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1 Thermal conductivity of municipal solid waste from in-situ heat extraction tests

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4 **Abstract:** As municipal solid waste (MSW) in landfills can reach temperatures greater than 50°C
5 that may be sustained for several decades due to methanogenic bacteria activity, the generated heat
6 is an alternative energy source that can be exploited for direct heating of nearby infrastructure or
7 for augmenting industrial processes. However, in-situ measurements of MSW thermal properties
8 are needed to properly design heat extraction systems for landfills. In this study, the spatial and
9 temporal evolution of the waste temperatures in a new MSW landfill cell in Santee, California
10 were monitored over 13 months. After the temperatures reached stable values, a 17-day heat
11 extraction thermal response test was performed on serpentine geothermal heat exchangers that
12 were installed at three elevations in the cell during waste placement. As the serpentine segments
13 were separated from each other to minimize thermal interference during the heat extraction test,
14 the pipes were assumed to represent line heat sinks. The values of effective thermal conductivity
15 estimated from infinite line source analyses ranged from 0.86 to 1.32 W/m°C, which are consistent
16 with values on the higher range of those from laboratory tests on MSW.

17 **Keywords:** Municipal solid waste, landfill, thermal response test, heat extraction, thermal
18 conductivity.

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20 INTRODUCTION

21 The decomposition of organic matter present in municipal solid waste (MSW) landfills
22 generates heat leading to increase in temperature (Rees 1980a and 1980b; Young 1992; Yeşiller et
23 al. 2005). Biodegradation of MSW under the moist, mesophilic and anaerobic conditions that
24 generally exist within landfills can sustain temperatures significantly above ambient conditions for
25 several decades. Biodegradation of MSW also contributes to the generation of leachate and landfill
26 gas (LFG), predominantly methane and carbon dioxide (Poland and Harper 1986). The heat
27 generated from biodegradation processes is an alternative energy resource that can potentially be
28 extracted from the waste mass and used for direct heating of nearby facilities (Emmi et al. 2016).
29 Further, heat extraction from the waste mass may permit engineered modification of processes in
30 landfills. For example, Coccia et al. (2013) summarized several potential effects of heat extraction
31 from landfills, including: (i) maximizing LFG capture for electricity generation by maintaining
32 stable mesophilic temperatures to optimize microbial methane generation (Farquar and Rovers
33 1973; Rees 1980a); (ii) reducing the temperature gradient across the landfill base liner to minimize
34 the potential for clay liner desiccation (Southen and Rowe 2005) or geomembrane damage (Jafari
35 et al. 2014b); and (iii) exploiting the increased waste settlement rate observed at higher
36 temperatures due to higher decomposition rates (e.g., Lamothe and Edgers 1994; Bareither et al.
37 2012) to maximize the landfill disposal capacity. To achieve any of these objectives in a controlled
38 manner, in-situ characterization of the thermal properties of the waste mass is a key requirement.

39 This paper presents results from a heat extraction thermal response test on horizontal
40 geothermal heat exchangers installed at different elevations in a new MSW landfill cell in Santee,
41 California. Different from previous studies that explored the use of geothermal heat exchangers in
42 MSW (e.g., Faitli et al. 2015a; Yeşiller et al. 2016; Shi et al. 2018), this study employs a chiller to

43 provide a controlled heat extraction rate needed for in-situ thermal property estimation. The results
44 from the heat extraction tests are used to estimate the in-situ effective thermal conductivity of the
45 waste and heat exchange system using the line source analysis (Mogensen 1983; Gehlin and
46 Hellstrom 2003; Sanner et al. 2005, 2008; Raymond et al. 2011; Stauffer et al. 2013; Murphy et
47 al. 2014). Embedded instrumentation in the MSW landfill cell was used to evaluate the spatial and
48 temporal evolution of temperature inside the cell during a 13-month period of self-heating of the
49 MSW due to biodegradation, as well as during the 17-day heat extraction thermal response test.

50 **BACKGROUND**

51 The main byproducts of MSW biodegradation in landfills are leachate, biogas, and heat.
52 The relative quantity of each byproduct generated is dependent on the specific waste composition
53 and the stage of biodegradation observed within the MSW (Barlaz et al., 1990; Christensen et al.
54 1992; Baldwin et al. 1998; Staley and Barlaz 2009). Landfill biodegradation of organic matter is
55 initially dominated by an aerobic stage, lasting from a few weeks to two years, followed by an
56 anaerobic stage, which can last several decades (Bookter and Ham 1982; Kjeldsen et al. 2003).
57 Yeşiller et al. (2005, 2015) observed that the rapid increase in temperature during the aerobic phase
58 corresponds to approximately 20 to 30% of the total increase in temperature in the landfill, while
59 the remaining 70 to 80% of the increase in temperature occurs during the anaerobic phase. In the
60 anaerobic phase, the slow increase in temperature can be sustained for several decades as long as
61 organic material is available for consumption.

62 Due to the growing interest in heat extraction from landfills in the past several years, either
63 for direct use of the heat or for temperature control to optimize processes within the waste cell,
64 several studies have been conducted to describe the temperature evolution and profile in MSW
65 landfills. With respect to maximum temperatures, Yeşiller et al. (2005) observed values of 57, 35,

66 23 and 42 °C at MSW landfills in Michigan, New Mexico, Alaska, and British Columbia,
67 respectively, while Rees (1980a) observed a maximum of 43 °C for a MSW landfill in the United
68 Kingdom. Bouazza et al. (2011) reported average peak temperatures as high as 60 °C for a cell
69 filled with organic waste in a landfill near Melbourne, Australia, and Lefebvre et al. (2000)
70 measured a maximum temperature of about 55 °C in a landfill cell with industrial waste and MSW
71 in France. Faitly et al. (2015a) measured temperatures as high as 55 °C in monitored MSW landfill
72 in Hungary. Shariatmadari et al. (2011) compared the temperature evolution of two MSW cells in
73 a landfill in Tehran, Iran (one with leachate recirculation and one without) and observed maximum
74 monthly average temperatures of 60 °C and 54 °C, respectively. Processes such as air intrusion or
75 exothermic chemical reactions in reactive waste (e.g., aluminum production waste) have been
76 reported to lead to waste temperatures of 100 °C or more, sometimes corresponding to smoldering
77 combustion (Stark et al. 2012; Jafari et al. 2014a and 2017). Although elevated heat generation
78 and accumulation is currently of considerable research interest (e.g., Hao et al. 2017), this paper is
79 focused on landfills within the typical mesophilic range for anaerobic biodegradation noted above.

80 In addition to reporting maximum waste temperatures, several studies have been conducted
81 to identify the temperatures distribution within MSW cells during the biodegradation process. The
82 temperature profile most frequently observed in MSW landfills shows an increase in temperature
83 with depth below the cover, a peak value near the center of the waste mass, and a decrease in
84 temperature to a value at the base of the cell that is greater than that at the cover (Yeşiller et al.
85 2005, 2015; Hanson et al. 2010; Jafari et al. 2017). The nonlinearity of the temperature profile
86 diminishes over time, approaching a more linear relationship with depth for older wastes (Yeşiller
87 et al. 2015).

88 Since the temperatures inside MSW landfills can reach consistently higher and more stable
89 values than their surrounding environment, demonstration projects have been performed to
90 evaluate the feasibility of using landfills as a source of thermal energy. Grillo (2014) installed
91 horizontal heat exchangers on a landfill base liner in New Hampshire and used the extracted energy
92 to heat a maintenance garage and melt snow and ice. Comparing the cost of the system with the
93 heating savings, the author estimated a payback period of 7 years. Faitli et al. (2015a) installed
94 horizontal “slinky”-loop and serpentine-loop heat exchangers and vertical concrete heat
95 exchangers embedded in an MSW landfill in Hungary. Although they reported average heat
96 transfer rates ranging from approximately 770 to 1152 W for the 16 m-long vertical heat
97 exchangers, they did not investigate in-situ thermal properties or report on the performance of the
98 horizontal heat exchangers. Yeşiller et al. (2016) installed a series of vertical heat exchangers in
99 an MSW landfill in California and performed a periodic heat extraction experiment where a fixed
100 volume of water in equilibrium with the outside air temperature was circulated through the closed-
101 loop heat exchangers once a week over the course of a year. They observed a slight decrease in
102 waste temperature over the testing period, but because the heat transfer rate was not quantified it
103 is not possible to evaluate the thermal properties of the waste from their results. Shi et al. (2018)
104 also conducted heat extraction experiments in an MSW landfill in China using 20 m-long
105 horizontal heat exchangers. Heat was extracted in three different stages that lasted from 10 to 17
106 days and happened within the first 14 months after the placement of the waste in the cell. The
107 authors discussed the temperature variation due to biodegradation and heat extraction, but these
108 results were not used to estimate the thermal conductivity of the waste. Instead, the values of
109 thermal conductivity reported in their study (0.35 to 0.45 W/m°C) were estimated based on the
110 void ratio and water content of the MSW at that landfill.

111 One of the main waste thermal properties required for the design of a geothermal heat
112 extraction system in an MSW landfill is the thermal conductivity. Yeşiller et al. (2015) reviewed
113 results from laboratory-scale thermal conductivity experiments and found that the thermal
114 conductivity of MSW ranged from 0.044 to 1.5 W/m°C depending on the composition, density,
115 and degree of saturation of the studied material. Faitli et al. (2015b) developed an experimental
116 device to estimate MSW thermal conductivity in which a waste sample is placed in a steel box and
117 compressed by a moveable lid. The vertical compression force is maintained during the test and
118 heat is applied to the waste through resistance heating wires. The device is equipped with
119 temperature and heat flux sensors on the lid and bottom of the box, and the thermal conductivity
120 of the waste is estimated based on the heat flux observed at equilibrium and on the temperature
121 gradient between the lid and bottom of the box. They reported MSW thermal conductivities
122 ranging from 0.24 to 1.15 W/m°C. Hanson et al. (2000) used a needle probe apparatus to measure
123 the thermal conductivity of MSW specimens ranging from 0.01 to 0.7 W/m°C. However, the
124 authors noted that the thermal conductivity measured using the needle probe method may lead to
125 low values in heterogeneous MSW as the positioning of the needle in a specimen may be strongly
126 affected by the presence of air-filled voids. The heterogeneity of the material, along with the wide
127 range of values reported in literature, and the major effect the thermal conductivity has on heat
128 extraction systems emphasize the need for in-situ thermal property characterization.

