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<https://escholarship.org/uc/item/2dh315mc>

### Journal

Energy & Environmental Science, 16(7)

### ISSN

1754-5692

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### Publication Date

2023-07-12

### DOI

10.1039/d2ee03525a

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1 **Codesign of an Integrated Metal-Insulator-Semiconductor Photocathode**  
2 **for Photoelectrochemical Reduction of CO<sub>2</sub> to Ethylene**

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14

15 **Abstract**

16 Photoelectrochemical carbon-dioxide reduction (PEC CO<sub>2</sub>R) is a potentially attractive means  
17 for producing chemicals and fuels using sunlight, water, and carbon dioxide; however, this technology  
18 is in its infancy. To date, most studies of PEC CO<sub>2</sub>R have reported products containing one carbon atom  
19 (C<sub>1</sub> products) but the production of valuable products containing two or more carbons (C<sub>2+</sub> products),  
20 such as ethylene, ethanol, etc., is rarely demonstrated. Metal-semiconductor-insulator (MIS)  
21 photocathode/catalyst structures offer a promising approach for this purpose, since they integrate the  
22 functions of light absorption, charge separation, and catalysis. In this study, we have investigated a  
23 Cu/TiO<sub>2</sub>/p-Si photocathode/catalyst structure with the aim of establishing the effects of semiconductor-  
24 insulator interactions on the performance of the photocathode and the influence of the direction of  
25 illumination of the MIS structure on the total current density and the distribution of products formed by  
26 on the Cu catalyst. We have also examined the influence of ionomer coatings deposited on the Cu  
27 surface on the total current density and the distribution of products formed. A major finding is that for  
28 a fixed Cu potential the distribution of products formed by PEC CO<sub>2</sub>R are the same, irrespective of the  
29 direction of illumination, and are identical to those obtained by electrochemical reduction of CO<sub>2</sub> (EC  
30 CO<sub>2</sub>R). Another important finding is that the total current density and the faradaic efficiency to ethylene  
31 are enhanced significantly by deposition of a thin bilayer of Sustainion/Nafion onto the surface of the  
32 Cu.

33  
34 **Broader Context**

35 The climate crisis resulting from the accumulation of atmospheric CO<sub>2</sub> has motivated growing  
36 interest in the conversion of CO<sub>2</sub> to fuels and chemicals using renewable sources of energy (*e.g.*, wind  
37 and solar radiation). One approach for doing so is photoelectrochemical carbon dioxide reduction (PEC  
38 CO<sub>2</sub>R), a process that can directly reduce CO<sub>2</sub> to useful compounds using only water and sunlight. If  
39 CO<sub>2</sub> can be captured from the atmosphere, such a process could provide a sustainable source of carbon-

40 based fuels. To date, however, the design principles for successful integration of light absorption, charge  
41 separation, and catalyst components of a PEC device are not well understood. This work investigates  
42 the co-design of a metal-insulator-semiconductor (MIS) photocathode/catalyst system with the aim of  
43 demonstrating how the system elements should be chosen in order to achieve a high selectivity and  
44 current density to ethylene via PEC CO<sub>2</sub>R. The knowledge gained here also provides insights directly  
45 applicable to other PEC systems.

## 46 **Introduction**

47           Solar production of carbon-containing fuels envisions the direct conversion of solar radiation,  
48 carbon dioxide, and water to produce gaseous or liquid fuels.<sup>1-4</sup> This idea is particularly attractive if the  
49 carbon dioxide can be sourced from the atmosphere, since it would enable a circular carbon economy.  
50 Most previous studies of PEC CO<sub>2</sub>R have demonstrated only small amounts of C<sub>1</sub> products, such as CO,  
51 CH<sub>4</sub>, HCOOH, etc.<sup>5-7</sup>, rather than more valuable multi-carbon (C<sub>2+</sub>) products.<sup>8, 9</sup> Therefore, it is  
52 important to develop a fundamental understanding of how the interplay between the components of a  
53 PEC CO<sub>2</sub>R photocathode/catalyst structure interact, and how these interactions can be best utilized to  
54 achieve high yields of products such as C<sub>2</sub>H<sub>4</sub> and C<sub>2</sub>H<sub>5</sub>OH. These products are targeted because they  
55 can be converted to higher molecular weight, preferably liquid, hydrocarbons and alcohols that have  
56 high volumetric and mass energy densities.<sup>8, 10, 11</sup>

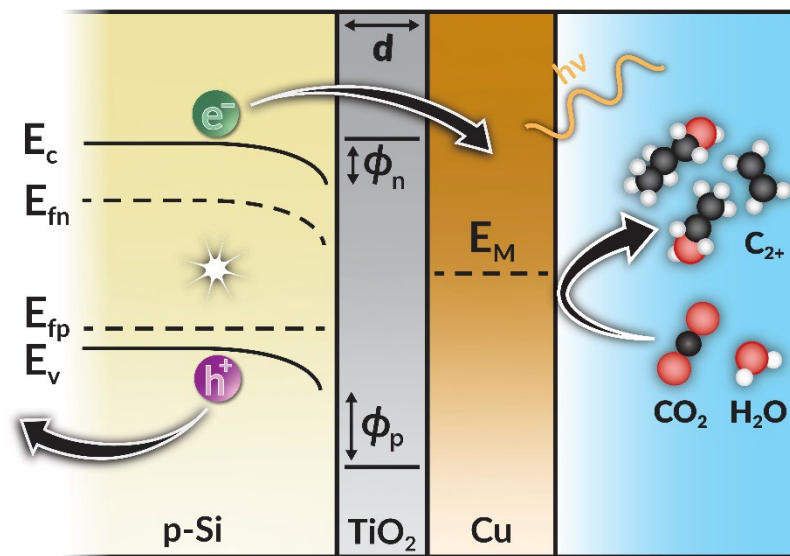
57           A key challenge for the development of a PEC CO<sub>2</sub>R system is the susceptibility of most photo-  
58 absorbers to chemical corrosion and photodegradation in the presence of an electrolyte.<sup>5-7, 12, 13</sup> Moreover,  
59 the best photocathodes are poor catalysts for the reduction of CO<sub>2</sub>.<sup>13, 14</sup> These issues have led researchers  
60 to explore metal-insulator-semiconductor (MIS) structures as photocathodes.<sup>15-20</sup> The metal layer  
61 improves reaction kinetics by lowering the overpotential required to achieve a given current density.<sup>14</sup>  
62 Photocathode degradation can be inhibited by covering the surface of the semiconductor with a very  
63 thin, corrosion-resistant, insulating layer, such as a metal oxide.<sup>17</sup> The insulating layer also serves as a  
64 carrier-selective tunneling contact, which helps to mitigate carrier recombination in the metal layer and  
65 improve product formation rates. The role of the protective (insulating) layer in MIS structures has  
66 recently been explored for PEC water splitting;<sup>16, 18, 21-23</sup> however, in most studies of PEC CO<sub>2</sub>R, the  
67 role of the insulating film has been underexplored and limited to its effect as a passivation layer.<sup>19, 24, 25</sup>  
68 We note further that MIS structures have great potential for PEC CO<sub>2</sub>R to C<sub>2+</sub> products if the  
69 semiconductor, insulating layer, and catalyst material can be selected and adapted to each other (*i.e.*,  
70 co-designed) to achieve high photovoltage and current, as well as a high faradaic efficiency to

