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1 Intake fractions for volatile organic compounds in two occupied 2 California residences

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20 **ABSTRACT**

21 Experimental estimates of residential intake fractions for indoor volatile organic compound
22 (VOC) releases are scarce. We evaluated individual intake fractions (iF_i , mass inhaled by an
23 individual per unit mass emitted) using ~five months of time-resolved VOC measurements
24 acquired at two residences. First, we directly estimated iF_i using inert tracer gases that were
25 released at fixed rates. Tracer gas iF_i values were generally consistent between occupants and
26 comparable across seasons. Furthermore, iF_i for sources released on different floors of a
27 residence were statistically indistinguishable, suggesting that source location within the living
28 space was not strongly influential. Emissions from living space sources ($iF_i \sim 0.3\% = 3000$ ppm)
29 contributed to occupant exposures at rates 2–4 times higher than crawl space sources ($iF_i \sim 1000$
30 ppm) and >40 times higher than attic sources ($iF_i < \sim 70$ ppm). Second, we indirectly estimated
31 iF_i for 251 VOCs using net emission rates estimated by indoor-outdoor material balance.
32 Although emission patterns varied between compounds, all VOC-specific iF_i estimates were
33 clustered near the values of the living space tracer gases. These experimental observations
34 substantiate the theoretical expectation that iF_i values are largely independent of analyte
35 characteristics, a useful simplification for exposure assessments.

36 INTRODUCTION

37 Mitigation strategies for limiting adverse health effects of air pollution often focus on
38 identifying the specific sources that contribute the most to exposures.^{1,2} One metric that can
39 assist in such efforts is the intake fraction (iF), defined as a ratio: pollutant intake by a population
40 (by inhalation, ingestion, or dermal absorption) normalized by total pollutant emissions from a
41 given source or source category.³ Intake fractions for air pollutants can vary by orders of
42 magnitude.^{4,5} Typical intake fraction values for outdoor pollutant releases range from half of a
43 ppm (i.e., 0.5 μg pollutant inhaled per g emitted) to a few hundred ppm.⁴ Typical values for
44 indoor pollutant releases are much larger, ranging from hundreds of ppm to a few percent (1% =
45 10,000 ppm). These high intake fractions for indoor releases mainly reflect the much lower rate
46 of per-occupant pollutant removal by ventilation indoors as compared with the effective per-
47 capita rate of pollutant transport by wind outdoors.⁶

48 Various forms of the intake fraction concept have been used in life cycle assessments
49 (LCAs) to enable rapid evaluations of the magnitude of human exposure to pollutants in
50 consumer and commercial products.⁷⁻¹⁴ Indoor intake fractions have been estimated using
51 material balance models.^{5,6,14,15} For volatile organic compounds (VOCs), these models indicate
52 that intake fractions are principally controlled by air-change rates and by occupant behaviors.^{5,6}
53 Empirical determinations of VOC intake fractions are scarce. One study of this type calculated
54 intake fractions from surveys of air-change rates and activity pattern surveys¹⁶ that assess time
55 spent at home.¹⁷ A critical untested conclusion of prior studies is that intake fractions of VOCs
56 are independent of analyte temporal behavior, such as whether emissions are baseline dominated
57 or spike dominated, and physical properties such as vapor pressure. While a few studies have
58 utilized time-resolved experimental measurements to evaluate particulate matter intake

59 fractions,¹⁸⁻²⁰ we were unable to identify any such experimental studies for VOC intake fractions
60 in residences.

61 In this study, we report individual intake fractions (iF_i) via inhalation in two normally
62 occupied residences in northern California as determined over three measurement campaigns.
63 Intake fractions were assessed using chemical measurements from an online fast-response mass
64 spectrometer, time-activity budgets from daily logs, and assumed standard breathing rates. To
65 assess the importance of source location for non-reactive VOCs, we report iF_i for inert tracer
66 gases that were released continuously at fixed rates in the living space, crawl space, and attic at
67 each residence. To assess iF_i variability among diverse sources with different temporal behaviors
68 and physical properties, we also report iF_i for 251 distinct VOCs sampled across three field
69 campaigns using time-resolved emissions data derived by material balance. This study expands
70 upon prior reports of speciated VOC emissions²¹ (H1 only) and indoor exposures (H1 and H2).²²

