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Inverse modeling of ground surface uplift and pressure with iTOUGH-PEST and TOUGH-FLAC:  
The case of CO<sub>2</sub> injection at In Salah, Algeria

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1 **INVERSE MODELING OF GROUND SURFACE UPLIFT AND PRESSURE**  
2 **WITH iTOUGH-PEST AND TOUGH-FLAC: THE CASE OF CO<sub>2</sub>**  
3 **INJECTION AT IN SALAH, ALGERIA**

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26 **ABSTRACT**

27 Ground deformation, commonly observed in storage projects, carries useful information about  
28 processes occurring in the injection formation. The Krechba gas field at In Salah (Algeria) is one  
29 of the best-known sites for studying ground surface deformation during geological carbon  
30 storage. At this first industrial-scale on-shore CO<sub>2</sub> demonstration project, satellite-based ground-  
31 deformation monitoring data of high quality are available and used to study the large-scale  
32 hydrological and geomechanical response of the system to injection. In this work, we carry out

33 coupled fluid flow and geomechanical simulations to understand the uplift at three different  
34 CO<sub>2</sub> injection wells (KB-501, KB-502, KB-503). Previous numerical studies focused on the KB-  
35 502 injection well, where a double-lobe uplift pattern has been observed in the ground-  
36 deformation data. The observed uplift patterns at KB-501 and KB-503 have single-lobe patterns,  
37 but they can also indicate a deep fracture zone mechanical response to the injection.  
38 The current study improves the previous modeling approach by introducing an injection reservoir  
39 and a fracture zone, both responding to a Mohr-Coulomb failure criterion. In addition, we model  
40 a stress-dependent permeability and bulk modulus, according to a dual continuum model.  
41 Mechanical and hydraulic properties are determined through inverse modeling by matching the  
42 simulated spatial and temporal evolution of uplift to InSAR observations as well as by matching  
43 simulated and measured pressures. The numerical simulations are in agreement with both spatial  
44 and temporal observations. The estimated values for the parameterized mechanical and hydraulic  
45 properties are in good agreement with previous numerical results. In addition, the formal joint  
46 inversion of hydrogeological and geomechanical data provides measures of the estimation  
47 uncertainty.

48 **Keywords:** Geomechanics, CO<sub>2</sub> sequestration, inverse modeling, coupled modeling, TOUGH-  
49 FLAC, iTOUGH-PEST

## 501. INTRODUCTION

51 Large-scale deep underground CO<sub>2</sub> injection represents a viable option for reducing carbon  
52 emissions to the atmosphere (Pacala and Socolow, 2004). The feasibility of geological carbon  
53 sequestration is, however, questioned by the potential for inducing seismicity and altering the  
54 sealing capacity of a storage site (Zoback and Gorelik, 2012), despite the fact that large events in  
55 sedimentary formations are unlikely (Vilarrasa and Carrera, 2015). Notwithstanding the potential  
56 for large events, microseismic events may still occur as observed at several CO<sub>2</sub> projects (e.g. at

57Weyburn in Canada, Decatur in USA, Lacq-Rousse in France), most of them with negative  
58magnitude (Verdon and Stork, 2016).

59The presence of microseismicity indicates that rock stress and strain vary in response to carbon  
60dioxide injection; a coupled fluid flow and geomechanics model may provide understanding of  
61the various processes occurring at depth (Rutqvist, 2012). Recently, several efforts aimed at  
62developing reliable codes for the study of coupled processes occurring during deep carbon  
63injection (e.g. Rutqvist et al., 2002; Vilarrasa et al., 2010; Rutqvist, 2011; Bissell et al., 2011;  
64Kolditz et al., 2012; Jha and Juanes, 2014). Sufficiently accurate characterization of a carbon  
65storage site requires the integration of a large amount of data into a predictive model. An inverse  
66modeling approach is needed to assess the relevance of parameters for reproducing the available  
67data, determining the error of the estimated parameters and how this uncertainty is propagated to  
68model predictions.

69In this study, we demonstrate the use of the coupled fluid flow and geomechanics inverse  
70modeling approach by applying it to data from the In Salah CO<sub>2</sub> demonstration site.

71The In Salah CO<sub>2</sub> Storage Project in Algeria was in operation between 2004 and 2011 and was  
72the first on-shore, industrial-scale demonstration site for CO<sub>2</sub> sequestration. Via three injection  
73wells (KB-501, KB-502, KB-503), about 4 million tons of carbon dioxide were injected into a 20  
74m thick, water-filled reservoir at a depth of about 2000 m. The three wells were drilled  
75horizontally with a length between 1 and 1.5 km. A large caprock overburden with a thickness of  
76about 900 m prevented the CO<sub>2</sub> from escaping to shallow depths (Ringrose et al., 2013).

77The In Salah demonstration site is also well known for the comprehensive characterization and  
78monitoring effort, including wellhead sampling, down-hole logging, core analysis, surface gas  
79and groundwater aquifer monitoring, tracers, 4D seismic, and satellite InSAR data (Mathieson et

80al., 2011). Such InSAR data provide essential information for the development of a reliable  
81model through the inverse analysis of coupled fluid flow and geomechanics.  
82In the first part of this study, we analyze the evolution of deformation and pressure at the KB-502  
83injection well, where a double-lobe uplift feature has been observed by analysis of satellite data.  
84Such a feature has been explained by both semi-analytical and numerical modeling as the  
85opening of a deep fracture (Vasco et al., 2010; Rutqvist et al., 2011; Rinaldi and Rutqvist, 2013).  
86Analysis of 3D seismic images also confirmed the presence of such a linear feature at reservoir  
87depth (Gibson-Poole and Raikes, 2010; Wright, 2011). Recent numerical studies by Rinaldi and  
88Rutqvist (2013) showed in more detail that this linear feature (modeled as a fracture zone near  
89KB-502) is confined within the caprock, unlikely to have resulted in CO<sub>2</sub> leakage into the  
90overlying aquifer. Assuming a fracture zone of limited height, previous studies were able to  
91match most available field observations, including the transient evolution of uplift and pressure,  
92as well as the shape of surface deformations. However, such previous studies did not address the  
93error associated with parameter estimation, and no sensitivity analysis was performed to properly  
94assess the relation between these uncertain parameters and predicted state variables.  
95In the second part of this paper we perform inverse modeling of the injection and related ground  
96surface uplift at injection wells KB-501 and KB-503. The earliest numerical simulations of KB-  
97501 and KB-503 by Rutqvist et al., (2010), showed a good agreement between observations and  
98simulations in terms of maximum surface uplift, without considering the extension of the fracture  
99within the sealing formation. Recently Rucci et al. (2013), using more comprehensive surface  
100deformation data including vertical and horizontal displacement components, showed that an  
101extensional opening might have occurred within the caprock at injection wells KB-501 and KB-  
102503, similarly to KB-502. Here we present inverse modeling results assuming both intact and  
103partially fractured caprocks.  
104Starting from the results achieved by Rinaldi and Rutqvist (2013) on KB-502, we first improve  
105the forward model with TOUGH-FLAC (Rutqvist, 2011) by accounting for a reactivation

106 criterion for the fracture zone and the injection reservoir. We also account for the changes in  
107 permeability associated with the stress evolution. Afterwards, we use our model within an  
108 inverse modeling framework of iTOUGH2-PEST (Finsterle and Zhang, 2011), which includes  
109 parameter estimation, sensitivity and uncertainty analyses and apply it to all three injection wells  
110 On one hand, the TOUGH-FLAC simulator (Rutqvist, 2011) couples the TOUGH2 simulator for  
111 fluid flow in porous media (Pruess et al., 2011) with the FLAC3D simulator for geomechanics  
112 and deformation (Itasca, 2009). The applications of TOUGH-FLAC cover geothermal (e.g.,  
113 Jeanne et al., 2015; Rinaldi et al., 2015a), nuclear waste disposal (e.g., Rutqvist et al., 2014),  
114 compressed air storage systems (Rutqvist et al., 2012), shale gas (Rutqvist et al., 2013; 2015), as  
115 well as geologic carbon sequestration (Rutqvist et al., 2008; 2010) and related induced seismicity  
116 (Cappa and Rutqvist, 2011; Rinaldi et al., 2014a, 2014b, 2015b; Rutqvist et al., 2014, 2016; Urpi  
117 et al., 2016). On the other hand, iTOUGH2 (Finsterle, 2004; 2007; Finsterle et al., 2014) has  
118 been largely used for sensitivity analysis and parameter estimation for several hydrogeological  
119 application (e.g., Doetsch et al., 2013; Finsterle et al., 2013; Poskas et al., 2014; Wainwright et  
120 al., 2013; Yuan et al., 2015). Thanks to the PEST protocol (Doherty, 1994), the use of the inverse  
121 capabilities of iTOUGH2 can be extended to any numerical forward model; here, we apply for  
122 the first time the code iTOUGH2-PEST (Finsterle and Zhang, 2011) to a coupled fluid flow and  
123 geomechanics application.

## 1242. **FIELD DATA AND MODELING APPROACH**

### 1252.1 **Data from the In Salah CO<sub>2</sub> storage site**

126 Several data sets were collected at In Salah before and during injection. The principal stress  
127 orientation as well as the velocity model was obtained from seismic surveys and well log  
128 analyses, while *in-situ* leak-off tests provided the minimum stress magnitude (Gibson-Poole and

129Raikes, 2010; Iding and Ringrose, 2010; Wright, 2011; Gemmer et al., 2012; Shi et al., 2012;  
130White et al., 2014).

131Although these analyses are extremely useful to set model properties, the transient evolution of  
132uplift as well as injection rates and well pressures play a crucial role in developing a well-  
133constrained coupled fluid flow and geomechanical model. Fig. 1a shows an InSAR image  
134(MDA/Pinnacle Technologies, Wright, 2011) of the rate of satellite-to-ground distance change  
135between November 2003 and March 2010. The CO<sub>2</sub> injection caused a ground surface uplift up  
136to 20 mm after about 6 years of injection activity. The transient evolution of ground-surface  
137displacement along the satellite's Line of Sight (LOS) for the three injection wells is shown in  
138Fig. 1b, for a point located near the maximum uplift. We observe an almost linear increase in  
139uplift for injection wells KB-501 (orange line) and KB-503 (cyan line), reaching about 20 mm  
140and 15 mm in 2010, respectively. The transient evolution at KB-502 (red line) shows that the  
141uplift undergoes a strong increase after the first few months of injection (up to about 15 mm in 1  
142year), followed by a slower subsidence rate after shut in in mid-2007. Such transient evolution  
143(Fig. 1b) is used as observation for the inverse modeling, accounting for a standard deviation of 2  
144mm (Donald Vasco, LBNL, personal communication).

