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Authors

Lin, Angela E
Singh, Kathryn E
Strauss, Arthur
[et al.](#)

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An Additional Patient with Mycophenolate Mofetil Embryopathy: Cardiac and Facial Analyses

Angela E. Lin,^{1*} Kathryn E. Singh,² Arthur Strauss,³ Son Nguyen,³ Kristyn Rawson,² and Virginia E. Kimonis^{2**}

¹Genetics Unit, MassGeneral Hospital for Children, Boston, Massachusetts

²Division of Genetics and Metabolism, University of California, Irvine, California

³Miller Children's Hospital, Long Beach Memorial Medical Center, Long Beach, California

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We describe an infant male of Cambodian background who has typical craniofacial features of mycophenolate mofetil (MMF) embryopathy and a complex congenital heart defect (CHD) (double outlet right ventricle, mitral atresia, pulmonic stenosis, and total anomalous pulmonary venous return). Together with four case reports and the 20 patients included in two recent reviews, we report 24 (19 affected, five normal) patients with this pattern of anomalies. Eight (33%) have a CHD, most commonly, conotruncal or aortic arch defects (6/8, 75%). This would support the hypothesis that disturbance of cranial neural crest migration occurs in exposed infants, and may predict which additional anomalies will be observed in the future. We also attempted to score the severity of the facial anomalies in each MMF patient using a system created by plastic surgeons for patients with hemifacial microsomia. This classification had modest utility in comparing severity and correlating facial to extracranial defects. The findings are viewed with caution because of the preliminary methodology. Finally, since several exposed infants have been reported to be minimally affected, we remind clinicians to be sensitive to the potential mild expression of the effects of this teratogen. This awareness may influence clinical management of apparently normal MMF-exposed individuals.

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Key words: cardiovascular malformation; congenital heart defect; conotruncal; microtia; mycophenolate mofetil; teratogen

INTRODUCTION

The description of in utero exposure to mycophenolate mofetil (MMF, Cellcept[®]) has progressed from brief listings in transplantation registry proceedings [Armenti et al., 2004; Sifontis et al., 2006] to more detailed and illustrated case reports, which often include an updated review of the literature [Huang et al., 2008; Anderka et al., 2009; Dei Malatesta et al., 2009; Jackson et al., 2009; Parisi et al., 2009; Koshy et al., 2010]. Thoughtful commentaries [Carey, 2008; Vento et al., 2008; Anderka et al., 2009; Merlob et al., 2009] have discussed whether MMF should be considered

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a *bonafide* teratogen. Recently, a survey attempted to balance the flurry of case reports of affected fetuses and infants by trying to estimate the magnitude of the absolute risk of exposure to the mother and fetus [Klieger-Grossman et al., 2010].

This article describes another severely affected patient with what is generally acknowledged as the “MMF embryopathy,” highlighting that the phenotype is sufficiently distinctive such that it was diagnosed from photographs prior to knowledge about the exposure history. We discuss the frequent occurrence of congenital heart defects (CHDs), especially conotruncal defects, which may provide insight into the role of neural crest cell migration and maldevelopment in this condition. We also study some of the facial features in MMF-exposed cases using a classification system developed for another malformation complex (hemifacial microsomia, HFM), but adapted for MMF exposure because of the observation of facial asymmetry, at least in our patient.

METHODS

We describe the clinical findings of a new patient and review the literature of individuals with in utero exposure to MMF. When

*Correspondence to:

Angela E. Lin, MD, Genetics Unit, 185 Cambridge St., CPZN 2222, Boston, MA 02114. E-mail: lin.angela@mgh.harvard.edu

**Correspondence to:

Virginia E. Kimonis, MD, MRCP, UCI Medical Center, 101 The City Drive, ZOT 4482, Orange, CA 92868. E-mail: vkimonis@uci.edu

