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### Title

Revealing the natural complexity of topographic change processes through repeat surveys and decision-tree classification

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3

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5

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14 **Keywords:** topographic change; DEM differencing; river morphology; regulated rivers;  
15 geomorphic change

16

17 **Abstract**

18

19 Topographic change processes (TCPs) are the mechanisms by which a landscape  
20 is interpreted to be experiencing landform deformation, and are defined by the specific  
21 actions occurring within a contiguous, localized region that cause sediment to be either  
22 deposited or eroded. Past topographic change studies have mostly been focused at the  
23 site scale. The goal of this study was to identify and delineate spatially explicit TCP

24 types across the valley width in a 34-km long cobble-gravel river at the scale of 1/10<sup>th</sup> of  
25 the bankfull channel width over a period of 7-9 years. To accomplish this, a new  
26 procedure was developed that analyzes spatial patterns of topographic change evident  
27 from differencing two raster digital elevation models and accounting for sources of  
28 uncertainty, then identifying and classifying those changes using a decision tree  
29 framework that invokes the locations of those changes as they relate to the locations of  
30 specific geographic characteristics. Once mapped, TCP polygons were analyzed for  
31 areal patterns and volumetric rates of change. Results showed that 19 unique TCP  
32 types occurred and that they have organized but complex spatial patterns. Within this  
33 study segment, overbank storage processes occurred over the most area and displaced  
34 the most net volume of sediment, while cohesive bank retreat created the largest net  
35 change in topographic elevations. Analyses of the TCPs reveal that the regulated lower  
36 Yuba River (LYR) is not experiencing the expected combination of channel incision and  
37 floodplain deposition commonly reported below dams. Instead, the LYR is a dynamic  
38 valley that is still adjusting valley-wide to the upstream dam with a diverse suite of  
39 processes that cause the channel and floodplains to scour and fill in concert.

40

41

## 42 **Introduction**

43

44 Quantification of changes in river morphology provides a means for monitoring rates  
45 and directions of landform change as well as analyzing fluvial sediment budgets  
46 relevant to landscape evolution, river engineering, and ecosystem services. Although

47 geomorphologists have long recognized that topographic change is spatially complex on  
48 the basis of qualitative description (e.g. Goff and Ashmore, 1993; Lane *et al.*, 1994),  
49 time, cost, and insufficient technology historically constrained topographic change  
50 studies to cross-section based analyses in which the same lines across a river were  
51 repeatedly surveyed over years and changes were assessed by plotting the elevational  
52 profiles together on one figure (e.g. Leopold *et al.*, 1964; Warburton *et al.*, 1993).  
53 Ferguson *et al.* (1992) explained a standard procedure for computing a reach-scale  
54 volumetric sediment flux using cross-sectional data by computing erosion and  
55 deposition volumes independently through a local averaging and spatial summing  
56 procedure. Alternatively, individual processes such as bank retreat can be identified and  
57 then a small sampling of local topographic changes would be extrapolated (usually  
58 through planimetric analysis of aerial photographs and/or maps) to estimate reach,  
59 segment, or catchment scale fluxes (e.g. Hadley and Schumm, 1961).

60 Today, large, detailed topographic datasets are increasingly available and  
61 geomorphologists are rapidly developing commensurate methods for analyzing  
62 geomorphology with respect to its natural spatial complexity. A pioneering study in this  
63 new spatially explicit paradigm for quantitative fluvial geomorphology was the work of  
64 Lane *et al.* (1994), and the study herein builds on that legacy by developing an  
65 algorithm for identifying and mapping areas exhibiting spatially coherent and spatially  
66 distributed topographic change processes using a digital elevation model (DEM) of  
67 differences and a decision tree. When applied to a dynamic cobble-gravel river, the  
68 results show a remarkable assemblage of processes that offer a novel perspective on  
69 how rivers change through time.

70

71 DEMs and DEM differencing

72

73 In the last decade, segment-scale ( $\sim 10^3$ – $10^4$  channel widths), meter-resolution mapping  
74 techniques for subaerial and subaqueous topography have come into existence,  
75 become cheaper, and are more readily adopted (Hilldale and Raff, 2008; Costa *et al.*,  
76 2009), with further improvements occurring very rapidly (Javernick *et al.*, 2014).

77 Theories and methods for development and use of DEMs from such data have existed  
78 for a long time (Moore *et al.*, 1991) and continue to progress (Bishop *et al.*, 2012;  
79 Wilson, 2012). As a result, spatially explicit geomorphic and ecological methods  
80 applying DEMs are being developed to yield studies of physical habitat structure (Hall *et*  
81 *al.*, 2009; Pasternack, 2011), sediment dynamics (Fuller and Basher, 2013), river  
82 restoration procedures and outcomes (Merz *et al.*, 2006; Sawyer *et al.*, 2009), rates of  
83 eco-geomorphic changes (Grabowski *et al.*, 2014), and channel responses to fluvial  
84 drivers (Wheaton *et al.*, 2010b; Wheaton *et al.*, 2013) that are accurate, detailed, and  
85 useful for river science and management.

86 In terms of fluvial sediment budgeting for the study presented herein, DEMs  
87 collected at different times are compared by subtracting, or “differencing”, one from  
88 another (e.g. Lane *et al.*, 1994; Brasington *et al.*, 2000). Compared to cross-sectional  
89 analyses, DEM differencing provides a better estimate of the magnitude of volumetric  
90 changes (Fuller *et al.*, 2003), allows for a reliable estimate of uncertainty of the  
91 estimated changes (Wheaton *et al.*, 2010a), aggregates results at different scales  
92 above the grid-scale resolution accounting for statistical variability, and explicitly

93 identifies spatial patterns of scour and fill at the grid-scale resolution. The results  
94 provide a better understanding of spatial patterns and magnitudes of topographic  
95 change in rivers, which in turn means there is an opportunity to answer such questions  
96 in geomorphology that consider the natural complexity inherent in a three dimensional  
97 world (Wheaton *et al.*, 2013). Nevertheless, there remain important methodological  
98 gaps and uncertainties limiting wider adoption. The study herein analyzes segment-  
99 scale, meter-resolution DEM differences to identify the types of processes that occurred  
100 to create the current morphology of a 34-km regulated river system.

101

102 Topographic change processes

103

104 Topographic change processes (TCP) are the mechanisms by which a landscape is  
105 interpreted by trained geomorphologists to be experiencing landform deformation. The  
106 term *topographic change process* is used herein, instead of the similar *geomorphic*  
107 *change process*, because it is more explicit and descriptive of what is happening – a  
108 *process* that *changes* the *topography*. Scientific convention holds that topography refers  
109 to the elevation of a surface. Therefore, change to elevation between two moments in  
110 time (i.e., one epoch) is properly termed *topographic change*. If one were to look at how  
111 a topographic change process were then to change between two epochs (i.e., through  
112 four moments in time) if it did not remain constant, then we propose to use the term  
113 *geomorphic change processes* as a second order mechanism describing changes to  
114 topographic change process through time, as opposed to terming this topographic  
115 change change. Thus, topographic change and geomorphic change are not

116 synonymous. This therefore is the proposed lexicon for beginning with a single  
117 topography and taking the first and second derivatives of it through time. A TCP is  
118 defined by the specific action occurring within a contiguous, localized region that causes  
119 sediment to be either deposited or eroded. In the fluvial context, a TCP is usually a  
120 hydraulic mechanism that affects the underlying and surrounding morphology (e.g.  
121 lateral migration, bed incision, bar deposition, etc.) or a water-influenced mass wasting  
122 mechanism (Barker *et al.*, 1997; Darby *et al.*, 2007), including riverbank freeze-thaw  
123 (Yumoto *et al.*, 2006). There is a feedback loop between channel morphology and  
124 TCPs, such that a TCP that occurs to create a particular landform could be  
125 substantively altered by the presence of that new landform, thus changing the type of  
126 TCP that occurs in that region, which could be described as a geomorphic change  
127 process.

128 Previously, identification and/or spatial delineation of TCPs have generally been  
129 focused on small-scale studies, such that TCPs have usually been discussed singularly  
130 in response to a localized scour/fill process. At all spatial scales, there is an increasing  
131 trend to utilize dense repeat survey data to characterize DEMs of differences (DoDs).  
132 However, there is a distinct gap in the literature for combining segment-scale DoDs with  
133 expert-based assessment of change processes to create a large-scale TCP map.  
134 Wheaton *et al.* (2013) applied a detailed assessment of change processes to a 1-km  
135 long reach on a gravel-bed river. Through their multiple DoDs, they were able to identify  
136 which specific braiding mechanisms were the most responsible for changes in sediment  
137 volumes. Interpreting changes in river topography in terms of specific processes  
138 remains an important task for geomorphologists, but today detailed, expansive data and

139 model outputs can be utilized to achieve that goal with more resolution and objectivity,  
140 and for larger segments of rivers.

141 Some topographic change mechanisms are well studied, such as the lateral  
142 accretion that occurs as sediment deposits in recirculation zones on the inside bend of a  
143 channel meander that creates an emergent bar (e.g. Rubin *et al.*, 1990). Other  
144 mechanisms may be well documented, but the triggers for their creation are poorly  
145 understood, such as for avulsions (e.g. Slingerland and Smith, 1998). Studies that  
146 identify a variety of mechanisms responsible for multiple topographic change processes  
147 over a long river segment are rare, however.

148 The ability to delineate specific change processes over multiple scales is important  
149 for geomorphologists. The understanding of how valley sediments are consumed and  
150 rejuvenated provides insight into the evolution of the channel and landscape  
151 morphology. The connectivity between the channel and overbank regions can be  
152 strengthened or weakened based on what change processes are allowed to occur. For  
153 example, floodplains are usually assumed to form through lateral accretion processes  
154 as the channel migrates, causing bars to emerge which eventually become subsumed  
155 into the floodplains (e.g. Allen, 1965). However, if channel meandering is hindered, then  
156 floodplains will tend to form through vertical accretion processes. If those processes are  
157 paired with incision in the non-meandering channel, then a disconnect can arise  
158 between the channel and its floodplain. In natural streams, there is an array of  
159 processes that lead to floodplain formation, usually striking some balance between  
160 lateral and vertical processes (Nanson, 1986). Mid-channel islands are another  
161 common river feature that are known to form and scour away by a variety of



162 mechanisms (Wyrick and Klingeman, 2011), which can separate from or join to the  
163 floodplains depending on which mechanisms occur. Specific processes that allow for  
164 the growth or elimination of fluvial islands are important for maintaining a natural change  
165 regime in rivers (e.g. Gurnell and Petts, 2002).

166 The methodology for delineating TCPs presented herein removes field-observer bias  
167 and creates a repeatable approach for identifying and mapping a wide range of TCP  
168 types for any spatial scale.

169

## 170 Study Objectives

171

172 In light of these concepts, the overall goal of this study was to identify and delineate the  
173 mechanisms of topographic change in a dynamic lowland cobble-gravel river,  
174 considering 1/10<sup>th</sup>-width-scale resolution for a long river segment. In this study, we  
175 developed an algorithm for identifying and mapping areas exhibiting spatially coherent  
176 and spatially distributed topographic change process using a digital elevation model  
177 (DEM) of differences and a decision tree. We then applied the method to a 34-km  
178 regulated alluvial river segment to revisit the classic question of whether topographic  
179 change downstream of a sediment-barrier dam several decades after construction  
180 would be dominated by in-channel downcutting and floodplain fill processes, or if other  
181 processes would be equally or more important. The specific objectives addressed  
182 herein were to (i) devise a methodology for automatic and transparent delineation of  
183 TCP types; (ii) determine which TCP types were present in the study site during the  
184 1999-2008 epoch and in what abundances; (iii) assess how much sediment was

185 displaced by each TCP type within the segment, and (iv) use these results to  
186 conceptualize the morphologic response of a regulated river 67 years after dam  
187 installation.

188 Because this study is forensic, not predictive, the exact physical hydraulics that  
189 caused each change mechanism were not investigated. Instead, the results rely on  
190 previously published literature from the study segment and from other rivers that  
191 examine and explain these triggers as the basis for our identification and classification  
192 of the processes. In addition to aiding the interpretation of the growing number of DEM  
193 differencing field studies, the new methods presented herein could also be applied to  
194 predictive morphodynamic models (e.g. Nicholas, 2013) to better characterize their  
195 outputs and understand how well they represent real systems.

196

## 197 **Study Site**

198

199 Yuba watershed

200

201 The 3480 km<sup>2</sup> Yuba River is a tributary in the Sacramento River basin flowing from the  
202 western slopes of the Sierra Nevada to the confluence with the Feather River at  
203 Marysville (Figure 1). The montane-Mediterranean climate is characterized by cool, wet  
204 winters and hot, dry summers (Storer *et al.*, 2004). Almost all precipitation occurs from  
205 October through April, with a temperature dependent snowline. Snow pack accumulates  
206 through the winter at high elevations. Heavy flooding can occur in the winter when  
207 weather systems driven by the Pacific Ocean El Nino Southern Oscillation produce

208 warm rain-on-snow events. Spring runoff is dominated by snowmelt during April-June as  
209 temperatures warm. Dry conditions prevail May-September with occasional convective  
210 thunderstorms at high elevations. Annual precipitation ranges from > 1500 mm for the  
211 Sierra Nevada to ~ 500 mm near the mouth (Curtis *et al.*, 2005).

212

213 Lower Yuba River segment

214

215 The ~ 37 km long section between the Englebright Dam and the Feather River  
216 confluence is termed the Lower Yuba River (LYR). It exhibits a straight to slightly  
217 meandering planform geometry, little entrenchment, a cobble-gravel bed, an average  
218 channel slope of 0.16%, and an average wetted baseflow width of 59.4 m (Wyrick and  
219 Pasternack, 2012). Even though Englebright Dam blocks bedload, the LYR remains a  
220 wandering gravel-bed river with a valley-wide active zone due to the cobble-gravel-rich  
221 hydraulic-mining deposits (James *et al.*, 2009; White *et al.*, 2010). The segment-scale  
222 mean substrate diameter is 97 mm (i.e. small cobble); however, the mean substrate  
223 size decreases in the downstream direction, from 298 mm (boulder) near Englebright  
224 Dam to 40 mm (medium gravel/small cobble) near the mouth. Applying the reach-scale  
225 Stream Type classification method (Rosgen, 1996) to the whole LYR segment, the  
226 segment is classified as a C3 stream, with some differences for each of eight reaches  
227 (Wyrick and Pasternack, 2012). The 8-m Daguerre Point Dam (DPD) was installed ca.  
228 1910 to be a sediment trap about halfway down the LYR (Figure 1). Sediment has since  
229 filled in its storage capacity and provides little to no barrier for downstream transport.

230 Instantaneous stage-discharge has been continuously recorded on the LYR at two  
231 USGS gages: Smartsville near Englebright dam (#11418000), and Marysville near the  
232 mouth (#11421000). The baseflow discharge ( $\sim 19.8 - 28.3 \text{ m}^3/\text{s}$ ) in the LYR typically  
233 occurs during the late fall season that coincides with the Chinook (*Oncorhynchus*  
234 *tshawytscha*) adult spawning period and includes an agricultural withdrawal at DPD of  
235 up to  $9.9 \text{ m}^3/\text{s}$ . Wyrick and Pasternack (2012) defined a representative baseflow  
236 discharge for research purposes of  $24.9 \text{ m}^3/\text{s}$  above DPD and  $15.0 \text{ m}^3/\text{s}$  downstream of  
237 DPD (accounting for an irrigation withdrawal), which is equivalent to  $\sim 75\%$  daily  
238 exceedance probability. The winter flood regime is highly dynamic despite some flow  
239 regulation (regulated up to  $118.9 \text{ m}^3/\text{s}$  by Englebright Dam), with a bankfull discharge of  
240  $\sim 141.6 \text{ m}^3/\text{s}$  occurring every  $\sim 1.25$  years and the floodplain-filling flow of  $\sim 597.5 \text{ m}^3/\text{s}$   
241 occurring every  $\sim 2.5$  years (Wyrick and Pasternack, 2012). Existing LYR literature with  
242 more information about the hydrogeomorphic conditions include Pasternack (2008),  
243 James *et al.* (2009), Moir and Pasternack (2010), Sawyer *et al.* (2010), and White *et al.*  
244 (2010).

245 The LYR morphology was previously delineated at the  $\sim 0.1\text{-}10$  channel-widths scale  
246 by identifying specific classes of contiguous landforms, known as morphological units  
247 (MUs), that make up the in-channel and overbank areas of the latest-available DEMs  
248 (Wyrick and Pasternack, 2012, 2014). Delineation of the MUs was accomplished  
249 through a classification of representative base flow depth and velocity rasters that  
250 objectively mapped the laterally-explicit in-channel landforms (Wyrick *et al.*, 2014).  
251 Bank, floodplain, and outer valley landforms were mapped on an expert basis drawing  
252 on many geospatial indicators. In total, 31 distinct MU types were identified and mapped

253 for the full LYR segment, which will become one of the stratification filters for TCP  
254 identification. Full descriptions of the MU types and how they were delineated are  
255 available in Wyrick and Pasternack (2012).

256

257 Survey and DEM data

258

259 Two topographic DEM datasets spanning the downstream-most 34 km of the lower  
260 Yuba River (i.e. from the onset of Timbuctoo Bend to the mouth, Figure 1) were  
261 compared to create a detailed map of the areal and vertical changes in topography.  
262 Complete details of the methodology, including spatially explicit uncertainty analysis, as  
263 well as a discussion of the implications the DEM differencing maps have on the  
264 interpretation of the LYR's landscape evolution are available in Carley *et al.* (2012), but  
265 are summarized herein. This study expands upon the earlier work by analyzing the  
266 observed spatial patterns of change and classifying those changes by the specific  
267 mechanisms that created them.