71 MATERIALS AND METHODS

72 **Site description:** The H1 and H2 monitoring campaigns have been extensively
73 described;²¹⁻²⁴ a brief summary is provided here. Detailed measurements of airborne organic
74 compounds and particulate matter were acquired at two normally occupied California residences
75 in the East Bay region of the San Francisco Bay Area over three monitoring campaigns (H1
76 summer, H1 winter, H2 winter). The residences are single-family, wood-frame houses built in
77 the late 1930s (H1) and early 1950s (H2) with approximately 180 m² of floor area each. The H1
78 site was a two-level residence, whereas the H2 site was a single-story house. The H1 and H2
79 residences were respectively occupied by two persons (adults designated H1M1 and H1F1) and
80 four persons (two adults designated H2M1 and H2F1, a teenager, and an adult present only for a
81 fraction of the campaign). During the campaigns, residents were encouraged to maintain their
82 regular behavioral patterns with regard to indoor activities. Individual intake fractions were
83 assessed for each of the two main adult occupants in each residence.

84 **Study design:** This work focuses on VOC measurements acquired by a proton-transfer
85 reaction time-of-flight mass spectrometer (PTR-ToF-MS)²¹⁻²³ and daily occupant activity logs.
86 At each residence, VOC concentrations were monitored at one outdoor and five indoor locations
87 on a 5-minute rotating sample cycle yielding measurements at six locations once every 30
88 minutes. The five indoor locations were selected to characterize both the general living space
89 (kitchen, bedroom hallway; living room for H2 only) as well as coupled unoccupied spaces
90 (crawl space, attic; basement for H1 only). The H1 residence is constructed on two levels, with a
91 kitchen, living room, and dining room situated toward the front (lower level) and a half flight of
92 stairs connecting three bedrooms and two baths toward the back (upper level). The H1 basement
93 is a single room beneath one bedroom. The remainder of the house has a crawl space beneath the

94 floor. Both the H1 and H2 residences contain an attic above the main living space. The H1 and
95 H2 floorplans with PTR-ToF-MS sampling locations have been reported previously.^{22,23} Daily
96 logs yielded basic time-activity information (‘awake’, ‘asleep’, ‘away’) with roughly 5-minute
97 time resolution for each occupant.

98 Three non-reactive deuterated VOCs, propene-d6, propene-d3, and butene-d3 (“tracer
99 gases”), were simultaneously released at fixed emission rates at different locations within the
100 residences. The release of tracer gases served 1) to estimate time-resolved ventilation rates and
101 indoor airflow patterns and 2) to simulate the release of continuous indoor sources in different
102 indoor zones. Two tracer gas release schemes were used over the three monitoring campaigns. In
103 the first scheme, the three tracer gases were emitted into the crawl space, the living space, and
104 the attic at the H1 and H2 site to study air-change rates and interzonal flows throughout the
105 entire residence. In the second scheme, the tracer gases were deployed in the crawl space, lower
106 living space, and upper living space (H1) or the crawl space, kitchen and living room (H2) to
107 investigate interzonal transport and mixing within coupled living spaces.

108 **Analysis and calculations:** In this work, we assess the individual intake fraction (iF_i),
109 i.e. the ratio of pollutant mass inhaled by a single occupant to pollutant mass emitted. Inhalation
110 masses were estimated using chemical measurements from an online fast-response mass
111 spectrometer (30-minute time resolution), time-activity budgets from daily logs (5-minute time
112 resolution), and age-bracket-specific standard breathing rates taken from the United States
113 Environmental Protection Agency Exposure Factors Handbook.²⁶

114 Emitted masses were determined using both direct and indirect experimental designs.
115 First, we use the known emission rates of tracer gases as “direct estimates.” These can be
116 interpreted as surrogates for general inert emission sources released at constant rates in the living

117 space, crawl space, and attic of the respective residences. Based on the direct estimate approach,
118 we report iF_i estimates with daily time resolution in this study. Second, we use a material balance
119 model²¹ to determine net indoor VOC emissions for 251 compounds with different temporal
120 behavior and physical properties at 2-hour time resolution as “indirect estimates.” A
121 representative subset of compounds spanning orders-of-magnitude in vapor pressure is reported
122 in Table S1. We report campaign-average iF_i values in this study design. A limitation of both
123 study designs is that concentrations were measured in stationary locations as opposed to human
124 breathing zones. As such, proximity effects, especially during occupant activities such as
125 cooking, may produce actual individual intake fractions that are underestimated for some
126 compounds.²⁵ The magnitude of the proximity effect is expected to be unimportant for
127 continuously released sources due to efficient mixing in the residence living space.²³ A detailed
128 description of our approach is reported in the supporting information.