145A detailed view of the uplift at the three wells after about 2 years of injection (December 23,  
1462006) is shown in Figs. 1c-e. A bell-shaped, slightly elongated pattern of deformation arises for  
147KB-501 and KB-503 (Fig. 1c and 1e, respectively), and (as mentioned above), some authors  
148suggest that this deformation may result from a fracture zone opening at depth (Rucci et al.,  
1492013). In both cases, LOS displacement reaches about 8 mm of deformation at 500 m SE of the  
150injection well and is about 4 mm and 2 mm along a profile 1700 m SE of the injection well for  
151KB-501 and KB-503, respectively (Fig. 1f and 1h). Fig. 1d shows the above-mentioned double-  
152lobe feature that was observed at KB-502. This pattern of deformation has been interpreted as  
153arising from a vertical feature opening at depth of injection (Vasco et al., 2010; Rutqvist et al.,

1542011), a feature that was confirmed by 3D seismic images (Gibson-Poole and Raikes, 2010) and  
155corroborated by detailed numerical studies (Rinaldi and Rutqvist, 2013). The double-lobe uplift  
156is also clear in Fig. 1g, which shows the uplift along two profiles. The ground surface reached  
157about 16 mm and 12 mm displacement at 500 m and 1700 m NW of the injection well,  
158respectively. The displacement along these arbitrary profiles (Fig. 1f-h) is used as observational  
159data control for the inverse modeling, and compared to the results of numerical simulations. Also  
160in this case we account for 2 mm standard deviation (Donald Vasco, LBNL, personal  
161communication).  
162The In Salah storage site was not only characterized by InSAR monitoring. Indeed, wellhead  
163pressure and injection rate were continuously monitored. Fig. 2 shows the injection rate (red line)  
164and the wellhead pressure (black line) monitored at the three wells. The bottomhole pressure  
165(blue line) was calculated from wellhead pressure by using the code T2Well (Pan et al., 2011).  
166In this study we focus on the injection until August 2008. Fig. 2 shows how CO<sub>2</sub> was  
167continuously injected at KB-501 and KB-503. For KB-502 the injection was suspended in mid-  
1682007 after CO<sub>2</sub> was discovered at the wellhead of a nearby old appraisal well (Mathieson et al.,  
1692011); injection was restarted in mid-2009. The injection was definitively suspended in June  
1702011 due to concerns about the integrity of the sealing caprock (Ringrose et al., 2013). The  
171injection rates (red line in Fig. 2) are used as input for the model, and the calculated bottomhole  
172pressure (blue line) is used as further observation, to be compared with the numerical results.  
173Regarding the error associated with the bottomhole pressure, we consider a 2 MPa standard  
174deviation, which was calculated using a best fit (cubic relationship) of T2well results accounting  
175for injection rates and wellhead pressure measurements

## 1762.2 Coupled fluid flow and geomechanical forward modeling setup

177Forward simulations were carried out with the simulator TOUGH-FLAC (Rutqvist, 2011), which  
178solves hydromechanical problems by sequentially linking the multiphase and multicomponent



179and heat-transport simulator TOUGH2 (Pruess et al., 2011) with the geomechanical simulator  
180FLAC3D (Itasca, 2009). The sequential approach between the simulators involves data exchange  
181and calculation of parameter variation accounting for THM model. Data from TOUGH2 (namely  
182pressure, temperature, and phase saturation) are passed to FLAC3D for calculation of effective  
183stress and strain variation. Then, data from FLAC3D can be used to compute hydromechanical  
184parameters variation through empirical model (e.g. Rinaldi et al., 2014). Changes in  
185hydromechanical parameters will affect in turn the pressure and stress solution. A detailed  
186formulation can be found elsewhere (Rutqvist, 2011).  
187The modeling setup presented here closely follows the one proposed by Rinaldi and Rutqvist  
188(2013). Fig. 3 shows the computational domain with  $x$ -direction corresponding to the NW-SE  
189direction. The hydrogeological model consists of four layers, whose properties are listed in Table  
1901. The mechanical model is slightly more detailed, accounting for more layers with the  
191hydrogeological caprock section. The mechanical properties, listed in Table 2, closely follow  
192estimates from well log analyses (Gemmer et al., 2012).  
193Initial temperature and pressure gradients are taken from field investigations. The injection  
194reservoir is at an initial temperature of 90 °C with a pore pressure of about 18 MPa. Lateral  
195boundaries are at constant condition, while the bottom boundary is set as a no-flow and no-  
196vertical displacement boundary.  
197The CO<sub>2</sub> injection takes place in a 20 m thick reservoir at a depth of 1820 m. The injection rates  
198closely following the values shown in Fig. 2, for the corresponding injection well, which was  
199simulated as 1000 m-long.  
200The medium is poroelastic, with the exception of the storage reservoir and deep fracture zone,  
201both subjected to a failure criterion. The initial stresses also follow field observations, with:  
202 $\sigma_{xx}=25.1$  MPa/km,  $\sigma_{yy}=15.8$  MPa/km, and  $\sigma_{zz}=22.2$  MPa/km.  
203Following the modeling approach by Rinaldi and Rutqvist (2013), we model, unless otherwise  
204specified, the opening of a deep fracture zone at reservoir depth, extending for 350 m upward  
205into the lower caprock. The length and position of this linear feature closely follow the findings

206by Rucci et al. (2013). The novelty of the approach presented here consists in the use of a Mohr-  
 207Coulomb criterion to determine when such a pre-existing fracture zone reactivates. After  
 208reactivation, the tensile opening is simulated by using an orthotropic model.  
 209All the hydraulic and mechanical parameters are constant, with the exception of reservoir perme-  
 210ability and bulk modulus, which change as a function of mean effective stress.

### 211 **2.2.1 Stress-dependent reservoir permeability and bulk modulus**

212 Compared to the paper by Rinaldi and Rutqvist (2013), the coupled fluid flow and  
 213 geomechanical formulation is here improved by accounting for the evolution of the reservoir  
 214 permeability. While the previous work employed a step-wise permeability change, with values in  
 215 agreement with an analytical solution, here we fully coupled the permeability to the effective  
 216 stress subjected to a failure condition.  
 217 We assume that the injection reservoir is highly fractured and subjected to the Mohr-Coulomb  
 218 failure criterion for a given friction angle  $\varphi_{res}$ , defined by:

$$219 \quad f = \sigma_1 - \frac{1 + \sin \varphi_{res}}{1 - \sin \varphi_{res}} \sigma_3 \quad (1)$$

220 When the principal stresses  $\sigma_1$  and  $\sigma_3$  within the injection reservoir satisfy the criterion, the  
 221 permeability and the bulk modulus vary as a function of the mean effective stress.  
 222 Several approaches have been proposed to address the relationship between stress and  
 223 hydromechanical properties, mostly referring to in-situ or laboratory data (Rutqvist, 2015 – and  
 224 reference therein). Rock permeability is often related to changes in fracture aperture  
 225 (Whiterspoon et al., 1980), which is generally a function (exponential or inverse relationship) of  
 226 the normal effective stress (Rutqvist, 2015). Authors have also used such stress-relationships in  
 227 combination with dilation or slip-tendency approach (e.g. Zhou et al., 2008; Bond et al., 2013).  
 228 Here we employed coupling equations based on a relationship between fracture aperture and  
 229 normal effective stress originally derived by Liu and Rutqvist (2013). Assuming the cubic law  
 230 (Whiterspoon et al., 1980) holds, and referring to the relation between the initial state of stress

231 and the mean effective stress, a stress-dependent permeability can be derived (Rinaldi et al.,  
232 2014c):

$$233 \quad \frac{\kappa}{\kappa_i} = \left( \frac{b}{b_i} \right)^3 = \left( \frac{\gamma_e + \gamma_t e^{\frac{\sigma'_m}{K_{t,f}}}}{\gamma_e + \gamma_t e^{\frac{\sigma'_{m,i}}{K_{t,f}}}} \right)^3 \quad (2)$$

234 where  $b$  and  $b_i$  are the current and initial apertures, and  $\kappa_{hm}$  and  $\kappa_i$  are the permeabilities at the

235 current and initial state of stress, respectively.  $K_{t,f}$  refers to the bulk modulus of the reservoir

236 fractures, and  $\sigma'_m$  is the effective mean stress.  $\gamma_e$  and  $\gamma_t$  represent the unstressed volume fraction

237 for the hard and soft parts of the rock mass, respectively.

238 Following Liu and Rutqvist (2013) and assuming a constant bulk modulus for the porous matrix,

239 we have an effective bulk modulus given by:

$$240 \quad \frac{1}{K_{eff}} = \frac{1}{K_{eff}^i} + \Theta_f \frac{\gamma_t}{K_{t,f}} \left( e^{\frac{\sigma'_m}{K_{t,f}}} - e^{\frac{\sigma'_{m,i}}{K_{t,f}}} \right) \quad (3)$$

241 where  $K_{eff}$  and  $K_{eff}^i$  are the current and the initial bulk modulus, respectively, and  $\Theta_f$  is the volume

242 fraction occupied by fractures, assumed to be 1%.

