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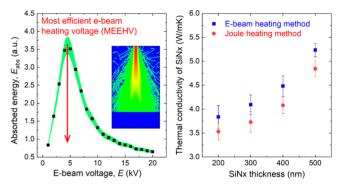
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Adapting the Electron Beam from SEM as a Quantitative Heating Source for Nanoscale Thermal Metrology

³ Pengyu Yuan,^{||} Jason Y. Wu,^{||} D. Frank Ogletree, Jeffrey J. Urban, Chris Dames,* and Yanbao Ma*

4 **ABSTRACT:** The electron beam (e-beam) in the scanning 5 electron microscopy (SEM) provides an appealing mobile heating 6 source for thermal metrology with spatial resolution of ~1 nm but 7 the lack of systematic quantification of the e-beam heating power 8 limits such application development. Here, we systemically study e-9 beam heating in LPCVD silicon nitride (SiN_x) thin-films with 10 thickness ranging from 200 to 500 nm from both experiments and 11 complementary Monte Carlo simulations using the CASINO 12 software. There is good agreement about the thickness-dependent 13 e-beam energy absorption of thin-film between modeling 14 predictions and experiments. Using the absorption results we 15 then demonstrate adapting e-beam as a quantitative heat source by 16 measuring the thickness-dependent thermal conductivity of SiN_x



17 thin-films, with the results validated to within 7% by a separate Joule heating experiment. The results described here will open a new 18 avenue to using SEM e-beams as a mobile heating source for advanced nanoscale thermal metrology development.

 20 The interaction between the high-kinetic energy electrons $_{21}$ from an electron beam (e-beam) and a sample produces a

22 wealth of signals which provide a variety of insights for 23 scanning electron microscopy (SEM), such as analyzing 24 composition, imaging surface morphology, and investigating 25 the crystalline structures. During the electron-substrate 26 interaction, heat is also generated and this makes it possible 27 to apply the e-beam as a high-quality mobile heat source for 28 generating nanoscale thermal hotspots but also for thermal 29 studies in SEM and transmission electron microscopy 30 (TEM).¹⁻⁶

E-beams have several unique characteristics which are 31 32 appealing for nanoscale thermal metrology. First, an e-beam's 33 potential spatial resolution of \sim 1 nm is appealing compared to 34 that of alternate techniques for nanoscale thermal measure-35 ments, such as the 3ω method, time/frequency-domain 36 thermoreflectance, and Raman/luminescence-based methods, 37 which are generally limited by the microfabrication length scale ³⁸ or optical diffraction limit.^{7–9} Similarly, focusing a high-energy 39 e-beam into such a small area results in nanoscale heat sources ⁴⁰ with extraordinarily high heat fluxes, easily exceeding ~ 1 MW $_{41}$ cm⁻². This is valuable for the study of heat dissipation from 42 nanoscale hotspots, which is important for both fundamental 43 understanding and engineering design in micro- and nano-44 electronics, because nanometer-scale hotspots of up to 45 hundreds of degrees Celsius are believed to influence device ⁴⁶ performance and reliability.¹⁰ Furthermore, compared to Joule 47 heating by microfabricated heater lines or scanning with a

heated atomic force microscope tip,^{11,12} the e-beam's 48 dynamically controllable shape and position makes it a more 49 nimble heat source for precise manufacturing and thermal 50 studies. 51

Understanding e-beam heating is also important for one of 52 the most widespread applications of e-beams, namely imaging 53 in SEM and TEM in which this heating is a critical factor 54 limiting the acquisition of structural or chemical data at high 55 spatial resolution, ^{13,14} especially for imaging with high e-beam 56 energy in TEM^{15,16} and imaging low thermal conductivity 57 materials in SEM.^{1,17} Similarly, in e-beam lithography temperse ature effects on the e-beam resist are a significant contributor 59 to errors in feature size and pattern placement.¹⁸ However, the 60 characterization and quantification of nanoscale e-beam 61 heating is still a topic that has seen little research, especially 62 experimentally. 63

For imaging, the interactions between the incident e-beam 64 and the target materials are routinely simulated using Monte 65 Carlo (MC) techniques.¹⁹ Especially, the Monte CArlo 66 SImulation of electroN trajectory in sOlids (CASINO) 67

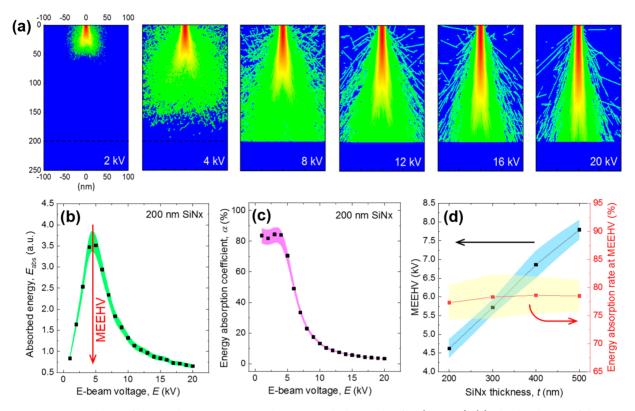


Figure 1. CASINO simulation of electron beam interaction with a 200 nm thick SiN_x thin film (x = 1.33). (a) The distribution of electron energy deposited in the thin film for different primary e-beam energies. The color scale has arbitrary units proportional to absorbed energy density (J/m3 per incident electron). (b) The total absorbed energy in the thin film for various e-beam voltages. The MEEHV value is marked. (c) The energy absorption fraction at different e-beam voltages. (d) The MEEHV (left axis) and energy absorption coefficient at that MEEHV (right axis), as functions of film thickness. The shaded bands in panels b–d represent the effects of varying x from 1.1 to 1.5 in the SiN_x thin film.

68 software package^{20–22} is widely used to simulate the electron– 69 substrate interactions in SEM and has also been applied to 70 develop metrology to estimate thin film thickness based on the 71 intensities of backscattered and secondary electron signals.^{23,24} 72 However, the resultant heating phenomena have rarely been 73 considered. One notable example combined MC simulation of 74 the e-beam energy deposition with electron and phonon 75 hydrodynamic transport equations in the substrate, though 76 such calculations have not yet been experimentally vali-77 dated.^{25,26} Indeed, to the best of our knowledge the e-beam 8 energy deposition in thin films as predicted by CASINO has 79 never been experimentally verified.

