UCLA UCLA Previously Published Works

Title

Antibiotic stewardship implementation at hospitals without on-site infectious disease specialists: A qualitative study

Permalink https://escholarship.org/uc/item/29t30386

Journal Infection Control and Hospital Epidemiology, 43(5)

ISSN 0899-823X

Authors

Livorsi, Daniel J Steffensmeier, Kenda R Stewart Perencevich, Eli N <u>et al.</u>

Publication Date 2022-05-01

DOI 10.1017/ice.2021.203

Supplemental Material

https://escholarship.org/uc/item/29t30386#supplemental

Peer reviewed

1 Antibiotic stewardship implementation at hospitals without on-site

2 Infectious Disease specialists: a qualitative study

- Daniel J. Livorsi, MD, MSc^{1,2}; Kenda R. Stewart Steffensmeier, PhD¹; Eli N 3
- Perencevich, MD, MS^{1,2}; Matthew Bidwell Goetz, MD^{3,4}; Heather Schacht Reisinger, 4
- 5 PhD^{1,2}
- 6 1. Center for Access & Delivery Research & Evaluation (CADRE), Iowa City 7
 - Veterans Affairs Health Care System, Iowa City, IA, USA
 - 2. Department of Internal Medicine, University of Iowa Carver College of Medicine, Iowa City, IA, USA
- 3. VA Greater Los Angeles Healthcare System, Los Angeles, CA 10
- 4. David Geffen School of Medicine at the University of California in Los Angeles 11
- 12

8

9

- 13 Running title: Stewardship without Infectious Disease specialists
- 14
- 15 **Keywords:** antibiotic stewardship, community hospitals, gualitative study
- 16

17 **Corresponding author:**

- 18 Daniel Livorsi, MD, MSc
- Address: 200 Hawkins Dr., Iowa City, IA 52242 19
- 20 Email: daniel-livorsi@uiowa.edu
- 21 Tel: 319-353-1617
- 22 Fax: 319-356-4600
- 23
- 24 **Abstract:** 241 (limit: 250)
- 25
- 26 Word count: 3,207 (limit: 3,000)

27

1 Abstract

2 **Introduction:** Hospitals are required to have antibiotic stewardship programs

3 (ASPs), but there are few models for implementing ASPs without the support of an
4 Infectious Disease (ID) specialist, defined as an ID physician and/or ID pharmacist.
5 In this study, we sought to understand ASP implementation at hospitals that lack

6 on-site ID support within the Veterans Health Administration (VHA).

7 Methods: Using a mandatory VHA survey, we identified acute-care hospitals that

8 lacked an on-site ID specialist. We conducted semi-structured interviews with

9 personnel involved in ASP activities.

10 **Setting:** 7 VHA hospitals

11 **Participants:** A total of 42 hospital personnel were enrolled in the study.

12 **Results:** The primary responsibility for ASPs fell on the pharmacist champions, who

13 were typically assigned multiple other non-ASP responsibilities. The pharmacist

14 champions were more successful at gaining buy-in when they had established

15 rapport with clinicians, but at some sites, the use of contract physicians and

16 frequent staff turnover were potential barriers. Some sites felt that having access to

17 an off-site ID specialist was important for overcoming institutional barriers and

18 improving the acceptance of their stewardship recommendations. In general,

19 stewardship champions struggled to mobilize institutional resources, which made it

20 difficult to advance their programmatic goals.

21 **Conclusion:** In this study of 7 hospitals without local ID support, we found that

22 ASPs are largely a pharmacy-driven process. Remote ID support, if available, was

23 seen as helpful for implementing stewardship interventions. These findings may

24 inform the future implementation of ASPs in settings lacking local ID expertise.

25

1	
Т	
_	

2

3 Introduction

4 Antibiotic stewardship programs (ASPs) improve antibiotic prescribing and, in 5 turn, reduce antibiotic resistance.¹ Although a variety of resources are needed to 6 implement hospital-based ASPs, the support of Infectious Disease (ID) pharmacists and physicians seems to be particularly important.¹ The involvement of an on-site 7 8 ID pharmacist and/or physician was a common element in several randomizedcontrolled trials that demonstrated the effectiveness of antibiotic stewardship.²⁻⁶ In 9 10 addition, a cluster-randomized trial found that only community hospitals with the 11 highest level of off-site ID support were able to achieve reductions in total antibiotic 12 use.⁷ Finally, observational data from the Veterans Health Administration (VHA) 13 demonstrated that patients at hospitals with on-site ID support received antibiotics 14 in a way more consistent with stewardship principles than patients at hospitals 15 without such support.⁸

Despite its benefits, many hospitals do not have access to ID expertise. Prior surveys have found that 41-50% of US community hospitals lack an ID physician while 93% lack an ID-trained pharmacist.^{9, 10} Across the 130 hospitals within the VHA, 21% lacked an inpatient ID consultation service.¹¹ In a 2016 survey of 244 US hospitals, the lack of ID or stewardship expertise was a common barrier to implementing prospective audit-and-feedback, which is one of the core stewardship strategies.¹²

It is unclear how hospitals without local ID support are implementing ASPs
and whether these approaches are effective. In this VHA study, we sought to

understand how antimicrobial stewardship programs had been developed and
 maintained at VHA hospitals that lack on-site ID support.

