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Fisheries bycatch risk to marine megafauna is intensified in Lagrangian coherent structures

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Incidental catch of nontarget species (bycatch) is a major barrier to ecological and economic sustainability in marine capture fisheries. Key to mitigating bycatch is an understanding of the habitat requirements of target and nontarget species and the influence of heterogeneity and variability in the dynamic marine environment. While patterns of overlap among marine capture fisheries and habitats of a taxonomically diverse range of marine vertebrates have been reported, a mechanistic understanding of the real-time physical drivers of bycatch events is lacking. Moving from describing patterns toward understanding processes, we apply a Lagrangian analysis to a high-resolution ocean model output to elucidate the fundamental mechanisms that drive fisheries interactions. We find that the likelihood of marine megafauna bycatch is intensified in attracting Lagrangian coherent structures associated with submesoscale and mesoscale filaments, fronts, and eddies. These results highlight how the real-time tracking of dynamic structures in the oceans can support fisheries sustainability and advance ecosystem-based management.

ocean model | marine vertebrate | gillnet | Finite-Time Lyapunov Exponent | fronts

Managing the competing demands of resource extraction and biodiversity conservation is a central challenge in maintaining ecosystem function across terrestrial and marine systems (1, 2). In the oceans, overharvesting, habitat degradation, and bycatch—incidental catch that is unwanted, unused, or unmanaged (3)—are global-scale barriers to fisheries sustainability. Seafood is a major protein source for more than 3 billion humans worldwide (4), and the importance of a sustainable supply will increase with the rapidly rising global population (5). Prioritizing the ecological sustainability of marine fisheries is crucial to preventing ecosystem collapse and protecting food sources and future livelihoods in fisheries-reliant communities (6, 7).

Nontarget catch represents an estimated 40% by mass of all marine catch (3) and has been identified as the most serious global threat to a diverse array of marine vertebrates including sea turtles, seabirds, marine mammals, pinnipeds, and elasmobranchs (3, 8–10). Marine megafauna populations face a range of cumulative anthropogenic stressors, particularly in coastal zones under intensive human use (11), and many are of immediate conservation concern (12–14). Life history characteristics such as long life span, low fecundity, late maturity, and wide-ranging movements exacerbate the ecological impacts of fisheries bycatch, as populations struggle to buffer anthropogenic pressure. The removal of high-trophic level species, described as trophic downgrading (15, 16), causes substantial changes in ecosystem function (12) and reduces the profitability of fisheries (5).

To halt or reverse these trends, bycatch mitigation measures such as changes to fishing gear and practice and fishing effort reallocation and redistribution have been successfully implemented in many fisheries (17, 18). However, the relative success of these mitigation options relies upon management effort being

both well-targeted in space and time, and effectively enforced. Spatial fisheries management solutions, such as Marine Protected Areas, time-area closures, or gear modification zones, require a scale-matched understanding of the spatiotemporal dynamics of fisheries and of the habitat preferences of both target species and bycatch-sensitive populations.

Bycatch hotspots and trends have been explored using spatially and temporally explicit fisheries data at global (9, 10, 19–21), ocean-basin (22–24), national (25, 26), and regional (27, 28) scales. However, previous studies have predominantly focused on correlations and long-term patterns of spatiotemporal overlap among fisheries and high-use habitats of marine vertebrates (23, 24, 29) and are often population—or species—specific. While these studies have yielded important insights into the location of bycatch hotspots, seldom do the analytical techniques used account for spatiotemporal dynamics, yield insights at management-relevant spatial (kilometers to tens of kilometers) or temporal (daily, weekly, monthly) scales, or attempt to identify the fundamental physical drivers that underlie observed patterns.