129 **MATERIALS AND METHODS**

130 **Landfill Location and Waste Characterization**

131 A full-scale instrumented geothermal heat extraction system was installed during construction of
132 a new cell at an MSW landfill in Santee, San Diego County, California. The climate in the region
133 is warm and dry, with an average high temperature of 25.9 °C and low of 11.8 °C, and average

134 annual precipitation of 224 mm, with most rainfall occurring during winter (historical series data
135 obtained from the nearby El Cajon, CA weather station). A plan view schematic of the MSW cell
136 is shown in Figure 1(a). The system was installed between the months of August and October of
137 2016, which coincides with the dry season of the region. During the three month-long
138 construction period, the total precipitation in the landfill was of 16.7 mm. The MSW placed in
139 this cell was not characterized in terms of gravimetric or volumetric composition but is assumed
140 to be typical of the residual waste stream for disposal reported by the City of San Diego (2014).
141 After placement, the waste was compacted to reach an average total unit weight of 8.7 kN/m^3 .
142 Due to the dry conditions during the study period and the fact that negligible leachate was
143 collected from the sump, the leachate level is assumed to be below the allowed maximum value
144 of 300 mm above the liner system set by U.S. EPA design criteria for MSW landfills (U.S. EPA
145 1993). **Instrumentation and Heat Exchanger Layout**

146 The geothermal heat exchanger pipes were placed horizontally with a serpentine
147 configuration in three different elevations of the MSW cell, with a length of 36.5 m inside the
148 waste, as shown in Figure 1(b). The horizontal configuration was chosen as a less expensive
149 alternative when compared to vertical heat exchangers, which require drilling for installation
150 (Coccia et al. 2013; Florides and Kalogirou 2007). Placement of horizontal pipes is simpler and
151 can be integrated with placement of waste in the cell, minimizing interference with landfill
152 operations. The first level of the system, or Layer 1, was placed directly above the base liner and
153 Layers 2 and 3 were placed 6 m and 12 m above the base liner, respectively. This vertical spacing
154 corresponds to one lift of waste, so Layers 2 and 3 were installed in the time interval between the
155 placement of two lifts. The heat exchangers used are high density polyethylene (HDPE) pipes with
156 internal diameter of 25 mm.

157 Six thermistor strings (Model 3810 from Geokon, Inc. of Lebanon, NH), each containing
158 four thermistors over a single 45 m-cable, were installed horizontally during placement of the
159 waste. The thermistors have an accuracy of ± 0.5 °C. The spacing between each thermistor along
160 the string was approximately 15 m, with the first one positioned 1.5 m from the waste slope, as
161 shown in the longitudinal profiles of the waste cell in Figure 1(b). Layers 1 and 3 were
162 instrumented with one thermistor string each, while Layer 2 was more heavily instrumented with
163 four thermistor strings to obtain a more detailed profile of temperature around the heat exchangers,
164 as shown in the perpendicular profile in Figure 1(c). While the sensors were placed 2 m from the
165 heat exchanger pipes in Layer 1 and 3, in Layer 2 they were positioned in a way to provide a
166 horizontal profile of temperatures as a function of time and distance from the pipes. The first
167 thermistor strings in each layer are referred to as String 1A, 2A, and 3A and were each placed 2 m
168 away from the outermost segment of the heat exchanger pipe. In Layer 2, String 2B was placed 1
169 m from the outermost heat exchanger segment, String 2C was attached to the outermost heat
170 exchanger segment and String 2D was placed between the first out-and-back segments of the
171 serpentine heat exchanger (1 m from each). One additional thermistor with the same accuracy was
172 installed in the data logger to measure the air temperature during the experiment.

173 The serpentine configuration of the geothermal heat exchanger pipes is shown in
174 Figure 1(d). The pipes were connected in a closed loop manner, with a total length of 310 m of
175 heat exchanger pipe in each layer. A serpentine rather than slinky arrangement was chosen as the
176 latter is generally used to fit more pipes into a given area when the space for installation is limited
177 (e.g., Florides and Kalogirou 2007), which was not an issue in this study. Further, installation of a
178 serpentine system is easier than a slinky system and the calculations of the length of heat exchanger
179 pipe required to meet a given heat extraction demand are simpler. The overlap of pipes in a slinky

180 configuration may also result in stress distribution issues under the high vertical stresses expected
181 in landfill cells. The spacing between the serpentine segments of pipe in each heat exchanger was
182 selected to be 2 m based on ease of installation for this testing program, but this dimension will
183 change depending on the goals of the heat extraction system. For example, a system to control the
184 temperature at a given elevation may have closer spacing between the serpentine segments to
185 encourage overlapping effects, while a system to exchange heat will have a larger spacing to
186 minimize overlapping effects.

187 Pictures of the installation process are shown sequentially in Figure 2. A photograph taken
188 facing north in Figure 2(a) shows the serpentine configuration of the heat exchangers along with
189 the direction of heat exchanger fluid circulation. In Figure 2(b), a photograph taken facing south
190 shows the position of the four thermistor strings of Layer 2. After the pipes were properly
191 positioned, they were filled with water and were pressurized to 300 kPa to minimize their
192 likelihood of being compressed under the vertical load to be applied by the waste. Next, the sensors
193 and heat exchangers were covered with 150 mm of soil to prevent puncturing by the waste
194 components, as shown in Figure 2(c). A picture of the final configuration of the system, one year
195 after the beginning of the installation, is shown in Figure 2(d). The position of the three layers of
196 geothermal heat exchangers is indicated, as well as the data acquisition system (DAQ) and the
197 chiller and generator used during the heat extraction. Three lifts of waste of 6 m each were placed
198 atop Layer 3, with a total of 30 m of waste in the cell. The lengths of pipe connecting each heat
199 exchanger to the manifold outside the MSW cell were insulated with fiberglass batting to minimize
200 transfer of heat between the fluid and the surrounding air.

201 In addition to the thermistors installed within the waste and in the datalogger, six pipe plug
202 thermistors (model TH44004 from Omega Engineering, with a resolution of $\pm 0.2^{\circ}\text{C}$) were

203 connected to the entrance and exit of each pipe to measure the temperature of the water entering
204 and exiting each heat exchanger. Further, a flowmeter (model SM7601 from IFM Electronic gmbh,
205 with a resolution of $6.309 \times 10^{-5} \text{ m}^3/\text{s}$) was connected to each pipe. The fluid temperatures and flow
206 rates can be used together to monitor the heat transfer rate in each heat exchanger, as will be
207 described later. A schematic of the manifold setup is shown in Figure 1(d). The pipes in all three
208 layers were connected so that water would circulate through a water tank and a chiller (i.e., a heat
209 pump), which cooled the water prior to its return to the waste cell through three separate pipes.
210 The mobile industrial chiller used in this study (model SQ2A1004 from Carrier) has a capacity of
211 10 thermal tons (35 kW) and was powered using a mobile diesel generator.

212 **Testing Stages**

213 The field experiments were conducted in two stages: (i) monitoring the self-heating of the
214 waste during a 13-month period prior to heat extraction, and (ii) a 17-day heat extraction thermal
215 response test (TRT) performed simultaneously on all three heat exchangers. During the first stage,
216 waste temperatures were measured every 15 minutes which permitted evaluating the evolution of
217 temperatures over time to characterize peak temperatures and the distribution in temperature with
218 elevation. After the waste temperatures stabilized, the heat extraction TRT was performed using
219 an approach consistent with that proposed by Mogensen (1983). The test involved circulation of a
220 heat exchanger fluid (water) from the chiller through the closed-loop heat exchangers at a constant
221 volumetric flow rate while tracking the changes in entering and exiting fluid temperatures. The
222 chiller was connected in series with a 3000 L water tank to act as a buffer as the chiller can only
223 operate under a certain maximum heat exchanger fluid temperature difference that depends on its
224 capacity (10 thermal tons), as shown in Figure 1(d). At the beginning of the test, the hot water
225 exiting the heat exchangers mixed with the cooler water in the tank so that the temperature of the

226 fluid drawn into the chiller was closer to its set point. The entering and exiting water temperatures
227 were measured in the manifold before entering and exiting the waste, as shown in Figure 1(d), so
228 the mixing in the water tank did not affect the TRT results.

229 In this second stage of the field experiment, heat was extracted from the three layers for
230 405 hours (approximately 17 days) without interruption. Although a TRT is typically performed
231 for only 2-3 days, the heat extraction TRT was performed for a longer duration in this study to
232 track the evolution in waste temperature surrounding the heat exchangers and permit additional
233 analysis of the waste thermal properties. The target set point temperature for water entering the
234 waste was 12 °C, but due to diurnal air temperature fluctuations this value oscillated daily. The
235 total volumetric flow rate supplied by the chiller was $8.5 \times 10^{-4} \text{ m}^3/\text{s}$ (0.85 L/s), which was balanced
236 through each layer by adjusting ball valves in a pipe distribution manifold. The averaged
237 volumetric flow rates through the heat exchangers in Layers 1, 2 and 3 were $3.2 \times 10^{-4} \text{ m}^3/\text{s}$ (0.32
238 L/s), $2.1 \times 10^{-4} \text{ m}^3/\text{s}$ (0.21 L/s) and $3.2 \times 10^{-4} \text{ m}^3/\text{s}$ (0.32 L/s), respectively. These flow rates were
239 sufficient to maintain turbulent flow within the 25-mm diameter heat exchanger pipes (i.e.,
240 Reynold's numbers of 20101, 13191 and 20101 for Layers 1, 2 and 3, respectively). Although the
241 volumetric flow rate in Layer 2 was initially the same as the other two layers, due to the iterative
242 process of adjusting the valves to balance the flow through the three layers it changed slightly over
243 the first hours of operation to a lower value. The authors chose not to adjust it after it was set to
244 avoid disruptions in the results, and all three flow rates remained relatively constant during the test
245 duration. During the heat extraction, the temperatures of the fluid and MSW were recorded every
246 2 minutes and the volumetric flow rates were recorded every 1 minute. After concluding the test,
247 fluid circulation was stopped, and the geothermal heat exchanger pipes were disconnected from
248 the chiller and water tank, ending the second stage of the field experiment.

249 **RESULTS**

250 **MSW Self-Heating**

251 Temperature readings from String A in each layer are presented in Figures 3(a), 3(b) and
252 3(c) for the initial 13-month test period following waste placement. The air temperature is also
253 shown for reference. The results from other thermistor strings in Layer 2 were similar to String 2A
254 during the self-heating monitoring period. The gap in data observed in these figures was due to a
255 problem with the data logger battery, but the trend in all datasets is still clear. A rapid increase in
256 temperature was observed during the first month followed by a slower increase, which suggests a
257 higher rate of heat generation due to aerobic decomposition in the first few weeks followed by a
258 slower heat generation rate as the decomposition process became anaerobic, consistent with
259 observations by Yeşiller et al. (2015). The maximum temperatures registered were 32°C for
260 Layer 1 and 52 °C for Layers 2 and 3, which are within the values reported in the literature for
261 other MSW landfills, which range between 23 °C and 60 °C (Rees 1980a; Lefebvre et al. 2000;
262 Yeşiller et al. 2005; Bouazza et al. 2011; Shariatmadari et al. 2011; Faily et al. 2015a). These data,
263 especially in Layers 2 and 3, not only confirm the potential for heat extraction in MSW landfills
264 but also show that the heat extraction process can start within a relatively short time (approximately
265 1 year) after waste placement. The temperatures in Layers 2 and 3 also show that there is potential
266 to extract heat from MSW landfills even if the goal is only to maintain temperatures between 35
267 °C and 40 °C to optimize the methane generation due to the activity of mesophilic bacteria (Pfeffer
268 1974; Rees 1980a).