71 ethylene.<sup>19-21, 24</sup>

72           The reaction microenvironment near the catalyst surface is also vitally important. Extensive  
73 studies have revealed that Cu-based catalysts are best suited for the formation of C<sub>2+</sub> products<sup>26-28</sup> and  
74 that the morphology of the Cu surface, electrolyte cation identity, and the local pH of the electrolyte in  
75 contact with the catalyst surface influence both its activity and selectivity for CO<sub>2</sub>R.<sup>29-31</sup> Recent work  
76 has also demonstrated that the activity and selectivity of Cu for producing C<sub>2+</sub> products can be enhanced  
77 significantly by using thin ionomer films in order to enhance the pH and the CO<sub>2</sub>/H<sub>2</sub>O ratio at the  
78 catalyst surface.<sup>30</sup> A further question is whether an MIS structure using Cu as the catalyst should be  
79 illuminated from its dry-side or its wet-side, the side in contact with the electrolyte. Prior work on PEC  
80 water splitting has shown that dry-side and wet-side illumination can produce differences in the transfer  
81 and utilization of absorbed light energy.<sup>32, 33</sup>

82           The aim of the present study was to understand how the semiconductor-insulator interactions  
83 in an MIS structure affect the photovoltage and photocurrent available to drive PEC CO<sub>2</sub>R. To this end,  
84 we conducted a systematic investigation of the role of each component in a Cu/TiO<sub>2</sub>/p-Si MIS structure.  
85 Because of the close interactions of the different elements of an MIS structure, it is useful to first identify  
86 the relationships among the elements, which are illustrated in Figure 1. The semiconductor light  
87 absorber is p-doped silicon (p-Si), a commonly available material that has been investigated previously  
88 for PEC applications. Cu catalyst was chosen as the catalyst because it exhibits the ability to catalyze  
89 the electrochemical reduction of CO<sub>2</sub> to C<sub>2+</sub> products with high faradaic efficiency.<sup>26-28</sup> TiO<sub>2</sub> was used  
90 as the protective layer for p-Si because it is known to be stable in aqueous electrolytes.<sup>17, 34</sup> Figure 1  
91 also shows that TiO<sub>2</sub> and p-Si have a low conduction band offset and a large valence band offset.<sup>35</sup>  
92 These are important characteristics for achieving carrier selective tunneling, which is exponentially  
93 dependent on the band offset between the semiconductor and insulator. A larger band offset results in a  
94 lower tunneling probability and, consequentially, a lower rate of carrier tunneling. Thus, a TiO<sub>2</sub>  
95 insulating layer is well suited for protecting the semiconductor and enabling facile tunneling of the

96 desired charge (electrons) due to its high tunneling probability. The tunneling probability is also  
 97 exponentially dependent on the thickness of the insulator; hence, the  $\text{TiO}_2$  layer must be thin enough to  
 98 allow electron tunneling through it to the metal layer, but thick enough to protect the semiconductor  
 99 and limit hole tunneling.<sup>36-38</sup> Therefore, an optimum  $\text{TiO}_2$  thickness is expected in order to achieve high  
 100 photovoltages.<sup>15, 17</sup> We note that an understanding of how the thickness of the  $\text{TiO}_2$  layer alters the  
 101 environment at the semiconductor surface, and, subsequently, the photovoltage, is not currently known.



102

103 **Figure 1. Band diagram illustration of an MIS photocathode converting  $\text{CO}_2$  to  $\text{C}_2$  products.** Electrons can  
 104 easily tunnel into the Cu layer because of the small barrier ( $\phi_n$ ), whereas hole tunneling is blocked by the large  
 105 barrier ( $\phi_p$ ).  $d$  is the thickness of the  $\text{TiO}_2$  layer.  $E_c$  and  $E_v$  are the conduction and valence bands, respectively.  $E_{fn}$ ,  
 106  $E_{fp}$ , and  $E_M$  are the electron and hole quasi-Fermi level, respectively, and the metal Fermi level.

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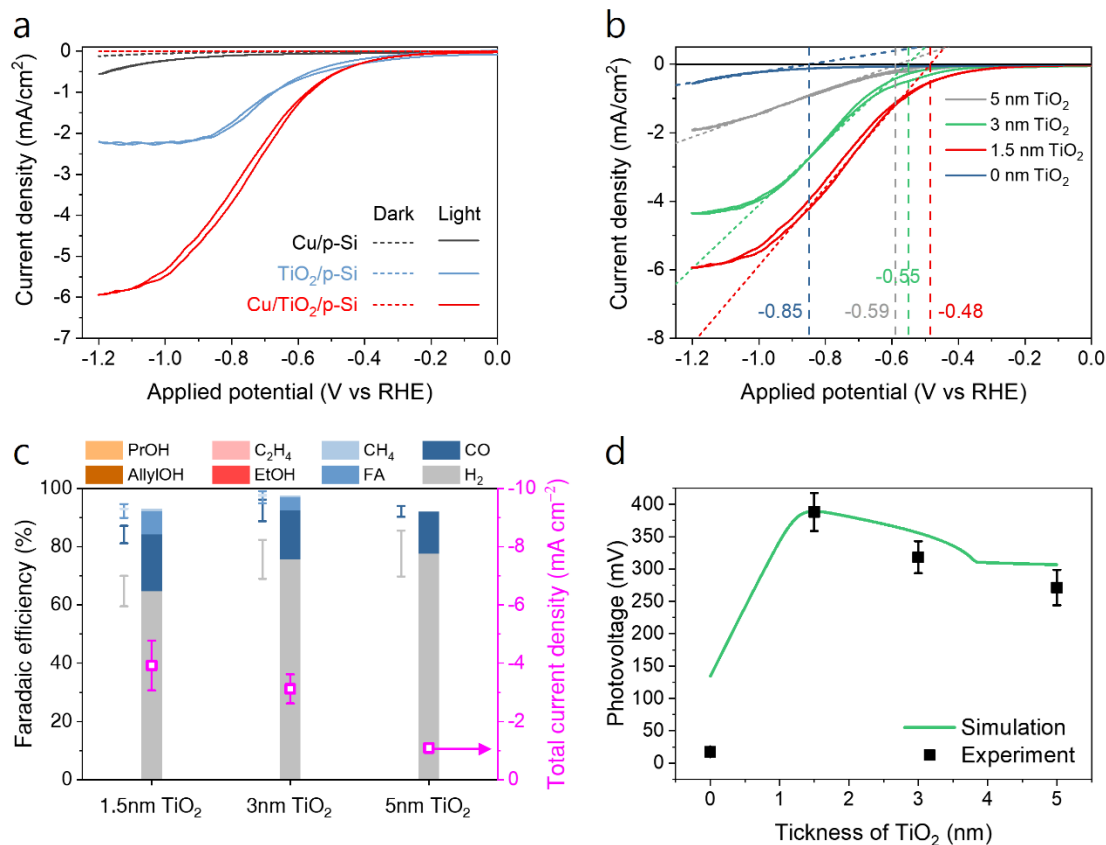
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111