It is well-established that biophysical coupling at submesoscale and mesoscale [hereafter (sub)mesoscale] features such as frontal systems and eddies can result in productive foraging habitats for marine predators (29–32). Fronts, eddies, and filaments can become hotspots of productivity through nutrient enrichment, turbulent

Significance

Marine capture fisheries provide a valuable source of protein and are economically important in coastal communities. However, fisheries sustainability is impacted by incidental capture of nontarget species (bycatch), which remains a major global threat to marine megafauna such as sharks, sea turtles, seals, cetaceans, and seabirds. Understanding where and when bycatch events take place can guide fisheries sustainability solutions. Here, we model how dynamic structures in the ocean such as fronts and eddies influence fisheries effort, catch, and bycatch likelihood. We find that bycatch of a diverse range of species is more likely in attracting Lagrangian coherent structures, in which water masses meet and aggregate prey, predators, and fishers into hotspots of risk.

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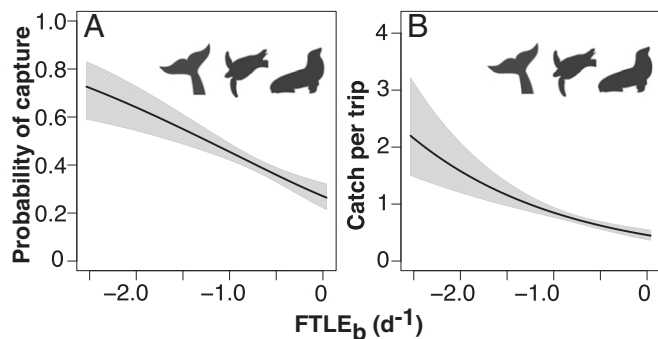


Fig. 4. Effect of FTLE_b on (A) probability of incidental capture of protected species (cetaceans, sea turtles, pinnipeds) and (B) total bycatch of protected species per trip. Higher magnitude of negative FTLE_b indicates number and strength of attracting Lagrangian coherent structures in the vicinity of each gillnet set. Shaded gray polygons show 95% confidence intervals.

turtles, such as the endangered loggerhead and critically endangered leatherback turtles, cetaceans listed under the Marine Mammal Protection Act (MMPA 1972) such as sperm whales *Physeter macrocephalus*, humpback whales *Megaptera novaeangliae*, beaked whales and dolphins, and MMPA-listed northern elephant seals *M. angustirostris*.

Comparing probabilities of capture and predictions of total catch across the range of species with which the fishery interacted over the 21-y study period (Figs. 2–4 and *SI Appendix*) yields valuable information regarding which species are more at risk in association with the bycatch hotspots in LCS. For example, our models predict catch rates of 40 swordfish, 60 blue shark, 75 mola, and 10 bluefin tuna per fishing trip, associated with intense LCS fields (Figs. 2 and 3). Bluefin tuna are less likely to be caught than swordfish, blue shark, or mola, but have considerably higher economic value and conservation interest.

Discussion

Given the pressing need to reduce bycatch while maintaining fisheries profitability, understanding the role of the dynamic physical environment in structuring the distributions of marine megafauna is essential in designing sustainable fisheries solutions. Our results provide an objective demonstration of the effect of (sub)mesoscale LCS in the spatial structuring of marine ecosystems and, hence, fisheries bycatch interactions. The established mechanistic function of (sub)mesoscale structures in aggregating low trophic level organisms (33–35, 55) suggests that the observed intensification of bycatch risk in LCS is mediated through regulation of forage resource availability for marine predators of both exploited and protected status.

In contrast with previous work documenting long-term, broad-scale patterns of overlap between fisheries and core habitats of marine vertebrates (23, 24, 29, 41), this study uses high-resolution Lagrangian products time-matched with spatially explicit fisheries data to quantify the influence of (sub)mesoscale structures on fisheries effort, catch rates, and the likelihood of marine vertebrate bycatch events across multiple taxa. Our approach focuses on the biophysical processes that underlie fisheries interactions, yielding important insights into the influence of the contemporaneous physical environment at spatial and temporal scales that are relevant to the design of conservation and management initiatives, particularly in developing tools for spatially dynamic ocean management (56–60).