Early experimental studies of e-beam heating included using 80 thin film thermocouples to measure heating during e-beam 81 82 lithography^{27,28} and the temperature rise of e-beam irradiated 83 freestanding thin films.^{29,30} The thin film studies observed a 84 strong and nonmonotonic dependence of the temperature rise 85 on the e-beam voltage,³⁰ the physics of which was not 86 understood but will be explained in detail below. More 87 recently, e-beam heating in SEM/TEM has been applied for 88 thermal measurements to demonstrate a new microthermom-89 eter based on vanadium dioxide nanowire,¹ and to measure the 90 spatially resolved thermal conductance of nanowires^{2,3} and 91 two-dimensional materials (graphene,³¹ black phosphorus,³² ₉₂ and MoS₂³³). However, in all of these previous studies the 93 quantitative power delivered by the e-beam was not used (refs 94 27-30) or canceled out (refs 1-3) of the final thermal 95 measurement. Therefore, the e-beam has not yet been used as 96 a quantitative heat source for thermal measurement.

In this work, we have studied the e-beam heating of 97 suspended silicon nitride (SiN_x) thin films with thickness 98 ranging from 200 to 500 nm using microfabricated calorimeter 99 devices inside a standard SEM. The results validate the 100 absorption energy profiles calculated by CASINO. Then, for 101 the first time we adapt the e-beam as a quantitative heat source 102 to measure the in-plane thermal conductivity of SiN_x thin films 103 with results in good agreement with independent measure- 104 ments using a Joule heating method. These results will help 105 develop the application of e-beam as an advanced mobile 106 heating source for future thermal metrologies at the micro- and 107 nanoscale.

RESULTS

Theoretical Energy Absorption Study of the Electron 110 Beam in SiN_x Thin Film. As an electron beam interacts with a 111 specimen, the beam undergoes numerous elastic and inelastic 112 scattering events. Besides creating a broad range of signals that 113 can be used for material analysis, here the inelastic interactions 114 are the main focus because they convert energy from the 115 primary e-beam into heat in the specimen. To obtain a 116 statistical understanding of these complex interactions in the 117 specimen, an MC-based electron trajectory simulation can be 118 performed which calculates the paths of numerous incident 119 electrons using random numbers. In this work, we use 120 CASINO v2.5.1.0²⁰⁻²² to conduct the MC simulation. 121 Targeting the interaction in SEM, CASINO considers key 122 parameters like the e-beam voltage (the kinetic energy of an 123 incident electron) and the atomic number, thickness, and 124 density of the specimen material. The results are widely 125

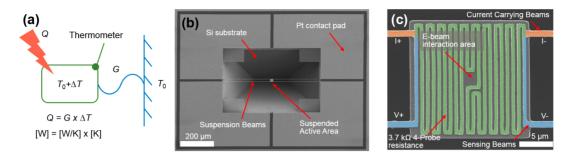


Figure 2. Schematic of the e-beam calorimeter and SEM images of the fabricated devices. (a) The working principle of the calorimeter. The power Q can be determined by measuring the temperature change (ΔT) of the calorimeter using the built-in thermometer and known thermal conductance G. (b) Low-magnification SEM image of the microfabricated SiN_x thin-film based calorimeter. The central suspended area of the device is supported by four 1 μ m wide, 300 μ m long beams with SiN_x thickness varying from 200 to 500 nm among the various devices. (c) False-color high-magnification image of the central suspended region. The 4-probe PRT is integrated into this island area with the serpentine line (light green) having a resistance of ~3.7 k Ω between the voltage probes (light blue).

126 accepted for describing the shape and size of the interaction127 volume, though experimental validation was not previously128 available regarding the energy deposition.

In this work, we choose free-standing SiN_x films as the 129 $_{130}$ system for studying e-beam energy absorption because SiN_x is 131 a well-studied structural dielectric used in many microelectronic and MEMS devices.^{34,35} To determine the absorbed 132 energy in SiN_x thin films from CASINO simulations, we need 133 to set the specimen information and the microscope 134 135 conditions. For the specimen, we use three layers, namely a 136 SiN_x thin film sandwiched by the vacuum. The SiN_x chemical 137 composition is specified with the atomic fraction x ranging 138 from 1.1 to 1.5 to match the experimental samples as fabricated 139 by LPCVD and detailed in the following sections. The 140 microscope conditions include the electron beam accelerating voltage, the focused beam size, the number of simulated 141 electron trajectories, and the angle between the specimen 142 normal and the beam direction, and are all set in CASINO to 143 match our experimental conditions. (See Supporting Informa-144 tion Section 1 for more CASINO calculation details.) 145

We first consider CASINO simulations of SiN_r films with 146 147 thickness t from 200-500 nm and incident e-beam voltages E 148 from 2–20 kV. Note that the e-beam energy will be directly set 149 in the unit of electronvolt (eV) in the CASINO program; 150 however, we will use the accelerating voltage in the unit of volt (V) to quantify the e-beam energy to make direct comparison 151 152 with following experiment results. As a representative result, 153 Figure 1a shows the deposited energy distribution inside a 200 154 nm thick Si₃N₄ (or SiNx, x = 1.33) thin film for several e-beam 155 voltages. Taking the e-beam voltage of 4 kV, for example, the 156 simulation depicts the cross-section of a bulb-shaped electron-157 matter interaction volume corresponding to the material of low atomic number (Z = 11.2 for Si₃N₄, averaged based on weight 158 159 fraction²²). Materials of higher atomic number (Z > 50) show more hemispherical shaped interaction volume.³⁶ 160 a

f1

For low e-beam voltages when the e-beam penetration depth smaller than the film thickness, the absorbed energy is smaller than the film thickness, the absorbed energy is increases almost linearly with the e-beam voltage as shown if Figure 1b. This corresponds to a nearly constant fraction of each incident electron's energy being absorbed in the film, defined as the electron energy absorption coefficient α , here around 82% as seen in Figure 1c at low energies. We define α such that

$$E_{abs} = \alpha E \tag{1}$$

where *E* is the energy of the incident e-beam and $E_{\rm abs}$ is the 170 corresponding absorbed energy in the film. Even though at low 171 *E* there is no electron transmission through the sample, the 172 maximum α remains less than 100% because energy is still lost 173 through secondary electrons, backscattered electrons, X-rays, 174 and so forth. 175