3 Methods

4 <u>Ethics</u>

5 The Institutional Review Board (IRB) of the University of Iowa and Iowa City 6 Veterans Health Care System approved this study. A waiver for written informed 7 consent was granted.

Site selection: This study was conducted within the national VHA system, which
includes 130 acute-care hospitals. In 2014, the VHA mandated that all of its facilities
develop and maintain an ASP.^{13, 14} A key part of this directive was that each hospital
was required to identify an antibiotic stewardship pharmacist and provider
champion. Champions were expected to co-lead the ASP. In facilities without an ID
physician, the provider champion could be a hospitalist, primary care provider, or
advanced practice provider.

15 For this study, hospitals were eligible for inclusion if they had acute-care beds 16 and lacked an on-site ID specialist. An ID specialist was defined as a pharmacist or 17 physician who had completed a formal post-graduate residency or fellowship 18 training program in ID. We identified eligible hospitals by using data from a 19 mandatory antibiotic stewardship survey, which had been administered by the VHA 20 between 12/30/2015 and 1/15/2016 and was completed by a hospital staff member 21 who was knowledgeable about local antibiotic stewardship activities. We have 22 previously described the survey questions that informed whether we deemed a 23 hospital to have an ID specialist.⁸

Once an eligible site was identified from the survey data, we used internal VHA resources to identify the designated antibiotic stewardship pharmacist and provider champion at each site. We contacted these champions by e-mail during March-April 2019 to confirm that the hospital still lacked an on-site ID specialist and
 to gauge their interest in participating in the study.

Of the 18 sites that met our inclusion criteria, five had hired an ID specialist
since the survey was conducted and no longer qualified for the study. An additional
six sites refused to participate. Seven sites were eligible and willing to participate.
<u>Site visits:</u>

Four site visits were conducted in-person and, due to the COVID-19
pandemic, two visits were completed virtually. At the seventh site, only the ASP
pharmacist champion agreed to be interviewed, so we chose to conduct a telephone
interview rather than travel to the site. Data were collected between July 2019-April
2020.

12 During the on-site and virtual visits, we attempted to interview all members 13 of the ASP team, inpatient physicians who frequently interacted with the ASP team, and members of the hospital's leadership. A medical anthropologist (KSS) and an 14 15 infectious disease specialist with qualitative training (DJL) conducted all interviews. 16 Interviewers followed a semi-structured interview guide (Appendices 1-3) 17 with questions focused on the feasibility, appropriateness and acceptability of implementing different antibiotic stewardship strategies.¹⁵ Interviews were 18 19 recorded, transcribed, and reviewed for accuracy, except at the seventh site where 20 only notes were taken per the lone participant's request.

21 Data Analysis: We uploaded transcripts into MAXQDA, a management software 22 program for qualitative data (VERBI Software, Berlin, Germany). We analyzed the 23 data using thematic analysis; the codebook was developed based on the interview 24 guide (deductive) as well as interview responses and field notes (inductive).¹⁶ The 25 analytic team (DJL and KSS) read 8 transcripts and shared their general impressions. Next, the analytic team independently coded transcripts, coding every
 third transcript in common. The team met weekly to review coded transcripts,
 discuss discrepancies, and reach consensus. After coding was complete, the team
 reviewed how each code intersected with the code for "barriers" or the code for
 "facilitators." After reviewing the groups of coded segments separately, the two
 analysts shared their findings and identified common themes.

7 Results

8 Table 1 shows characteristics of the 13 hospitals that lacked an on-site ID 9 specialist, including the 7 hospitals we visited and the 6 that refused to participate. 10 At the sites we visited, a common sentiment was that the hospital was too small to 11 justify an on-site ID specialist. Across all 13 sites, 7 (54%) were rural. The 12 professional roles of all 42 interviewees are shown in Table 2.

13 All 7 sites had a designated pharmacist champion, and 6 (85.7%) sites had 14 named an ASP provider champion. At least one ASP pharmacist champion per site 15 had completed an antibiotic stewardship certification course (i.e. a course put on by 16 either the Society of ID Pharmacists or Making a Difference in ID). At six sites, the 17 primary responsibility of the ASP pharmacist champions was to provide clinical 18 pharmacy services to a specific area of the hospitals, such as acute-care (n=3), the 19 Community Living Center, or CLC (n=2) or the Emergency Department (ED, n=1). 20 The primary role of the provider champions was as follows: hospitalist physician 21 (n=2), hospital administrator (n=2), hospitalist advanced practice provider (n=1), 22 and geriatrician (n=1).