Importantly, we find evidence of intensified likelihood of fisheries interactions in attracting LCS that holds true for target species, secondary targets, and bycatch-sensitive species. Catch rates of a diverse array of species, including elasmobranchs of management concern (e.g., blue shark, shortfin mako, common thresher, pelagic

thresher), large teleost fish such as bluefin tuna, opah, and *M. mola*, and of protected species including cetaceans, sea turtles, and pinnipeds, are higher in association with LCS. Our analyses indicate that these are not coincidental interactions, as gillnet set locations are strongly associated with attracting LCS. Communication with the fisher community supports the hypothesis that the fishery actively targets (sub)mesoscale surface structures, which can be indicated by lines of advected drifting foam and debris at the surface. Some LCS are also coincident with SST discontinuities that are visible in satellite imagery, although many features do not have a signature in SST. A strategy of targeting attracting LCS appears to be profitable in that it increases the probability of catching greater numbers of the target species (see also ref. 38), but may in fact be counterproductive in also increasing the likelihood of interactions with protected species, which the fishery seeks to avoid.

Our findings suggest that including derived ocean features such as LCS in the design of dynamic ocean management solutions could facilitate bycatch reduction in marine fisheries (57). For example, dynamic time-area closures could track regions of intense sub-mesoscale variability, such as persistent frontal zones (32), or areas in which attracting LCS manifest frequently, such as the peripheries of eddies and upwelling filaments. However, developing effective spatial tools for fisheries sustainability relies upon a knowledge of the factors that delineate and separate the distributions of target and nontarget species (44). In data-rich systems such as the CCS, using species distribution models (SDMs) that can resolve the relative distributions of target and nontarget species can inform fisheries of resource distributions and facilitate separation of catch and bycatch hotspots (40, 58, 59). Including LCS as predictors in these SDMs has potential to enhance predictive capabilities for separating fine-scale habitat preferences of target and bycatch-sensitive species, particularly where species-specific mechanistic responses to the contemporaneous physical environment are considered explicitly. Our multispecies quantification of catch and bycatch probabilities enables the development of preferential catch–bycatch strategies based on near real-time environmental conditions (see also ref. 59).

Alongside dynamic time-area closures, fine-scale set positioning with respect to LCS, as well as gear modifications for depth selectivity, could allow for continued exploitation of aggregations of target species while reducing bycatch risk. For example, the observed functional responses of tunas to submesoscale thermal fronts establishes that teleost fish are likely to be closer to the surface when exploiting thermal resources on the warm side of a front, and deeper in the water column when targeting forage resources on the cold side (47). This suggests that a strategy targeting surface aggregations on the warm side of a convergent front could avoid interactions with other nontarget endothermic predators exploiting the same bait fish aggregation near the thermocline beneath the cooler side of the front.

Vertical niche separation also confers opportunity for fine-scale selectivity through the targeting of particular depth ranges, as pelagic habitats are structured over three dimensions. Dissimilar foraging ecology, physiological constraints, and diel vertical migratory behavior influence the use of the water column by different species and age classes of large pelagic fish (61). For example, whole-body endothermy in opah allows the fish to remain in deeper, colder water for extended periods (62), whereas poikilotherms with organ-specific countercurrent heat exchange such as tuna and swordfish are more sensitive to thermal constraints and appear to use thermal fronts to maximize energetic efficiency in foraging (47). Moreover, air-breathing endotherms such as marine mammals and seabirds exhibit divergent depth utilization strategies to those of true pelagics such as sharks and large teleost fishes. Depth utilization by air-breathing predators is limited by physiological constraints, which necessitate regular dives interspersed by forays to the surface to breathe. Therefore, it would be reasonable to expect species-specific patterns of depth utilization around (sub)mesoscale structures, which could be exploited to target

certain species while avoiding others. Indeed, vertical niche separation has informed the imposition of regulation in the California drift gillnet fishery that requires fishermen to set nets at least 10 m below the surface, in an effort to avoid interactions with air-breathing species. Further gear modifications to improve selectivity through the targeting of different depth ranges could facilitate progress toward the goal of reducing bycatch.