268 In 1999, topographic and bathymetric survey data were collected by contractors for  
269 the US Army Corp of Engineers to yield a 0.6-m contour map of the LYR. Topographic  
270 contours and available point data were combined to produce a 1.5-m resolution DEM  
271 using the State Plane California Zone II (feet) coordinate system (NAD83 datum), with  
272 the elevations updated to the modern NAVD88 datum. A more recent topographic map  
273 of the LYR was produced between 2006 and 2009 through a phased effort as funding  
274 and need permitted. Ideally, the entire river would have been surveyed in one brief  
275 effort, but resources, available expertise, technology, and variable river conditions

276 necessitated incremental mapping. As it turned out, the survey period between June  
277 2006 and March 2009 was dry with low flows (Figure 2), so it was reasonable to extend  
278 mapping over this time frame to meet project constraints. Subsequent analyses that  
279 hinge on the duration between topographic maps from section to section of river  
280 accounted for different epochs for different areas. Additionally, areas of data gaps within  
281 each map and known man-made alterations (e.g. mining pits, dredging spoils, etc.)  
282 between mapping efforts were removed from both DEMs before differencing.

283 The 1999 contour map is a dataset that was provided to the authors as is. For the  
284 2006-2009 surveys, the authors had more control of the survey methods and map  
285 production. For these latter DEMs, a comprehensive set of uncertainty analyses was  
286 performed to ensure that the multiple surveys used to create the single map were  
287 accurate and comparable (for details on the uncertainty analyses, refer to Barker, 2010  
288 and Carley et al., 2012). Ground points on the uneven natural surface were compared  
289 between ground-based and boat-based surveys, ground-based and LIDAR surveys,  
290 and boat-based and LIDAR surveys. Surveys were also compared at carefully surveyed  
291 water surface elevation locations along the water's edge, where surface variability was  
292 less. Vertical datums were checked between survey methods. Overall, mean survey  
293 differences between methods were within the river's mean grain size (97 mm). A  
294 thorough QA/QC report is available in Barker (2010). After all QA/QC analyses were  
295 performed and datum adjustments made, a set of TINs were produced for the entire  
296 LYR to characterize each survey.

297

298 DEM difference map

299

300 To overcome the limited point density resulting from digitizing points along contours,  
301 Carley *et al.* (2012) applied an artificial point grid to a three-dimensional surface before  
302 DEM subtraction. Initially, each topographic surface began as a TIN based on the  
303 available source data. A summary workflow for the Carley *et al.* (2012) method using  
304 ArcGIS 10.0 is presented in Figure 3. For this system, any differences of  $\pm 0.3$  m were  
305 considered within the bounds of uncertainty and were labeled as “no detectable  
306 change”. The final DoD map represents the net change in topographic elevation over  
307 the 7-9 year epoch for each pixel (Supplemental Figure 1). Any ephemeral topographic  
308 changes that occurred between the two map dates but did not persist until the second  
309 survey cannot be accounted for with this methodology, which is a long-standing  
310 constraint on the repeat survey approach (Horne and Patton, 1989).

311

## 312 **Methods**

313

314 Delineation of TCPs

315

316 Ideally, there would be some way to continuously observe rivers as they change  
317 through time, especially during floods, and then TCPs would be objectively identified  
318 and delineated as processes occur. Such an ideal is feasible for numerical  
319 morphodynamic models, though not yet implemented. However, neither field  
320 geomorphologists nor technologies can see into turbid water in low light or darkness to

321 quantify and map TCPs during floods. Analyses must make do with the surveys that  
322 become available as time and money permit. For the LYR, there exists a 1999 survey  
323 and a repeat survey in 2006/2008 (different epochs for different reaches of the river).  
324 Little morphologic observation occurred during the intervening years, except at the site  
325 scale in which riffle-pool maintenance was analyzed in Timbuctoo Bend (Sawyer *et al.*,  
326 2010). Therefore, TCP identification must be inferred from the two survey datasets,  
327 along with expert knowledge on how a particular process would affect the morphology.  
328 Wheaton *et al.* (2013) used a manual, expert-based procedure to interpret DoD rasters  
329 and draw polygonal objects for each individual, spatially coherent area where a TCP  
330 occurred. In this study, we took a different approach in which we used expert judgment  
331 to produce a decision tree for how a DoD should be delineated, but then allowed that  
332 algorithm to objectively map TCP polygons. A summary workflow for this method is  
333 presented in Figure 4, and expanded upon in the following paragraphs.

334 Before any definitions or nomenclature of specific TCPs were applied to the LYR,  
335 each pixel within the DoD map was segregated and catalogued based on geographic  
336 characteristics: whether net scour, fill, or no change occurred during the survey epochs;  
337 its spatial location to the wetted channels for both survey end dates; and the physical  
338 valley characteristics of each pixel (i.e. morphological unit endform, vegetation  
339 presence, sediment size, etc.).

340 For the first step for TCP delineation, DoD raster pixels were reclassified into either  
341 scour, fill, or “no detectable change” in light of the aggressive 95% confidence  
342 thresholding used in the spatially explicit uncertainty analysis (Carley *et al.*, 2012). Once  
343 classified, adjoining cells of the same class were merged into object-oriented polygons.



344 For the second step, the LYR corridor was segregated into four distinct regions  
345 based on the wetted channel boundaries in 1999, 2006 (Timbuctoo Bend reach only),  
346 and 2008 (the rest of the alluvial LYR). Ideally this would be done using objectively  
347 delineated bankfull channel wetted area maps for each DEM. It is rare to have repeat  
348 aerial photos taken at nearly the same discharge many years apart, so an approach  
349 was needed to obtain and analyze wetted channels at similar flows. One way would be  
350 to reconstruct planform channel regions for each year at the same discharge using two-  
351 dimensional hydrodynamic (2D) models (Pasternack, 2011). In this case that was  
352 infeasible, because there were enough DEM data gaps in key locations of the 1999 map  
353 to inhibit 2D modeling of the river segment. Instead, the approach taken was to use the  
354 imagery from the initial year when flow was relatively close to bankfull discharge and  
355 then simulate that flow for the later years to obtain the matching planform channel  
356 conditions. Specifically, planform channel regions were hand digitized using greyscale  
357 aerial imagery collected by Towill, Inc. on April 14, 1999 when the USGS streamflow  
358 record indicates a mean daily discharge of  $109 \text{ m}^3/\text{s}$  (3,860 cfs) at the Smartsville gage.  
359 Then, planform channel regions were obtained from a pre-existing 2D model simulation  
360 of the LYR at a discharge of  $113 \text{ m}^3/\text{s}$  (4,000 cfs), which is the closest discharge  
361 simulated to that of the 1999 map (Barker, 2011; Abu-Aly *et al.*, 2013; Pasternack *et al.*,  
362 2014). The flow difference is just 3.6%, which is too small to matter for the purpose of  
363 this study. The wetted channel polygons from 1999 and 2006/2008 were overlain and  
364 the valley was then divided into four distinct planform regions: (a) outside both channels  
365 – not wetted at either time, (b) inside both channels – wetted for both times, (c) outside

366 of 1999 but inside 2008 – was dry then wetted, and (d) inside 1999 but outside 2008 –  
367 was wetted then dry.

368 The LYR valley has previously been delineated based on several other physical  
369 characteristics. These rasters were overlain with the DoD raster to provide additional  
370 characterizations for each pixel. A vegetation presence/absence raster (Abu-Aly *et al.*,  
371 2014) was used to delineate which deposition processes might have been influenced by  
372 vegetation, though this only accounts for the effects of vegetation in vegetation and not  
373 how it could affect topographic change upstream and downstream of it. The locations of  
374 TCPs were identified with respect to features of the morphological unit map (Wyrick and  
375 Pasternack, 2012, 2014), such as islands, floodplains, berms, cutbanks, and high flow  
376 channels (i.e. swales and flood runners). Sediment size distribution maps (Jackson *et*  
377 *al.*, 2013) and field reconnaissance of cutbanks were used to interpret whether some  
378 erosion processes occurred in cohesive or non-cohesive sediments.

379 All contiguous pixels that exhibited the same set of segregation characteristics were  
380 coalesced into a singular polygon. Excluding “no detectable elevation change” (which  
381 overrode all segregations), combining all segregation steps yielded 19 distinct possible  
382 combinations, ten fill processes and nine scour processes (Table 1a, b). The suite of  
383 like polygons thus comprises a specific TCP for the LYR valley. Scientific definitions and  
384 nomenclature assigned to each type of process that occurred within the LYR (i.e. each  
385 specific combination of identified raster characteristics) were first agreed upon within a  
386 collaborative consortium of scientists with expert knowledge of the river. The exact  
387 names of the processes may differ between river scientists and within the literature;  
388 however, they were chosen so as to clearly and plainly represent each process

389 definition. For example, “downcutting” (Table 1b) is a common term used to describe  
390 the vertical (downward) erosion within the persistent main channel. This process utilizes  
391 the shear stress of the water column acting on the channel bottom to transport its  
392 sediments, and as such does not incorporate any process that may lead to meandering  
393 (i.e. bank shear stress, weathering and weakening, or mass wasting). Downcutting is  
394 purely a process that leads to net negative elevation change within the channel over a  
395 given survey epoch. A couple TCP names (berm fill and berm scour) are likely unique to  
396 the LYR, because of 19<sup>th</sup> century hydraulic mining sedimentation and early to mid 20<sup>th</sup>  
397 century re-processing of that material into tailings berms by dredgers.

398 A decision tree was created to automatically and objectively categorize the polygons  
399 into specific TCPs based on the subjective segregations described above (Figure 5).  
400 The decision tree may seem large and complicated at first view, but it rests on a few  
401 simple principles and choices that are easily understood and applied. This hierarchical  
402 segmentation successively splits the DoD dataset into increasingly homogeneous  
403 subsets until terminal TCP types are determined. The process was created with full  
404 transparency such that future iterations of TCP mapping in other fluvial systems can be  
405 done with simple adjustments to the decision tree that will personalize the method to  
406 that system. In contrast, manual TCP delineation is more opaque and difficult to  
407 systematically amend if the underlying TCP notions used by the delineator are not fully  
408 accepted by reviewers and stakeholders.

409 An example of the TCP mapping is illustrated for the area just downstream of Long  
410 Bar (~ RKM 22.5 – 24.0) in Figure 6. This example was created with the workflow in  
411 Figure 4 using ArcGIS v. 10 (ESRI, Redlands, CA) to segment the rasters based on the

412 decision tree criteria in Figure 5; however, other preferred programming methods for  
413 automating the delineation are widely available and may be employed at the user's  
414 discretion.

415

416 Spatial abundance and distribution

417

418 To characterize the abundance and distribution of TCPs, aggregate areal statistics were  
419 computed and the longitudinal profile of abundance analyzed. To calculate the  
420 abundance of each TCP type in the LYR, the planform area of each individual TCP  
421 polygon was calculated in ArcGIS. Polygon areas were summed by type and divided by  
422 the total study area to determine percent coverage. Longitudinal distributions were  
423 calculated as the percent area of each TCP type within cross sectional rectangles  
424 distributed down the river. To define cross sections, the river valley centerline was  
425 automatically stationed in ArcGIS and given perpendicular cross sections evenly every  
426 6 m (~ 1/10 base-flow width) along the study segment. Cross sections were then  
427 buffered 3 m upstream and downstream to create rectangles that spanned the wetted  
428 width and contiguously covered the segment area. Within each rectangle, the areas of  
429 each TCP type were calculated and converted to a percent of total TCP type area, and  
430 those areas were assigned to the cross section at each rectangle's center. Longitudinal  
431 distributions are presented as discrete area functions.

432

433 Rate of depth changes

434

435 At the segment scale, the mean net vertical change was computed for each TCP. The  
436 DoD raster provides the change in topographic height (scour, fill, or no change) for each  
437 pixel between 1999 and 2006/2008. Therefore, mean net vertical changes were  
438 calculated as the averages of each pixel value among all polygons for each TCP type.

439 For the special case of the LYR, whose DoD raster was created from two different  
440 time epochs between surveys (seven years for TBR, and nine years for the rest of the  
441 segment), converting the mean TCP depths to annual rates was not as simple as  
442 dividing by a single time span because the values could not be summed across that  
443 epoch difference. Instead, the rates of depth changes were calculated after the rates of  
444 volume changes were calculated (described in more detail in the following sub-section).  
445 Because the total volume changes can be summed across the two survey epochs, the  
446 mean annual depth changes were calculated as the quotients of the mean annual  
447 volume changes and the areas for each TCP type.

448

449 Annual sediment budget by TCP

450

451 For each TCP type the sum of topographic change heights was computed and  
452 multiplied by the planform area of that TCP. Wheaton et al. (2013) chose to apply the  
453 same kind of volumetric calculation (i.e. cell-by-cell LoD summation times TCP area) to  
454 the level of detection (LoD) raster from spatially explicit uncertainty analysis to obtain an  
455 estimate of volumetric uncertainty. However, they noted that this is an overly aggressive  
456 calculation that excludes from consideration a significant amount of real topographic  
457 change. It remains to be determined what are technically sound and scientifically

458 meaningful approaches for spatially explicit volumetric uncertainty analysis in different  
459 settings, so no such procedure was used herein.

460 In order to convert the absolute volumetric change to an annual budget, the  
461 calculation had to be performed in two steps because the time epoch for Timbuctoo  
462 Bend is different than the rest of the river. First, the TCP map was split into the two  
463 regions: within Timbuctoo Bend (i.e. the 2006 dataset), and everything else. The  
464 Timbuctoo Bend TCP map was used to calculate the mean vertical change for each  
465 process type, and the same was done for the TCP map of the rest of the alluvial river.  
466 The total areas of each process type within each map were also determined in ArcGIS.  
467 The mean depths for each region were divided by the time epoch (seven years for  
468 Timbuctoo Bend, and nine years for the rest). These mean annual depth changes were  
469 multiplied by the total area of each TCP within each region and then summed between  
470 the two regions.

471

## 472 **Results**

473

474 Spatial abundance and distribution

475

476 The full 34-km TCP map is provided as a supplemental material to allow for inspection  
477 of the pattern along the whole river segment (Supplemental Figure 2). At the segment  
478 scale, nearly half of the area (46.9%) experienced no detectable net elevation change  
479 over the survey epochs. The remaining 53.1% of the area that did experience  
480 measurable change were classified into one of 19 TCP types (Figure 7). The LYR

481 exhibited a highly unequal abundance of types, with five (overbank storage, overbank  
482 scour, vegetated overbank storage, downcutting, and in-channel fill) comprising of about  
483 76% of the areas that experienced change (but only ~40% of the total segment area).  
484 The five least abundant processes (island removal, abandoned channel infill, cohesive  
485 bank retreat, island scour, and island emergence) combined comprised <0.75% of the  
486 total area, suggesting that those processes were highly localized.

487 The longitudinal organization of each TCP type showed that most of the processes  
488 were located non-randomly within the LYR (Figure 8, for most pertinent TCP types;  
489 Supplemental Figure 3, for all TCP types). Even the most abundant process, overbank  
490 storage, was dominant in the regions just downstream of DPD and was mostly lacking  
491 in the regions just upstream of DPD (Figure 8A), which was already full of sediment and  
492 thus not the typical control on TCPs presented by dams. The most ubiquitously  
493 organized processes were non-cohesive bank migration (Figure 8E) and downcutting  
494 (Figure 8C). Many of the lesser abundant processes only occurred in a few locations  
495 along the valley (e.g. cohesive bank retreat, Figure 8F).

496 Within the 1999 wetted channel, the most abundant processes were downcutting  
497 (Figure 8C), bar emergence (Figure 8G), and in-channel fill (Figure 8D). The high  
498 abundance of bar emergence processes in the LYR suggests that the river allows for  
499 meander and re-mobilisation of floodplain sediments. Bars emerge as channels migrate  
500 and consume old floodplain, which keeps new floodplain geometry in a state of self-  
501 maintenance with the flow regime (see Discussion section for more details). The most  
502 abundant processes outside of the 1999 wetted channel were overbank storage (Figure  
503 8A), overbank scour (Figure 8B), and vegetated overbank storage (Figure 8H).

504

505 Rate of depth changes

506

507 The mean depths of changes within each TCP can be more relevant for those  
508 processes that cover small total areas, but produce locally large volume differences  
509 (Figure 9). Overbank scour processes exported the majority of the LYR sediment;  
510 however, those processes were widespread. The mean annual depth change for  
511 overbank scour was  $-10.26$  cm/yr. Cohesive bank retreat, on the other hand, occurred  
512 in only a few small locations along the channel and scoured less than 1% of the total  
513 volume; however, the mean annual depth changes in those regions were  $-32.08$  cm/yr,  
514 almost three times those of overbank scour. Therefore, while cohesive bank retreat  
515 processes were not very important at the segment scale, they were highly relevant at  
516 the scale of 0.1-10 channel widths. Among the fill processes, overbank storage  
517 comprised the most area and volume at the segment scale, but the mean annual depth  
518 changes within those regions were a rather pedestrian  $+7.37$  cm/yr as compared to  
519 abandoned channel infill processes that exhibited a mean depth change of more than  
520 triple that ( $+25.65$  cm/yr). Locally, the most dynamic processes were cohesive bank  
521 retreat, berm scour & mass failure, avulsion, noncohesive bank migration, abandoned  
522 channel infill, bar emergence, and island removal.

523

524 Annual sediment budget

525



526 The three most abundant TCPs (overbank storage, overbank scour, and vegetated  
527 overbank storage) also created the most volume of topographic changes within the  
528 segment scale (Figure 10). Considering the absolute volume of sediment displaced  
529 (eroded or deposited) by all processes, overbank storage was the most dominant  
530 (~15.4%), followed by overbank scour (~15.1%) and vegetated overbank storage  
531 (~14.9%). Island scour and island removal processes were among the least abundant  
532 types, and they also were responsible for the least volumes of sediment displacement  
533 as well.