All sites prepared annual antibiograms while using education and some form of prospective audit-and-feedback to improve inpatient antibiotic-prescribing. Five sites reported having inpatient antibiotic-prescribing orders sets. Several sites were also working to improve outpatient antibiotic use, largely through education and
 retrospective audit-and-review.

Although sites reported using similar interventions to promote antibiotic
stewardship, the shape of those interventions varied. The following 4 themes
illustrate the ways in which hospitals without on-site ID support had implemented
ASPs and the barriers they encountered (Table 3).

7 Theme 1. ASP pharmacist champions wear many hats and fill many roles.
8 At 6 sites, being the ASP pharmacist champion was an added responsibility to
9 their primary pharmacist role. Only one site had an ASP pharmacist champion
10 whose only responsibility was antibiotic stewardship.

Most of the ASP pharmacist champions spoke positively about filling their many roles. At least one enjoyed having these diverse responsibilities: "One of the benefits of working at a small site is you wear lots of hats... It's a good professional challenge for me" (site 7). Several others expressed having a passion for stewardship, sometimes even remembering the exact moment they agreed to take on the job. According to one champion, "Not many pharmacists like bugs and drugs, [but] for some reason that's always been one of my passions" (site 3).

18 Despite having several other responsibilities, the ASP pharmacist champion 19 was consistently recognized as the daily leader of the program, while only a few 20 sites had an engaged on-site ASP provider champion. At one site, the ASP pharmacist champion had been in place since the program's inception but there 21 22 had been 3 different provider champions during that same time. She complained, 23 "Stewardship is seen as a pharmacy thing and not an everybody thing" (site 5). 24 Theme 2. Achieving stewardship buy-in takes time and is influenced by 25 patterns of clinician staffing.

ASP pharmacist champions faced several challenges when trying to provide antibiotic recommendations to clinicians. Pharmacists recognized the importance of bedside patient care to informing antibiotic decisions, and they acknowledged the legal responsibility of the clinicians to manage patient care. Additionally, one ASP pharmacist champion said, "I have to build rapport with them to get them to listen to me but I have no recourse if they don't....I make a lot of suggestions and hope a couple of them stick" (site 4).

8 To help develop rapport with clinicians, pharmacists spoke of the importance 9 of having face-to-face conversations, providing evidence to support stewardship 10 recommendations, and being persistent. The importance of taking a collaborative 11 approach to stewardship was also well-recognized. As one ASP pharmacist 12 champion said, "I want it to be a positive approach, and I don't want it to be 13 condescending in any way to anybody that I talk to about it [antibiotic 14 stewardship]" (site 6).

15 Pharmacists who worked with clinicians on a range of clinical issues felt like 16 these working relationships helped to build trust and specifically improved the 17 physicians' acceptance of their antibiotic recommendations. One ASP pharmacist 18 champion whose primary role was in the ED explained, "ED physicians are very 19 open to help especially when it comes to medications, because they have so much 20 going on at the same time. If I can say, 'Hey, yeah, I can put in this medication,' or I 21 just tell them what to use, what dose, they really just love that support. So I think 22 just kind of being down there [helps]" (site 3).

In some situations, working at a smaller hospital facilitated good rapport,
especially when a consistent group of physicians was staffing the facility. According
to one ASP pharmacist champion, "That's the nice thing with it being a smaller

facility. I'm not talking to 20 physicians...I'm talking really to five....as far as the
 hospitalists and CLC go, I'm talking to five providers for the most part" (site 4).

3 ASP pharmacist champions struggled to establish rapport with clinicians when a hospital relied heavily on contract physicians. One ASP pharmacist champion 4 5 explained, "In the last year, we've had so many locums that it's hard to track 6 anything they do. There's so many, a different person every week. We just got back 7 in the last month to our standard [hospitalists], who have both been the hospitalists 8 here for guite some time...and so it's been nice to have a little bit of normalcy 9 again" (site 6). Other sites struggled with the frequent turnover of outpatient 10 providers and hospital leadership.

Theme 3. Having access to an off-site ID specialist improved the local acceptance of stewardship efforts.

