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## GEOLOGY

# Orographic evolution of northern Tibet shaped vegetation and plant diversity in eastern Asia

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The growth of the Tibetan Plateau throughout the past 66 million years has profoundly affected the Asian climate, but how this unparalleled orogenesis might have driven vegetation and plant diversity changes in eastern Asia is poorly understood. We approach this question by integrating modeling results and fossil data. We show that growth of north and northeastern Tibet affects vegetation and, crucially, plant diversity in eastern Asia by altering the monsoon system. This northern Tibetan orographic change induces a precipitation increase, especially in the dry (winter) season, resulting in a transition from deciduous broadleaf vegetation to evergreen broadleaf vegetation and plant diversity increases across southeastern Asia. Further quantifying the complexity of Tibetan orographic change is critical for understanding the finer details of Asian vegetation and plant diversity evolution.

## INTRODUCTION

Mountain uplift can markedly alter climate systems, potentially driving vegetation dynamics and species diversification, and may be fundamental for creating exceptional biodiversity (1). During the Cenozoic (the past 66 Ma), Tibetan orographic changes profoundly modified regional and global climate (2), potentially altering large-scale vegetation and biodiversity over Asia (3). This region of unparalleled Cenozoic orogenesis today hosts globally extraordinary biodiversity (4), making it ideal for exploring in more detail the links between orographic evolution, climate, vegetation, and biodiversity.

Rich sedimentary and fossil records indicate a broad arid climate zone extended east-west across China throughout the Paleogene (5, 6). This arid belt was superseded by a more humid zone during the Neogene, with some gradual drying toward the present (5, 6). Consequently, vegetation changed from deciduous broadleaf forest to evergreen broadleaf forest in southeastern Asia (fig. S1 and data S1), and the arid/semiarid vegetation belt retreated to the northwest of eastern Asia (fig. S2 and data S1) (5, 6). Recent phylogenetic advances also indicate that many plant lineages in eastern China only diversified after the start of the Miocene (~23 Ma), and modern plant diversity only became established there in the late Cenozoic (7, 8). Previous studies argue that the rise of the Tibetan Plateau intensified the Asia monsoon system (9–11) and potentially drove species diversification and vegetation change (3, 7, 8). However, because the history of Tibetan orogenic growth and by extension the Asian climate system is now known to be more complex than simple monolithic plateau uplift [Fig. 1; (11–13)], the underlying mech-

anisms of how Tibetan orogeny affected climate and associated vegetation/biodiversity changes in eastern Asia remain unresolved.

Numerical modeling is a powerful tool for exploring links between long-term orogenesis, climate change, and associated vegetation dynamics. However, classic climate sensitivity simulations treat Tibet as a single geological unit with no spatial complexity changing its height against a background of present- or paleogeography (14–17). Some studies have simulated complex regional uplift histories (10, 18), but very few modeling studies have directly examined the impact of Tibetan development on vegetation (19, 20) and none on biodiversity. Therefore, the links between the evolution of Tibetan topography and vegetation/biodiversity changes across eastern Asia are still poorly understood.

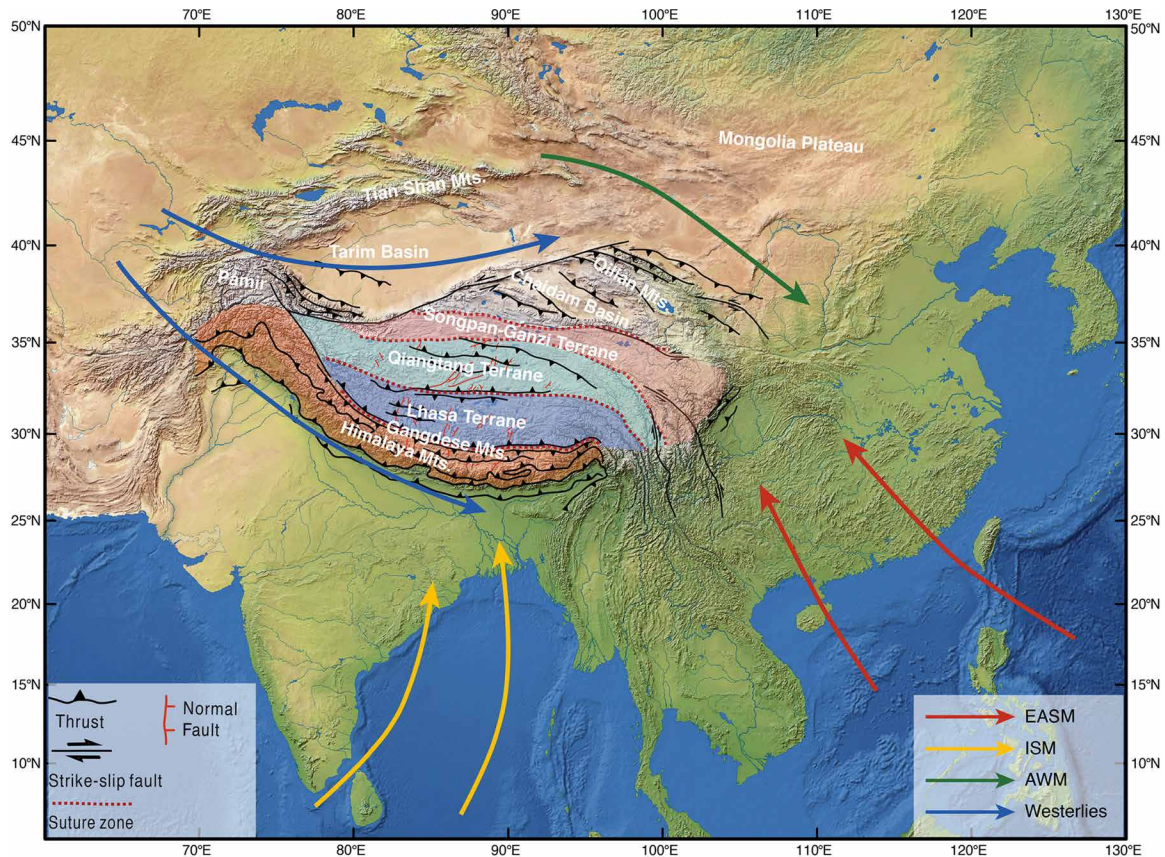
Three key issues are unsolved in this context: (i) In what ways did successive Tibetan orogenic events affect climate? (ii) Why did vegetation and biodiversity change in eastern Asia so markedly from the late Paleogene to early Neogene? (iii) How were these changes coupled to Tibetan orogeny?