112 **Results and Discussion**

113 The effects of each component of a Cu/TiO<sub>2</sub>/p-Si MIS structure on the total current density,  
 114 photovoltage, and product Faradaic efficiencies for PEC CO<sub>2</sub>R



115  
 116 **Figure 2. The effect and role of each component in the MIS photocathode.** a. response in photocurrent during  
 117 CV using various configurations of photocathode in CO<sub>2</sub>R environments under dry-side illumination, b.  
 118 photocurrent obtained during CV, c. product distribution obtained during CA at -0.9 V vs RHE, and d. comparison  
 119 of the theoretical and experimentally measured photovoltage with respect to the thickness of TiO<sub>2</sub> insulator layer  
 120 in in Cu/TiO<sub>2</sub>/p-Si MIS photocathode at zero current density. In a-c, PEC CO<sub>2</sub>R was conducted in the presence of  
 121 0.1 M CsHCO<sub>3</sub> electrolyte.

122 Figure 2 illustrates the effect of each component comprising the MIS photocathode on the  
 123 current density for a given applied voltage. Cyclovoltammetry (CV) data were collected using a  
 124 compression cell with two symmetric anodic chambers placed perpendicular to the cathode chamber  
 125 (see Figure 3a, below)<sup>39</sup> for different photocathode configurations under conditions of no illumination  
 126 (dark) and dry-side illumination (Figure 2a), *i.e.*, the side that is not exposed to the electrolyte (also  
 127 referred elsewhere as back-side). We note that bare p-Si has been previously shown to be a poor CO<sub>2</sub>R  
 128 catalyst and primarily evolves H<sub>2</sub>;<sup>14</sup> hence, our investigation first examined Cu on p-type silicon (Cu/p-



129 Si), to improve CO<sub>2</sub>R activity. Cu/p-Si exhibited only a slight increase in total current density  
130 (photocurrent) under dry-side illumination, denoted by the black solid line, compared to that obtained  
131 under dark conditions (dark current), denoted by dotted black line. This minor increase in current  
132 density under dry-side illumination is due to significant Fermi-level pinning of Cu and p-Si.<sup>36-38</sup>

133         When a thin layer of TiO<sub>2</sub> is used to passivate p-Si (TiO<sub>2</sub>/p-Si), the photocurrent increases  
134 noticeably (blue solid line) due to improved carrier transport and defect-passivation of the insulating  
135 TiO<sub>2</sub> layer, whereas the dark current is similar to that obtained for Cu/p-Si. The principal product for  
136 TiO<sub>2</sub>/p-Si, however, is H<sub>2</sub> (Figure S1). Interestingly, the photocurrent can be further increased with an  
137 additional Cu layer (Cu/TiO<sub>2</sub>/p-Si MIS, red line) due to the increase in CO<sub>2</sub>R activity relative to that for  
138 TiO<sub>2</sub>/p-Si. These observations clearly demonstrate the role of each component. Although the TiO<sub>2</sub> layer  
139 only produces H<sub>2</sub>, it enhances the photocurrent and photovoltage obtained from p-Si as a consequence  
140 of its effects on carrier tunneling and surface passivation. The effect of the TiO<sub>2</sub> layer can be varied by  
141 changing its thickness, which in turn affects the carrier-tunneling probabilities.<sup>16, 18, 21</sup> As the TiO<sub>2</sub> layer  
142 thickness decreases from 5 nm, not only the photocurrent but also the photovoltage increases. The  
143 photovoltage was estimated by the shift in potential at which current flow begins (the onset potential)  
144 for each MIS photocathode (Figure 2b) and that for electrochemical (EC) CO<sub>2</sub>R (Figure S2). The  
145 differences in PEC CO<sub>2</sub>R performances during chronoamperometry (CA) at -0.9 V vs RHE observed  
146 with varying TiO<sub>2</sub> thickness, shown in Figure 2c, is a consequence of differences in generated  
147 photovoltages. Our measurements, shown in Figure 2d, indicate a TiO<sub>2</sub> thickness of ~ 1.5 nm leads to  
148 the highest photovoltage (~ 400 mV).

