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Neurophysiological time course of timbre-induced music-like perception

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ABSTRACT

Traditionally, pitch variation in a sound stream has been integral to music identity. We attempt to expand music's definition, by demonstrating that the neural code for musicality is independent of pitch encoding. That is, pitchless sound streams can still induce music-like perception and a neurophysiological hierarchy similar to pitched melodies. Previous work reported that neural processing of sounds with no-pitch, fixed-pitch, and irregular-pitch (melodic) patterns, exhibits a right-lateralized hierarchical shift, with pitchless sounds favorably processed in Heschl's gyrus, ascending laterally to non-primary auditory areas for fixed-pitch and even more laterally for melodic patterns. The objective of this EEG study was to assess whether sound encoding maintains a similar hierarchical profile when musical perception is driven by timbre irregularities in the absence of pitch changes. Individuals listened to repetitions of three musical and three non-musical sound-streams. The non-musical streams were comprised of seven 200-ms segments of white, pink, or brown noise, separated by silent gaps. Musical streams were created similarly, but with all three noise types combined in a unique order within each stream to induce timbre variations and music-like perception. Subjects classified the sound streams as musical or non-musical. Musical processing exhibited right dominant alpha power enhancement, followed by a lateralized increase in theta phase-locking and spectral power. The theta phase-locking was stronger in musicians than in non-musicians. The lateralization of activity suggests higher-level auditory processing. Our findings validate the existence of a hierarchical shift, traditionally observed with pitched-melodic perception, underscoring that musicality can be achieved with timbre irregularities alone.

New and Noteworthy: EEG induced by streams of pitchless noise segments varying in timbre were perceived as music-like and exhibited a right-lateralized hierarchy in processing similar to pitched melodic processing. This study underscores that the neural-code of musicality is independent of pitch encoding. The results have implications for understanding music processing in individuals with degraded pitch perception, such in cochlear-implant listeners, as well as the role of non-pitched sounds in the induction of music-like perceptual states.

INTRODUCTION

When listening to music, we experience a sequence of notes of varying pitch, rhythm, and timbre, smoothly transitioning across time, and creating a melodic auditory stream. While pitch irregularities, giving rise to melodies, have been a central focus of music identity, it remains unknown whether musical perception and hierarchical encoding associated with musical processing in the auditory cortex (AC) is contingent on the existence of pitch in sound. We argue that this is not necessary. We provide evidence that hierarchical neurophysiological processing associated with melody is also exhibited for music-like pitchless forms of sounds, varying only in timbre.

Musical perception is typically attributed to pitch, timbre, and loudness contours—that is, the pattern of changes in an auditory dimension (e.g., pitch, timbre, loudness) over time (McDermott et al., 2008; Graves et al., 2019). A key perceptual attribute of timbre is brightness which is correlated to a sound’s spectral centroid—center of mass of any given stream of sound. Higher spectral centroids typically elicit perception of higher brightness. Previous studies have drawn connections between the mechanisms among pitch, timbre, and amplitude contour discrimination. McDermott and colleagues (2008) used a modified melody recognition task to investigate if listeners can discriminate between transpositions of brightness and loudness contours as easily as they can with pitch contours. They showed, while pitch is more accurately encoded than timbre and loudness, contours in loudness and brightness are also useful, though not to the same degree as pitch, in the recognition of familiar melodies. Similarly, Cousineau and colleagues (2014) used varied pitch, brightness, and loudness sequences at different lengths (i.e., 1, 2, and 4 pure tones in the sequence) and tasked participants with indicating if varied-length pairs were the same or different. As sequence length increased, discrimination accuracy decreased significantly for the loudness sequences while discrimination accuracy decreased only marginally for pitch and timbre. Furthermore, it has been found that musicians perform significantly better at short-term recognition of variable-pitch sequences than non-musicians, though there was no significant difference in performance between musicians and non-musicians in discriminating constant-pitch sequences (Siedenburg & McAdams, 2018). A recent study by Graves and colleagues (2019) on congenital amusia, a neurodevelopmental disorder in which individuals exhibit a deficit in musical perception, examined individuals with amusia performing a short-term memory task in which they had to extract pitch, brightness, and loudness contours

using novel melodies. They found that the individuals with amusia displayed greater impairment in discriminating between pitch and brightness-based melodies compared to loudness-based melodies, suggesting that pitch and timbre may be processed by a common locus in the brain.

Commonalities between pitch and timbre processing are further elucidated by pitch and timbral brightness-induced judgements of Shepard tones. Classic Shepard tones are generated by combining shifting tones with superimposed sine waves while maintaining a fixed spectral envelope; thus, producing the illusory perception of a sound with perpetually increasing or decreasing pitch. Seidenburg (2018) compared the classic Shepard tone with a “pseudo-Shepard tone”, created by varying the spectral envelope while maintaining the spectral fine structure (tone). This manipulation induced the illusory perception of shifts in timbral brightness analogous to the shifts in pitch induced by the classic Shepard tone. In a follow-up study (Siedenburg et al., 2023), the same Shepard tone variations associated with pitch (shifting spectral fine structure, SFS) and timbral brightness (shifting spectral envelope, SE) were again compared in conditions where both SFS and SE shifts were applied simultaneously in either a synergistic or antagonistic manner (same or opposite directions). Behavioral results showed that the two direction changes were very rarely perceived concurrently, and that the stronger cue did not correlate with the component shifted (SFS or SE), but instead with the nature of the sound itself. That is, when the sound was harmonic, more weight was put on SFS, and when the sound was inharmonic, more weight was put on SE (Seidenburg et al., 2023). These two studies promote a unitary account of pitch and timbre perception.

Behavioral accounts have been key in guiding neurophysiological research on musical encoding, which has demonstrated a hierarchy of sound processing. Simple sounds are largely processed in the brain stem and Heschl’s gyrus (HG) (Griffiths et al., 2001; Griffiths & Hall, 2012), but as sound becomes more complex (e.g., richer in pitch dynamics), processing extends to anterolateral regions of the AC—superior and inferior temporal gyri (Hackett et al., 2001; Hyde et al., 2008; Tsai & Li., 2019; Zatorre et al., 2002). Patterson and colleagues (2002) sought to understand the hierarchy of pitch processing by experimenting with regular-interval (RI) sounds, which are created by overlapping regularized time intervals of broadband noise (Yost, 1998). Manipulating the temporal regularity of the noise segments affects perception, with a stronger noise (no-pitch) perception as temporal regularity decreases and emergence of pitch-like perception as temporal regularity increases (Yost et al., 1996; Patterson et al., 2002). When RIs

of several unique temporal intervals (“pitches”) are sequentially combined in a stream, they sound melodic-like.

Patterson and colleagues (2002) tracked neural engagement using fMRI, while people processed no-pitch, fixed-pitch, and melody-like RIs. They observed the emergence of a hierarchical pattern of cortical networks. As RIs became more complex and melodic-like, areas of activation extended beyond HG and planum temporale (PT) to include the superior temporal gyrus (STG) and planum polare (PP) (Patterson et al., 2002; see also Puschmann et al., 2010). Moreover, right hemisphere activation was more robust relative to left in STG and PP, but not in HG and PT. Similarly, Hyde et al., (2008) found that fixed pitch processing occurred bilaterally in HG, while melody processing activated regions in secondary auditory cortices, including PT and STG. Most noteworthy was their finding that the right PT exhibited a linear increase in activity corresponding to parametric increases in pitch distance; increases in activation in the left hemisphere were more tempered. Taken together, these studies indicate that, as sound increases in pitch complexity (e.g., is perceived as increasingly musical), there is a corresponding right-lateralized shift to higher-level processing within the hierarchy.