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possible, we focused on the type of CHD and facial defects. These were further evaluated using a nosologic system for classifying craniofacial anomalies in patients with HFM [O.M.E.N.S., Vento et al., 1991; expanded by Horgan et al., 1995]. The suggestion to use OMENS was proposed by the originator who had reviewed photos of our patient (John B. Mulliken, M.D., personal communication). In the OMENS system (as we will refer to it in our study), O refers to orbital distortion, M is for mandibular hypoplasia, E is for ear anomaly, N is for seventh cranial nerve involvement and S is for soft tissue deficiency. A brief summary of the individual component scores includes: O₀ normal—O₃ abnormal orbital size and position; M₀ normal mandible—M₃ complete absence; E₀ normal ear—E₃ severe microtia; N₀ no involvement—N₃ all branches involved, S₀ No involvement—S₃ severe soft tissue involvement. The OMENS system was drastically adapted for this study since neither personal examination nor imaging tests were available in many cases, and scoring relied on published text and photographs. The MMF-exposed patients were compared to determine if there was a correlation between those with the highest scores and the type of CHD, or other internal anomalies.

CLINICAL REPORT

This small for gestational age male was born at 40 weeks gestation to a non-consanguineous 22-year-old Cambodian G2P1 → 2 mother and 45-year-old Cambodian father. The mother of the propositus has Senior-Loken disease, with secondary anemia, and is legally blind. She received a kidney transplant at the age of 16 years; her affected younger brother also has visual impairment, kidney stones and underwent a kidney transplant at age 12 years. Her first pregnancy resulted in the birth of a healthy girl, who is now 4 years old. It was reported through social services that she had been on no medications during that pregnancy because they “made her ill.” It is not known whether she took medications early on and stopped them when she became ill, or if she did not take any medications from the time of conception. During the first trimester of this current pregnancy, she received MMF immune-suppression at a dose of 1 g/day. Additionally, there was a massive ingestion (reportedly, the entire contents of a bottle) at approximately 2-month gestation in an acknowledged suicide gesture following a domestic dispute. Attempts to obtain details about the quantity and timing of this ingestion were declined. MMF was discontinued at 3 months gestation when the pregnancy was diagnosed. Other medications used prior to conception and continued throughout pregnancy included prednisone 5 mg daily, tacrolimus 6 mg daily, omeprazole 20 mg daily, and ferrous sulphate 600 mg daily.

Fetal movements were reported as normal. Prenatal ultrasonography showed CHDs (double-outlet right ventricle with large anterior aorta, small posterior artery, and pulmonic stenosis), a two-vessel cord, and intrauterine growth retardation with a 2-week growth lag. Maternal serum screening reported a 1:10,000 risk for trisomy 18 (no other analyte values available) and amniocentesis was declined. Maternal laboratory testing included blood type O⁺, and negative titers for RPR, rubella, hepatitis B surface antigen, and human immunodeficiency virus. The baby was delivered by cesarean because of a non-reassuring fetal cardiac pattern. Apgar scores were 4 and 9 at 1 and 5 min, respectively.

At birth the weight was 2,064 g, length was 46 cm, and head circumference was 32 cm (<3rd, 10–25th, 10th centiles, respectively). The baby had severe bilateral microtia (length 2 cm) with an absent external auditory meatus (Fig. 1). The fontanelle was enlarged (4.5 × 3.5 cm). There was bilateral microphthalmia (inner canthal distance 2.0 cm, 50th centile), severe on the left (not measured) and mild on the right (palpebral fissure 1.5 cm, <5th centile) with an inferior iris coloboma. Formal ophthalmology examination showed that the baby had severely limited vision in both eyes with probably no more than light perception. Early intervention by the Braille Institute was recommended. Additional craniofacial anomalies included mild asymmetry with slight hypoplasia of the lower face, micrognathia, moderate ankylosis of the jaw, a large cleft of the hard and soft palate, and two skin tags on the left side between the ear and mouth (one flat, another with a central depression). The hearing screen was not completed. On the initial radiographs, the clavicles were described as hypoplastic and angulated, the ribs as thin, and the scapulae as hypoplastic; subsequent radiographs only referred to hypoplastic scapulae. Additional features included a short neck with webbing, narrow shoulders, mild rhizomelic shortening, and bilateral cryptorchidism.

