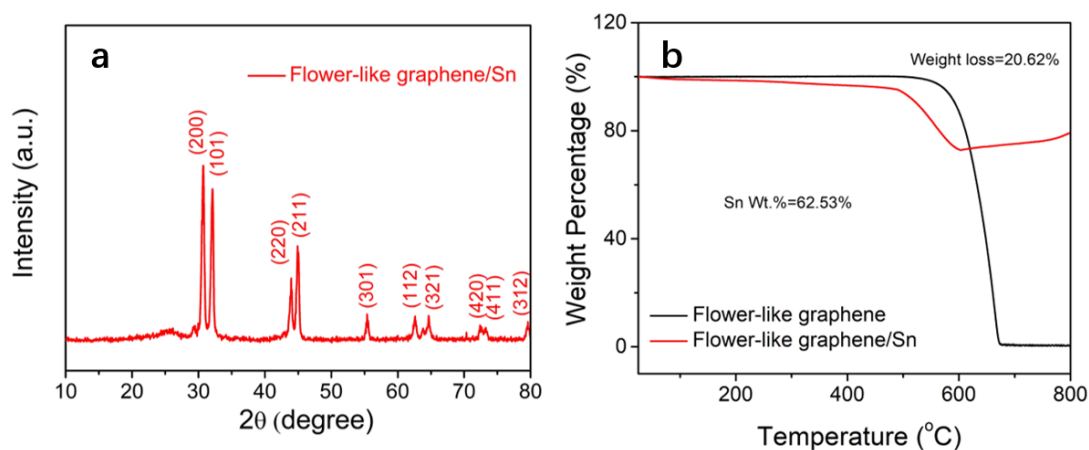


Figure 3.6 (a) XRD pattern of hierarchical flower-like graphene tube/Sn composite; (b) TGA results of hierarchical flower-like graphene tube and hierarchical flower-like graphene tube/Sn composite.

Figure 3.7 (a)-(c) show the SEM images of hierarchical flower-like graphene tube/Sn composite. The average diameter for this composite is at the range of 5 μm. When we zoomed in to focus on the single composite tube in Figure 3.7 (c), it was clearly visualized that Sn nanoparticles are uniformly distributed either on the graphene petal or inside the tube. Figure 3.7 (d) (e) are HRTEM images of the hierarchical flower-like graphene tube/Sn composite which proved that Sn nanoparticles were not only grown on the surface of the graphene tube,

but also embedded inside the graphene tube. Figure 3.7 (f) exhibits the selected-area electron diffraction (SAED) of hierarchical flower-like graphene tube/Sn composite confirming the formation of tetragonal-phase Sn.²¹²

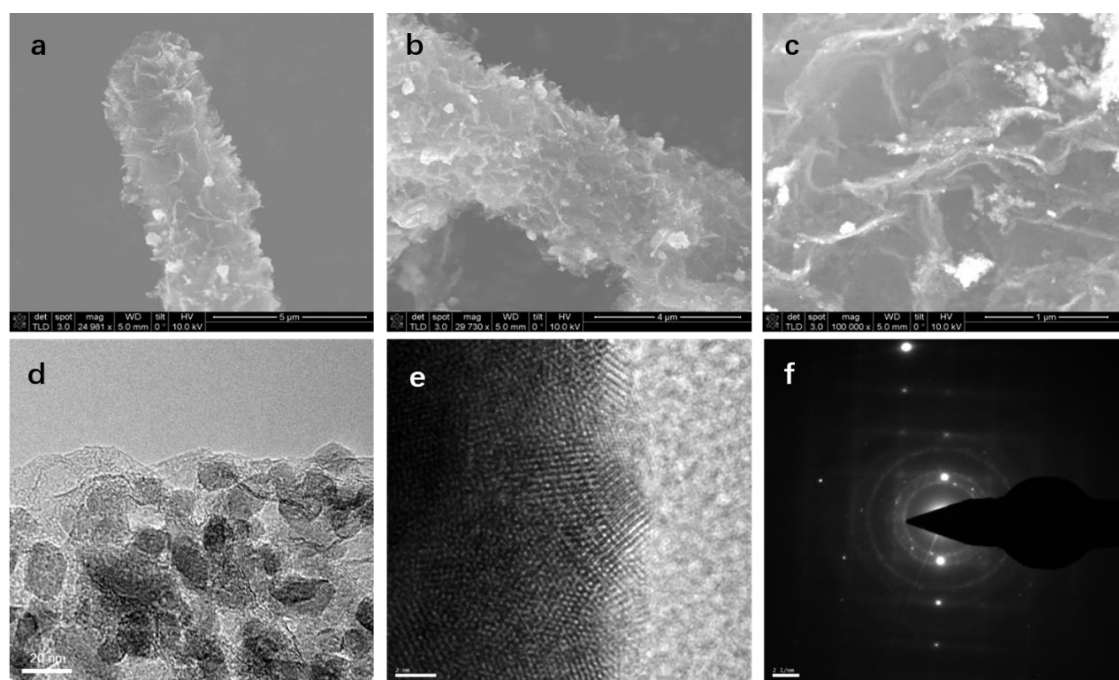


Figure 3.7 Morphology of flower-like graphene hierarchical tube/Sn composite. (a)-(c) SEM images of hierarchical flower-like graphene tube/Sn composite; (d) (e) High-resolution TEM images of hierarchical flower-like graphene tube/Sn composite; (f) Selective area electronic diffraction of hierarchical flower-like graphene tube/Sn composite.

3.3.2 Electrochemical performance

The cyclic voltammetry curves of hierarchical flower-like graphene tube/Sn composite are shown in Figure 3.8. Under a scan rate of 0.2 mV s^{-1} , hierarchical flower-like graphene tube/Sn composite exhibits the anodic peak at 0.62 V which is consistent to the oxidation from $\text{Li}_{4.4}\text{Sn}$ to LiSn , and the anodic peak at 1.40 V is corresponding to the oxidation from LiSn to Sn . The cathodic peaks of hierarchical flower-like graphene tube/Sn composite at around 0.05 V and 0.8 V can be explained as the reduction of Sn to LiSn and the reaction of $\text{Sn} + 4.4\text{Li}^+ + 4.4\text{e}^- \rightarrow$

$\text{Li}_{4.4}\text{Sn}$, respectively. The peak shift from the first cycle to second cycle is mainly caused by the formation of SEI layer and partial irreversibility of lithium oxide (Li_2O) formation.²⁴²

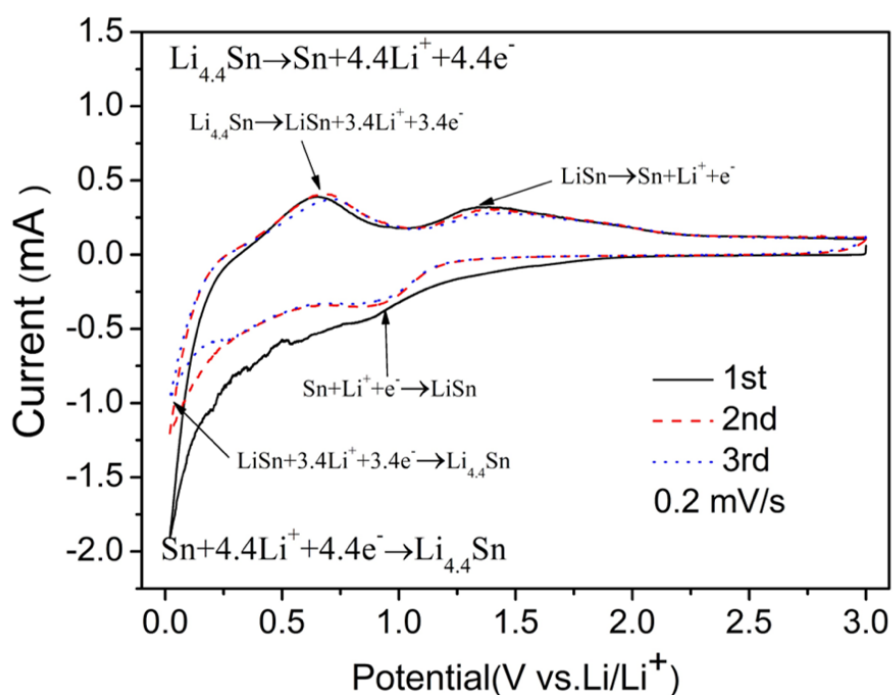


Figure 3.8 Cyclic voltammetry curves of hierarchical flower-like graphene/Sn composite.

Figure 3.9 illustrates the capacity of flower-like graphene tube/Sn composite at 1st, 2nd, 10th, 100th and 200th cycle. The initial capacity of the composite can reach as high as 1550 mA h g⁻¹, and then drops to 880 mA h g⁻¹ at the second cycle. The irreversible capacity degradation between the first and second cycle is attributed to the formation of SEI layer. The cycling performance of the composite is very stable with a capacity maintained at 810 mA h g⁻¹ at the current density of 0.2 A g⁻¹. In addition, the very well overlapped charging/discharging curves from 10th cycle to 200th cycle verify the excellent cycling stability of hierarchical flower-like graphene tube/Sn composite anode.

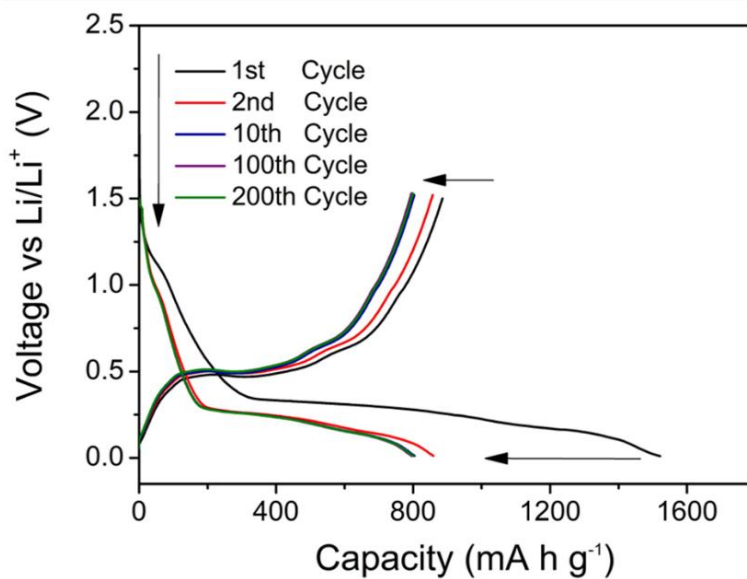


Figure 3.9 Charge/discharge profiles of hierarchical flower-like graphene/Sn composite.

The cycling performance of hierarchical flower-like graphene tube/Sn composite is shown in Figure 3.10 (a). The composite anode exhibits a high specific capacity of 742 mA h g^{-1} with a Coulombic efficiency maintained at 99% for 200 cycles. Rate capabilities and discharging capacities of hierarchical flower-like graphene tube/Sn composite are displayed in Figure 3.10 (b). The capacities of the composite exhibit as 812 mA h g^{-1} , 761 mA h g^{-1} , 715 mA h g^{-1} , 603 mA h g^{-1} and 409 mA h g^{-1} under the current density of 0.2 A g^{-1} , 0.5 A g^{-1} , 1.0 A g^{-1} , 2.0 A g^{-1} and 5.0 A g^{-1} , respectively. Even at an extremely high current density of 8 A g^{-1} , the composite can still deliver a capacity of 211 mA h g^{-1} . Remarkably, the capacity of the composite can recover to the initial capacity at 0.2 A g^{-1} after cycling at high current densities.

The excellent rate capability and good cycling stability of the composite are ascribed to the porous flower-like graphene tube that can cover a certain amount of Sn nanoparticles with petals to prevent Sn nanoparticles from detachment caused by the severe volume expansion. Furthermore, the flower-like graphene tube provides a large specific surface area that can

expose active Sn nanoparticles to the electrolyte, and shorten the diffusion pathways for the ions and electrons to facilitate the rate capability of the composite. In addition, the intrinsic high electrical conductivity from graphene also has a positive effect on the electrochemical performances of the anode.

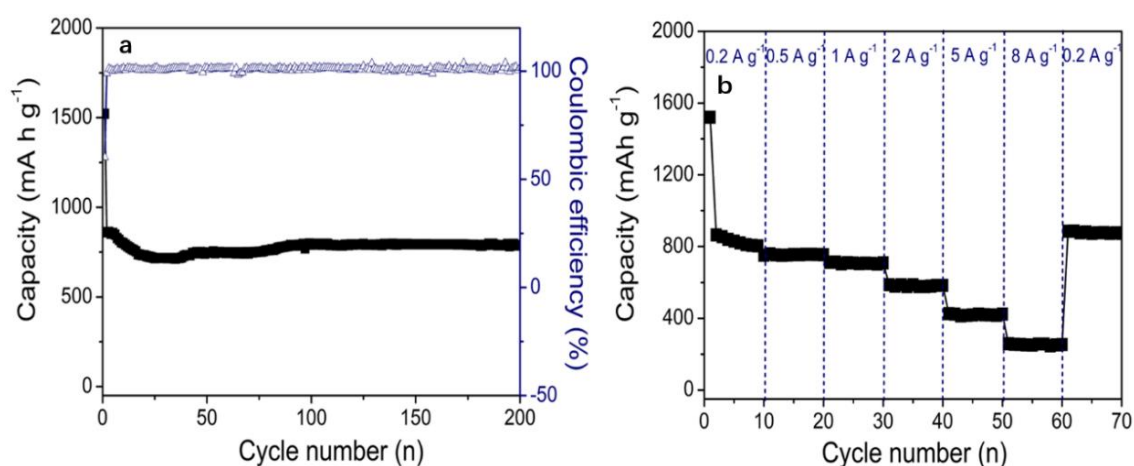


Figure 3.10 (a) Cycling performance of hierarchical flower-like graphene tube/Sn composite. (b) Rate capabilities of hierarchical flower-like graphene tube/Sn composite. Electrochemical performances of the flower-like graphene/Sn composites.

3.4 Conclusion

The synthesized hierarchical flower-like graphene tube/Sn composite with high reversible capacity of 742 mA h g^{-1} , excellent rate capability (211 mA h g^{-1} at 8 A g^{-1} with 99% capacity retention when the current density is back to 0.2 A g^{-1}) and long cycle life without structural damage is regarded as a desirable anode material in lithium-ion batteries. The hierarchical flower-like tube structure enables fast charge transfer with shortened diffusion distances, and provides large surface area to accommodate volume change of Sn nanoparticles during the cycling. We hope this work can inspire more ideas on the design and construct of alloy-based composites for lithium-ion batteries with high capacities and stable cycling performances.

Chapter 4 Tin-graphene tubes as anodes for lithium-ion batteries with high volumetric and gravimetric energy densities

4.1 Introduction

There are increasing demands for lithium-ion batteries (LIBs) with high gravimetric energy; meanwhile, due to the limited space that are available to accommodate the batteries in microelectronics and electric vehicles, developing LIBs with high volumetric energy density is also emerging as a particularly important theme.²⁴³⁻²⁴⁶ The current LIBs generally adapt graphite as the anode material and lithium-nickel-manganese-cobalt oxides (NMC) as the cathode materials. Graphite has a theoretical gravimetric capacity of 372 mA h g⁻¹ (based un-lithiated graphite), crystal density of 2.266 g cm⁻³, and volumetric capacity of 841 mA h cm⁻³ (based on un-lithiated graphite)²⁴⁷ or 719 mA h cm⁻³ (based on full-lithiation graphite).²⁴⁸ Whereas the commercially used graphite generally has a low tap density (e.g., 1.1 g cm⁻³), which occupies a significant portion of a battery volume.²⁴⁹ For example, when paired with commercial cathode materials (e.g., NMC111, NMC523, NMC622, and NCM811), the graphite coatings could account for 55–60% of the volume of a cell, including the anode and cathode coatings, current collector, and separator.²⁵⁰⁻²⁵² Exploring novel anode materials that can reduce the volume of the anode coatings occupied, in this context, is of paramount importance towards LIBs with significantly improved volumetric energy density.

Among the vast library of anode materials, metals and metal oxides generally exhibit significantly higher volumetric capacities than the carbonaceous materials owing to their high gravimetric capacity and tap density.²⁵³⁻²⁵⁶ For example, tin (Sn) has a theoretical volumetric capacity of 7316 mA h cm⁻³ (based on un-lithiated Sn)²⁵⁷⁻²⁵⁹ or 2111 mA h cm⁻³ (based on full-

lithiation Sn),²⁴⁸ respectively, which are significantly higher than those of graphite (841 mA h cm⁻³ and 719 mA h cm⁻³, respectively).²⁵⁷⁻²⁵⁸ In addition, Sn is a low-cost material with a low working potential (<0.5 V versus Li/Li⁺), making it a highly promising anode candidate with both high volumetric and gravimetric energy density. Nonetheless, Sn exhibits large-volume change during the lithiation and delithiation, which disrupts the electrode structure and electronic conductive networks and results in poor cycling life.²⁶⁰⁻²⁶¹ To address these issues, various Sn, Sn alloys,²⁶²⁻²⁶⁵ and Sn-based composites with designed structures (e.g., nanowires, nanosheets, and porous structures) have been explored,²⁶⁶⁻²⁷² whereas making Sn anodes with high energy density and long cycling life remains challenging.