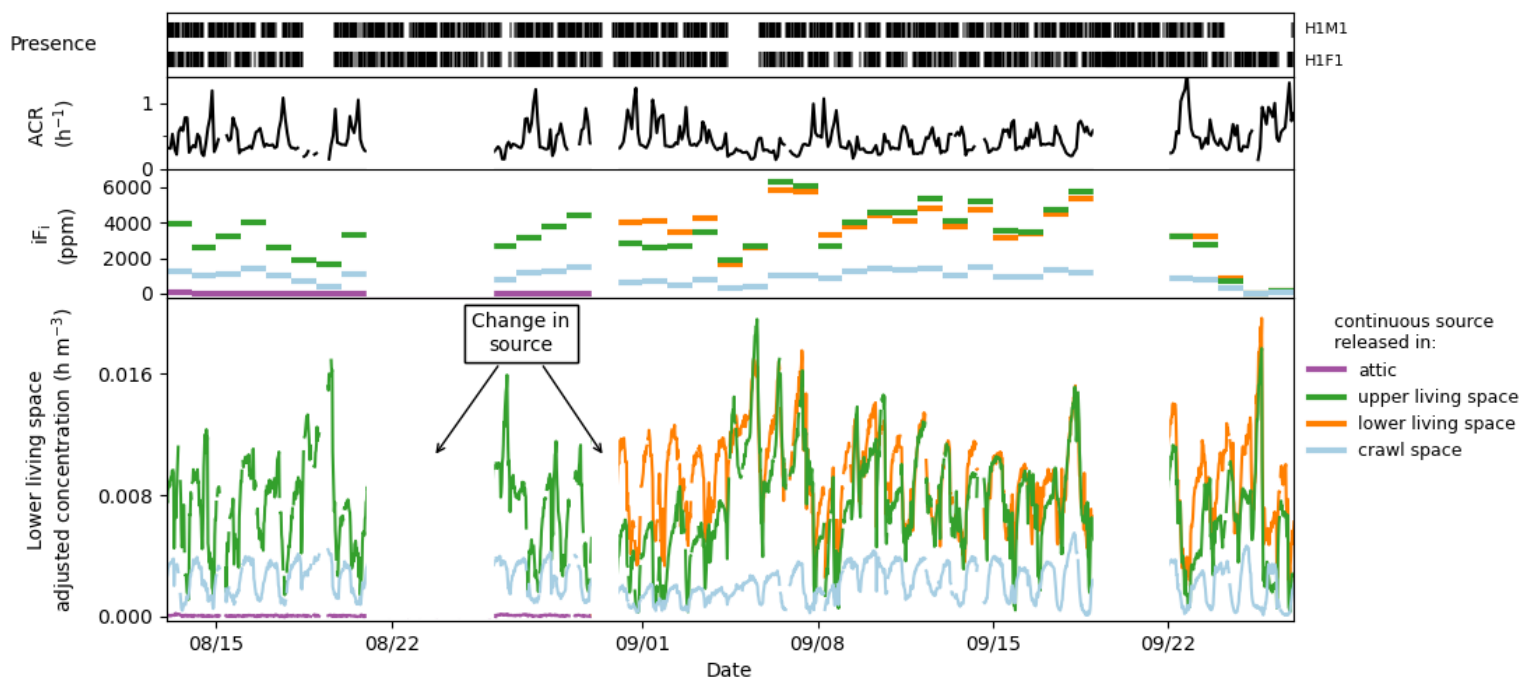
129 RESULTS AND DISCUSSION

130 We report iF_i values for the H1 summer, H1 winter, and H2 winter monitoring campaigns
131 (Figs. 1, S1, S2). For each campaign, we report direct estimates of iF_i for tracer gases that were
132 released at fixed rates in the living space, crawl space, or attic of the studied residences (Table
133 1). These values represent direct iF_i estimates for continuously released sources and can be
134 interpreted as proxies for a generic source release in those locations. We also estimated indoor
135 emission rates for the 251 unique VOCs observed over the three monitoring campaigns. Using
136 these emissions data, we report campaign-averaged indirect iF_i estimates for all 251 VOCs
137 (Figure 2).