High-resolution, data-assimilative ocean models have vast potential to generate tools for spatial fisheries management through improved understanding of species' dynamic distributions over broad scales and in three dimensions (63). By calculating FTLE from velocity fields of a data-assimilative ocean model, we better quantify physical drivers of fishery interactions than the coarse-scale overlap analyses that precede this study. Using FTLE fields derived from regional ocean models (e.g., ROMS) has several distinct advantages over satellite-based products. Data-assimilative ocean models validate and adjust hindcasts and nowcasts using real-time satellite and in situ observations. Physical data are of higher spatial resolution (here, ROMS outputs are 0.1° compared with 0.25°) and temporal frequency (daily fields as opposed to 3-d composites) than those available from satellite altimetry. Ocean models provide full velocity fields, while only geostrophic flow can be calculated from satellite altimetry. The ocean model output includes gap-free atmospheric forcing (e.g., wind stress) and physical ocean fields (e.g., temperature, salinity, zonal, and meridional velocities) at the same resolution, enabling the construction of SDMs at management-relevant scales (63). Importantly, ocean models also resolve the full 3D ocean, allowing exploration of subsurface features and conferring opportunity to improve understanding of vertical niche separation and species-specific mechanistic responses to physical conditions.

Further studies that use ocean models to address the influence of (sub)mesoscale biophysical processes on dynamic species distributions would be highly informative in developing ecosystem-based fisheries management. For example, improving understanding of the mechanistic function of repelling LCS, and of different types of frontal systems (i.e., thermal vs. chlorophyll-*a*; convergent vs. divergent), could elucidate further the spatial structuring of plankton communities, dynamics of prey aggregations, and niche separation of higher trophic levels (55, 64). In turn, this would enhance our operational capacity to predict fine-scale habitat utilization by both exploited and protected marine vertebrates.

Given the complexity of the bycatch problem, a suite of complementary solutions will be necessary to support a sustainable seafood supply sufficient to meet future demand. Our results highlight the conservation and management value of understanding the mechanisms through which the physical environment structures marine species distributions. Including high-resolution Lagrangian metrics in future investigations of the spatiotemporal dynamics of marine capture fisheries, and in the development of tools for dynamic ocean management, has considerable potential for enhancing fisheries sustainability and blue growth.

Methods

The spatial distribution of attracting LCS (convergent structures) for the CCS is estimated using modeled surface ocean currents produced from an implementation of ROMS with four-dimensional variational (4D-Var) data assimilation (65). Data assimilation is used to combine the observations and the numerical model to obtain the best linear unbiased estimate of the circulation (66, 67) and accurately capture the complex ocean circulation of the CCS, which is characterized by energetic mesoscale variability and pronounced seasonal upwelling driven by alongshore wind stress and wind stress curl. We use the ROMS 4D-Var daily posterior circulation estimate at $1/10^\circ$ (roughly 10 km) horizontal resolution to estimate attracting LCS as ridges of the backward-in-time FTLE ($FTLE_b$) field. We acknowledge that 10 km may appear relatively coarse compared with the spatial scales of fishing. However, FTLE has been shown to be surprisingly robust to noise and low spatial resolution velocity fields (68). FTLE measures the maximum separation of close-by particles of

a time-dependent flow field after a fixed, finite particle advection time. Large FTLE values identify regions where the stretching induced by mesoscale and submesoscale activity is strong and are typically organized in convoluted lines encircling submesoscale filaments. A ridge (line of local maxima) in the FTLE field can be used to predict passive tracer fronts induced by horizontal advection and stirring. Those lines have been shown to contribute to the structuring of marine ecosystems (36, 37, 48). In this study, we compute FTLE on a regular grid with 0.0125° horizontal resolution (~ 1 km). The final separation is computed as the maximum separation after a particle advection time of 10 d, which is the typical mesoscale eddy-turnover time in the region.