Then, upon increasing the e-beam voltage beyond some 176 critical value (~ 4 kV for 200 nm thick SiN_x) a finite and then 177 increasing fraction of the incident electrons can transmit 178 completely through the film. As a result, α decreases with 179 increasing e-beam voltage. We define the e-beam voltage giving 180 the maximum E_{abs} in Figure 1b as the "most-efficient-e-beam- 181 heating-voltage" (MEEHV). For this specimen of 200 nm thick 182 SiN_x, the MEEHV is 4.63 ± 0.35 kV, where the uncertainty 183 range corresponds to varying α from 1.1 to 1.5. When the 184 actual e-beam voltage is below this MEEHV level, the thin film 185 can still absorb most of the incident electrons ($\alpha \approx \text{const.}$), so 186 E_{abs} will increase in direct proportion to E in accordance with 187 eq 1. However, when the e-beam voltage is above this MEEHV 188 electron transmission becomes significant and $\alpha(E)$ falls off 189 more steeply than 1/E, so that $dE_{abs}/dE < 0$ for E > MEEHV.

The thickness dependence of the MEEHV is plotted in 191 Figure 1d. Because thicker films can absorb more electrons at 192 the same incident e-beam energy *E*, this shifts the MEEHV to 193 larger values for thicker films. The corresponding values of α 194 evaluated at *E* = MEEHV are shown on the right axis of Figure 195 1d. These calculation results show that the α value at the 196 MEEHV is almost independent of film thickness, even though 197 MEEHV itself is a strong function of thickness. Of course, 198 these quantities also depend on the material, which underlies 199 the shaded uncertainty bands seen in Figure 1d which 200 corresponds to the compositional range SiN_{1,1}-SiN_{1,5}.

From the e-beam matter interaction with its bulb-shaped $_{202}$ interaction volume, it is well-known that the location of $_{203}$ maximum energy absorption occurs at some finite depth below $_{204}$ the specimen surface, 37 which is notably different from optical $_{205}$ absorption which is maximal at the surface and exponentially $_{206}$ decaying into the specimen (the Beer–Lambert law). The $_{207}$ depth of the maximum absorbed e-beam energy is much $_{208}$ smaller than the e-beam penetration depth *R*, which itself is $_{209}$ defined as the depth at which 99% of the incident electrons $_{210}$ have slowed down to rest. The e-beam penetration depth has $_{211}$ been extensively studied both analytically $_{38}^{38}$ and empirically, $_{39}^{39}$ $_{212}$ and the expression introduced by Kanaya and Okayama is $_{213}$ widely used $_{38}^{38}$

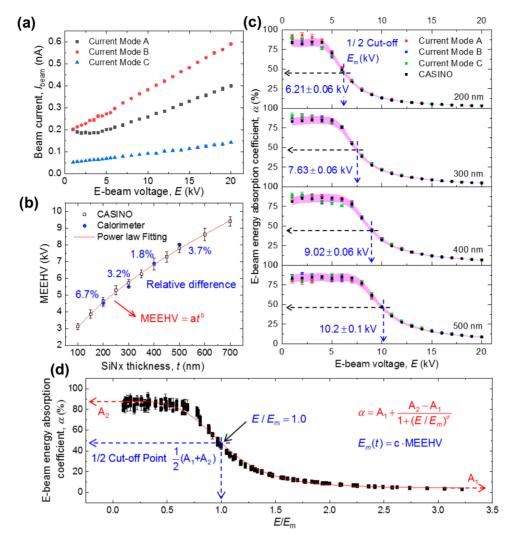


Figure 3. Thickness and current dependence of e-beam absorption. (a) Three current modes used in this work, measured using a Faraday cup. Mode A corresponds to 30 μ m aperture size in the normal current setting with a typical error bar of 1.1–3.8%. Mode B corresponds to 30 μ m aperture size in the high current setting with a typical error bar of 1.0–3.4%. Mode C corresponds to 20 μ m aperture size in the normal current setting with typical error bar of 1.7–4.7%. (b) Comparison of MEEHV values determined theoretically from CASINO and experimentally from calorimeter devices (average of three current modes with error bars showing their standard deviation). The listed percentages give the relative difference between theory and experiment. The power-law fit to the CASINO results yields $a = 0.22 \pm 0.02$ kV and $b = 0.57 \pm 0.02$ with *t* in nm. (c) The e-beam energy absorption coefficients from CASINO (pink-shaded band represents the effect of varying *x* from 1.1 to 1.5) compared with experimental results from SiN_x based calorimeter devices of four thickness each with three current modes. The CASINO results for 200 nm thick SiN_x are repeated from Figure 1c. A logistic function is used to fit the results for each thickness with the listed midpoint cutoff energies, E_m . (d) Plot of all 16 sets of data from (c) after rescaling E/E_m (points) and a fit with a universal logistic function (line). $A_1 = 4.07 \pm 0.15$, $A_2 = 88.9 \pm 0.4$, and $c = 0.76 \pm 0.01$.

$$R = \frac{0.0276 \times m_{\rm A} \times N_{\rm A} \times E^{5/3}}{(Z^{8/9}) \times \rho}$$
(2)

216 where R is the penetration depth in m, E is the incident e-beam 217 voltage in eV, m_A is the atomic mass in kg, N_A is Avogadro's number, ρ is the density in kg/m³, and Z is the equivalent 218 atomic number of the specimen. It is also interesting to 219 consider the possibility of nonequilibrium phenomena, which 220 have been studied previously in the context of "aloof" 221 scattering of an e-beam in close proximity to solid matter.⁴⁰ 2.2.2 That study showed that nonequilibrium phenomena are most 223 prevalent at time scales $(\sim 10^{-18} - 10^{-17} \text{ s})$ and length scales 224 225 (~1 nm) which are far smaller than those of the present study, 226 suggesting that such nonequilibrium phenomena should only 227 become important for much smaller samples with characteristic 228 lengths below ~ 10 nm.