269 The sharp drop in temperature observed for the sensors at 1.5 m from the slope face
270 occurred when strong winter rains eroded the side slope of the cell, resulting in cracks which
271 reduced the waste cover overlying the outermost sensors. Due to these cracks, it is not possible to

272 know how the air temperature influenced the readings in those sensors. However, it is clear from
273 the results showed that the sensors placed 16.8 m from the slope do not show further seasonal
274 variation in temperatures. Rees (1980b) observed that the minimum depth necessary to avoid
275 seasonal fluctuations in temperature was 3.5 m below the surface, while Yeşiller et al. (2005)
276 observed that the minimum depth and horizontal distance from external slopes necessary to avoid
277 seasonal fluctuations were 7 m and 20 m, respectively. These distances depend on the temperature
278 gradient induced by the surface temperature as well as the characteristics of the cover system.

279 Vertical profiles of the cell temperatures at the end of the self-heating monitoring period
280 are shown in Figure 3(d). The profiles indicate that the central part of the landfill holds the highest
281 temperatures, while the sensors in Layer 1, located at the base liner of the cell, present the lowest
282 temperatures due to the lower temperature of the underlying subsurface. Since Layer 3 is not at
283 the surface of the cell and is covered by approximately 18 m of waste, the temperatures shown in
284 Figure 3(d) are consistent with waste temperature distributions with depth described in the
285 literature (e.g., Yeşiller et al. 2005, 2015; Hanson et al. 2010; Jafari et al. 2017).

286 **Heat Extraction Thermal Response Test**

287 The results of the TRT in terms of volumetric flow rate and temperature of water entering
288 and exiting the heat exchangers on each Layers 1, 2, and 3 are shown in Figures 4(a), 4(b) and
289 4(c), respectively. The evolution of fluid temperatures was similar for all layers, with each starting
290 the test with a drop before tending to a stable average value. The influence of daily air temperature
291 fluctuations is clear, but as will be shown later, it is not expected to affect the test results. After the
292 first few hours of heat extraction, the difference between entering and exiting fluid temperatures
293 in each layer (i.e., $T_{in} - T_{out}$) was nearly constant and was approximately -6°C for Layer 1, -13°C

294 for Layer 2 and -11°C for Layer 3. The results in Figures 4(a), 4(b) and 4(c) can be synthesized to
295 calculate the heat transfer rate for the three heat exchangers, as follows:

$$\dot{Q} = c \cdot \rho \cdot \dot{v} \cdot (T_{in} - T_{out}) \quad (1)$$

296 where \dot{Q} is the heat transfer rate, c is the specific heat capacity of the fluid, ρ is the fluid density,
297 \dot{v} is the volumetric flow rate, and T_{in} and T_{out} are the temperatures of the fluid entering and exiting
298 the heat exchanger loop, respectively. The heat transfer rates during the test for the heat exchangers
299 in each layer are shown in Figure 4(d). Although all three heat transfer rates tend toward stable
300 values, the heat transfer rate for Layer 1 showed an increasing trend while the heat transfer rates
301 for Layers 2 and 3 showed a decreasing trend. This difference can be explained by the waste
302 temperature at each layer before the start of the heat extraction. While the waste in Layers 2 and 3
303 was at a temperature of 52°C, the waste in Layer 1 was at 32°C, which is closer to the entering
304 fluid temperature at the beginning of the test. As the heat transfer rate is proportional to the
305 difference in entering and exiting fluid temperatures, the heat transfer rate at the beginning of heat
306 extraction is lower for Layer 1, increasing as the entering fluid temperature drops. Daily
307 fluctuations are observed due to the influence of the ambient air temperatures on the water tank,
308 but the heat transfer rates tended to stable average values over time. The average heat transfer rates
309 calculated were -8.1 kW in Layer 1, -11.8 kW in Layer 2 and -14.8 kW in Layer 3, with the negative
310 sign indicating that heat was being extracted from the waste. The average heat transfer rates per
311 length of pipe were -26 W/m for Layer 1, -38 W/m for Layer 2 and -48 W/m for Layer 3. During
312 the 405 hours of the experiment, 50.7 GJ of heat were extracted from the MSW cell, with 11.9 GJ
313 extracted from Layer 1, 17.2 GJ extracted from Layer 2, and 21.6 GJ extracted from Layer 3.

314 The difference in heat transfer rates observed in the three layers can be explained by
315 analyzing Equation (1). For all layers, the values of specific heat capacity and density of the fluid

316 are the same, so the difference in the heat transfer rates calculated is due to variations of the
317 volumetric flow rate between each layer, and differences between entering and exiting fluid
318 temperatures (ΔT). For layers 1 and 3, the volumetric flow rate is the same, but ΔT is larger for
319 layer 3, which results in a higher value of heat transfer rate. Layer 2, however, presented the lowest
320 volumetric flow rate. But although the volumetric flow rate is directly proportional to the heat
321 transfer rate, a slower flow rate increases the residence time of the fluid within the pipes, which
322 consequently increases the time available for heat transfer. This helps explain why the difference
323 in entering and exiting fluid temperatures for Layer 2 was slightly higher than that for Layer 3,
324 even though the waste at both elevations was at the same temperature before the heat extraction.
325 It also explains why the heat exchanger in Layer 2, although with the lowest volumetric flow rate,
326 still showed an intermediate value of heat transfer rate and total heat extracted when compared
327 with the other two layers.

328 As mentioned previously, the heat extraction TRT was performed for a longer duration
329 than typical TRTs for geothermal heat exchangers to ensure changes in temperature in a larger
330 area surrounding the heat exchangers. Time series of MSW temperatures during the TRT for Layer
331 2 are shown in Figure 5. Because String 2A is 2 m from the outermost heat exchanger segment,
332 the temperatures at this location shown in Figure 5(a) decreased only slightly during the heat
333 extraction test, with decreases of 0.7, 1.1 and 1.0 °C for the sensors positioned 1.5 m, 16.8 m and
334 32.0 m from the slope face, respectively. Similar small decreases in temperature were measured
335 for Strings 1A and 3A. For the sensors positioned 47.2 m from the slope face, no meaningful
336 change in temperature was observed in any layer. Different from the results for String 2A, greater
337 changes in temperatures were observed at some locations on Strings 2B, 2C and 2D in Layer 2, as
338 observed in Figures 5(b), 5(c), and 5(d), respectively. A sharp decrease in temperature was

339 measured by sensors on String 2C as they were attached to the outermost heat exchanger segment,
340 with the sensor at 32.0 m from the slope presenting a drop of nearly 28°C. Decreases in temperature
341 were also measured by sensors on Strings 2B and 2D, but although both strings were equally
342 distant (1 m) from the first segment of heat exchanger, the sensors on String 2D were also
343 influenced by an inner segment of heat exchanger, located 1m from this string, experiencing a
344 superposition of effects and consequently a more accentuated drop in temperature when compared
345 to String 2B. For example, after the 405 hours of test, for the sensors at 32.0 m from the slope the
346 decrease in temperature was 4.6 °C for String 2B, while for String 2D the drop was 9.2 °C, twice
347 the value observed for String 2B.

348 Horizontal temperature profiles at the locations of 16.8 m and 32.0m from the slope face
349 on Layer 2 are shown in Figures 6(a) and 6(b), respectively. The profile at $t = 0$ represents the
350 initial conditions of the test. Before the start of the heat extraction test analyzed in this work, three
351 short simplified tests had to be carried out to calibrate the chiller, which reduced the temperatures
352 around String C for $t = 0$. However, since the drop in temperature in this string occurs rapidly at
353 the beginning of the heat extraction, as observed in Figure 5(c), and the data used for the estimate
354 of the thermal conductivity is for later hours of test, as explained in the next section, it is assumed
355 that this initial variation in temperature does not affect the interpretation of data. The profiles at
356 $t = 405$ hours in Figures 6(a) and 6(b) represent the final conditions of the test, with the zone of
357 influence of the heat exchanger in the surrounding waste.

358 **ESTIMATION OF IN-SITU EFFECTIVE THERMAL CONDUCTIVITY**

359 Although there are several analytical methods available to estimate the effective thermal
360 conductivity of a geothermal heat exchanger embedded in the subsurface, there is no analytical
361 model specifically developed for serpentine heat exchangers. However, if the segments of the

362 serpentine heat exchanger are placed far enough that they do not interact significantly during the
363 heat extraction, the serpentine can be assumed to be a line source, even though it is not straight.
364 Further, if the diameter of the heat exchanger is small, an infinite line source analysis can be used
365 to determine the thermal conductivity of the material.

366 The solution of the differential equation for heat conduction in solids for an infinite linear
367 heat source presented by Ingersoll and Plass (1948) and Carslaw and Jaeger (1959) is a common
368 approach to interpret the results from a TRT and estimate the thermal conductivity of soils and
369 rocks (e.g., Mogensen 1983; Gehlin and Hellstrom 2003; Sanner et al. 2005, 2008; Raymond et al.
370 2011; Stauffer et al. 2013; Murphy et al. 2014). The infinite line source analysis assumes a constant
371 heat transfer rate per length of pipe \dot{Q}_L and an infinite length of heat exchanger embedded in the
372 subsurface at an initial temperature T_0 , and it provides the temperature T of the medium at a radial
373 distance r from the center line of the line heat source at a time t , as follows:

$$T = T_0 + \frac{\dot{Q}_L}{4\pi\lambda} \int_{\frac{r^2}{4\alpha t}}^{\infty} \frac{e^{-\beta}}{\beta} d\beta \quad (2)$$

374 where λ and α are the thermal conductivity and thermal diffusivity of the material surrounding the
375 line heat source, respectively, and β is a variable of integration. This analysis assumes the heat
376 transfer occurs solely due to conduction, neglecting the effects of convection. It also neglects the
377 effects of heat generation within the conductive material, which might be unsuitable for
378 applications with MSW. However, for the system presented in this study, the temperatures in the
379 MSW had been nearly constant for months before the heat extraction, and there is no oxygen being
380 introduced in the cell – which could potentially increase the heat generation rate. Therefore, it is
381 assumed that the heat generation rate was low enough, so that its influence on waste temperature
382 during the period of heat extraction can be neglected. For $t > 4r^2/\alpha$ (Mogensen 1983), the

383 exponential integral in Equation (2) can be simplified to obtain the following equation (Carslaw
384 and Jaeger 1959):

$$T - T_0 = \frac{\dot{Q}_L}{4\pi\lambda} \left(\ln t + \ln \frac{4\alpha}{r^2} - \gamma \right) \quad (3)$$

385 where γ is Euler's constant. This solution is suitable to analyze TRT results as the fluid
386 temperatures are representative of the heat source and the duration of TRTs is typically several
387 times longer than the minimum time required for this approximation to be valid. For example, for
388 a 25 mm-diameter pipe in a medium with $\alpha = 3.0 \times 10^{-7} \text{ m}^2/\text{s}$, the minimum time required for this
389 approximation to be valid at the wall of the pipe is 35 minutes. Regarding the duration of the TRT,
390 ASHRAE (2002) recommends 36 to 48 hours of test to ensure enough time for the data to
391 converge. Sanner et al. (2005) recommends a minimum of 48 hours and Signorelli et al. (2007)
392 suggests a test duration of 50 hours to obtain stable results.

