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# Healthcare transition for youth living with HIV

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## Abstract

**Purpose**—Youth living with HIV (YLHIV) in the United States (U.S.) account for nearly onethird of new HIV infections and face significant barriers to care engagement; only 6% are virally suppressed. Healthcare transition (HCT) from pediatric/adolescent to adult-oriented care can be particularly disruptive. Accordingly, we prospectively examined HCT processes at 14 distinct geographical sites across the U.S.

**Methods**—We collected Audio Computer-Assisted Self-Interviews (ACASI) data and abstracted electronic medical records from 135 HCT-eligible YLHIV at baseline and nine month follow-up. Descriptive analyses and multilevel modeling were conducted. Data also included qualitative interviews with 28 adolescent and 30 adult providers across 14 and 20 clinics, respectively. Interviews were analyzed using the constant comparative method; this analysis focused on specific HCT recommendations.

**Results**—At baseline, youth were primarily age 24 (78.8%), male (76.8%), Black (78.0%), gay or lesbian (62.9%), had attended an HIV appointment in the past 3 months (90.2%), had Medicaid for insurance (65.2%), and were always or mostly always adherent to their antiretroviral therapy (ART) (65.9%). At the nine-month follow-up only 37% of YLHIV successfully transitioned to

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adult care. Both individual-level (insurance status and disclosure-related stigma) and clinic-level (adolescent clinic best practices) factors were significant. Adolescent and adult clinic staff offered recommendations to support HCT; these focused primarily on clinical changes.

**Conclusions**—This study highlights the complex set of individual- and clinic-level factors associated with HCT. Addressing these key factors is essential for developing streamlined, comprehensive, and context-specific HCT protocols to support continuous care engagement for YLHIV.

#### Keywords

healthcare transition; adolescents; HIV; clinical care

Pediatric/adolescent and adult-oriented clinical settings have different medical subcultures and approaches, particularly for youth with chronic diseases.<sup>1</sup> These differences include: provider training (e.g., approach to adolescent developmental issues), design of clinical space (e.g., "youth friendliness"), and support services (e.g., pediatric/adolescent clinics are more likely to have onsite mental health specialists and to provide housing or education-related support).<sup>1–3</sup> Pediatric/adolescent and adult clinics often have different behavioral expectations for their patients: pediatricians and adolescent medicine physicians are trained to ensure that youth reach developmentally appropriate milestones<sup>1</sup> while adult clinics (e.g., on time appointment arrival).<sup>4</sup> Further, adult providers are trained to focus primarily on disease management as opposed to providing ancillary services and developmental-specific support.<sup>3</sup> These clinical and disciplinary differences have distinct and direct implications for the treatment of youth with chronic diseases, particularly during healthcare transition (HCT) from pediatric/adolescent to adult care.

Due to medical advances, youth living with chronic diseases,<sup>5</sup> such as diabetes,<sup>6</sup> cancer,<sup>7</sup> and HIV,<sup>8</sup> have the ability to lead long, healthy lives. However, to fully benefit from these medical advances, youth must successfully transition to adult care, a process that requires coordination from both pediatric/adolescent and adult providers. HCT is particularly challenging for youth living with HIV (YLHIV) given that, as an infectious disease, HIV requires both behavioral and clinical care management. HIV care engagement and continuity is critical for YLHIV to remain adherent to medication, obtain and maintain viral suppression, reduce transmission, and limit morbidity post-HCT.<sup>9-12</sup> Extant research highlights barriers to YLHIV' care linkage and engagement (e.g., developmental capacity, insurance, and transportation)<sup>13</sup> but barriers to HIV-related HCT are less well documented.<sup>14</sup> YLHIV typically transition to adult care in their early 20s<sup>15,16</sup> — usually by age 24— and approximately 25,000 YLHIV will reach transition age by 2025.<sup>17</sup> A better understanding of factors that affect "transfer" (the actual movement of care from pediatric/adolescent to adult care systems)<sup>16</sup> and "healthcare transition" (the purposeful movement of youth from childcentered to adult-centered care)<sup>1</sup> is therefore crucial. Retrospective single-center studies indicate that only 50% of youth remain engaged in care one-year post-HCT,<sup>18,19</sup> but there is a lack of prospective, longitudinal HIV-related HCT data, particularly across multiple sites, <sup>16</sup> challenging our ability to support YLHIV during HCT. This study aimed to address this gap by prospectively examining individual- and clinic-level factors associated with YLHIV'

HCT to adult care. The study incorporates mixed method data from YLHIV as well as adolescent and adult clinic providers, all key players in HCT success, to provide a comprehensive and nuanced description of YLHIV' experiences with transition.