13 While all sites had access to ID physician electronic consultation, four sites 14 had access to an off-site ID specialist who assisted with at least some local ASP 15 activities (sites 1, 2, 3, and 7). These remote ID specialists helped give credibility to 16 both the ASP pharmacists' recommendations and to any stewardship initiatives the 17 ASP team was trying to move forward. According to the ASP pharmacist champion 18 at site 2, who was discussing prospective audit-and-feedback, "I've found that 19 having the support of an Infectious Diseases physician really makes a huge 20 difference in terms of acceptance of the recommendations, particularly if it's to stop 21 antibiotic therapy. So maximizing access to that, I think, is something that we 22 need." An off-site ID specialist could also help overcome institutional barriers to 23 putting new protocols or order sets into place. At site 5, the ASP pharmacist 24 champion would "love" to have ID physician support for stewardship but 25 acknowledged that, given the size of the hospital, it would be "hard to justify."

1 The stewardship champions at two other sites (sites 4 and 6) did not perceive 2 lack of ID support as a barrier to stewardship efforts. At site 4, the ASP pharmacist 3 champion found it less helpful to consult with ID pharmacists at other sites as his facility was "very different" with a "completely different patient demographic." 4 5 However, a hospitalist at site 4 felt that ID consultation would have facilitated the 6 application stewardship principles: "At least from my standpoint, I can say 'I 7 consulted with Infectious Disease' and then pass the buck there but I can't say, 'Oh 8 I consulted with pharmacy' and then be totally left off the hook." At site 6, the ASP 9 provider and pharmacist champions had been working together for years. As the 10 lead hospitalist, the provider champion was able to garner institutional buy-in for 11 the ASP by demonstrating the overlap between excessive use of parenteral 12 antibiotics and the metrics the hospital leadership cared about, e.g. length of stay 13 and Clostridioides difficile infection.

14 For ASPs that were using remote ID specialists, the manner in which this 15 expertise was accessed varied. One hospital had an informal arrangement with an 16 off-site ID VHA physician to conduct prospective audit-and-feedback with the on-site 17 ASP pharmacist champion twice a week (site 2). At the time of our visit, this 18 arrangement was becoming more formalized due to the ID physician's need to 19 capture her workload credit. Two other hospitals sought support as needed from 20 either an ID-trained physician or ID pharmacist at another VHA site within their VISN 21 (Veterans Integrated Service Network). At these two sites, ID support was sought 22 either to provide feedback on current antimicrobial therapy in specific patients or to 23 provide input on new stewardship protocols that were under development. Finally, 24 there was one hospital where an off-site ID physician received 0.2 FTEs to provide remote ID support to the hospital's physicians, infection control and ASP. This off-25

1 site ID physician clearly added value, as one hospitalist explained: "I think that the [remote ID physician's] work with the lab has really improved the confidence in the 2 information that's received" (site 1). At the time of our visit, the ID physician had 3 4 recently retired and there was nobody to fill this void. The newly-appointed ASP 5 provider champion acknowledged that his lack of ID training made it difficult for him 6 to audit and provide feedback on the antibiotic-prescribing of his colleagues: "Since 7 I don't have any really additional training compared to my hospitalist colleagues, I 8 try to stay out of their cases and so it's not really appropriate for me to be trying to intervene on their management." 9

Theme 4. Access to institutional resources is an important driver of ASP success.

12 Most champions spoke of the importance and the difficulty of gaining 13 institutional buy-in for their ASP. Hospital personnel were not always receptive to 14 stewardship initiatives, and a common sentiment was that the ASP existed only to 15 meet regulatory requirements (e.g. the VHA directive and the joint Commission mandate). It was challenging for the ASP champions to get further guidance on how 16 17 their efforts could assist the facility. In the words of one ASP pharmacist champion, 18 "I would say our facility expects us to pass our new requirements. To have joint 19 Commission walk in and give us a thumbs up and walk out. That's what our 20 leadership wants" (site 7). An ASP provider champion at another site echoed this 21 frustration, "I've stood in front of the medical staff multiple times and asked for 22 their input on what they'd like to see from the [stewardship] program and what do 23 they think about this and that, and it's like crickets. Nobody says a word" (site 2). 24 Lack of funding and lack of Information Technology support further hampered 25 ASP efforts. However, funding a stewardship position was not a silver bullet, as

shown by the one hospital that had assigned a full FTE to the ASP pharmacy 1 2 champion: "I've got my FTE so I feel like a lot of the facility just thinks I'm just going to do it, but you know it's hard for me to actually enact things...especially when 3 4 we've had some turnover... I've had good advocates and then they leave" (site 4). 5 Some ASPs reported success engaging leadership to overcome specific 6 barriers. For example, at one hospital, there was a contract physician who wanted 7 to frequently prescribe ceftaroline. When the ASP pharmacist champion went to the 8 Chief of Medicine, she was able to gain support for changing this specific physician's 9 practice (site 5). At another hospital, the Chief of Staff authorized a peer review to 10 be performed on a specific hospitalist with consistent guideline-discordant 11 antibiotic-prescribing; once this was done, the hospitalist agreed to change his 12 practice (site 3).