The aforementioned studies laid the groundwork for the current study, whereby we attempted to examine whether musical perception based solely on timbre manipulations (without specific pitch changes) would elicit neural activity consistent with that observed with pitch manipulations. The abovementioned behavioral studies hint at similar neural accounts, and the abovementioned fMRI studies, which manipulated pitch, served as our frame of reference. We used EEG to examine whether hierarchical neural shifts in processing take place when timbre differences in pitchless sounds are perceived as music-like. EEG is inferior to fMRI in its ability to spatially locate neural activity, however our objective here was to assess the time-course of these shifts, a quality better assessed with EEG than fMRI. We compared the processing of “non-musical” to “musical” sound streams. We used quotes for musical and non-musical conditions to emphasize that the perceptual effect is music-like; henceforth we drop the quotes. All our non-musical streams consisted of seven 200-ms bursts of either white, pink, or brown noise with 37.5-ms silent gaps separating the noise bursts (see Fig. 1). Musical streams were created similarly, with the only difference being that all three types of noise were combined in a unique order within each stream. Since noise bursts were used in both the musical and non-musical conditions, subjects could not base their perception of music on pitch cues. The only distinction

between the two conditions was that the inclusion of all three noise types within each musical stream produced variations in timbre (i.e., spectral bandwidths) as a function of time. We hypothesized that: 1) stronger alpha desynchronization (suppression) should be observed for the musical than the non-musical condition in lateral temporal channels, indexing stronger engagement of non-primary AC networks during musical processing; 2) alpha desynchronization should be more pronounced in the right hemisphere; 3) the above two predictions should be more pronounced in musicians than non-musicians, as musical encoding should be stronger in musicians (Shahin et al, 2003, Schneider et al., 2005, 2022), leading to a more robust lateralization and hierarchical shift.

METHODS

Participants

Twenty-five participants were recruited through the University of California, Merced online recruiting database and through recruitment flyers. Prior to commencing the experiment, all participants provided informed consent and completed a general questionnaire assessing their education level, handedness, language and musical backgrounds, hearing status, and neurological history. One participant was excluded due to neurological history and another due to a high percentage of EEG artifacts. Thus, 23 adult participants (9 females; 18 years and older, $M = 25.1$ years, $SD = 7.1$; all right-handed except for one ambidextrous) were included in the final analyses. Participants were monetarily compensated after completion of the experiment. The experimental protocol was approved by the Institutional Review Board at UC Merced.

To account for musicianship (musical skill), the 23 participants were divided into two groups according to years of formal music training. Musicians ($n = 11$; age 25.18 years) had an average of 7 years of formal music training, while non-musician controls ($n = 12$; age 25.08 years) had an average of less than 0.2 years of formal training. Instruments of training among musicians included piano, guitar, violin, flute, cello, saxophone, viola, clarinet, and trumpet.

Stimuli

Two-hundred millisecond brown, pink, and white noise-bursts were created using Adobe Audition (stimuli are available at <https://figshare.com/s/d560fd87ee54c1b5e13a>). In total, there were 7 noise-bursts within each stream with 37.5 ms of silence between bursts, lasting a total

duration of 1,625 ms. Each non-musical stream ($n = 3$) comprised of just one of the three types of noise (brown, pink, or white), and musical streams ($n = 3$) were created by combining all three noise types into three unique patterns (see Figure 1 and Experimental Design and Statistical analyses section for details).

Figure 1 here

Procedure

EEG was recorded as participants were seated in a sound-attenuated booth approximately 1 meter away from a 27-inch monitor. Auditory stimuli were presented at about 70 dBA SPL through 2 loudspeakers located to the left and to the right of the monitor at a $\pm 45^\circ$ angle, creating the perception that the sound came from the center (0°). The study consisted of 10 blocks of 60 trials each, with a single sound stream presented per trial. The non-musical and musical stimuli were presented in a random order within each block. No training with the sounds took place prior to study participation. Participants were instructed to listen to the stimuli while fixating their gaze on a cross in the center of the monitor and indicate whether they perceived each stimulus as non-musical or musical. A visual cue to respond was displayed immediately following the offset of each trial. Participants indicated their responses via keyboard presses, “n” for non-musical and “m” for musical; they used their right hand to respond. No feedback was given regarding correct/incorrect responses. Presentation software (NeuroBehavioral Systems Inc., Berkeley, CA) was used to present the stimuli and record responses. EEG was recorded using a high-impedance 64-channel Biosemi System (Active Two system, 10–20 Ag-AgCl electrode, with Common Mode Sense and Driven Right Leg passive electrodes serving as grounds, A/D rate: 1,024 Hz).

Data Analysis

Behavior

Individual response logfiles were parsed using in-house MATLAB (The MathWorks Inc., Natick, MA) code, producing reaction times (RT) and classification proportions (musical, non-musical). For each stimulus condition (musical, non-musical) we calculated the proportion of musical responses relative to the total stimulus condition trials. There was no need to compute

the non-musical proportions because the total proportions of musical and non-musical responses across the two conditions was equal to 1. RT was measured from onset of sound until a button press response.

EEG

EEG analyses were conducted using ERPLAB (Lopez-Calderon & Luck, 2014), EEGLAB (Delorme & Makeig, 2004), and FieldTrip (Oostenveld et al., 2011) toolboxes and in-house MATLAB code. Processing steps included: 1) Individual continuous EEG blocks were downsampled to 512 Hz and concatenated into one continuous dataset for each subject. 2) Each continuous dataset was epoched (segmented) from -1.25 to 2.5 s around the onset of each noise stream and baselined to the entire epoch (mean removed). 3) Independent component analysis (ICA) was conducted on each individual dataset, producing 64 ICA components. 4) ICA components that reflected ocular artifacts were removed (mean of 2 per subject) and bad channels (maximum of 2) were interpolated using EEGLAB's spherical interpolation. 5) Individual datasets were average referenced. Datasets were further processed in two ways: to produce files suitable for auditory evoked potentials (AEPs) and files suitable for Oscillatory activity.

AEPs

Individual files were filtered between 0.1 and 30 Hz (zero-phase Butterworth, fourth order), re-epoched around acoustic onsets from -0.2 to 2 s, linearly detrended to remove the slow shift, and re-baselined to the pre-stimulus period (-0.2 to 0 s). Then, trials with amplitude shifts greater than ± 150 μ V at any channel were removed. The mean number of remaining trials for the musical and non-musical conditions was 279 for each condition. Because initial analyses revealed an overwhelming musical response for the musical stimuli and non-musical response for non-musical stimuli (see Fig. 2), we segregated the conditions based on stimulus type and not percept type. Doing so maintained an equal number of trials and equal acoustic characteristics between the two conditions. Maintaining an equal number of trials is essential for the analysis of inter-trial phase coherence (ITPC), as ITPC values are influenced by trial number (Fisher, 1993). Finally, trials within each stimulus condition (musical, non-musical), were averaged, creating separate AEP files for each condition.

Oscillatory activity

Individual files with amplitude shifts larger than 200 μ V at any channel were rejected and trials were separated into the two conditions (musical and non-musical). The remaining mean number of trials for the musical and non-musical conditions were 271 and 270, respectively. Event-related spectral perturbation (ERSP, spectral power) and ITPC spectrograms were generated for each condition, channel, and participant using the `timef.m` function of the EEGLAB toolbox. We examined activity for the period of -1250 to 2500 ms relative to noise-stream onset for the 2.7 – 50 Hz frequency range. The analysis used a sinusoidal wavelet-based Discrete Fourier transform (DFT) of the time-domain signal (750-ms Hanning window, 12 ms and 1 Hz steps) with two cycles at the lowest frequency, increasing linearly to 37 cycles at the highest frequency. For the ERSP analysis, post-stimulus activity was baselined to the pre-acoustic onset in the period spanning from -1250 to -375 ms.