Equation 2 shows that the penetration depth increases with 229 the e-beam voltage and thus so does the depth of maximum e- 230 beam energy absorption. When this absorption depth extends 231 beyond the bottom of the thin film, a significant fraction of the 232 incident e-beam power will transmit through the film, and 233 consequently the absorbed energy will decrease. Thus, the 234 energy absorption coefficient α will also decrease even though 235 there is more input energy from the e-beam. These trends are 236 apparent for *E* larger than ~5 kV in Figure 1b,c. 237

Experimental Energy Absorption Study of the $_{238}$ **Electron Beam in SiN_x Thin Films.** To measure the $_{239}$ absorbed e-beam energy in SiN_x thin films, we microfabricated $_{240}$ LPCVD SiN_x-based energy flow calorimeters with built-in $_{241}$ platinum resistance thermometers (PRTs) (Figure 2). (see $_{242}$ $_{22}$ Supporting Information Section 2 for device fabrication $_{243}$ details.) The magnitude of the energy flow was quantified by $_{244}$ 245 directly measuring the temperature rise of the calorimeter 246 compared to the surrounding temperature T_0 , that is, $\Delta T = T$ 247 – T_0 . We estimate that there can be an additional temperature 248 rise of up to several degrees Kelvin between the e-beam spot 249 (very center of the island in Figure 2c) and the average 250 temperature of the island which is determined experimentally 251 from the PRT (see Supporting Information Section 6). This 252 additional superposed temperature rise is unimportant for the 253 calorimetry because it is highly localized primarily to within 254 ~100 nm of the e-beam spot and thus does not reach any of 255 the PRT, as well as the fact that the typical ΔT of the 256 calorimeter is much larger, ~50 K.

We first measured the temperature coefficient of resistance 257 258 (TCR, η) and total thermal conductance (G) (see Supporting 259 Information Sections 4 and 5 for details). It was then mounted 260 in a custom-built SEM holder with electrical feedthroughs for 261 e-beam interaction measurements in a Zeiss Gemini Supra 262 55VP-SEM. High vacuum conditions $(1 \times 10^{-6} \text{ Torr})$ make 263 convection losses negligible. Radiation effects are also 264 negligible, as estimated using a conservative (high) estimate 265 of the emissivity of the SiN_x thin film of about 0.3 (ref 41) ²⁶⁶ which corresponds to an estimated error in the ΔT of the PRT 267 island of less than 1%. When the e-beam is focused on the central open square area, approximately 1 μ m \times 1 μ m as seen 268 269 in the center of Figure 2c, the absorbed e-beam power will 270 induce a temperature rise ΔT which increases R_{4p} of the PRT. This SEM has a field emission electron gun with a sub-1 nm 271 272 focus beam diameter at >15 kV and ~4 nm at 0.1 kV. For e-273 beam power measurements, we use 10 000 times magnification 274 and a 5.5 mm working distance, and position the focused e-275 beam at the central SiN_x interaction area is indicated in Figure 276 2c. Note that the precise location of the e-beam focus position was varied randomly within this $\sim (1 \ \mu m)^2$ interaction area 277 278 from trial to trial to average the absorbed energy analysis. The 279 e-beam current I_{beam} depends on the beam voltage as well as 280 the aperture size in the SEM column with larger apertures 281 giving higher current.⁴² We studied three different current 282 modes by changing the aperture size (30 and 20 μ m) and/or 283 engaging the high-current mode setting of the SEM. The 284 corresponding beam currents are measured separately using a 285 Faraday cup, with results given in Figure 3a. A fixed working 286 distance was used because we found that the beam current was slightly changing at different working distances. 287

288 Comparing the Absorbed Energy Determined from 289 Experiment and CASINO. From the calorimeter equation Q290 = $G\Delta T$ and with ΔT from ΔV_s using eq 2, we measured the 291 absorbed heating power for a given calorimeter device as

$$Q = G \times \frac{\Delta V}{I_{\rm s} \times R_{\rm 4p}(T) \times \eta(T)}$$
(3)

For each SiN_x device with known thickness, we measured Q as a function of e-beam voltage and find the MEEHV. In fact, for each thickness we actually determine three MEEHV values by using three different beam current modes. To avoid artifacts from the nonconstant beam current in actual operation (Figure 3a), when determining the experimental MEEHV we use the current-normalized absorbed energy which is rescaled by the soo reference current at 2 kV

$$\hat{Q}(E) = \frac{Q(E)}{\left[\frac{I_{\text{beam}}(E)}{I_{\text{beam}}(2\text{kV})}\right]}$$
(4)

where $I_{\text{beam}}(E)$ is taken from the calibration of Figure 3a. This 302 normalization is justified because one expects $Q(E) \propto I_{\text{beam}}(E)$, 303 since each incident electron is an independent event and this 304 was also confirmed by additional experiments. The choice to 305 normalize at the I_{beam} from 2 kV is arbitrary, and any reference 306 I_{beam} could be used without affecting the calculated MEEHV 307 values. 308

The MEEHV(t) results are shown in Figure 3b with the blue 309 circles representing the experimental values averaged over the 310 three beam currents, which agree closely with the CASINO 311 results. The relative differences between experiments and 312 simulation are also given in the figure, for example, 6.7% for t = 313 200 nm, 3.2% for 300 nm, and so forth. This agreement not 314 only validates the CASINO model discussed in the first section 315 but also boosts the trust in CASINO to conduct further 316 thermal studies involving electron-matter interaction in SEM. 317 As mentioned in the introduction, previous studies of the e- 318 beam heating of films have been limited to modeling, ^{23,25,26} 319 and experiments are lacking. Additionally, we extend the 320 CASINO calculation of MEEHV for thicknesses from 100 to 321 700 nm, and the full range of simulated MHEEV vs thickness is 322 well-fit with a power law as shown by the red line in the figure. 323