13 Discussion

In this qualitative study of 7 hospitals without local ID support, we found that
ASPs are largely an underfunded pharmacy-driven process. For some programs,
having access to an off-site ID specialist was perceived to improve implementation
of stewardship interventions, but other ASPs did not feel that the lack of this
expertise was a barrier to their efforts.

In many ways, our findings at hospitals without on-site ID support are in line with prior qualitative work on ASPs at hospitals with ID support. At least one other US study of 46 hospitals reported that pharmacists were generally the leaders of day-to-day stewardship activities.¹⁷ Furthermore, like other studies, we found that ASP teams found that collaborative, non-judgmental approaches to stewardship were more effective and better received by clinicians.¹⁷⁻¹⁹ An organizational culture in which the full clinical team collaborates and is supportive of stewardship is also 1 essential.²⁰

2 Our qualitative study is exceptional in that it only included hospitals without local ID support. As described elsewhere, we found that personnel at these small, 3 4 often rural, hospitals typically fulfill multiple different roles and struggle with the 5 high turnover of clinical staff.^{21, 22} We also found that some hospitals valued regular 6 access to outside ID expertise for ASP support. As shown in our study, hospitals can access remote ID expertise through a variety of means, including part-time 7 contracts, their health system network, or telehealth.²³ While the Centers for 8 9 Disease Control and Prevention acknowledges a benefit to having ID specialists 10 involved in ASPs, they also recognize that non-ID personnel can be effective leaders 11 of stewardship efforts at smaller hospitals.²⁴ There are a small number of reports to support this recommendation.²⁵⁻²⁷ 12

The findings from our work have broader implications, as a large proportion of US hospitals lack an ID specialist but, based on regulatory requirements, are still expected to have an ASP. These non-ID hospitals, which tend to be small in size and rural in location, have been shown to have antibiotic use that is similar, if not higher, than hospitals with ID support.^{8, 28} Ultimately, these hospitals will need to tailor their antibiotic stewardship processes according to their local needs, resources and personnel.²⁹

Our study has a few limitations that should be acknowledged. First, we only visited sites that were willing to participate in our study, and our findings may therefore have been biased towards hospitals that had active ASPs willing to share their success or those who were especially struggling and viewed participation as a possible avenue for help. Second, it is unknown whether the experience of these VHA hospitals is generalizable to non-integrated healthcare systems.

1 In conclusion, our study has provided a unique perspective on ASP 2 implementation at 7 hospitals that lacked local ID support. While many sites valued 3 remote ID support for implementing stewardship processes, other sites did not feel 4 that this expertise was necessary. Given the ongoing need to improve antibiotic use 5 and expand the implementation of ASPs across the spectrum of healthcare, our 6 findings could inform future work on ASP implementation in these settings. 7 8 9 **Acknowledgement**: We would like to thank all the hospital personnel who 10 participated in interviews for this study. 11 Financial support: This work was supported in part by a Career Development 12 Award (DJL) from the VA Health Services Research and Development Service (CDA 13 16-204). 14 **Conflict of interest**: The authors report no conflicts of interest. Disclaimer: The views expressed in this article are those of the authors and do not 15 16 necessarily reflect the position or policy of the Department of Veterans Affairs or the 17 United States Government.