Experimental Design and Statistical Analyses

The experimental design was as follows: Six sound streams, each containing 7 noise-bursts separated by 37.5 ms silence, were created using three types of noise (white, pink, brown). The control streams (non-musical) consisted of 7 repetitions of one noise type, yielding three unique streams. In the experimental (musical) condition, each of the three streams consisted of all three noise types, uniquely ordered in such a way that the acoustical sum of the three streams within the musical condition was identical to the sum of the three non-musical streams. Subsequent behavioral and EEG analyses were based on the sum, not individual streams. This was deliberate to rule out physical differences between the two conditions (the summed stream is identical between musical and non-musical), while maintaining perceptual influence.

The statistical analyses comparing reaction time (RT) or classification between the musical and non-musical conditions and the interaction with group (Musicians, non-Musicians) were based on Analysis of Variance (ANOVA). T-tests (corrected for multiple comparisons using Bonferroni test) were further used to compare classification values relative to chance level. Non-responses were excluded (only for behavioral analysis).

EEG activity (AEP amplitude, spectral power, or phase-locking) differences between conditions were examined using the nonparametric cluster-based permutation test (CBPT; Maris

& Oostenveld, 2007) as implemented in the FieldTrip toolbox (Oostenveld et al., 2011). The CBPT takes into account the activity at all time-points and channels. For the AEP amplitude data, the CBPT organizes significantly different EEG amplitudes (based on dependent-samples t-tests between the musical and non-musical conditions done at each data sample, $\alpha = 0.05$) into continuous clusters according to the significant samples' spatial adjacency and temporal adjacency. The t-statistics at each time-channel sample within each cluster were then summed. To create the null distribution, these steps were repeated for each resampling of the data (2,000 permutations; Monte Carlo simulation). For each permutation, the largest cluster t-statistic sum was recorded. Finally, the summed t-statistics for each cluster in the real data were compared against this null distribution of maximal cluster t-statistic sums, using a cluster-level alpha value of 0.05. Any clusters that surpass this alpha value are considered to contain activity that is significantly different between the musical and non-musical conditions.

The CBPT statistical approach was also used on spectral power and phase-locking data. The only difference between the AEP and time-frequency CBPTs is that for the time-frequency data, the cluster formation is conditioned upon not only spatial (channel) and temporal adjacency between the significantly different samples (identified via univariate t-tests), but also the adjacency of the significantly different samples in frequency space. The results of the CBPTs applied to the spectral power and phase-locking spectrograms reveal differences in spectral power or phase-locking values, respectively, between the musical and non-musical conditions.

For post hoc analysis, and to test for interaction of oscillatory activity and group (Musicians vs. Non-Musicians), we used ANOVAs. Correlation results were based on Pearson Correlation Coefficient (PCC) method, corrected for multiple comparisons using Bonferroni test.

RESULTS

Behavior

Figure 2A depicts the classification proportions of the musical response observed for the musical and non-musical stimulus conditions. We limited our ANOVA to the musical classification for the two conditions. An ANOVA with the variables group and condition (musical, non-musical) revealed that there was only a main effect of condition ($F_{(1, 21)} = 87.3$, $p = 0.0001$; $\eta^2 = 0.81$) with no other main effect or interaction between the variables ($F < 1$). The

condition effect was due to a strong labeling of the musical stimulus as musical and a weak labeling of the non-musical stimulus as musical.

The musical classification within each stimulus condition was further subjected to a one sample t-test against chance level (50%). Both tests revealed that the musical classification was above chance within the musical condition ($t_{(22)} = 2403$, $p = 0.00001$; Mean musical = 0.944, 95% CI = 0.90 to 0.99) and below chance within the non-musical condition ($t_{(22)} = 739$, $p = 0.000001$; Mean non-musical = 0.23, 95% CI = 0.091 to 0.37). While these effects seem obvious, this labeling reinforces the notion that irregular noise patterns (i.e., irregular timbre patterns) can be perceived as musical despite participants' lack of familiarity with the stimuli. Moreover, the lack of a group effect demonstrates that there was no evidence of a musicianship advantage in identifying a musical stimulus as musical and vice versa for the non-musical stimuli.

A similar ANOVA for the RT revealed no main effects or interaction between the variables ($F < 1$). The lack of RT differences between the two conditions and among groups provides evidence that the two conditions were comparable in difficulty, and that musicians and non-musicians did not exhibit differences in cognitive/attentional efforts when labeling the two conditions.

Figure 2 here

EEG

AEPs

Figure 3 depicts the AEP results. The CBPT revealed four significant clusters (Two positive clusters, $p = 0.046$ and $p = 0.001$, respectively; two negative clusters, $p = 0.016$ and $p = 0.001$, respectively). Figure 3A shows the AEP waveforms for the positive clusters (i.e., musical had a more positive/less negative amplitude than non-musical), and Figure 3B shows the AEP waveforms for the negative clusters (i.e., musical had a more negative/less positive amplitude than non-musical). Below the waveforms are the t-value topographies distinguishing musical and non-musical within the significant windows (gray shaded areas). Clearly, the positive (clusters 1 and 2) and negative (clusters 3 and 4) clusters represent the same source(s), given the highly overlapping time windows and near identical scalp topographies. Thus, we will discuss the positive and negative clusters as reflecting a common activity.

Our AEP results can be summarized as follows: First, the waveforms exhibited eight distinct transient (steady state) potentials: an initial onset AEP response (typical P1-N1-P2 morphology), followed by six peaks and lastly followed by an offset response (typical P1-N1-P2 morphology but with attenuated amplitude). These AEPs clearly reflect the onsets/offsets of the seven noise-bursts, i.e., noise-stream envelope. Second, the musical and non-musical AEP waveforms begin to diverge around 325 ms, whereby the musical waveform weakens in amplitude (becomes closer to zero for both the positive and negative clusters). The latency of divergence is about 80-90 ms after the onset of the second noise-burst of the noise-stream; the onset of the second noise burst is depicted by a red dotted vertical line within the plots shown in Fig. 3. This is the first instance when the musical stream can be perceived as irregular relative to the non-musical stream because the second noise-burst in the musical streams has a different timbre than that of the first noise-burst. The divergence between the waveforms of the two conditions continues until after 1400 ms. However, towards the end of this divergence (about 1200 – 1300 ms), there is a short period in which the musical AEP's amplitude becomes greater (moves away from 0 μ V) than the non-musical AEP amplitude (which moves toward 0 μ V). This short period occurs about 250 ms after the onset of the fifth noise-burst (depicted by the blue dotted vertical line in Fig. 3) in the sound stream. This is also around the same time as the onset of the sixth noise-burst in the sound stream. Third, the topography (frontocentral and temporo-occipital) of these AEP differences is reflective of sources within and surrounding HG. In short, differences in musical and non-musical AEPs can be observed following the onset of the first irregularity in the noise stream. musical AEPs are continually diminished until after the onset of the 5th/6th segment(s) in the noise stream in which they eventually rebound.

Figure 3 here

Musicianship. Post hoc ANOVA on the mean AEP values (between 350 ms – 1350 ms) at the frontocentral channels and within the time-points reaching significance did not reveal an interaction between condition and group ($F_{(1, 21)} = 3.1, p = 0.09$). Thus, musicianship was not a factor in AEP differences. Despite the lack of significance, it is worth noting that musicians tended to exhibit weaker AEPs than non-musicians especially for the musical condition. If we

contend that AEPs reflect low-level auditory processing, then this would suggest that musicians shift their processing to higher auditory levels during processing more so than non-musicians.