We conduct 18 sensitivity experiments (fig. S3 and data S2) using different Tibetan topographies representing various late Paleogene to early Neogene conditions, which test almost all possible Tibetan orographic evolution scenarios (3, 12, 13, 21–23). We use the atmosphere model, HadAM3 [specifically HadAM3B-M2.1aD as described by Valdes *et al.* (24), with a resolution of 3.75° × 2.5° longitude and latitude], and use the Chattian baseline paleogeography [the Late Oligocene, 27.8 to 23.0 Ma, described by Lunt *et al.* (25)]. Using a fully coupled atmosphere-ocean general circulation model (GCM), Lunt *et al.* (19) have shown that the sea surface temperatures (SSTs) to the east and south of Asia are essentially insensitive to Tibetan orogeny, so ocean circulation variations caused by topographic changes can be excluded. Therefore, to focus on differentiating topography-induced climatic changes, we use the atmospheric HadAM3 model with prescribed SSTs from previous fully coupled atmosphere GCM simulations (11). We use the GCM to drive the Sheffield dynamic global vegetation model (SDGVM) (26) for vegetation simulations and the Jena Diversity-Dynamic Global Vegetation Model (JeDi-DGVM) (27) to explore plant diversity (represented by functional richness; see Materials and Methods). We select four simulations (see Supplementary Text for details)

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**Fig. 1. Modern major tectonic terranes and features of the Himalaya-Tibetan Plateau regions and Asian climate system.** From north to south Tibet comprises the Qilian, Kunlun-Qaidam, Songpan-Ganzi, Qiangtang, and Lhasa terranes (including the Gangdese Mountains) separated by suture zones, with the Himalaya to the south. The Asian climate system encompasses the East Asian summer monsoon (EASM), Indian summer monsoon (ISM), Asian winter monsoon (AWM), and westerlies.

for the purpose of comparing the simulated SDGVM results (fig. S4) with paleobotanical data (fig. S1 and data S1) (5, 6) to examine the consequences of orographic development in key parts of Tibet (Fig. 1).