The echocardiogram performed on the second day of life showed a double outlet right ventricle, normally related great arteries, large membranous to outlet ventricular septal defect, moderate secundum atrial septal defect, mild to moderate right peripheral pulmonic stenosis, severe valvular and infundibular pulmonary stenosis, moderately hypoplastic pulmonic valve, interrupted inferior vena cava, and total anomalous pulmonary venous connection to the coronary sinus. The baby did not require prostaglandin infusion and a right modified Blalock-Taussig shunt was created on the 10th postnatal day. MRI study of the brain and ultrasonographic examination of the kidneys were within normal limits. MRI evaluation of the inner ear structures has been postponed until cardiac surgery is complete.

Genetic testing for Treacher Collins syndrome (*TCOF1* mutation analysis) and CHARGE syndrome (*CHD7* sequencing and duplication/deletion analysis) were both normal. The chromosome and chromosome microarray analyses (Signature Chip OS, Version 1.1; Agilent 105K Oligo, Spokane, WA) were also normal. At this point, bilateral HFM (also known as craniofacial microsomia) was considered the most appropriate diagnosis. MMF embryopathy was suggested by an independent geneticist (A.E.L.) who reviewed the patient's photographs without knowledge about the maternal exposure.

The baby had severe respiratory distress and failure to thrive, for which he required tracheostomy and a gastrostomy tube. At follow-up at age 9 months, his facial appearance was unchanged. Hospitalized since birth with the tracheostomy, he has global developmental delays, but is viewed as making progress. He has hypotonia with decreased head and trunk control, is unable to sit, roll, or hold a bottle, but can play with his toes. He has very limited vision and has light perception. He has been transferred to a skilled chronic care nursing facility while he gains enough weight to undergo additional cardiac surgery. Photographs at age 1 year show the left-sided facial tags and microphthalmia, more severe on the left (Fig. 2). A follow-up examination at age



FIG. 1. Propositus at birth. **A:** Frontal facial appearance. Note subjective increased inner canthal distance, and subtle asymmetry of left mandible with mild soft tissue involvement. **B,C:** Compare micrognathia and microtia of both sides of the face. Ear tags are barely perceptible on the left cheek and pre-auricular region. **D:** Full body view shows the relatively narrow shoulders.

15 months was attempted, but the patient and family could not be located.

LITERATURE REVIEW

Adding this new patient to the series of 14 patients reported by Anderka et al. [2009], and single patients from Jackson et al. [2009], and Parisi et al. [2009]—all published in the same issue of this journal—plus, those of Huang et al. [2008], Dei Malatesta et al. [2009], and Koshy et al. [2010] increased the total number of affected patients to 19. In addition, we included five livebirths with “normal development” and no malformations from the 10 pregnancies (nine women) identified prospectively by Klieger-Grossman et al. [2010] using the Organization of Teratology Information Specialists (OTIS) network. We did not include the four

miscarriages (two born to the same woman) and one elective termination in which clinical findings were not available. To avoid duplicative reporting, we point out that the infant girl described in detail by Parisi et al. [2009] was also cited by Anderka et al. 2009 [Table I, patient 7] and first mentioned as part of the National Transplantation Pregnancy Registry report [Sifontis et al., 2006, case 3]. The clinical findings of the total of 24 patients are presented in Table I.