Oscillatory activity

Spectral power. The CBPT revealed one positive cluster ($p = 0.026$), indicating significantly greater spectral power for the musical condition than non-musical condition, which was observed in all 64 channels. Figure 4 (top panels) reveal the t-value spectrograms of spectral power distinguishing musical versus non-musical for a subset of left, mid, and right channels. Bottom panels show the t-value topographies of alpha (9-15 Hz, ~650 ms to ~1350 ms) and theta (3-8 Hz ~1150 ms to ~1650 ms) oscillatory activities within the window of significance for these frequencies. Our findings demonstrate the following: First, both theta and alpha activities were greater for the musical condition than the non-musical condition. Second, alpha was concentrated more in temporal sites, especially in the right hemisphere. Third, theta was concentrated frontocentrally and temporoparietally, with right hemispheric dominance that was mainly evident in the low-theta (3-4 Hz) spectral power. Theta's topography is consistent with generators within or surrounding HG. Fourth, the different topographies of theta and alpha strongly suggest that theta and alpha have different neural origins. Fifth, while theta and alpha overlapped in time, alpha (maximally exhibited at temporal sites) began earlier and theta (maximally exhibited at frontocentral and temporal sites) ended later.

Figure 4 here

Phase-locking. As in the spectral power analysis, the phase-locking analysis produced one scalp-wide positive cluster ($p = 0.001$), indicating that the musical condition resulted in stronger phase-locking than the non-musical condition. Figure 5 (top panels) depict the t-value spectrograms distinguishing phase-locking of musical versus non-musical for a subset of left, mid, and right channels. There was scalp-wide activity of low theta (3- 4 Hz) occurring around 1130 – 1440 ms. The t-value topography of this activity—lateral central and bilateral temporoparietal—may suggest auditory generators that are more lateral from what we expect from HG generators (as reported earlier). Thus, the origins of this theta phase-locking activity is uncertain; they more likely reflect generators outside of HG, e.g., within STG.

Figure 5 here

Correlations. We examined the degree of correlation between theta and alpha power (means within their significant time windows) to assess a possible link between them. This was an important correlation since both clearly index different neural generators (different topographies). Figure 6 demonstrates the correlation between theta and alpha power regardless of condition (averaged across the musical and non-musical conditions ($r = 0.69$; $p = 0.0006$)). Separately, correlation for either condition was highly significant (musical: $r = 0.67$, $p = 0.001$; non-musical: $r = 0.7$, $p = 0.0005$).

Figure 6 here

Musicianship. Post hoc ANOVA on the theta and alpha spectral power (conducted separately) obtained in the CBPT analyses with the variables group and condition did not reveal a main effect of group or an interaction between the variables ($F < 2$). However, both ANOVAs did show an effect of condition ($F > 5$), thus confirming the CBPT results.

A similar post hoc ANOVA on the theta phase-locking values also did not reveal a main effect of group. However, it revealed a main effect of condition (confirming the CBPT results, $F > 70$) and an interaction between group and condition ($F_{(1, 21)} = 8.9$, $p = 0.007$; $\eta^2 = 0.3$). We attribute the interaction to significantly stronger theta phase-locking occurring in musicians for the musical vs. non-musical condition ($p = 0.000001$; Bonferroni test) relative to non-musicians who showed a weaker but still significant difference in phase-locking for the musical vs. non-musical condition ($p = 0.0036$; Bonferroni test).

Figure 7 here

DISCUSSION

Pitch perception has been a central focus in psychoacoustic research and has been instrumental in forming and testing theories of sound representations, processing, and perception in the auditory system. Unlike with spoken language, research on music processing has traditionally had a strong focus on pitch, the perceptual construct of the fundamental frequency,

or f_0 . One of the major questions raised in this paper is whether the experience of music is limited only to sounds that vary in pitch. Indeed, there are no agreed-upon rules concerning the types of sounds that give rise to musical experience. Any sounds with irregular patterns can be considered musical or melodic, as long as an individual perceives them as such.

Recent work in music perception shows that humans can experience musicality of irregular streams of sounds where pitch is not explicitly varied and, in some cases, not present, as in beatboxing (Proctor et al, 2013). Because beatboxing involves sequences of sounds that sometimes lack pitch (e.g., unvoiced percussion sounds), beatboxers are thus expected to be more attuned to streams of sound that are irregular but contain no pitch or no variations in pitch. Interestingly, recent work has shown that beatboxers produce different responses to acoustic variations in pitched sounds, compared to musicians trained in traditional musical instruments (Krishnan et al, 2018), suggesting the existence of unique individual differences in processing sounds and music that is dependent on an individual's musical experience. Here we ask whether sounds that do not involve pitch but produce timbre irregularities can elicit similar brain response in "traditional" musical sounds that do contain pitch. Our results show that the processing of irregular pitchless noise streams perceived as musical, produces an increase in alpha power with right hemisphere dominance, followed by an increase in theta phase-locking and spectral power. Because EEG does not allow definitive localization of theta and alpha neural generators, our interpretation emphasizes the time course of neural activity, while limiting information on neural origins to basic scientific deduction.

The theta spectral power scalp topographies exhibited fronto-central activity with posterior temporo-occipital activity that resembles processing/activity typically generated within and surrounding HG, as with AEPs (Fig 3). HG generators, as opposed to higher level (e.g., non-primary auditory cortex or nPAC) generators, are particularly involved in processing simple sound features such as sound onsets and offsets (Heinrich et al., 2008; Shahin et al., 2009a), leading to a stronger temporal alignment of the AC activity with the noise-stream envelope. Thus, theta activity, including AEPs, more likely reflects engagement of low-level auditory networks (e.g., HG) indexing basic sound features. Second, the right lateralized alpha enhancement (i.e., synchronization) is consistent with a gyral origin, such as within STG in nPAC. We know that alpha enhancement is associated with neural inhibition, while alpha suppression (desynchronization) indicates neural excitation (Pfurtscheller et al 1996; Jensen et

al., 2002; Mazaheri & Picton, 2005; Sauseng et al., 2009; Shahin et al., 2009b; Kerlin et al., 2010; Obleser & Weisz, 2012; Rohenkohl & Nobre, 2011; Weisz et al., 2011). We interpret this alpha enhancement as reflecting inhibitory mechanisms in nPAC.

At first glance, the alpha result seems to contradict our hypothesis, i.e., we expected excitation of nPAC during musical processing. However, a well-established account linking alpha enhancement and item retention in working memory is well-suited to explain our findings. Prior studies have demonstrated that an increase in item retention in working memory coincides with an increase in alpha power (e.g., Klimesch et al. 1999; Jensen et al 2002; Sauseng et al., 2005; Obleser et al., 2012; Wianda and Ross 2019). For example, Jensen et al. (2002) used a modified Sternberg task, in which individuals were required to retain 2, 4, or 6 consonants presented simultaneously on a screen, and judge whether a subsequent probe matched one of the items in working memory. During the retention period, alpha power (9-12 Hz) systematically increased with an increase of the number of items retained in working memory. Jensen and colleagues interpreted this alpha increase as signifying the brain's effort to inhibit encoding of irrelevant information within the neural population associated with retaining items in working memory. Furthermore, Andrillon et. al., (2015) demonstrated that repeated exposure to noise patterns lead to the perception of acoustic uniqueness over time as implicit memory is formed in listeners tasked with detecting sequence repetitions. This neural adaptation was marked by the formation of AEPs (N1-P2) time-locked to when noise patterns were later recognized behaviorally. Likewise, the aforementioned AEPs were also elicited when listeners were distracted by an auditory task that prevented them from attending to the noise sequences. These findings demonstrate that short-term neural plasticity can give rise to perceptual sensitivity to subtle acoustic cues in pitchless sounds with repeated exposure with or without focused attention. Similarly, we posit that the current noise sequential encoding is reflected in auditory short-term memory. Our sound streams consisted of increasing numbers of items (7 items maximum) unfolding over time, requiring serial maintenance in auditory short-term memory for a subsequent judgment of whether the sum of the items (noise-bursts) constituted a musical sequence.