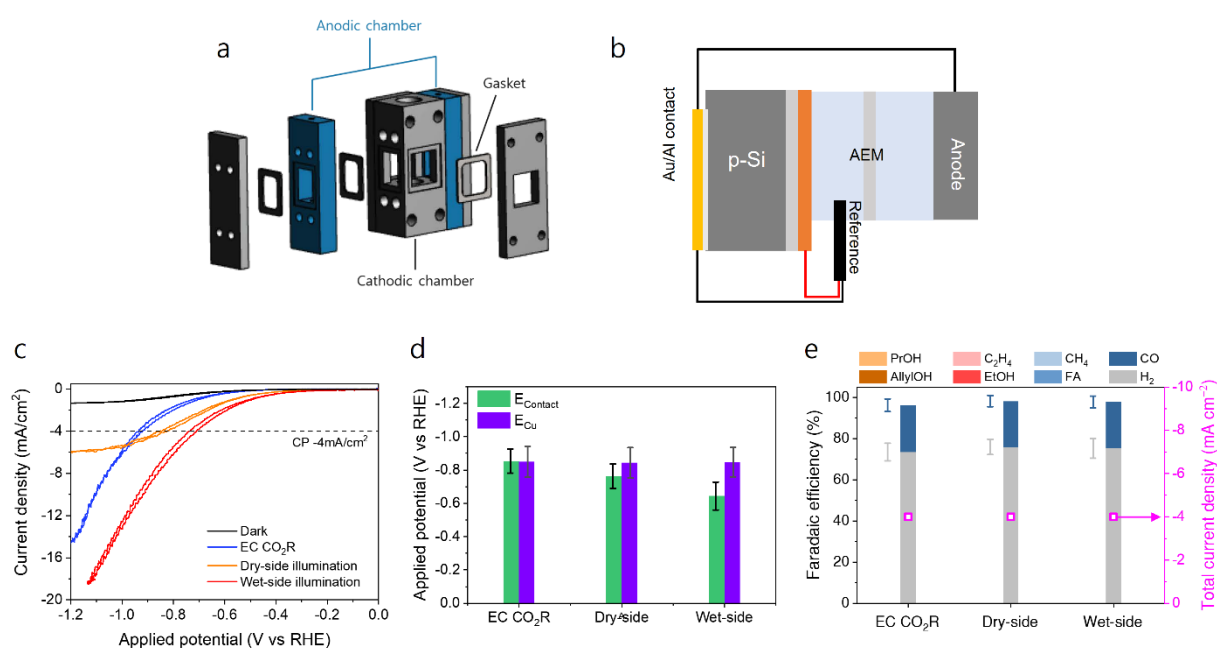
149         The effects of TiO<sub>2</sub> thickness on the photovoltage for the Cu/TiO<sub>2</sub>/p-Si photocathode structure  
150 was modeled in order to explain why a maximum in the photovoltage occurs at a particular insulator  
151 thickness. An overview of this effort is presented in the Supporting Information; specific details can be  
152 found elsewhere.<sup>40</sup> This work reveals that with increasing insulator thickness, the electron-tunneling  
153 probability decreases (Figure S3) due to an attenuation of the electron wavefunction. The lowered  
154 tunneling probability increases the resistances to electron transport across the MIS interface, which, in

155 turn, leads to a buildup of electrons near the semiconductor surface. This buildup of electrons occurs  
156 for TiO<sub>2</sub> thicknesses  $\lesssim$  1.5 nm, beyond which the electron concentration decreases; see Figure S4. This  
157 decrease in electron concentration occurs because of a high bulk and interfacial recombination rate, as  
158 seen in Figure S5, which is attributable to the large electron concentration and density of interfacial trap  
159 sites. The electron concentration at the semiconductor surface alters the quasi-Fermi level of electrons,  
160 and, consequentially, the photovoltage (for details the reader is referred to the Supporting Information  
161 and Figure S4); the band energy diagram at varying TiO<sub>2</sub> thicknesses is shown in Figure S6. Thus, the  
162 increase in photovoltage for TiO<sub>2</sub> films  $\lesssim$  1.5 nm thick is due to the increase in electron concentration,  
163 whereas the decrease in electron concentration for TiO<sub>2</sub> thicknesses  $>$  1.5 nm causes the reduction in  
164 photovoltage. We note that the effects of quantum confinement in the TiO<sub>2</sub> layer on the simulation  
165 results are negligible, as shown in Figure S7. Notwithstanding the discrepancies observed in the absence  
166 of a TiO<sub>2</sub> layer and for thick TiO<sub>2</sub> layers, Figure 2d shows that the theoretical predictions capture the  
167 experimental trends in photovoltage with insulator thickness quite effectively, especially at thicknesses  
168 relevant to this study.

### 169 **The effects of dry-side vs wet-side illuminations on the performance of a Cu/TiO<sub>2</sub>/p-Si MIS** 170 **structure**

171 The MIS architecture of the photocathode is geometrically asymmetric; therefore, the  
172 questions arises whether the performance of the MIS structure will differ when it is illuminated from  
173 the dry- vs the wet-side. This question was investigated using the compression cell shown in Figure 3a  
174 (see the Experimental Methods section for more details).<sup>39</sup> To evaluate the effect of light in terms of the  
175 electric potential at the Cu surface, where CO<sub>2</sub>R occurs, an electric contact was made on the wet-side  
176 as well as through an Al/Au ohmic contact located on the dry-side of the MIS photocathode (see Figure  
177 3b). Prior to using the MIS photocathode for PEC CO<sub>2</sub>R under wet-side illumination, the light  
178 transmission through the Cu and TiO<sub>2</sub> layers was measured. As shown in Figure S8a, incident light  
179 transmission through 1.5 nm of TiO<sub>2</sub> was  $>$  90 % for wavelengths from 350 to 1500 nm. However, when

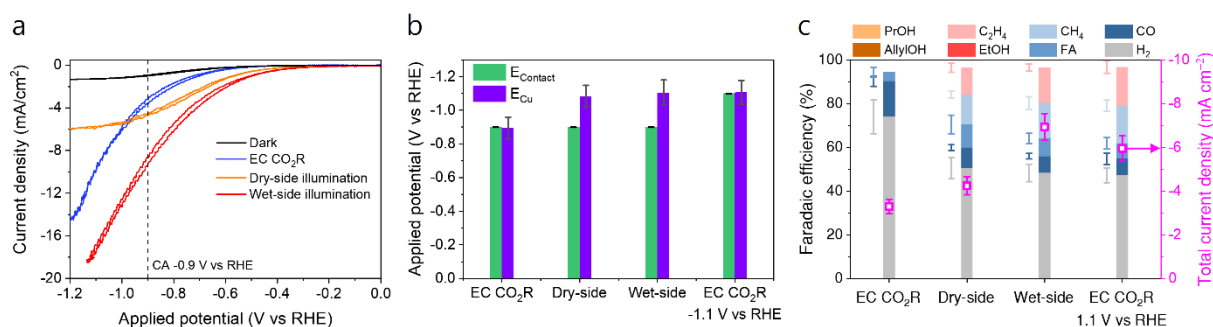
180 a Cu layer was deposited on top of the TiO<sub>2</sub> layer, light transmission decreased noticeably from < 80 %  
 181 to below 55 % as the thickness of the Cu layer increased from 10 to 25 nm. As shown in Figure S8b, a  
 182 decrease in light transmission leads to a decrease in the photocurrent. When the Cu thickness was 10  
 183 nm, the hydrogen evolution reaction (HER) dominated over CO<sub>2</sub>R, most likely due to partial exposure  
 184 of the TiO<sub>2</sub> layer below the Cu. These results indicate that a Cu thickness of 15 nm is optimal in order  
 185 to reduce the loss of light transmission, while concurrently promoting CO<sub>2</sub>R selectivity; hence, 15 nm  
 186 was used in all subsequent experiments.