#### METHODS

#### Study Overview

Data for the mixed method *Comprehensive Assessment of Transition and Coordination for HIV-infected Youth as they Move from Adolescent to Adult Care* (CATCH) study were collected from YLHIV and pediatric/adolescent and adult clinic providers across 14 Adolescent Medicine Trials Network for HIV/AIDS Interventions (ATN) sites across the United States (U.S.).<sup>4,14</sup> Participants received a \$25 gift card. Institutional review boards at the University of North Carolina Greensboro and participating ATN sites approved study protocols.

#### Youth Data

YLHIV were eligible if they were behaviorally infected, preparing to transition to adult HIV care within six months (as reported by their healthcare provider), spoke English or Spanish, and received care at an ATN clinic. Youth provided informed consent and signed a bidirectional release of electronic health record (EHR) information. Study staff recruited 156 adolescents; 21 declined to participate. ACASI data were collected at the adolescent clinic from the 135 YLHIV at baseline (August 2015–February 2016) and at nine-month follow-up (May–November 2016). EHR data was abstracted to confirm whether a youth had successful HCT (defined as at least one adult clinic appointment during the study period) and viral load (from the lab results closest to the ACASI data collection). YLHIV data in these models were collected at baseline to predict HCT at follow-up.

Individual-level HCT-related variables<sup>16</sup> included: demographic, psychosocial, care engagement, and adolescent clinic services. Demographic variables included: being 24 years old (typical HCT age at these clinics<sup>20</sup>) versus younger, current male gender versus nonmale gender (i.e., female or transgender woman), Hispanic versus non-Hispanic, and no insurance versus insurance. ART adherence was dichotomized as always or mostly always (95%) adherent versus less consistent adherence using a validated self-report measure.<sup>21</sup> Psychosocial variables included the two-item disclosure-related stigma scale (Likert scale: 1/ strongly disagree to 4/strongly agree): "I am very careful who I tell that I have HIV," and "I worry that people who know I have HIV will tell others." Higher summed scores indicated higher levels of perceived stigma. Psychological distress was measured by the Brief Symptoms Inventory Scale. Raw scores were summed across 18 items related to feelings of depression (e.g., feeling blue), somatization (e.g., faintness or dizziness), and anxiety (e.g., feeling hopeless about the future) in the past seven days, then converted to T-scores (M=50, SD=10). T-scores greater than 64 denoted psychological distress. YLHIV' care engagement was defined as HIV appointment attendance in the past 3 months. Services YLHIV received in the adolescent clinic were dichotomous (yes/no) and included whether staff: discussed potential transition challenges, provided support in choosing adult clinic, and discussed differences between adolescent and adult health systems.

#### **Clinic-Level Data**

Researchers conducted fifty-eight semi-structured telephone interviews from August 2015 to June 2016 with adolescent clinic (n=30) and adult clinic (n=28) medical and social service providers directly engaged with HCT. Interviews occurred across 14 adolescent and 20 adult clinics, averaged 45 minutes in length (range=22–78 minutes), and were professionally transcribed. The interview guide addressed processes, factors, and recommendations specific to HIV-related HCT.<sup>4</sup>

Data also include a clinic checklist (adapted from the National Diabetes Education Program Transition Planning Checklist<sup>22</sup> and American Academy of Pediatrics<sup>23</sup> HIV Transition Recommendations) to capture clinic specific HCT-related strategies (e.g., life skills training and adolescent clinic staff attending the YLHIV' first adult clinic appointment), logistical characteristics (e.g., adolescent and adult clinic/provider associated with the same institution), and comprehensive patient services (e.g., mental health services, support groups, and/or reproductive health services).