Fisheries effort was determined using data from the NOAA Fisheries Onboard Observer Program for the drift gillnet fishery. Fisheries observers recorded the location and time of haul-out of 7,996 gillnet sets deployed over a total of 1,357 fishing trips during the drift gillnet operating seasons (May–January) of 1990–2010 and coincident catch and nontarget catch data for each set. Observer coverage rates over this period are estimated at 15%. Fishing trips started from 28 ports along the US West Coast, with the majority of vessels docking in Southern California (San Diego: 51%, Morro Bay: 12%, Los Angeles: 8%; see ref. 63).

We explored the distribution of fisheries effort in relation to LCS by comparing the locations of gillnet sets with unfished locations sampled using random walkers parameterized to vessel movements. We compared the strength of the LCS field in the proximity (median over a 3×3 -pixel radius; grid resolution 0.0125°) of each set location (presence) with that surrounding a location that was available to, but not actively targeted by, that fishing vessel on the same day (absence). These absence locations were generated using a randomization procedure based on random walkers parameterized using the distributions of departure angles, distances from port to the first set, turning angles, and distances between successive sets from all vessels that used that matching port. We generated five sets of simulated absences and iteratively resampled from this full presence–absence dataset to fit a binomial generalized linear model with a presence–absence response 1,000 times. All analyses were conducted using R v.3.3.1 (69).

We interrogated fisheries observer data to generate one master target catch dataset containing the locations, dates, and times of gillnet sets with and without swordfish catch and total catch for each successful set. To quantify the influence of attracting LCS on target catch, measured as (i) presence–absence of swordfish per set and (ii) the total number of swordfish caught per set, we calculated the median $FTLE_b$ over a 3×3 -pixel window centered on each set location. We used the cumulative sum over each fishing trip to generate a single per-trip $FTLE_b$ metric, avoiding pseudoreplication at the trip level. We then used Generalized Additive Models (GAMs) to model the influence of LCS on (i) the probability of the presence of swordfish and (ii) cumulative total catch, as separate responses.

We used a binomial error family and logistic link function for presence–absence responses, and a negative binomial error family with a logarithmic link function for count responses. For count models, we used inbuilt theta estimation (“mgcv” package for R), checked models for overdispersion, and retained only models where the overdispersion metric was <1.4 . Models were selected on the basis of parameter significance, percentage deviance explained, adjusted- R^2 , and comparison of Akaike's Information Criterion score against a null model of intercept-only. Model assumptions pertaining to GAMs, including normality and homogeneity of variance, were explicitly considered in model construction and checked using plots of residuals against fitted values and predictors where appropriate (70). The same protocol was applied to secondary targets of the fishery, including opah, bluefin tuna, thresher sharks, and shortfin mako sharks.

We modeled the correlation between total bycatch over each fishing trip and the sum of the strength of the attracting LCS field associated with each gillnet set in the same trip, using the same modeling protocol. We matched location and date information with the concomitant protected species catch dataset to obtain metrics of the incidence (0/1) and total catch of each nontarget species per gillnet set. Bycatch events were less frequent, and involved lower numbers, than catch rates of target species, and so we summarized the data in taxon-specific groupings for modeling (*SI Appendix*). For some species, such as blue shark *Prionace glauca* (caught in 54% of all sets, with a total catch of 21,146 in 21 y), sample sizes were sufficient to model a species-specific response. The rare nature of bycatch events involving protected marine vertebrates resulted in low taxon-specific sample sizes, so we combined all protected cetaceans, sea turtles, and pinnipeds into a single group for analysis.

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