187  
 188 **Figure 3. PEC CO<sub>2</sub>R using MIS photocathode under dry-side and wet-side illuminations.** Schematic  
 189 illustration of **a.** PEC cell enabling both dry-side and wet-side illumination and **b.** configuration of electric circuit.  
 190 **c.** CV curves obtained using MIS photocathode under various conditions of EC CO<sub>2</sub>R, dark, dry-side and  
 191 wet-side illumination. Effect of illuminating direction on **d.** potential measured at Cu surface and **e.** product  
 192 distribution during PEC CO<sub>2</sub>R using MIS photocathode at constant current density of  $-4\text{mA/cm}^2$ . In **c-e**, PEC  
 193 CO<sub>2</sub>R was conducted in the presence of 0.1 M CsHCO<sub>3</sub> electrolyte.

194 Under dry-side illumination the photovoltage and photocurrent is generated solely by light  
 195 absorption from p-Si; under wet-side illumination, however, the light passes through all three layers  
 196 (Cu, TiO<sub>2</sub>, and p-Si). Therefore, the effect of light with respect to the direction of illumination needs to  
 197 be investigated in terms of both photocurrent and photovoltage in order to ascertain the effects of light  
 198 absorption and reflection by the Cu and TiO<sub>2</sub> layers. Figure 3c shows CV data acquired for dry-side and

199 wet-side illumination of the MIS photocathode/catalyst as well as for EC CO<sub>2</sub>R, which use identical Cu  
 200 catalysts. In the case of EC CO<sub>2</sub>R, the potential was applied between the Cu surface in MIS  
 201 photocathode/catalyst and the reference electrode using a potentiostat. Interestingly, the photocurrent  
 202 obtained under wet-side illumination (red line) was noticeably higher than that obtained under dry-side  
 203 illumination (orange line), even though partial light absorption and reflection by the Cu and TiO<sub>2</sub> layers  
 204 occurred in the latter case. This observation implies there is better utilization of absorbed photons under  
 205 wet-side illumination compared to dry-side illumination. To validate this hypothesis, a potential was  
 206 applied to the p-Si (at the Au/Al contact on the dry-side) and the potential at the Cu layer on the wet-  
 207 side was measured during chronopotentiometry (CP) at  $-4 \text{ mA/cm}^2$  (Figure 3d). While the potential  
 208 measured at the Cu surface was identical for all cases (purple columns), there were differences in the  
 209 potential applied by the potentiostat, indicating that the electric potential required to reach  $-4 \text{ mA/cm}^2$   
 210 was different for EC CO<sub>2</sub>R and PEC CO<sub>2</sub>R under dry-side and wet-side illumination (green columns).  
 211 The required applied potential to reach a current density of  $-4 \text{ mA/cm}^2$  was  $-0.76 \text{ V}$  and  $-0.64 \text{ V}$  vs  
 212 RHE for dry-side and wet-side illumination, respectively. The additional 120 mV of photovoltage under  
 213 wet-side illumination compared to dry-side illumination is a result of the improved charge utilization.  
 214 For a nearly identical potential at the Cu surface, around  $-0.84 \text{ V}$  vs RHE, the product distributions  
 215 obtained for EC CO<sub>2</sub>R and PEC CO<sub>2</sub>R under wet-side and dry-side illumination were nearly identical  
 216 (Figure 3e).



218 **Figure 4. PEC CO<sub>2</sub>R using MIS photocathode under dry-side and wet-side illuminations at constant**  
 219 **potential condition of  $-0.9 \text{ V}$  vs RHE. a. CV curves obtained using MIS photocathode under various conditions**  
 220 **of EC CO<sub>2</sub>R, dark, dry-side and wet-side illumination. Effect of illuminating direction on b. potential measured**

221 at Cu surface under the constant applied potential of -0.9V vs RHE to p-Si with respect to the reference electrode  
222 and c. product distribution. In a-c, PEC CO<sub>2</sub>R was conducted in the presence of 0.1 M CsHCO<sub>3</sub> electrolyte.

223 The effects of dry-side and wet-side illumination were also investigated at a constant applied  
224 potential of - 0.9 V vs RHE, shown in Figure 4a in order to further support our hypothesis of enhanced  
225 charge utilization under wet-side illumination. Although the potential applied by the potentiostat (green  
226 columns) was the same, the potential at the Cu surface decreased from - 0.89 to - 1.07 to - 1.10 V vs  
227 RHE for EC CO<sub>2</sub>R, PEC CO<sub>2</sub>R under dry-side illumination, and PEC CO<sub>2</sub>R under wet-side illumination,  
228 respectively (Figure 4b). As a consequence of the larger negative potential at the Cu surface, PEC CO<sub>2</sub>R  
229 under dry-side and wet-side illumination exhibited increased current density for CO<sub>2</sub>R vs HER and,  
230 more importantly, formation of ethylene. When the potential at the Cu surface was similar for PEC  
231 CO<sub>2</sub>R under wet-side illumination and EC CO<sub>2</sub>R (- 1.10 V vs RHE), the product faradaic efficiencies  
232 were similar as well (Figure 4c). Thus, the effect of light is to reduce the potential required by the  
233 potentiostat to maintain the Cu potential around - 1.10 V vs RHE. It is important to note that PEC CO<sub>2</sub>R  
234 under dry-side illumination produced a saturated current response, reaching a plateau at around - 7  
235 mA/cm<sup>2</sup> at - 1.2 V vs RHE as shown in Figure 4a. This plateau in current density is not related to either  
236 mass-transport-limited CO<sub>2</sub>R or tunneling resistances of photo-generated charges through the TiO<sub>2</sub> layer  
237 because these limitations would also been seen in EC CO<sub>2</sub>R and PEC CO<sub>2</sub>R under wet-side illumination,  
238 respectively. Therefore, saturation of the photocurrent under dry-side illumination is attributed to carrier  
239 recombination across the p-Si layer.