An interesting outcome of the current study is the group distinction. Despite the musical noise-stream being an unconventional form of music, i.e., comprised of noise, musical streams evoked stronger low-theta phase-locking than non-musical toward the end of the stream

(following identification of the noise-stream as a musical), especially in musicians. We know from previous accounts that the degree of theta phase-locking reflects musicality (Shahin et al., 2010; Vanden Bosch der Nederlanden et al., 2020). In their thoughtful study, Vanden Bosch der Nederlanden et al. (2020) demonstrated that neural entrainment to syllable rate in linguistic utterances in speech and song led to better theta phase-locking to phrasal and syllabic information during sung speech. Thus, it is reasonable to conclude that phase-locking, indexing musicality, would be more prominent in musicians than non-musicians. Also, work from our lab and others demonstrated enhancement of P1, N1 and P2 AEPs (evoked by music sounds) in musicians relative to non-musicians (Pantev et al., 1998, 2001; Shahin et al., 2003, 2004, 2005; Schneider et al., 2005, 2022; Sanju and Kumar 2016; Baumann et al., 2008; Doelling and Poeppel, 2015). The N1-P2 AEPs are phase-locked in the theta band, while the P1 is reflected in higher frequency phase-locking, such as in the beta (15 – 30 Hz) and gamma (>30 Hz) evoked response (Shahin et al., 2008; Doelling and Poeppel, 2015). However, a puzzling aspect of the current theta phase-locking is its strong lateral topography. The topography is not consistent with typical HG generators (e.g., fronto-central as in AEPs); rather they are more consistent with gyral (higher-level) non-primary auditory generators. A potential explanation is that the theta phase-locking represents an encoding phase in auditory short-term memory. Evidence suggests that theta phase-locking is associated with memory encoding, especially across inferior frontal and hippocampal networks (Jensen 2005), including during sequence learning (Reddy et al., 2021), as in the current study. Thus, we may amend our interpretation to state that the alpha enhancement (inhibition of irrelevant items in auditory memory) in nPAC, is followed by phase-locking in auditory memory networks, reflecting the encoding of the musical trace once the stream is identified as “music-like”.

Given these findings, we propose the following theoretical framework (Fig. 8) regarding the neural time-course of melodic processing, which is largely facilitated by the EEG’s superior temporal resolution. Initially, both the musical and non-musical streams activate the auditory cortex, including primary (i.e., HG) and non-primary networks (e.g., STG). Following the second item in the stream, irregularity in the musical stream is detected (~ 250 ms), whereupon processing is shifted toward nPAC. As a result, we observe a reduction in the AEP amplitude of musical condition relative to the non-musical condition following the onset of the second item, because processing is shifted laterally to nPAC. In nPAC, maintenance or retention of items

(individual noise-bursts) begins, leading to enhancement of alpha during the retention period (showing significant activity between ~ 650 ms and 1350 ms). Once the decision is made, i.e., musical is identified, memory retention ceases, resetting theta-phase as the memory trace is encoded in auditory memory, followed by a shift in processing back to HG. During this time period (1130 to 1650 ms), a theta power increase, coinciding with a brief AEP rebound, occurs for musical relative to non-musical stimuli, signaling a processing shift back to lower levels (e.g., HG). The strong correlation observed between the earlier alpha and later theta powers further support the premise of this framework. Specifically, once nPAC concludes processing the musical stream (e.g., the stream was identified as musical), it signals HG to resume processing of subsequent incoming streams.

Figure 8 here

Limitations

A limitation of this study is that we could not make a distinction of whether timbre variation (i.e., spectral variation), musical judgment, or both led to the present EEG results. While we controlled for contributions of the physical (acoustical) attributes of the musical and non-musical conditions, perceptually, it remains possible that timbre-variation judgment, as opposed to musical identification judgement, was the main cause of the neural shifts. Conversely, we cannot say with certainty that timbre-variation was the cause since the task was based on musical identification and not spectral change judgments. The initial expectation for the current design was that we would have enough trials of musical and non-musical identification for each of the single-noise (non-musical) and tri-noise (musical) streams. However, the response was severely lopsided, limiting our ability to compare EEG between different percepts of the same stimulus type.

CONCLUSION

The current findings extend our understanding of the mechanisms underlying music perception by targeting less common forms of music, pitchless music-like sequences. Despite this deviation from conventional music identity, the neural mechanisms identified herein support a similar dynamic observed with conventional music. Our results have implications for

understanding the evolution of sound perception and music processing. This is particularly relevant in understanding music processing as it relates to the growing population of individuals with auditory prosthetics (e.g., cochlear implants), who have degraded sense of pitch, and the introduction or reintroduction of more basic forms of music (e.g., beat boxing), that historically remained latent.

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Data accessibility

Stimuli and data can be accessed at <https://figshare.com/s/d560fd87ee54c1b5e13a>

FIGURE CAPTIONS

Figure 1: Experimental design. **A.** Colored streams depicting white, pink and brown noise streams of the non-musical condition. Each stream was comprised of 7 segments of the same type of noise. **B.** Colored streams depicting white, pink and brown noise streams of the musical condition. Each stream was comprised of 7 segments of a mix of all three types of noise. Importantly, the mean across the three streams within each condition was the same across the two conditions.

Figure 2: A. musical response proportions for the musical and non-musical stimulus conditions. **B.** Reaction time (RT) for musical and non-musical stimulus conditions.

Figure 3: Auditory evoked potential (AEP) waveforms of significant clusters ($n = 4$, 2 positive (A) and 2 negative (B)). The gray shaded areas represent the significant time windows distinguishing the musical and non-musical AEPs. The negative and positive clusters represent the opposite poles of the same neural sources. Below are the corresponding t-value topographies

reflecting differences between the musical from non-musical conditions. The topographies are consistent with sources that are mainly originating within and/or surrounding HG. Black dotted vertical line indexes sound stream onset (0 ms). The red dotted vertical line indicates the onset of the second noise segment in the stream. Blue dotted vertical line indicates the onset of the fifth segment in the stream.

Figure 4: Top panels. T-value spectrograms indexing significant spectral power differences between the musical and non-musical conditions. **Bottom panels.** T-value topographies reflecting significant spectral power (theta and alpha) differences between the musical and non-musical conditions.

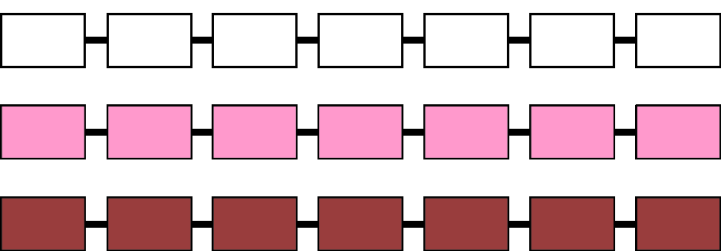
Figure 5: Top panels. T-value spectrograms indexing significant phase-locking differences between the musical and non-musical conditions. **Bottom panel.** T-value topography reflecting significant low-theta phase-locking differences between the musical and non-musical conditions.

Figure 6: Scatterplot of alpha power as a function of theta power, observed within the significant windows, distinguishing the musical and non-musical conditions.

Figure 7: Theta phase-locking, observed within the significant windows, distinguishing the musical and non-musical conditions in musicians and non-musicians.

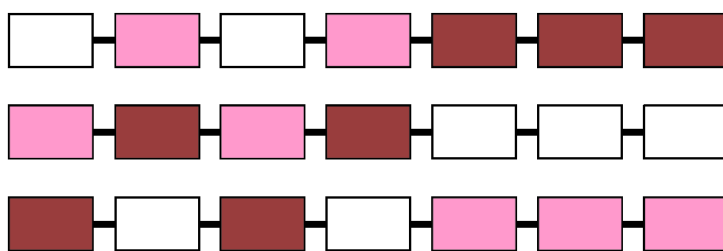
Figure 8: Theoretical framework conveying the temporal dynamics between low- and high-level auditory networks as a function of stimulus complexity and perceptual outcome.