Herein, we show a design of high-performance Sn anodes, which were made by confining Sn nanoparticles within the frameworks of graphene tubes. This design suggests an effective solution to spontaneously encapsulate Sn nanoparticles into double hydrophilic N-doped graphene/void/hydrophobic graphene tube (Sn/DGT) with control over position, the so-called the incorporation of nanoparticle-in-tube structure and double-shelled architecture. Such the Sn/DGT nanocomposite has multiple advantages: (1) The nanosized Sn particles may effectively alleviate the mechanical stress during the alloying/dealloying process and supply short lithium ion diffusion path for LIBs; (2) Sn nanoparticles are spontaneously encapsulated inside of inner N-doped graphene layer with multipoint physical contacts, greatly improving electrical conductivity of Sn electrode and increasing space utilization ratio; (3) The flexible inner voids and “intershell” spaces may significantly buffer volume changes of Sn electrode during the charging and discharging process; (4) The static outer shell is favorable to form the stable SEI film; (5) The whole graphene tube framework provides the high kinetics of lithium

ion and fast electron and ion diffusion.

4.2 Experimental

Synthesis of MgO tube. An aqueous urea solution (1.2 g in 20 mL deionized water) was dropped into a magnesium acetate solution (12 g in 80 mL deionized water). The mixed solution was stirred for 1h, sealed in a 200 mL Teflon-lined autoclave, and heated at 180 °C for 2 h. As-formed Mg(OH)₂ was filtrated, washed with ethanol, dried in vacuum at 100 °C, and calcined at 600 °C for 6 h in air to obtain the MgO nanowire template.

Synthesis of DGT. The MgO template was placed in a horizontal quartz tube to develop graphene with chemical vapor deposition (CVD) method. The quartz tube was heated to 900 °C under a flow of Argon (1000.0 mL min⁻¹) and another Argon stream (150.0 mL min⁻¹) flowing through a flask of acetonitrile for 10 min to develop nitrogen-doped graphene coated on the MgO template. As-formed nitrogen-doped graphene was dispersed in a solution which contains Mg(NO₃)₂·2H₂O (0.5 g, Sigma-Aldrich) and urea (0.2 g, Sigma-Aldrich) in deionized water (100 mL) to sonicate for 30 min. After refluxing at 90 °C for 24 h, the mixture was washed and dried at 80 °C overnight. The nitrogen-doped graphene/MgO was coated with graphene under a flow of CH₄ (400.0 mL min⁻¹) and Argon (1000.0 mL min⁻¹) at 1000 °C for 10 min. Finally, the product was etched with hydrochloric acid solution (1 mol L⁻¹) to obtain the double graphene tubes (DGT).

Synthesis of Sn/DGT. DGT (60 mg) was added in deionized water (60 mL) and sonicated for

30 min, in which $\text{K}_2\text{SnO}_3 \cdot 3\text{H}_2\text{O}$ (0.5 g, Sigma-Aldrich) was dissolved and stirred for 1 h. The solution was then transferred into a Teflon-lined autoclave (100 mL), which was maintained at 180 °C for 12 h. As-formed samples were obtained by centrifugation and filtration, dried under 80 °C overnight, and annealed at 650 °C in a gas mixture of Argon and hydrogen for 10 h.

Synthesis of Sn/C. The carbon-coated Sn nanoparticles were prepared by the polymerization of dopamine-hydrochloride on commercial Sn particles with a weight ratio of 2:1 (Sn:C), and followed by the carbonization at 750 °C for 6 h.²⁵⁸

Material characterization. The morphology and structure of the as-prepared products were conducted by field-emission scanning electron microscopy (FESEM, FEI Nova 430), transmission electron microscopy and high-resolution transmission electron microscopy (HRTEM, FEI Titan STEM). Powder X-ray diffraction was measured on Rigaku Miniflex II diffractometer with Cu $\text{K}\alpha$ radiation operated at 30 kV and 15 mA. Raman spectroscopy was performed with Renishaw 2000 System. The TGA was determined on an SDT Q600 thermoanalyzer under air. In situ TEM was carried out using a FEI Titan microscope operated at 300 kV.

Electrochemical measurements. The samples were mixed with carboxymethyl cellulose (CMC) binder and Super P carbon black to obtain uniform slurry at the weight ratio of 8:1:1. Then the slurries were coated onto copper foil and dried in a vacuum oven under 120 °C for 24 h. The electrodes were cut into a round shape with a diameter of 1.2 cm circular pieces. To measure the performance, CR2025 type coin cells were assembled using Li metal foil as the counter electrode and 1 M LiPF_6 in ethyl carbonate/dimethyl carbonate (1:1 v/v) as electrolyte.

The charge-discharge properties were measured using Land Battery Test System (LAND CT2001A) within a voltage window from 0.01 to 2.5 V (versus Li⁺/Li) at room temperature.

Electrochemical impedance measurement was evaluated in a range of 0.01–10 MHz at 10 mV with perturbation amplitude of 10 mV on the cells at open circuit potential. The capacities were calculated according to the total weight of the composites (Sn and DGT). For the full-cell performance, a commercial lithium-nickel cobalt manganese oxide (NCM622, Tianjin B&M Science and Technology Co., Ltd) was used as the cathode with a fixed areal capacity of 3.2 mA h cm⁻². The N/P ratio, defined by total capacity ratio between anode and cathode, was chosen to be 1.0–1.1. The capacities were calculated according to the electrode mass loading, including the binder and carbon black.

4.3 Results and discussions

As illustrated in the Figure 4.1 (a), using magnesium oxide (MgO) as the template and catalyst, we first grew nitrogen-doped graphene around MgO nanowires by chemical vapor deposition (CVD) using acetonitrile as the precursor. As-formed graphene-coated nanowires were then coated with a thin layer of MgO, on which graphene was grown using methane as the precursor. Removal of the templates leads to the formation of double-graphene-tubes (DGT), which consists of an inner hydrophilic graphene tube (nitrogen-doped) and an outer hydrophobic graphene tube (un-doped). Dispersing the DGT in a K₂SnO₃ solution allows the aqueous precursor to infiltrate into the hydrophilic tubes, as well as growth of SnO₂ nanoparticles within the inner tubes in a subsequent hydrothermal reaction. Finally, reducing the SnO₂ nanoparticles leads to the formation of Sn nanoparticles encapsulated within

the double-graphene-tubes, denoted as Sn/DGT.

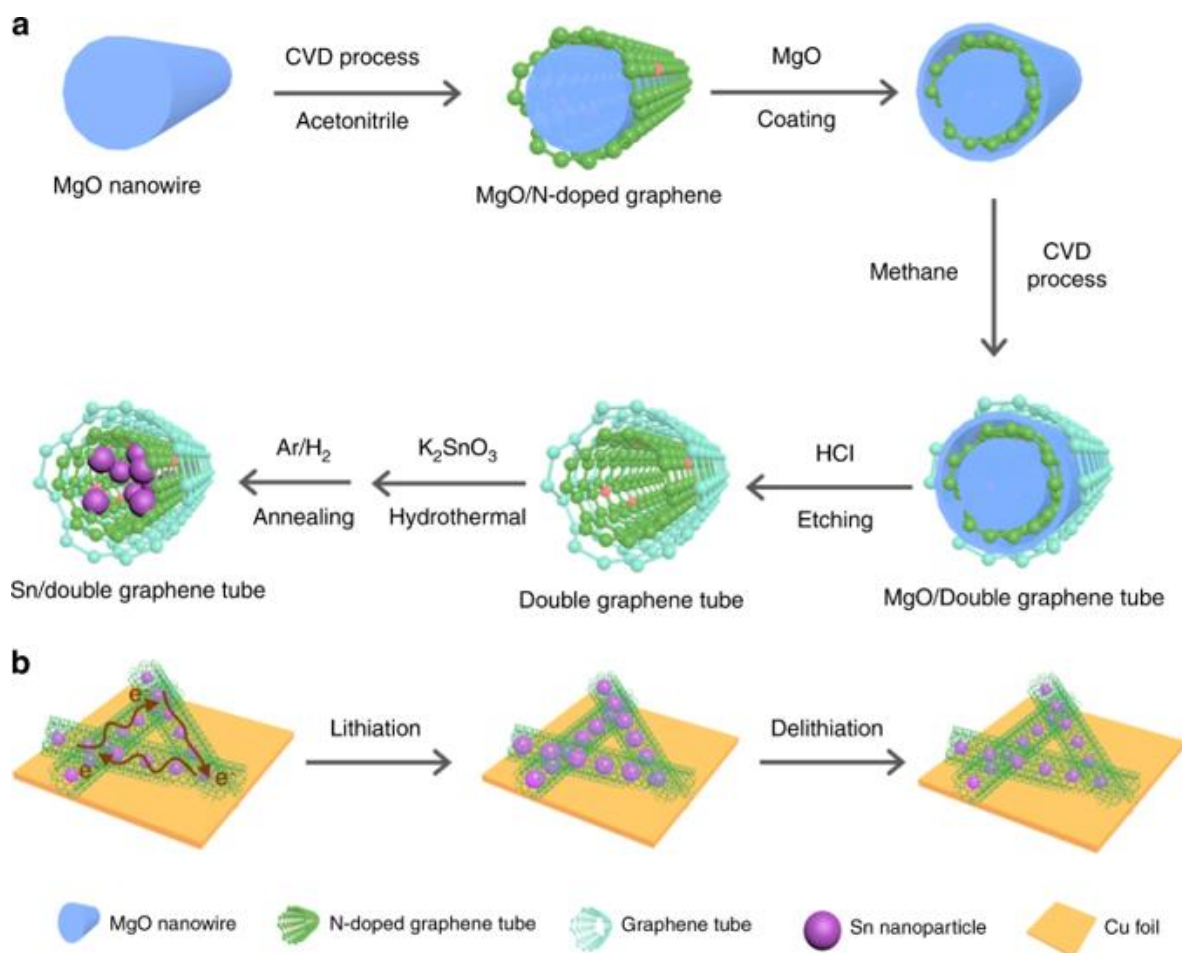


Figure 4.1 (a) A schematic illustration of the synthesis of Sn/DGT composite; (b) A schematic illustration of the lithiation process of a Sn/DGT electrode, during which Sn nanoparticles are retained within the graphene tubes despite their large-volume change, preserving the electron-conduction networks and integrity of the electrode.

As depicted in the Figure 4.1 (b), by confining the Sn nanoparticles within the electronically conductive and mechanically robust DGT, the structural integrity of the electrodes, as well as the electron-conduction networks among the nanoparticles, could be well preserved, despite their large-volume change during the lithiation and delithiation. In addition, the small size of the Sn nanoparticles shortens their ion-diffusion paths and alleviates the mechanical stress during the alloying/dealloying process. Meanwhile, the tubular structure

allows a high loading of Sn nanoparticles, which is critical for high energy density. Note that Sn-carbon composites were also synthesized by infiltrating Sn precursors to carbon scaffolds (e.g., porous carbon particles and carbon fibers) followed by a reduction process.^{266-269, 273} In these approaches, it is difficult to assure as-formed Sn particles are confined within the scaffolds, whereas detachment of the Sn particles from the carbon scaffolds could unavoidably result in decay of the capacity.

4.3.1 Characterization

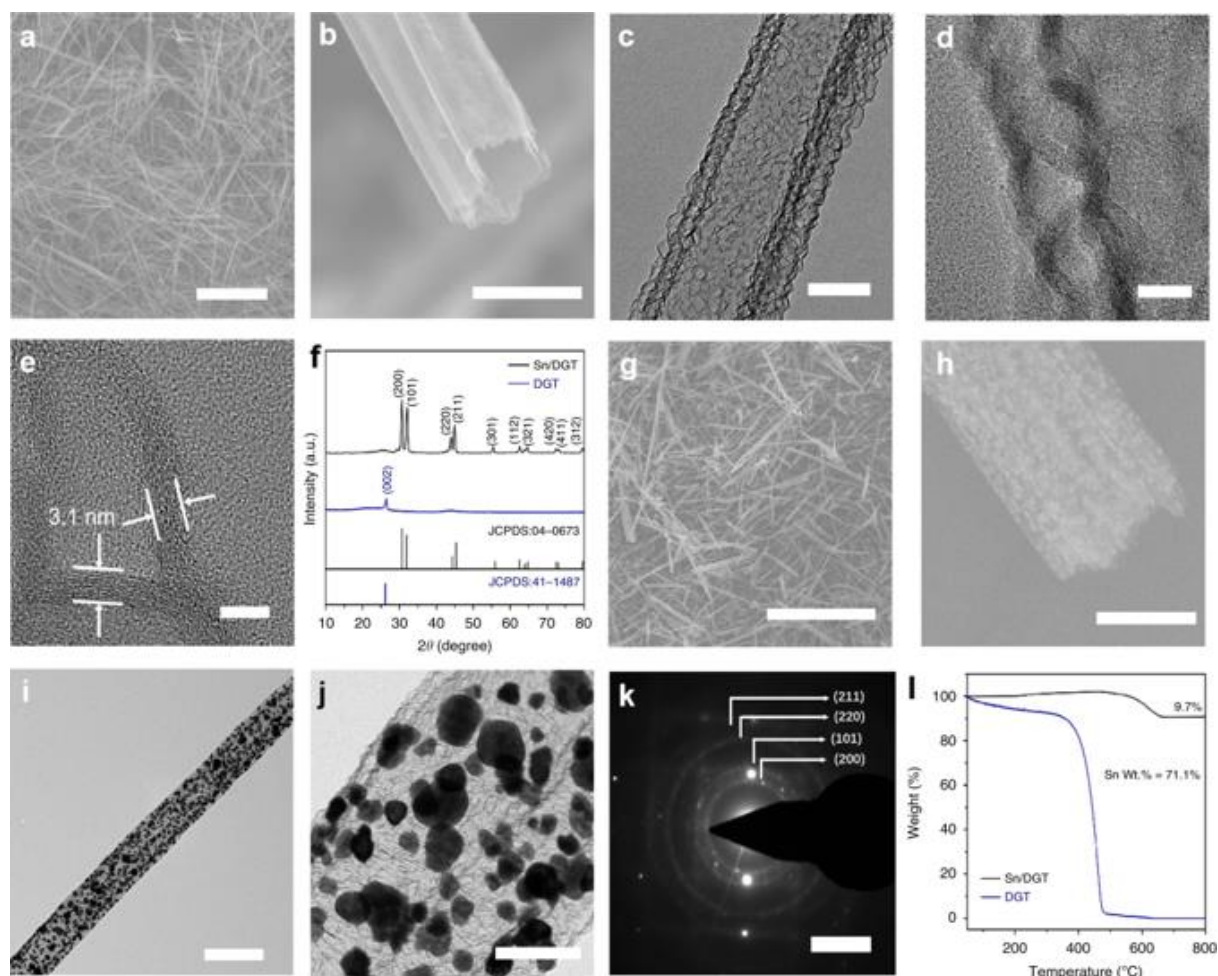


Figure 4.2 Morphology and structure of DGT and Sn/DGT. (a) (b) SEM images of DGT (Scale bars: (a) 10 μm ; (b) 500 nm); (c)-(e) TEM images of DGT (Scale bars: (c) 200 nm; (d) 10 nm; (e) 5 nm); (f) XRD patterns of DGT and Sn/DGT; (g) (h) SEM images of Sn/DGT (Scale bars: (g) 10 μm ; (h) 200 nm); (i) (j) TEM images of Sn/DGT (Scale

bars: (i) 500 nm; (j) 100 nm); (k) Selective area electronic diffraction of Sn/DGT (Scale bar: (k) 5 1/nm); (l) TGA results of DGT and Sn/DGT.

Figure 4.2 (a)-(d) illustrate the SEM and TEM images of DGT, which show an average diameter of 350 nm and a length between 10 μm and 20 μm in Figure 4.2 (a). The double-tube structure can be clearly visualized from the SEM image shown in Figure 4.2 (b), which is further confirmed by the TEM image in Figure 4.2 (c). The distance between the inner and outer tube is ~ 10 nm; meanwhile, porous structure in the tube wall can be clearly observed in Figure 4.2 (d), providing pathways for the transport of electrolytes. Figure 4.2 (e) shows a higher-magnification TEM image of DGT, indicating the graphene structure has a tube-wall thickness of 3.1 nm (approximately nine layers of graphene). In addition, chemical mapping results of the graphene tubes from Figure 4.3 confirms the presence of nitrogen, indicating successful nitrogen doping of the inner graphene tubes.

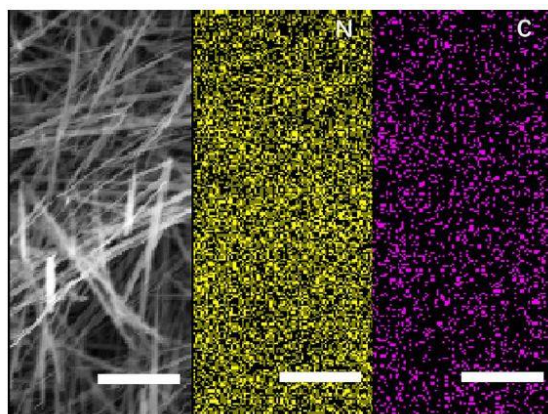


Figure 4.3 EDS elemental maps of C and N (Scale bars: 5 μm).

Figure 4.2 (f) shows the XRD patterns of DGT and Sn/DGT. DGT exhibits the (002) peak at $\sim 26^\circ$, Sn/DGT exhibits intense diffractions of tetragonal Sn (JCPDS No. 04-0673),^{168, 212} and

no diffraction peaks from the graphene tubes can be observed due to its low content. Raman spectra of Sn/DGT in Figure 4.4 show two peaks centered at 1331 cm^{-1} and 1586 cm^{-1} , attributed from the DGT moiety.^{241, 274} Figure 4.2 (g) (h) show the SEM images of Sn/DGT, where Sn nanoparticles are encapsulated within the graphene tubes. TEM image in Figure 4.2 (i) (j) suggest that Sn nanoparticles are uniformly distributed within the DGT with an average diameter of 40 nm. No Sn nanoparticles outside the DGT could be observed.