## RESULTS AND DISCUSSION

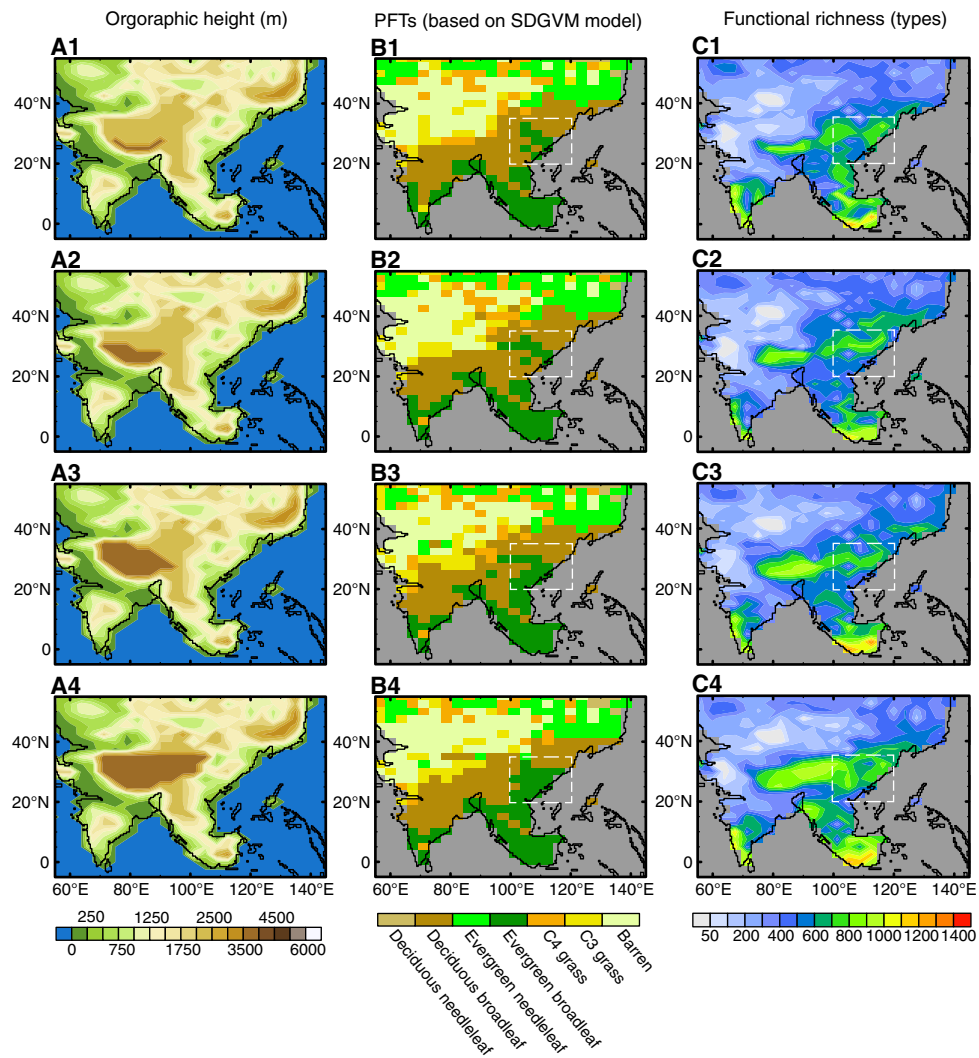
Our results reveal a fundamental linkage between Tibetan orographic evolution, climate, and the development of vegetation/plant diversity in eastern Asia (here, we mainly focus on China because of its rich Cenozoic fossil record). The simulated SDGVM results show when the Gangdese Mountains and Lhasa Terrane were high (Fig. 2, A1 and A2, and fig. S3, J and K), deciduous broadleaf forests covered large areas of eastern Asia, and evergreen broadleaf taxa occurred only sparsely (Fig. 2, B1 and B2, and fig. S4, J and K). This outcome is similar to the simulated SDGVM results for low Tibet scenarios (fig. S4, B to D and G). Under these conditions, plant diversity remained quite low in eastern Asia (Fig. 2, C1 and C2). By contrast, when northern (Qiangtang) and northeastern (Songpan-Ganzi) terranes (Fig. 2, A3 and A4, and fig. S3, L to O) were elevated, vegetation changed markedly from deciduous broadleaf to evergreen broadleaf across a large region of eastern Asia (around 20°N to 35°N; Fig. 2, B3 and B4, and fig. S4, L to O). Functional richness increased correspondingly in southeastern Tibet and southeastern Asia when Tibet grew northeastward (Fig. 2, C1 to C4). Specifically, the rise of the Songpan-Ganzi Terrane produced the greatest plant diversity increase in east China (Fig. 2, C4) and an eastern Asia plant diversity pattern

resembling that of today (4). Note that when the central part of Tibet is elevated above 3000 m (fig. S3, E, F, H, and I), a threshold-like transition from deciduous broadleaf to evergreen broadleaf vegetation presented in southeastern Asia (fig. S4, E, F, H, and I).

The simulated vegetation and plant diversity change patterns resemble closely fossil, geologic, and phylogenetic data (figs. S1 and S2, and data S1). The reconstructed vegetation types as defined in the SDGVM derived from pollen and megafossil records indicate deciduous broadleaf vegetation types covered large areas of southeastern Asia during the Paleogene, while the evergreen broadleaf vegetation dominated across southeastern Asia in the Neogene (fig. S1). In the northeast China, the reconstructed vegetation maps show the dominant vegetation was deciduous broadleaf type so broadly consistent with the simulated results. Although large areas of evergreen broadleaf vegetation appeared in the Paleocene in northeast China (fig. S1A), this is not present in the low Tibet simulations (fig. S4, B to D and G). In the northwest part of eastern Asia, paleobotanical records show that vegetation was mainly composed with a mixed grassland and deciduous broadleaf types (fig. S1), generally matching the simulations (fig. S4).

Figure S2 indicates a latitude-parallel broad expanse of arid or semiarid vegetation extending across China during the Paleogene, while in the Neogene eastern China hosted humid forests (5, 6). Because precipitation is a key factor determining the growth and, particularly, the phenology of plants and the distribution of vegetation in middle and low latitudes of east Asia (~20°N to 35°N) (28), deciduous





**Fig. 2. Four different Tibetan topographic conditions, simulated vegetation and plant diversity.** Paleotopographies (data S2) are shown in (A1) to (A4). (B1) to (B4) show simulated vegetation results from the SDGVM. (C1) to (C4) show simulated plant diversity results from the JeDi-DGVM. The white dashed boxes indicate the East Asia region (100°E to 120°E, 20°N to 35°N) discussed in the main text. The brown arc in A1 represents the Gangdese Mountains, which existed before the rise of the Himalaya (21). See Supplementary Text for more details for the selection of four simulations.

broadleaf forest can be reasonably treated as arid/semiarid vegetation type and the predominance of evergreen broadleaf plants as reflecting humid/semi-humid conditions in southeastern Asia. However, in northeast China, the winter temperature is more important than precipitation for plants, and cold plays an important role in determining whether leaves are retained year-round or not (28). In that region, deciduous broadleaf forests normally represent humid/semihumid vegetation types, but where frozen soils limit plant access to water in winter and as indicated by fossil records (5, 6) and present climate conditions. On the basis of the above discussion, the present results reveal that the two different reconstructed vegetation results (figs. S1 and S2) are generally consistent. Sedimentary records similarly show the environment of eastern China changed across the Paleogene to Neogene transition from arid as indicated by red beds and evaporites, including gypsum, halite, and glauberite to humid as represented by oil shales and coals (5, 6). Recent advances in phylogenetic reconstructions have indicated that many

plant taxa (including numerous essential elements in subtropical evergreen broadleaf forests such as Fagaceae, Theaceae, Magnoliaceae, and Lauraceae) in eastern China diversified after the Oligocene/Miocene boundary, and modern plant diversity arose in the late Cenozoic (7, 8, 29). This phylogenetic pattern generally agrees with the simulated plant diversity changes showing that the plant functional types increased progressively as the Tibet developed northeastward (Fig. 2, C1 to C4).