No pitch, constant timbre



Perceived non-musical

No pitch, irregular timbre



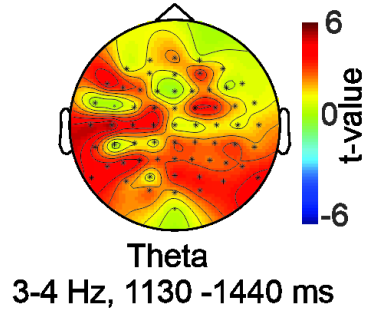
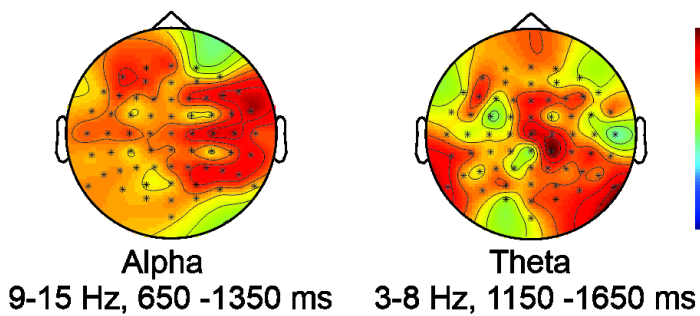
Perceived musical

Brown noise
Pink noise
White noise

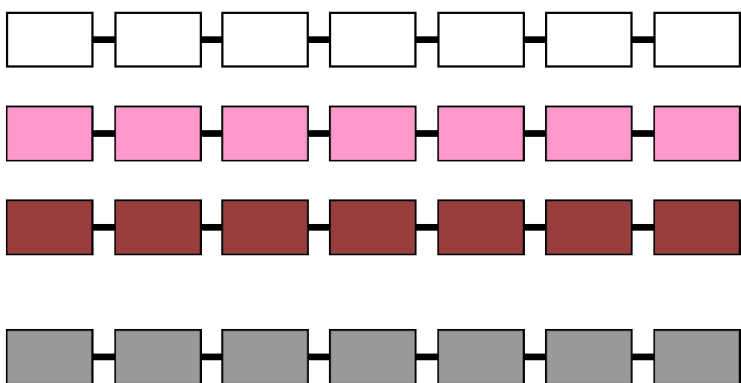
musical vs non-musical (hierchical processing)

spectral power

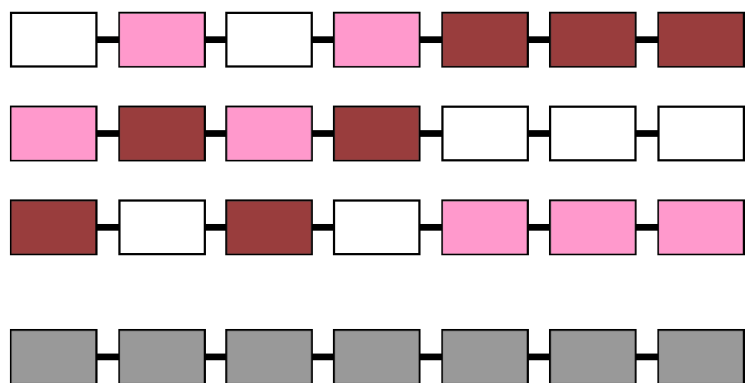
phase-locking



A non-musical



B musical

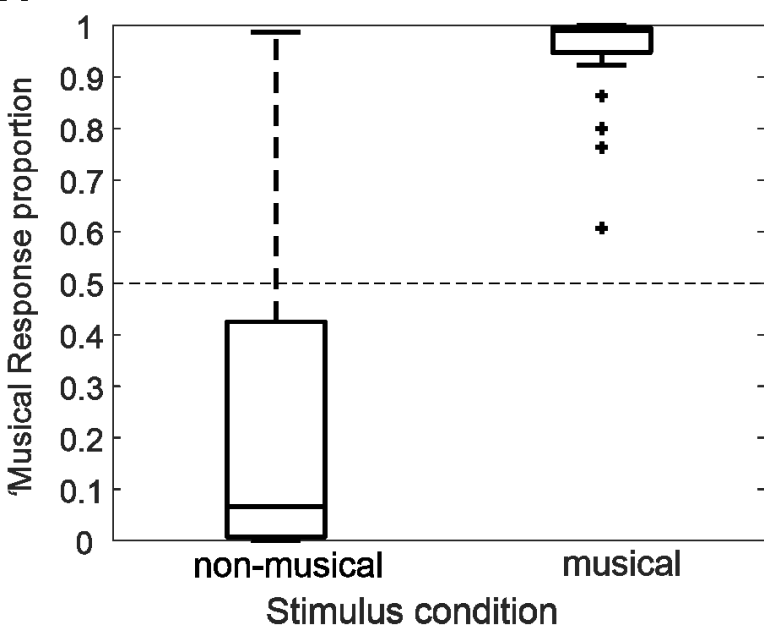
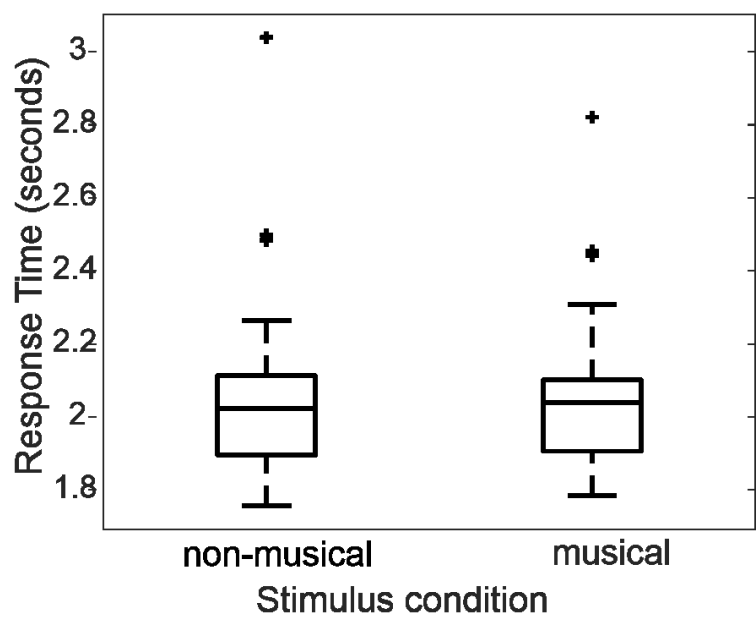