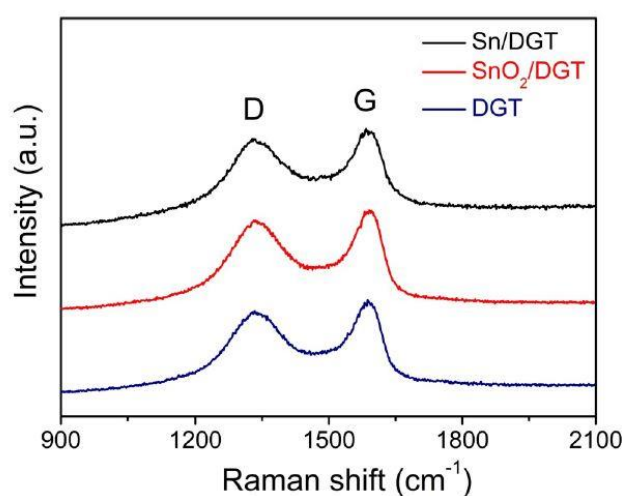


Figure 4.4 Raman spectra of DGT, SnO₂/DGT and Sn/DGT.

As demonstrated in Figure 4.5, the Sn nanoparticles have an interplanar distance of 0.29 nm, attributed to the (200) facet of tetragonal Sn.^{168, 212} Figure 4.2 (k) exhibits the selected-area electron diffraction (SAED) of Sn/DGT confirming the formation of tetragonal-phase Sn. Figure 4.2 (l) shows the TGA results of DGT and Sn/DGT measured using an air atmosphere. DGT experiences a major weight loss from 400 to 500 °C due to its oxidation reaction, while the weight of Sn/DGT increases before 500 °C attributed to the oxidation of the Sn nanoparticles. Further increasing the temperature causes oxidation of the DGT moiety, accompanied by a weight loss. The final weight loss of Sn/DGT is around 9.7%, corresponding

to a Sn content of ~ 71.1 wt%.

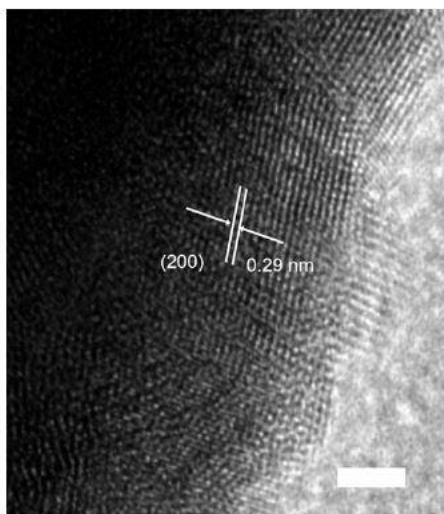


Figure 4.5 High-resolution TEM images of a Sn nanoparticle in Sn/DGT (Scale bar: 2 nm).

Figure 4.6 (a)-(c) show the wetting process of water on nitrogen-doped graphene tubes, while Figure 4.6 (d)-(f) demonstrate the wetting process of water on un-doped graphene tubes. The nitrogen-doped graphene tubes (the inner tubes of DGT) exhibit an initial contact angle of 64.1° , which is rapidly decreased to 0° after 1 s, indicating a hydrophilic surface. In contrast, the un-doped graphene tubes (the outer tubes of DGT) show a stable contact angle of $\sim 128.9^\circ$, indicating a hydrophobic surface. Such biphilicity (the co-existence of hydrophilic and hydrophobic tubes) is critical to ensure the encapsulation of Sn nanoparticles within the DGT and avoid their attachment outside the DGT.

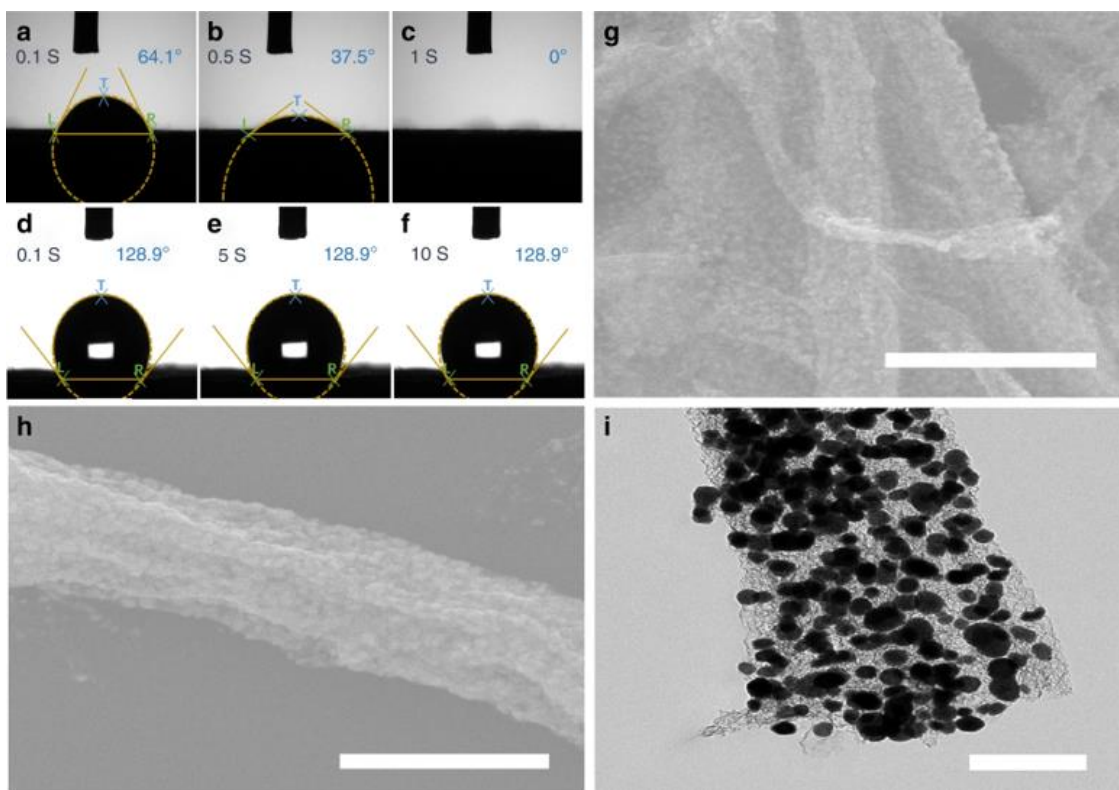


Figure 4.6 (a)-(c) Wetting process of water on nitrogen-doped graphene tubes; (d)-(f) Wetting process of water on un-doped graphene tubes; (g) (h) SEM images of Sn/DGT* (Scale bars: (g) 2 μm; (h) 500 nm); (i) TEM image of Sn/DGT* (Scale bar: 200 nm).

Firstly, the biphilicity of DGT allows the aqueous precursor solution to infiltrate to the inner hydrophilic tubes, where tin oxide (SnO_2) nanoparticles were formed. Figure 4.7 show SEM and TEM images for the SnO_2 /DGT composite. Figure 4.7 (a) show that the graphene tubes maintain their tubular structure after the growth of SnO_2 nanoparticles; chemical mapping results from Figure 4.7 (b) indicate a uniform distribution of the nanoparticles within the DGT. Secondly, it appears that Sn nanoparticles prefer to grow on nitrogen-doped graphene surface than the un-doped graphene surface. To confirm, we prepared double-graphene-tubes that consist an outer hydrophilic graphene tube and an inner hydrophobic graphene tube. Sn nanoparticles were also grown on these tubes using a similar process, resulting in a composite denoted as Sn/DGT*. As expected, an appreciable amount of Sn nanoparticles was grown

outside the double-graphene-tubes in Figure 4.6 (g)-(i), confirming the roles of biphilicity on selective growth of SnO₂ nanoparticles within the DGT. Thirdly, although Sn nanoparticles may also be formed in the reaction solution; such nanoparticles could be readily removed during the filtration process, a step used to separate Sn/DGT from the reaction mixture. The ability to confine the growth of Sn nanoparticles within DGT, as well as the ability to remove free Sn nanoparticles from the Sn/DGT, is essential to ensure the high performance of such Sn anodes. For further confirmation, hydrophobic graphene tubes were also synthesized, and the growth of Sn nanoparticles on the hydrophobic graphene tubes could not be observed in Figure 4.8.

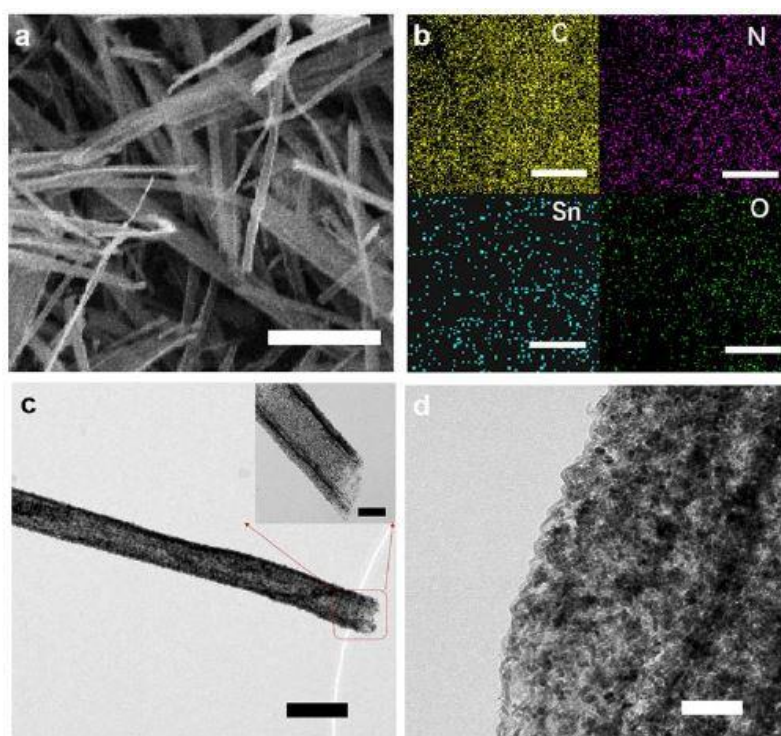


Figure 4.7 (a) SEM image of SnO₂/DGT (Scale bar: 5 μm); (b) EDS elemental maps of Sn, C, O and N (Scale bar: 5 μm); (c) (d) TEM images of SnO₂/DGT (Scale bar: (c) 500 nm, insert of (c) 200 nm; (d) 50 nm).

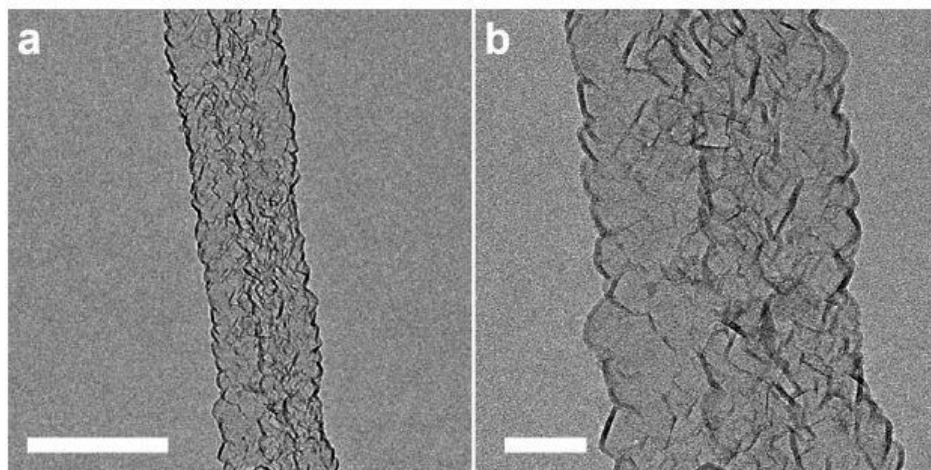


Figure 4.8 (a) (b) TEM images of Sn/hydrophobic graphene tubes (Scale bars: (a) 500 nm; (b) 100 nm).

4.3.2 Electrochemical performance

Figure 4.9 (a) shows the representative charge/discharge voltage profiles of a Sn/DGT electrode from 0.01 to 2.5 V (vs. lithium metal) at 0.2 A g⁻¹. The electrode shows an initial discharge and charge capacity of 1285 mA h g⁻¹ and 913 mA h g⁻¹, corresponding to an initial Coulombic efficiency of 71.1%. The excess discharge capacity could be attributed to the decomposition of the electrolyte, the formation of solid electrolyte interphase (SEI), and to irreversible insertion of Li ions into the Sn.^{271-272, 275-276} The capacity of the Sn/DGT electrode is mainly contributed by Li insertion at voltage below 0.5 V (vs. Li⁺/Li), which ensures a high full-cell voltage and high energy density.²⁷⁷ As shown in Figure 4.9 (a), the electrode retains a capacity of 918 mA h g⁻¹ after 500 cycle, indicating an excellent cycling stability. To further assess the cycling stability of Sn/DGT, electrodes made from commercial Sn particles, as well as a Sn-carbon composite (denoted as Sn/C) was also examined. The Sn/C was synthesized by polymerizing dopamine-hydrochloride on commercial Sn particles followed by carbonization.

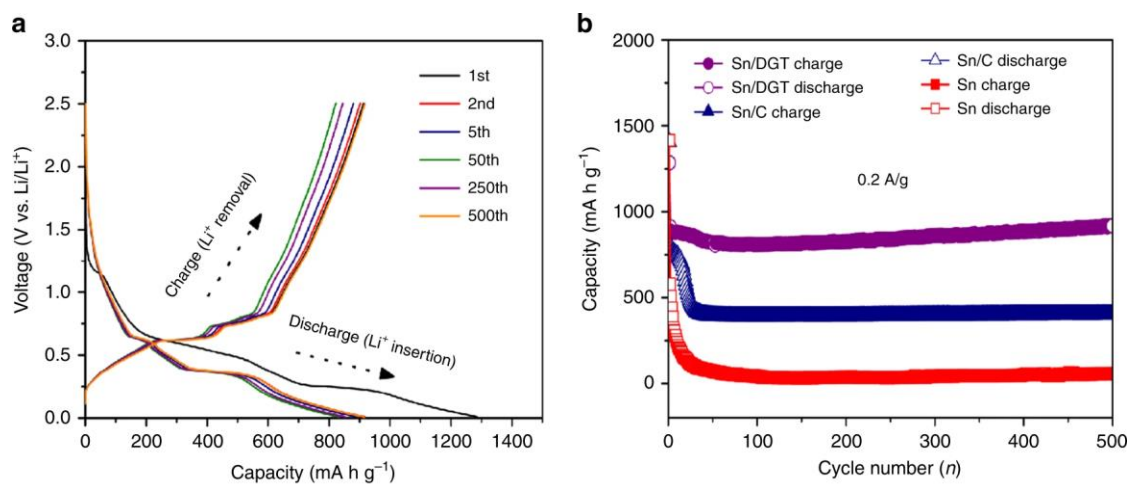


Figure 4.9 Electrochemical performance of the Sn/DGT. (a) Galvanostatic charge-discharge profiles of Sn/DGT from 0.01 to 2.5 V (versus Li/Li⁺) for the 1st, 2nd, 10th, 100th, and 500th cycles at a current density of 0.2 A g⁻¹; (b) Cycling performance (charge/discharge) of the Sn/DGT electrode, Sn/C and Sn electrodes with a mass loading of 2 mg cm⁻² at a current density of 0.2 A g⁻¹ for 500 cycles, respectively.

Figure 4.9 (b) compares the capacity of the electrodes made from Sn/DGT, Sn/C, and Sn particles, respectively. After cycling for 500 cycles at a current density of 0.2 A g⁻¹, the Sn/DGT electrode still retains a high Coulombic efficiency (>99%) and a high capacity of 916 mA h g⁻¹, which is 4-folds and 16-folds higher than that of the Sn/C (201 mA h g⁻¹) and Sn (58 mA h g⁻¹) electrode, respectively. Meanwhile, the capacity of the Sn/DGT electrode increases with time possibly due to a continuous activation process.^{272, 276}

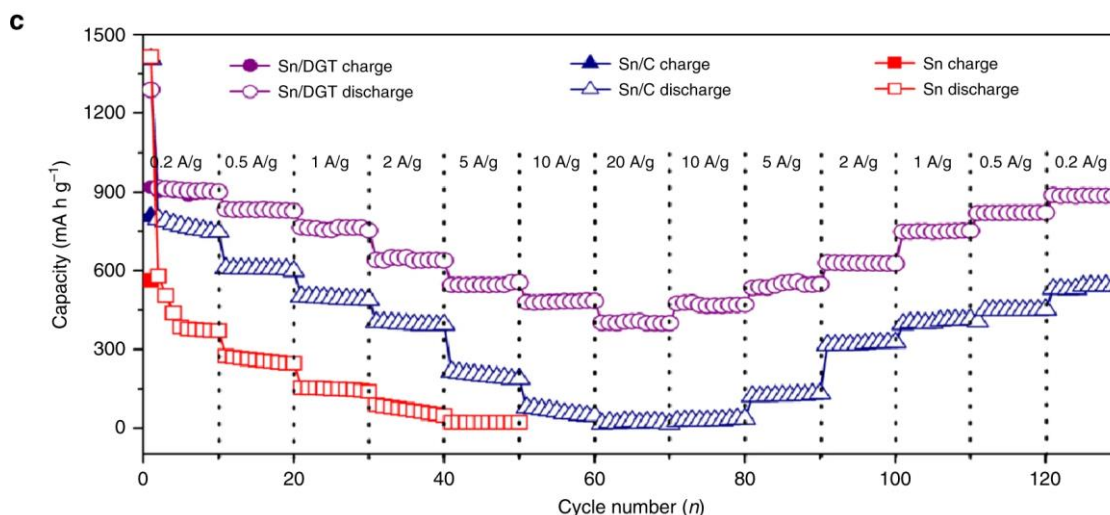


Figure 4.10 The capacity of the Sn/DGT electrode, Sn/C and Sn electrodes at different current densities.