These Neogene changes in diversification and vegetation modernization in eastern Asia contrast with a growing body of evidence that shows earlier changes in southwestern China. Previous studies (5–8) have argued that vegetation and biodiversity changes were simply driven by changes in the summer monsoon caused by the unified rise of Tibet at the Oligocene/Miocene boundary. However, some parts of the Tibetan region were already high before the Oligocene (12, 13, 21, 23, 30, 31), and it is in some parts of southwestern China that we see vegetation and biodiversity patterns

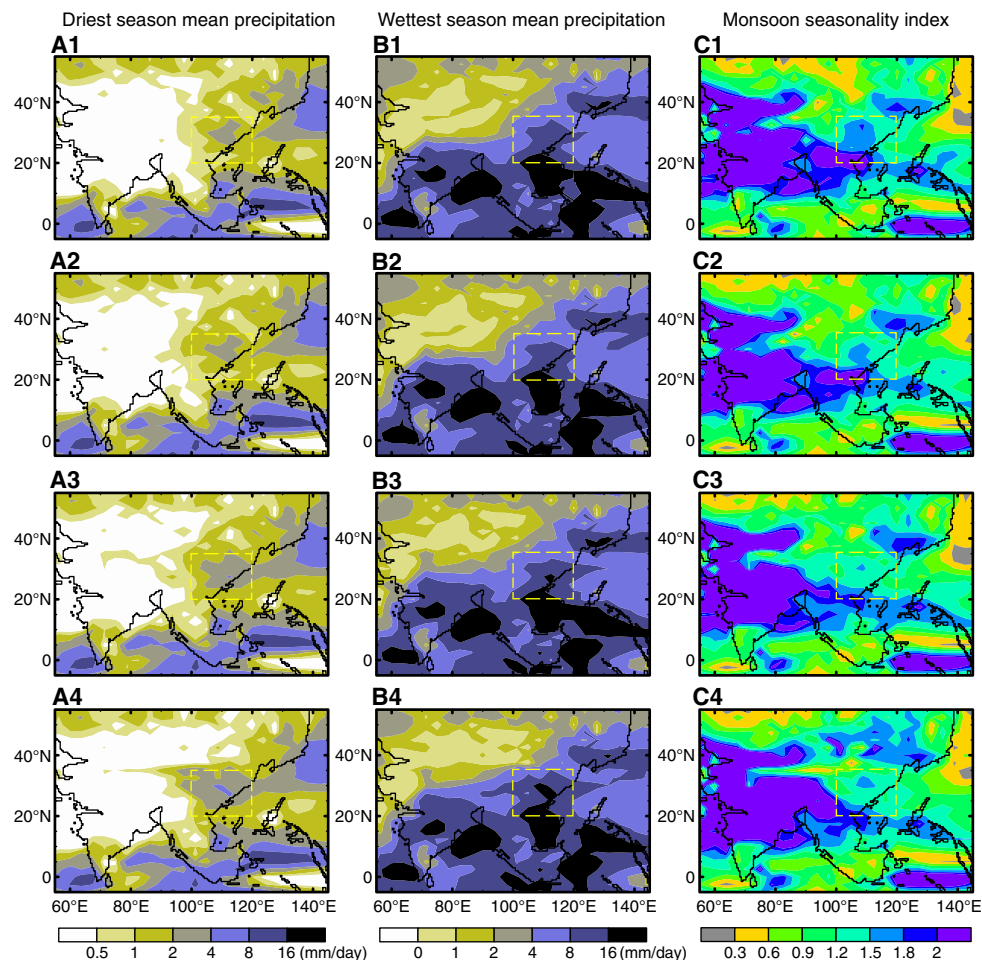
(Fig. 2, B1, B2, C1, and C2) modernizing before the end of the Paleogene (32).

The key driver of the transition from deciduous broadleaf to evergreen broadleaf vegetation in eastern Asia is the dry season precipitation. The driest season [December, January, and February (DJF), winter] and wettest season [June, July, and August (JJA), summer] precipitation increased as northern Tibet rose (Fig. 3). The largest percentage increase is in the dry season, resulting in a generally decrease in monsoon seasonality index (MSI) (see Materials and Methods; fig. S5), although MSI slightly increased when southern Tibet rose comparing to the Gangdese mountains uplifted simulation. Temperatures altered very little in eastern Asia as northern Tibet rose (fig. S6), so compared to precipitation, temperature seems contribute very little to vegetation and plant diversity change. The above analysis indicates that, although summer precipitation changes may have contributed somewhat, winter precipitation increase was the most important factor in determining substantial changes in vegetation and plant diversity in eastern Asia.