Two HCT "Best Practices" scales were created from checklist data. The first, adolescent clinic best practices, included eight items from 13 adolescent clinics (one clinic was excluded as it did not transition any YLHIV during the study). Items were scored as yes/no and included: 1) having a HCT protocol; 2) having a specific "transition" staff person; 3) having an adult provider come to the adolescent clinic to treat/meet youth prior to HCT; 4) having an adolescent clinic staff attend a youth's first adult clinic appointment; 5) providing information and support to youth regarding adult clinic options; 6) providing youth information about insurance protocols (e.g., making insurance claims); 7) having one adult clinic versus multiple adult clinics available for HCT; and 8) whether the receiving adult clinic was within the same clinical space/medical system or a different medical system. Responses were summed and scores ranged from 0-8; the scale was dichotomized to compare adolescent clinics that practiced all eight best practice strategies versus adolescent clinics that practiced seven or fewer strategies. Similarly, the adult clinic best practices scale included four items and asked whether an adult clinic had: 1) a clinic HCT protocol; 2) a specific HCT staff person; 3) an adult provider who goes to the adolescent clinic to treat or meet youth prior to transition; and 4) an adolescent clinic staff attend the first adult clinic appointment with youth. Responses were sum scored and dichotomized to compare adult clinics that practiced all four strategies compared to three or fewer.

#### **Quantitative Analyses**

Descriptive statistics assessed youth and clinical characteristics. Youth were nested within specific clinics necessitating a multilevel modeling approach; mixed effects logistic regression was used to test associations between clinical practices and YLHIV' successful HCT. We used a stepwise approach to the multilevel model building.<sup>24</sup> Model 1 included a fixed and random intercept to assess if successful HCT significantly varied across clinics. We also computed intra-class correlations (ICC) to warrant examining differences between clinics in HCT success using the following formula:

$$ICC = \frac{\tau_{00}}{\tau_{00} + 3.29}$$

where  $\tau_{00}$  equals the log odds of YLHIV having a successful HCT. Model 2 added YLHIVspecific individual-level fixed effects to examine the relationship between individual-level factors and successful HCT. To assess the extent to which individual- and clinic-level variables explained additional variation in successful HCT, Model 3 includes Model 2 plus adolescent clinic best practices and Model 4 includes Model 2 plus adult clinic best practices. We assessed change in -2 log likelihood (-2LL) across models; the best model was classified as the model that explained the most difference from Model 1 in -2LL change.

Given the relatively small number of clinics (N=13), we used Fisher's exact and chi-square tests to compare adolescent and adult clinic best practice strategies across clinics where 50% or more of youth had successful HCT to clinics and where less than 50% of youth had successful HCT. We used the 50% cut-off as it is higher than existing chronic disease HCT rates<sup>25</sup> and captures a meaningful target for care continuity for HIV-related HCT.

All analyses were conducted in SPSS<sup>®</sup> version 24.0 (Armonk, NY) and SAS version 9.4 (Cary, NC). Statistical significance was defined as p < 0.1 to detect any potentially relevant effects despite a small clinical sample size.

#### **Qualitative Analyses**

We examined staff's HCT-related recommendations using the constant comparative method. <sup>26,27</sup> Two researchers independently conducted line-by-line coding on each transcript to create a codebook. This codebook was then summarized and refined into a coding matrix, which also incorporated themes from existing literature.<sup>28</sup> Two researchers applied the finalized codes to all transcripts using Atlas.ti 6.2, with greater than 90% inter-rater agreement. Coding disagreements were resolved through research team consensus.

#### RESULTS

#### Individual and Clinic Characteristics

Table 1 highlights youth and clinic characteristics. A total of 132 youth were included in final analyses (three were excluded due to missing data on key variables). The majority of youth were age 24 (n=104, 78.8%), male (n=100, 75.7%), Black (n=103, 78.0%), identified as a sexual minority (n=83, 62.9%), had attended an HIV-related care appointment in the past 3 months (n=119, 90.2%), had Medicaid (n=86, 65.2%), reported 95% adherence to their ART medication (n=87, 65.9%), and were virally suppressed (n=92, 69.7%). Fewer youth identified as Hispanic (n=24, 18.2%) or reported being distressed (n=15, 11.4%). On average, youth reported moderate disclosure-related stigma scores (M=1.81 out of 4, SD=0.82).

Approximately 37% (n=49) of YLHIV successfully transitioned to adult care. The majority of youth felt that adolescent clinics prepared them for HCT: 83.3% (n=110) reported that adolescent clinic staff discussed potential HCT challenges and provided support in choosing

where to receive adult care, and 80.3% (n=106) stated that adolescent clinic staff discussed differences between adolescent and adult health systems.

Among the 13 adolescent clinics, five employed all best practice strategies, whereas four of the 20 receiving adult clinics employed all best practice strategies.