393 To apply Equation (3) to interpret TRT results, a value of temperature T^* is plotted versus
394 the natural logarithm of time t (in seconds, to be consistent with the units used in this work) and
395 the slope of the linear portion of the curve obtained is used to calculate the thermal conductivity λ
396 of the heat exchanger system, as follows:

$$\lambda = \frac{\dot{Q}}{4\pi L} \left[\frac{d(T^*)}{d(\ln t)} \right]^{-1} \quad (4)$$

397 where L is the length of the pipe through which there is exchange of heat and \dot{Q} is the heat transfer
398 rate, calculated using Equation (1). For heat exchangers with a small ratio r/L , the source of heat
399 can be approximated by an infinite line and \dot{Q}_L is equal to \dot{Q}/L (in this study, $r/L = 4.0 \times 10^{-5}$). For
400 the value of the temperature T^* , different approaches have been proposed in the literature. The
401 approach of Mogensen (1983) and ASTM (2014), often referred to as the transient probe method,

402 defines T^* as the difference between the fluid temperature exiting the heat exchanger measured at
403 time t and the fluid temperature exiting the heat exchanger at the beginning of the test (i.e., the
404 difference fluid temperature, ΔT , in time). The most common approach used in TRT analyses is
405 referred to as the average fluid temperature method, and defines T^* as the average of the
406 temperatures of the fluid entering and exiting the heat exchanger (i.e., the average fluid
407 temperature, T_{ave}) (ASHRAE 2002; Gehlin and Spitler 2002; Sanner et al. 2005; Murphy et al.
408 2014). Although the transient probe method allows the convenience of estimating the thermal
409 conductivity of the system with only one temperature sensor, the use of the two sensors in the
410 average fluid method enables the observer to account for changes in heat transfer rate during the
411 TRT. For this study, both approaches were considered. It is important to highlight that the thermal
412 conductivity determined by the infinite line source analysis as presented in this paper, is an
413 effective thermal conductivity. In other words, it represents a thermal property of the whole heat
414 extraction system, which accounts for the MSW, but also for the heat exchanger pipes, the
415 protective layer of soil, and the circulating fluid.

416 Examples of the plots of T^* as a function of the logarithm of time for the heat exchanger
417 on Layer 2 are shown in Figures 7(a) and 7(b) for the transient probe method using the exiting
418 fluid temperature, and the average fluid temperature method, respectively. In both plots, an initial
419 nonlinear decrease is observed in the first 20 hours of heat extraction, after which a log-linear
420 decrease is observed. The best-fit linear trends for the period of 20 to 405 hours are shown. The
421 influence of the daily fluctuations in air temperature is clearly observed in the data, but the best-
422 fit lines follow the average slope of the data. These daily fluctuations in air temperature are shown
423 in Figure 8: although they caused noise in the experimental data, the noise was periodic and the
424 average daily temperature did not change significantly over the 17 days of the experiment (i.e.,

425 19.1 ± 1.3 °C). This implies that the daily fluctuations in air temperature did not lead to a bias that
426 affected the slope of the data during heat extraction.

427 In addition to fitting lines to the data between 20 and 405 hours as shown in Figures 7(a)
428 and 7(b), which are referred to as long-term analyses, lines were also fitted to the data between 20
429 and 100 hours, which are referred to as short-term analysis. The reason for performing two
430 analyses is to guarantee that overlapping effects between two segments of the serpentine heat
431 exchanger are not occurring. A summary of the estimated values of thermal conductivity using
432 both long-term and short-term analyses is shown in Table 1, and only slight differences between
433 the short-term and long-term analyses are observed. Therefore, it is likely that even after 17 days
434 of heat extraction, the overlapping effect in the segments of the serpentine heat exchanger did not
435 affect the assumption of a line source heat exchanger. Further, the fact that the values remained
436 the same also confirms that for the duration of this heat extraction test, the possible effects of heat
437 generation can be neglected. Table 1 summarizes the results from the transient probe method and
438 the average fluid temperature method, along with the specific sensors used in each analysis.
439 Variations in thermal conductivity of about 10% were observed for the different analysis
440 approaches. The thermal conductivity values in Table 1 are consistent with the upper bound of the
441 MSW thermal conductivity values reported in the literature, which range from 0.01 to 1.5 W/m°C
442 (Hanson et al. 2000; Yeşiller et al. 2015; Faitli et al. 2015b). The variability of two orders of
443 magnitude in the values reported in the literature, which were obtained from laboratory
444 experiments, reinforces the relevance of estimating the thermal conductivity of MSW in-situ. A
445 trend of increasing thermal conductivity is observed with increasing elevation in Figure 9, even
446 when accounting for the spread in values resulting from the use of different methods and time
447 intervals. While it was expected that MSW near the base liner would have a higher thermal

448 conductivity due to accumulation of leachate at the base of the landfill (e.g., Gibbons et al. 2014),
449 this was not reflected in the results for Layer 1. Two main factors might have led to the unexpected
450 trend in thermal conductivity with elevation. First, although the leachate head above the liner was
451 not monitored in this study, the landfill is in a dry climate area and the precipitation in the six
452 months preceding the test was only 5mm (Gillespie Field Airport weather station, El Cajon, CA),
453 suggesting that the waste is relatively dry and might not be saturated above the liner. Second, the
454 thermal response of the heat exchanger on Layer 1 is affected by the thermal characteristics of the
455 base liner materials (e.g., geosynthetics, compacted clay), as the MSW is only above the heat
456 exchanger on Layer 1, but not below it. Although the materials below the liner are all denser than
457 the waste, it is expected that they have lower thermal conductivity. The geosynthetic most
458 predominantly used in base liners is HDPE geomembrane (Rowe and Hoor 2009), which has a
459 thermal conductivity of approximately 0.34 W/m°C (Singh and Bouazza 2013). The thermal
460 conductivity of clays is sensitive to the degree of saturation, with a decrease in thermal
461 conductivity with degree of saturation. Reported values for the thermal conductivity of compacted
462 clay are often below 1.0 W/m°C (Beziat et al. 1988; Rowe and Hoor 2009; Li et al. 2012). Ali et
463 al. (2016) estimated that the effective thermal conductivity of geosynthetic clay liners (GCLs) is
464 between 0.2 W/m°C and 0.7 W/m°C, while Singh and Bouazza (2013) obtained values ranging
465 from 0.16 W/m°C to 1.07 W/m°C, with the lowest values corresponding to drier GCLs and the
466 highest values corresponding to hydrated GCLs. Since the thermal conductivity obtained in this
467 study reflects properties of the whole heat extraction system (i.e. all the materials through which
468 heat is transferred), the half-space formed by the base liner material and subsurface soil may have
469 a strong influence on the thermal conductivity estimated for Layer 1, lowering its final value when
470 in the dry conditions observed. Although not monitored in this study, other variables might affect

471 the trend of thermal properties with elevation. In addition to the effects of waste heterogeneity, it
472 is expected that the thermal properties will vary with elevation due to density variations (e.g.,
473 Kavazanjian et al. 1995; Zekkos et al. 2006). The difference in thermal conductivity for the
474 elevations of Layers 2 and 3 may be associated with the difference in testing conditions for the
475 two layers leading to different heat transfer rates, as greater values of \dot{Q} in Equation (4) may lead
476 to greater thermal conductivity values. It is also possible that a greater degree of biodegradation in
477 the upper portion of the landfill led to an increase in density that contributed to the greater thermal
478 conductivity in those regions.

479 When comparing values of thermal conductivity obtained with the infinite line source
480 analysis, which represent a thermal property of the system (the MSW, the cover soil material, the
481 heat exchanger pipes and the heat exchanger fluid) with values that represent MSW thermal
482 conductivity only, it is reasonable to expect that the system values obtained in this work are lower
483 than the values for MSW exclusively. Specifically, both the heat exchanger pipes and the heat
484 exchanger fluid (water) have lower values of thermal conductivity when compared to MSW, so
485 they would lead to a lower effective thermal conductivity. Nonetheless, as these components are
486 part of a heat exchange system that would be installed in the waste, the effective thermal
487 conductivity is useful in heat exchanger design calculations.

488 **CONCLUSIONS**

489 This study presented the in-situ thermal conductivity of a heat extraction system installed
490 at different elevations in an MSW landfill estimated from a 17-day heat extraction test performed
491 on horizontal, serpentine geothermal heat exchangers. Prior to the heat extraction tests, the
492 evolution in waste temperature was monitored for a period of 13 months until the waste
493 temperature stabilized. The maximum temperatures observed within the waste are in accordance

494 with the presented in literature for MSW. The values of estimated effective thermal conductivity
495 ranged from 0.86 to 1.32 W/m°C, and a trend of increasing thermal conductivity with elevation
496 was observed. These values are consistent with the upper bound of the thermal conductivity values
497 obtained for MSW from laboratory tests reported in the literature, indicating the relevance of
498 determining this variable in-situ. The approach used for installing the geothermal heat exchangers
499 horizontally in a serpentine configuration within the MSW cell is feasible for full-scale
500 applications as it does not require drilling or interruption of the landfill operations, significantly
501 reducing the costs of installation. The approaches used to interpret the in-situ effective thermal
502 conductivity from the heat extraction test may be useful in developing design guidelines for
503 geothermal heat extraction systems in MSW landfills.

504 **Data Availability Statement**

505 All data, models, and code generated or used during the study appear in the submitted article.

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TABLE 1: Summary of heat extraction thermal response test (TRT) results.

Method for defining T^* in the infinite line source analysis	Temperature sensor(s) used	Thermal conductivity ($W/m^{\circ}C$) ¹			Thermal conductivity ($W/m^{\circ}C$) ²		
		Layer 1	Layer 2	Layer 3	Layer 1	Layer 2	Layer 3
Transient probe $T^* = \Delta T$	Exiting fluid temperatures for each layer (T_{out})	0.94	1.12	1.17	0.94	1.03	1.16
Average fluid temperature $T^* = T_{ave}$	Entering and exiting fluid temperatures for each layer (T_{in}, T_{out})	0.86	1.11	1.28	0.89	1.12	1.32

¹Short-term analysis (20 to 100 hours)²Long-term analysis (20 to 405 hours)

LIST OF FIGURES

FIG. 1. Design of the heat extraction system: (a) Plan view of the system in the MSW cell; (b) Cross-sectional elevation view parallel to the geothermal heat exchanger pipes; (c) Cross-sectional elevation view perpendicular to the geothermal heat exchanger pipes; (d) Serpentine configuration of the geothermal heat exchanger pipes showing locations of the thermistor strings for Layer 2.