Knowing this, MHEEV(t) relationship has several potential 324 uses. First, in future applications it could be useful for 325 estimating the thickness of suspended thin films. In this work, 326 we have found the MEEHV by using a PRT to measure the 327 temperature rise, which requires additional microfabrication 328 and instrumentation, but in principle the temperature rise 329 could instead be measured directly by SEM thermometry 330 which is less accurate but simpler and noninvasive.⁴³ Note also 331 that knowledge of G is not needed because it never enters into $_{332}$ the calculation of the MEEHV (recall that the MEEHV was 333 found in Figure 1b using arbitrary units on the E-axis). 334 Knowledge of the MEEHV is also helpful for thermal 335 metrologies which use the e-beam as a heater, because 336 operating at the MEEHV gives the peak heating which 337 maximizes the signal-to-noise ratio.^{1–3,30} Finally, knowledge of 338 the energy-dependence seen in Figure 1b is also helpful for 339 optimizing e-beam conditions in standard SEM/TEM imaging 340 of suspended samples. Normally low-E imaging can result in 341 notable charging effects because low-energy electrons will be 342 easily left on the surface, so it is intuitive to increase the beam 343 voltage to reduce the charging effect, but this increased 344 electron beam voltage raises obvious concerns about damaging 345 the sample through overheating. However, Figure 1b shows 346 that choosing $E \gg$ MEEHV may be most favorable of all, 347 because it reduces charging as well as reducing the heat 348 deposited in the sample. 349

When evaluating the e-beam energy absorption coefficients, 350 α_{CASINO} is statistically determined by tracing all the simulated 351 primary electrons. The experimental values from the 352 calorimeter were calculated as $\alpha = Q/(I_{beam}E)$ and compared 353 with the CASINO results in Figure 3a. We determined $\alpha(E, t)$ 354 from the calorimeter for the three different current modes, and 355 in all cases the results are in good agreement with the CASINO 356 simulations as shown in Figure 3c. This detailed experimental 357 and theoretical understanding of $\alpha(E, t)$ provides the 358 foundation to apply the e-beam as a quantitative heating 359 source for nanoscale thermal metrologies, as demonstrated in 360 the next section for SiN_x thin films.

To simplify the energy absorption coefficient of SiN_x thin 362 films at different e-beam voltage, for each film thickness in 363

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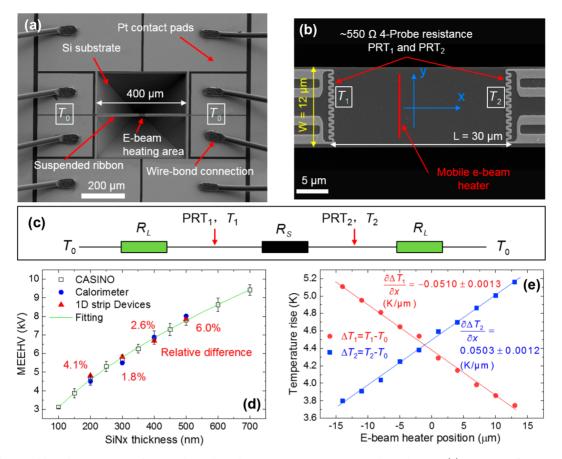


Figure 4. The 1D ribbon device structure for SiN_x thermal conductivity measurement using e-beam heating. (a) Low-magnification SEM image of the microfabricated device. The suspended portion is 430 μ m long (considering undercut) and 12 μ m wide with SiN_x thickness ranging from 200 to 500 nm. (b) High-magnification image of the central suspended region which is also the e-beam heating area. (c) The thermal circuit of the 1D SiN_x ribbon device. R_s represents the thermal resistance between the two PRTs. (d) The thickness of these device is confirmed by their MEEHVs (red triangles), which lie very close to previous results repeated from Figure 3b. (e) Temperature rise at the two PRTs as a function of e-beam heater location *x*, for the 200 nm thick device.

364 Figure 3c we empirically fit the e-beam absorption results with 365 a logistic function

366

$$\alpha(E) = A_1 + \frac{A_2 - A_1}{1 + \left(\frac{E}{E_m}\right)^{\sigma}}$$
(5)

367 where A_2 is the low-E plateau absorptivity, E_m is a characteristic 368 midpoint energy at which $\alpha(E) = (A_1 + A_2)/2$, and σ 369 parametrizes the sharpness of the transition. The fit values of 370 $E_{\rm m}$ are given in the figure and closely follow a power law $E_{\rm m}$ = 371 at^{b} , where the thickness t is in nm and $E_{\rm m}$ is in kV. This power-372 law exponent is similar to the value 5/3 in the Kanaya-373 Okayama range of eq 2, and we also find that $E_{\rm m}(t) = (0.79 \pm$ 0.01 × MEEHV(t) at least over this range of thicknesses. 374 375 Such similarity is not surprising considering that they all originate from the same physical mechanism of the e-beam 376 penetration depth reaching and then exceeding the film 377 thickness. 378

For each plot in Figure 3c, there is relatively large data wariation for $E < E_{\rm m}$ and better consistency for $E > E_{\rm m}$. The absorption coefficient α shown here is an average over various e-beam spot locations in the central ~1 μ m interaction area in Figure 2c. For lower e-beam voltages, we found that the charging effects varied significantly among these spot locations, causing the notable variation. This effect was more limited when higher e-beam voltage ($E > E_{\rm m}$) was applied. Finally, in Figure 3d we collapse all of the results from 387 Figure 3c into a single universal logistic function, 388 $\alpha(E, t) = A_1 + \frac{(A_2 - A_1)}{1 + \left[\frac{E}{E_m(t)}\right]^{\sigma}}$, where $E_m(t) = cat^b$ and the fit

values for *a*, *b*, *c*, A_1 , A_2 , and σ are given in the figure for SiN_x ³⁸⁹ and are independent of *E* and *t*. The points shown in this plot ³⁹⁰ comprise all 16 sets of data from Figure 3*c*, both experimental ³⁹¹ and from CASINO, with the *x*-axis rescaled by dividing *E* by ³⁹² each thickness' corresponding $E_m(t)$. The generally excellent ³⁹³ collapse of data seen in Figure 3d after this rescaling confirms ³⁹⁴ that for each thickness there is fundamentally only one ³⁹⁵ characteristic energy scale, whether it is discussed as ³⁹⁶ MEEHV(*t*), $E_m(t)$, or the inversion of eq 2 after equating *R* ³⁹⁷

and *t*, namely
$$E_{\text{KO}}(t) = \left(\frac{\rho z^{8/9} t}{0.0276 m_{\text{A}} N_{\text{A}}}\right)^{3/3}$$
.