14

18

1 References:

2 Barlam TF, Cosgrove SE, Abbo LM, et al. Implementing an Antibiotic 1. 3 Stewardship Program: Guidelines by the Infectious Diseases Society of America and 4 the Society for Healthcare Epidemiology of America. Clin Infect Dis. May 15 5 2016:62(10):e51-77. doi:10.1093/cid/ciw118 6 Lesprit P, Landelle C, Brun-Buisson C. Clinical impact of unsolicited post-2. 7 prescription antibiotic review in surgical and medical wards: a randomized 8 controlled trial. Clinical microbiology and infection : the official publication of the 9 European Society of Clinical Microbiology and Infectious Diseases. Feb 10 2013;19(2):E91-7. doi:10.1111/1469-0691.12062 Banerjee R, Teng CB, Cunningham SA, et al. Randomized Trial of Rapid 11 3. Multiplex Polymerase Chain Reaction-Based Blood Culture Identification and 12 13 Susceptibility Testing. *Clin Infect Dis*. Oct 1 2015;61(7):1071-80. 14 doi:10.1093/cid/civ447 15 Camins BC, King MD, Wells JB, et al. Impact of an antimicrobial utilization 4. 16 program on antimicrobial use at a large teaching hospital: a randomized controlled 17 trial. Infection control and hospital epidemiology. Oct 2009;30(10):931-8. 18 doi:10.1086/605924 19 Fraser GL, Stogsdill P, Dickens JD, Jr., Wennberg DE, Smith RP, Jr., Prato BS. 5. 20 Antibiotic optimization. An evaluation of patient safety and economic outcomes. Arch Intern Med. Aug 11-25 1997;157(15):1689-94. 21 Solomon DH, Van Houten L, Glynn RJ, et al. Academic detailing to improve 22 6. 23 use of broad-spectrum antibiotics at an academic medical center. Arch Intern Med. 24 Aug 13-27 2001;161(15):1897-902. 25 7. Stenehjem E, Hersh AL, Buckel WR, et al. Impact of Implementing Antibiotic 26 Stewardship Programs in 15 Small Hospitals: A Cluster-Randomized Intervention. 27 *Clin Infect Dis*. Aug 1 2018;67(4):525-532. doi:10.1093/cid/ciy155 Livorsi DJ, Nair R, Lund BC, et al. Antibiotic stewardship implementation and 28 8. 29 patient-level antibiotic use at hospitals with and without on-site Infectious Disease 30 specialists. Clin Infect Dis. Apr 8 2020;doi:10.1093/cid/ciaa388 31 9. Septimus EJ, Owens RC, Jr. Need and potential of antimicrobial stewardship in 32 community hospitals. Clin Infect Dis. Aug 2011;53 Suppl 1:S8-S14. 33 doi:10.1093/cid/cir363 34 10. Reese SM, Gilmartin H, Rich KL, Price CS. Infection prevention needs 35 assessment in Colorado hospitals: rural and urban settings. Am | Infect Control. Jun 36 2014;42(6):597-601. doi:10.1016/j.ajic.2014.03.004 37 2015 Survey of Antimicrobial Stewardship in VHA (2016). 11. 38 Doernberg SB, Abbo LM, Burdette SD, et al. Essential Resources and 12. 39 Strategies for Antibiotic Stewardship Programs in the Acute Care Setting. Clin Infect 40 Dis. Sep 28 2018;67(8):1168-1174. doi:10.1093/cid/ciy255 41 Kelly AA, Jones MM, Echevarria KL, et al. A Report of the Efforts of the 13. 42 Veterans Health Administration National Antimicrobial Stewardship Initiative. Infect 43 Control Hosp Epidemiol. Jan 25 2017:1-8. doi:10.1017/ice.2016.328 44 14. Antimicrobial Stewardship Programs (2019). 45 Proctor E, Silmere H, Raghavan R, et al. Outcomes for implementation 15. 46 research: conceptual distinctions, measurement challenges, and research agenda. 47 Adm Policy Ment Health. Mar 2011;38(2):65-76. doi:10.1007/s10488-010-0319-7 48 Bernard H. Research methods in anthropology: Qualitative and quantitative 16.

49 approaches. AltaMira; 2002.

1 Barlam TF, Childs E, Zieminski SA, et al. Perspectives of Physician and 17. 2 Pharmacist Stewards on Successful Antibiotic Stewardship Program Implementation: 3 A Qualitative Study. Open Forum Infect Dis. Jul 2020;7(7):ofaa229. doi:10.1093/ofid/ 4 ofaa229 5 Pakyz AL, Moczygemba LR, VanderWielen LM, Edmond MB, Stevens MP, Kuzel 18. 6 AJ. Facilitators and barriers to implementing antimicrobial stewardship strategies: 7 Results from a gualitative study. Am | Infect Control. Oct 2014;42(10 Suppl):S257-8 63. doi:10.1016/j.ajic.2014.04.023 9 19. Perozziello A, Routelous C, Charani E, et al. Experiences and perspectives of 10 implementing antimicrobial stewardship in five French hospitals: a gualitative study. 11 International journal of antimicrobial agents. Jun 2018;51(6):829-835. 12 doi:10.1016/j.ijantimicag.2018.01.002 13 Appaneal HI, Luther MK, Timbrook TT, LaPlante KL, Dosa DM. Facilitators and 20. Barriers to Antibiotic Stewardship: A Qualitative Study of Pharmacists' Perspectives. 14 15 Hosp Pharm. Aug 2019;54(4):250-258. doi:10.1177/0018578718781916 16 21. Harrod M, Manoilovich M, Kowalski CP, Saint S, Krein SL. Unique factors rural 17 Veterans' Affairs hospitals face when implementing health care-associated infection 18 prevention initiatives. The Journal of rural health : official journal of the American 19 Rural Health Association and the National Rural Health Care Association. Winter 20 2014:30(1):17-26. doi:10.1111/irh.12024 21 Filardo G, Nicewander D, Herrin J, et al. Challenges in conducting a hospital-22. 22 randomized trial of an educational quality improvement intervention in rural and 23 small community hospitals. American journal of medical quality : the official journal 24 of the American College of Medical Quality. Nov-Dec 2008;23(6):440-7. 25 doi:10.1177/1062860608324546 26 Stenehjem E, Hersh AL, Sheng X, et al. Antibiotic Use in Small Community 23. 27 Hospitals. Clin Infect Dis. Sep 30 2016;doi:10.1093/cid/ciw588 28 24. CDC. Core Elements of Hospital Antibiotic Stewardship Programs. US 29 Department of Health and Human Services, CDC: 2019. 30 https://www.cdc.gov/getsmart/healthcare/pdfs/core-elements.pdf 31 Mack MR, Rohde JM, Jacobsen D, et al. Engaging hospitalists in antimicrobial 25. 32 stewardship: Lessons from a multihospital collaborative. *Journal of hospital* 33 *medicine*. Aug 2016;11(8):576-80. doi:10.1002/jhm.2599 34 Ruttimann S, Keck B, Hartmeier C, Maetzel A, Bucher HC. Long-term antibiotic 26. 35 cost savings from a comprehensive intervention program in a medical department 36 of a university-affiliated teaching hospital. *Clin Infect Dis*. Feb 1 2004;38(3):348-56. 37 doi:10.1086/380964 38 Anderson DJ, Watson S, Moehring RW, et al. Feasibility of Core Antimicrobial 27. 39 Stewardship Interventions in Community Hospitals. JAMA Netw Open. Aug 2 40 2019;2(8):e199369. doi:10.1001/jamanetworkopen.2019.9369 41 Baggs J, Fridkin SK, Pollack LA, Srinivasan A, Jernigan JA. Estimating National 28. 42 Trends in Inpatient Antibiotic Use Among US Hospitals From 2006 to 2012. JAMA 43 internal medicine. Nov 1 2016;176(11):1639-1648. 44 doi:10.1001/jamainternmed.2016.5651 45 29. Livorsi DJ, Reisinger HS, Stenehjem E. Adapting Antibiotic Stewardship to the 46 Community Hospital. JAMA Netw Open. Aug 2 2019;2(8):e199356.