#### Mixed Effects Logistic Regression Models

Table 2 summarizes the mixed effects logistic regression model results. Model 1 (HCT assessment by site) indicated that successful HCT varied across adolescent clinic sites ( $\tau_{00}$ =0.99, z(12)=1.55, *p*=0.06), explaining about 23% of variability in the successful HCT rate. In Model 2, the addition of YLHIV' individual-level characteristics improved the model fit by a 22.2 difference ( $\tau_{00}$ =1.56, z(12)=1.51, *p*=0.07). There was a negative relationship between disclosure-related stigma and successful HCT (b=-0.79, *p*=0.03); as youth's disclosure-related stigma increased, the predicted odds of successful HCT decreased. There were no other significant relationships.

In Model 3—which added adolescent clinic best practices—having all eight best practices was associated with successful HCT, improving model fit by a 3.73 difference from Model 2 and 29.93 from Model 1 ( $\tau_{00}$  =1.02, z (11)=1.38, *p*=0.08). YLHIV who attended adolescent clinics that used all eight best practice strategies were 4.84 times more likely to have a successful HCT (b =–1.58, *p*=0.04) compared to clinics with seven or fewer strategies. Perceived disclosure-related stigma remained negatively associated with successful HCT (b=–0.83, *p*=0.02). Youth without health insurance were 6.74 times more likely to have a successful HCT (b=1.91, *p*=0.04). In Model 4—which added adult clinic best practices—having all four best practices was not associated with successful HCT (b=0.59, *p*=0.57).

Based on changes in -2LL from Model 1 (unconditional model) to models accounting for individual- and clinic-level factors, Model 3 ( $\chi^2(1)=25.93$ , p=0.06) appeared to be the better fitting model compared to Models 2 ( $\chi^2(1)=22.20$ , p=0.07) and 4 ( $\chi^2(1)=22.51$ , p=0.06).

#### Fisher's Exact and Chi-Square Tests

The majority of adolescent clinics (n=12, 92.3%) reported: having a HCT protocol and a HCT staff person, providing youth information and support related to options for adult care, and discussing insurance protocols with YLHIV. The majority also had a clinic staff member attend the first appointment with youth (n=9, 69.2%) and had multiple adult clinic options (n=7, 53.8%). Relatively few adolescent clinics had an adult provider come to the adolescent clinic to treat or meet youth prior to HCT (n=4, 30.8%) or shared clinical space with adult clinic(s) (n=3, 23.1%). There were no significant differences between these individual clinical variables and sites that transferred over 50% of youth to adult care (see Table 3).

Similarly, the majority of adult clinics had an HCT protocol (n=12, 92.3%) and an HCT staff person (n=8, 69%). Fewer adult clinics reported that adult providers treated or met youth in the adolescent clinic before transition (n=7, 38.5%) or that an adolescent staff person was present at the YLHIV' first adult appointment (n=6, 38.5%). There were no significant differences between these individual clinical variables and the sites that transferred over 50% of youth to adult care (see Table 4).

#### **HCT-Related Recommendations**

The adolescent and adult clinic providers focused the majority of their HCT recommendations and strategies at the clinic level (summarized in Table 5). These recommendations included: staff training around adolescent development, creating formal HCT protocols, strengthening communication and data sharing between the adolescent and adult clinics, and offering more comprehensive HCT-related care services to support YLHIV. The few youth-specific recommendations centered on providing support and skill development for youth to manage their own healthcare (e.g., life skills and being assertive in the healthcare environment) and allowing youth to guide their own individualized HCT plan (e.g., listening to their unique needs).

#### DISCUSSION

This prospective study across 14 ATN clinical sites highlights the complex set of individualand clinic-level factors associated with HCT. Although being 'transition eligible' was an inclusion critieria, the majority of YLHIV (63%) did not successfully transition. The youth who did not transition may have been unsuccessful for a variety of reasons. Some likely never left the adolescent clinic; in qualitative interviews, some providers reported keeping youth past the age of 24; others may have returned to care in the adolescent clinic despite being "ready" for transfer, and additional YLHIV were lost to care. This rate of successful transition—37%—is low, particularly given the importance of maintaining viral suppression for individual and public health.<sup>29</sup> This lower rate may be due to our follow-up occuring at nine months as opposed to one year. It might also be that ATN clinical sites are particularly well-resourced, 'one stop shops' which make it difficult for YLHIV to leave a clinical space that provides wrap-around and social services such as mental health, housing, and job training. More work is needed to comprehensively understand both the operationalization of transition "readiness" and the longer term HCT trajectory given the low rates of HCT and the high level of disengagement after one year.<sup>18,19</sup>