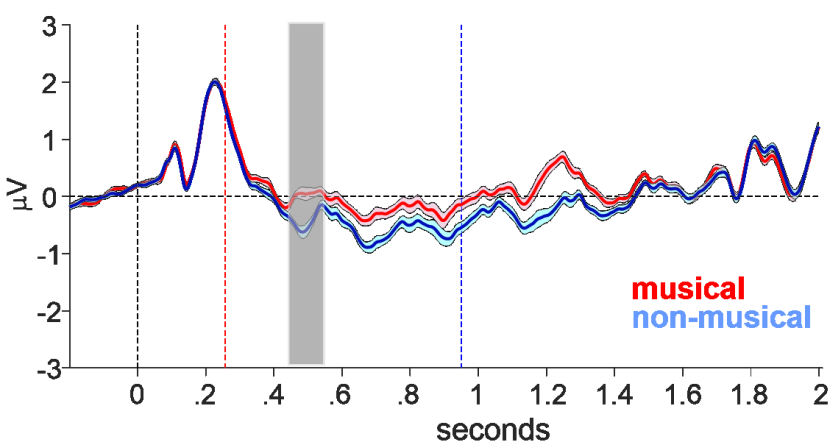
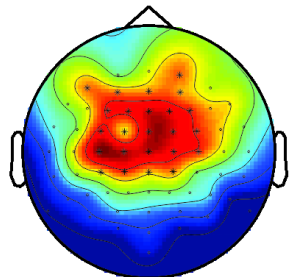
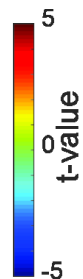
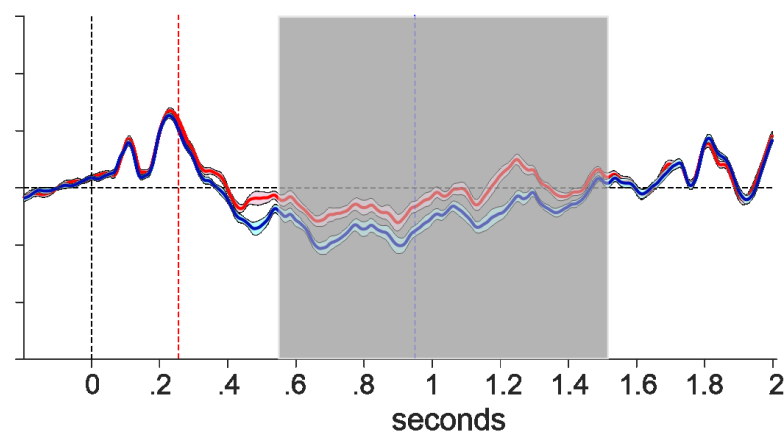
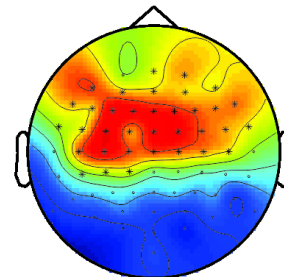
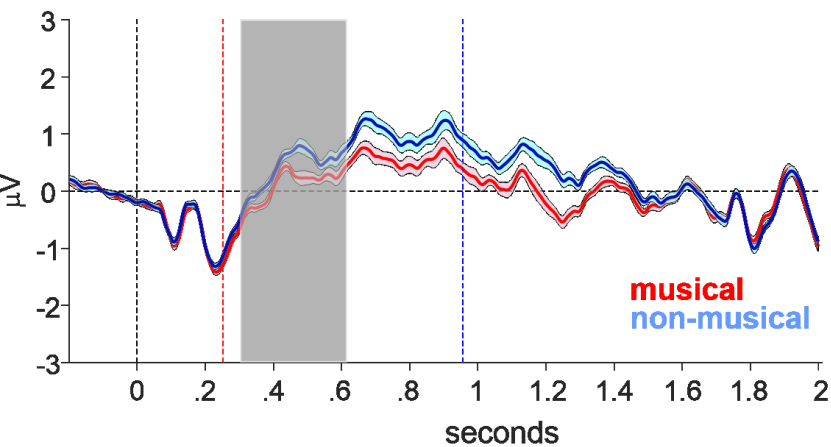
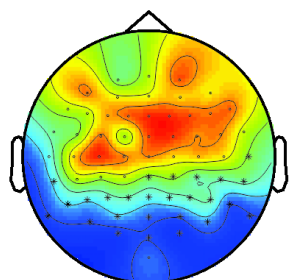
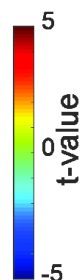
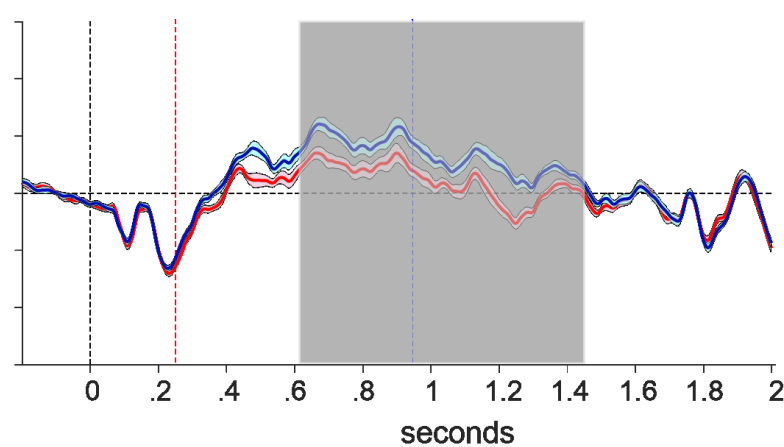
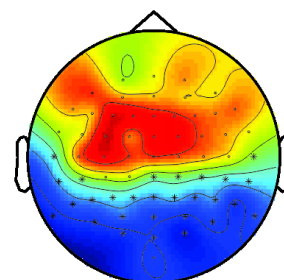
mean

Brown noise

Pink noise

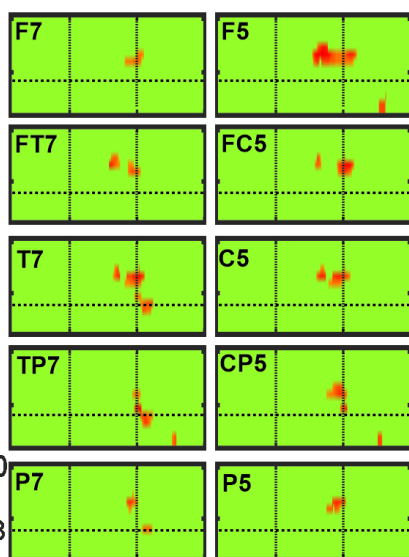
White noise

A**B**

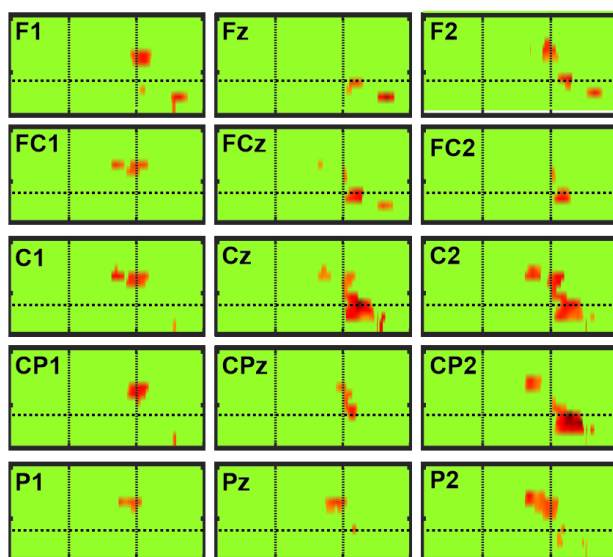
A**Cluster 1 ($p = 0.046$)****0.447s - 0.543s****musical vs non-musical****Cluster 2 ($p = 0.001$)****0.553s - 1.52s****B****Cluster 3 ($p = 0.016$)****0.309s - 0.613s****musical vs non-musical****Cluster 4 (0.001)****0.617s - 1.45s**

musical > non-musical (Spectral Power)

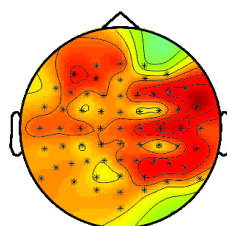
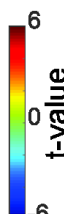
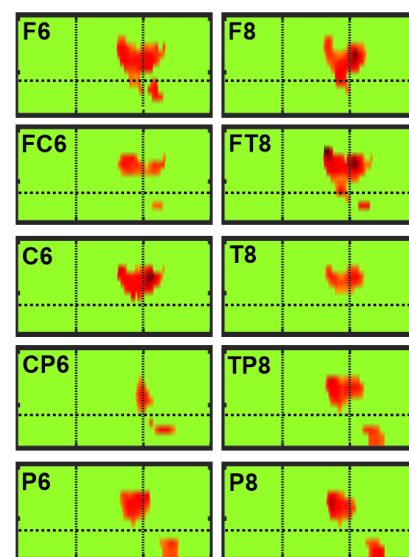
Left channels