138 **Direct individual intake fraction (iF_i) estimates via controlled emissions.**

139 Concentration time series of tracer sources, daily iF_i values, and time-resolved air-change rates
140 are shown in Figure 1 for the H1M1 occupant during the H1 summer campaign. Similar data are
141 shown for the H1 winter and H2 winter seasons in the SI (Figs. S1, S2). Changes in the
142 concentration time series of the inert tracer gases are primarily associated with ventilation
143 patterns, which are influenced by interzonal flows and the living zone air-change rate. The air-
144 change rate is influenced by the extent to which doors and windows are open, indoor-outdoor
145 temperature differences, and outdoor wind speed.²³ In the summer, a diurnal pattern is observed
146 with enhanced ventilation during daytime periods leading to decreased tracer concentration.
147 During summer nights periods, indoor/outdoor temperature differences are smaller, and doors
148 and windows are more commonly closed, leading to enhanced tracer concentrations. The
149 increase in iF_i from enhanced nighttime tracer concentrations is partially mitigated during
150 sleeping hours owing to lower occupant breathing rates. Considering time varying ventilation

151 rates and the occupants' time-activity patterns, daily iF_i for the living space and crawl space
152 tracer releases spanned factors of 7 and 6 in range, respectively.



154 **Figure 1.** Time series of experimental data from study site H1 during the summer monitoring
155 campaign. Times when occupants are present at the residence are marked in black in the
156 uppermost panel. Time-resolved air-change rates are shown for the living space in the second
157 panel. Daily individual intake fraction (iF_i) values for the continuously released tracer gas
158 sources are shown for the H1M1 occupant in the third panel. The adjusted living room
159 concentration (raw concentration [$\mu\text{g m}^{-3}$] divided by the mass release rate [$\mu\text{g h}^{-1}$]) of the
160 continuously released tracer gas sources is shown in the bottommost source panel. Data
161 proximate with changes to the tracer gas sources (location or release rate) are excluded.

162 In total, iF_i for living space sources were largely independent of source location, season,
163 and occupant behavioral patterns. We highlight that iF_i for two sources released on different
164 levels of the two-level H1 residence were statistically indistinguishable due to high rates of
165 internal mixing (Table 1). This finding suggests that source location within the general living
166 space is not a key determinant for occupant exposures at the H1 site, where all interior doors
167 were intentionally left open during the monitoring campaigns. Similarly, we note that iF_i values
168 were comparable between occupants at the H1 site and occupants at the H2 site. Male iF_i values
169 were slightly larger than female iF_i values, primarily due to larger assumed inhalation rates.
170 Male iF_i values are statistically indistinguishable from female iF_i values when identical
171 inhalation rates are assumed. We stress that these results were obtained at only two sites with
172 four occupants. If generalizable to the broader population, these findings suggest that temporal
173 differences in occupant behavior may not be key determinants of iF_i values. Estimated iF_i values
174 for the two living space sources were slightly higher in the H1 winter season than in the H1
175 summer season, largely due to lower air-change rates during the winter period.²³ Estimated iF_i of
176 living space sources for the H2 winter season were slightly lower than those observed at the H1
177 summer and H1 winter seasons. While mean ventilation rates were comparable between the two
178 sites (H1 summer = $160 \text{ m}^3 \text{ h}^{-1}$, H1 winter = $120 \text{ m}^3 \text{ h}^{-1}$, H2 winter = $170 \text{ m}^3 \text{ h}^{-1}$), ventilation
179 rates during periods of occupancy were higher during the H2 winter campaign, leading to the
180 discrepancy.

181 **Table 1:** Summary statistics (mean \pm standard deviation) of daily individual intake fractions (iF_i)
 182 for tracer gases released in different locations during the H1 summer, H1 winter, and H2 winter
 183 campaigns.^a

Source released in:	H1 summer			H1 winter			H2 winter		
	sample size (days)	H1M1 iF_i (ppm)	H1F1 iF_i (ppm)	sample size (days)	H1M1 iF_i (ppm)	H1F1 iF_i (ppm)	sample size (days)	H2M1 iF_i (ppm)	H2F1 iF_i (ppm)
crawl space	$n = 36$	900 (± 400)	800 (± 200)	$n = 25$	1100 (± 300)	900 (± 200)	$n = 27$	1300 (± 400)	900 (± 300)
living space ^b	$n = 24$	3500 (± 1500)	3100 (± 900)	$n = 25$	3900 (± 1100)	3200 (± 800)	$n = 27$	2400 (± 800)	1700 (± 700)
upper living space	$n = 36$	3300 (± 1500)	2800 (± 900)	$n = 6$	3400 (± 900)	3000 (± 500)	-	-	-
attic	N/A ^c	< 30	<30	N/A ^c	< 80	< 70	N/A ^c	< 70	< 60

184

185 ^a The number of daily determinations for each category is n .