Demonstration of E-Beam as a Quantitative Heating 399 **Source for Thermal Metrology: Measuring the Thermal** 400 **Conductivity of SiN_x Thin Films.** Building on the above 401 calorimeter and CASINO study of the e-beam energy 402 absorption in SiN_x thin films, we are now able to use the e- 403 beam for quantitative thermal analysis. In this section, we will 404 demonstrate using the e-beam heater to determine the in-plane 405 thermal conductivity of LPCVD SiN_x thin films, and the results 406 are confirmed by independent measurements using a Joule- 407 heating method. As mentioned above, amorphous silicon 408

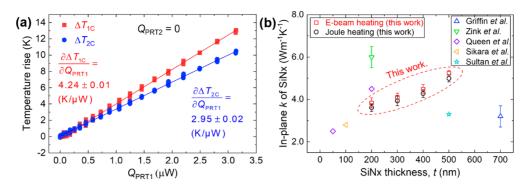


Figure 5. Joule heating approach to measure k of the 1D ribbon devices. (a) Results for the 200 nm thick device: temperature rises of the two PRTs in response to Joule heating by PRT₁. (b) Comparison of SiN_x thermal conductivity at room temperature as determined by the e-beam heating and Joule heating methods. Literature results are from refs 4–6, 50, 61, and 62.

409 nitride is commonly used in many microelectronic and MEMS 410 devices, including suspended structures.^{34,35,44} As such, 411 knowledge of the in-plane thermal conductivity of SiN_x films 412 is important as microfabricated heaters and thermal sensors are 413 thermally isolated from the environment using these 414 suspended structures. Furthermore, the thermal conductivity 415 of SiN_x films can depend on stoichiometry, growth conditions, 416 and film thickness, so it is generally not accurate to simply take 417 a reference value from the literature.

f4

As shown in Figure 4a, we prepared free-standing SiN_x 418 419 ribbon devices using the same processing steps as the 420 calorimeter. The suspended area is 430 μ m long and 12 μ m 421 wide which justifies approximating the heat flows as 1D along 422 the x-direction of the SiN_x ribbon. The SiN_x ribbon thickness 423 of different devices ranges from 200 to 500 nm, and is shown 424 in Figure 4d. Measurements of the MEEHV verrsus thickness 425 for these suspended ribbon devices shows nearly identical 426 response as the previous measurements on calorimeter devices 427 and CASINO simulations. At the central area of the ribbon 428 (Figure 4b), there are two 4-probe PRTs which can serve as 429 both heater and thermometer, separated by a distance L = 30430 μ m. Each PRT's 4-probe electrical resistance is around 550 Ω . 431 and their TCRs are calibrated to measure the local temperature 432 rise (see Supporting Information Section 4).

⁴³³ The basic principle of the thermal conductivity measure-⁴³⁴ ment is depicted in Figure 4b,c. The e-beam was used as a line ⁴³⁵ heat source at a location x, causing steady-state 1D heat flow ⁴³⁶ (along $\pm x$ directions) in the SiN_x ribbon to the Si substrate ⁴³⁷ which acts as a heat sink at T_0 . Two PRTs measured the ⁴³⁸ resulting temperatures T_1 and T_2 as functions of the e-beam ⁴³⁹ location x, which as detailed next can be used to determine k, ⁴⁴⁰ the in-plane thermal conductivity of the SiN_x thin film.

441 In developing the detailed thermal analysis model, convection and radiation losses were both estimated to be 442 443 negligible. To experimentally justify a 1D analysis along the x-444 direction, we first used local e-beam heating to investigate 445 possible 2D effects. With the e-beam in spot mode at a fixed x 446 coordinate near x = 0, we moved the e-beam along y and found 447 that the temperature rises at each of the two PRTs were 448 independent of the e-beam spot's y location to within 2%. This 449 variation is mainly random but higher whenever the e-beam 450 focused on some rough areas (appearing as whiter dots in the 451 SEM image) which affects the interaction between e-beam and 452 the thin film. To average out these variations and even better 453 approximate 1D heat conduction, for all subsequent measure-454 ments we control the e-beam to approximate a line heating 455 source, by rapidly scanning the focused e-beam along the ydirection between +5 μ m and -5 μ m. This scanning is realized 456 by a Python-based software platform (ScopeFoundry)⁴⁵ 457 instead of using the default Smart SEM software from Zeiss. 458 The scanning rate is set as 500 kHz.

In the thermal model, define $R_{\rm L}$ as the thermal resistance 460 (K/W) of each of the ~200 μ m long suspended ribbon 461 sections between the heat sink and the PRTs. Because of the 462 symmetry of the microfabrication, the two $R_{\rm L}$ s are nominally 463 identical, namely the left $R_{\rm L}$ between T_0 and T_1 and the right 464 $R_{\rm L}$ between T_0 and T_2 . Note that $R_{\rm L}$ includes the parallel 465 conduction pathways of the SiN_x thin film and the Pt lines on 466 top. Similarly, the thermal resistance of the SiN_x between the 467 two PRTs is 468

$$R_{\rm S} = \frac{L}{A \times k} \tag{6}_{469}$$

where A = wt is the cross-sectional area of the ribbon, w = 12 470 μ m is the ribbon width, $L = 30 \ \mu$ m is the distance between two 471 PRTs, and k is the in-plane thermal conductivity of the SiN_x 472 thin film. 473