At the indivdiual-level, disclosure-related stigma was associated with HCT. Youth who reported higher levels of HIV disclosure-related stigma (i.e., were more fearful of telling people they had HIV) were less likely to have successful HCT. Receiving care at a new clinic requires youth to repeatedly disclose to new individuals (e.g., receptionist, nurse, doctor, and social worker), a process that often involves sharing traumatic experiences. This forced retelling is necessary for a successful HCT, but for YLHIV who particularly fear disclosure, it may serve as a barrier to care engagement in a new clinic.<sup>30</sup> Research highlights the importance of a positive experience with first disclosure,<sup>31</sup> suggesting a critical role for adult clinics in supporting YLHIV (especially those with fears around disclosure) during HCT. Further, adolescent HIV clinics are often situated within general adolescent clinics, so youth can attend without friends or family knowing why. In contrast, many adult HIV clinics are HIV-only, which may increase the chance that YLHIV' family, friends, and/or other community members might learn about their status through unintential disclosure.<sup>2</sup>

Not having health insurance at baseline was positively associated with successful HCT. This finding, while unexpected, may have occurred for the following reasons. First, of the 16

youth who lacked insurance at baseline, 50% reported having insurance at follow-up, which suggests that they were able to receive insurance through the adult clinic. Of the remaining 8 youth who lacked insurance at baseline, 31.3% (n=5) were lost to follow-up and 18.8% (n=3) remained uninsured. Second, clinics who cover youth through Ryan White may be forced to transfer YLHIV before age 25, whereas those with private insurance have fewer restrictions. Lastly, perhaps because YLHIV without insurance are deemed particularly vulnerable, adolescent clinic staff may have engaged in additional measures (e.g., going with the youth to the first adult clinic appointment or, when possible, finding a clinic within the same medical system) to help faciltiaite their HCT. Future work should examine the role of insurance (e.g., parental insurance and disclosure) on HCT trajectories.

Clinic-level factors also influenced HCT success. Specifically, adolescent clinics that employed all eight best practices were almost 5 times more likely to have over 50% of their YLHIV succesfully transition to adult care. Individual practices were not significantly associated with HCT; highligthing the importance of "bundled services" to support YLHIV. This builds on previous research focused on YLHIV care linkage and engagement<sup>32</sup>—since HCT requires that youth re-link and re-engage. It further indicates that providers' qualitative recommendations focused on clincial-level factors such as data sharing, clinics' patient population, and the type of provider that completes the linkage/engagement are relevant to YLHIV' care continuity<sup>32</sup> and underscore the importance of engaging in concrete structural change within a clinic to ensure that processes exist to facilitate YLHIV' successful HCT.

HIV-related HCT is a complicated process especially within the different pediatric/ adolescent and adult medicine subcultures.<sup>4</sup> The qualitative data identified useful HCT recommendations to support YLHIV that acknowledged the need of incorporating a development perspective within the compounding challenges of also living with HIV. Accordingly, the majority of recommendations focused on changes for adolescent clinics (e.g., less handholding, improved data sharing, and refinement of streamlined HCT processes) and/or adult clinics (e.g., staff traning on adolescent development and more flexibility) to better support HCT. Many of these changes can be implemented across multiple clinic settings–some quickly and easily (e.g., provide basic life skils education). In contrast, some of the proposed structural changes (e.g., shared medical records) may be more laborious but could also dramatically improve care engagement and health outcomes for YLHIV.<sup>16,30</sup>

#### Strengths and limitations

Several study limitations should be considered. First, the participating ATN sites are in urban areas with relatively high HIV prevalence among adolescents. HCT may be affected by different issues in lower prevalence cities or in rural areas.<sup>33</sup> Second, the follow-up period was limited to nine months, so it is possible that some of the YLHIV may have had a successful HCT within a year—or even longer. Thus, future work should extend follow-up periods to examine HCT trajectories, especially as the operationalization of HCT success is complicated and we measured only one (essential) component of HCT - "transfer" or adult care linkage.<sup>16,30,34</sup> Initial linkage does not ensure long-term engagement:<sup>8</sup> only about 50% of youth who transferred were retained in adult care after one year.<sup>18,19</sup> Finally, although

this geographically diverse study included 13 distinct clinical sites that supported HCT for YLHIV, this sample size is small for the purposes of multilevel modeling, which resulted in reduced power for examining the influence of clinical best practices. This suggests that our clinic-level findings (from well-resourced and HCT supportive clinics) are particularly conservative, and that the actual effect size of employing all eight adolescent clinic best practices is likely much larger.