### 2433.1 Inverse modeling with iTOUGH-PEST and TOUGH-FLAC

244The program iTOUGH2 is used as parameter estimation and optimization framework for the  
 245TOUGH-FLAC coupled fluid flow and geomechanics simulator. The coupling approach  
 246between the two codes is illustrated in Fig. 4. A parameter set estimation is performed in a series  
 247of iterations. For a single iteration, parameters to be calibrated (such as permeability, coupling  
 248parameters, and/or mechanical parameters) are given by iTOUGH2, which calls a PEST protocol  
 249to write input files needed for running TOUGH-FLAC. After completion of the forward run, a  
 250PEST protocol follows instructions to extract from the forward model output files. Finally the  
 251simulated values are analyzed in iTOUGH, which computes residuals with observation and  
 252calculates the parameters set for the next iteration.  
 253In iTOUGH2, residuals are computed as the difference between the measured and simulated  
 254observation (here including pressure and uplift in time and space):

$$255 \quad r_i = z_i^* - z_i \quad (4)$$

256where  $z_i^*$  is the  $i$ -th measured observation and  $z_i$  is the  $i$ -th simulated observation. An overall  
 257measure of the misfit between the data and the model is given by a so-called objective function,  
 258which here is considered as the least-squares function:

$$259 \quad S = \sum_{i=1}^m \frac{r_i^2}{\sigma_{z_i}^2} \quad (5)$$

260where  $\sigma_{z_i}^2$  is the variance associated with the  $i$ -th observation, and  $m$  represents the total number  
 261of observations. The best estimated parameter set is the one that minimize such objective  
 262function, and the error estimation on the estimated parameters is given by the topology of the  
 263objective function around its minimum. In this work we use a Levenberg-Marquardt algorithm to  
 264minimize the objective function. Among the iTOUGH2 capabilities, there is also the possibility

265to evaluate the sensitivity coefficients showing the impact of a small parameter change on the  
 266model results.  
 267The main advantage of an inverse modeling approach it is not only limited to the estimation of  
 268the unknown parameters, but it can also provide uncertainties on such parameters providing a  
 269range of suitable values reproducing the observation. Exploring the uncertainty ranges in  
 270estimated parameters constitutes a significant step compared to the previous work (e.g. Rinaldi  
 271and Rutqvist, 2013). Moreover the enhanced sensitivity analysis performed during inversion  
 272helps choosing the most relevant and critical parameters, giving insights on the processes  
 273occurring at depth.

### 2743. INVERSE MODELING FOR KB-502 INJECTION WELL

#### 2753.1 Parameter estimation

276In this section we focus on the application of the approach for inverse modeling with iTOUGH2-  
 277PEST and TOUGH-FLAC to study the injection and deformation at well KB-502. Inverse  
 278modeling is conducted to estimate the values for some of the mechanical and hydraulic  
 279properties that minimize the misfit between simulated and observed data. For injection well KB-  
 280502, Rinaldi and Rutqvist (2013) were able to reproduce the observed uplift and pressure  
 281evolution with reasonable detail. However, the unknown parameters were estimated to obtain a  
 282reasonable match, and might not have constituted a unique solution. Here we extend the previous  
 283finding with a more accurate parameter estimation, error analysis, and sensitivity of the results to  
 284parameter variations.  
 285Parameters to be estimated for injection well KB-502 are: (i) friction angle of the injection  
 286reservoir, (ii) friction angle of the deep fracture zone, (iii) bulk modulus for stress-dependent  
 287permeability (Eq. 2), and (iv-vi) the three Young's moduli in the three directions for the deep  
 288fracture zone ( $E_x$ ,  $E_y$ , and  $E_z$ ), as needed for an orthotropic model. Initial guesses for the  
 289parameters can be found in Table 3; they closely follow the values by Rinaldi and Rutqvist

290(2013). The initial permeability of the injection reservoir is not considered an adjustable  
291parameter; it was taken from the previous work.  
292Simulation results are compared with four field observations, as described above: (i) bottom-hole  
293pressure, (ii) transient evolution of the LOS displacement on a single point located above the  
294injection well, and (iii) and (iv) two different profiles located at 500 m and 1700 m, respectively,  
295northwest and parallel to the injection well (Figs. 1 and 2).  
296We use the Levenberg-Marquardt algorithm to minimize the misfit between model results and  
297field data. A reasonably good match was achieved with six iterations.  
298The best estimate for the parameters after inversion can be found in Table 3. All the parameters  
299are estimated with a relative error smaller than 1%, with values consistent with previous  
300numerical results. The weighted least-square objective function is reduced from an initial value  
301of 1189.6 to 99.23, and the maximum weighted residual it reduced from about 45 to 15.  
302Fig. 5 shows the comparison between model results and field observations. We find an excellent  
303match for the bottomhole pressure (Fig. 5a), with the simulated pressure (orange line) consistent  
304with one standard deviation from field observations (2 MPa, gray area). Major differences are  
305found after shut-in, probably related to the fact that the model only accounts for the open section  
306of the well. Fig. 5b and 5c show the comparison between simulated and observed LOS ground  
307surface uplift, along the two profiles. Also in this case we achieve a good match, although we  
308overestimate the uplift in the region far from the double-lobe region. Finally, Fig. 5d shows the  
309resulting transient evolution of the LOS displacement at a single point. The simulated evolution  
310is in excellent agreement with the observed data within one standard deviation (2 mm, gray area).  
311For completeness, we also show the comparison between the simulated and observed pattern of  
312deformation (Fig. 6). Although we do not use the entire map as observation for the inverse  
313analysis, Fig. 6 shows how the simulation is able to reproduce the observed double-lobe uplift.

### 3143.2 Sensitivity analysis

315 The results of a local sensitivity analysis are summarized in Fig. 7, which shows sensitivity  
316 coefficients scaled by the expected parameter variation and the measurement error as a function  
317 of time. Further information about the scaling of sensitivity coefficients can be found elsewhere  
318 (Finsterle, 2015). Fig. 7a shows that the bottomhole pressure is very sensitive to a change in  $K_t$   
319 (parameter largely affecting the permeability). The pressure is also affected by mechanical  
320 parameters, such as the bulk modulus of the deep fracture zone in vertical direction ( $E_z$ ). The  
321 friction angle of the fracture zone ( $\phi_{res}$ ) has a minor effect, visible only at the time of reactivation  
322 (around 2006).  
323 Fig. 7b and 7c show the sensitivities for the LOS displacement along the two profiles. As  
324 expected, the surface uplift highly depends on the Young's moduli of the deep fracture zone in  
325 the three different directions. The profiles are inversely correlated to  $E_z$  and directly correlated to  
326  $E_y$ , suggesting more opening compared to the uplift of the fracture zone (an increase in the  
327 vertical Young's modulus can be partly compensated by a decrease in the horizontal Young's  
328 modulus). It is worth noting that the parameter  $K_t$  has also some effect on deformation,  
329 suggesting that a coupled fluid and geomechanics model is essential to capture all the features of  
330 a complex interacting system. Interestingly, the LOS displacement along the profiles is not  
331 sensitive to parameter changes in the far field (i.e., 5 km from the injection region along the  
332 profile). Finally, Fig. 7d shows the sensitivity analysis for the transient evolution of the LOS  
333 displacement. This observation has a sensitivity similar to the one seen for the profiles. However,  
334 the transient evolution of the LOS displacement is only slightly sensitive to the chosen  
335 parameters before fracture reactivation.

### **3363.3 Residual analysis**

337The results of the analysis of the misfit between simulations and field observations are shown in  
338Fig. 8. All the simulated results are in very good agreement with the field observations, with  
339residuals within the assumed errors for each observation.

340Fig. 8a shows the misfit for the bottomhole pressure. The misfit between simulation and data is  
341limited to the range -2 to 2 MPa (i.e. one standard deviation), with only few exceptions after  
342shut-in. We accounted for such large errors in pressure because the bottomhole pressure is  
343calculated from wellhead pressures and the injection rate by using the code T2Well. Conceptual  
344and parametric uncertainties in the wellbore simulator increase the expected residual between  
345calculated and measured wellhead pressure. For the LOS displacement along the profiles, the  
346misfit is limited to the range between -2 and 2 mm for most of the observations. Residuals are  
347small in the double-lobe region (less than 2 mm), and increase in the far field, probably due to  
348vertical expansion of the underburden, which has a non-zero permeability ( $10^{-19} \text{ m}^2$ ) and it might  
349get pressurized over the 2 years injection (Fig. 8b and 8c). It is also worth noting that our model  
350does not account for possible hydrogeological heterogeneities that may affect the pressure  
351distribution in the injection reservoir. The analysis of the residuals for the temporal evolution of  
352LOS displacement shows that the misfit between simulation and field data is always smaller than  
353the 2 mm error associated with InSAR measurements (Fig. 8d).

## **3544. APPLICATION TO INJECTION WELLS KB-501 AND KB-503**

### **3554.1 Inversion cases**

356Simulations at KB-501 and KB-503 are presented here to understand whether a fracture zone,  
357similar to the one observed at KB-502, might have been reactivated at depth. For both injection  
358wells we performed three inversions. The first inversion does not account for the presence of a  
359fracture zone, and follows a simpler formulation with an intact caprock as used in the first In



360 Salah modeling by Rutqvist et al. (2010). The parameters estimated in this inversion are: (i)  
361 initial permeability, (ii) friction angle of the injection reservoir, (iii) bulk modulus for stress-  
362 dependent permeability (Eq. 2), and (iv) permeability of the caprock. The second inversion  
363 accounts for a reactivating fracture zone, whose dimensions closely follow the results by Rucci  
364 et al. (2013). Such a fracture zone can reactivate subject to a Mohr-Coulomb failure criterion,  
365 and once reactivated is modeled as an orthotropic elastic material, similarly to KB-502. For this  
366 inversion case, the following parameters are estimated: (i) initial permeability and (ii) friction  
367 angle of the injection reservoir, (iii) bulk modulus for stress-dependent permeability (Eq. 2), (iv)  
368 friction angle of the fracture zone, and (v-vii) the three Young's moduli in the three directions for  
369 the deep fracture zone. Finally, the third inversion case accounts for a deep opening that is pre-  
370 active at the start of injection operations; therefore, we do not consider the friction angle of the  
371 fracture zone as an adjustable parameter for this inversion case.

#### 372 **4.2 Inverse modeling of KB-501 injection well**

373 The results of the inversion for injection well KB-501 for the three cases are summarized in 9.8,  
374 while the estimated parameters are listed in Table 4. The three inversion cases result in equally  
375 good matches as measured by the objective function (about 500). The maximum weighted  
376 residual has a value of about 35 for the inversion without considering a fracture zone, while it  
377 increases up to about 100 for both cases with a fracture zone.