534

## 535 **Discussion**

536

537 Methodological developments

538

539 The hierarchical segmentation method used herein is based on a decision tree analysis  
540 that has previously been shown to outperform other classification algorithms, such as  
541 linear discriminant and maximum likelihood functions, in classifying remotely sensed  
542 land cover data (Friedl and Brodley, 1997), satellite imagery of vegetation (Laliberte *et*  
543 *al.*, 2007), susceptibility to landslides (Saito *et al.*, 2009), and channel landforms (Wyrick  
544 *et al.*, 2014). The TCP decision tree was created with internal expert decisions that then  
545 automatically and objectively created a polygon map of segmented TCP types. Even  
546 though this method includes subjectivity in designing the tree, those decisions are fully  
547 transparent and easily adjustable for any DoD dataset. Thus, the method yields an  
548 objective map of TCP polygons free of field observer bias and is open to future revision,

549 unlike decisions made in the field. The automation also allows for analysis of systems at  
550 multiple scales, up to and including the catchment scale that previously would have  
551 been onerous with hand-mapping methods.

552 Another benefit of this automated procedure is that it allows for a more diverse,  
553 complex, and accurate view of the topographic changes within a river valley to emerge.  
554 On the LYR, almost half of the area did not experience detectable topographic change.  
555 Within the areas that did change, five TCPs dominated, occurring over three-fourths of  
556 that area (Figure 7). Thus, allowing for up to 19 processes did not preclude a small  
557 subset from revealing themselves as most widespread, and it enabled the revelation of  
558 important locations of unique changes that promote fluvial diversity. The five abundant  
559 processes did not occur uniformly across the segment. Some, like overbank storage  
560 and in-channel fill, were more abundant in the lower half, while others, like overbank  
561 scour and downcutting, were more abundant in the upper half of the segment (Figure 8).  
562 Additionally, none of these five most abundant TCPs ranked in the top half of mean  
563 depths (Figure 9). This begins to paint a picture that the relative impact of various TCPs  
564 relies heavily on the scale of the study. A certain process, such as cohesive bank  
565 retreat, can be a relatively minor player at the segment scale, but a dominant player at  
566 the 1- 10 channel widths scale (Figure 10). The decision tree methodology presented  
567 herein enables this detailed view because it removes any pre-suppositions of the  
568 observer and can be applied to any scale.

569

570 LYR geomorphologic conceptualization

571

572 The results presented herein represent an analysis of the topographic changes that  
573 occurred between 1999 and 2006/2008 along the LYR valley, which is the period from  
574 58-67 years after the valley was cut off from its historic sediment supply. It is, therefore,  
575 not recommended that these results be used to infer specific temporal changes within  
576 the valley corridor outside of that time epoch relative to the post-dam era. Prior historical  
577 changes to the LYR valley were previously reported (James *et al.*, 2009), but could not  
578 be assessed at the resolution used in this study. However, it is possible to make some  
579 generalizations about the LYR channel based on these results, namely in the context of  
580 morphologic self-maintenance.

581 By the 1880's hydraulic mining had deposited an estimated 253 million m<sup>3</sup> of  
582 sediment in the low-gradient LYR valley below the modern Englebright Dam (Gilbert,  
583 1917). The depth of aggradation was estimated to be about 17 m above bedrock  
584 (Gilbert, 1917; James *et al.*, 2009). The LYR channel at this time was prone to  
585 avulsions, braiding, and anabranching (James *et al.*, 2009). As part of a management  
586 plan in 1906 to minimize the sediment influx downstream of the LYR, the levee spacings  
587 were widened to ~ 4 km that then narrowed to ~ 600 m near the mouth, which resulted  
588 in a stabilized channel location (James *et al.*, 2009). The Englebright Dam was installed  
589 in 1941. Based on analysis of thalweg elevation profiles in historic and 1999 maps,  
590 James *et al.* (2009) determined that the thalweg experienced vertical incision  
591 throughout its length, except for the reach immediately upstream of DPD which  
592 experienced mostly lateral migration. This result might fit well into the expectations of an  
593 incising river downstream of a dam; however, it is an incomplete story of the  
594 geomorphic changes because it does not consider the channel as a whole, let alone

595 what is happening on the floodplains. Thalwegs have commonly been used as a  
596 surrogate in the absence of DEMs, but now it is possible to see the full lateral spatial  
597 dynamic. From 1999 to 2006/2008, the out-of-channel regions also experienced scour  
598 at similar rates as the in-channel regions (e.g. Figure 6; supplemental figure).  
599 Downstream of DPD, which experienced most of the deposition (supplemental figure)  
600 as predicated by the large levee spacing, the main channel meandered through the  
601 sediments, filling in its old channel and laterally scouring through the floodplain (e.g.  
602 Figure 8).

603

604 Unexpected channel fill downstream of dam

605

606 According to long-standing conventional theory and observation, the river segment  
607 downstream of a dam should be downcutting with an increasing disconnection between  
608 channel and floodplain through time (Petts, 1979; Williams, 1978). Most studies have  
609 looked for only a brief period after dams are installed, so how this plays out decades to  
610 centuries later remains unclear. Large dams retard the downstream movement of  
611 sediments, typically causing the downstream flow to have greater transport capacity  
612 than carrying load, thus the downstream channel bed incises (e.g. Brandt, 2000). This  
613 degradation is generally more pronounced when dams have little effect on the flood  
614 peaks (Williams and Wolman, 1984). Dams also tend to decrease meander rates (e.g.  
615 Friedman *et al.*, 1998; Shields Jr., *et al.*, 2000), allowing for more channel incision and  
616 less floodplain interaction. Low-resolution, historic DoD analyses on the LYR showed

617 some initial incision within the main channel and high-water channels after the  
618 Englebright Dam was installed (James *et al.*, 2010).

619 The results of this study present a different outcome ~ 67 years after dam installation  
620 that challenges the dogmatic application of the standard concept regardless of local  
621 context and time. Specifically, even though the whole regulated downstream river valley  
622 was net erosional and thus not graded during the study epoch, the 1999 channel was  
623 dominated by fill processes in the subsequent 7-9 years, whereas scour processes  
624 dominated overbank. Specifically, there was 165,100 m<sup>3</sup> of net fill in the channel and  
625 191,600 m<sup>3</sup> of net scour overbank (Table 2). Outside of the 1999 channel, overbank  
626 scour processes were the dominant TCP type, with some noncohesive bank migration  
627 processes as well, which hints at a diversity of lateral and vertical processes at work in  
628 the LYR. Additionally, vertical scour rates are similar for overbank and in-channel  
629 regions, because these regions are served by different processes of similar capability.  
630 These results show that even in an erosion-dominated regulated valley, the channel  
631 need not become disconnected from the floodplain, but instead can exhibit TCPs more  
632 like a well-connected system (Wolman and Leopold, 1957).

633 There are several reasons why the river has not experienced a singular in-channel  
634 incision as commonly expected. First, the flow regime is still fairly dynamic for a  
635 regulated river, with overbank flows occurring every ~ 1.25 years (Wyrick and  
636 Pasternack, 2012), and flow heterogeneity is known to promote process diversity  
637 (Parker *et al.*, 2003). Second, the absence of cohesive mud in much of the surficial bed  
638 material and the associated presence of large tree root wads limit the height of banks  
639 before lateral mass wasting occurs, thereby promoting widening over incision and

640 disconnection. Third, the bed surface becomes armored when there are more than ~ 4  
641 years between large floods, as there are diverse in-channel flows and small overbank  
642 floods that are capable of partial transport most years. The armored bed consists of  
643 coarse gravel and cobble, while steep banks are composed of a heterogenous mixture  
644 with abundant fine gravel and sand. Combined with flow asymmetry impinging on and  
645 undercutting steep banks, this promotes bank erosion before bed erosion for any given  
646 discharge (Parker, 1979; Knighton, 1998). Finally, this study did not solely look at  
647 change along the thalweg, as commonly done in the classic studies, but instead  
648 assessed the planform spatial complexity of TCPs. From this viewpoint, it may be that  
649 all regulated rivers are more dynamic than thought, just the viewpoint was too narrow,  
650 or it may be that the LYR is a unique outlier, especially given the unique presence of a  
651 large amount of valley fill due to influx of hydraulic mining sediment prior to damming.

652 The dynamism of the LYR thus brings up the question of whether the valley is still  
653 adjusting to Englebright Dam, or has it already adjusted and is now just shifting around.  
654 The conclusion of Carley *et al.* (2012) was that the channel is still adjusting upstream of  
655 DPD, which is verified by the results of James *et al.* (2009) showing that that section  
656 has not yet downcut. Downstream of DPD, the LYR is trying to digest and transport the  
657 sediment volumes scoured from upstream.

658

659 Scouring floodplains

660

661 In terms of overbank scour, this study found that when the appropriate factors are in  
662 play, a regulated river can systematically evacuate its excessive storage of sediment at

663 the valley scale without disconnecting channel and floodplain. In this case, four key  
664 factors appear to be at work: two externally and two internally determined. The two  
665 external factors that are already well understood in the literature are (1) requisite  
666 discharge to overflow onto the floodplain with enough force to cause topographic  
667 change and (2) a lower base level to strive toward. The two internal factors relate to the  
668 role of vegetation and are not as well understood, hence more discussion is offered for  
669 those.

670 Drawing on the LiDAR-derived vegetation data from Abu-Aly *et al.* (2013), 25% of  
671 the LYR corridor is vegetated within the 1195 m<sup>3</sup>/s wetted area (~ 8 times bankfull flow)  
672 of which half occurs along the channel margin and half overbank. The relative  
673 abundance of vegetation along the banks is linked to proximal access to shallow  
674 groundwater and is common for semi-arid cobble-gravel streams. This vegetation  
675 usually hinders geomorphic dynamism in regulated rivers, especially where sand supply  
676 is high and flow regulation severe (Marston *et al.*, 1995; Polzin and Rood, 2000; USDI,  
677 2000; Edwards, 2004). However, on the Yuba, sand and mud supply are low and flow  
678 regulation during floods modest. As a result, lateral TCPs were observed to effectively  
679 overcome vegetation by migration and avulsion. Processes like cohesive bank retreat  
680 and noncohesive bank migration were found capable of mining under the roots of  
681 willows and cottonwoods. Although too ephemeral to be classified in this study,  
682 knickpoint migration has been observed on the LYR on the event scale and can also  
683 undermine vegetation on medial bars. In contrast, sub-avulsion and avulsion directly  
684 attack vegetation from the top and rip it out completely as part of the process of finding  
685 a more direct route downslope when that route is more orthogonal to the primary axis of

686 streambank vegetation, such as at river bends. Where flow is parallel to riverbank  
687 vegetation, only the canopies are ripped out; the roots and stems remaining intact and  
688 actually accrete coarse sediment (Sawyer *et al.*, 2010). For example, in the New Years  
689 2006 flood with a peak of 3126 m<sup>3</sup>/s, thick riparian patches were cut through by  
690 avulsions at focused locations, while neighboring patches experienced substantial  
691 deposition. Thus, the occurrence of modest vegetation coverage plays a significant role  
692 in enabling floods to continue to evacuate hydraulic-mining deposits from the river valley  
693 over decades, while also promoting hydraulic and geomorphic complexity for ecological  
694 functions.

695

## 696 **Conclusions**

697

698 The primary goal of this project was to comprehensively and transparently delineate and  
699 map the topographic changes that occurred in the LYR over a 7-9 year epoch, as well  
700 as characterize the specific processes that created those changes. A combination of  
701 dense datasets, novel data-processing methods, and GIS-based spatial analyses were  
702 utilized to achieve this goal. Topographic change processes are rarely analyzed at the  
703 segment-scale; instead, studies have generally focused on the site-scale. This study,  
704 however, used a near-census (~ 1-m resolution) approach for differencing two DEM  
705 datasets and identifying regions of change and the specific mechanisms that caused  
706 those changes. The use of near-census datasets in analyses have been shown to  
707 produce more detailed characterizations of river corridor processes and to be more  
708 accurate across multiple scales (Pasternack, 2011). Difference of DEMs results were



709 used to analyze the spatial patterns of topographic changes within the LYR valley, as  
710 well as infer indicators of channel self-maintenance. New methods were developed to  
711 identify and delineate specific processes of topographic change at multiple scales.

712 This study highlights several key advances to the science and analysis of identifying  
713 topographic change processes. First, using two sets of available DEMs, the 37-km  
714 valley segment was categorized into regions of scour, fill, and no detectable change  
715 based on the differences in topographic elevations between the two surveys.

716 Conventional wisdom would hypothesize that topographic changes downstream of a  
717 sediment-barrier dam would be dominated by in-channel vertical scour, thus  
718 entrenching the flow and exacerbating the disconnect between the channel and its  
719 floodplains. However, the opposite occurred in the LYR ~ 65 years after damming –  
720 even though the river valley was net erosional, the 1999 channel area experienced net  
721 fill, while the out-of-channel regions experienced net scour over the survey epochs.

722 Second, starting from a 1.5-m resolution DoD raster, it was possible to identify a suite of  
723 19 distinct processes of topographic change that serve as the fundamental creators of  
724 the current morphology. The ability to quickly and transparently map TCPs for a  
725 segment of this scale represents a scientific advancement that is primarily due to the  
726 availability of the near-census input datasets. The exact terminology of the TCP types  
727 may differ from those used in past or future studies, but that is not important. What is  
728 scientifically novel is the new methodology implemented to map the processes and the  
729 ability to interpret them over multiple spatial scales.

730 The changes reported herein represent only those that occurred between 1999 and  
731 2006/2008. Therefore, these data should be used as a baseline to describe what

732 happened to create the conditions necessary for any post-2008 datasets by which to  
733 stratify. The characterizations of topographic changes can be used as a comparable  
734 context for historic or future DoD studies.

735

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737

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742

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944 **Table 1a.** Qualitative descriptions of fill topographic change processes mapped in the

945 LYR

Process	Definition
Abandoned channel in-fill	There are two mechanisms that could create this region, but they are indistinguishable without more knowledge of the river within the time epoch. First, the region could have experienced enough deposition that the channel was re-routed through another location within the floodplains. Or the wetted channel changed location due to other processes (e.g. avulsion), and these regions subsequently experienced deposition from overbank floods. In either case, these regions are no longer adjacent with the wetted channel.
Bar emergence	Unvegetated region that are formed by enough deposition in recirculation zones at channel expansions to become exposed and subsequently push the wetted channel towards the opposite bank. These regions are still adjacent to current wetted channel, and become incorporated into the floodplain as the channel migrates. The resultant structure is generally identified as a type of bar (e.g. lateral or point) and exhibit slopes towards the channel.
Berm Fill	Regions along the training berms that experienced deposition. These are distinguished from overbank storage processes because training berms and other mining deposits are generally taller than the flows (i.e. never completely submerged).
In channel fill	Region within the wetted channel that experienced deposition due to flow recirculation or channel widening, but not enough to become emergent or change the location of the wetted channel.
Island emergence	Region within the wetted channel that experienced enough deposition to become emergent. The new flow paths now separate around this deposition and isolate it from the floodplains.
Island storage	Unvegetated region that has been continuously emergent and isolated from the floodplains within the time epoch, and has experienced deposition from overbank floods.
Overbank storage	Unvegetated region outside of the wetted channel that act as a sediment sink due to flow expansion and decreased velocities. No particular pattern is noticeable.
Vegetated bar emergence	Same as the bar emergence process, but occurs within a vegetated area.
Vegetated island storage	Same as the island storage process, but occurs within a

	vegetated area.
Vegetated overbank storage	Same as the overbank storage process, but occurs within a vegetated area.

946

947 **Table 1b.** Qualitative descriptions of scour topographic change processes mapped in  
 948 the LYR

Process	Definition
Avulsion	Complete shift, usually abrupt, in channel position through the floodplains that is separated from the previous wetted channel. Generally triggered as overbank flows scour a topographic low or crevasse in floodplain to a lower elevation than the original channel.
Cohesive bank retreat	Progressive lateral movement of the channel through cohesive bank material (i.e. with high clay content or vegetation) by means of weathering/weakening, fluvial entrainment, and/or mass wasting. The cohesiveness of the material leads to near-vertical banks and abrupt transitions between the channel and floodplain.
Downcutting	Region that experienced vertical erosion within the wetted channel. These regions are continually wetted within the time epoch.
Island removal	Region that was previously emergent and isolated from the floodplains, but experienced enough erosion due to overbank floods to now become submerged within the wetted channel.
Island scour	Region that has been continuously emergent and isolated from the floodplains, and experienced erosion from overbank floods, but not enough to become submerged.
Noncohesive bank migration	Progressive lateral movement of the channel through non-cohesive material of the floodplains by means of weathering/weakening, fluvial entrainment, and/or mass wasting. The non-cohesiveness leads to slumping of the banks, thus maintaining a gradually-sloped transition from channel to floodplain.
Overbank scour	Region outside of the wetted channels that experienced erosion during overbank floods due to macro- or micro-scale hydraulic controls. No particular pattern is noticeable.
Berm scour & mass wasting	Region along the training berms that experience scour. This is distinguished from the Noncohesive Bank Migration because training berms and other mining deposits are generally taller than the flows (i.e. never completely submerged). However, flows can erode the lower portions

of the berms and thus create slumping of the berm tops (which are identified as erosion in the DoD) due to the noncohesiveness of the material.

Sub-avulsion

Streamwise longitudinal scour in the floodplain regions, usually parallel to the wetted channel, that is formed during overbank flows, but has not connected a separate low-flow pathway (like avulsion). These regions are usually attached to the wetted channel at either the exit or entrance, but not both and not continuously adjacent along its length. It is presumed that future overbank floods will continue this erosive pattern and eventually create an avulsion in that location.