As shown in Figure 4.10, the rate capability of the Sn/DGT electrodes were evaluated at the current densities of 0.2, 0.5, 1, 2, 5, and 10 A g⁻¹, which provides a capacity of 916, 831, 761, 642, 548, and 481 mA h g⁻¹, respectively. Even with a higher current density of 20 A g⁻¹ (~20 C), the electrode can still provide a remarkably high capacity of 402 mA g h⁻¹. Returning the cycling current density to 0.2 A g⁻¹, the capacity is recovered to 913 mA g h⁻¹, indicating an outstanding rate capability.²⁷⁸ The capacity of the composite is contributed by the Sn and DGT moieties. As shown in Figure 4.11, at a voltage window of 0.01–2.5 V, a DGT electrode exhibits a capacity of 712, 665, 592, 551, 474, 371, and 252 mA h g⁻¹ at the current density of 0.2, 0.5, 1, 2, 5, 10, and 20 A g⁻¹, respectively. Considering the Sn/DGT composite contains 29 wt% graphene, the capacity contributed by DGT can be estimated as 206, 192, 172, 160, 137, 108, and 73 mA h g⁻¹, respectively. Such SEI layer could be partly decomposed during the charge process, contributing to the charge capacity.²⁷⁹ And the other is the improved lithium storage capacity by the synergetic effect between conducting DGT and Sn NPs, which is responsible for the excellent electrochemical performance of the overall electrode via the maximum utilization of electrochemically active DGT and nanosized Sn.^{270, 280}

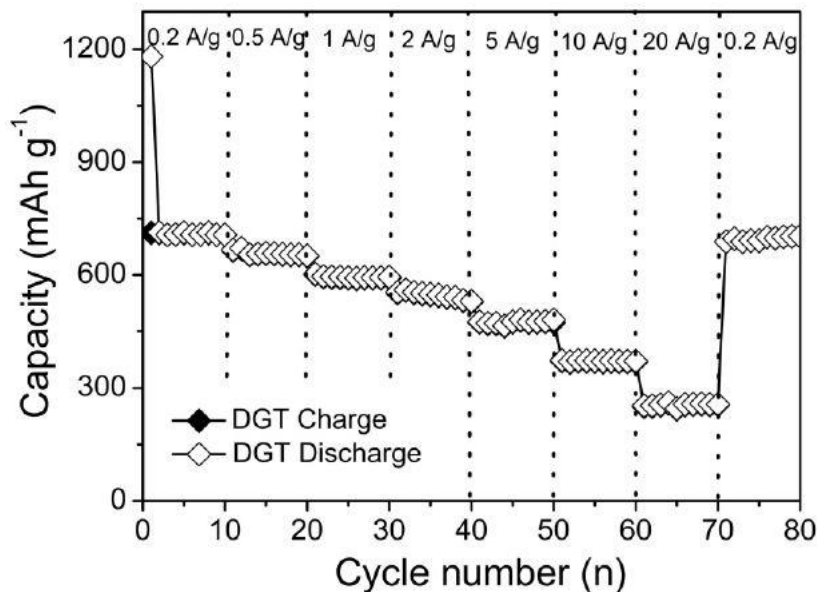


Figure 4.11 The capacity of the DGT electrode at different current densities.

Figure 4.12 compares the Nyquist plots of the Sn/DGT, Sn/C, and Sn electrodes, where the Sn/DGT electrode exhibits a much smaller resistance than the others, which suggests that Sn/DGT electrode has higher ion-diffusion rate and better kinetics during the lithiation/delithiation process.

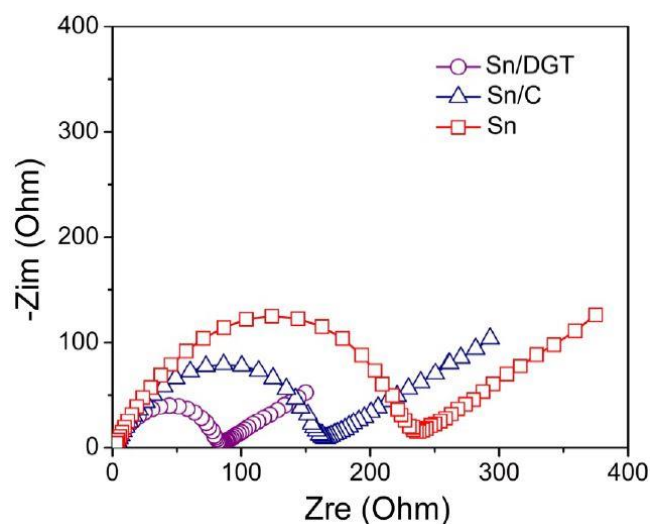


Figure 4.12 Impedance spectra of Sn/DGT, Sn/C and Sn electrodes.

The outstanding cycling stability and rate performance are contributed from the ability to encapsulate the Sn nanoparticles within the highly conductive and robust graphene tubes. Figure 4.13 (d) compares the cycling stability of Sn/DGT and Sn/DGT* (mass loading of 2 mg cm^{-2}) at current density of 0.5 A g^{-1} , which show a similar initial discharge and charge capacity of 1200 mA h g^{-1} and 810 mA h g^{-1} . However, Sn/DGT* contains a significant amount of Sn nanoparticles outside the graphene tubes, which can be easily detached from the graphene tubes during the cycling, resulting in a capacity decay to 337 mA h g^{-1} after 300 cycles. Whereas Sn/DGT still retains a high capacity of 769 mA h g^{-1} , confirming the encapsulation of the Sn nanoparticles do critically contribute to the cycling stability. Figure 4.13 (e) further plots the utilization of the active material versus the charge-discharge current density of the Sn/DGT and Sn/DGT* electrodes. The utilizations are estimated by normalizing the specific capacity of the electrodes at different charge-discharge current densities (the slopes of the lines) vs. the specific capacity at 0.2 A g^{-1} . As shown, the active-material utilization decreases with increasing charge-discharge current density, which is 60%, 52%, and 43% for the Sn/DGT electrode and 20%, 9%, and 6 % for the Sn/DGT* electrode at 5, 10, and 20 A g^{-1} , respectively.

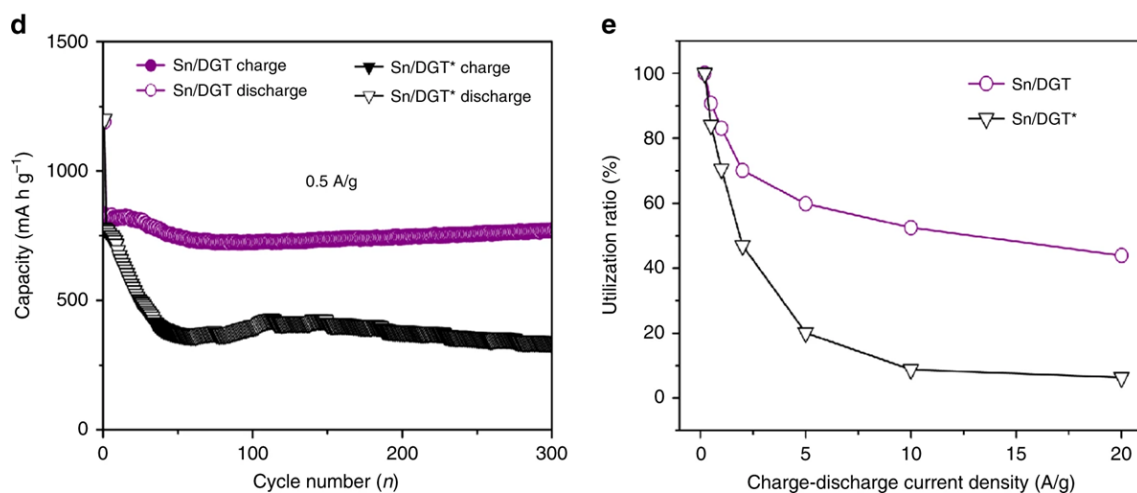


Figure 4.13 (d) Cycling performance (charge/discharge) of the Sn/DGT electrode and Sn/DGT* electrode with a mass loading of 2 mg cm⁻² at a current density of 0.5 A g⁻¹ for 300 cycles; (e) Utilization of the active material of the Sn/DGT electrode and Sn/DGT* electrode at different charge-discharge current densities.

Figure 4.14 (b) (c) show TEM images of the Sn/DGT anode after cycling at 5 A g⁻¹ for 500 cycles, confirming that the Sn nanoparticles were still confined within the graphene tubes. As displayed in Figure 4.14 (a), the electrode exhibits the first-cycle discharge and charge capacities of 536 and 722 mA h g⁻¹ at the current density of 5 A g⁻¹, respectively, giving a first-cycle Coulombic efficiency of 74.1%, which increases to greater than 98% in the second cycle and to around 99.9% after 500 cycles (the high first-cycle capacity is probably due to SEI formation).

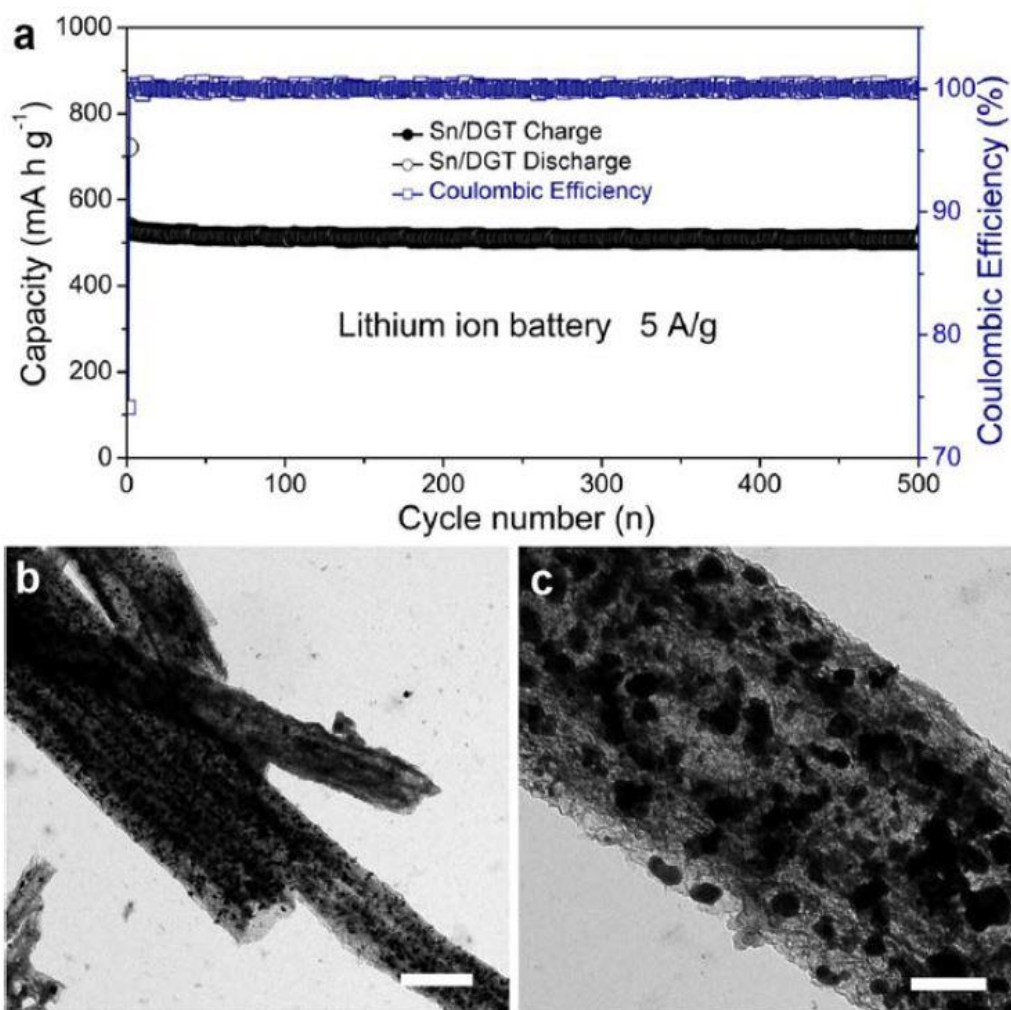


Figure 4.14 (a) Cycling stability of the Sn/DGT electrode at current density of 5 A g^{-1} for 500 cycles; (b) (c) TEM images of the Sn/DGT electrode after 500 cycles at 5 A g^{-1} (Scale bars: (b) $1 \mu\text{m}$; (c) 200 nm).

Figure 4.15 (a)-(e) show the low-magnification TEM images, while Figure 4.15 (f)-(j) display high-magnification TEM images of a Sn/DGT tube during the lithiation and delithiation process. The diameter of the graphene tube remains unchanged (480 nm), whereas the Sn nanoparticles reversibly expand and shrink during the lithiation and delithiation, of which the change of the particle size are plotted in Figure 4.15 (k)-(o), respectively. Despite the volume change, these particles remain confined within the graphene tubes. Figure 4.15 (p)-(t) show the selective area electronic diffractions of Sn/DGT during this process. The Sn nanoparticles

exhibit a tetragonal crystal structure ($a = 5.8316 \text{ \AA}$, $c = 3.1813 \text{ \AA}$, space group 141) prior to the lithiation in Figure 4.15 (p). After lithiation for 300 s, the diffraction spots are changed to diffraction rings, consistent with a polycrystalline Li_xSn phase. The rings corresponding to a d -spacing of 0.192 nm and 0.237 nm are likely attributable to Li_5Sn_2 , while the ring corresponding to a d -spacing of 0.327 nm is likely attributable to Li_7Sn_3 phase in Figure 4.15 (q). After lithiation for 600 s, the Li_xSn phase was converted to the $\text{Li}_{4.4}\text{Sn}$ phase, corresponding to the diffraction rings with the d -spacings of 0.232, 0.379, and 0.452 nm in Figure 4.15 (r). The subsequent delithiation process reverses the $\text{Li}_{4.4}\text{Sn}$ phase sequentially to Li_xSn in Figure 4.15 (s) and to tetragonal Sn in Figure 4.15 (t). This observation confirms a reversible phase transformation of the Sn nanoparticles during the lithiation and delithiation, which are confined with the highly conductive and robust graphene tubes and leads to the outstanding cycling stability and rate performance observed.

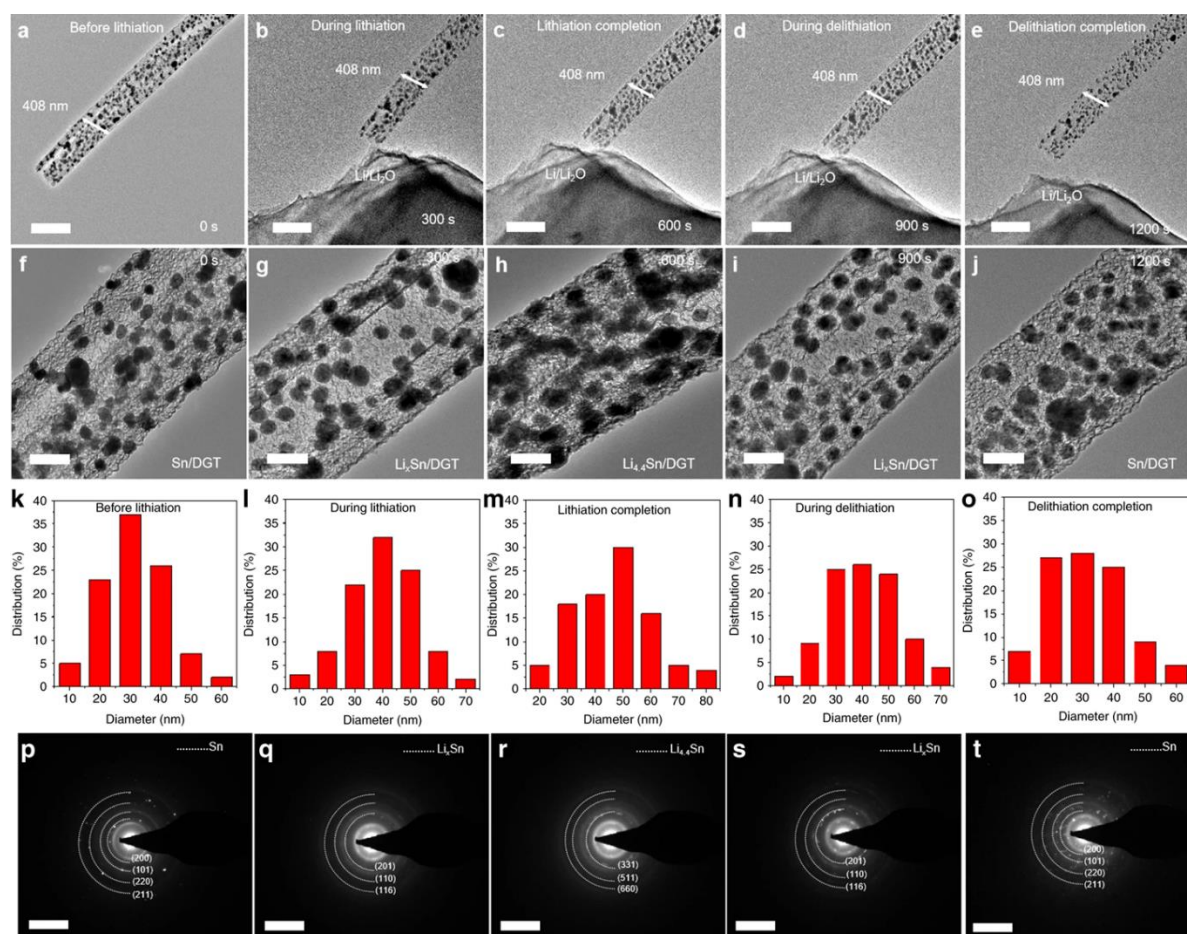


Figure 4.15 In situ TEM observation of Sn/DGT during a lithiation-delithiation cycle. (a)-(e) Time-lapse low magnification TEM images showing the lithiation and delithiation of a Sn/DGT electrode (Scale bars: (a) 500 nm; (b) 500 nm; (c) 500 nm; (d) 500 nm; (e) 500 nm); (f)-(j) High-magnification TEM images showing the lithiation and delithiation of a Sn/DGT electrode (Scale bars: (f) 100 nm; (g) 100 nm; (h) 100 nm; (i) 100 nm; (j) 100 nm); (k)-(o) Size analysis of the Sn nanoparticles during the lithiation-delithiation cycle obtained using a semi-automated sizing approach; (p)-(t) Selective area electron diffraction patterns of the Sn nanoparticles during the lithiation-delithiation cycle (Scale bars: (p) 5 1/nm; (q) 5 1/nm; (r) 5 1/nm; (s) 5 1/nm; (t) 5 1/nm).