240 Similar limitations from carrier recombination has been reported for PEC water splitting.<sup>32, 33,</sup>  
241 <sup>41-43</sup> In these studies, wet-side illumination resulted in several fold higher photocurrents than dry-side  
242 illumination, in agreement with the findings shown here. The authors of these studies proposed that the  
243 diffusion length of charge carriers, which is less than a micron, is much shorter than the thickness of  
244 the semiconductor photo-absorber, several hundred microns. Hence, a significant number of excited  
245 electrons recombine with holes as they travel across the photo-absorber to the metal surface, resulting  
246 in the low photocurrent observed under dry-side illumination. This interpretation is further supported

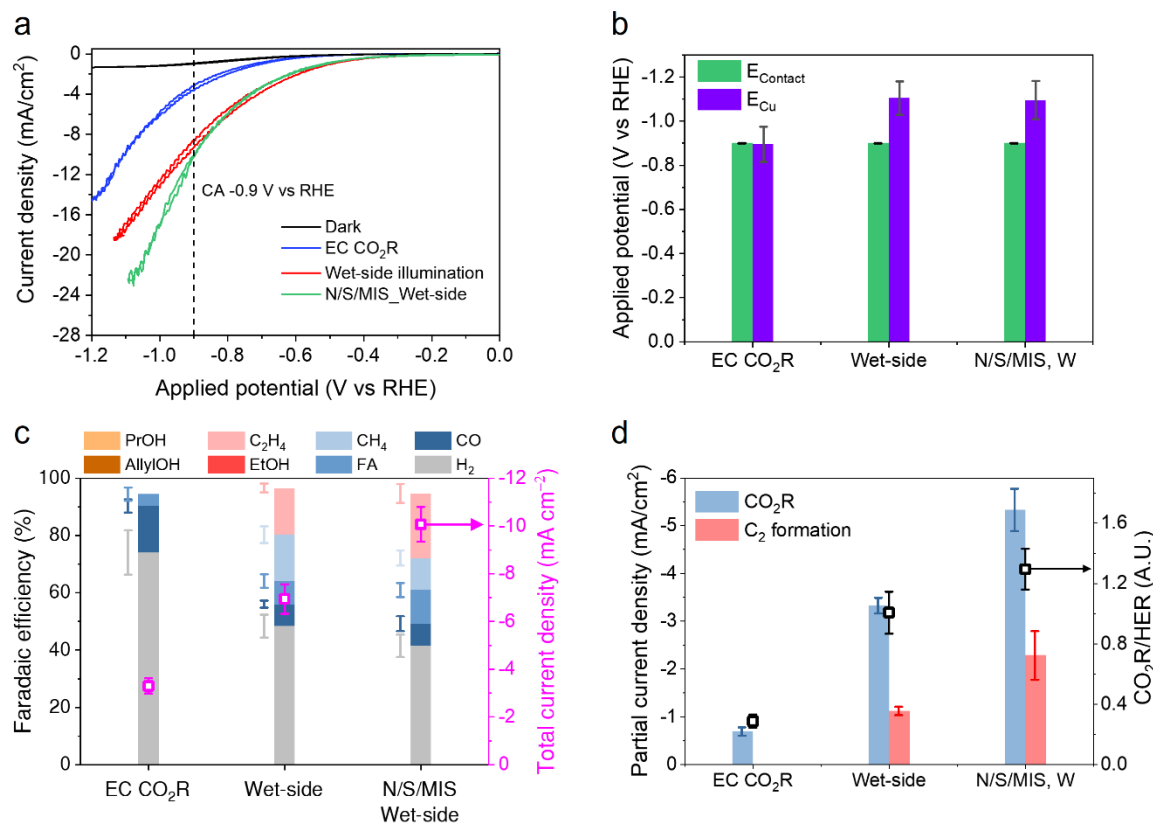
247 by the work of Bae *et al.*, who investigated the influence of the thickness of a p<sup>+</sup>pn<sup>+</sup>-Si photo-absorber  
248 on the photocurrent produced during PEC water splitting under both wet-side and dry-side  
249 illumination.<sup>32</sup> They found that the photocurrent under dry-side illumination was ~ 20% lower than that  
250 under wet-side illumination even though the thickness of p<sup>+</sup>pn<sup>+</sup>-Si was reduced from 350 to 50 μm. The  
251 authors attributed this finding to the small ratio of carrier diffusion length to the thickness of the p<sup>+</sup>pn<sup>+</sup>-  
252 Si photo-absorber. Therefore, we conclude that dry-side illumination cannot achieve photocurrents as  
253 high as those attained under wet-side illumination unless the carrier diffusion length is improved.

### 254 **Effects of ionomer coatings on the activity and product selectivity of Cu for PEC CO<sub>2</sub>R**

255 Previous studies of EC CO<sub>2</sub>R have shown that the microenvironment near the surface of a Cu  
256 catalyst plays a critical role in defining its activity and selectivity for producing C<sub>2+</sub> products. It has  
257 been found that a high pH and CO<sub>2</sub>/H<sub>2</sub>O ratio at the Cu surface promoted the formation of C<sub>2+</sub> products  
258 relative to C<sub>1</sub> products and H<sub>2</sub>.<sup>29, 30</sup> We have recently reported that these requirements can be met by  
259 coating the surface of Cu with a thin ionomer bilayer. For CO<sub>2</sub>R on Nafion/Sustainion/Cu, the  
260 Sustainion layer, which is an anion conducting ionomer with high CO<sub>2</sub> affinity, enhances local CO<sub>2</sub>  
261 concentration near Cu surface; whereas, the Nafion layer, which is a cation conducting ionomer with a  
262 negative background charge, causes the accumulation of OH<sup>-</sup> through Donnan exclusion.<sup>30</sup>

263 We investigated the effects depositing an ionomer bilayer (Nafion on top of Sustainion) on the  
264 surface of Cu in a Cu/TiO<sub>2</sub>/p-Si MIS structure to see whether the bilayer would enhance the formation  
265 of C<sub>2+</sub> products formed by PEC CO<sub>2</sub>R. The loading of each ionomer layer was selected on the basis of  
266 the experiments described in the Supporting Information (See Figure S9). The optimal loadings of  
267 Nafion on Sustainion/MIS (N/S/MIS) were chosen to be 0.9 μg/cm<sup>2</sup> and 1.8 μg/cm<sup>2</sup>, respectively. As  
268 seen in in Figure 5a, the N/S/MIS configuration produced a higher photocurrent than that obtained for  
269 the uncoated MIS, even though the light flux to the photocathode was 10% lower due to light absorption  
270 by the ionomer bilayer (Figure S10). To further investigate the influence of the ionomer coatings on the  
271 product distribution, CA was conducted at - 0.9 V vs RHE under wet-side illumination. Similar to our