949

950

951 **Table 2.** Absolute volumetric changes of the in-channel and out-of-channel topography

		Out-of-channel		In-channel	
		TCP	Volume (m <sup>3</sup> )	TCP	Volume (m <sup>3</sup> )
Fill Processes	Overbank storage		772,500	In-channel fill	381,800
	Vegetated overbank storage		751,500	Bar emergence	135,000
	Berm fill		127,700	Vegetated bar emergence	51,100
	All others		179,400	All others	46,500
	Overbank scour	-732,700		Downcutting	-449,200
Scour Processes	Non-cohesive bank migration		-610,700	Island removal	-16,500
	Berm scour & Mass failure		-438,000		
	All others		-241,300	All others	0

952

953

954 **Figure Captions**

955

956 **Figure 1.** Yuba River watershed setting and lower Yuba River landmarks

957

958 **Figure 2.** Hydrograph of mean daily discharge at Marysville showing flows during the  
959 times of surveys

960

961 **Figure 3.** Workflow for creating DoD raster in ArcGIS 10.0 based on methodology from  
962 Carley *et al.* (2012)

963

964 **Figure 4.** Workflow for applying the decision tree approach to TCP delineation and  
965 identification. This method assumes the use of ArcGIS v. 10; however, other preferred  
966 programming methods for automating the delineation may be employed at the user's  
967 discretion.

968

969 **Figure 5.** Decision tree for delineating topographic change processes within the LYR  
970 valley. Delineation begins on the left of this figure with the Fill or Scour raster.

971 Definitions of each TCP type are provided in Table 1.

972

973 **Figure 6.** Example of TCP delineation within the LYR. (A) satellite imagery from 2009.

974 (B) regions of in-channel and out-of-channel based on 1999 and 2006/2008 wetted

975 areas. (C) regions of scour, fill, and no detectable change. (D) TCP map.

976

977 **Figure 7.** Segment-scale abundance percentages of TCP types in the LYR

978

979 **Figure 8.** Longitudinal patterns of discrete area fractions for eight of the TCP types in  
980 the LYR. Refer to Supplemental Figure 3 for the longitudinal patterns of all types.

981

982 **Figure 9.** Mean annual changes in topographic depths for TCP types in the LYR

983

984 **Figure 10.** Annual rates of volumetric changes per TCP type in the LYR

985

986

987 **Supplemental Figure 1.** Segment-scale map of DEM differences on the LYR between  
988 1999 and 2006 (Timbuctoo Bend only) and 2008 (all other regions)

989

990 **Supplemental Figure 2.** Segment-scale map of topographic change processes on the  
991 LYR

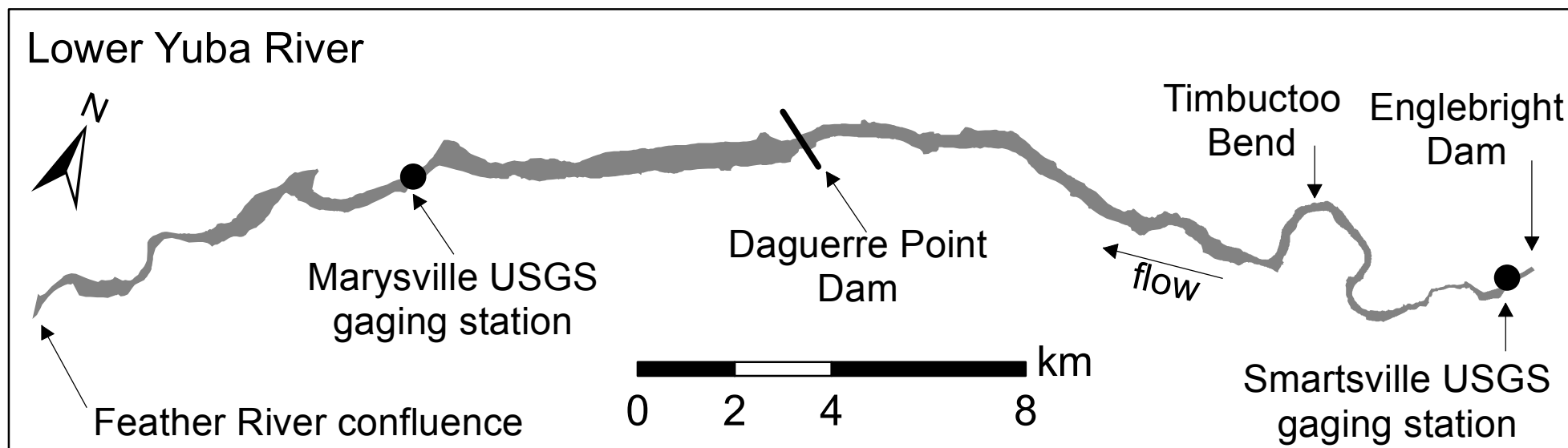
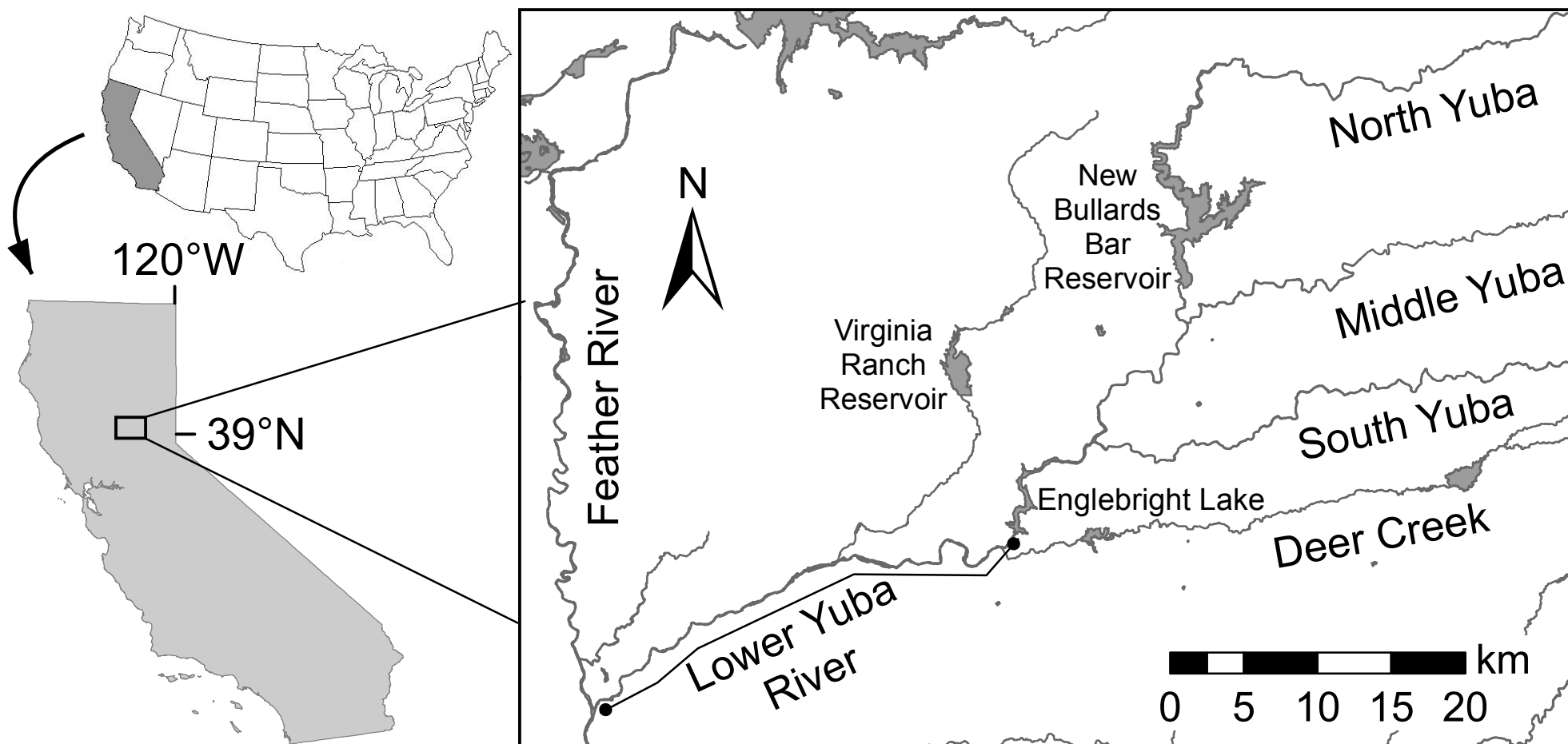
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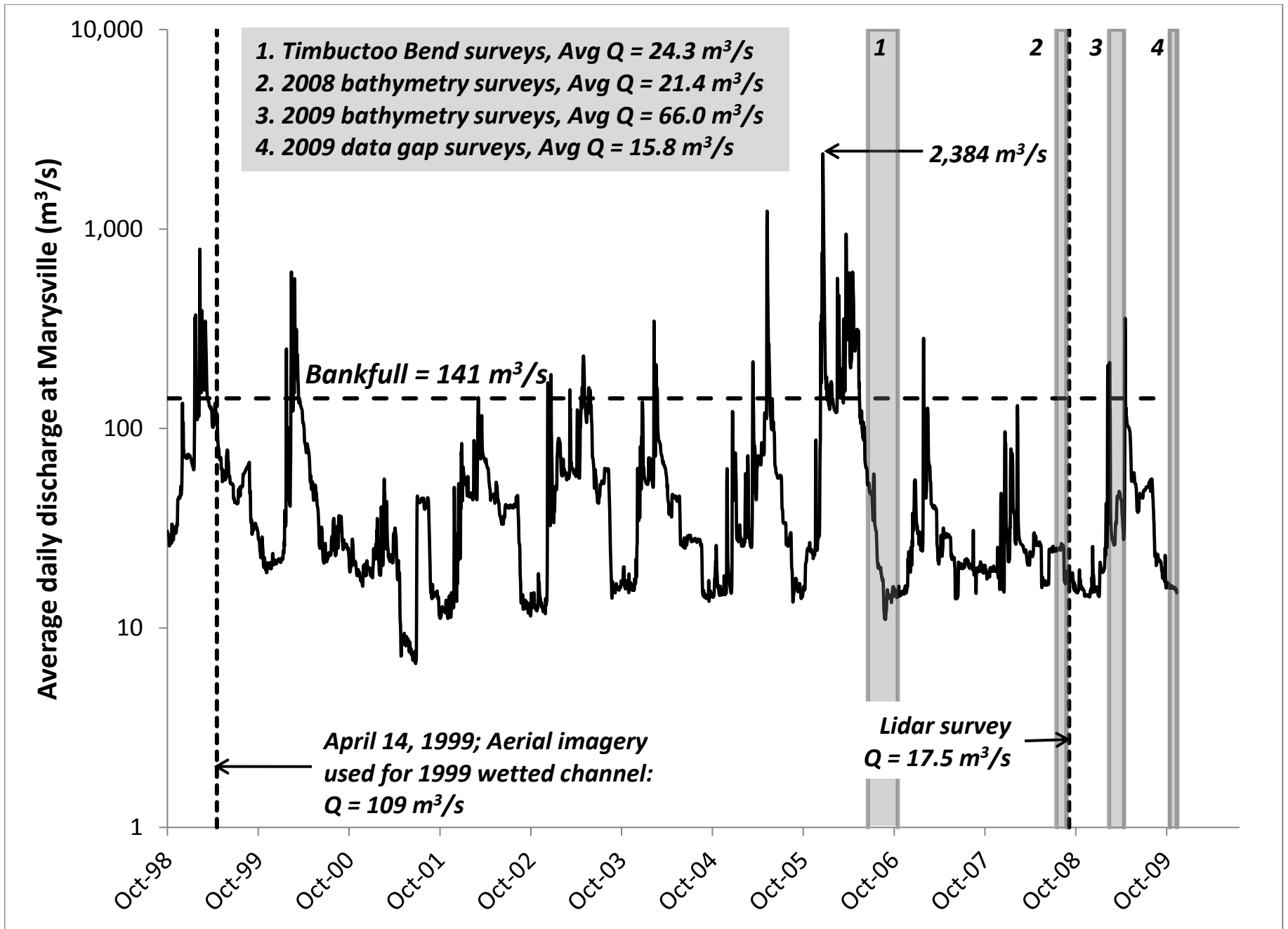
993 **Supplemental Figure 3.** Longitudinal patterns of discrete area fractions for all TCP  
994 types in the LYR

995

996







## Topographic change detection workflow

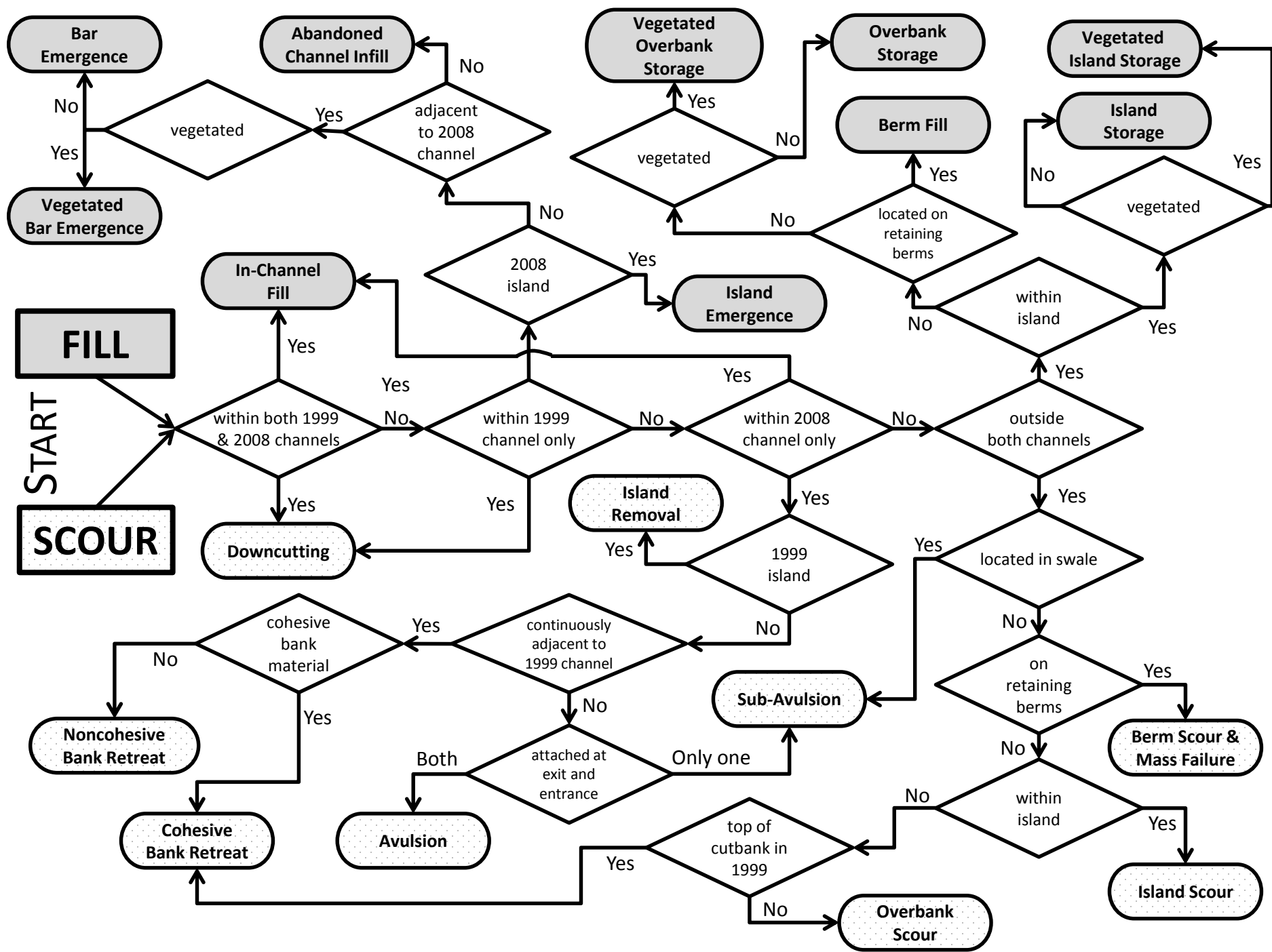
- a. Create a uniform {x,y} point grid with 0.3 m point spacing.
- b. Elevate the 0.3 m point grid using the topographic data for each map to create oversampled topographic point datasets for  $\{x,y,z\}_{time1}$  and  $\{x,y,z\}_{time2}$  that capture all available topographic information in the source DEMs.
- c. For each 0.3 m {x,y,z} topographic dataset, create a raster of standard deviation (SD) of point elevation with a 1.5 x 1.5 m cell size (yielding 25 points per cell in the statistical computation).
- d. Apply the appropriate survey and instrument error (SIE) empirical equation from Heritage *et al.* (2009) to the SD rasters to obtain the SIE raster for each topographic map.
- e. Produce a Level of Detection (LoD) grid that combines the two SIE rasters into a single error raster using the t-value for 95 % confidence (1.96) and the statistical equation for error propagation given by:

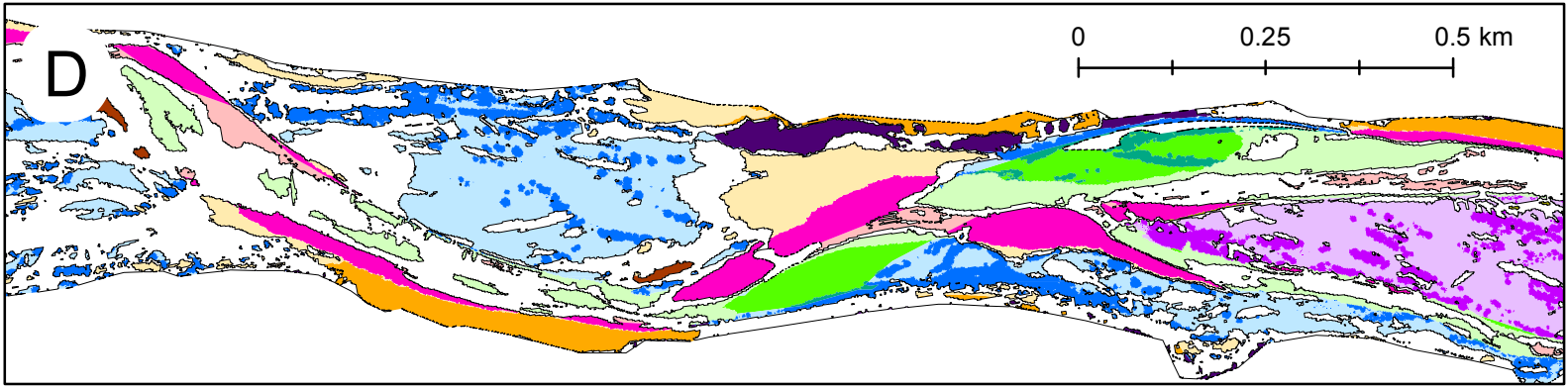
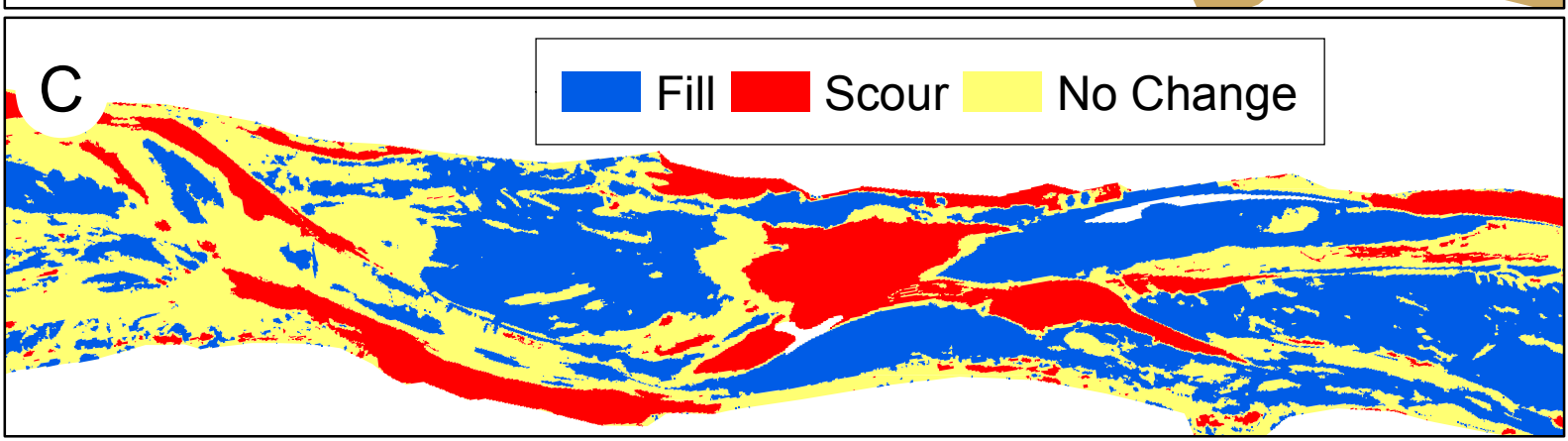
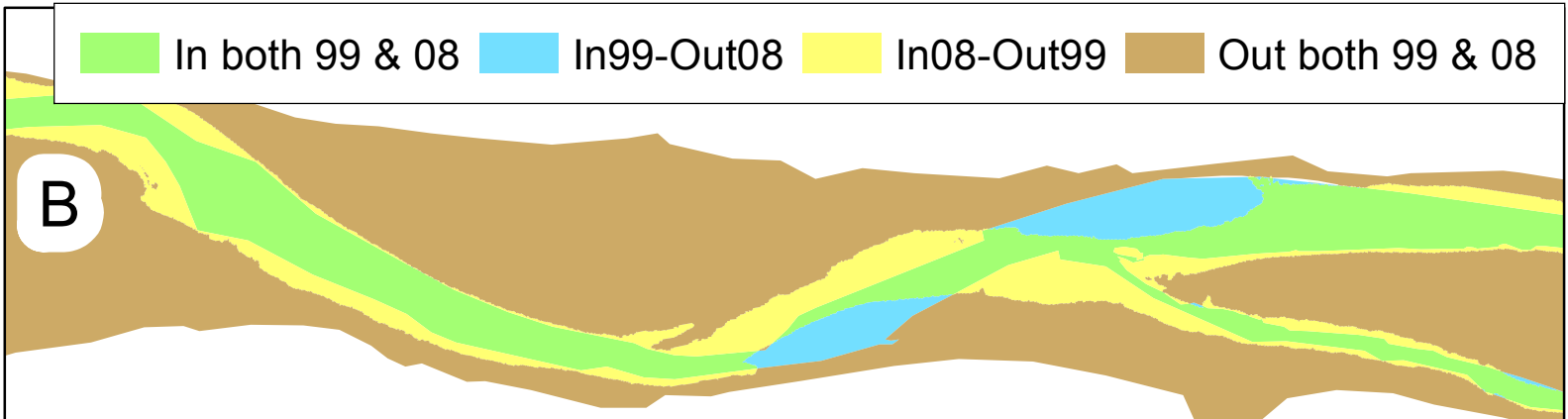
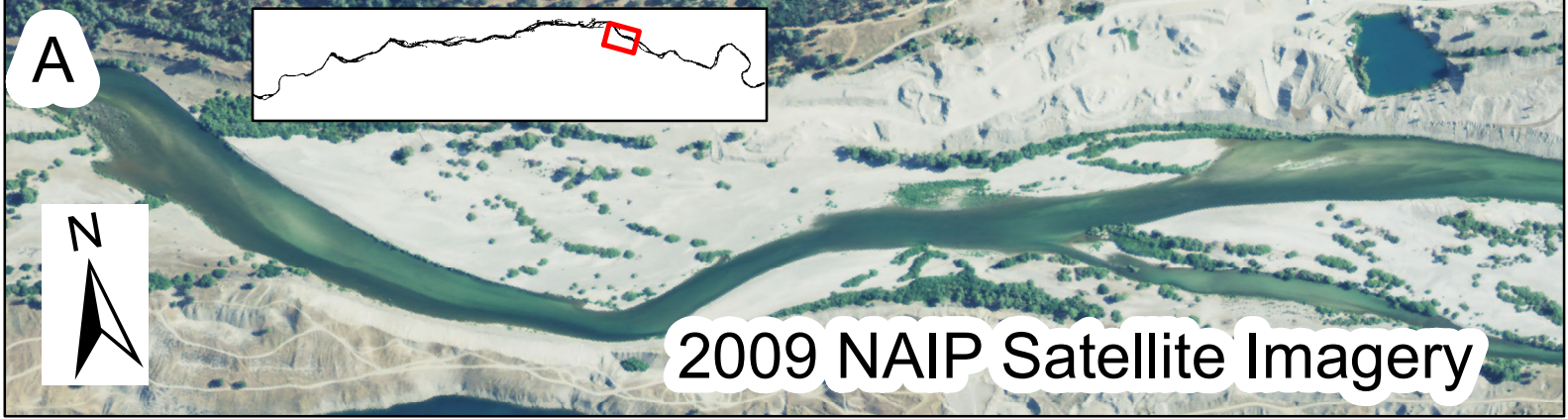
$$LoD = t\sqrt{(SIE_{time1})^2 + (SIE_{time2})^2}$$

- f. Create the raw DoD raster with a 1.5 x 1.5 m cell size.
- g. Create separate deposition and erosion rasters using the “Con” function in the ArcGIS raster calculator.
- h. Remove the LoD from each raster by subtracting it from the deposition-only raw DoD and adding it to the erosion-only raw DoD.

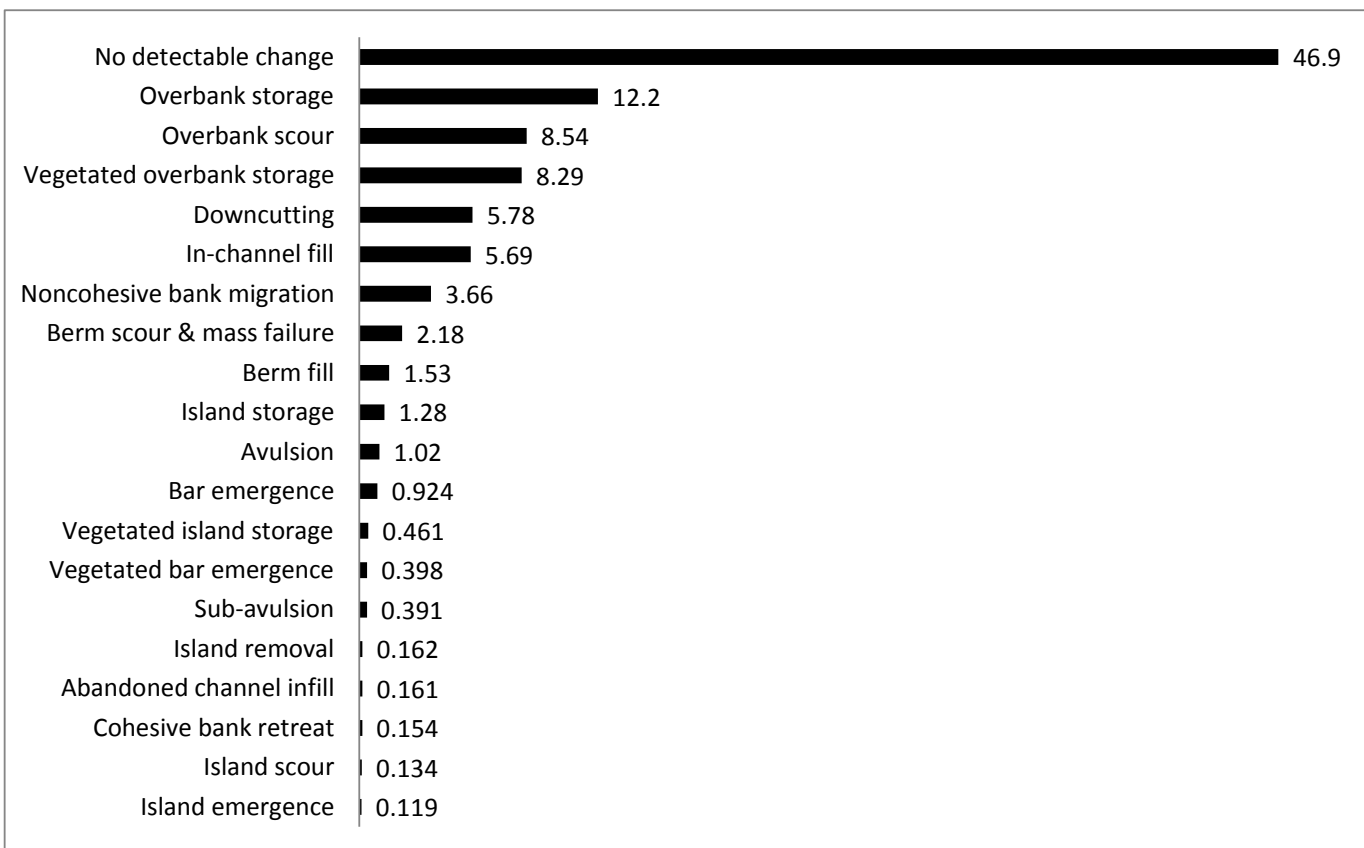
### Delineation of topographic change processes workflow

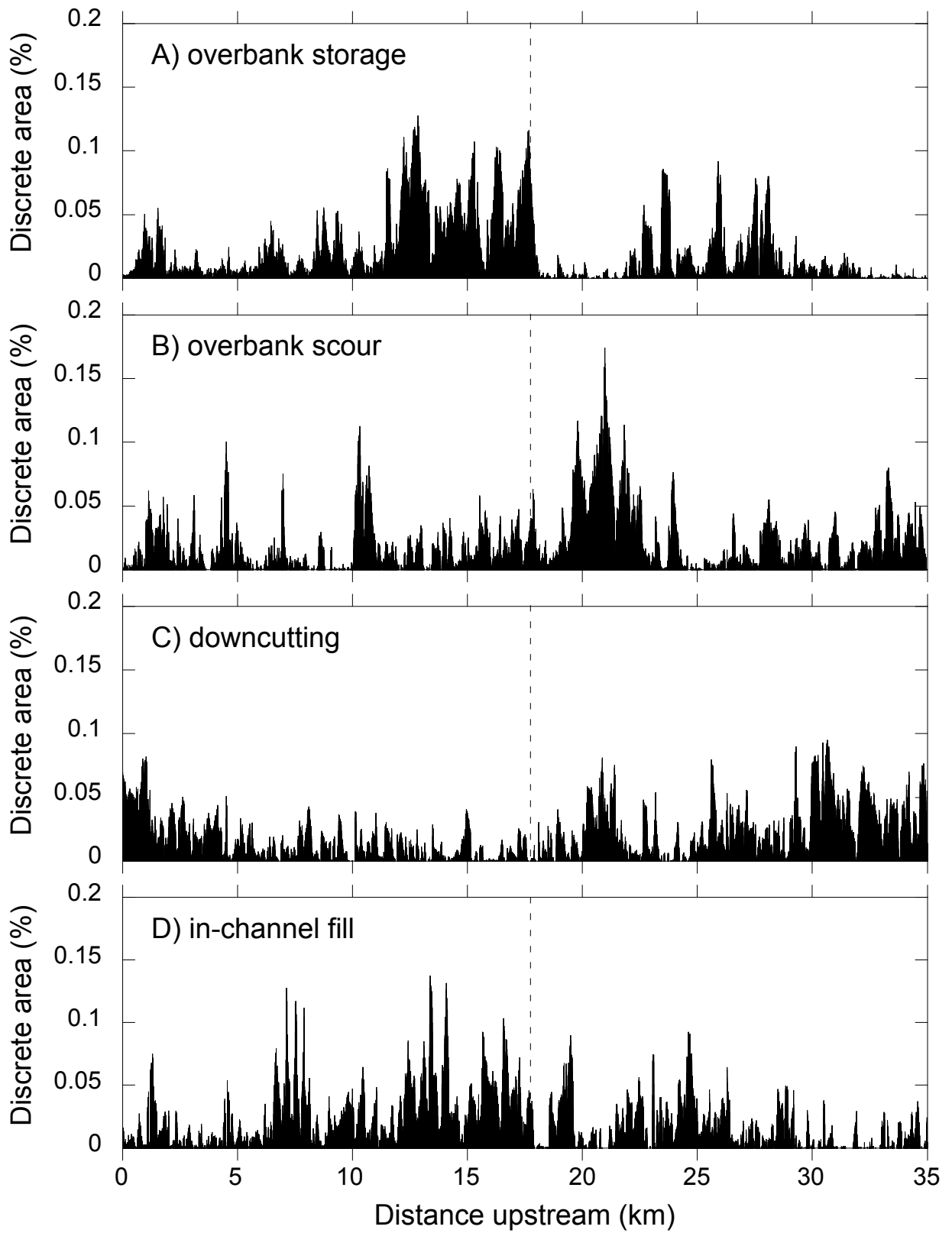
- a. Create a DoD raster using the workflow presented in Figure 3.
- b. For the DoD raster, use the “Con” function in the ArcGIS raster calculator to create separate presence/absence rasters of scour, fill, and no detectable change. Convert the rasters into polygons.
- c. Split the study area polygon into four regions based on the bankfull wetted areas of each survey period. The regions include (1) not wetted at either time, (2) wetted for both times, (3) wetted during first survey, but not the second, and (4) wetted during the second survey, but not the first.
- d. Based on expert judgment and available data, additionally split the study area polygon by other factors controlling topographic change processes, such as morphological units, presence/absence of vegetation, sediment facies, etc.
- e. With these segregated polygon maps (i.e. scour-fill, wetted regions, and other relevant geomorphic controls) as inputs, use the “identity” function in ArcGIS to identify the suite of like polygons that exhibit the same set of segregation characteristics.
- f. Assign appropriate nomenclature for each unique suite.