186 ^b The “living space” refers to the “lower living space” at the two-level H1 residence and the
 187 “general living space” at the single story H2 residence.

188 ^c An upper bound campaign-average iF_i value is reported in place of summary statistics for daily
 189 iF_i values.

190

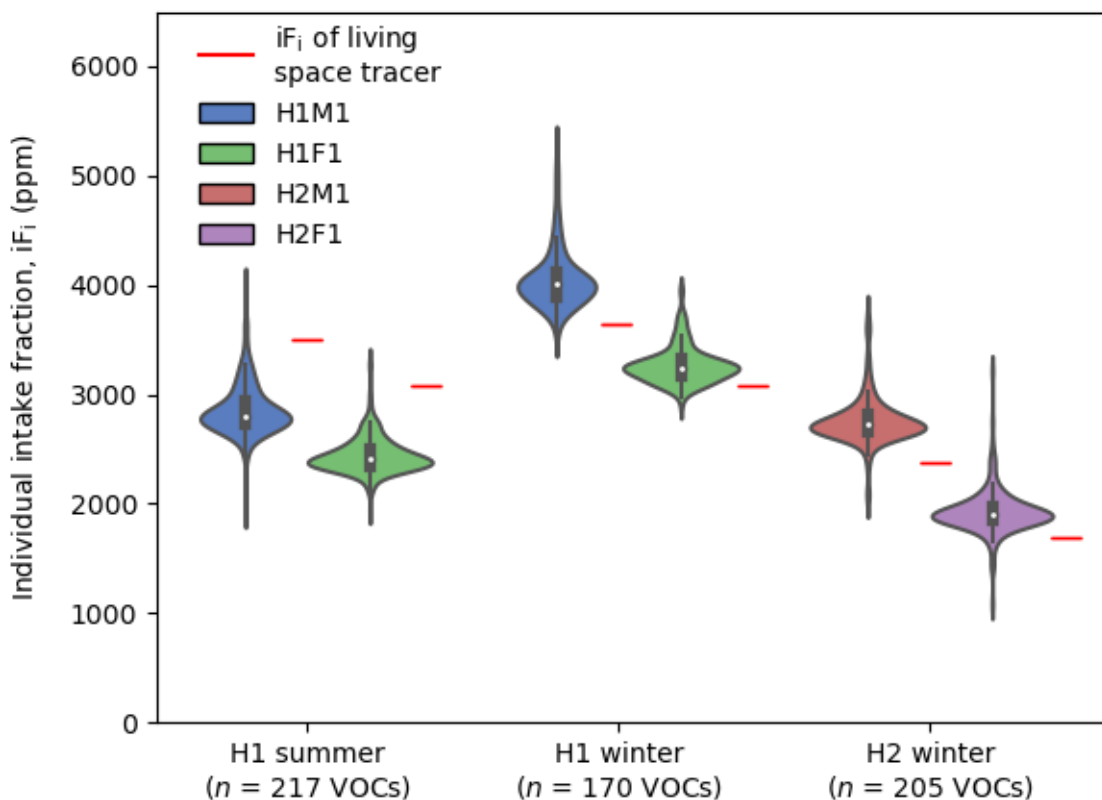
191 Emissions into the general living space were considerably more impactful for occupant
192 exposures than emissions in hidden coupled spaces. At the H1 and H2 sites, sources released in
193 living spaces ($iF_i = 1700\text{--}3900$ ppm) reached human receptors at average rates 2–4 times higher
194 than crawl space sources (700–1300 ppm) and >40 times higher than attic sources ($iF_i < \sim 70$
195 ppm, season dependent). The attic tracer was often near or below the limit of detection (defined
196 as 3.3 sigma of blank measurements). During calculations of iF_i , we replaced non-detect
197 measurements with the limit of detection value; attic iF_i values therefore represent an upper
198 bound estimate. Although the air-change rate during occupancy was higher at the H2 site than at
199 the H1 site, the H2 crawl space source entered the living space at higher efficiency leading to a
200 minor increase in iF_i for crawl-space emissions at H2 as compared to H1.

201 To contextualize iF_i values reported in this work, we note that the time-averaged
202 population intake fraction for distributed ground-level outdoor pollutant releases in the San
203 Francisco Bay Area is estimated to be 38 parts per million.⁴ That value, which accounts for
204 inhalation intake of non-reactive airborne pollutants by the entire urban population, is roughly
205 100 times smaller than iF_i values reported in this work for inhalation intake by individuals for
206 pollutant releases within the normally occupied space of their residences. As theoretically
207 anticipated,^{1,2} indoor pollutant releases contribute much more to exposures than do outdoor
208 pollutant releases per unit mass emitted.