RESULTS

Of the 24 total patients, half (12, 50%) had a similar phenotype with severe microtia and at least moderate micrognathia. Two had only hypoplastic fingernails and mild aortic arch defect [Pérgola et al., 2001] and one had a colobomatous orbital cyst with mild

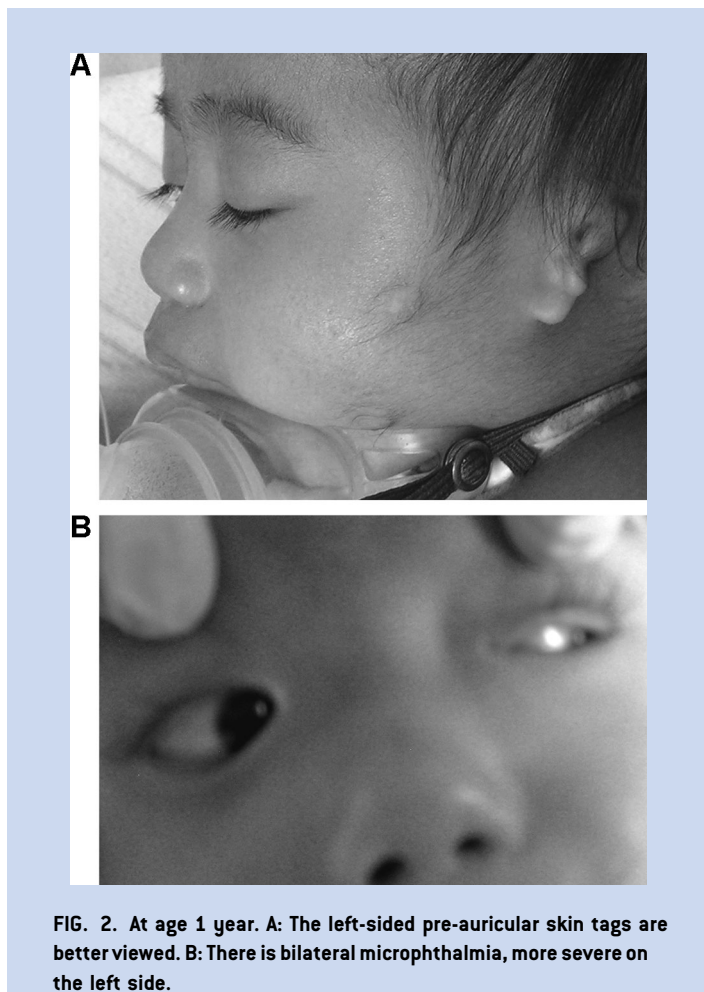


FIG. 2. At age 1 year. **A:** The left-sided pre-auricular skin tags are better viewed. **B:** There is bilateral microphthalmia, more severe on the left side.

microphthalmia [Dei Malatesta et al., 2009; personal communication from the author: child is developmentally well, without hearing loss or microtia]. Eight (33%) of the reported patients with MMF exposure had a CHD, six (75%) of which were either a conotruncal or aortic arch defect. In two cases, we interpreted the wording of the report so that, “aberrant blood vessel between trachea and esophagus” was viewed as a possible pulmonary artery sling [Pérgola et al., 2001], and the ventricular septal defect with an anterior aorta was suspected to be an outlet defect [El Sebaaly et al., 2007]. We compared the timing of the MMF ingestion with the presence or absence of a CHD, and whether the defects could be classified as conotruncal or not, but the small cohort size and incomplete exposure information precluded meaningful analysis (data not shown).

As listed on Table I, the three patients with the highest OMENS scores (9) were severely affected [# 15, Huang et al., 2008; # 9, Schoner et al., 2008; # 7, Jackson et al., 2009]. The four patients with the lowest scores (1–2) [# 4 Armenti et al., 2004; # 7 Sifontis et al., 2006; # 14 Andrade Vila et al., 2008; # 18 Dei Malatesta et al., 2009] had a mild clinical phenotype overall, as did patient no. 3 [Pérgola et al., 2001] with hypoplastic fingernails who could not be scored because of the lack of craniofacial information. Review of published photos did not detect evidence of HFM in other patients.