To evaluate the feasibility of adapting Sn/DGT for commercial use, electrodes with different areal mass loadings were fabricated by changing the thickness of the electrode. Figure 4.16 (a) shows the areal capacity vs. the areal mass loading of the electrodes at different current densities. The areal capacity increases linearly with the areal mass loading at relatively low current densities (e.g., $<5 \text{ A g}^{-1}$); whereas further increasing the current density deviates

the linear relation. Figure 4.16 (b) plots the areal capacity vs. the areal current density, where the electrode with a mass loading of 6 mg cm^{-2} exhibits areal capacities of 5.4, 4.9, 4.4, and 3.7 mA h cm^{-2} at the areal current density of 1.6, 4, 8, and 16 mA cm^{-2} , respectively. For comparison, commercial graphite anodes generally provide areal capacities in the range of $2.5\text{--}3.5 \text{ mA h cm}^{-2}$ at a current-density range of $0.3\text{--}1.86 \text{ mA cm}^{-2}$ (see the marked area marked in Figure 4.16 (b)).²⁸¹ Clearly, Sn/DGT electrodes well outperform the commercial graphite anodes.

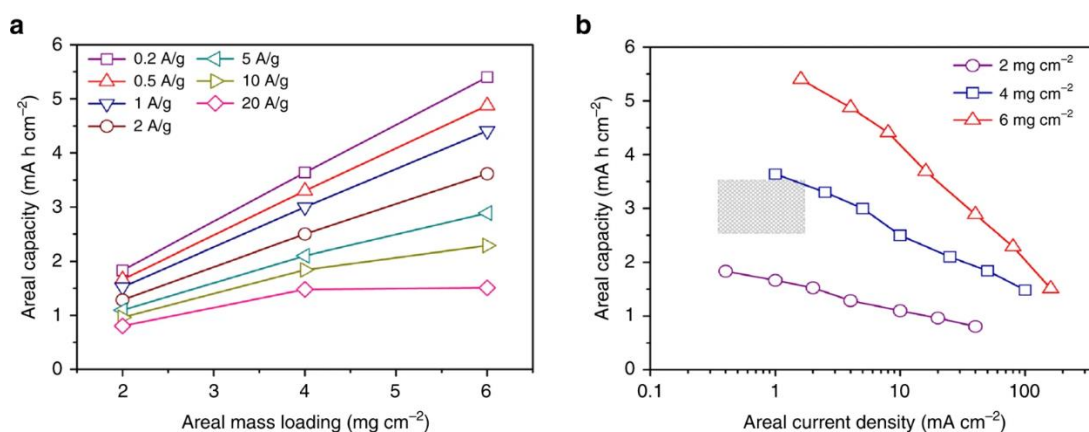


Figure 4.16 (a) The areal capacity of Sn/DGT electrodes with mass loading of 2, 4, and 6 mg cm^{-2} at different charge-discharge current densities; (b) The areal capacity of Sn/DGT electrodes vs. the areal current density of the Sn/DGT anodes with a mass loading of 2, 4, and 6 mg cm^{-2} , respectively.

Furthermore, these thick Sn/DGT electrodes also exhibit outstanding cycling stability. For example, after cycling a current density of 2 A g^{-1} for 200 cycles, these electrodes retain over 90% of their initial capacity in Figure 4.17 (a) with low charge-transfer resistance indicated by their electrochemical impedance spectra Figure 4.17 (b).

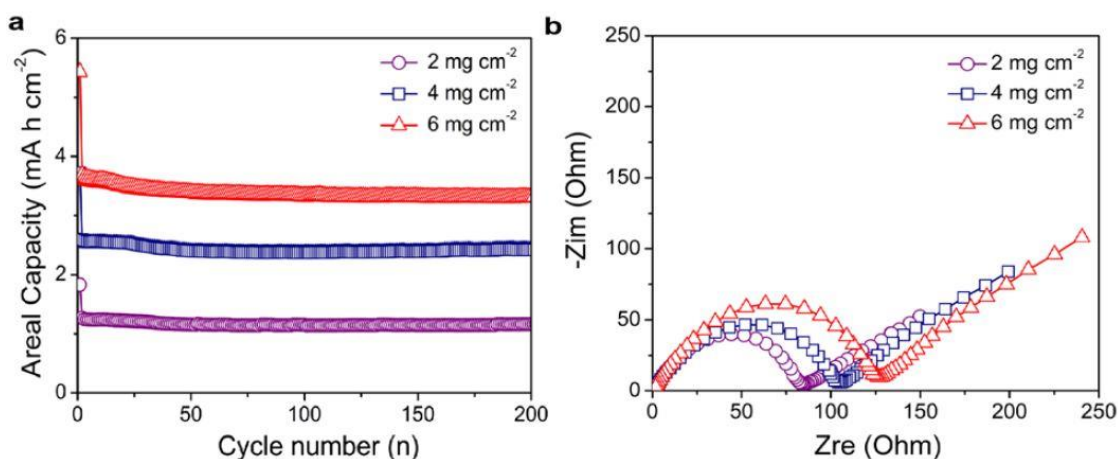


Figure 4.17 (a) Areal capacity and cycling performance of the Sn/DGT electrode at the current density of 2 A g^{-1} for 200 cycles under different mass loadings; (b) Nyquist plots of the Sn/DGT electrodes under different mass loadings.

Figure 4.18 (c) plots the areal capacity vs. the areal current density of representative anode materials, including commercial graphite, graphene, $\text{Li}_4\text{Ti}_5\text{O}_{12}$, Sn/C, and Si/C-based composites. As shown, graphene aerogel, popcorn-like graphene,^{152, 282} and edge-activated graphite exhibit higher areal capacities and improved rate performance than graphite. Incorporating high-capacity Si to graphene and graphite further increases their areal capacity at low current density. However, inherently limited by the slow reaction between silicon and lithium, the capacity is decreased rapidly with increasing the current density. The Sn/DGT electrodes well outperform the reported electrodes in both areal capacity and rate performance. For example, the Sn/DGT anode can supply a high areal capacity over 4 mA h cm^{-2} at the areal current density of 10 mA cm^{-2} , which is the best-known values for the anodes reported.^{152, 241, 269, 271, 282-286}

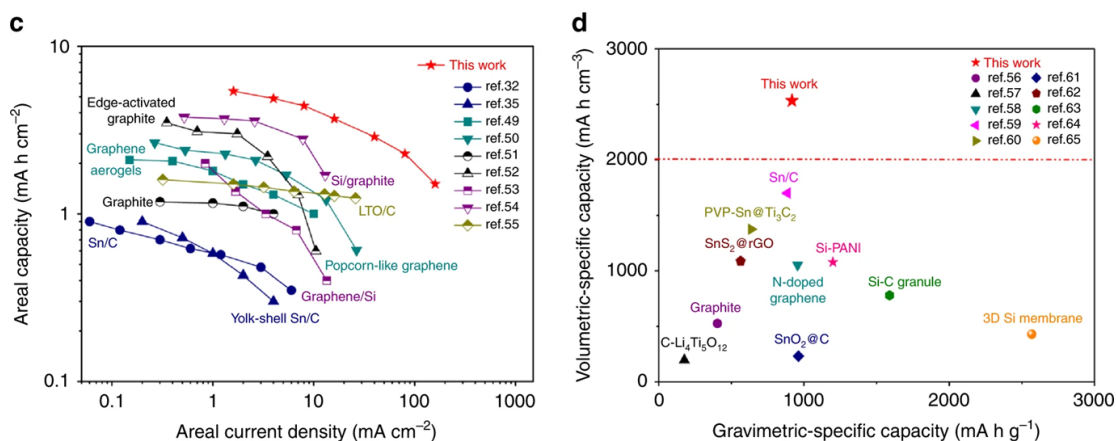


Figure 4.18 (c) A comparison of the areal performance metrics of Sn/DGT electrode (mass loadings of 6 mg cm⁻²) with representative anodes reported, including the anodes from graphite, graphene, Li₄Ti₅O₁₂ (LTO), Sn/C and Si/C; (d) A comparison of the specific volumetric capacity of Sn/DGT electrode (active materials only) with reported anode materials, including the anodes of graphite, LTO, Sn/C, and Si/C.

Figure 4.18 (d) compares the volumetric capacities of representative anode materials vs. their gravimetric capacity, including commercial graphite, Li₄Ti₅O₁₂, Sn-based, and Si-based anodes reported.^{253, 287-295} The volumetric capacities of the anode materials (active material only) were estimated based on the tap density and gravimetric specific capacity in un-lithiated state. Sn/DGT shows a tap density around 2.76 g cm⁻³, corresponding a volumetric capacity of 2532 mA h cm⁻³ and 1106 mA h cm⁻³ at a current density of 0.2 A g⁻¹ and 20 A g⁻¹, respectively in Figure 4.19 (a). The electrode retains a high volumetric capacity of 2528 mA h cm⁻³ after 500 cycles under a current density of 0.2 A g⁻¹ in Figure 4.19 (b). The volumetric capacity of the Sn/DGT electrodes well outperforms the reported anodes, despite their gravimetric capacity is less than the silicon granule²⁵³ and 3D silicon membrane.²⁹⁵

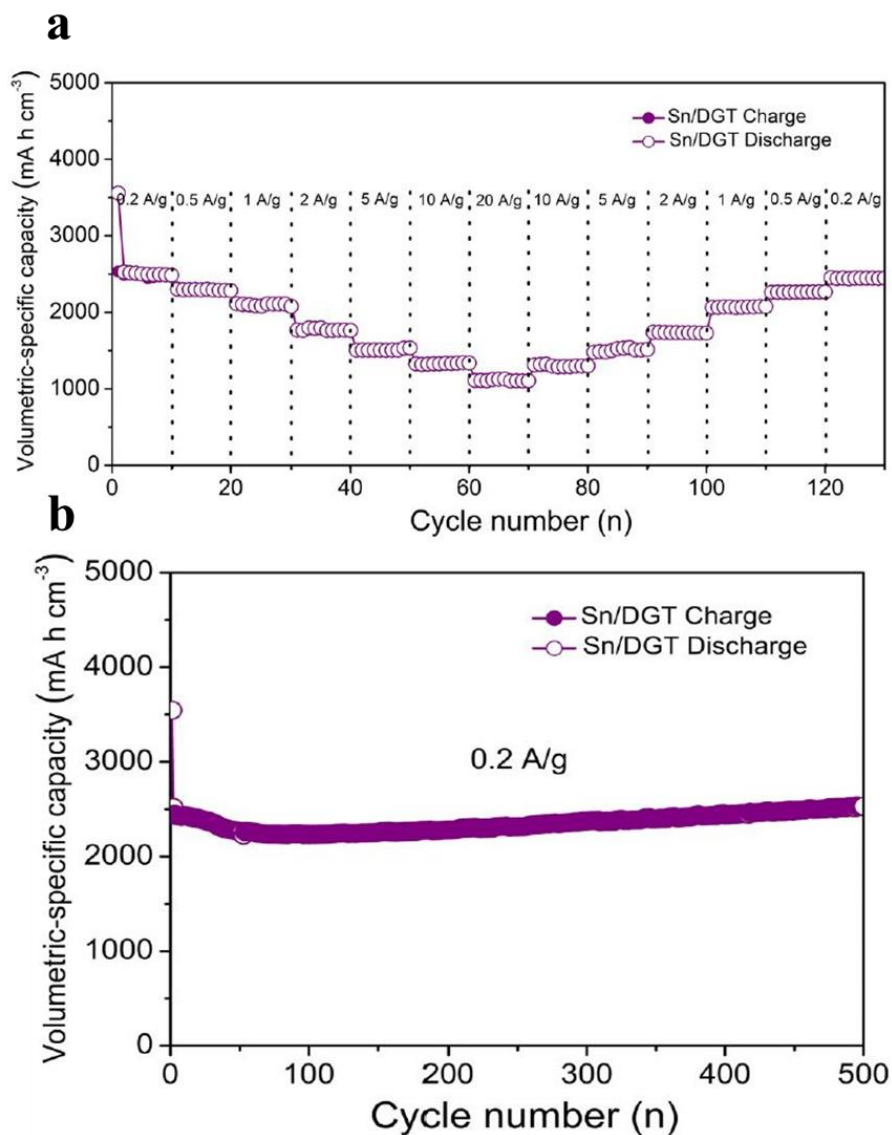


Figure 4.19 (a) The volumetric capacity of Sn/DGT electrode (active material only) at different current densities; (b) Cycling performance of Sn/DGT electrode.

Finally, to demonstrate the viability of using Sn/DGT as the high-performance anodes, full cells were assembled using a commercial cathode material, lithium-nickel cobalt manganese oxide (NCM622) with a bulk density (4.5 g cm⁻³). For comparison, control cells were also assembled using a graphite anode and a NCM622 cathode. Figure 4.20 (e) shows the cycling performance of the NCM622//Sn/DGT cell, displaying a gravimetric energy density of 590 W h Kg⁻¹ with a capacity retention of 93% over 200 cycles (based on the total mass of electrode

materials), which is significantly higher than that of the NCM622//graphite cell. In this cell configuration, the areal capacity of the electrode is 3.2 mA h cm^{-2} and the thickness of the cell (including the anode, cathode, current collector, and separator) is $\sim 92 \mu\text{m}$ in Figure 4.21 (a). The volumetric energy density of the cell is estimated to be 1252 W h L^{-1} in Figure 4.21 (b). This value represents a near two-fold increase from that of the commercial NCM622//graphite cell calculated based on the same metric (647 W h L^{-1}). Note that the current-state-of-art commercial LIBs generally possess a volumetric energy density from 600 W h L^{-1} to 700 W h L^{-1} , which is consistent with that of the control cell. This comparison further confirms the feasibility of fabricating LIBs with significantly improved volumetric energy density using Sn/DGT as the anodes. It is also worth noting that the volumetric energy density of the NCM622//Sn/DGT cell is still around 1.8 times higher than that of the NCM622//graphite cell (602 W h L^{-1}) after 200 cycles in Figure 4.20 (f). The ability to fabricate full cells with such high gravimetric and volumetric energy density, as well as long cycling life, indeed opens a new avenue towards high-performance LIBs for microelectronic and electrical vehicle application.

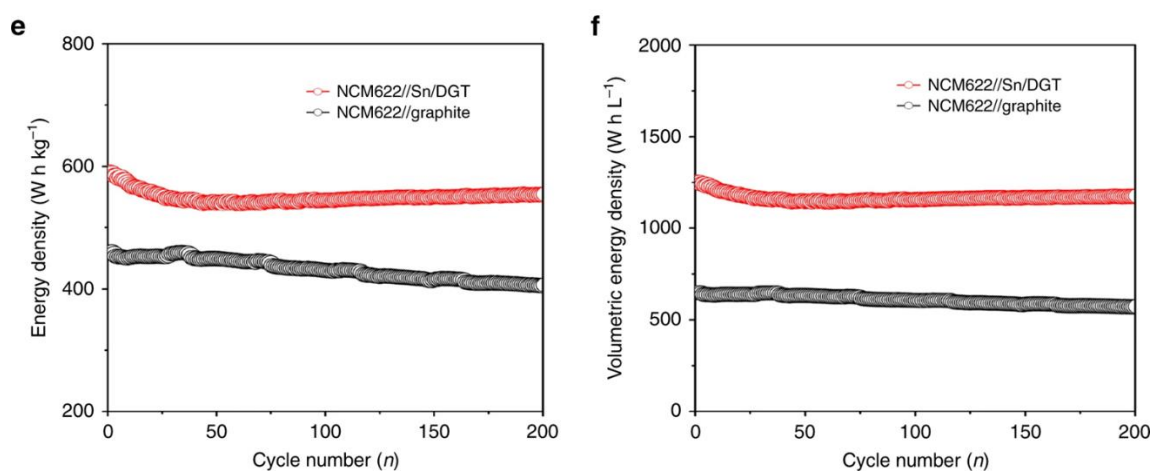


Figure 4.20 (e) The gravimetric energy density of a cell consisting with a NCM622 cathode and a Sn/DGT anode, as well as a cell consisting with a NCM622 cathode and graphite anode;

(f) The volumetric energy density of a cell consisting with a NCM622 cathode and a Sn/DGT anode, as well as a cell consisting with a NCM622 cathode and graphite anode.