FIG. 2. (a) Geothermal heat exchanger pipes placed on Layer 1 with indications of direction of water flow; (b) Distribution of thermistor strings on Layer 2; (c) 150 mm of cover soil atop the geothermal heat exchanger pipes and thermistor strings; (d) Final waste cell slope.

FIG. 3. Measurements during MSW self-heating: (a) Temperature time histories for Layer 1; (b) Temperature time histories for Layer 2; (c) Temperature time histories for Layer 3; (d) Vertical profiles of temperature as a function of depth and distance from the slope 13 months after waste placement.

FIG. 4. (a) TRT measurements for Layer 1; (b) TRT measurements for Layer 2; (c) TRT measurements for Layer 3; (d) Calculated heat transfer rates for all three layers during the heat extraction TRT.

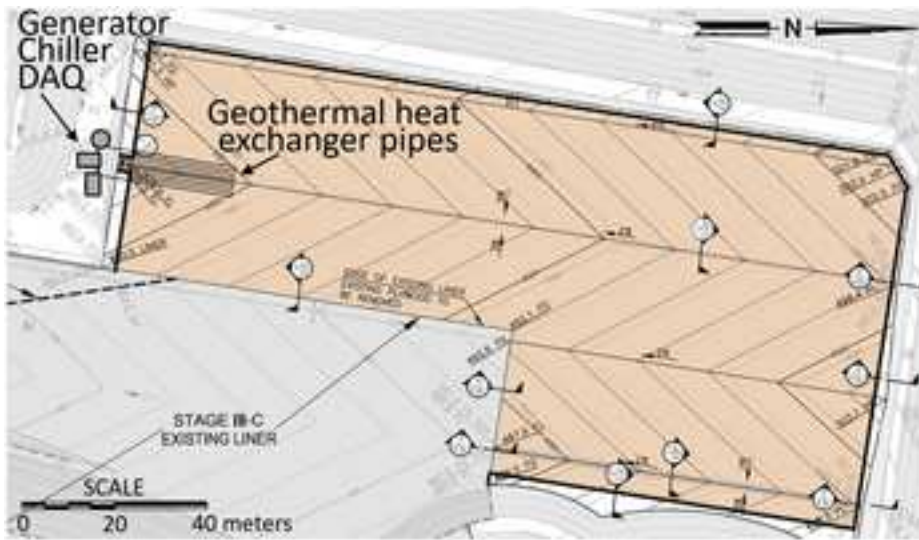
FIG. 5. Waste temperature evolution during heat extraction TRT for Layer 2: (a) String 2A; (b) String 2B; (c) String 2C; (d) String 2D.

FIG. 6. Horizontal profiles of temperature for different times during heat extraction (a) 16.8 m from the slope face; (b) 32.0 m from the slope face.

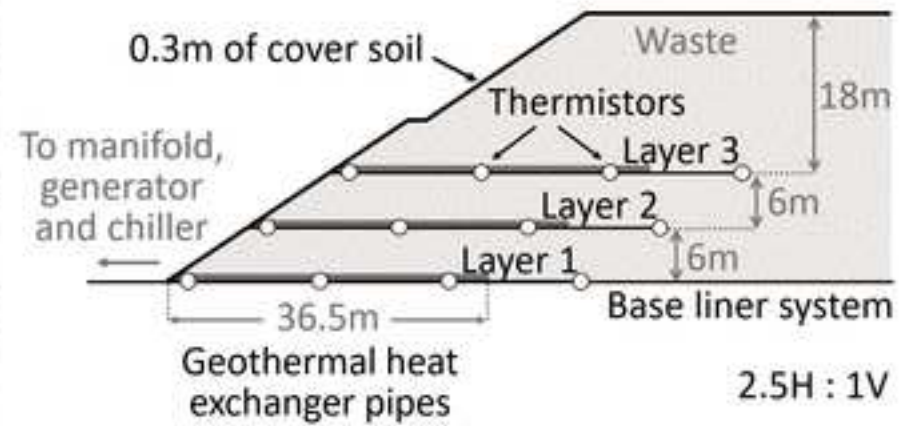
FIG. 7. Infinite line source analyses applied to Layer 2: (a) Transient probe method applied to exiting fluid temperature; (b) Average fluid temperature method.

FIG. 8. Variation of air temperature during the heat extraction TRT.

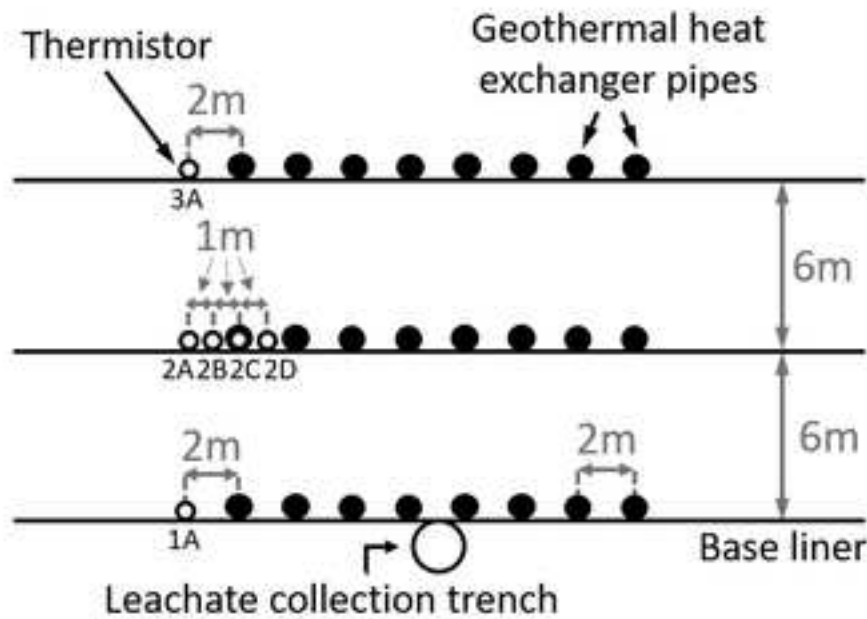
FIG. 9. Ranges of estimated MSW thermal conductivity values as a function of elevation.



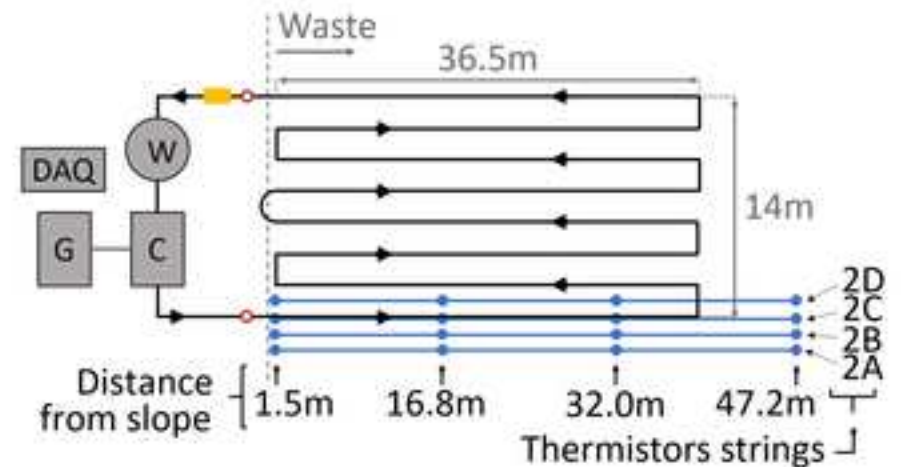
(a)



(b)

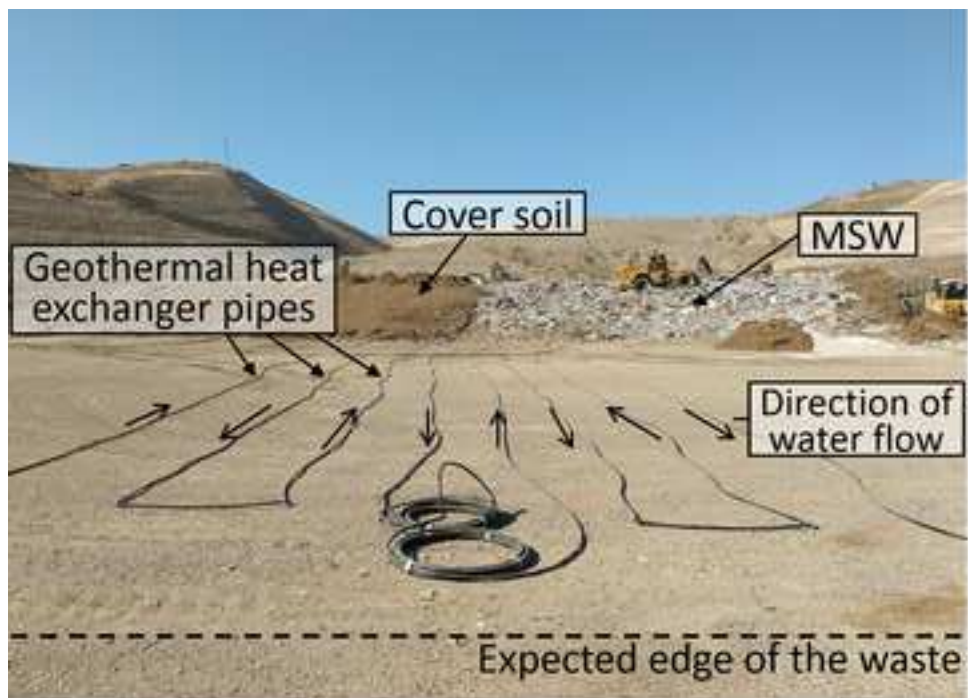


(c)