DISCUSSION

This new patient contributes to the understanding of MMF embryopathy in several ways. Although we cannot calculate a formal dose–response in all reported patients, the timing–outcome in the new patient can be assessed since the exposure to MMF was confined to the period before 12 weeks. In addition to the mother’s maintenance dose, the fetus was exposed to an additional “massive” amount of MMF at approximately 2 months. Some aspects of the overall phenotype of this child are not as severe as in other patients who had lethal craniofacial and visceral anomalies [Schoner et al., 2008; Parisi et al., 2009]. This raises the possibility that there may be a lack of a dose–response relationship. The weakness in our case description is the lack of a precise pill count/dosing, and our difficulty to obtain detailed information from the mother.

The increase in the number of patients and the inclusion of apparently normal children [Klieger-Grossman et al., 2010] exposed to MMF helps to define the CHD and facial features. Aside from descriptive reports and comparison of images (for example, photographs, MRI scans), the assessment of phenotype severity is highly subjective. Our attempt to use the OMENS scoring system was not significantly helpful in this small series. For example, only the new patient had a possible mild soft tissue deficiency (S_{1L}). The difference between mild soft tissue deficiency and mandibular hypoplasia can be difficult to appreciate in any newborn with facial asymmetry. With aging, facial definition becomes more apparent, and with follow-up and scrutiny, such information may be more reliably assessed. It was difficult to score many literature cases, for example, fetal losses. One reason for using the OMENS system was to determine its utility on a well-studied patient, which might be impetus for additional studies. Future research could evaluate whether there are additional patients with asymmetry consistent with HFM, to follow over time, and irrespective of HFM, determine whether there is a correlation between the severity of the facial anomalies and extra-craniofacial defects.

Despite the small cohort, it is striking that two patients [our patient and Jackson et al., 2009] have double outlet right ventricle, which is an anatomically heterogeneous rare CHD [Obler et al., 2008]. Both patients also had mitral valve obstruction and pulmonary stenosis. In our patient, the great arteries were normally related, whereas the description of the other patient implied a malposed (“anterior”) aorta. Double outlet right ventricle is often classified with other conotruncal CHDs such as truncus arteriosus. More recent understanding about the many genes and numerous subtypes implies pathogenetic diversity which may also include a looping defect [Obler et al., 2008].

Conotruncal and aortic arch CHDs occur in several malformation syndromes, including teratogenic exposures [Tables 2 and 3 in Lin et al., 2006]. The co-occurrence of this pattern of CHDs and microtia/anotia overlaps superficially with the retinoic embryopathy, although the retinoic acid embryopathy pinnae [Lammer, 1991] are not identical. Whereas the pattern of malformed external ears in fetuses exposed to isotretinoin includes an elongated tragus, vertically oriented and hypoplastic crus helices and slit-like stenotic canal [Lammer, 1991], the small ears of MMF embryopathy resemble most images of typical “microtia, second degree,” or “microtia, third degree” [Hunter et al., 2009], as in this new patient. Severe

TABLE 1. MMF-Exposed Pregnancies in Which the Outcome Reported Clinical Findings (24 Total, Including New Patient)