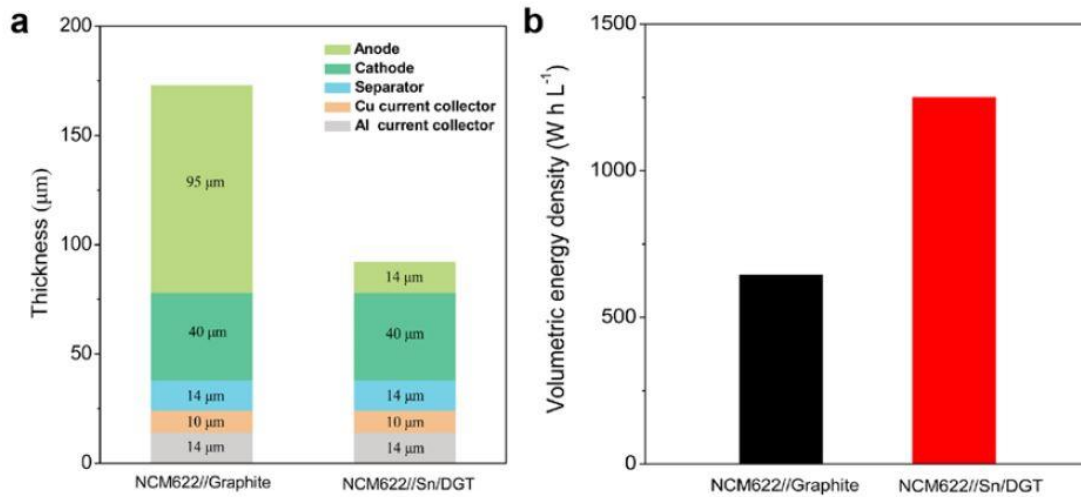


Figure 4.21 (a) The electrode thickness of a NCM622//Sn/DGT and NCM622//graphite full cell; (b) The volumetric energy density of a NCM622//Sn/DGT and NCM622//graphite full cell.

4.4 Conclusion

In summary, we have developed Sn anodes with both high gravimetric and volumetric capacities. This is achieved simply by encapsulating Sn nanoparticles, a metal with high gravimetric and volumetric capacity, within highly conductive and robust graphene tubes. Despite their large-volume change during cycling, the Sn nanoparticles are confined within the graphene tubes, ensuring an outstanding rate performance and long cycling life. Meanwhile, through creating the double-graphene-tubes with biphilic nature, we minimized the amount of free Sn nanoparticles in the electrodes, which could have caused rapid capacity decay upon detaching from the graphene tubes. This strategy significantly improves the gravimetric energy density and volumetric energy density of LIBs. We expect that adopting such Sn anodes could potentially double the volumetric energy density of the LIBs in the current market. Meanwhile, the selective-growth strategy can be extended to synthesize a variety of functional materials for a broad range of applications.

Chapter 5 High Performance Sodium Ion Anodes Based on Sn₄P₃ Encapsulated within Amphiphilic Graphene Tubes

5.1 Introduction

In parallel to lithium-ion batteries, sodium-ion batteries (SIBs) have emerged as a prominent alternative for energy storage due to abundance and low cost of sodium resources.²⁹⁶ Commercial adoption of SIBs is yet hampered by lack of anode materials with high capacity, suitable redox potential and cycling robustness. The sodium storage mechanisms in anodes can be basically categorized into intercalation, alloying and conversion. Intercalation mechanism signifies that sodium can be inserted into crystal structure with preserved structure integrity.²⁹⁷ Carbon based materials fall into this category and exhibit small volume expansion upon sodiation. Alloying and conversion mechanisms denote that materials can form intermetallic binary compounds by alloying with sodium, and react with sodium by conversion reaction, respectively. Both of these two mechanisms produce new compounds and consequent huge volume change.

Among miscellaneous anode candidates, sodium alloyable tin (Sn) and phosphorous (P) are quite promising, given their high theoretical capacities (Sn: 847 mAh g⁻¹; P: 2596 mAh g⁻¹) that significantly exceed the values of conventional carbonaceous materials (e.g., hard carbon, 300 mAh g⁻¹).^{217, 298-299} More recently, tin phosphide (Sn₄P₃) that combines the merits of P and Sn has garnered considerable attentions by offering a high capacity of 1132 mAh g⁻¹, decent electrical conductivity of 30.7 S cm⁻¹, and dendrite-preventive redox potential of 0.3V (vs. Na/Na⁺).^{220, 300-302} Nevertheless, such alloying-type materials often suffer from colossal volume change (up to 510%) upon sodiation and desodiation, eventually causing pulverization,

electrode fragmentation and drastic cyclic degradation of the electrodes.^{211, 303}

A well-documented approach to improve the cycling stability is compositing Sn_4P_3 with carbonaceous materials, which generally contain pores and anchoring sites to host Sn_4P_3 particles and accommodate their volume change. Additionally, the carbonaceous materials provide electron-transport pathways facilitating the reaction kinetics, and also help to stabilize the electrode-electrolyte interfaces and improve the coulombic efficiency.³⁰⁴⁻³⁰⁵ For examples, the composites of Sn_4P_3 with graphene,³⁰⁶⁻³⁰⁷ reduced graphene oxide,³⁰⁸⁻³¹⁰ carbon nanotubes^{221, 223, 311} and hard carbon³¹²⁻³¹³ have been explored, leading to enhanced charge-transfer kinetics and electrochemical performances. However, significant decay in capacity still occurs during cycling, which may be attributed to the conversion of Sn_4P_3 into Sn and poorly conductive P,³¹⁴⁻³¹⁷ as well as the detachment of Sn_4P_3 from the conductive networks because of the large volume change.³¹⁸⁻³²¹ To mitigate the capacity decay, Sn_4P_3 particles were embedded within carbon nanospheres by reduction and subsequent phosphidation of carbon-coated hollow SnO_2 nanospheres. Such a yolk-shell structure not only provides accommodate the volume expansion of the particles, but also constrains the particles within the conductive spheres, leading to significantly improved cycling stability.^{222, 322-323} Nevertheless, such an encapsulating process often results in the formation of un-encapsulated Sn_4P_3 particles, which unavoidably cause capacity decay upon detachment from the conductive networks.

We report herein novel composite anodes based on Sn_4P_3 encapsulated within amphiphilic and porous graphene tubes (GT). Such amphiphilic GT consist an inner hydrophilic graphene tube (nitrogen-doped) and an outer hydrophobic graphene tube (un-doped), which guarantees a confined growth of Sn_4P_3 nanoparticles within the GT and effective accommodation of the

volume expansion of the nanoparticles. The amphiphilic property of such porous GT has been proved in our former paper.³²⁴

Compared with current state of the arts, such Sn₄P₃/GT composite has multiple advantages: (i) the formation of Sn₄P₃ nanoparticles provides shortened diffusion path for sodium ions while alleviates the mechanical stress during alloying/dealloying process; (ii) hosting Sn₄P₃ nanoparticles within the flexible GT effectively buffers the volume change during cycling and retains the electrical contact with GT; (iii) the use of GT allows construction of highly conductive framework, which enables fast charge transport and improves the electrochemical kinetics of Sn₄P₃. Collectively, these advantages lead to the fabrication of high-performance Sn₄P₃ anodes with high capacity, high-rate performance and long cycling stability.

5.2 Experimental

Synthesis of MgO tube. An aqueous urea solution (1.2 g in 20 mL deionized water) was dropped into a magnesium acetate solution (12 g in 80 mL deionized water). The mixed solution was stirred for 1h, sealed in a 200 mL Teflon-lined autoclave, and heated at 180 °C for 2 h. As-formed Mg(OH)₂ was filtrated, washed with ethanol, dried in vacuum at 100 °C, and calcined at 600 °C for 6 h in air to obtain the MgO nanowire template.

Synthesis of DGT. The MgO template was placed in a horizontal quartz tube to develop graphene with chemical vapor deposition (CVD) method. The quartz tube was heated to 900 °C under a flow of Argon (1000.0 mL min⁻¹) and another Argon stream (150.0 mL min⁻¹) flowing through a flask of acetonitrile for 10 min to develop nitrogen-doped graphene coated on the

MgO template. As-formed nitrogen-doped graphene was dispersed in a solution which contains $\text{Mg}(\text{NO}_3)_2 \cdot 2\text{H}_2\text{O}$ (0.5 g, Sigma-Aldrich) and urea (0.2 g, Sigma-Aldrich) in deionized water (100 mL) to sonicate for 30 min. After refluxing at 90 °C for 24 h, the mixture was washed and dried at 80 °C overnight. The nitrogen-doped graphene/MgO was coated with graphene under a flow of CH_4 (400.0 mL min^{-1}) and Argon (1000.0 mL min^{-1}) at 1000 °C for 10 min. Finally, the product was etched with hydrochloric acid solution (1 mol L^{-1}) to obtain the double graphene tubes (DGT).

Synthesis of $\text{Sn}_4\text{P}_3/\text{GT}$. GT (30 mg) was added into the deionized water (30 mL) by sonication for 30 min. $\text{K}_2\text{SnO}_3 \cdot 3\text{H}_2\text{O}$ (0.2 g) was dissolved into the above solution and stirred for 1 h. After that, the solution was transferred to 50 mL Teflon-lined autoclave and maintained at 180 °C for 12 h to form SnO_2/GT composite. Then, as-formed SnO_2/GT was obtained by centrifugation and dried at 80 °C overnight. To form $\text{Sn}_4\text{P}_3/\text{GT}$ composite, SnO_2/GT (80 mg) was reacted with NaH_2PO_2 (400 mg) at 300 °C for 1 h under nitrogen atmosphere.

Material characterization. The morphology and structure of the as-prepared products were conducted by field-emission scanning electron microscopy (FESEM, FEI Nova 430), transmission electron microscopy and high-resolution transmission electron microscopy (HRTEM, FEI Titan STEM). Powder X-ray diffraction was performed on Rigaku Miniflex II diffractometer with $\text{Cu K}\alpha$ radiation operated at 30 kV and 15 mA. Raman spectroscopy was measured with Renishaw 2000 System. The specific surface area was tested by an ASAP 2020 analyzer (Micromeritics Instrument Corporation, Norcross, GA). The TGA was measured on an SDT Q600 thermoanalyzer under air.

Electrochemical measurements. The electrode slurry was prepared by mixing 80 wt-% active materials, 10 wt-% acetylene carbon black, 10 wt-% PVDF binder, and an adequate amount of N-methyl-2-pyrrolidone. The slurry was coated onto copper foil and dried in a vacuum oven at 110 °C for 12 h. The loading mass of active materials on electrode was 1.5 mg cm⁻². The electrical performance was tested using standard 2025 type coin cells using sodium metal foil as a counter electrode, glass fiber (GF/D) from Whatman as a separator, and 1.0 M NaClO₄ as electrolyte (in propylene carbonate, containing 5 wt-% fluoroethylene carbonate). The sodium metal cube (CAS number: 7440-23-5) was bought from Sigma-Aldrich and cut into round pieces with a diameter of 12 mm, then flattened as thin sodium metal foil to assemble the battery. The total amount of electrolyte that used in the battery was 60 μL. The charge-discharge properties were measured using a Land Battery Test System (LAND CT2001A) within a voltage window from 0.01 to 2.0 V (versus Na⁺/Na) at room temperature. Electrochemical impedance measurement was evaluated in a range of 0.01 Hz to 10 MHz at 10 mV with a perturbation amplitude of 10 mV on the cells at open circuit potential. The capacities of the electrodes were calculated according to the total mass of the Sn₄P₃/GT composite.

5.3 Results and discussions

As illustrated in Figure 5.1, GT are dispersed in an aqueous solution containing an aqueous tin precursor, which allows the infiltration of the solution to the hydrophilic tubes. A subsequent hydrothermal treatment converts the precursor into SnO₂, leading to the formation of GT composites with SnO₂ nanoparticles grown within the inner tubes. It is worth noting that SnO₂ nanoparticles may also grow outside the GT and in the solution, nevertheless, such

nanoparticles can be readily removed by washing and filtration, affording the formation of GT composites with well-encapsulated SnO_2 nanoparticles. Lastly, the encapsulated SnO_2 is converted to Sn_4P_3 through a phosphidation treatment, leading to the formation of $\text{Sn}_4\text{P}_3/\text{GT}$ composite with Sn_4P_3 confined within the GT. Compared with the prior arts, the unique amphiphilicity of GT permits exclusive formation of Sn_4P_3 particles inside the N-doped GT, which not only accommodates their volume change during sodiation and de-sodiation, but also retains such particles and their cycling compounds within the GT. Such conductive GT, as well as the nano-size Sn_4P_3 , afford faster electron and ion transports leading to improved electrochemical kinetics, high-rate capability, and long cycling stability.

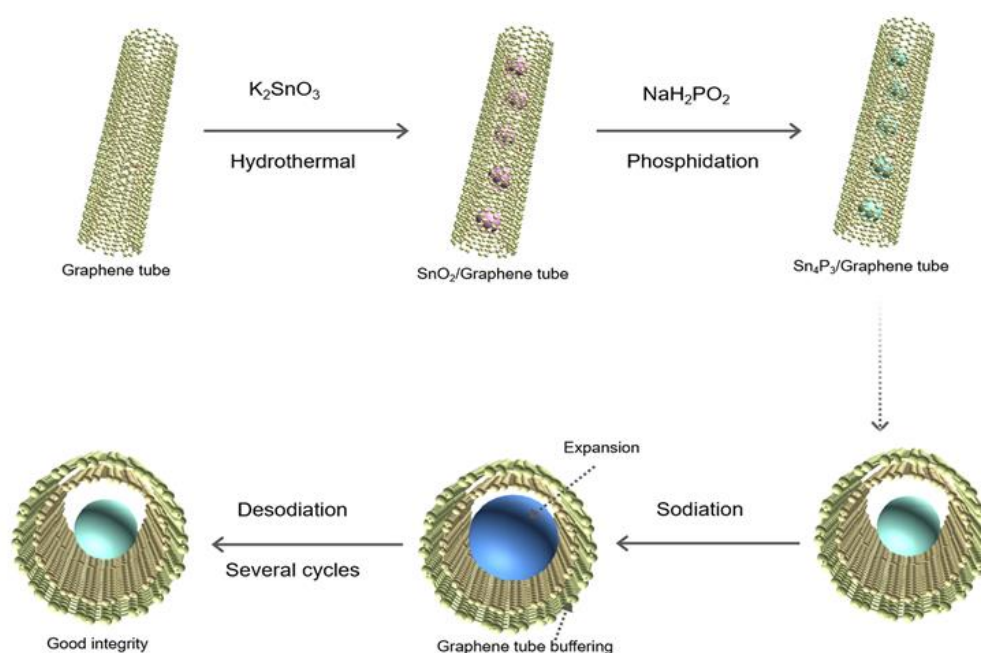


Figure 5.1 A schematic illustration showing the synthesis of $\text{Sn}_4\text{P}_3/\text{GT}$ composites by selectively growth of SnO_2 nanoparticles within GT followed by a phosphidation process forming $\text{Sn}_4\text{P}_3/\text{GT}$ and their sodiation and desodiation process.

5.3.1 Characterization

Figure 5.2 (A)-(D) show SEM images, TEM images and selected area electron diffraction (SAED) of the resulting GT, which display an average diameter of ~ 400 nm and length in range of 10-20 μm . The SAED pattern displays the (101) and (002) reflections of graphene structure, which is consistent with the XRD result in Figure 5.3 (A). Figure 5.2 (E)-(G) display SEM image and TEM images of SnO_2/GT , indicating SnO_2 nanoparticles are homogeneously confined within the graphene tubes. The SAED of SnO_2/GT in Figure 5.2 (H) shows typical diffraction rings of SnO_2 with a tetragonal rutile phase (JCPDS No. 41-1445) which is corresponding to the pattern in Figure 5.3 (A). Figure 5.2 (I)-(K) show SEM and TEM images of $\text{Sn}_4\text{P}_3/\text{GT}$, again indicating that the Sn_4P_3 nanoparticles are homogeneously confined within the GT. These Sn_4P_3 nanoparticles exhibit an average diameter of ~ 50 nm, of which the SAED in Figure 5.2 (L) shows diffraction rings attributed to tetragonal phase Sn_4P_3 . Consistently, XRD patterns in Figure 5.3 (A) (B) shows the nanoparticles exhibit a Sn_4P_3 phase (JCPDS No. 73-1820) without impurity peak, indicating that SnO_2 nanocrystals are fully phosphated.^{212, 271}

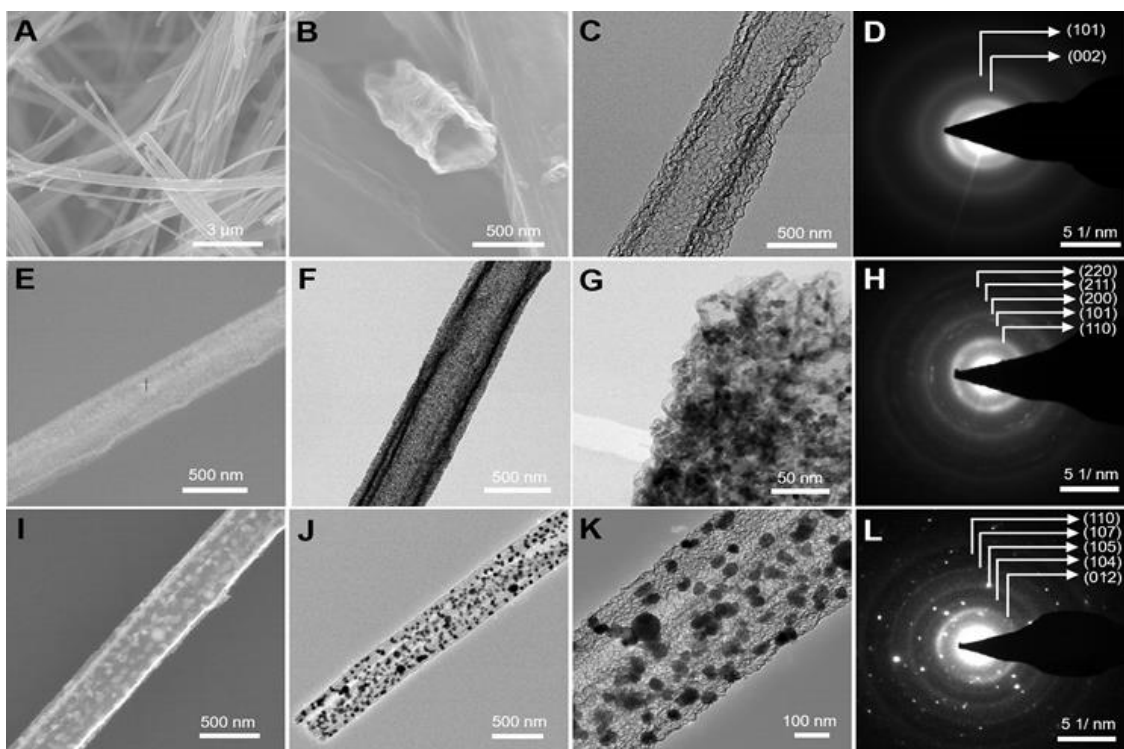


Figure 5.2 Morphology and structure of GT, SnO₂/GT and Sn₄P₃/GT. (A) (B) SEM images, (C) TEM image, and (D) SAED of graphene tubes (GT); (e) SEM image, (F, G) TEM images, and (H) SAED of SnO₂/GT composite; (I) SEM image, (J, K) TEM images, and (L) SAED of Sn₄P₃/GT composite.