378 The inversions result in an overall agreement between simulated and calculated bottomhole  
379 pressure, with residuals within one standard deviation (2 MPa) for all the three cases, and only  
380 few minor differences among them (Fig. 9a). Although the inversions capture the general trend, the  
381 numerical results highly overestimate the pressure at early stage, i.e. before the reservoir  
382 permeability starts to change following Eqs. 1 and 2. Afterward the pressure decreases, following  
383 the general trend observed in the field, although underestimating the observation in the period

384between 2007-2008. Figs. 9b and 9c show the resulting LOS displacement along two chosen  
 385profiles in comparison with the observed LOS deformation (Fig. 1g). Results suggest that a  
 386model without fracture may better reproduce the observations: indeed for the profile at 500 m,  
 387only the model with intact caprock is able to simulate the observed trend (Fig. 9b, orange line),  
 388while the model with fracture overestimates or underestimates the LOS displacement, for the  
 389case of fracturing and pre-fractured caprock, respectively (Fig. 9b purple and green lines). For  
 390the profile at 1700 m, the models with pre-fractured and intact caprock are similar (Fig. 9c  
 391orange and green lines, respectively), while the case of fracturing caprock results in an  
 392overestimated LOS displacement (Fig. 9c purple line). The model with intact caprock also well  
 393reproduces the observations throughout the entire simulation (Fig. 9d, orange line), within the  
 394associated standard deviation of 2 mm (gray area). The model with a reactivating fracture well  
 395represents the first month of injection (Fig. 9d purple line), while the model with pre-active  
 396fracture is able to reproduce the observation at late stage (Fig. 9d, green line).

397The model with intact caprock seems to better reproduce the observed evolution, according to  
 398the results of the numerical inversion. However, the shape of deformation at the surface does not  
 399capture the observed pattern of LOS displacement. Indeed, as shown in Fig. 10, only a model  
 400with a fracture zone is able to reasonably well represent the observed pattern of deformation (i.e.,  
 401elongated bell-shaped, Fig. 10c-d). The model with a pre-active fracture zone is also able to  
 402reproduce the overall average LOS displacement (i.e., around 9 to 10 mm).

#### 4034.2 **Inverse modeling of KB-503 injection well**

404The inversions for KB-503 result in findings similar to what is observed for injection well KB-  
 405501. The results for the three cases analyzed are shown in Fig. 11. For this injection well, the  
 406pressure is slightly underestimated, especially for the case of the reactivating fracture (Fig. 11a,  
 407purple line). The cases of intact caprock and pre-active fracture zone well reproduce the

408 observation within the associated standard deviation of 2 MPa (Fig. 11a, orange and green lines,  
409 respectively). In terms of uplift, the case of an intact caprock well reproduces the maximum  
410 observed LOS displacement (Fig. 11b and 11c, orange line), while both models with fracture  
411 zones largely overestimate the displacement along the profiles (Fig. 11b and 11c, purple and  
412 green lines), with a simulated LOS displacement up to 15 mm, compared to the measured value  
413 of 9 mm. Finally, all the models fail to properly reproduce the transient evolution of the LOS  
414 displacement. In fact, while the observed variation presents a slight subsidence phase during  
415 active injection, all models simulate a somewhat linear increase in uplift. Although the observed  
416 subsidence can also be interpreted as related to the selected monitoring point, and it could vary  
417 quite a lot with the location, the final simulate uplift overestimate between 5 and 10 mm.

418 Similar to the case of KB-501, the model with intact caprock seems the most appropriate, given  
419 the lowest value of the objective function for the analyzed observations. However, also for KB-  
420 503, the pattern of deformation simulated for the case of intact caprock does not match the  
421 observation (Fig. 12b), while a model with fracture is able to reproduce the elongate bell-shape  
422 pattern of deformation (Fig. 12c and 12d).

### 423 5. CONCLUSIONS

424 We conducted joint inversions of coupled fluid flow and geomechanics associated with the CO<sub>2</sub>  
425 storage operations, accounting for the large amount of data collected at the In Salah on-shore  
426 demonstration site. Starting from numerical simulations performed in the past, we improved the  
427 forward model with TOUGH-FLAC. We then performed for the first time an inverse analysis  
428 using iTOUGH2-PEST to estimate uncertain parameters of a coupled fluid flow and  
429 geomechanics simulation. We also evaluated the error associated with the estimated parameters,  
430 and studied the sensitivity of the model output to the parameters of interest. This key step in

431estimating the uncertainties on critical parameters constitutes the key novelty of the current  
432approach compared to previous models (e.g. Rinaldi and Rutqvist, 2013).  
433In the first part of this work, we applied the approach to the case of the KB-502 injection well. A  
434model reproducing most of the observed transient data for this injection well was already  
435presented in past works. We used the previous model to test our approach, but accounted for an  
436improved relationship between stress and permeability. Results show that the inverse modeling  
437approach is able to fit the observations after only a few iterations. A sensitivity analysis on the  
438chosen parameters shows that hydraulic parameters (e.g., stress-dependent permeability  
439parameters) may influence geomechanical observations. Results also show that the hydraulic  
440observations (e.g., bottomhole pressure) depend on mechanical parameters, such as the bulk  
441modulus of the fracture zone at depth. Such coupling between variables justifies the use of a  
442coupled fluid flow and geomechanics model to study CO<sub>2</sub> sequestration.  
443In the second part of this work, we tried to apply the approach to the injection wells KB-501 and  
444KB-503. For these two wells the interpretation of the observed deformation is ambiguous, and  
445some authors have suggested that a fracture zone might have been opened, similarly to KB-502.  
446We investigated three different cases: (i) intact caprock, (ii) reactivating fracture zone, and (iii)  
447pre-active fracture zone. Results for the injection well KB501 and KB-503 suggest that a model  
448with an intact caprock can better reproduce the observations included in the modeling approach:  
449transient evolution of LOS displacement and pressure, as well as the uplift along two arbitrarily  
450chose profile at a specific time (about 2.5 years). However, although not formally accounted for  
451in the inversions, the shape of deformation can only be obtained with a model accounting for a  
452fracture zone, although overestimating (or underestimating) the observed LOS displacement.  
453The reason for overestimating displacements most likely lies in the representation of the fracture  
454zone: the current model simulates the entire fracture zone as reactivating simultaneously, while  
455in the real field a transient process might have occurred. A secondary factor that could affect the

456uplift is the expansion of the underburden, which might get pressurized over 4 years injection  
 457given its permeability ( $10^{-19}$  m<sup>2</sup>). These effects were probably negligible for KB-502, where the  
 458uplift rate was much faster compared to the other wells (Fig. 1b). Furthermore the fracture might  
 459have propagated in only one direction, before affecting the entire structure.  
 460Other authors also suggested that an anisotropic permeability field within the reservoir might  
 461have played a role in giving a preferential direction for the pressure distribution, which then  
 462would have caused the observed elongated shape of deformation that was observed at KB-501  
 463and KB-503 (Shi et al., 2013).  
 464The current inverse modeling approach, coupling iTOUGH2-PEST with TOUGH-FLAC, is a  
 465powerful tool to estimate unknown properties for complex coupled fluid flow and geomechanics  
 466problems, providing the errors and sensitivities associated with such properties. Future work may  
 467include the study and parameterization of the deep fracture zone geometry, as well as the study  
 468of the effect of mesh discretization.

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697

698**Tables**

699Table 1. Hydrogeological properties used in the forward model (Rinaldi and Ruqtivist, 2013). Stress-700dependent parameters in bold.

	Depth (m)	$\Phi_0$ (-)	$\kappa_0$ (m <sup>2</sup> )
Shallow	0-900	0.1	$10^{-12}$
Caprock	900-1800	0.01	$10^{-21}$
Reservoir	1800-1820	0.17	<b><math>0.8 \times 10^{-14}</math></b>
Basement	>1820	0.01	$10^{-19}$

701

702Table 2. Geomechanical properties based on well log analysis (Gemmer et al., 2012). Depths were 703slightly modified to fit our geological model (Table 1). Stress-dependent parameters in bold.

Depth (m)	Young's modulus $E$ (GPa)	Poisson's ratio $\nu$ (-)
0-900	3	0.25
900-1650	5	0.3
1650-1780	2	0.3
1780-1800	20	0.25
1800-1820	<b>10</b>	0.2
1820-4000	15	0.3

704

705Table 3. Estimated parameters for KB-502 injection well (initial guess  $E_x$ ,  $E_y$ , and  $E_z$  from Rinaldi and 706Rutqvist, 2013).

	Initial Guess	Best estimate
$K_t$ (Pa)	$10^{7.0253}$	$10^{6.90 \pm 0.01}$ (7.94 MPa)
$\phi_{res}$ (°)	31	27.9 ± 0.3
$\phi_{frac}$ (°)	31	30.6 ± 0.2
$E_x$ (Pa)	$0.17 \times 10^9$	$10^{8.71 \pm 0.05}$ (0.51 GPa)
$E_y$ (Pa)	$0.14 \times 10^9$	$10^{8.13 \pm 0.03}$ (0.13 GPa)
$E_z$ (Pa)	$10^9$	$10^{9.06 \pm 0.02}$ (1.15 GPa)
Objective func.	1189.6	99.23
Max. Residual	44.89	14.52

707

708Table 4. Estimated parameters for KB-501 injection well. For each inversion the objective function and 709maximum residual of the initial guess is shown in parenthesis.