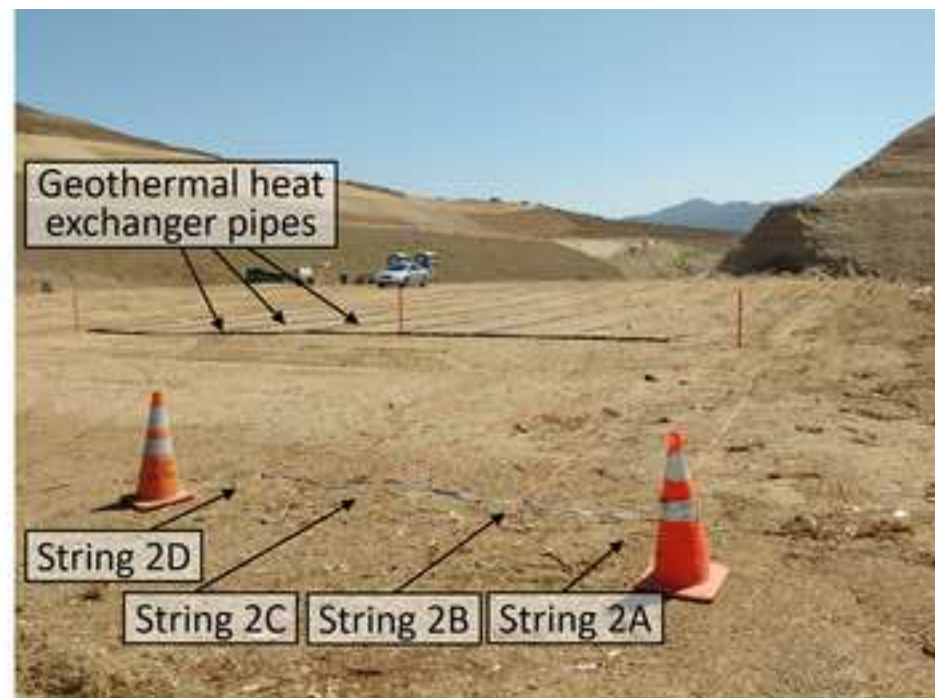


- W Water tank
- C Water Chiller
- G Generator
- DAQ Data Acquisition System
- Thermistor for waste temperature
- Sensor for water temperature
- Flowmeter

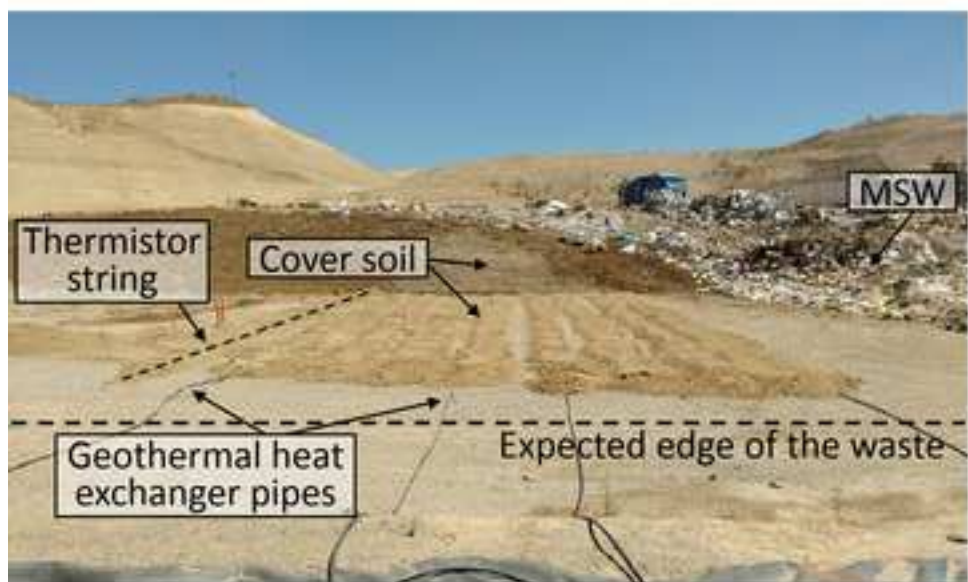
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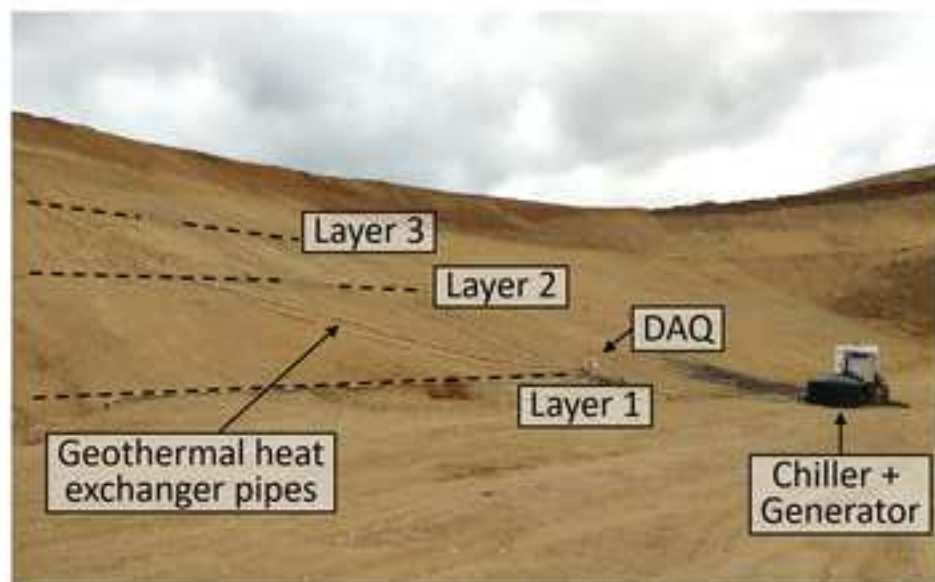
(a)



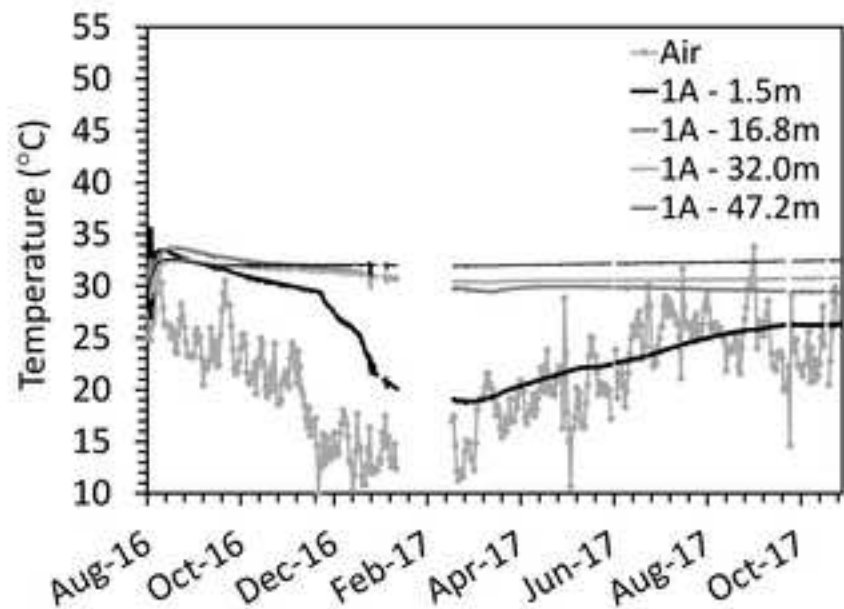
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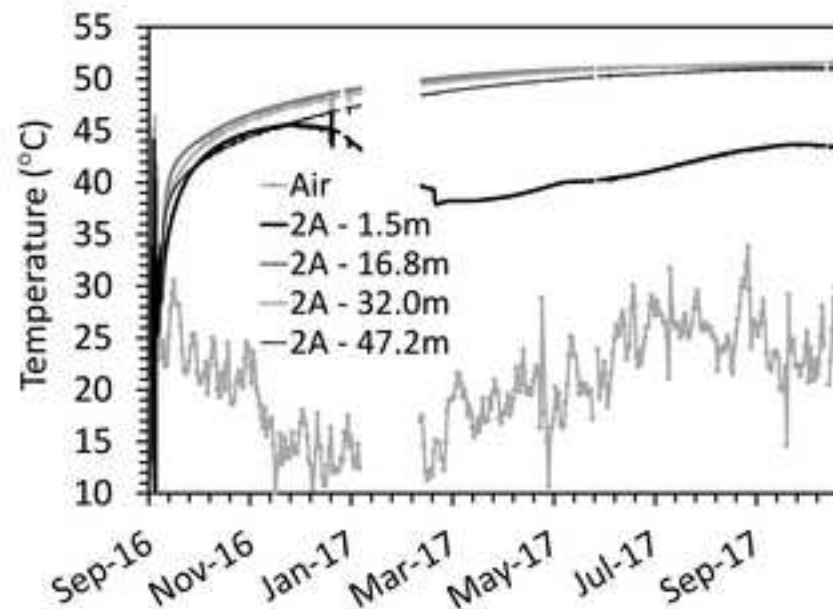
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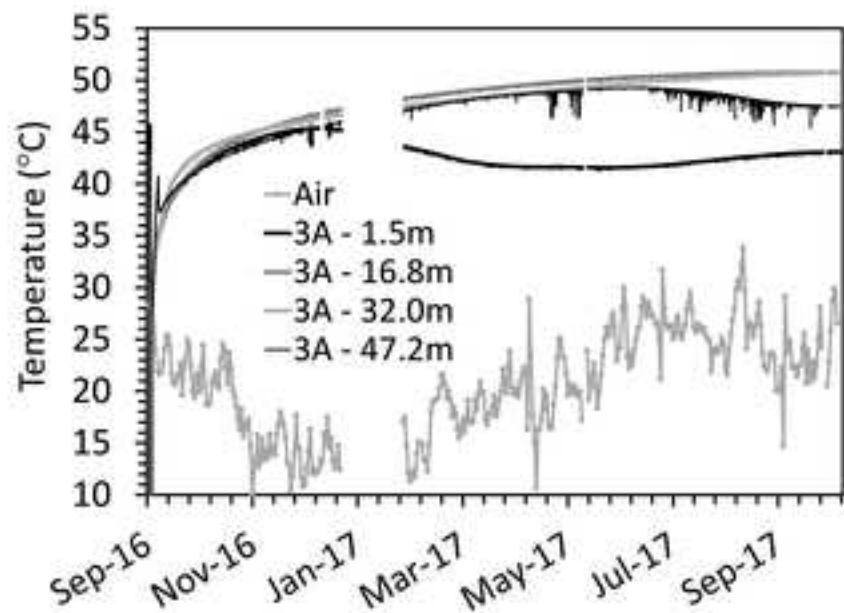
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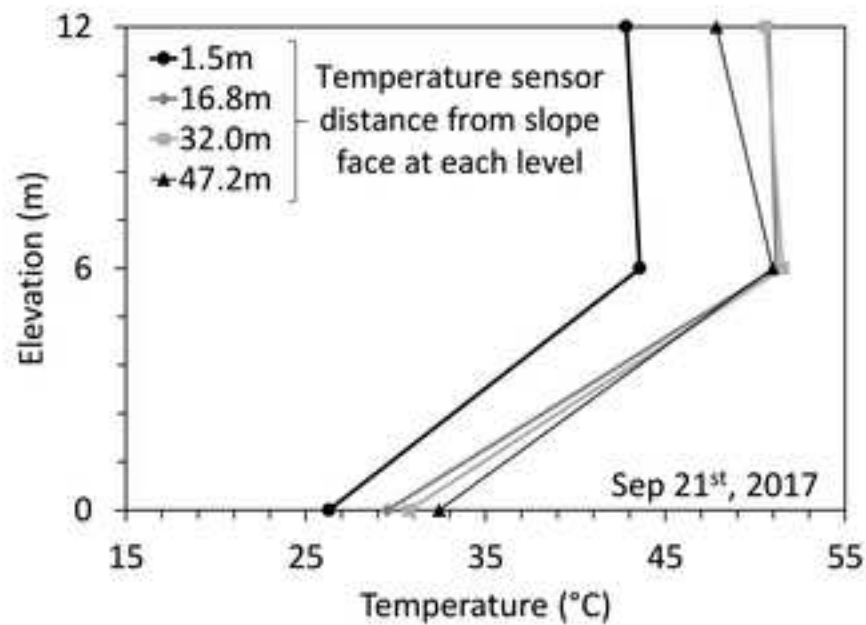
(a)