In some parts of the north Indochina Peninsula (10°N to 20°N), the simulated results show dry winter precipitation (Fig. 3, A1 to A4) but evergreen broadleaf forests (Fig. 2, B1 to B4), especially

in the northwest region around the Sundarbans delta. This region indicates higher soil moisture in deep layers (40 to 200 cm) for both low and high Tibetan experiments, even in the dry season, compared to southeast Asia with similar dry winter conditions (fig. S7). The low elevation of this delta generally means saturated soils year round. Because deep soil moisture is vital for evergreen forest transpiration during the dry season in tropical monsoon Asia, it is an important process imbedded in SDGVM (27); therefore, the simulated evergreen broadleaf forest was promoted because of high deep soil moisture predicted in the climate simulations. Other factors such as vapor pressure deficit and relative humid conditions could also have contributed to the difference of simulated vegetation types under the similar precipitation conditions.

The critical role of winter precipitation for determining subtropical vegetation in eastern Asia is further supported by physiological and paleobotanical studies (33, 34). Most of the evergreen broadleaf species produce desiccation-sensitive (recalcitrant) seeds (33). Many of these species cannot survive a severe/prolonged dry season (33). For example, most species of evergreen oak (*Quercus* subsection *Cyclobalanopsis*), a dominant component in tropical and subtropical Asia, produce desiccation-sensitive seeds (34). Precipitation during the dry season



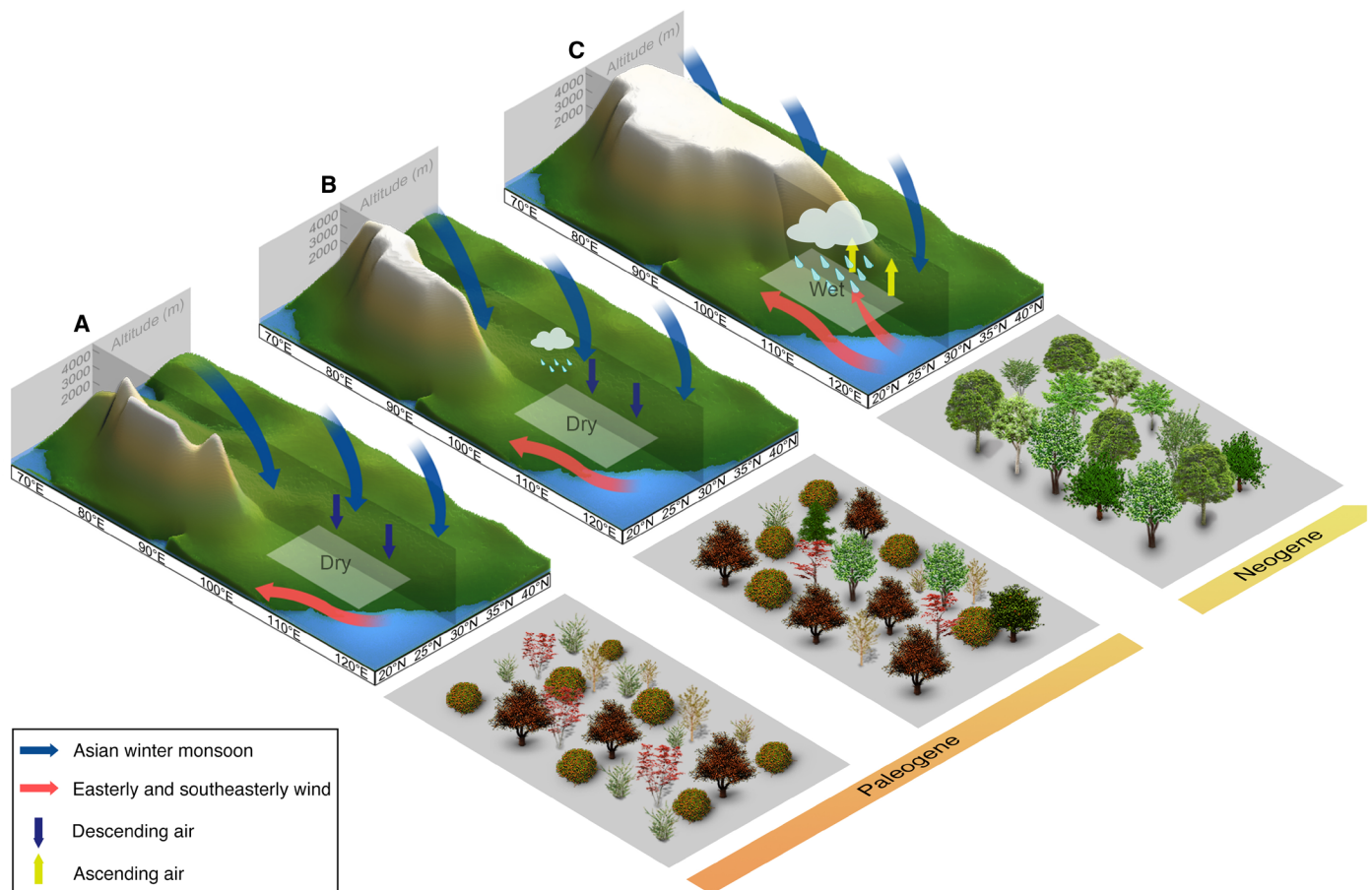
**Fig. 3. Simulated precipitation results from selected four experiments with different Tibetan Plateau topographies.** Panels (A1) to (A4), (B1) to (B4), and (C1) to (C4) show driest season (DJF), wettest season (JJA) precipitation, and monsoon seasonality index (see Materials and Methods for the definition) for different experiments, respectively. The yellow color dashed boxes indicate the East Asia region (100°E to 120°E, 20°N to 35°N) discussed in the main text. See Supplementary Text for more details for the selection of four simulations.

thus plays a critical role in determining the distribution of these desiccation sensitive taxa. The fossil floral data also indicate a large region of south China was exceptionally warm and humid in winter during the Miocene (5). This provided a favorable climate for evergreen broadleaf forests and, leading their expansion during the Miocene.