	Intact caprock	Fracture zone	Pre-active fracture
$K_{res}$ (m <sup>2</sup> )	$10^{-14.16 \pm 0.03}$	$10^{-14.41 \pm 0.02}$	$10^{-14.43 \pm 0.01}$
$K_{cap}$ (m <sup>2</sup> )	$10^{-23 \pm 5}$	-	-
$\phi_{res}$ (°)	31 ± 0.6	31 ± 1	31 ± 1
$K_t$ (Pa)	$10^{7.3 \pm 0.1}$ (20.8 MPa)	$10^{7.40 \pm 0.02}$ (25.1 MPa)	$10^{7.38 \pm 0.02}$ (23.9 MPa)
$\phi_{frac}$ (°)	-	26 ± 1	-
$E_x$ (Pa)	-	$10^{9.68 \pm 0.03}$ (4.7 GPa)	$10^{9.65 \pm 0.04}$ (4.5 GPa)

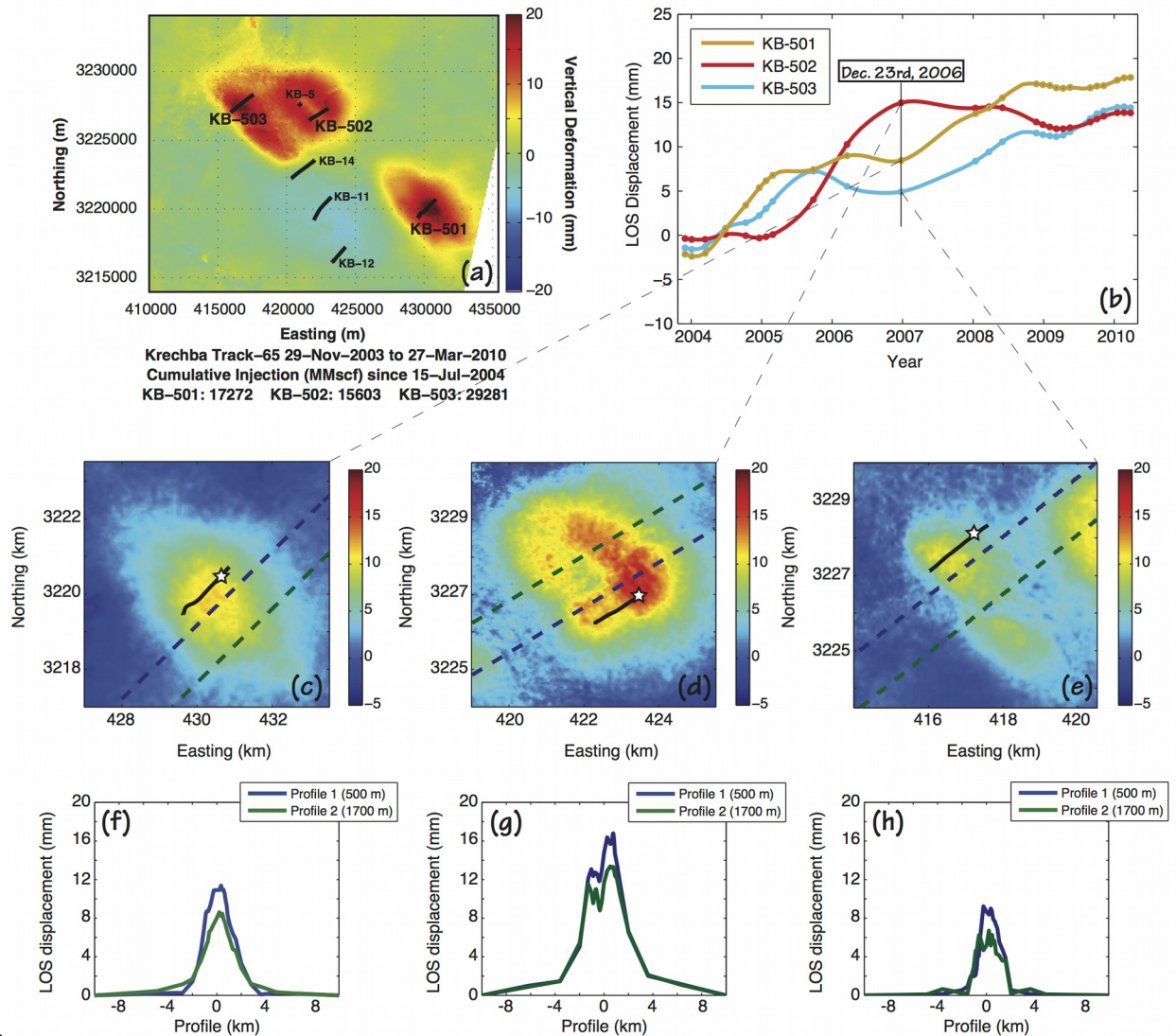
$E_y$ (Pa)	-	$10^{8.76 \pm 0.01}$ (0.57 GPa)	$10^{8.75 \pm 0.03}$ (0.56 GPa)
$E_z$ (Pa)	-	$10^{9.70 \pm 0.01}$ (5.01 GPa)	$10^{9.70 \pm 0.03}$ (5.01 GPa)
Objective func.	508.35	575.26	544.28
Max. Residual	35.77	106.85	99.19

710

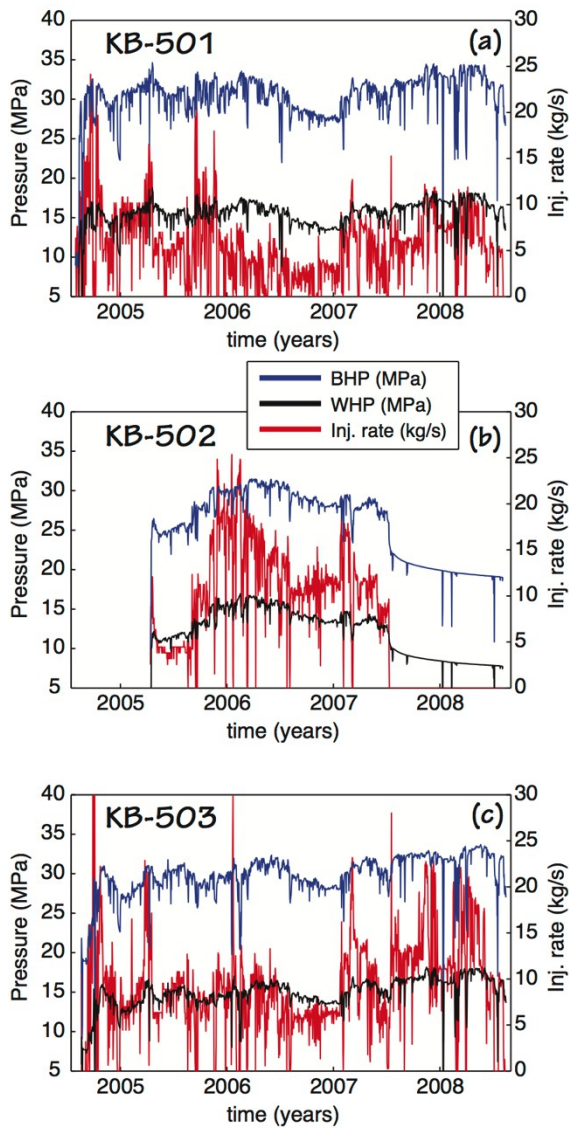
711 Table 5. Estimated parameters for KB-503 injection well. For each inversion the objective function and  
712 maximum residual of the initial guess is shown in parenthesis.

	Intact caprock	Fracture zone	Pre-active fracture
$K_{res}$ (m <sup>2</sup> )	$10^{-13.77 \pm 0.05}$	$10^{-14.05 \pm 0.02}$	$10^{-13.98 \pm 0.01}$
$K_{cap}$ (m <sup>2</sup> )	$10^{-22 \pm 1}$	-	-
$\phi_{res}$ (°)	29±1	27±2	28.0±0.5
$K_t$ (Pa)	$10^{7.3 \pm 0.1}$ (20 MPa)	$10^{7.17 \pm 0.02}$ (25.1 MPa)	$10^{7.39 \pm 0.03}$ (24.5 MPa)
$\phi_{frac}$ (°)	-	30±10	-
$E_x$ (Pa)	-	$10^{9.66 \pm 0.02}$ (4.6 GPa)	$10^{9.65 \pm 0.01}$ (4.5 GPa)
$E_y$ (Pa)	-	$10^{9 \pm 1}$ (1 GPa)	$10^{8.68 \pm 0.01}$ (0.48 GPa)
$E_z$ (Pa)	-	$10^{10 \pm 1}$ (10 GPa)	$10^{9.70 \pm 0.02}$ (5.01 GPa)
Objective func.	554.36	1438.6	1129.4
Max. Residual	47.93	67.23	62.18

713 Figures



714  
 715 *Figure 1.* (a) Ground surface uplift at In Salah (image by MDA/Pinnacle Technologies, Wright, 2011). (b)  
 716 Transient evolution of uplift at the three injection well, used as observations for inverse modeling. The  
 717 monitoring point is placed at the ground surface at the end of the injection well (c-e) Close view of  
 718 ground uplift in December 2006 after about 2.5, 1.5, and 2.5 years from starting of injection for wells KB-  
 719 501, KB-502, and KB503, respectively. The star indicates the monitoring point for the transient evolution  
 720 used as observation (d-f) Uplift along two profiles at 500 and 1700 m from injection well, respectively,  
 721 for the three injection wells. Displacement along profiles used as observation for inverse modeling. Figure  
 722 modified after Rinaldi et al. (2014c).



723

724 Figure 2. (a-c) Injection rate (red line), measured wellhead pressure (black line), and bottomhole pressure

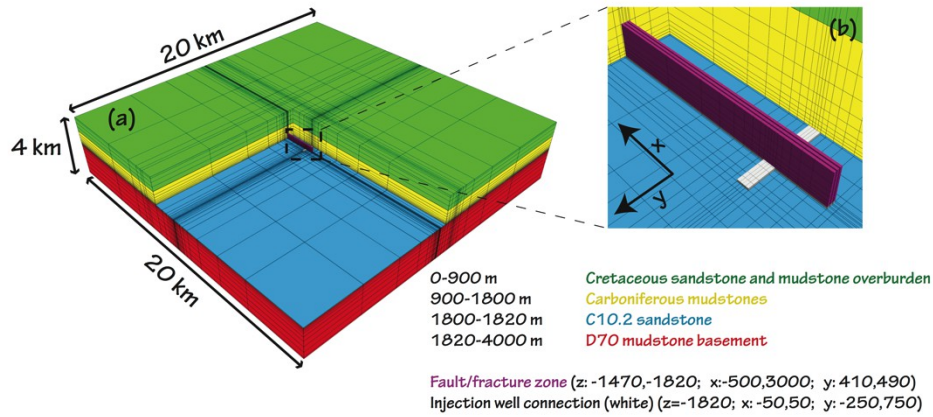
725 (blue line) for the three injection wells. The bottomhole pressure was calculated with T2Well (Pan et al.,

726 2011). Figure modified after Rinaldi et al. (2014c).