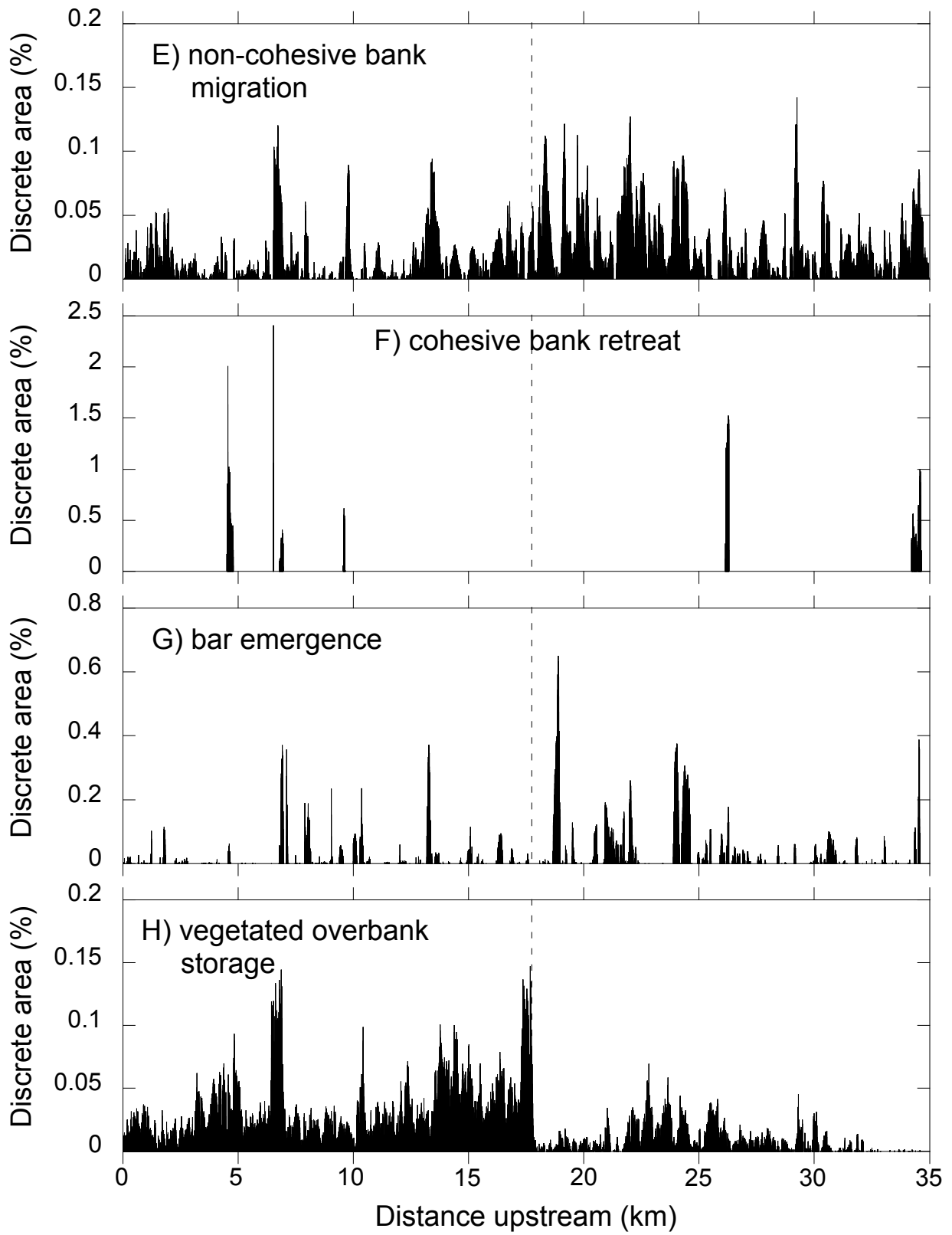


- TCP type**
- In-channel fill
  - Berm fill
  - Noncohesive bank migration
  - Island removal
  - Bar emergence
  - Island emergence
  - Avulsion
  - Island scour
  - Vegetated bar emergence
  - Sub-avulsion
  - Dredging
  - Abandoned channel infill
  - Overbank storage
  - Berm scour & mass failure
  - Pond
  - Island storage
  - Vegetated overbank storage
  - Overbank scour
  - No change
  - Downcutting
  - Cohesive bank retreat