The mechanism that increased winter precipitation is a relatively simple one in which the East Asia winter monsoon winds (the eastward and southward cold air flows caused by Siberia-Mongolia high-pressure systems) were deflected by the topography of northern Tibet (Fig. 4). Mean sea level pressure decreased significantly over the northwest of China (fig. S8) as northern Tibet rose, allowing the southerly winds to invade the northern part of eastern Asia (fig. S9, A, C, and E). Meanwhile, the vertical velocity (mean value of 80°E to 110°E) in winter around latitudes of ~30°N changed from positive to negative values as northern Tibet rose, indicating that ascending air can reach to higher levels in the atmosphere (around 250 hPa; fig. S10, A and C). The convergent flows and upward movement can, therefore, substantially enhance moisture supply in winter. When Tibet rose, summer southeasterly winds increased over southeastern Asia (fig. S9, B, D, and F), and the vertical velocity decreased relatively around 20°N to 35°N (fig. S10, B and D), implying that the intertropical convergence zone moved further north. This intensifies the summer monsoon due to the strengthen-

ing of the differential heating of land and ocean produced by high topography in the Tibetan region (35). These effects thus generated relatively strong ascending air, increasing summer rainfall in this region.

The vast majority of previous modeling studies have focused on sensitivity tests associated with present day geographies, and this may lead to a misleading interpretation for past changes. This is because we have shown that the mechanism is related to the interaction between Tibet and the circulation, and both are potentially shifted in latitude compared to the modern. Even a small change in latitude could shift patterns, and hence, modern sensitivity tests may have limited value for interpreting past changes. Existing modeling has shown that a unitary rise of Tibet generally brings wetter summers to southeast Asia due to an intensified summer monsoon (9–11, 14, 15, 36), although most modeling works mainly focus on drying trends in the Asian interior (10, 14, 18, 36). However, these kinds of simulations have produced variable results with regard to the winter monsoon. An earlier atmospheric GCM experiment produced a winter monsoon over eastern Asia as a unitary Tibet rose, but winter precipitation changed very little in southeastern China (36). An *et al.* (10) showed that the growth of the northern and eastern margins of Tibet enhanced winter monsoon rains in East Asia and produced a dry climate in central Asia, but there was no evidence of



**Fig. 4. Simplified Tibet uplift stages, climate, and vegetation changes from the Paleogene to Neogene.** (A and B) When the Gangdese Mountains and Lhasa Terrane uplifted, respectively, the strong Asian winter monsoon caused dry climate, producing deciduous broadleaf forest and shrub in eastern Asia. (C) When the Qiangtang and Songpan-Ganzi terranes uplifted, the weak Asian winter monsoon and invaded southeasterly winds enhanced winter precipitations, resulting in evergreen broadleaf forest in southeastern Asia. The topographies of (A) to (C) are modified from Fig. 2 (A1, A2, and A4, respectively).



change in southeastern China. Zhang *et al.* (18) conducted eight experiments based on modern, not paleo-, geography using the National Center for Atmospheric Research Community Atmosphere Model (Community Atmosphere Model 4). The results indicated rises of central-southern and northern Tibet increased the Siberian High and thus enhanced East Asian winter monsoon, causing drier winters in eastern Asia.

While this result conflicts with our findings, other modeling experiments support our results. Kutzbach *et al.* (14) conducted sensitivity experiments with three different Tibetan Plateau topographies using the Community Climate Model, showing winter precipitation increased to the south and east of the plateau when the Tibet was elevated (14). In different experiments, the same model produced wetter winters along the east Asian coast when there was plateau uplift in southern Asia and the American West (37). Recently, Sha *et al.* (38) applied the same model as Zhang *et al.* (18) but at higher resolution. Their results demonstrated that, with an elevated Tibet, East Asian winter monsoon increased in northern China but decreased in southeastern China, inducing substantial winter precipitation increase over southeastern Asia (38). Zou *et al.* (17) also showed winter monsoon changes similar to our results, although they use a very similar model. The notable strengthening of the southerly winds and increasing of precipitation in dry season in southeastern China is consistent with our results. The disparities between models need to be explored in future works but using real paleogeographies rather than interpreting present day sensitivity tests.