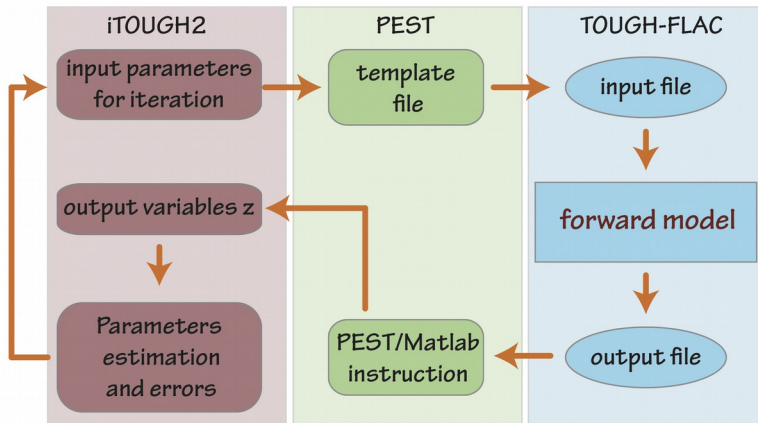
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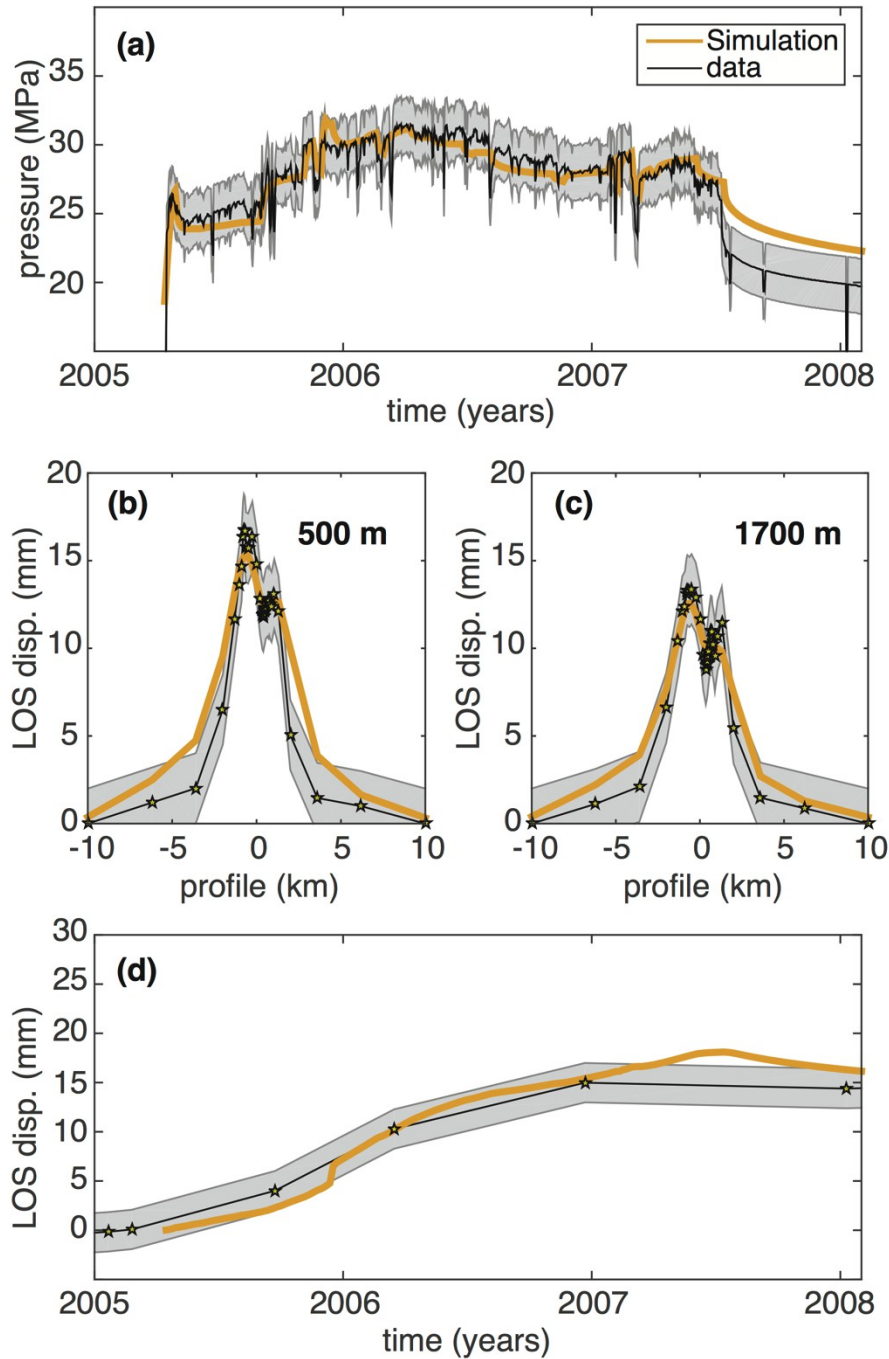


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 731 Figure 3. Computational domain. (a) 3D model with four hydrogeological formations. (b) Enlargement of  
 732 the fracture zone, whose length along the  $x$ -direction depends on the simulation (modified after Rinaldi  
 733 and Rutqvist, 2013).  
 734



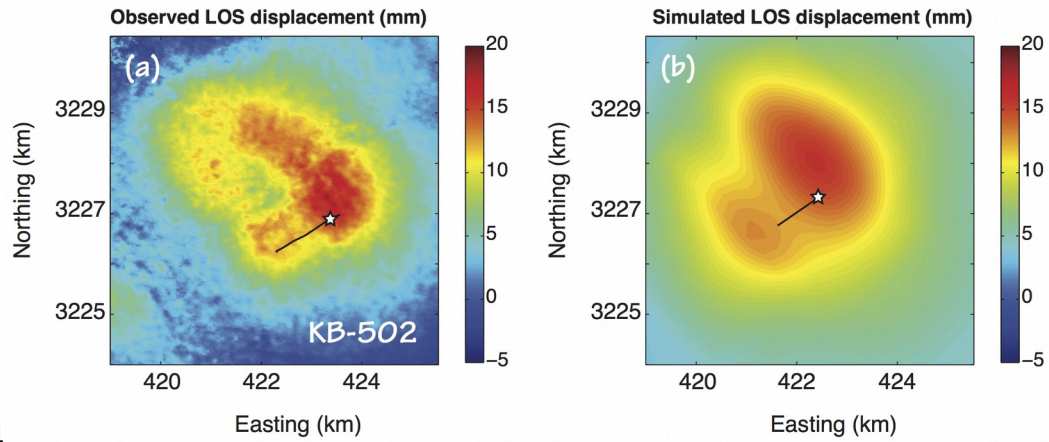
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 736 Figure 4. Scheme for inverse modeling iterations in iTOUGH2-PEST with TOUGH-FLAC.





737  
 738 *Figure 5.* Comparison between simulated and observed data at KB-502: (a) temporal evolution of  
 739 bottomhole pressure, (b) profile of ground uplift at 500 m after 618 days, (c) profile of ground uplift at  
 740 1700 m after 618 days, (d) temporal evolution of ground uplift at a point placed at ground surface at the  
 741 end of the injection well (Fig. 1d and Fig. 5). The gray area represents the 1 standard deviation (2 MPa  
 742 and 2 mm for pressure and LOS displacement, respectively).  
 743



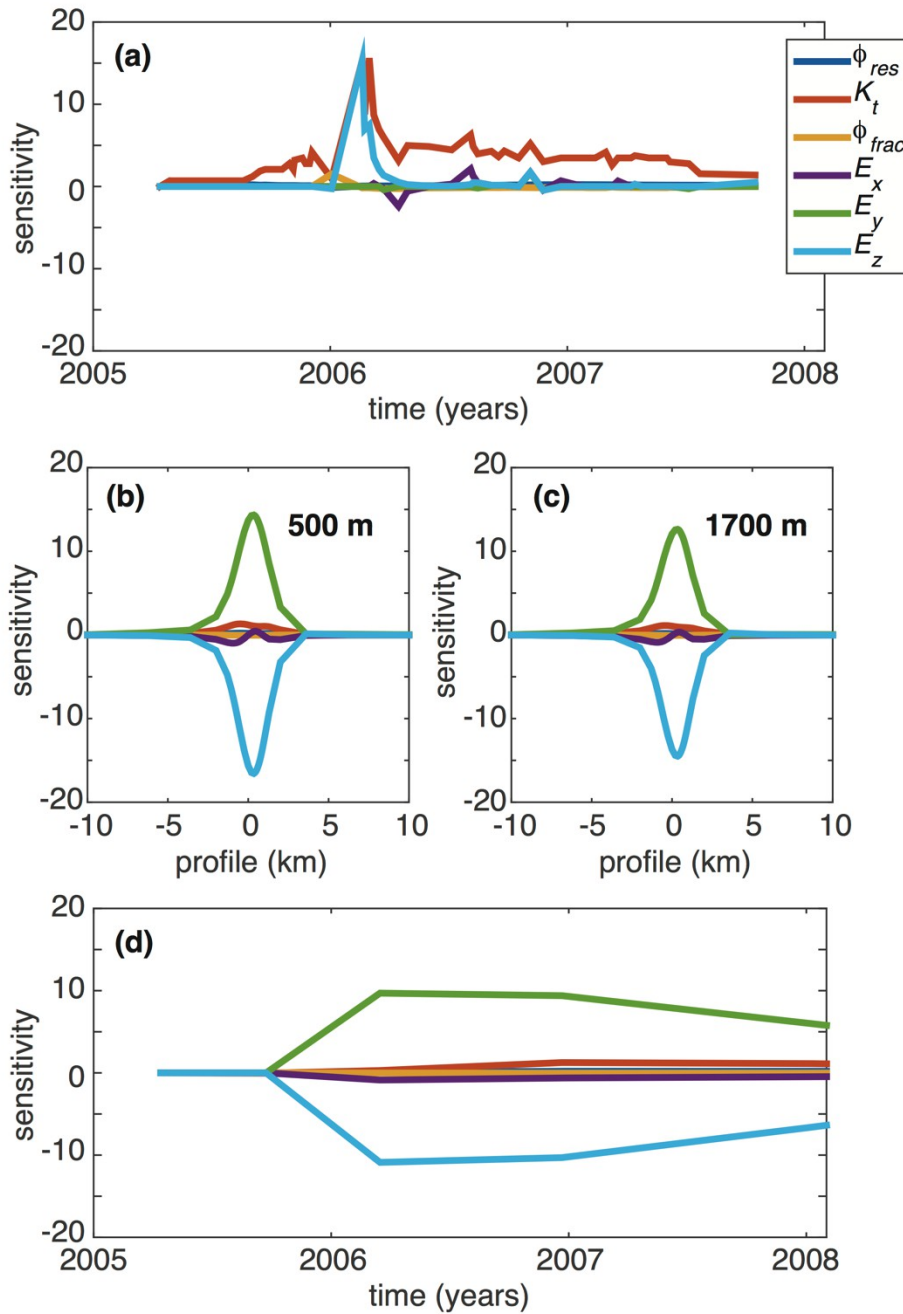


744

745 *Figure 6. Resulting deformation after inversion for KB-502 injection well. (a) Observed LOS*746 *displacement, (b) simulated LOS displacement. The star indicates the monitoring point for the temporal*747 *evolution.*