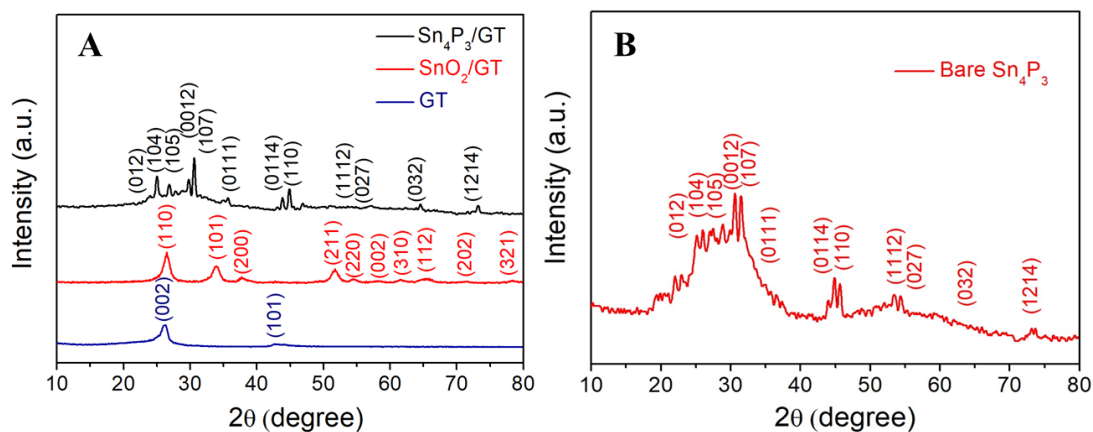


Figure 5.3 (A) XRD patterns of GT, SnO₂/GT and Sn₄P₃/GT; (B) XRD pattern of bare Sn₄P₃.

Raman spectra of GT and Sn₄P₃/GT are shown in Figure 5.4 (A), where the two peaks observed at 1331 cm⁻¹ (D-band) and 1576 cm⁻¹ (G-band) in both curves are identified as

disordered graphitic layers and vibration of sp²-bonded carbon atoms (graphitized carbon), respectively.^{168, 241} The higher relative intensity of G-band over D-band signifies the high graphitization degree and electrically conductive nature of GT. As shown in Figure 5.4 (B), the mass ratio of Sn₄P₃ in Sn₄P₃/GT was measured by thermal gravimetric analysis (TGA) in air. The weight loss below 100 °C is attributed to the removal of moisture in the composite. The weight change from 200 to 600 °C is ascribed to the oxidation of Sn₄P₃ and GT. According to the final weight of SnO₂ in the TGA cure, the content of Sn₄P₃ in Sn₄P₃/GT is calculated to be 74 wt%.

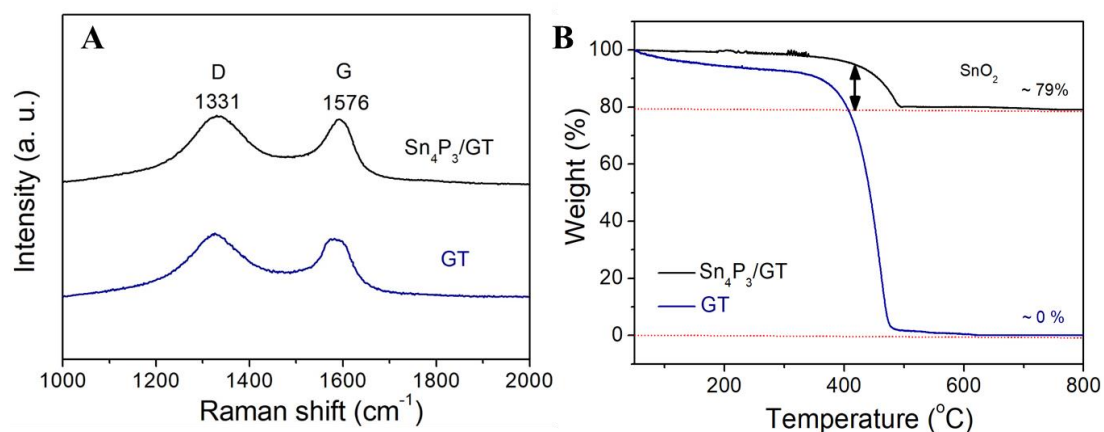


Figure 5.4 (A) Raman spectra of GT and Sn₄P₃/GT; (B) TGA results of GT and Sn₄P₃/GT.

5.3.2 Electrochemical performance

Figure 5.5 (A) shows typical charge/discharge voltage profiles of a Sn₄P₃/GT electrode in 0.01-2 V (vs. Na/Na⁺) under a current density of 0.5 A g⁻¹, which indicates an initial discharge and charge capacities of 1002 and 722 mA h g⁻¹, respectively, with an initial Coulombic efficiency of 72.2%. The irreversible capacity observed during the initial charge/discharge cycle is mainly attributed to the formation of SEI film;^{274, 325} Though the present value outperforms initial Coulombic efficiency of most high-capacity alloying and conversion-type

materials (below 70%), higher value beyond 90% is required for practical application. Optimization of electrolyte and SEI compositions could be further explored to diminish the initial irreversible loss.³²⁶ After 500 cycles, the electrode still provides a stable capacity of 713 mA h g⁻¹. In addition, the charge/discharge voltage profiles are overlapped after the initial cycle, indicating an excellent cycling stability. Figure 5.5 (B) further investigates the cycling stability of the Sn₄P₃/GT electrode using a current density of 0.5 A g⁻¹, which provides a specific capacity of 713 mA h g⁻¹ after 500 cycles, a value that is eight times higher than that of unconfined Sn₄P₃ nanoparticles (85 mA h g⁻¹). Meanwhile, the specific capacity of Sn₄P₃/GT electrode slightly increases with cycling, which may be attributed to an activation process. The Sn₄P₃/GT electrode shows a high Coulombic efficiency (> 99%) after the initial several cycles, demonstrating excellent reversibility. Compared to bare Sn₄P₃ nanoparticles, Sn₄P₃/GT exhibits a much higher reversible capacity and significantly improved cycling stability, which can be attributed to the capability of GT that buffer the volume change of and retain the electrical contact of Sn₄P₃ particles during cycling.

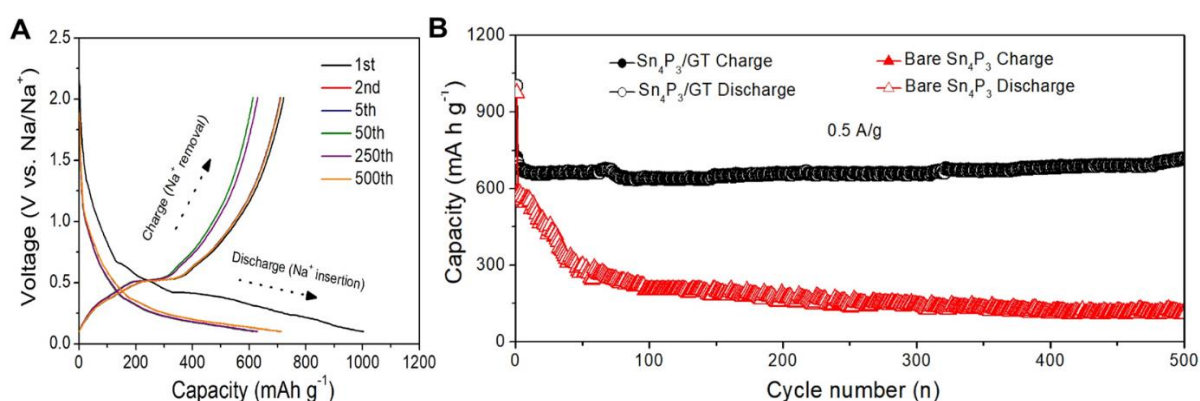


Figure 5.5 (A) Galvanostatic charge-discharge profiles of Sn₄P₃/GT for the 1st, 2nd, 5th, 50th, 250th and 500th cycles (0.01-2 V vs. Na/Na⁺, 0.5 A g⁻¹); (B) Cycling performances (charge/discharge) of the Sn₄P₃/GT electrode and bare Sn₄P₃ electrode at a current density of 0.5 A g⁻¹ for 500 cycles.

The rate capability of Sn₄P₃/GT electrodes was evaluated at various charge-discharge current densities, as shown in Figure 5.6 (A). With increasing current density, the Sn₄P₃/GT electrode displays capacities of 821, 722, 636, 547, 435 and 386 mA h g⁻¹ at the current densities of 0.2, 0.5, 1, 2, 5, and 10 A g⁻¹, respectively. Remarkably, even at a high current density of 20 A g⁻¹, the electrode still provides a stable capacity of 326 mA h g⁻¹, indicating that confining the nano-sized Sn₄P₃ particles within highly conductive graphene tube networks does enable effective charge transport and electrochemical reactions. Upon reducing the current density to an initial value of 0.5 A g⁻¹, the electrode provides a specific capacity of 808 mA h g⁻¹, which is near completely recovered. Note that the capacity of Sn₄P₃/GT may be contributed by both the Sn₄P₃ nanoparticles and graphene tubes. Further study in Figure 5.6 (B) shows that a GT electrode displays a capacity of 362, 332, 310, 271, 218, 139 and 42 mA h g⁻¹ at the current density of 0.2, 0.5, 1, 2, 5, 10 and 20 A g⁻¹ under same voltage window, respectively.

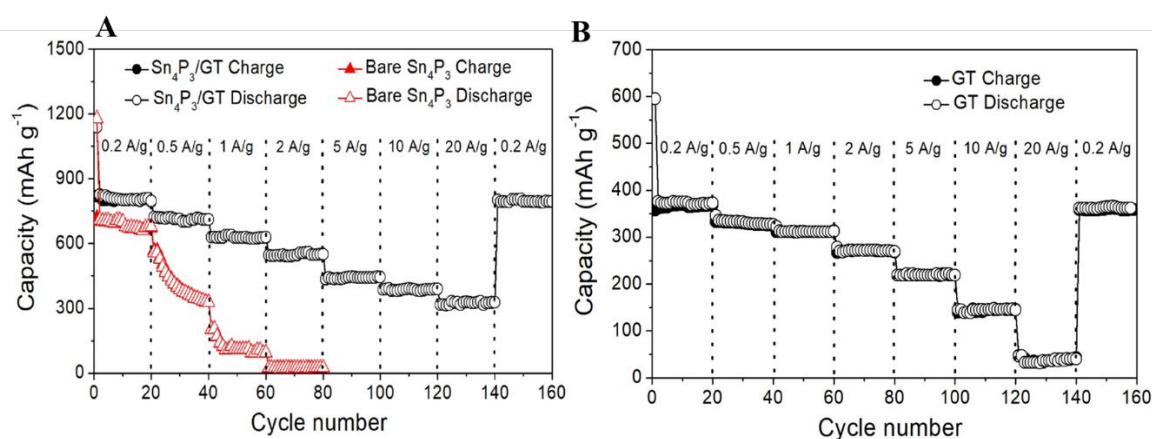


Figure 5.6 (A) Rate capability of Sn₄P₃/GT electrode and bare Sn₄P₃ electrode evaluated under various charge/discharge current densities; (B) Rate capability of GT electrode under different charge/discharge current densities.

To further study the performances of bare Sn₄P₃ and Sn₄P₃/GT electrode, electrochemical impedance spectroscopy (EIS) was employed in the frequency range of 0.01 Hz-10 MHz with

a perturbation amplitude of 10 mV. Figure 5.7 shows a representative Nyquist plot of a $\text{Sn}_4\text{P}_3/\text{GT}$ electrode charged to 2.0 V. Comparable to bare Sn_4P_3 electrode, $\text{Sn}_4\text{P}_3/\text{GT}$ electrode shows a smaller diameter of semicircle, indicating a lower interfacial resistance, which should be associated with a lower charge transfer and SEI passivation film resistance.³²⁷ The inset of Figure 5.7 plots the real part of $\omega^{-1/2}$ vs the impedance spectra (Z_{re}) in the low-frequency zone. The diameter of semicircle in Figure 5.7 represents the charge-transfer resistance. It is obvious that the diameter of semicircle of $\text{Sn}_4\text{P}_3/\text{GT}$ electrode is much smaller than bare Sn_4P_3 electrode, which suggests that $\text{Sn}_4\text{P}_3/\text{GT}$ electrode has higher ion-diffusion rate and better kinetics during the sodiation/desodiation process.³²⁸

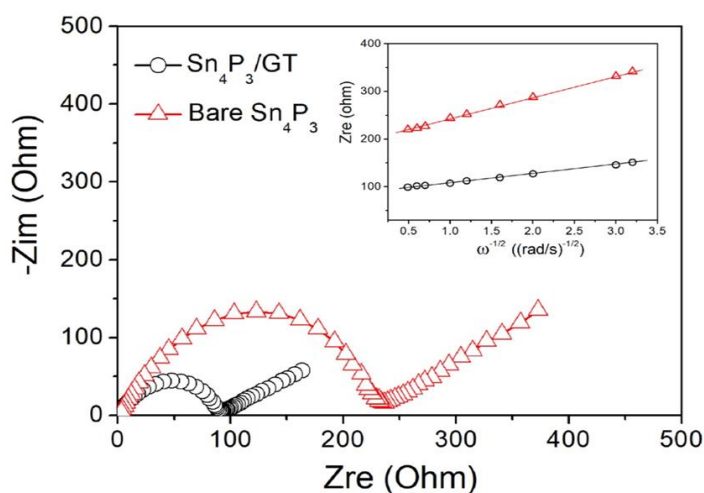


Figure 5.7 Nyquist plot of $\text{Sn}_4\text{P}_3/\text{GT}$ electrode and bare Sn_4P_3 electrodes. Inset shows the relationship between the real part of the impedance spectra (Z_{re}) and $\omega^{-1/2}$ (where ω is the angular frequency in the low-frequency region, $\omega=2\pi f$) in the low-frequency region.

Figure 5.8 further plots active-material utilization versus charge-discharge current density of the $\text{Sn}_4\text{P}_3/\text{GT}$ and bare Sn_4P_3 electrodes. The utilizations are estimated by normalizing the specific capacity of the electrodes at different charge-discharge current densities (the slopes of the lines) vs. the specific capacity at 0.2 A g^{-1} . As shown, the active-material utilization

decreases with increasing charge-discharge current density, which is 88%, 77% and 67% for the Sn₄P₃/GT electrode and 79%, 28% and 3% for the bare Sn₄P₃ electrode at 0.5 A g⁻¹, 1 A g⁻¹ and 2 A g⁻¹, respectively. To further assess the performance of the Sn₄P₃/GT electrodes, a comparison between the Sn₄P₃/GT electrode and other Sn₄P₃-based electrodes is also provided in Table 5.1. The significant improvement of active-material utilization in Sn₄P₃/GT electrodes, particularly at high current density, is consistent with the improved electrical conductivity of the composite electrode.

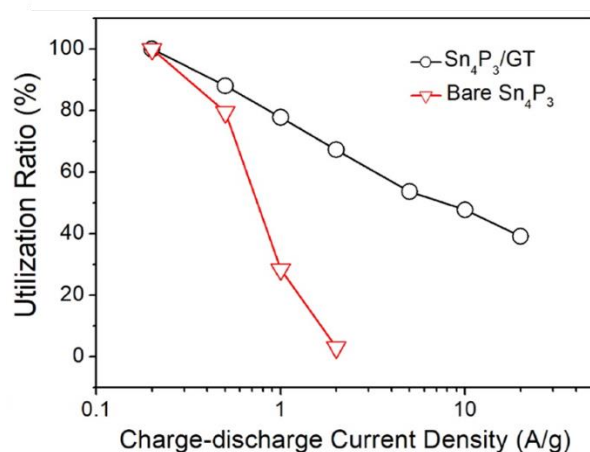


Figure 5.8 Utilization of the active material of the Sn₄P₃/GT electrode and bare Sn₄P₃ electrode at different charge-discharge current densities. The capacities shown are based on the total mass of the composite.