It is not just the elevation of Tibet that influences Asian climate. Other orographic highs to the north and west of present Tibetan Plateau, such as the Tian Shan Mountains, the Pamir Plateau, and the Mongolia Plateau experienced substantial topographic change during the Cenozoic (39–42). These topographic changes could exert prominent climate effects on eastern Asia. A recent sensitivity experiment indicated that when the Tian Shan Mountains and the Pamir Plateau were elevated, the East Asian winter monsoon intensified (38). There was obvious strengthening of northerly winds and reduction of precipitation in northeastern China, but southern China showed little change (38). A rise of Mongolia slightly increased the winter monsoon, but the vertical velocities changed little in southeastern China (43). Overall, uplift of the Tian Shan mountains, the Pamir Plateau, and the Mongolia Plateau can intensify the East Asian winter monsoon in northeastern Asia, but the climate effects across southeastern Asia are weak. These topographic changes cannot, therefore, be the main factor driving the increase in winter precipitation in southeastern China (38, 43–45).

Our results imply that the topographic growth in northern Tibet has greater vegetation and plant diversity impacts than rises in the south. Any rise of the north and northeastern parts of Tibet causes a weakening of the winter monsoon, resulting in much more prominent increased winter precipitation over eastern Asia, a sharp transition to a more humid climate, major changes in vegetation patterns, and an increase in plant diversity (Fig. 4). These changes are seen in fossil records near the end of the Paleogene. We infer that, although southern Tibet was already high long before the Oligocene, the rise of northern and eastern Tibet was ongoing throughout the Paleogene–Neogene transition as evidenced by recent fossil data (22, 46–48), and there is a strong case for revisiting existing stable isotope paleoaltimetry due to the complexity of fractionation that must have resulted from air parcel trajectory changes during the piecemeal growth of Tibet (13, 49). Our research highlights that, when using

climate models to evaluate proxy data and the history of Tibet, it is important to use realistic representations of the complex Tibetan orographic history and not treat Tibet as a simple monolithic plateau.

## MATERIALS AND METHODS

### Cenozoic vegetation maps of China

We reconstruct two different Cenozoic vegetation maps based on paleobotanical data in China. The first reconstructed vegetation map (fig. S1) is derived from the primary literatures and reported fossil taxa, interpreted according to the SDGVM criteria (26). For instance, the deciduous broadleaf and shrub, deciduous broadleaf mixed with conifers, deciduous broadleaf mixed with evergreen broadleaf, etc., are mainly composed of deciduous broadleaf taxa. In most cases, we assign these floras to deciduous broadleaf types. However, the evergreen broadleaf taxa mixed with deciduous broadleaf taxa, evergreen broadleaf mixed with deciduous broadleaf and shrub taxa, evergreen broadleaf mixed with shrub and grass taxa, etc., normally indicate a large portion of evergreen broadleaf taxa in the floras. In these cases, we assign these floras to evergreen broadleaf dominated floras.

The second reconstructed map shows the boundaries between arid and humid conditions. The arid, semi-arid, humid, and semi-humid vegetation types are derived from the primary literatures based on the fossil taxa as interpreted by Sun and Wang (5) and sedimentation data. These interpretations are well respected. For instance, if *Ephedripites* pollen grains exceed 15%, then the palynological assemblage may indicate arid or semiarid environments. Other taxa—such as *Nitraria*, *Artemisia*, Asteraceae, Chenopodiaceae, Poaceae, Zygophyllaceae, etc.—generally imply open vegetation and a dry climate (5). The presence of megafossils, such as leaves, representing *Palibinia* also always indicates arid and semiarid climates (5). Therefore, we can assign the vegetation types according to these xerophytic plants in fossil floras.

### HadAM3 experiments

The UK Hadley Centre Climate Model [HadAM3B-M2.1aD, using the nomenclature of Valdes *et al.* (24)] is used for these paleogeographic sensitivity simulations. The model resolution is  $3.75^\circ \times 2.5^\circ$  for longitude and latitude and 19 levels in the vertical. We conduct 18 paleogeography sensitivity experiments to investigate the detailed role of Tibetan orogeny on climate using the control run. The SSTs, topography, geography, and land-sea boundary conditions are prescribed as in previous Chattian simulations (50). All the simulations are run for 100 years and reached equilibrium. The last 30 years of simulated data are extracted for climate analysis and vegetation/biodiversity simulations. The prescribed partial pressure of CO<sub>2</sub> (PCO<sub>2</sub>) for all the simulations is 560 parts per million by volume (ppmv) (51).

Although ocean-atmosphere-coupled GCM models can fully explore ocean and atmosphere feedbacks, the uncoupled model tests large-scale climate affected by different topographies. A previous work using a general circulation model (UK Met Office GCM, HadCM3L) (19) has shown that Tibetan uplift had relatively minor impacts on the SSTs in the South China Sea, western Pacific, and Indian Ocean; thus, the ocean circulation caused by topographic changes can be excluded in the simulations, which allows us to focus on the effects of Tibetan orographic development. Other factors

such as the Paratethys Sea retreat, the land-sea boundary changes, vegetation dynamics, and CO<sub>2</sub> concentration changes are not evaluated here. The Chattian geography, topography, and ice sheets are derived from the Getech Group plc Paleogeographies collected from numerous geologic studies (25).