- 47 doi:10.1001/jamanetworkopen.2019.9356
- 48

- 1 Table 1. Characteristics of 13 VHA hospitals that lacked an on-site
- 2 Infectious Diseases specialist in 2019, stratified by their willingness to
- 3 participate in this study

	Agreed to participate (n=7)	Refused to participate (n=6)
Characteristic	n (%)	
US Census Region		
Northeast	2 (29%)	1 (17%)
Midwest	1 (14%)	3 (50%)
South	3 (29%)	2 (33%)
West	1 (14%)	0
Rural location	3 (43%)	4 (67%)
Size, median (IQR)		
Acute care beds	18 (14-30)	27 (16-29)
Community living center ¹	55 (40-85)	99 (46-158)
Intensive care unit	3 (43%)	3 (50%)
VHA complexity level ²		
1c	2 (29%)	1 (17%)
2	2 (29%)	3 (50%)
3	3 (43%)	2 (33%)
FTEEs for antibiotic		
stewardship	5 (71%)	
0	1 (14%)	³
0.25	1 (14%)	
1		

Abbreviations: FTEE full-time employment equivalent , IQR interquartile range; VHA
 Veterans Health Administration

- Community living centers (CLC) are like skilled nursing facilities. These were
 present at 6 of the 7 sites, and the median only reflects the sites that had a
 CLC.
- 9
 2. The Veterans Health Administration categorizes its medical centers by complexity: 1a, 1b, 1c, 2, or 3. The complexity level reflects a medical center's patient population, services provided, and resources for education and research. The least complex centers are categorized as level 3.
- Because these sites were not visited, we were unable to collect data on
 FTEEs.
- 15
- 16
- 17
- 18

- $\;$ Table 2. Characteristics of 42 participants in semi-structured interviews at $\;$
- **7 Veterans Health Administration Hospitals**

Role	Participants, no
Clinical pharmacy specialists	10
Hospitalists	9
Administrators	
Pharmacy	6
Chief of Medicine	2
Associate Chief of Staff	1
Microbiologist	5
Off-site ID physician	2
Emergency Department/Urgent	2
Care physician	
Nurse practitioners ¹	2
Other ²	2
CLC physician	1

1. One nurse practitioner worked in the community living center and the other worked in acute-care.

2. Other includes 1 podiatrist and 1 infection preventionist.

Table 3. Sample quotations from semi-structured interviews with 42 hospital personnel involved in antibiotic stewardship activities across 7 Veterans Health Administrations hospitals that lacked an on-site Infectious Disease specialist