Patients	Author year	MMF exposure	Other drugs	CHD	Modified OMENS score ^a					Skeletal defects	Other
					Orbital size	Mandible size	Ear size, shape	Facial nerve weakness, soft tissue deficiency	Total OMENS score		
1	Present case	1 g/day until 3 months, entire contents of bottle in one dose at approximately 2 months	Prednisone, 5 mg/day; tacrolimus, 6 mg/day; omeprazole, 20 mg/day; ferrous sulphate, 600 mg/day	DORV, NRGA; VSD, PS, mitral stenosis; TAPVR, IVC interruption	0 ₁	M _{1L}	E ₃	N ₀ S _{0L}	5	Hypoplastic scapulae	—
2	Anderka et al. [2009] [Pt 1]	2 g/day for 1 month; discontinued for 2 months; resumed the day before LMP, discontinued at 12 weeks	Prednisone, dose NS	—	0 ₀	M ₁	E ₃	N ₀ S ₀	4	—	—
3	Pérgola et al. [2001] [Pt 1 of Armenti et al., 2004; Pt 2 of Anderka et al. 2009]	Transplanted at 6–7 weeks; 2 g/day until 26 weeks; 1 g/day until delivery	Tacrolimus, 7 mg/day; prednisone, 25 mg/day	“Aberrant blood vessel between trachea and esophagus” (possible ARSCA)	Unk	Unk	Unk	Unk	Unk	Hypoplastic fifth fingers	Unk
4	Armenti et al. [2004], patient 7; [Pt 3 of Anderka et al., 2009]	1 g/day until 24 weeks	Tacrolimus, dose NS; prednisone, dose NS; sirolimus, began at 24 weeks, dose NS; ATG started at 24 weeks, dose NS	—	Unk	Unk	Yes, details Unk	—	Min 1	—	CL/P
5	Le Ray et al., 2004 [Pt 4 of Anderka et al., 2009]	500 mg/day until 13 weeks	Tacrolimus, 9 mg/day; prednisone, 15 mg/day; azathioprine, 50 mg/day, started at 13 weeks	—	Unk	M ₂	E ₃	N ₀ S ₀	5	—	TOP CL/P ACC, left pelvic kidney
6	Källén et al. [2005] [Pt 5 of Anderka et al., 2009]	“Early pregnancy,” dose NS	Tacrolimus, dose NS; prednisone, dose NS	Complex cardiac defect, NS	Unk	Unk	Unk	Unk	Unk	—	Iris anomaly, esophageal atresia
7	Sifonis et al. [2006], Pt 4 [Pt 7 of Anderka et al., 2009]	2 g/day until 15 weeks	Tacrolimus, dose NS; prednisone, dose NS	—	Unk	Unk	Yes, details Unk	Unk	Min 1	—	—
8	Tjeertes et al. [2007] [Pt 8 of Anderka et al., 2009]	Throughout pregnancy, dose NS	Tacrolimus, dose NS; prednisone, throughout pregnancy, dose NS	—	—	—	E ₃	N ₀ S ₀	3	—	—
9	El Sebaaly et al. [2007] [Pt 9 of Anderka et al., 2009]	2 g/day until 25 weeks	Prednisone, dose NS; hydroxychloroquine, dose NS	VSD, anterior aorta (possible outlet VSD)	Unk	Unk	E ₃	Unk	3	Polydactyly hypoplastic nails	TOP kidney “asymmetry”
10	Perez-Ayres et al. [2008] [Pt 10 of Anderka et al., 2009]	500 mg/day until 10 weeks	Tacrolimus, 12 mg/day	—	Unk	M ₃	E ₃	Unk	6	Unk	CL/P chorioretinal coloboma
11	Velinov and Zellers [2008] [Pt 11 of Anderka et al., 2009]	1 g/day for first 8 weeks	Adalimumab, 40 mg every other week.	—	Unk	M ₃	E ₃	N ₀ S ₀	6	Brachydactyly	CP only

(Continued)

TABLE 1. (Continued)

Total cases 24	Number of cases: Between 5 and 12 weeks, includes continuous use: 15 (62%); mostly <5 weeks: 5 (21%) NS: 4 (17%)	Additional medication 18/24 (75%)	Total CHD = 8 (33%); conotruncal or aortic arch 6/8 (75%)	Unk: 2 (8%); 0: 5 (21%); 1-2: 4 (17%); 3-4: 6 (25%); 5-7: 4 (17%); 9: 3 (12%)
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Table and selected cases adapted from Anderka et al. [2009].
 —, none reported; ACC, agenesis of the corpus callosum; ARSCA, aberrant right subclavian artery; ASD2, atrial septal defect, secundum type; ATG, anti-thymocyte globulin; CDH, congenital diaphragmatic hernia; CHD, congenital heart defect; CL/P, cleft lip/palate; DDRV, double outlet right ventricle; IC, inferior vena cava; min, minimum score based on single anomaly reported; MMF, mycophenolate mofetil; NREGA, normally related great arteries; NS, not stated; PS, pulmonic stenosis; SUA, single umbilical artery; TAPVR, total anomalous pulmonary venous return; TEF, tracheo-esophageal fistula; TOP, termination of pregnancy; Unk, unknown; VSD, ventricular septal defect.
^aAdapted from Vento et al. [1991]; Gougoutas et al. [2007]. Orbit, O₀ normal—O₃ abnormal size and position; Mandible, M₀ normal—M₃ complete absence; Ear, E₀ normal—E₃ severe microtia; Facial nerve, N₀ no involvement—N₃ All branches involved; S₀ no soft tissue hypoplasia—S₃ severe soft tissue deficiency.