With the e-beam heating line localized a station x as 474 indicated in Figure 4b, two equivalent expressions for the heat 475 flow to the left are 476

$$Q_{1} = \frac{\Delta T_{1}}{R_{L}} = \frac{\Delta T_{i}(x) - \Delta T_{1}(x)}{R_{i}(x)}$$
(7) 477

where $\Delta T_1 = T_1 - T_0$ is temperature rise measured by left 478 PRT, $\Delta T_i(x) = T_i(x) - T_0$ represents the temperature rise at 479 the e-beam heating position x which cannot be directly 480 measured in this experiment, and $R_i(x) = (L/2 + x)/(kA)$ is 481 the thermal resistance between the e-beam heating position x 482 and the left PRT. Likewise, considering the heat flow going to 483 the right, we have 484

$$Q_{2} = \frac{\Delta T_{2}}{R_{L}} = \frac{\Delta T_{i}(x) - \Delta T_{2}(x)}{R_{s} - R_{i}(x)}$$
(8) 485

From the overall energy balance, the total absorbed e-beam 486 energy (Q) is finally conducted to the heat sink through both 487 ends $(Q = Q_1 + Q_2)$, so we have 488

$$Q = \frac{\Delta T_1 + \Delta T_2}{R_L} \tag{9}_{489}$$

Then we eliminate ΔT_i from eqs 7 and 8 to have $\Delta T_1 R_i - 490$ $\Delta T_2 (R_S - R_i) = (\Delta T_2 - \Delta T_1) R_L$. Differentiating this equation 491 with respect to x and using eq 9 to represent R_L , we can 492 express the thermal conductivity k as 493

$$k = Q \times \frac{(\Delta T_1 + \Delta T_2) - \frac{\partial \Delta T_2}{\partial x} \times L}{(\Delta T_1 + \Delta T_2) \times A \times \frac{\partial (\Delta T_2 - \Delta T_1)}{\partial x}}$$
(10)

494

495 where eq 9 also shows that the sum $(\Delta T_1 + \Delta T_2)$ is 496 independent of *x*, which further implies $\partial \Delta T_1 / \partial x = -\partial \Delta T_2 /$ 497 ∂x . In the experiment, we determine $\partial \Delta T_1 / \partial x$ and $\partial \Delta T_2 / \partial x$ by 498 placing the e-beam heating line at different *x*-positions. Here, 499 we use Current Mode A and operate at each thickness' 500 corresponding MEEHV value to maximize the signal-to-noise 501 ratio. Typical results for the 200 nm thick ribbon device are 502 shown in Figure 4e, which confirms the expected symmetries 503 of $\partial \Delta T_1 / \partial x = -\partial \Delta T_2 / \partial x$ to within 1.5%. For this device, *k* is 504 found from eq 10 to be 3.84 \pm 0.24 W m⁻¹ K⁻¹.

The results for thickness-dependent thermal conductivity 505 506 using this new e-beam based method are plotted as empty sor squares in Figure 5b, which shows that k increases from 3.84 to 508 5.23 W m⁻¹ K⁻¹ as t increases from 200 to 500 nm. We have 509 shown that with error bars with 7%, the largest sources of error s10 include fitting results of $\partial \Delta T_1 / \partial x$ and $\partial \Delta T_2 / \partial x$, and the 511 absorbed e-beam energy evaluation from the calorimeter. The 512 general trend of increasing k(t) is very well established for thin 513 films due to boundary scattering of long mean-free-path 514 phonons at the film surfaces which reduces k for small t_i as is 515 frequently modeled using the Fuchs-Sondheimer solution of 516 the Boltzmann transport equation.^{46–48} For the amorphous 517 silicon nitride studied in this work, its thermal conductivity has 518 been previously reported to contain a significant contribution 519 from long-mean-free-path propagons as compared to non-520 propagating modes,⁴⁹ so thin-film size effects play a role in 521 thermal conduction. Figure 5b also shows that these measured 522 k values are comparable to other literature reports for 523 suspended LPCVD SiN_x with a thickness below 800 nm. 524 Fifty and 200 nm thick suspended LPCVD SiN_x membranes s25 were ~2.5 and ~4.5 W m⁻¹ K⁻¹ respectively.⁵ The ~2.8 W $_{526}$ m⁻¹ K⁻¹ was reported for a 100 nm thick LPCVD SiN_x film,⁵⁰ $527 \sim 3.3 \text{ W m}^{-1} \text{ K}^{-1}$ for 500 nm thick SiN_x bridge,⁶ and so forth. To validate the k(t) measurements from the e-beam heating 528 529 method, we also implemented a Joule heating method to 530 independently measure k in the same structures. In this 531 technique, we used PRT1 as a heater and both PRTs as 532 temperature sensors. The analysis would be simplest if the 533 Joule heating were localized purely at PRT₁, but the two DC 534 current-carrying leads also contribute Joule heat which must be 535 taken into account. Therefore, we conducted two sets of 536 experiments (designated Case A and Case B in the Supporting 537 Information Section 7) and used a superposition argument to 538 determine the equivalent response to localized Joule heating at 539 only the left PRT (Q_{PRT1}) , called Case C. Focusing on Case C, 540 just as in the e-beam heating method the localized Joule 541 heating from PRT₁ will flow to both to left and right heat sinks. 542 Considering the heat flow to the left (Q_{PRT1_1}) , we have

$$Q_{\text{PRT1}_1} = \frac{\Delta T_{\text{IC}}}{R_L} \tag{11}$$

544 where $\Delta T_{1C} = T_{1C} - T_0$ is the temperature rise of heating 545 PRT₁ for case C. Similarly, the heat flow to the right is

$$Q_{\text{PRT1}_2} = \frac{\Delta T_{1\text{C}} - \Delta T_{2\text{C}}}{R_s}$$
(12)