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750

751 Figure 7. Sensitivity analysis: (a) temporal evolution of bottomhole pressure, (b) profile of ground uplift

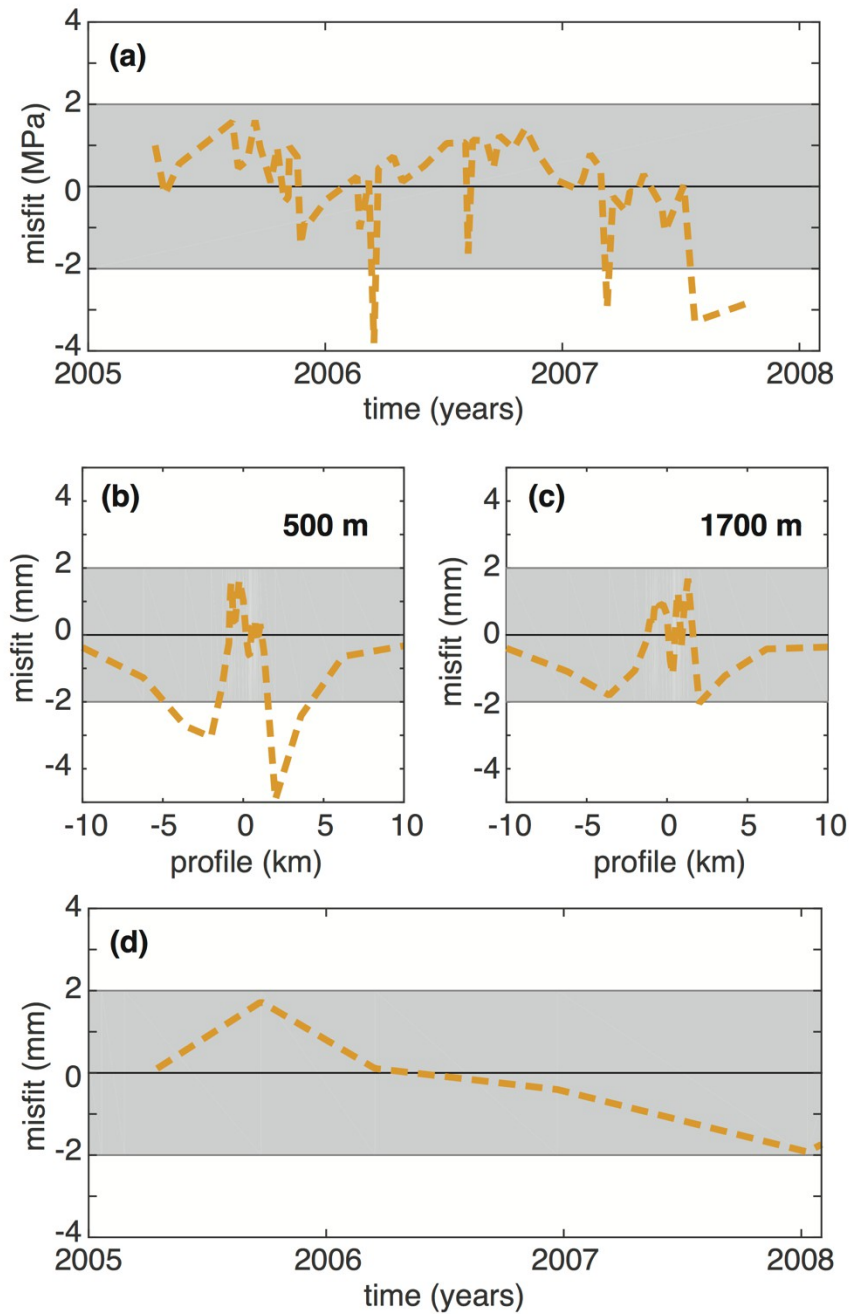
752 at 500 m after 618 days, (c) profile of ground uplift at 1700 m after 618 days, (d) temporal evolution of

753 ground uplift.

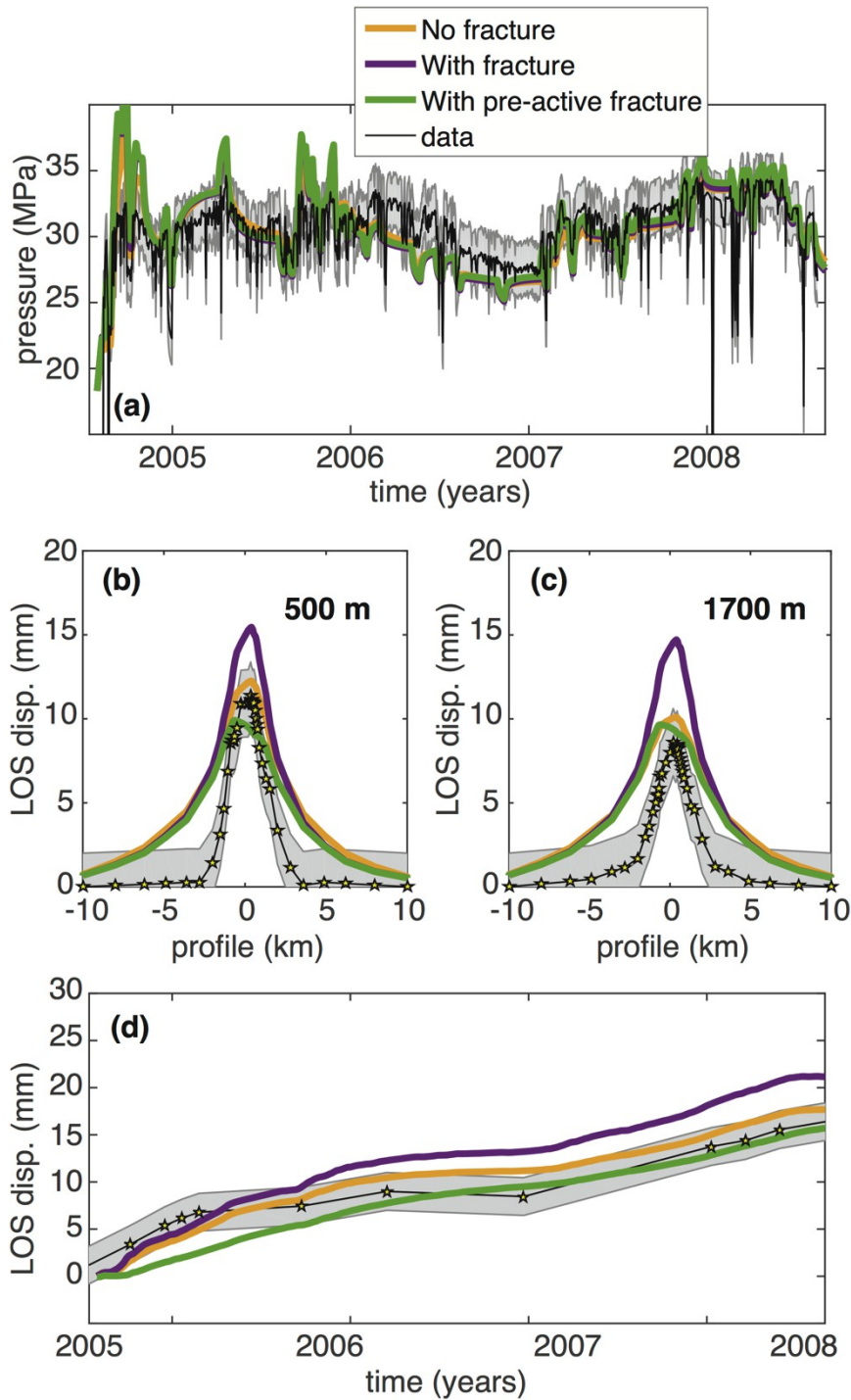
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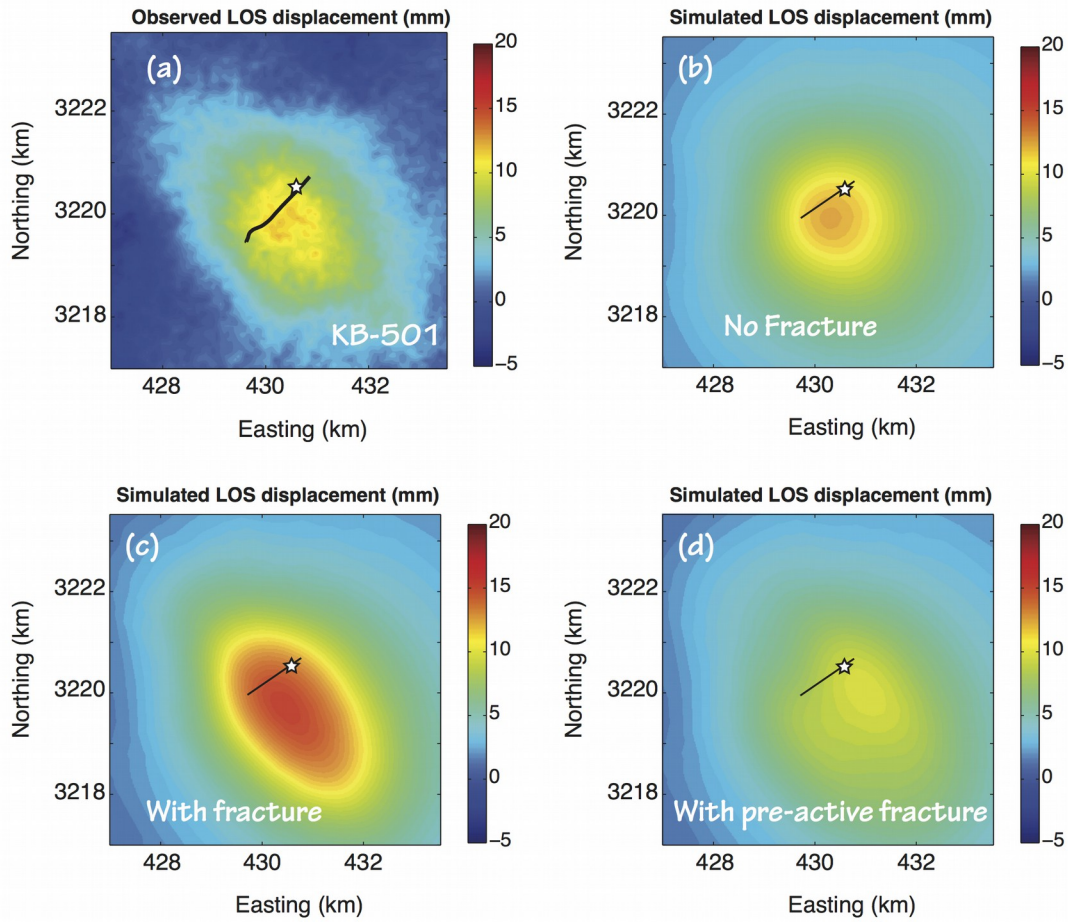
757  
 758 *Figure 8.* Residual analysis: (a) temporal evolution of bottomhole pressure, (b) profile of ground uplift at  
 759 500 m after 618 days, (c) profile of ground uplift at 1700 m after 618 days, (d) temporal evolution of  
 760 ground uplift.  
 761