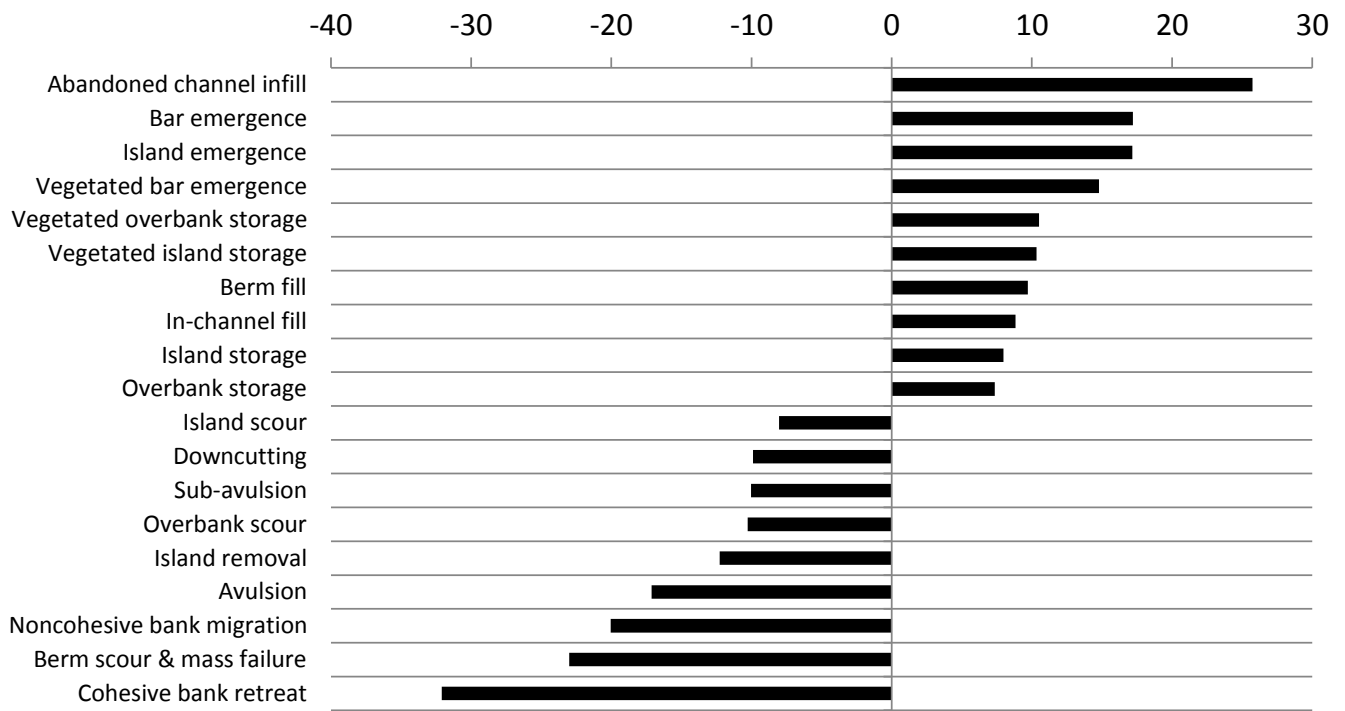


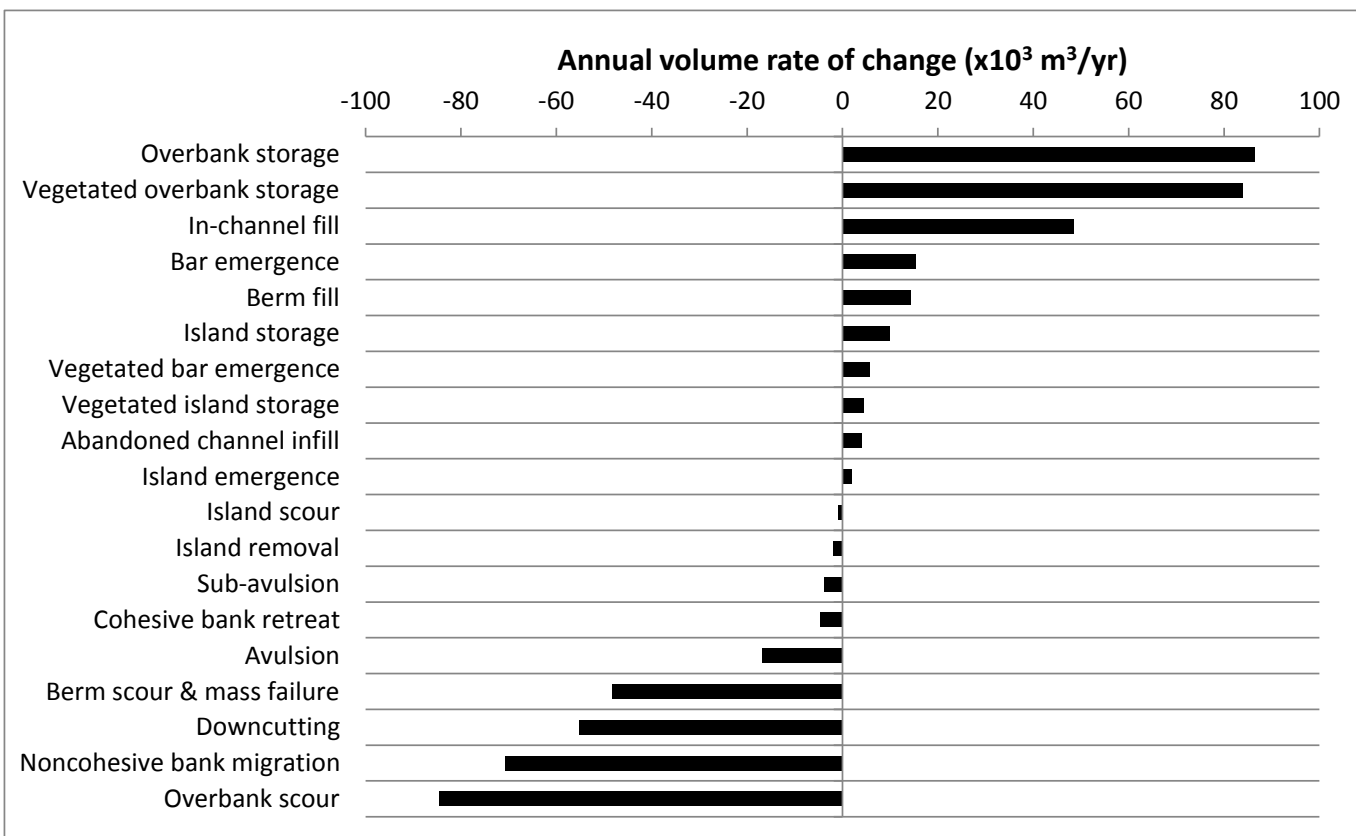




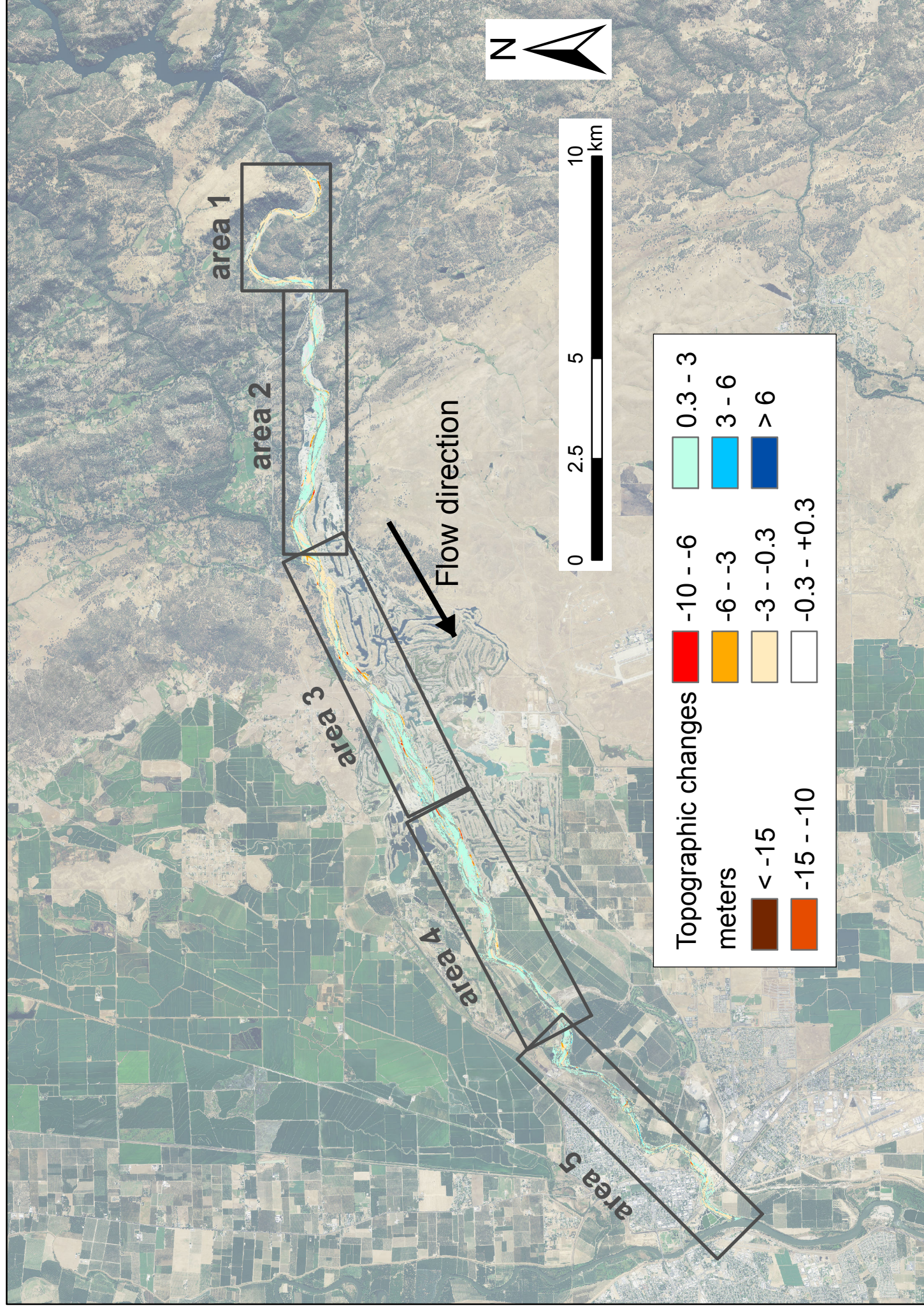


### Mean annual changes in depths (cm/yr)

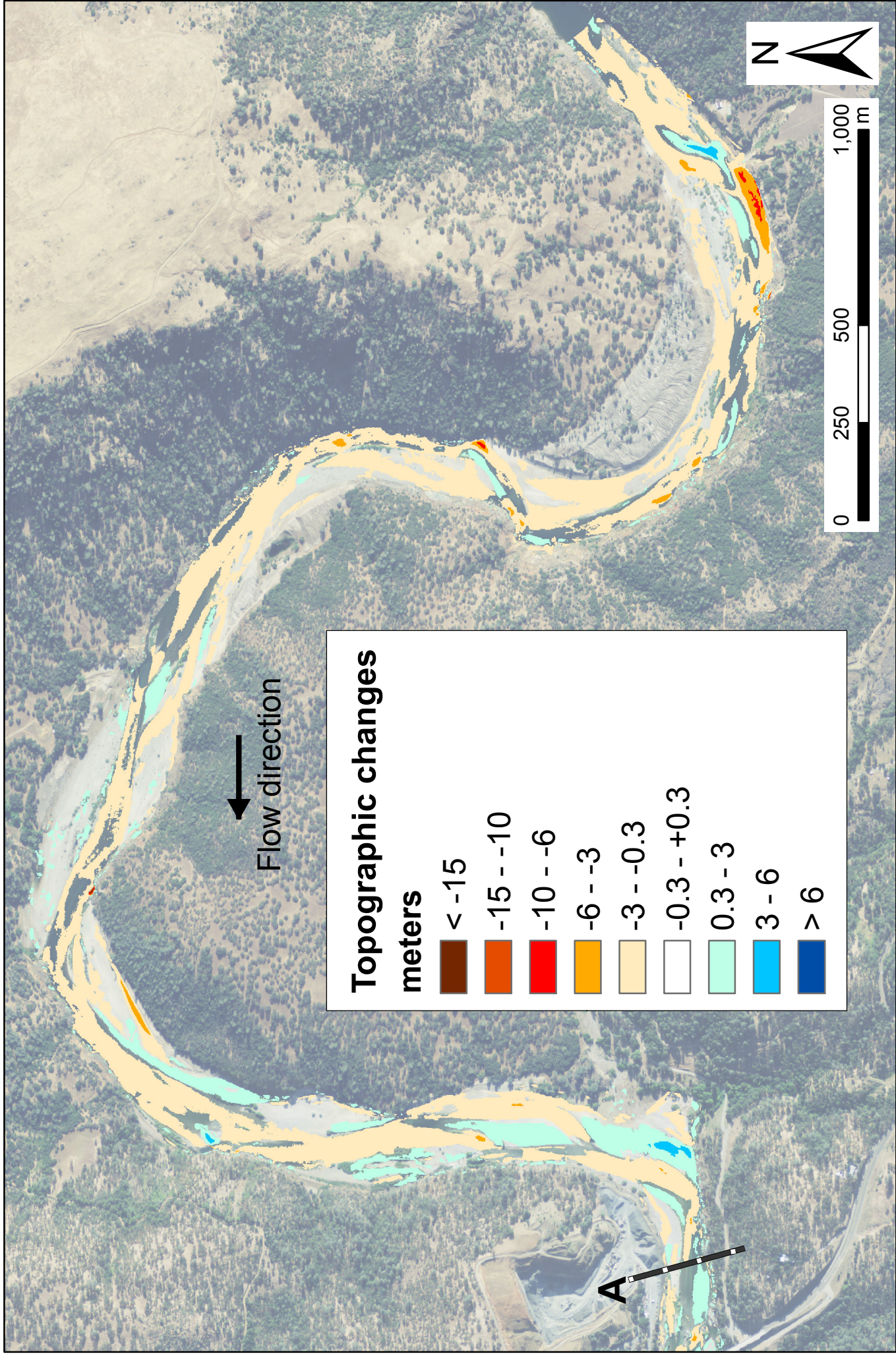




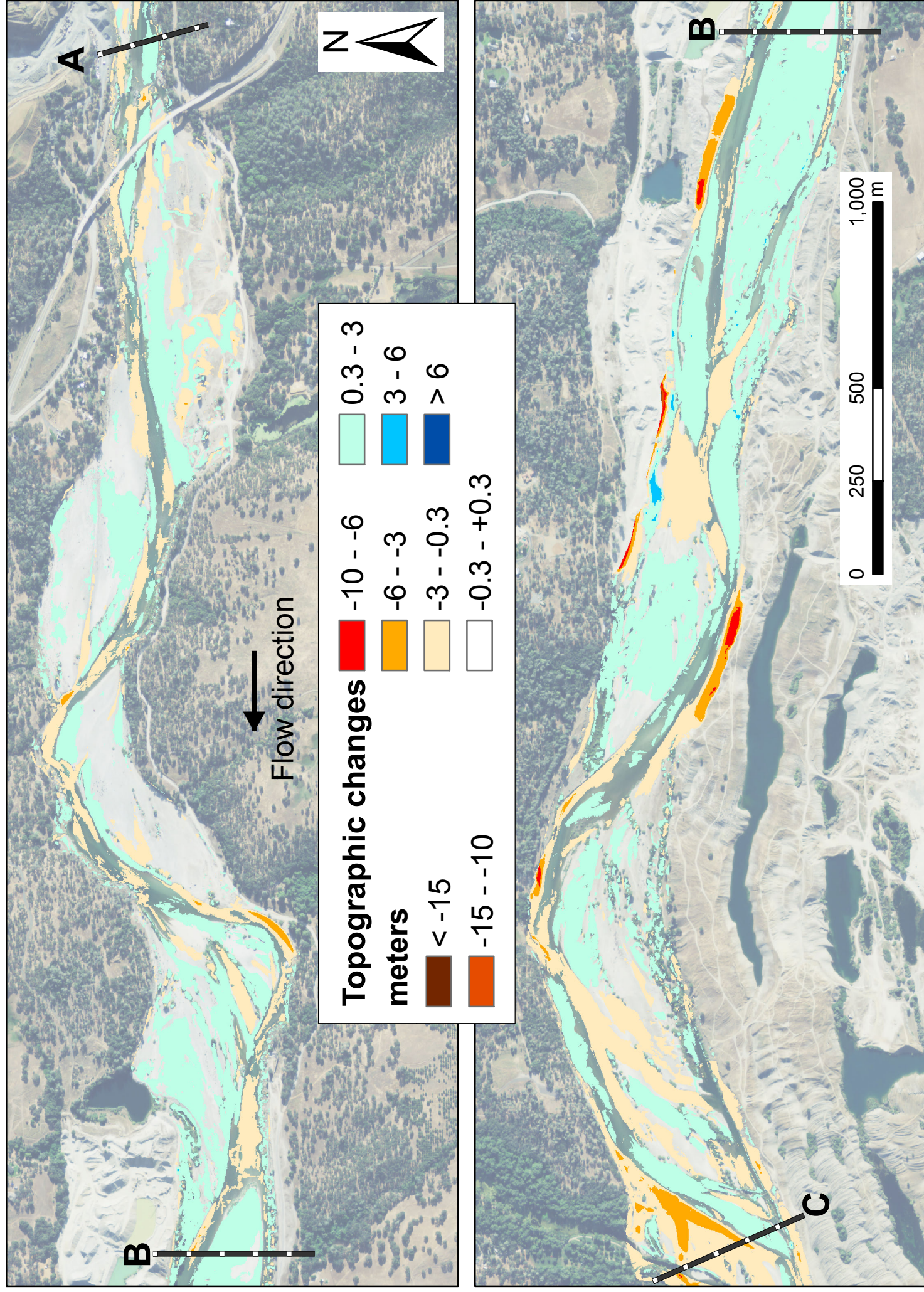
# LYR DEM differences: areal divisions



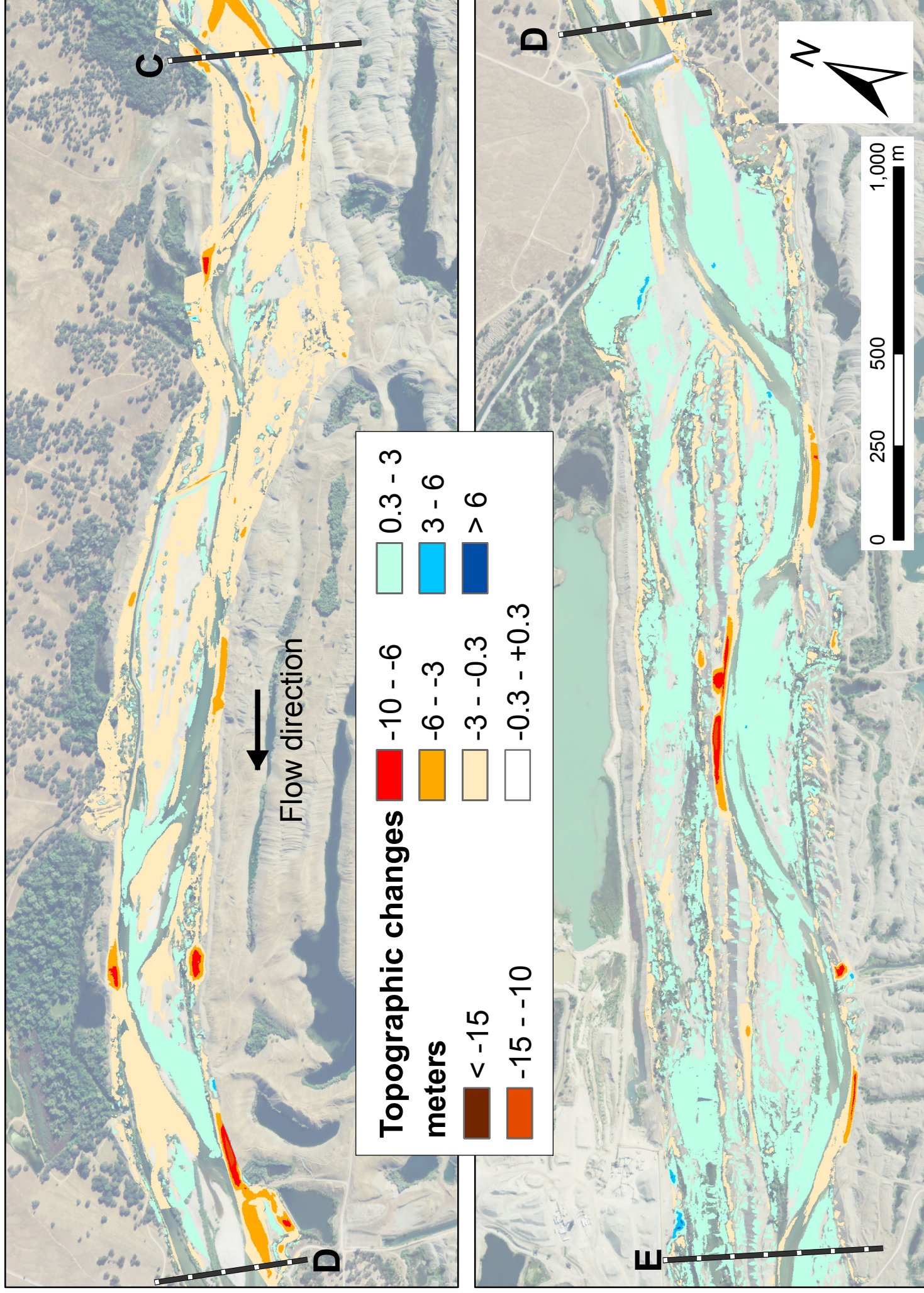
# LYR DEM differences: area 1



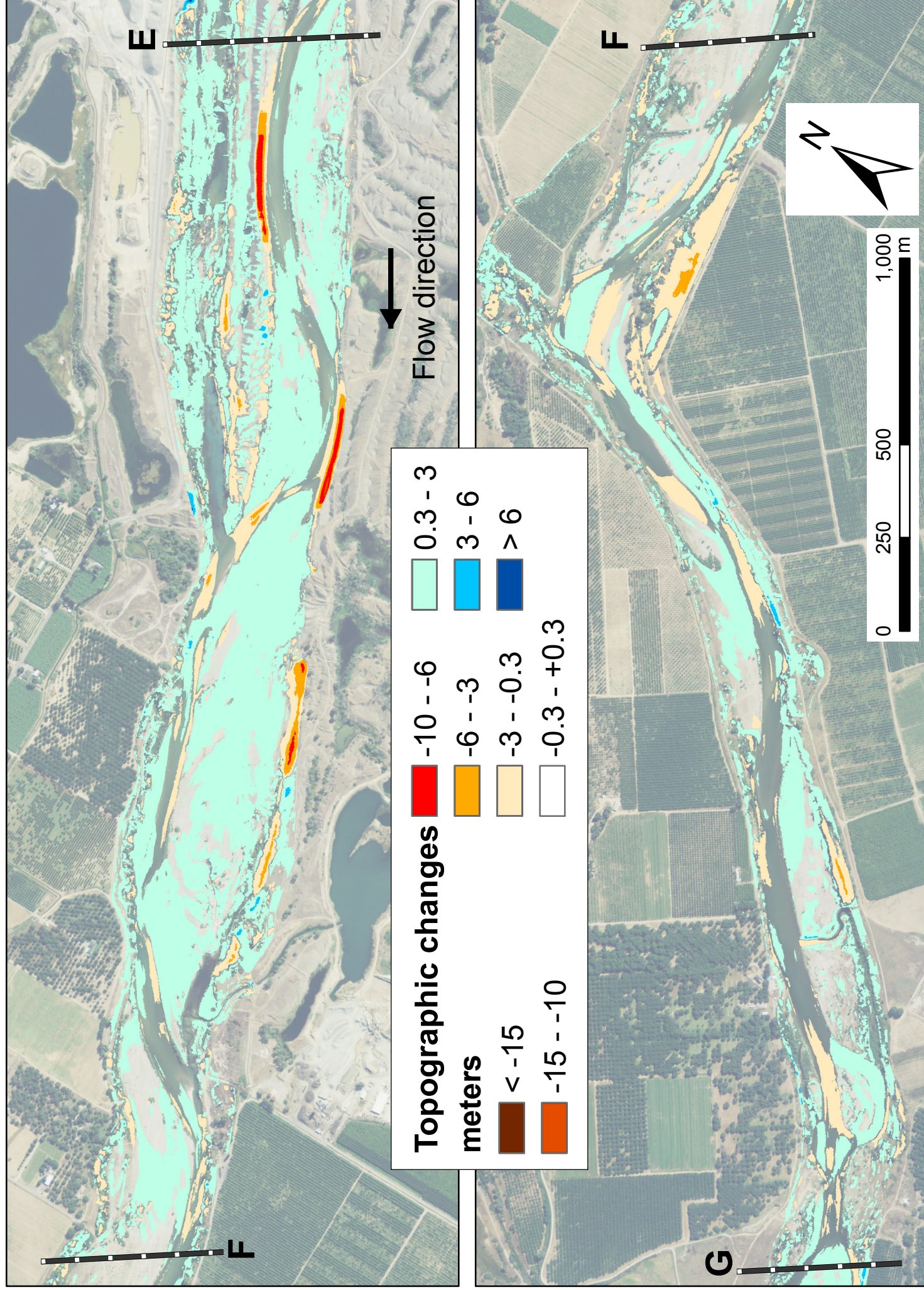
# LYR DEM differences: area 2



# LYR DEM differences: area 3

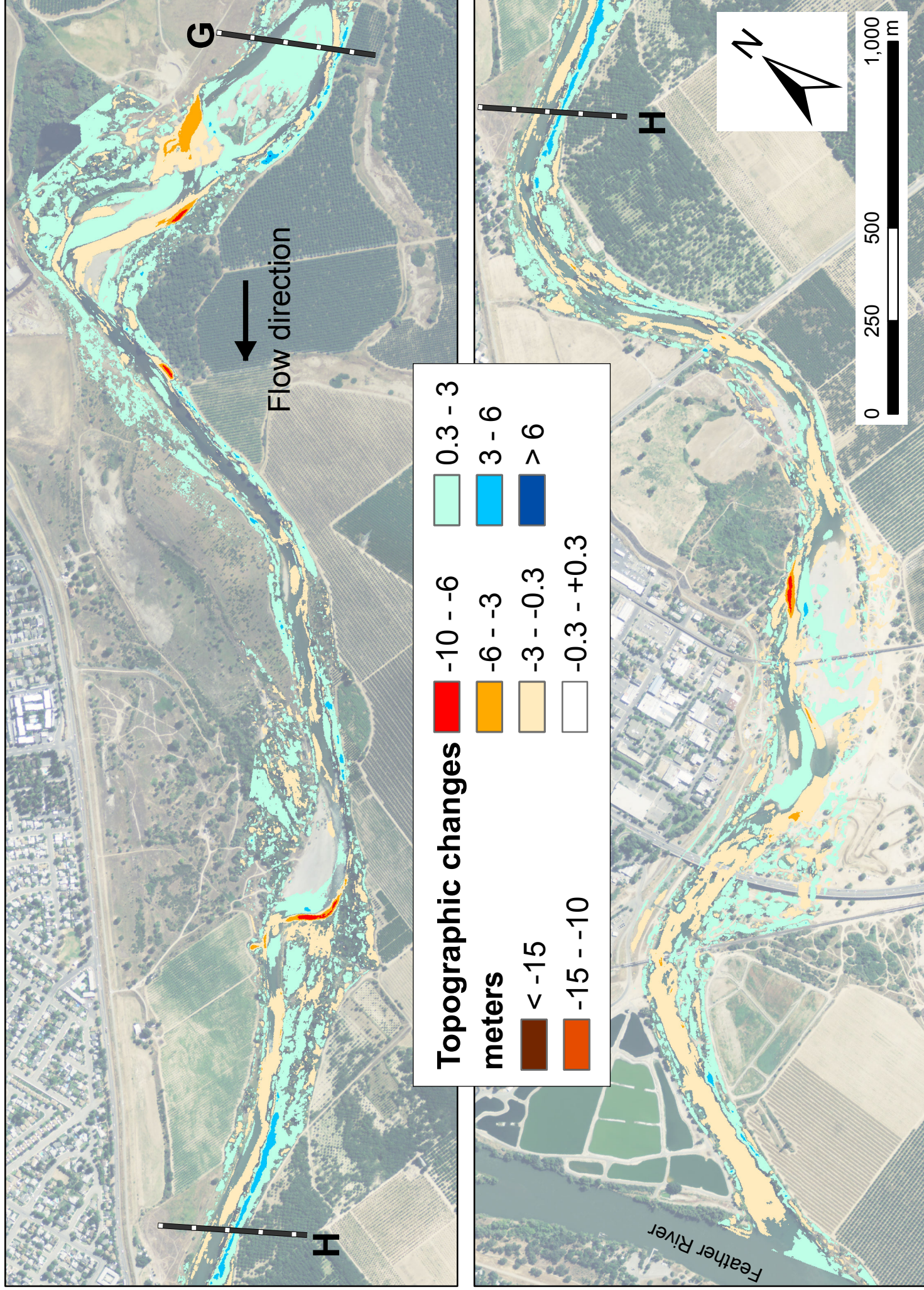


# LYR DEM differences: area 4

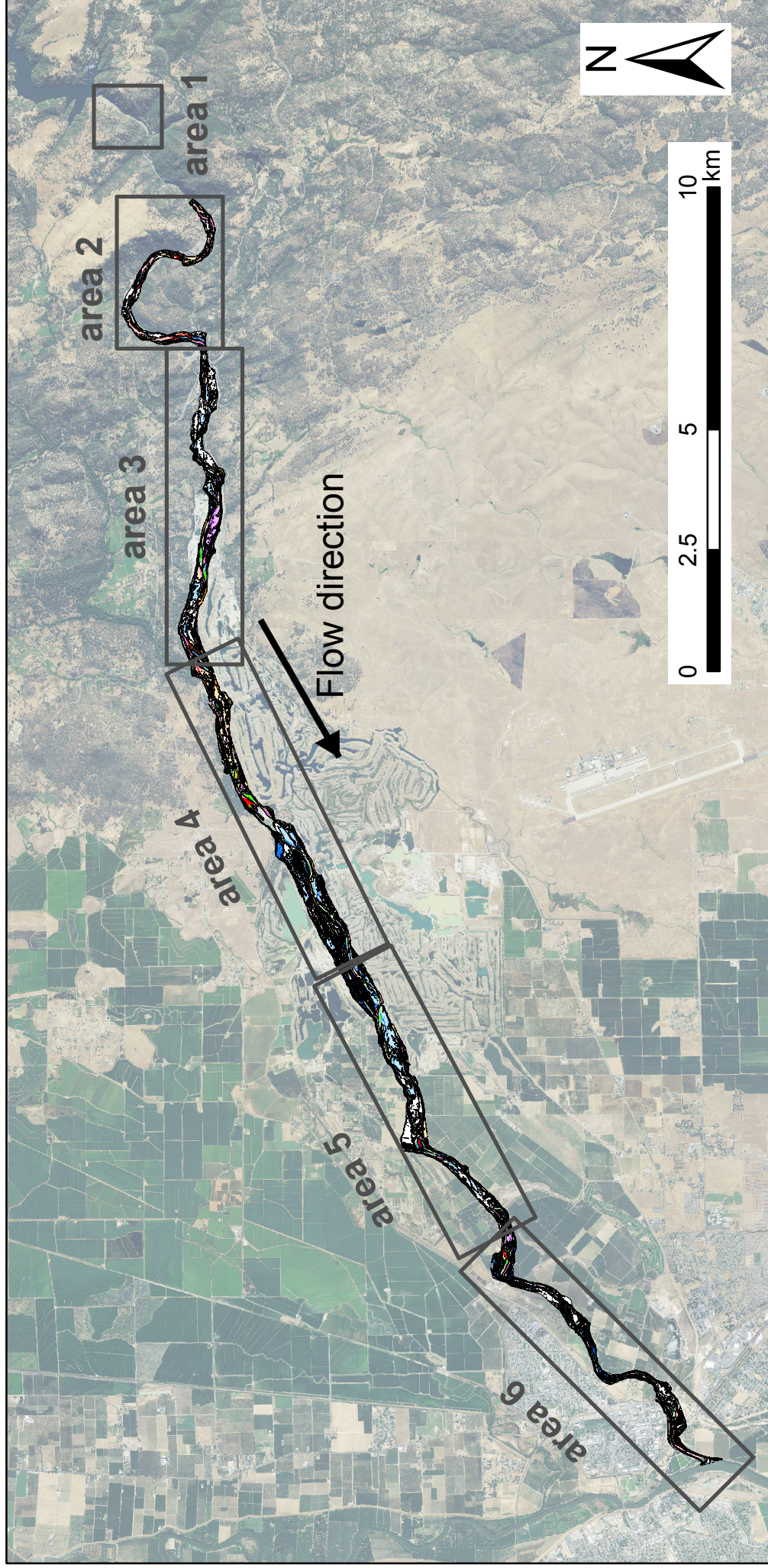




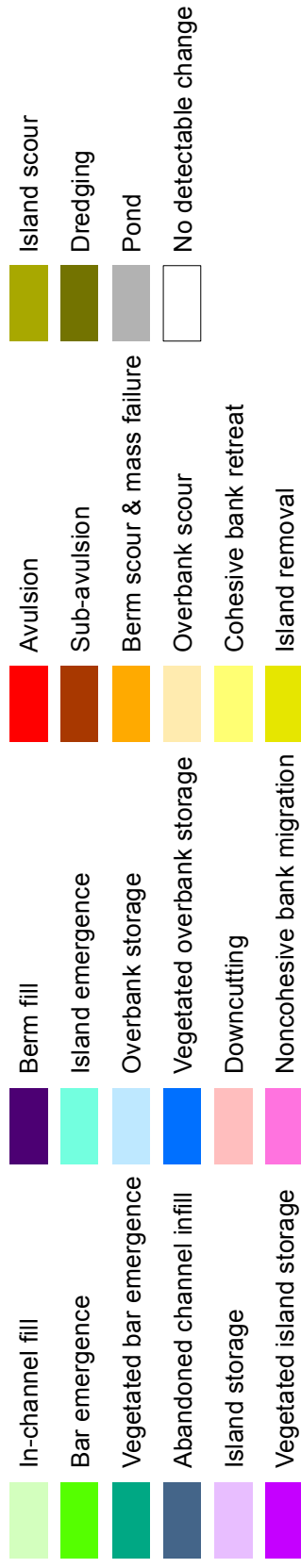
# LYR DEM differences: area 5



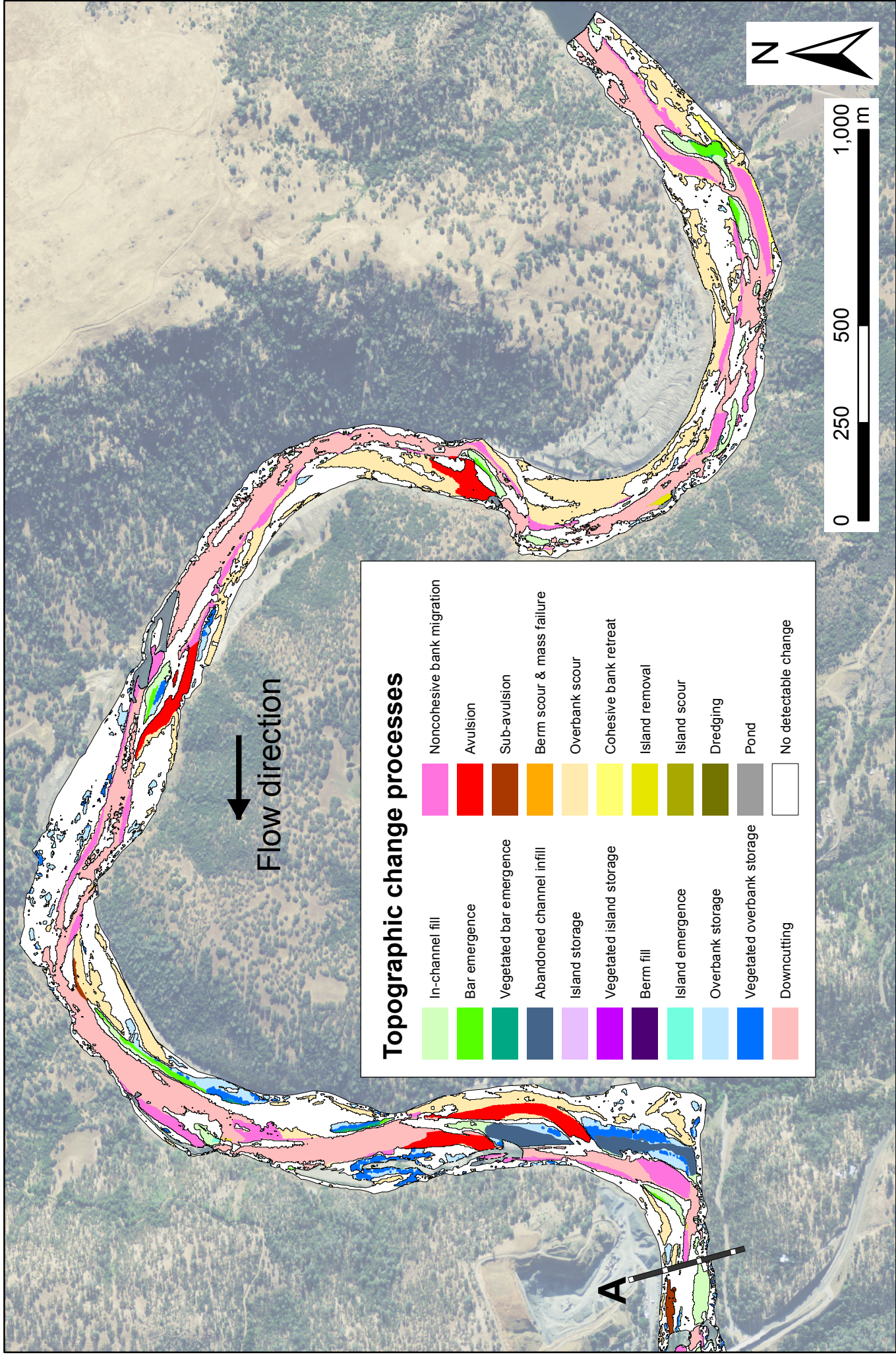