546

543

$$Q_{\text{PRT1}_2} = \frac{\Delta T_{2\text{C}}}{R_L}$$
(13) 548

where ΔT_{2C} is the temperature rise of the sensing PRT₂. Also 549 from the overall energy balance, the Joule heating at the left 550 PRT (Q_{PRT1}) is finally conducted to the heat sink through both 551 ends, $Q_{PRT1} = Q_{PRT1_1} + Q_{PRT1_2}$, so we have 552

$$Q_{\rm PRT1} = \frac{\Delta T_{\rm 1C} + \Delta T_{\rm 2C}}{R_L}$$
(14) 553

As derived in detail in the Supporting Information Section 7, 554 the thermal conductivity of the SiN_x thin film can be 555 determined by 556

$$k = \frac{L}{A \times \left(\frac{\partial T_{1C} / \partial Q_{PRT1}}{\partial T_{2C} / \partial Q_{PRT1}} + 1\right) \times \left(\frac{\partial T_{1C}}{\partial Q_{PRT1}} - \frac{\partial T_{2C}}{\partial Q_{PRT1}}\right)}$$
(15) 557

An example of the raw data for this measurement is given in 558 Figure 5a for a 200 nm thick SiN_x thin film. Using eq 15, we 559 find $k = 3.61 \pm 0.18$ W m⁻¹ K⁻¹ which is only 6.3% smaller 560 than the k-value determined from the e-beam heating method. 561 These measurements for all four thicknesses are compared in 562 Figure 5b, with mutual agreement between e-beam and Joule 563 heating measurements always better than 7%. The error bars 564 for the Joule heating results come from the uncertainty in TCR 565 and electrical resistance and the variation between k-values as 566 determined using PRT1 (e.g., as shown in Figure 5a) and 567 PRT2 (not shown in Figure 5; see Supporting Information 568 Section 7).

Comparing heating by the e-beam versus Joule heating of a 570 PRT, the e-beam heating approach offers several advantages. 571 First, although the e-beam based k-measurements in this study 572 required additional microfabrication to create the PRT, in 573 principle the temperature could instead be measured directly $_{574}$ using the $\rm SEM^{43,51}$ or $\rm TEM^{52-56}$ itself. This will greatly $_{575}$ simplify the microfabrication and make the e-beam heating and 576 sensing at arbitrary locations and with various shapes. Second, 577 an e-beamline heater can be narrower than a lithographically 578 defined PRT, better concentrating the heat source and 579 simplifying analysis. Similarly, the e-beam better approximates 580 a sheet source in the yz plane, whereas a PRT heater is a 581 surface source whose heat must diffuse further down in the z- 582 direction before it can flow purely along $\pm x$. On the other 583 hand, the Joule heating delivered by a PRT can be measured 584 more accurately, and has the potential to deliver much larger 585 heating powers and thus greater temperature differences than 586 the e-beam. This last point can be a serious restriction and 587 means the e-beam heating approach is most appropriate for 588 samples of relatively low thermal conductance G, that is, long, 589 thin, and low-k. 590

The thermal conductivity measurement technique demon- 591 strated here on silicon nitride can in principle also be adapted 592 to study thin films of other materials, such as polysilicon, 593 silicon carbide, and metals. For crystalline materials, it is 594 intriguing to recognize the possibility of directly measuring 595 subcontinuum heat conduction phenomena, since the 596 characteristic dimensions of the heater spot size as well as 597 heater placement accuracy (both ~10 nm) are much smaller 598 than the intrinsic MFPs (mean free paths) in crystalline 599 materials, which are typically in the range from ~100 nm -10 600 μ m around room temperature.⁵⁷⁻⁵⁹ For such measurements, a 601 modified version of the device shown in Figure 4 could be 602

603 microfabricated with the PRTs as narrow straight lines of width 604 100 nm or less rather than the 1 μ m effective widths of the 605 serpentine PRTs used here. Then, by placing the e-beam 606 heater line even closer to a PRT, at small heater—thermometer 607 separations the effective temperature rise seen at the PRT 608 should deviate from the Fourier law prediction due to ballistic 609 phonon effects, although the precise nature of this deviation 610 would have to be calculated using a more sophisticated sub-611 continuum framework like the Boltzmann transport equation. 612 Another interesting direction for future work would be to 613 rotate the orientation of the e-beam heater line, thereby 614 interrogating anisotropic heat conduction along different 615 directions; this would be the e-beam and nanoscale analog of 616 a recently demonstrated elliptical Gaussian beam laser 617 technique.⁶⁰

We also note a limitation when extending this new thermal metrology to other materials. Although the results from the first part of this study show that the e-beam energy deposition as calculated by CASINO is reliable for silicon and nitrogen, and we see no reason to doubt CASINO, nevertheless if applying this new thermal metrology to other materials additional measurements of the energy absorption are recommended before fully relying on such simulations.

626 DISCUSSION

627 We demonstrate how an e-beam can be used as a quantitative 628 mobile heating source and apply it to perform thermal 629 measurements at the nanoscale. Experiments using micro-630 fabricated calorimeter SiN_x devices of varying film thickness 631 and e-beam energy validate the electron energy deposition 632 predictions of the widely used Monte Carlo simulation 633 program CASINO. These energy absorption results provide a 634 foundation to exploit the e-beam as a quantitative mobile 635 heating source for nanoscale thermal metrology. We 636 demonstrate this capability by measuring the in-plane thermal 637 conductivity of SiN_x thin films of varying thickness with results 638 in good agreement with independent measurements using a 639 Joule heating method. This study shows how the electron 640 beam in an SEM has the potential to develop into a practical 641 tool for noncontact thermal measurements at the nanoscale.

542 ASSOCIATED CONTENT

643 Supporting Information

644 The Supporting Information is available free of charge at 645 https://pubs.acs.org/doi/10.1021/acs.nanolett.9b04940.

646 CASINO calculation, device fabrication, Joule heating647 method details (PDF)

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	676

P.Y. performed the CASINO simulation and the experiments 677 of energy absorption, e-beam heating, and Joule heating 678 thermal conductivity measurement with the help of J.Y.W. and 679 D.F.O. Also, J.Y.W. and P.Y. designed and fabricated the 680 calorimeter and 1D ribbon devices. P.Y., C.D., Y.M, and J.J.U. 681 wrote the manuscript. All authors discussed the results and 682 commented on the manuscript. 683

Notes

The authors declare no competing financial interest. 685

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