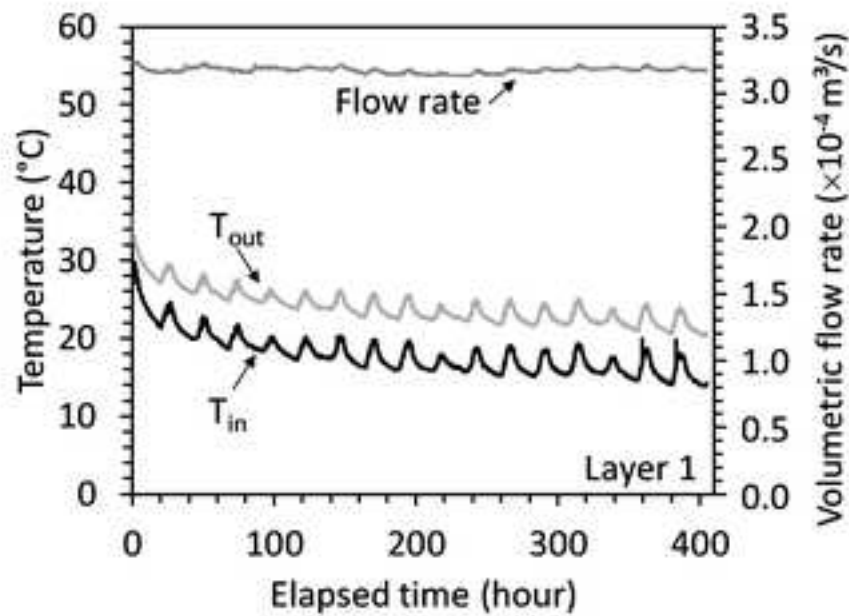
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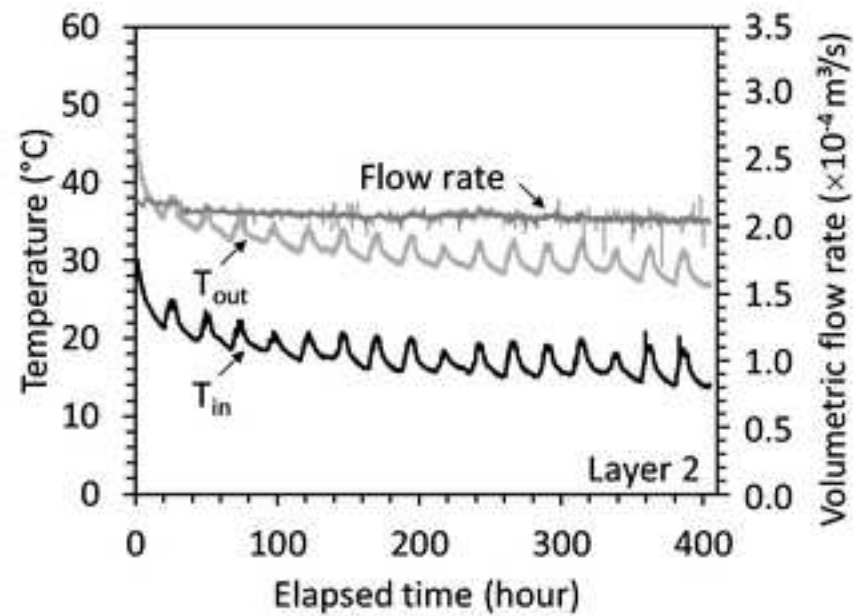
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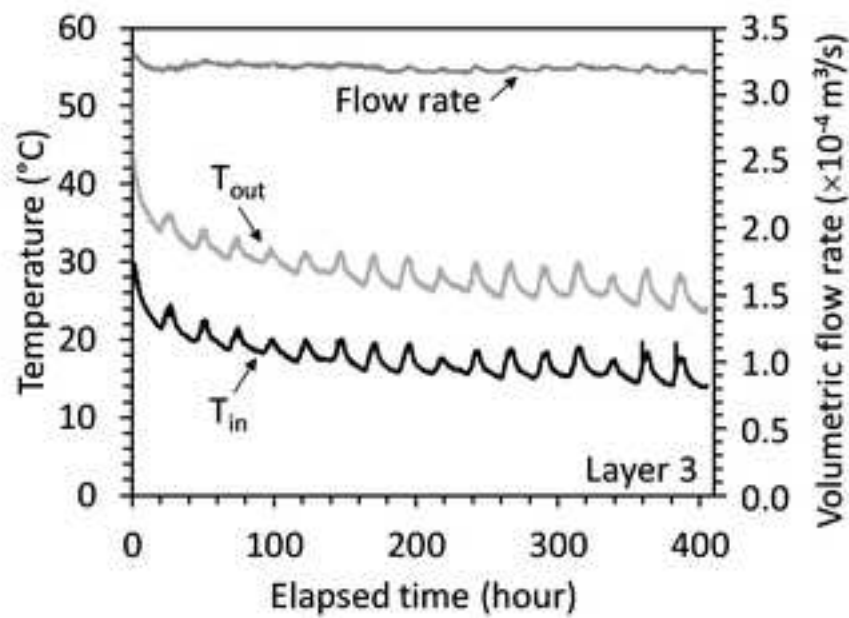
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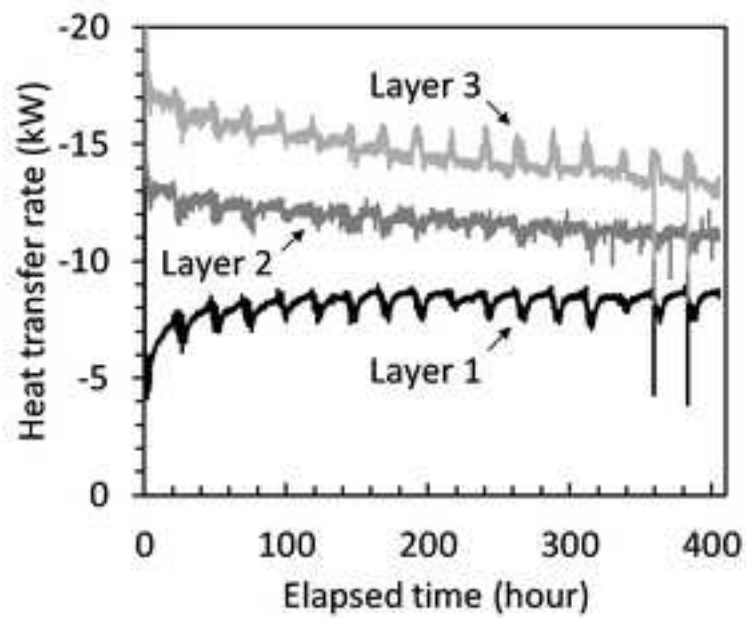
(a)