# LYR TCP: areal divisions



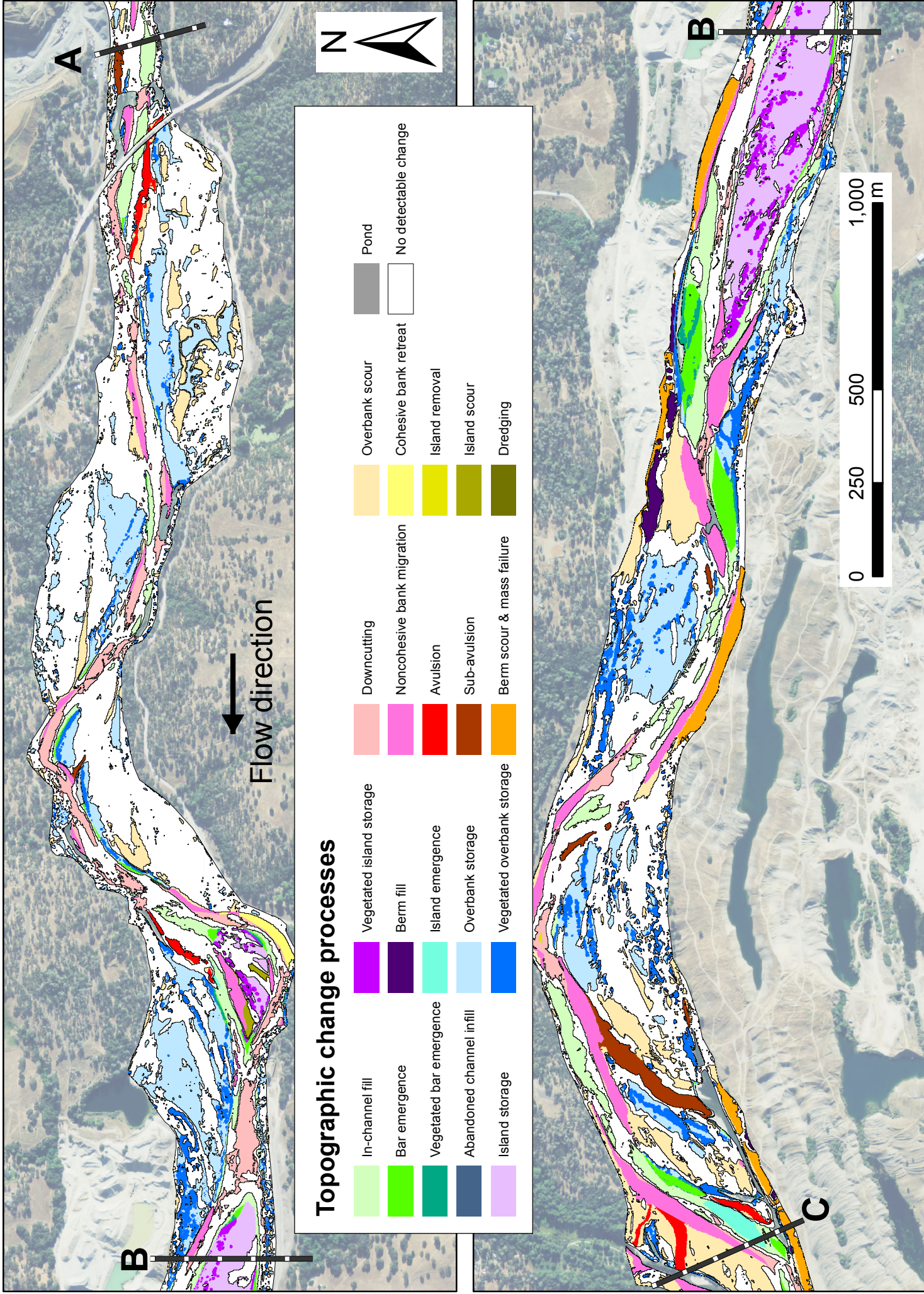
## Topographic change processes



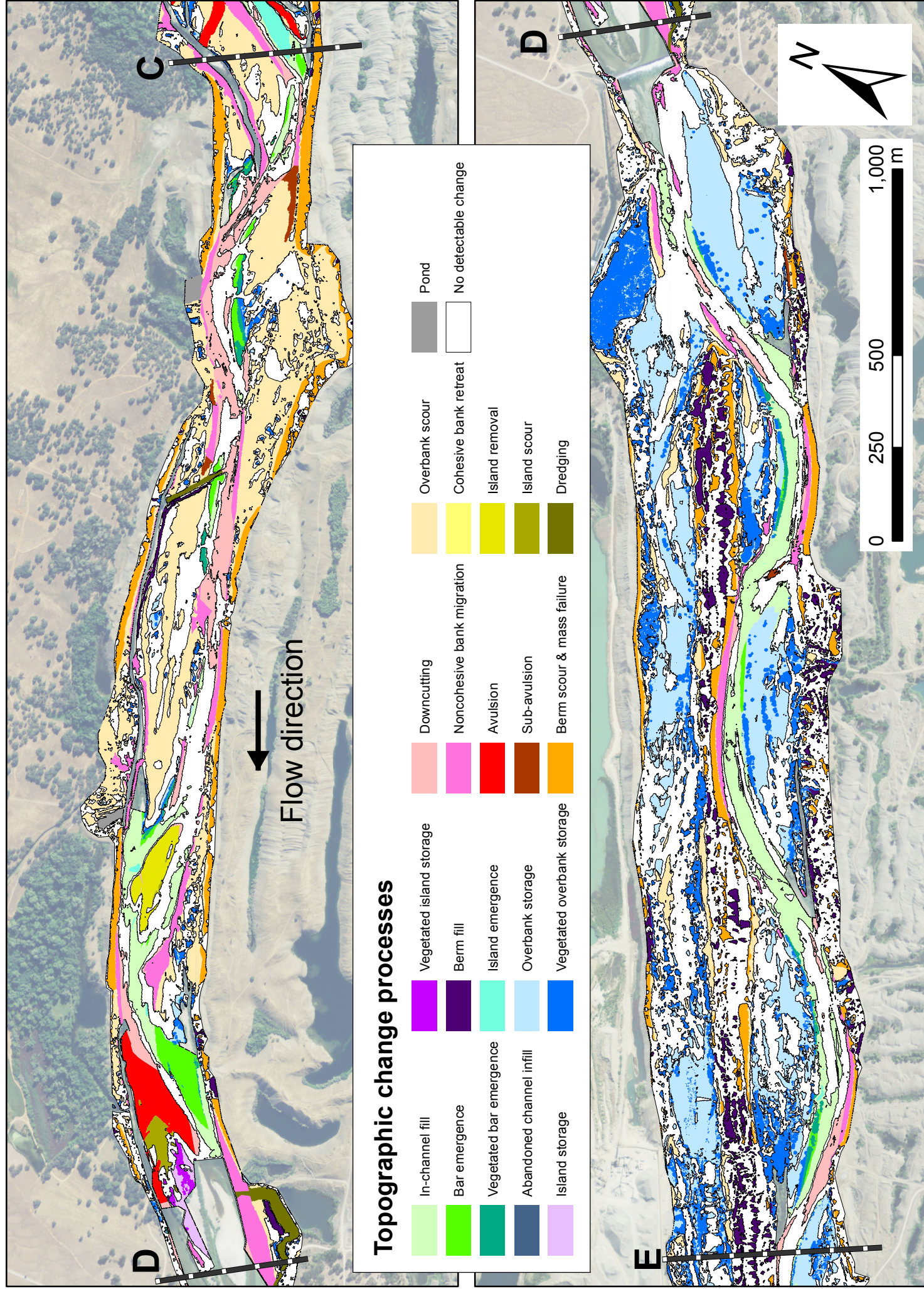
# LYR TCP: area 2



# LYR TCP: area 3



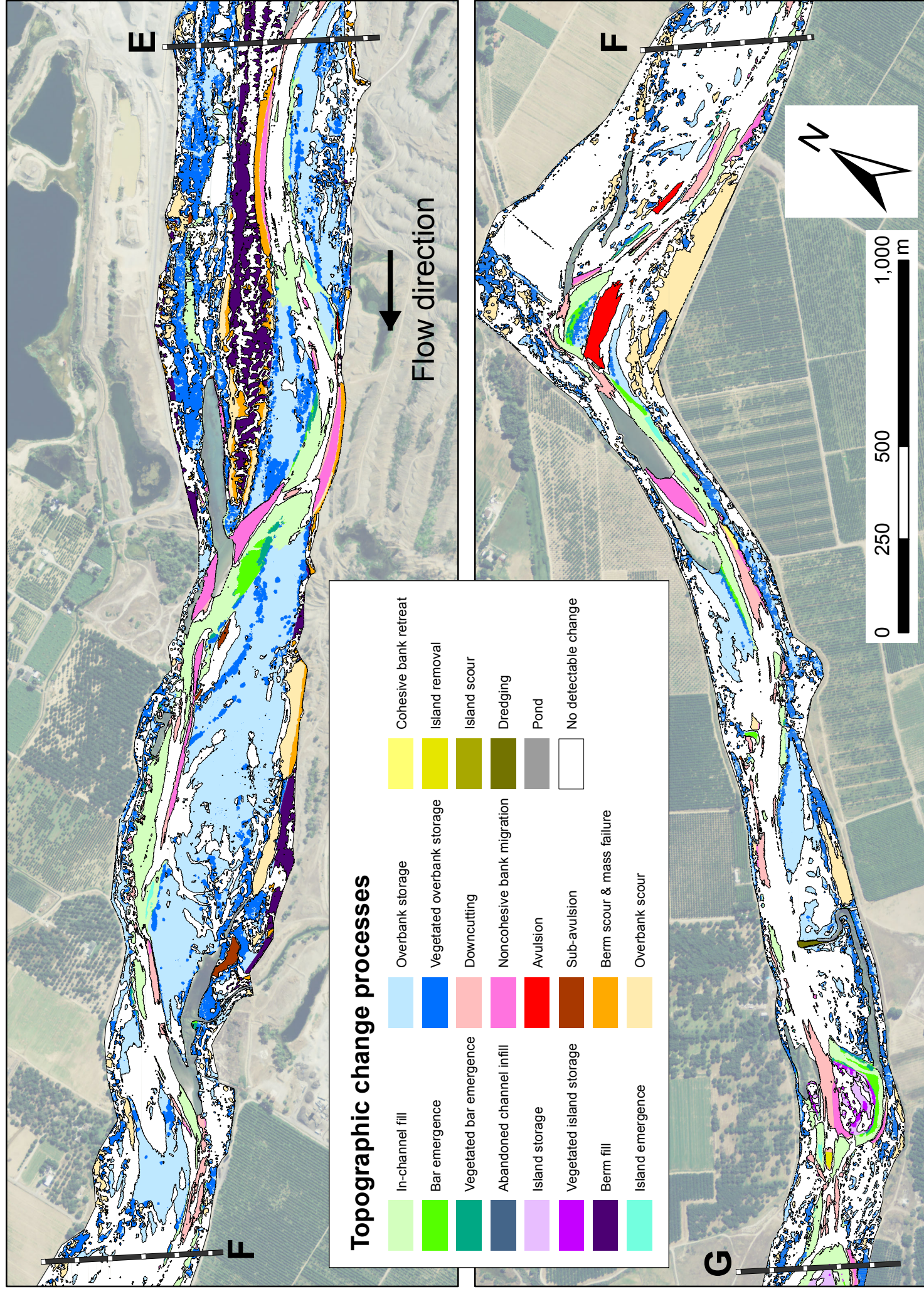
# LYR TCP: area 4



## Topographic change processes

In-channel fill	Vegetated island storage	Downcutting	Overbank scour	Pond
Bar emergence	Berm fill	Noncohesive bank migration	Cohesive bank retreat	No detectable change
Vegetated bar emergence	Island emergence	Avulsion	Island removal	
Abandoned channel infill	Overbank storage	Sub-avulsion	Island scour	
Island storage	Vegetated overbank storage	Berm scour & mass failure	Dredging	

# LYR TCP: area 5



# LYR TCP: area 6