762

763 Figure 9. Comparison between simulated and observed data at KB-501: (a) temporal evolution of  
 764 bottomhole pressure, (b) profile of ground uplift at 500 m after 877 days, (c) profile of ground uplift at  
 765 1700 m after 877 days, (d) temporal evolution of ground uplift at a point placed at the end of the injection  
 766 well (Fig. 1c and Fig. 9). The gray area represents the 1 standard deviation (2 MPa and 2 mm for pressure  
 767 and LOS displacement, respectively).

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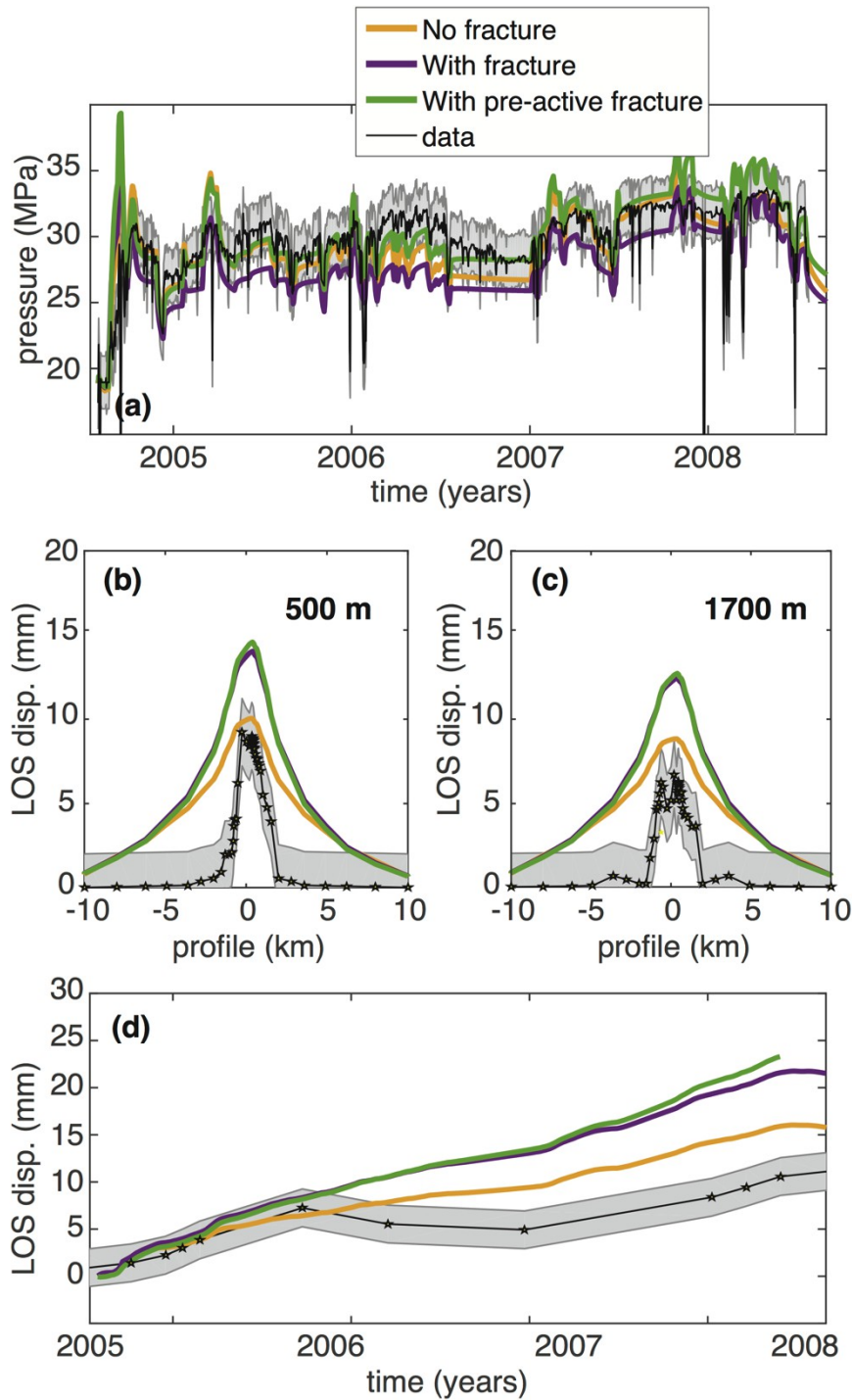
769

770 *Figure 10.* Resulting deformation after inversion for KB-501. Observed and simulated LOS displacement

771 for (b) intact caprock, (c) reactivating, and (d) pre-active fracture zone. The star indicates the monitoring

772 point for the temporal evolution.

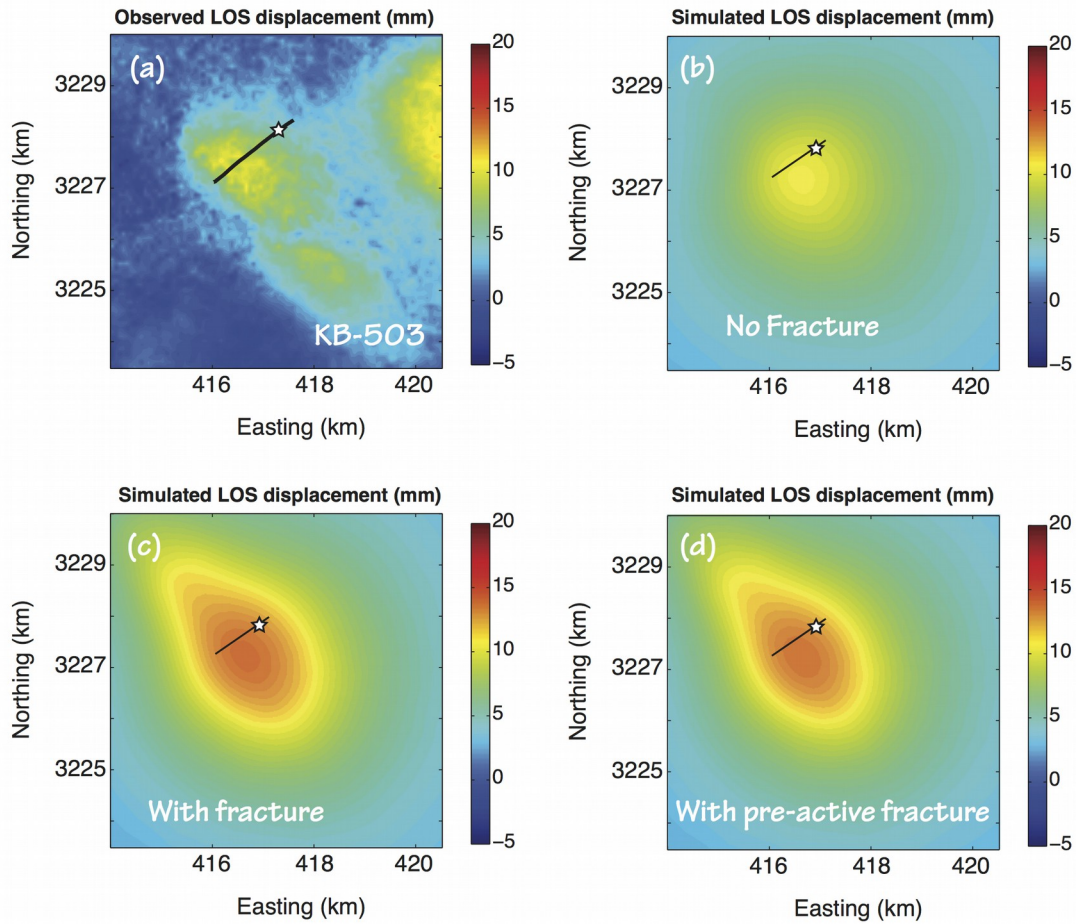
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 775 *Figure 11.* Comparison between simulated and observed data at KB-503: (a) temporal evolution of  
 776 bottomhole pressure, (b) profile of ground uplift at 500 m after 857 days, (c) profile of ground uplift at  
 777 1700 m after 857 days, (d) temporal evolution of ground uplift at a point placed at the end of the injection  
 778 well (Fig. 1e and Fig. 11). The gray area represents the 1 standard deviation (2 MPa and 2 mm for  
 779 pressure and LOS displacement, respectively).

780





781

782 *Figure 12.* Resulting deformation after inversion for KB-503. Observed and simulated LOS displacement

783 for (b) intact caprock, (c) reactivating, and (d) pre-active fracture zone. The star indicates the monitoring

784 point for the temporal evolution.

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