### Topographic boundary conditions

We conduct 18 sensitive experiments on different Tibet uplift topographies from the late Paleogene to early Neogene based on recent geological and fossil data (fig. S3 and data S2) (12, 13, 21–23, 52, 53). Several theories have been proposed to explain Tibetan Plateau development history including: (i) the whole “soft Tibet” markedly rose above its present altitude (30, 54, 55), (ii) outward growth from a higher proto-Tibetan upland (23, 56, 57) or existence of an east-west trending Tibetan central valley system (22), and (iii) Tibetan uplift progressed stepwise northeastward (12, 52, 53). Although there is still debate about the orographic history of Tibet, most of the recent studies agree that Tibetan terranes separated by the suture zones from north to south were uplifted asynchronously. The core of Tibet including the Lhasa, Qiangtang, and Songpan-Ganzi terranes likely uplifted early during the Paleogene and early Neogene. Other parts of Tibet and surrounding regions including the Himalaya, Kunlun-Qaidam basin, and the Qilian Mountains likely uplifted during the late Neogene (12, 52, 53, 58). The Gangdese Mountains reached an elevation of 4500 m in the Paleocene-Eocene (21); therefore, we also consider high elevation of the Gangdese Mountains, to explore the impacts of high Gangdese Mountains on climate and vegetation/biodiversity.

We change the Tibetan region (71.25°E to 105°E, 20°N to 40°N) to different topographies based on a Chattian orography (provided by Getech Group plc) (25). We set up different Tibetan Plateau regions based on constituent Tibetan terranes (3, 12, 13, 21–23). To reconstruct roughly comparable range of different Tibetan regions for the late Oligocene and present, the locations of different terranes are transferred to the Chattian paleogeographic framework based on the modern coordinates using the Getech Group plc plate model (25). The site 160 (see data S1) is regarded as from the India Plate in the Getech Group plc plate model, so we correct the paleocoordinates of this site using the gplates model (<http://portal.gplates.org>).

### The SDGVM

The SDGVM is used to assess relative importance of climate (e.g., temperature and precipitation) with forcings derived from different model simulations and predicts plant functional types (26). The input variables for the SDGVM including monthly temperature, precipitation, relative humidity, cloudiness data, and prescribed soil texture are derived from 18 sensitivity simulations. Seven plant functional types are designed in the SDGVM model, including barren (bare ground or desert), C3 grasses and shrubs, C4 grassland, evergreen broadleaf trees, evergreen needleleaf trees, deciduous broadleaf trees, and deciduous needleleaf trees (26).

### The JeDi-DGVM

The JeDi-DGVM is a recently developed plant traits-based vegetation/plant diversity model (27). The plant diversity can be represented by simulated functional richness, which is defined as the value of surviving growth strategies in a simulated grid divided by the maximum value of surviving growth strategies in simulated grids (27).

The input variables (derived from four selected simulations) include unit plant available water capacity, temperature, net longwave radiation at the surface, downward shortwave radiation at the surface, and daily total precipitation (27).

### Validation of the SDGVM and JeDi-DGVM

We simulate the SDGVM and JeDi-DGVM results based on preindustrial conditions using a coupled atmosphere-ocean general circulation model [HadCM3, using the nomenclature of Valdes *et al.* (24); see the details in data S2]. We compare the simulated results with modern vegetation derived from terrestrial ecoregions of the world (59) and native vascular plant diversity derived from Ellis *et al.* (60). The results show the simulated SDGVM is generally consistent with the observed SDGVM in Asia (fig. S11). The south part of eastern Asia is mainly covered by evergreen broadleaf forest, while the north part is covered by deciduous broadleaf forest. The evergreen broadleaf forests in the south Himalaya Mountains are not presented by the model, which could be due to the low resolution of the model, which cannot detect the steep topography of this narrow range. The simulated evergreen broadleaf area in the preindustrial simulation (fig. S11B) in eastern Asia is smaller than that in the experiments with high plateau (fig. S4, E, F, H, I, and O to R). This could be due to the very different boundary conditions between the late Oligocene and present. The prescribed PCO<sub>2</sub> for the late Oligocene simulations is 560 ppmv, while for the preindustrial, it is 280 ppmv (data S2). The low CO<sub>2</sub> simulations could result in low temperature and precipitation in southeastern Asia (11), consequently, reduce distribution of the evergreen broadleaf forest in this region. Other factors such as the relative positions of the continents, oceanic ridges, and mountains and the condition of ice sheets are also very different. These differences can fundamentally perturb the atmosphere and ocean circulation, and thus global energy fluxes, which may produce very different climate and vegetation results in East Asia.

To make a clearer comparison, we normalize both modern plant diversity and simulated plant diversity to [0 to 1] by dividing the maximum plant diversity value, respectively. The simulated plant functional diversity of preindustrial conditions basically agrees with the observed data (fig. S12). Both modeling results and observed data show increasing trend of plant diversity from north to south of Asia, although there is a difference in some regions. Pavlick *et al.* (27) point that the simulated functional richness is significantly ( $R^2 = 0.71$ ) correlated with observed plant species richness, confirming that the JeDi-DGVM simulated plant diversity is reliable. In southeastern Asia and northern Asia, the observed plant diversity is lower than the simulated one, which may be because the highest value in the observed one is particularly high in other regions, resulting a relatively low scaled value in these regions. In the south Himalaya Mountains and India peninsula, the simulated result and observed data are not matched well that may be due to the low resolution of the model.

### The monsoon seasonality index

The MSI is defined as the difference between local summer and local winter precipitation (i.e., JJA minus DJF precipitation in the northern hemisphere and DJF minus JJA in the southern hemisphere).

### SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <http://advances.sciencemag.org/cgi/content/full/7/5/eabc7741/DC1>



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