272 previous results, the potential at the Cu surface was about 0.2 V more negative than that applied to the  
273 MIS (Figure 5b), which is comparable to the value obtained using the uncoated MIS structure under  
274 dry-side and wet-side illuminations. However, due to the high local pH caused by Donnan exclusion  
275 from the presence of the Nafion layer, the selectivity to hydrogen and methane, which are pH sensitive  
276 products,<sup>44-46</sup> decreased. Concurrently, the total current density increased up to 10 mA/cm<sup>2</sup> because of  
277 the high local CO<sub>2</sub> concentration in the Sustainion layer (Figure 5c). The impact of the bilayer ionomer  
278 film on MIS performance is more prominent in terms of partial current density because both selectivity  
279 and total current density increased. As shown in Figure 5d, PEC CO<sub>2</sub>R using the MIS structure under  
280 wet-side illumination exhibited a CO<sub>2</sub>R partial current density of – 3.1 mA/cm<sup>2</sup> of which the partial  
281 current density for ethylene is – 0.9 mA/cm<sup>2</sup>. These values increased to – 5.4 mA/cm<sup>2</sup> and – 2.3 mA/cm<sup>2</sup>,  
282 respectively, by inclusion addition of the ionomer bilayer on Cu catalyst surface. This selectivity  
283 towards ethylene is maintained for several hours under sequential dark and illumination conditions, as  
284 shown in Figure S11. These observations are notable because EC CO<sub>2</sub>R produced a partial current  
285 density for CO<sub>2</sub>R of only – 0.6 mA/cm<sup>2</sup> without any ethylene formation at an applied potential of – 0.9  
286 V vs RHE.



287

288 **Figure 5. Effect of ionomer bilayer on PEC CO<sub>2</sub>R using MIS photocathode.** **a.** Comparison of CV curve  
 289 obtained using N/S/MIS photocathode with those obtained using pristine MIS under various conditions. **b.**  
 290 potential measured at Cu surface, **c.** product distribution, and **d.** partial current density for CO<sub>2</sub>R and ethylene  
 291 production during CA -0.9V vs RHE. All the PEC CO<sub>2</sub>R was conducted in the presence of 0.1 M CsHCO<sub>3</sub>  
 292 electrolyte.

293

## 294 Conclusions

295 The present study clearly demonstrates that the performance of a metal-insulator-  
 296 semiconductor (MIS) photocathode/catalyst structure used for PEC CO<sub>2</sub> in CO<sub>2</sub>-saturated 0.1 M  
 297 CsHCO<sub>3</sub> can be tuned by altering the interface between the semiconductor and insulator layers, as well  
 298 as by the direction of illumination. A thin TiO<sub>2</sub> layer deposited on p-Si improves the photocurrent and  
 299 photovoltage obtained from the photocathode. This improvement occurs because of a moderate  
 300 tunneling resistance through the insulating (TiO<sub>2</sub>) film, which, in turn, causes a buildup of electrons at  
 301 the p-Si surface that increases its quasi-Fermi level. Although wet-side illumination of a Cu/TiO<sub>2</sub>/p-Si  
 302 MIS photocathode attenuates the flux of light to the photo-absorber, a thin Cu layer (~ 15 nm) deposited



303 over the insulator exhibits a substantial increase in the photocurrent relative to dry-side illumination.  
304 The observed advantage of wet-side over dry-side illumination is a direct consequence of the low  
305 diffusion length of the excited charge carriers, leading to significant carrier recombination; this limits  
306 the collection of electrons at the Cu catalyst that subsequently drives CO<sub>2</sub>R. The partial current density  
307 for CO<sub>2</sub>R and that for ethylene production on Cu can be further enhanced by deposition of thin layers  
308 of Sustainion and then Nafion over the Cu surface. The bilayer coating increases the partial current for  
309 CO<sub>2</sub>R, due to the higher solubility of CO<sub>2</sub> in the Sustainion layer, and increases the selectivity to ethylene,  
310 due to OH<sup>-</sup> exclusion by the Nafion layer, which suppresses the formation of H<sub>2</sub>. Under wet-side  
311 illumination, the net effect of the ionomer bilayer is that the partial current density of CO<sub>2</sub>R is 2.5 times  
312 higher and that for ethylene is 4 times higher than that obtained by dry-side illumination of the  
313 Cu/TiO<sub>2</sub>/p-Si MIS photocathode/catalyst structure in the absence of the bilayer coating. The  
314 fundamental knowledge gained from the present work can be applied directly to other combinations of  
315 metals, insulators, and semiconductors in order to facilitate the unbiased operation of PEC CO<sub>2</sub>R for  
316 the production of C<sub>2+</sub> products, as well as other photo-electrosynthetic processes.

## 317 **Experimental Methods**

### 318 **Preparation of a Cu/TiO<sub>2</sub>/p-Si MIS photocathode**

319 Prior to fabricating an MIS photocathode, a 1-10 Ω-cm p-type silicon wafer (boron doped,  
320 500μm thickness, <100> orientation, University Wafer Inc.) was rinsed consecutively with acetone  
321 (≥99.5%, VWR), isopropyl alcohol (Sigma Aldrich, 99.9%), and methanol (≥99.8%, VWR) for 30 min  
322 each. The p-Si wafer was then immersed in 1% HF for 5min to remove the native oxide layer and rinsed  
323 with Milli-Q water (18.2MΩ·cm). To make an ohmic contact with the p-Si wafer, it was masked with  
324 2-mm wide Kapton tape in order to produce 1 mm of spacings between fingers. Al (99.999% Kurt J.  
325 Lesker) and Au (99.999% Kurt J. Lesker) were then sputtered onto the unmasked portions of the wafer.  
326 using an AJA ATC Orion-5 magnetron sputtering system on the masked p-Si in order to achieve target  
327 thickness of 50 nm for Al and 300 nm for Au. The TiO<sub>2</sub> insulating layer was deposited by atomic layer

328 deposition (ALD) on the opposite side to the one with Al/Au contacts. In the ALD process, the  
329 deposition chamber was heated to 125°C and Titanium isopropoxide (TTIP, 99.999% trace metals basis,  
330 Sigma-Aldrich) was pulsed into the reactor, to form a TiO<sub>2</sub> film, after which water vapor was pulsed  
331 into the reactor. This ALD cycle was repeated in order achieve the desired thickness of the insulator  
332 layer, with the thickness measured using ellipsometry ( $\alpha$ -SE, J.A.Woollam Co., Inc.). After ALD  
333 deposition of TiO<sub>2</sub>, Cu (99.999% Kurt J. Lesker) was sputtered for different deposition time to change  
334 the thickness of the deposited Cu film. For the EC experiments, 15 nm of Cu was sputtered onto p<sup>+</sup>-Si  
335 at the same conditions as those used for the MIS samples.