Materials	Current density (A g ⁻¹)	Capacity (mA h g ⁻¹)	Current density (A g ⁻¹)	Capacity (mA h g ⁻¹)	Cycles	Capacity retention	Ref
Sn ₄ P ₃ /C nanoparticle	0.05	850	1	349	120	86 %	³⁰²
Sn ₄ P ₃ @CNT/C	0.2	742	2	449	500	70%	²²¹
“Sn ₄ P ₃ ”/NHC	0.05	550	1	400	500	83%	³¹²
Sn ₄ P ₃ /C powder	0.1	780	10	90	100	43 %	³¹³
Sn ₄ P ₃ /SbSn	0.05	712	1	388	100	74 %	³¹⁵
Sn ₄ P ₃ nanoparticle	0.1	612	1	167	320	71 %	³¹⁶
Sn ₅ SbP ₃ /C	0.1	602	2	351	200	65 %	³¹⁷

composite							
Sn ₄ P ₃ @HC	0.1	430	1	312	400	88%	318
Sn ₄ P ₃ @C sphere	0.05	812	0.1	700	120	76 %	319
Sn ₄ P ₃ nanotop	0.05	749	1	339	50	82 %	320
Sn ₄ P ₃ @C nanosphere	0.2	720	3	421	150	86 %	222
Sn ₄ P ₃ @C nanocube	0.1	701	2	508	500	55 %	323
Sn₄P₃/GT	0.2	821	20	326	500	92 %	Our work

Table 5.1 A comparison of the electrochemical performance representative Sn₄P₃ based anodes.

To further explore the underlying electrochemical process, structure evolution of Sn₄P₃/GT during discharging and charging was monitored by *ex situ* XRD. Figure 5.9 (A) and Figure 5.9 (B) shows the charging-discharging curves and XRD patterns at different states, respectively. The electrode shows a XRD pattern of Sn₄P₃ prior to reaction with sodium ions (*a*). When the electrode is discharged at 0.5 V (*b*), the characteristic peaks of Sn and Na₃P appears while the intensity of the Sn₄P₃ peaks decreases, indicating the conversion of Sn₄P₃ to Sn and Na₃P as described in Equation (1).³²⁸ Upon discharging to 0.01 V (*c*), XRD suggests the presence of Na₃P and Na₁₅Sn₄, as well as vanishing of Sn₄P₃, as described in Equation (2). Up charging the electrode to 0.5 V (*d*), the electrode shows the characteristic peaks of Sn and Na₃P, corresponding to Equation (3). Further charging the electrode to 2.0 V (*e*), XRD shows intense Sn and less intense P peaks, corresponding Equation (4). The *ex situ* XRD results of Sn₄P₃/GT provide a possible explanation for mechanisms during the electrochemical process.

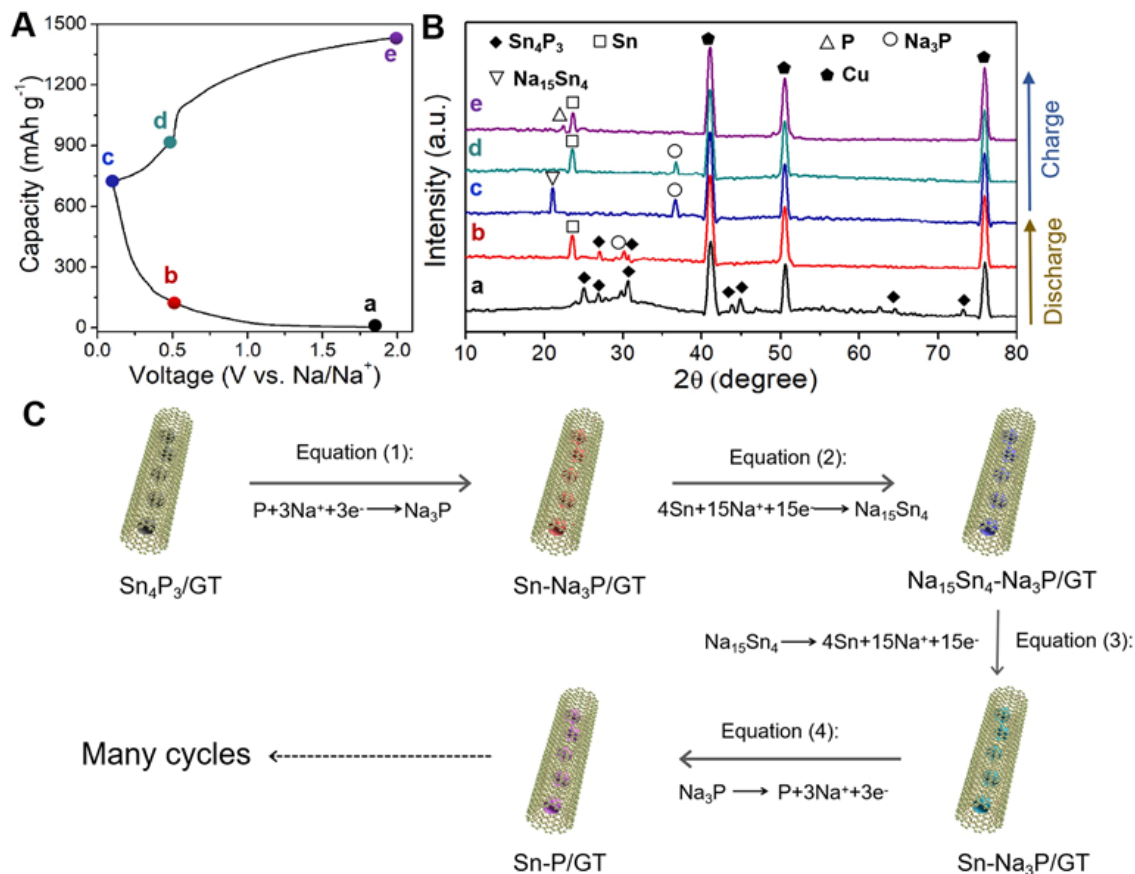


Figure 5.9 Ex situ by XRD characterization during a sodiation and desodiation cycle. (A) Specific capacities and (B) *ex situ* XRD patterns of Sn₄P₃/GT anode in discharge and charge processes of NIBs; (C) Schematic of the mechanism of the sodiation and desodiation in Sn₄P₃/GT anode.

The cycling stability of Sn₄P₃/GT electrodes was further examined using a high current density. Figure 5.10 (A) shows the sodium storage performance of the Sn₄P₃/GT electrode at 5 A g⁻¹, displaying a reversible capacity of 437 mA h g⁻¹ and a capacity retention of ~ 92% from the 2nd cycle to 500th cycle. In contrast, bare Sn₄P₃ electrode in Figure 5.10 (E) exhibits rapid capacity fading after 50 cycles at 1 A g⁻¹. EIS measurement of the Sn₄P₃/GT electrode was also tested after the 1st, 5th, 50th, 250th and 500th cycles in Figure 5.10 (B). No obvious increase in resistance of Sn₄P₃/GT electrode was observed after the cycling, which indicates an excellent stability of the electrode. For further confirmation, TEM study was conducted for Sn₄P₃/GT

electrode cycled for 500 cycles in Figure 5.10 (C) (D), and it was found that the morphology and structural integrity of the $\text{Sn}_4\text{P}_3/\text{GT}$ composite are well retained. Note that pulverization of the Sn_4P_3 nanoparticles may still occur to some extent during the cycling, resulting in the formation of nanoparticles with smaller size within the graphene tubes. Nevertheless, since such nanoparticles are still fully encapsulated inside the conductive graphene matrix, their electric contact with GT is well retained leading to the long cycling stability.

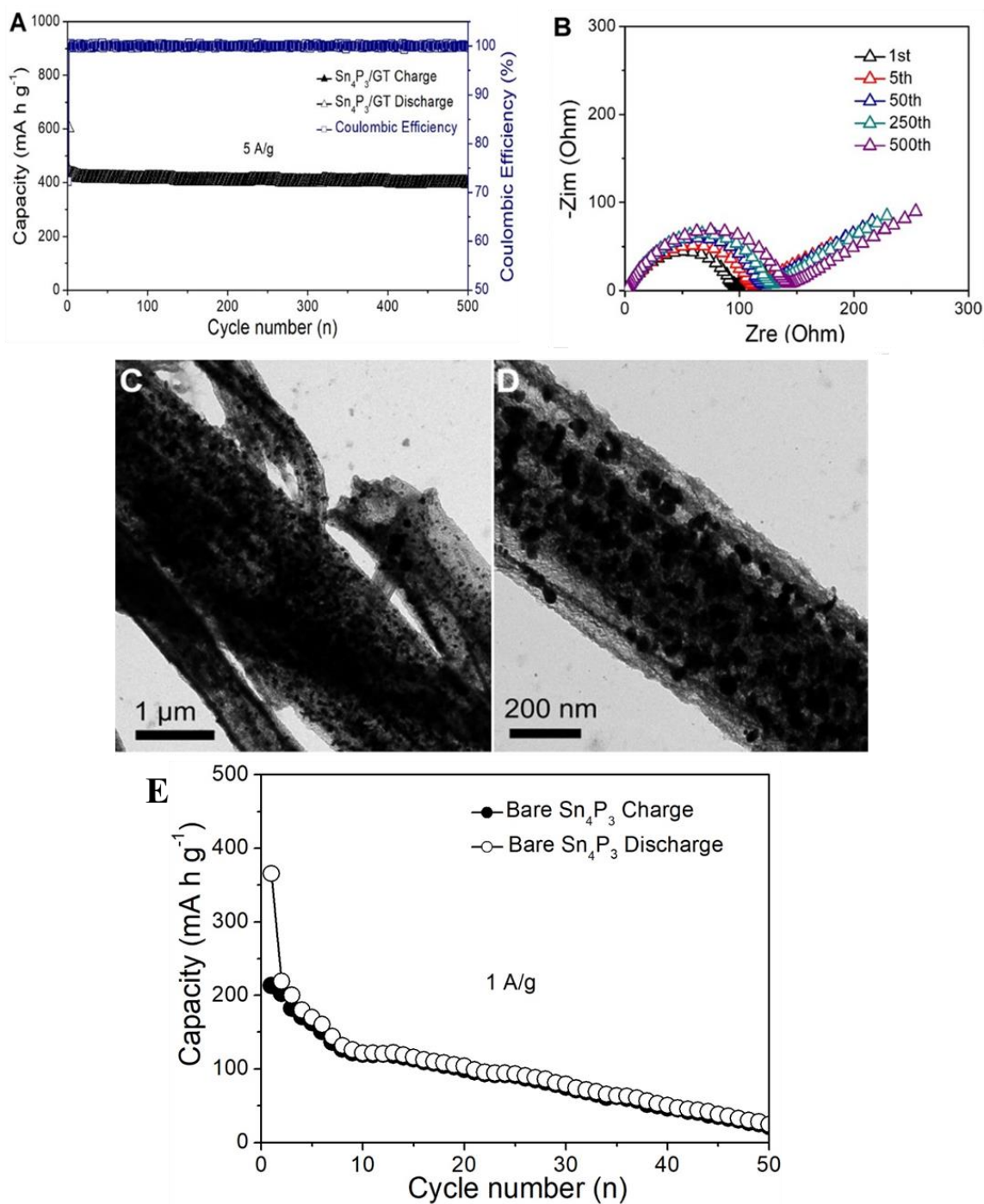


Figure 5.10 The cycling stability of the Sn₄P₃/GT electrodes. (A) Cycling stability of the Sn₄P₃/GT electrode at current density of 5 A g⁻¹ for 500 cycles in the 0.01-2.0 V window (vs. Na/Na⁺); (B) Nyquist plots of the Sn₄P₃/GT electrode after the 1st, 5th, 50th, 250th, and 500th cycles at a charging and discharging current density of 5 A g⁻¹; (C) (D) TEM images of Sn₄P₃/GT electrode after cycling for 500 cycles; (e) Cycling performance of the bare Sn₄P₃ electrode at 1 A g⁻¹ for 50 cycles.

As shown in Table 5.1 and Figure 5.11, the electrochemical properties of Sn₄P₃/GT

electrodes are not only much better than that of bare Sn_4P_3 electrodes, but also show competitive characteristics over reported Sn_4P_3 -based electrode materials. Compared to other Sn_4P_3 -based electrodes, our $\text{Sn}_4\text{P}_3/\text{GT}$ electrode has the capacity of 821 mA h g^{-1} at 0.2 A g^{-1} which is 14 % higher than the capacities of other electrodes under the same current density. Besides, the capacity of $\text{Sn}_4\text{P}_3/\text{GT}$ electrode can maintain at 326 mA h g^{-1} at 20 A g^{-1} while the other Sn_4P_3 -based electrodes can only reach similar capacities at 1 to 2 A g^{-1} . The capacity retention of $\text{Sn}_4\text{P}_3/\text{GT}$ electrode is also much higher than the other Sn_4P_3 -based electrodes, which is 92 % after 500 cycles. The extraordinary electrochemical performances of $\text{Sn}_4\text{P}_3/\text{GT}$ electrode may be attributed to the unique architecture alleviating volume change of Sn_4P_3 nanoparticles using graphene tubes during the charging and discharging process. Meanwhile, multipoint physical contacts between the graphene tubes and Sn_4P_3 nanoparticles ensure their electrical conduction with accelerated kinetics of sodiation and desodiation.

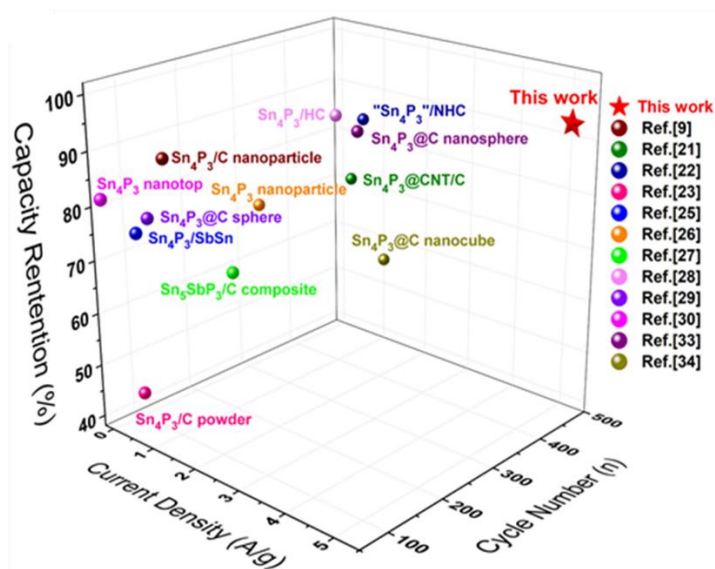


Figure 5.11 A comparison of the electrochemical performance metrics of $\text{Sn}_4\text{P}_3/\text{GT}$ electrode with representative Sn_4P_3 based anodes reported.

5.4 Conclusion

In conclusion, we have successfully developed anodes based on Sn_4P_3 encapsulated within amphiphilic GT, which afford robust mechanical strength, effective charge transport, and ability to accommodate large volume change of Sn_4P_3 during cycling. Such anodes provide high capacity, rate performance and cycling durability. Such a strategy can be readily extended to other conversion- and alloying-type materials that are suffered from a dramatic volume change during electrochemical reactions.

Chapter 6 Conclusion of dissertation

In this dissertation, we have developed three different types of graphene-alloy composites to address current challenges for alloy anodes in lithium-ion and sodium-ion batteries, as well as broaden the avenues for the structural design of high energy densities anode materials in electrochemical energy storage system.

First, we tried to synthesize a hierarchical flower-like graphene tube/Sn (Sn/FGT) composite to apply as the anode for lithium-ion battery. This hierarchical flower-like tube structure enables fast charge transfer with shortened diffusion pathways, and functions as a buffer for the drastic volume change of Sn nanoparticles with its porous surface. The Sn/FGT anode can deliver a high reversible capacity of 742 mA h g^{-1} , excellent rate capability (211 mA h g^{-1} at 8 A g^{-1} with 99% capacity retention when the current density is back to 0.2 A g^{-1}).

Second, we designed a graphene tube-Sn composite (Sn/DGT), where Sn nanoparticles can be confined within the biphilic graphene tubes. Despite the severe volume expansion caused by Sn nanoparticles, the Sn/DGT anode can exhibit a high reversible capacity of 918 mA h g^{-1} , long cycling stability (>95% reversible capacity retention after 500 cycles) and excellent rate performance (402 mA h g^{-1} at current density 20 A g^{-1}). The small size of Sn nanoparticles shortens their ion-diffusion pathways and alleviates the mechanical stress during the alloying/dealloying process, while the robust graphene tubes provide buffer for the drastic volume change and fabricate a highly conductive network to retain the electrical contacts with active materials during the cycling.

Last but not least, we fabricated a high-performance sodium-ion anode through confined growth of Sn_4P_3 nanoparticles within amphiphilic graphene tubes, which provides mechanical

robustness, ability to confine Sn_4P_3 particles with the highly conductive frameworks, and the capability to accommodate the volume change of the particles during cycling. This unique structure endows sodium-ion anode with high reversible capacity (821 mA h g^{-1}), excellent rate capability (326 mA h g^{-1} at 20 A g^{-1}) and cycling stability ($>90\%$ reversible capacity retention after 500 cycles).

We hope the work of this dissertation could provide possible solutions to tackle with current issues from alloy-based anodes in lithium-ion and sodium-ion batteries, and broaden the structural design of composite materials in energy storage. The confined growth of tin or tin alloy particles within graphene scaffolds can provide highly conductive networks to retain the electrical contacts with active materials to enable prolonged cycling life, and facilitate the charge transport to improve the rate performance of the anodes. Tin and tin alloy particles with high volumetric capacities can afford the anodes with high volumetric energy densities for lithium and sodium storage.

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