Mid channels

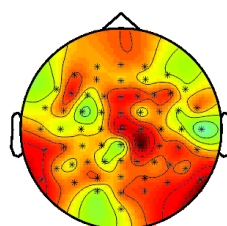


Right channels



Alpha

9-15 Hz, 650 -1350 ms

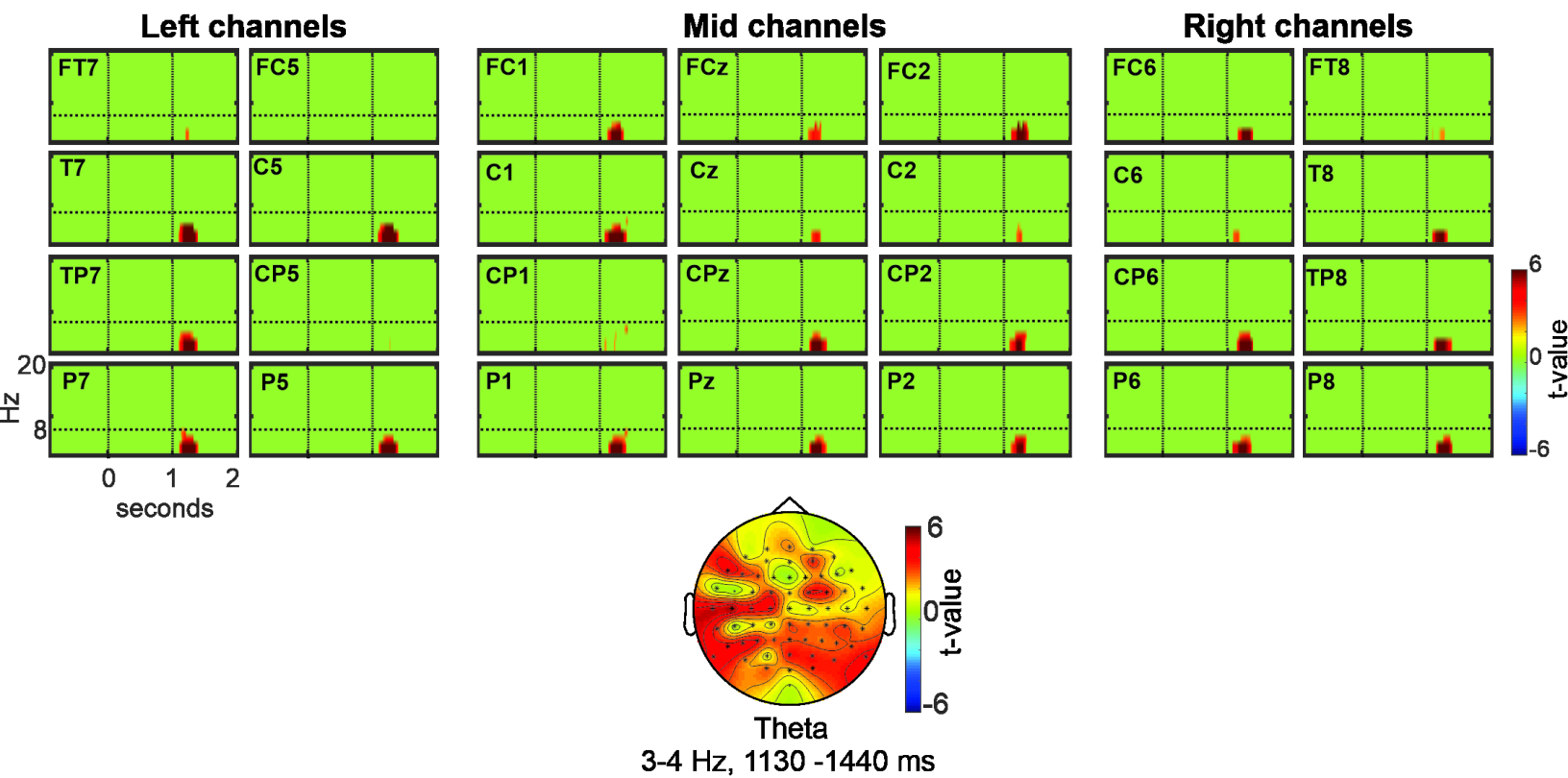


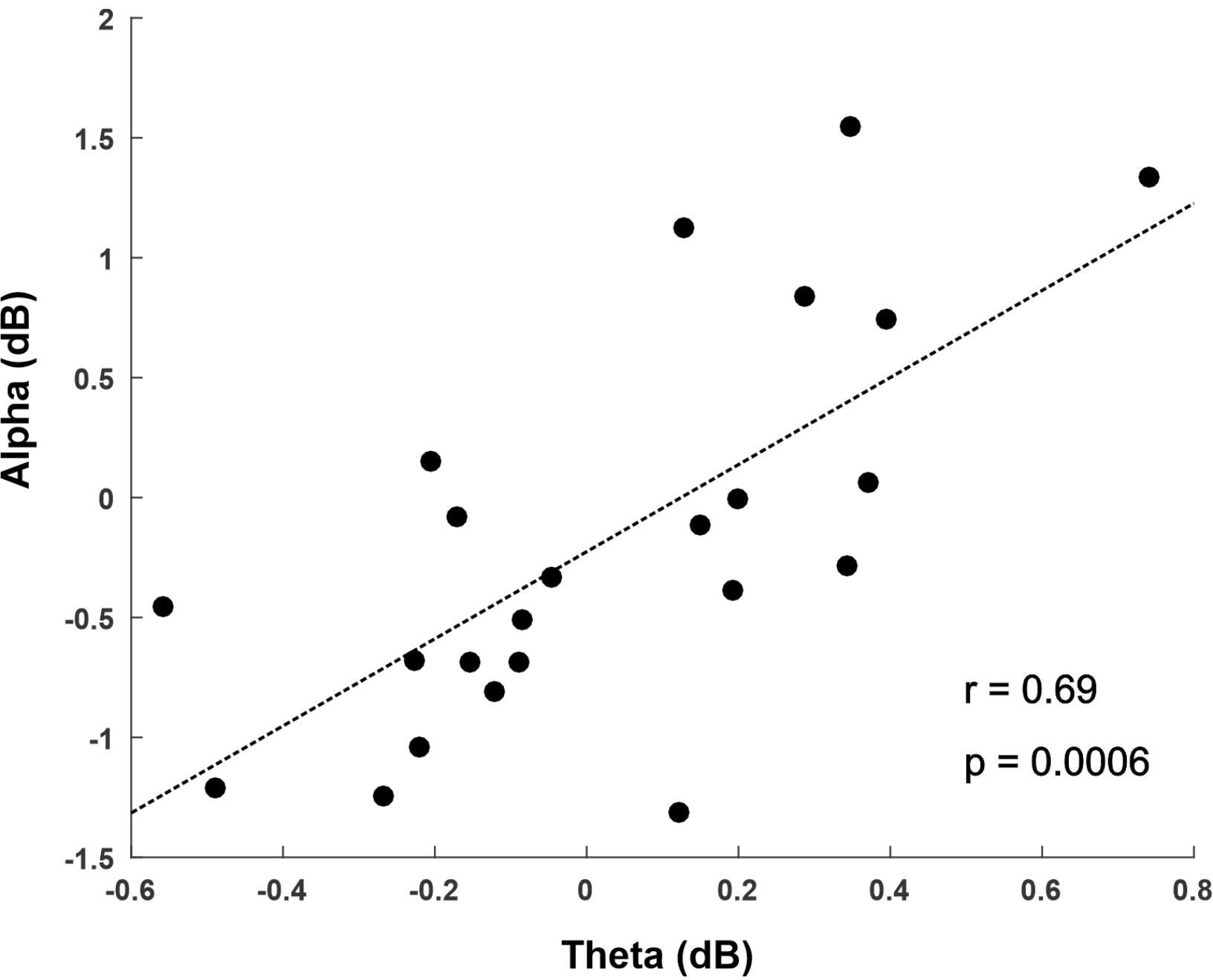
Theta

3-8 Hz, 1150 -1650 ms



musical > non-musical (Phase-locking)





Low-Theta Phase-Locking