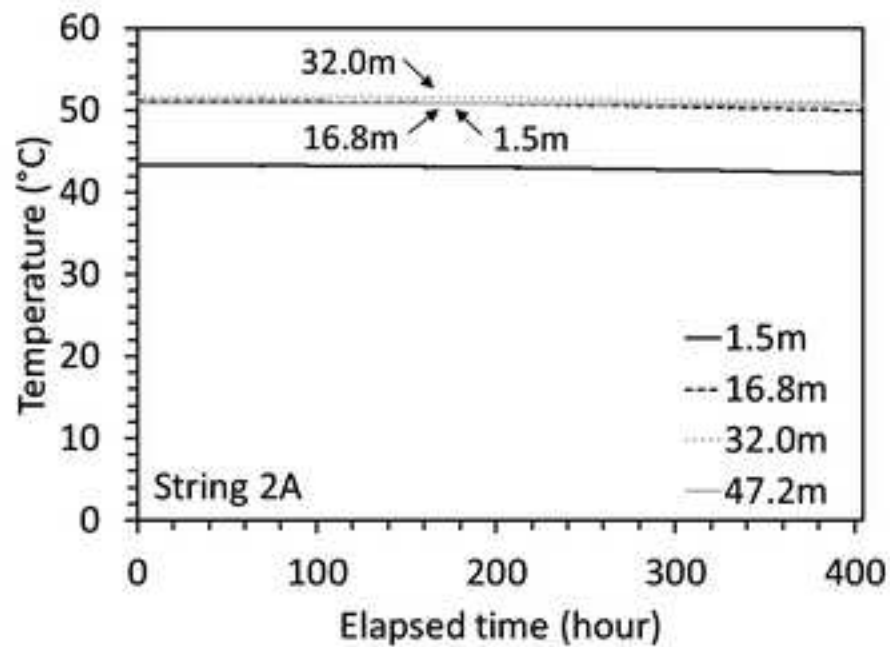
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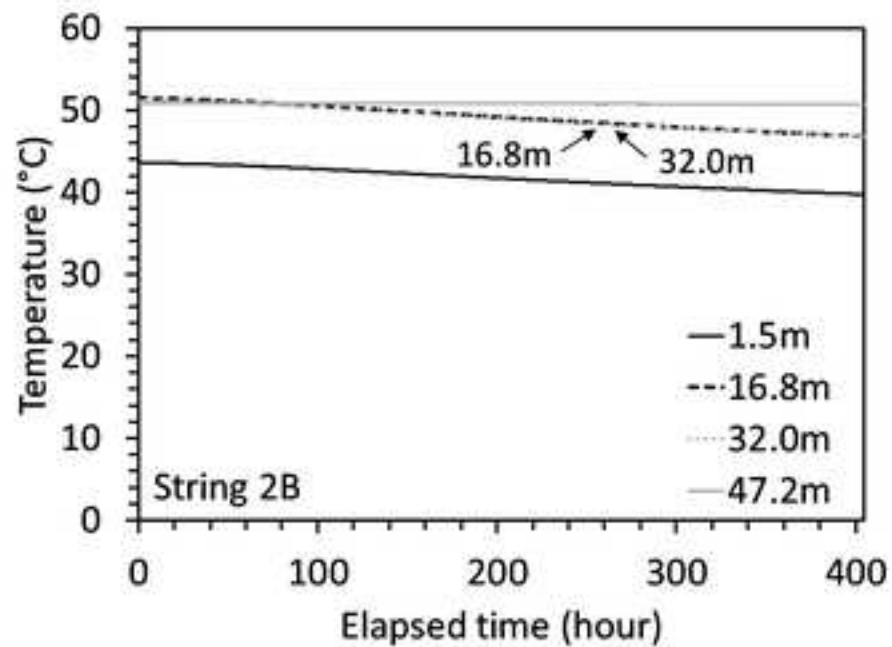
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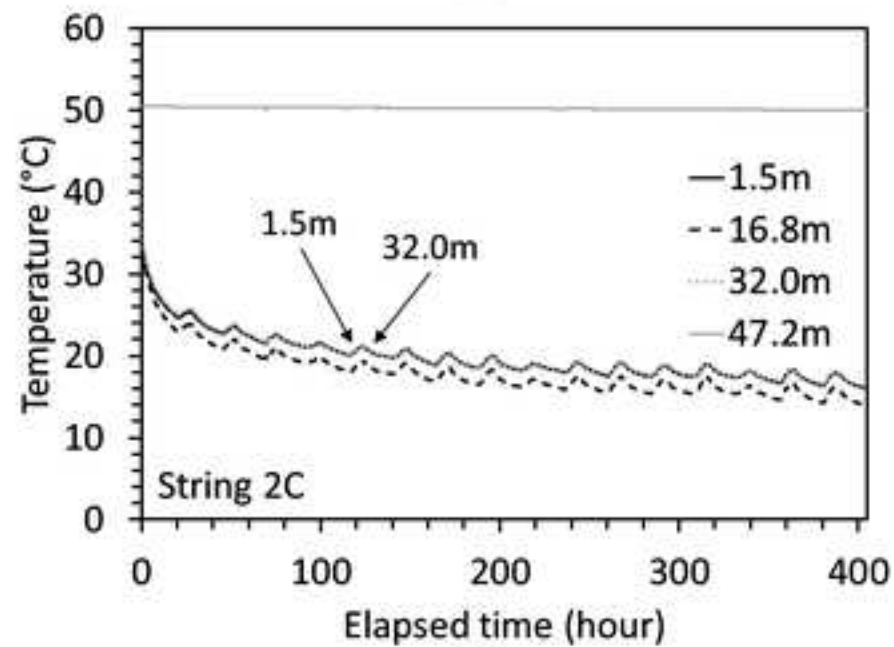
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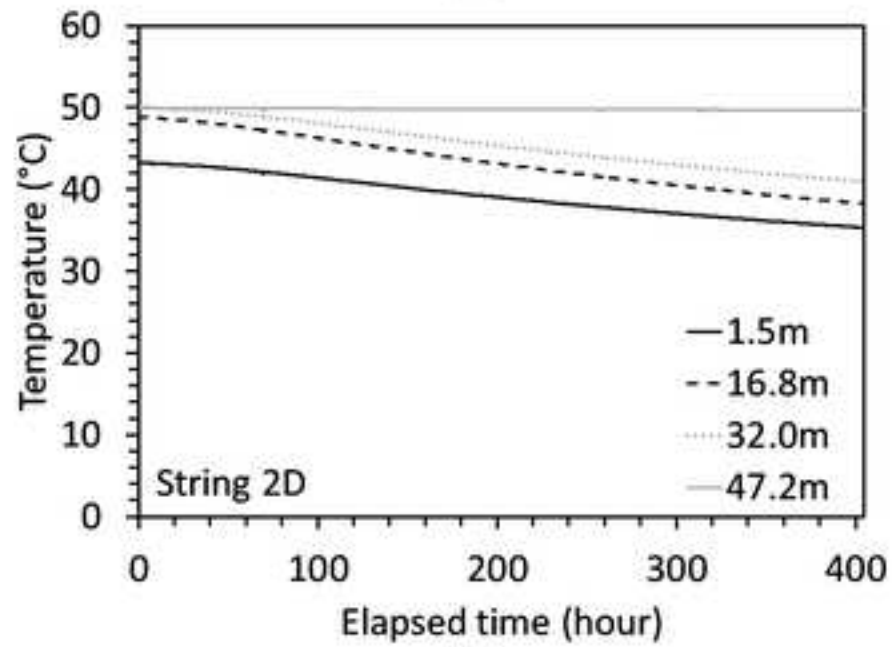
(a)



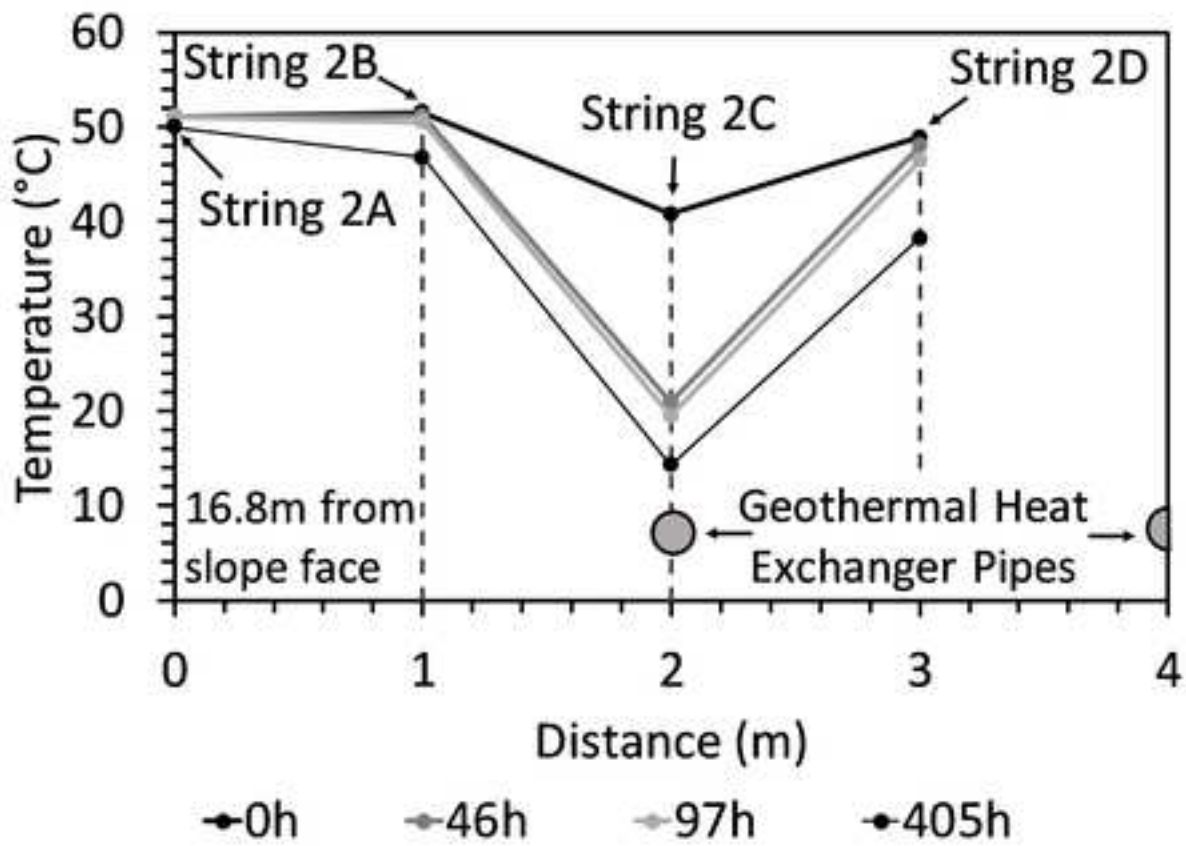
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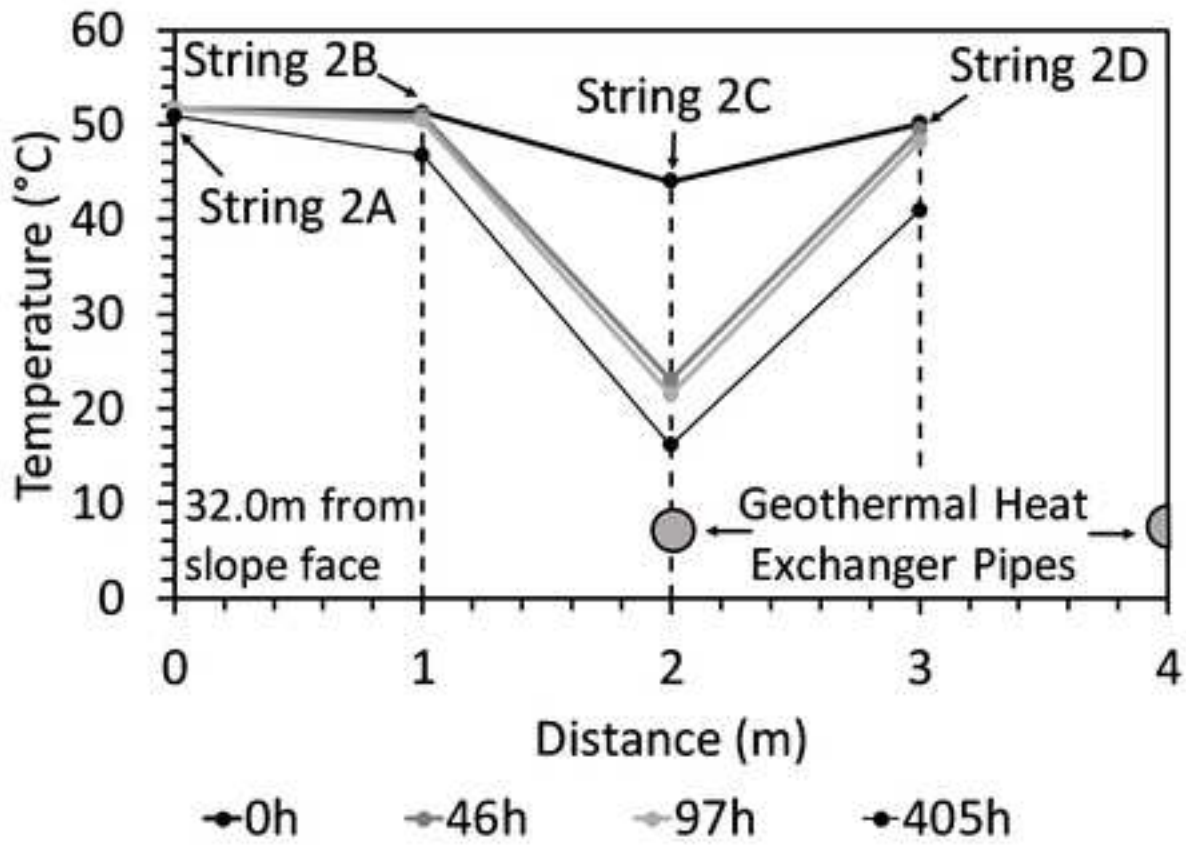
(c)



(d)



(a)



(b)