209 We also highlight that first-order approximations are comparable to the time-resolved
210 estimates provided in this work.⁵ Using mean ventilation rates for the H1 summer ($160\text{ m}^3\text{ h}^{-1}$),
211 H1 winter ($120\text{ m}^3\text{ h}^{-1}$), and H2 winter ($170\text{ m}^3\text{ h}^{-1}$) campaigns, age-specific daily breathing rates
212 of $14.2\text{ m}^3\text{ d}^{-1}$ (H1) and $15.7\text{ m}^3\text{ d}^{-1}$ (H2),²⁶ and a population average of 69% time spent in a
213 residence,¹⁶ the first-order estimate of iF_i values at the three residences would be 2600 ppm,

214 3400 ppm, and 2700 ppm, respectively. These estimates based on theoretical expectations are
215 within 30% of the direct experimental estimates determined from controlled tracer release. We
216 note that the mean ventilation rates for this analysis were derived via material balance of the
217 same time-resolved tracer gas concentrations as the “direct estimate” approach. Therefore, the
218 resemblance between the time-resolved “direct estimate” approach and the time-averaged “first-
219 order approximation” approach is partially attributable to methodological overlap.

220 **Indirect individual intake fraction (iF_i) estimates for VOCs.** Time-resolved indoor
221 emission rates were evaluated by material balance for 251 distinct VOCs observed in the H1
222 summer, H1 winter, and H2 winter campaigns. These VOCs originated from diverse source
223 processes, including continuous emissions from the building and its contents, episodic emissions
224 from occupants and their activities such as cooking, cleaning, use of personal care products,²¹
225 and indoor chemistry,²⁷ resulting in substantial variability in mean concentration, temporal
226 variability, and analyte physical properties. The emissions data were used to estimate the iF_i
227 values as summarized graphically in Figure 2. The iF_i values tended to cluster and were
228 comparable to values more directly estimated by tracer release.



229

230 **Figure 2.** Mean individual intake fraction (iF_i) values for all observed VOCs during the H1
 231 summer (217 compounds), H1 winter (170 compounds), and H2 winter (205 compounds)
 232 measurement campaigns are summarized in violin plots for two occupants in each house (H1M1,
 233 H1F1, H2M1, H2F1). The expected value as determined experimentally by continuous tracer-
 234 release is shown in red. Values corresponding to $C_7H_4ClF_2^+$, a compound of predominantly
 235 outdoor origin, are not shown.

236 A key assumption in some exposure assessment methods is that intake fraction values are
237 largely analyte independent, as theory predicts.⁵ Assuming that this assumption is correct,
238 exposures in microenvironments with known emission patterns can be estimated using
239 compound-independent intake-fraction values. In congruence with theoretical expectations, our
240 results provide direct empirical evidence that the temporal behavior of a source (as for instance, a
241 continuously released emission from the building or an episodically released emission from an
242 occupant activity) does not strongly affect iF_i for a broad suite of mainly organic compounds
243 measured by PTR-ToF-MS (Figure S3). Limitations of this work include that it did not conduct
244 personal monitoring or consider the influence of variable occupant breathing rates beyond a
245 binary awake/asleep distinction. The approach used in this work would also underestimate
246 emissions for strongly sorbing compounds or for compounds that undergo chemical degradation
247 at fast time scales in the indirect experimental design, resulting in an overestimate of the
248 respective iF_i value. These latter influences are expected to be minor. Another important
249 consideration is the small sample size — only two households and four occupants were
250 considered in this study. Additional work is needed to demonstrate applicability of the results to
251 larger populations.

252 This study reports individual intake fraction (iF_i) values in two normally occupied
253 residences via time-resolved VOC measurements. We find that iF_i values for VOC sources
254 within the living space were consistent with past estimates and largely independent of source
255 location, occupant behavioral patterns, and season. However, iF_i values for sources in the crawl
256 space and especially for sources in the attic were smaller. Ultimately, this work corroborates
257 theoretical expectations that indoor inhalation intake fractions are principally influenced by the
258 ventilation patterns and generally independent of analyte characteristics.

259 **Supporting Information:** Data analysis procedures, tracer gas iF_i during H1 winter campaign,
260 tracer gas iF_i during H2 winter campaign, impact of episodic emissions on iF_i , iF_i data table for
261 selected compounds.

262

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