THEME 1. ASP pharmacist champions wear many hats and fill many roles.	
ASP pharmacist champion, site 1	I was starting to get overwhelmed with all the requirements because I also do, you know, the IV program and a lot of training and inpatient and stewardship and it just, it's too much for one person, essentially.
ASP pharmacist champion, site 2	There's a lot of things that come down from national, and there's only so many people to do them. I had a very good relationship with our pharmacy manager at the time. I can remember the day when we were standing at the back of the pharmacyMy boss just was talking about, "Well, we have to cover this now," and I think maybe even she said, "Well, you guys use a ton of antibiotics [in the Community Living Center (CLC)] or whatever and," so, I guess it's going to be me then.
ASP pharmacist champion, site 4	In this kind of smaller facility you don't have a lot of the different specialties or things you would normally have in some of the bigger facilities, so you end up kind of being a kind of jack of all trades.
THEME 2. Ach	ieving stewardship buy-in takes time and is influenced by patterns of clinician staffing.
ASP pharmacist champion #1, site 1	I guess I feel when you have a face-to-face, you get to know somebody. You get to have trust in them and, I mean, one of our providers, it took him probably a year to ever come to me and ask a question because I had to prove that I was worthy of his timeNow he'll come to me with things.
Inpatient clinical pharmacy specialist, site 3	We used to have a lot of turnover [with the hospitalists], so you didn't get to know them as well and develop a relationship with them. But this group has been here for a while now. So I think they know it's comingIf it's something they don't want to do or they don't agree with, they're pretty good about talking to me about it and saying, "Look. This is why I don't want to do that" And, you know, we have good conversations about it.
ASP physician champion, site 2	But then there's this whole host of contracted group [physicians] that comes in and there's a lot of different people that potentially could be coming in and out. And that's a real struggle with our efforts as you can't get these guys all on one place at one time to have a conversation. You know, they come, and they go and so the people you talk to in this month, may be different than the people that are here working two months from now. So you kind of reinvent the wheel all the time.

	Their level of engagement and interest isnot the best. They're here just working on an hourly basis. Like a locums doctor and filling in and so they're not really real committed to the bigger picture items.	
Theme 3. Having access to an off-site ID specialist improved the local acceptance of stewardship efforts.		
ASP pharmacist, champion #2, site 1	Having an ID physician on-site would allow us to probably make more protocols that would get accepted and make more treatment guidelines for physicians to use as tools, especially in our outpatient setting where we're staffed with a lot of mid-levels. We don't have a lot of actual MDs that are working, and so, those tools are very useful for them.	
ASP pharmacist champion, site 3	[What if the remote ID physician was not involved?] It'd probably be a little harder to get some things passed. I guess I'd probably have to just make a fight and justification going up the committees and all of that. That would be the biggest thing.	
Pharmacy administrator, site 7	Bless, bless those folks [at the other VA hospital within our VISN]They actually have an ID [Infectious Diseases] Clinical Pharmacy Specialist, and she is very helpful [to us].	
ASP pharmacist champions, site 1	I think he [the non-ID ASP physician champion] is more than willing to help us out in that respect [when we are encountering resistance from physicians]. I feel bad asking him to do that sometimes becausehe's not ID. He's just our physician champion, which is great. We appreciate it, but I feel like sometimes we don't trump it because we can't say, 'Our ID physician recommend it.'	
Hospitalist, site 1	I find that the person [remote ID physician] that we've partnered with is probably the most talented clinical teacher over the phoneHe's a super good resource. I think part of that is the durability of those relationships over years and years and years and years. It's a lot different than calling somebody that you don't know, that's carrying a pager and giving advice.	
ASP pharmacist champion, site 2	I think from the perspective of being the stewardship pharmacist, but not someone who went through Infectious Disease training, having those more in depth discussions with the Infectious Disease physician has always been pretty good learning experience for me.	
THEME 4. Acc	THEME 4. Access to institutional resources is an important driver of ASP success.	
ASP pharmacist champion, site 4	They [the hospital leadership] gave me more time [i.e. FTEEs] when we were due for a Joint Commission survey to try and at least kind of get some boxes checked, so to at least minimally satisfy the surveyors.	

Inpatient	If you want to build an order set, it has to go through twenty people above you and then this CAC
clinical	[Clinical Applications Coordinator] left that was very helpful to usThat probably eats up a ton of
pharmacist,	his [the ASP pharmacist champion's] time. Whereas [at a hospital with Information Technology
site 3	support] he could just say, "Here. This is the way I want it to be. Put it in place."
ASP	Two and half, three years ago we tried for a dedicated FTEWe wrote up a business proposal and
pharmacist	presented it to physicians and Chief of Staff and all that and talked to the clients, andit's like it
champion,	evaporated in thin airIt was green lit then and then afterward, like something happened and they
site 1	said, "No."
Laboratory	They haven't funded stewardship in pharmacyWe're like barely meeting the minimum, and I told
Director,	[the ASP pharmacy champion] I would really love for us to fail on Joint Commission or something like
site 1	that. And then that would make them have to do something.