bilateral microtia/antotia with micrognathia may be seen with bilateral HFM. Study of MMF teratogenicity may, therefore, hold a clue for elucidation of the etiology of HFM.

With increased reporting and awareness, we suggest that MMF embryopathy will likely become a truly recognizable phenotype. It was suspected in the differential diagnosis of a fetus with known MMF exposure who had severe micrognathia, bifid nasal tip, and bilateral microtia detected by detailed three dimensional ultrasonography [Huang et al., 2008]; these authors also considered Treacher Collins syndrome or another first pharyngeal arch type syndrome. Our patient was diagnosed based on photographic review alone without prior knowledge of the drug exposure or maternal history.

Patients with MMF embryopathy may resemble other syndromes which have malformations involving structures derived from the first and second pharyngeal arches [Passos-Bueno et al., 2009; Heike and Hing, 2010]. The pattern of CHDs suggests that MMF exposure may additionally affect the third and fourth arches, and may be viewed as a neurocristopathy because of the presence of retinal coloboma, midline clefts, hypertelorism, and sensorineural hearing loss. Further evidence to support the hypothesis that MMF embryopathy is a neurocristopathy might be found if thymic defects and/or T-cell deficiency, or minor anomalies such as *heterochromia irides* or white forelock were observed in future patients.

The severity of microtia has prompted caregivers to obtain CT scans of inner ear structures which were normal in a well-studied Spanish girl [Perez-Aytes et al., 2008] and Scottish girl [Ang et al., 2008]. Unlike the CHARGE syndrome [Amiel et al., 2001] and the branchio-oculo-facial syndrome [Tekin et al., 2009; Stoetzel et al., 2009; Milunsky et al., 2010] in which semi-circular canal and temporal bone anomalies, respectively, have a strong association with both the pinna malformation and gene mutation, it is too soon to know if there is a relationship between outer ear and inner ear anomalies in MMF embryopathy. Additional patients must be studied; inner ear evaluation on our patient is currently pending.

Our study does not address the challenge of estimating the absolute risk to the fetus. Using the Organization of Teratology Information Specialists network, Klieger-Grossman et al. [2010] found no malformations in five livebirths out of 10 reported pregnancies exposed to MMF.

We propose that patients suspected of having one or more defects associated with MMF exposure should be viewed as having a potential multiple malformation syndrome, even when there is only an apparent isolated defect. In addition to a meticulous surface examination with attention to measuring growth parameters, especially the head circumference, we suggest including an echocardiogram, due to the frequent occurrence of CHDs after MMF exposure. The skin tags in our patient may be the first report in MMF embryopathy, and should be sought in future cases, or when previously diagnosed cases are re-examined. The echocardiogram should be performed under the direction of a pediatric cardiologist so that aortic arch defects can be sought, and complex CHDs can be accurately defined. For the majority of MMF-exposed children with microtia, consideration should be given to CT scanning of inner ear structures. A formal eye examination is needed with the greatest attention to the posterior chamber.

Future clinical genetic research about MMF exposure will focus on identifying pregnancies at risk, careful clinical examination of

external features, identifying potentially asymptomatic anomalies, and attempts to obtain follow-up for natural history.

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