### 336 **Characterization of the MIS photocathode**

337 Cross-sectional images of a fresh 15nm Cu/1.5nm TiO<sub>2</sub>/Si sample were obtained with STEM  
338 and energy filtered STEM. The STEM image (Figure S12a) shows there are three distinct layers in the  
339 MIS sample, and the energy filtered STEM confirms the three layers are Cu on TiO<sub>2</sub> on Si (Figures  
340 S12b and c). We also note the presence of the native SiO<sub>2</sub> layer between Si and TiO<sub>2</sub>. STEM energy  
341 dispersive x-ray spectroscopy (EDS) also confirms these results (Figure S13a and b). We also performed  
342 STEM-EDS of the ionomer-bilayer-coated MIS sample, which shows the presence of the Nafion and  
343 Sustanion on top of the MIS photocathode (Figure S14).

### 344 **Coating of ionomer layer on MIS photocathode**

345 Commercial Nafion (Chemours, 850g mol<sup>-1</sup> equivalent weight (EW), 20wt% dispersion in  
346 20wt% N-propanol aqueous solution), and Sustainion (Dioxide Materials, 5% in ethanol) were drop  
347 cast onto the Cu/TiO<sub>2</sub>/p-Si MIS system. Stock solutions were prepared by diluting the ionomer solution  
348 with isopropyl alcohol (Sigma Aldrich, 99.9%). Prepared stock solutions were drop cast to achieve  
349 different loading loadings of ionomers and then dried at room temperature for 1h.

### 350 **Photoelectrochemical CO<sub>2</sub> reduction**

351 PEC CO<sub>2</sub>R was performed in a flow-through compression cell shown in Figure 3a.<sup>39</sup> The cell  
352 has 3 electrodes – an MIS photocathode, an Ag/AgCl (filled with 3.4M KCl, Leak-Free, Innovative  
353 Instruments) reference electrode, and a platinum foil (99.995%, Sigma-Aldrich) counter electrode. The  
354 cell has two identical anode chambers located perpendicular to the cathode chamber. All cell  
355 components were machined from polyether ether ketone (PEEK). An anion membrane (Selecion AMV,  
356 AGC Engineering Co.) was placed in between the cathodic chamber and two anodic chambers. A 0.05M  
357 solution of Cs<sub>2</sub>CO<sub>3</sub> (99.995%, Sigma-Aldrich) was prepared using Milli-Q water and pretreated to  
358 remove metal impurities using chelating agent solution (Chelex 100, Na form, Sigma-Aldrich) prior to  
359 being used. The electrolyte was saturated with a flow of CO<sub>2</sub> (20 sccm, 99.999%, Praxair Inc) for 30  
360 min in a gas-tight reservoir to obtain 0.1M of CsHCO<sub>3</sub> and circulated through the PEC cell using a  
361 peristaltic pump (FH100M, Thermo Scientific) at a rate of 80 ml min<sup>-1</sup>. A solar simulator was used as  
362 the light source (300W, 1.5 A.M., 16S-Series, Solar Light) to obtain a light flux of 100 mW cm<sup>-2</sup>. The  
363 desired flux was achieved by controlling the distance from the light source to the cell and by measuring  
364 the local flux using a radiometer (PMA2100, Solar Light). The illuminated area of the MIS  
365 photocathode was 1 cm<sup>2</sup> under both dry-side and wet-side illuminations. Electrochemical measurements  
366 were performed using a potentiostat (VSP-300, Biologic). An uncompensated resistance (R<sub>u</sub>) was  
367 determined by both potentiostatic electrochemical impedance spectroscopy (PEIS) and the current  
368 interrupt (CI) method and compensated to 85% using the potentiostat. All potentials are shown after  
369 conversion to the RHE scale as  $E_{RHE} = E_{Ag/AgCl} + 0.197 V + 0.0591 \times pH$ .

### 370 **Product analysis**

371 Gaseous products formed by PEC CO<sub>2</sub>R were separated from liquid electrolysis in a gas-tight  
372 reservoir and then analyzed by online gas chromatograph (GC) using a gas chromatograph (7890B,  
373 Agilent) equipped with a pulsed-discharge helium ionization detector (PDHID) and ShinCarbon ST and  
374 Hayesep-Q capillary columns (Agilent); helium (99.9999%, Praxair Inc.) was used as the carrier gas.  
375 For quantitative analysis, a calibration curve for each gaseous product was generated by measuring the

376 signal for each component obtained by analysis of a series of NIST-traceable standard gas mixtures  
377 (100 to 8000 ppm, Airgas Inc.). Liquid products collected in the catholyte reservoir over a period of 30  
378 min were analyzed in a high-pressure liquid chromatograph (HPLC) (UltiMate 3000, Thermo Scientific)  
379 equipped with Aminex HPX 87-H columns (Bio-Rad Inc.) and a refractive index detector (RID). The  
380 signal for each liquid product was quantified using a calibration curve based on a series of standard  
381 solutions for each product in the range of concentration from 0.1 to 20 mM. Faradaic efficiency (FE)  
382 corresponding to product  $i$  was calculated as  $FE = \frac{nFc_iV}{I_{total}} \times 100 \%$ , where  $n$  is the number of electrons  
383 transferred,  $F$  is Faraday's constant,  $c_i$  is molar concentration of species  $i$ ,  $V$  is the total volumetric flow  
384 rate and  $I_{total}$  is the measured total current.

### 385 **Data availability**

386 All data of this study is available within the article and its Supplementary Information.

### 387 **Acknowledgments**

388 This work was supported by the Liquid Sunlight Alliance, which is supported by the U.S.  
389 Department of Energy, Office of Science, Office of Basic Energy Sciences, Fuels from Sunlight  
390 Hub under Award Number DE-SC0021266 and Basic Science Research Program through the  
391 National Research Foundation of Korea (NRF) funded by the Ministry of Education (NRF-  
392 2021R1A6A3A14044966). A.J.K. acknowledges funding from the National Science  
393 Foundation Graduate Research Fellowship under Grant No. DGE 2146752. The authors  
394 acknowledge Finn Babbe for his help with characterizing the MIS sample, as well as the  
395 Molecular Foundry at Lawrence Berkeley National Laboratory for providing the necessary  
396 instrumentation.

### 397 **Author contributions**

398 C.K. performed photocathode preparation, photoelectrochemical experiments,  
399 characterizations, and data interpretation. A.J.K. performed theoretical calculations. S.A.  
400 conducted chemical and physical characterization of the MIS sample. A.T.B., A.Z.W., and  
401 F.M.T. supervised the project. All authors discussed the result and participated in the  
402 preparation of the manuscript.

### 403 **Competing interests**

404 Authors declare no competing interests.

405

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