#### Conclusion

This is the first study to prospectively examine HIV-related HCT from the perspective of YLHIV, as well as clinic staff and providers from adolescent and adult clinics. Results suggest that involvement from both the adolescent and adult clinics is critical to provide coordinated care, thus highlighting the importance of cultivating inter-clinic connections to support YLHIV during HCT.<sup>4</sup> The data allow for the identification of possible intervention leverage points to support HCT; future research needs to examine long-term HCT trajectories to develop interventions that both YLHIV and providers at adolescent and adult clinics can use to facilitate successful HCT. Addressing the multiple factors affecting HCT is essential for ensuring continuous care engagement for YLHIV.

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#### Abbreviations

| ACASI | Audio Computer-Assisted Self-Interviews                       |
|-------|---|
| ATN   | Adolescent Medicine Trials Network for HIV/AIDS Interventions |
| НСТ   | healthcare transition   |
| HIV   | Human Immunodeficiency Virus                                  |

**U.S.** United States

**YLHIV** Youth living with HIV

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#### Implications and contributions

Youth living with HIV face significant barriers to care engagement across the HIV Care Continuum. Healthcare transition from pediatric/adolescent to adult-oriented care settings can be especially disruptive. Addressing individual- and clinic-level factors is essential to support continuous care engagement during healthcare transition and fully realize the benefits of biomedical innovations.

#### Table 1

Individual and Clinical Level Characteristics for 132 HIV-infected young people

|   | n                   | percent |
|---|---------------------|---------|
| Age (range 21–24)                             |                     |         |
| 24  | 104                 | 78.8    |
| Less than 24                                  | 28                  | 21.2    |
| Current gender                                |                     |         |
| Male  | 100                 | 75.7    |
| Female or Transgender                         | 32                  | 24.2    |
| Race  |                     |         |
| Black   | 103                 | 78.0    |
| White   | 9                   | 6.8     |
| Other   | 20                  | 15.2    |
| Hispanic                                      |                     |         |
| Yes   | 24                  | 81.8    |
| No  | 108                 | 18.2    |
| Sexual Orientation                            |                     |         |
| Straight                                      | 28                  | 21.2    |
| Gay/Lesbian                                   | 83                  | 62.9    |
| Bisexual                                      | 18                  | 13.6    |
| Questioning                                   | 3                   | 2.3     |
| Insurance                                     |                     |         |
| Private                                       | 31                  | 23.5    |
| Medicaid                                      | 86                  | 65.2    |
| No Insurance                                  | 15                  | 11.4    |
| Attend HIV care in past 3 months              |                     |         |
| Yes   | 119                 | 90.2    |
| No  | 13                  | 9.9     |
| Successful Healthcare Transition (at least of | one adult HIV appoi | ntment) |
| Yes   | 49                  | 37.1    |
| No  | 84                  | 63.6    |
| ART Adherence                                 |                     |         |
| Always or almost always ( 95%)                | 87                  | 65.9    |
| Most of the time (75–94%)                     | 23                  | 17.4    |
| Less than 75% of the time (0–74%)             | 22                  | 16.7    |
| Viral Load (range 20–6,247,816)               |                     |         |
| Not suppressed                                | 38                  | 28.8    |
| Suppressed                                    | 92                  | 69.7    |
| Missing                                       | 2                   | 1.5     |
|   | mean                | SD      |

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### YLHIV (N = 132)

|   | n                 | percent             |
|---|-------------------|---------------------|
| Stigma Disclosure Scale (0 (low)-4 (high))    | 1.81              | 0.82                |
|   | n                 | percent (%)         |
| Youth Perspective: Clinic staff described add | olescent & adul   | t clinic difference |
| Yes   | 110               | 83.3                |
| No  | 22                | 16.7                |
| Youth Perspective: Clinic staff supported ad  | ult clinic decisi | on-making           |
| Yes   | 110               | 83.3                |
| No  | 22                | 16.7                |
| Youth Perspective: Clinic staff discussed pot | ential HCT cha    | llenges             |
| Yes   | 106               | 80.3                |
| No  | 26                | 19.7                |
| Brief Symptoms Inventory Scale: Distressed    |                   |                     |
| Yes   | 15                | 11.36               |
| No  | 117               | 88.64               |
| Site Specific Clinic Variables (N = 13)       |                   |                     |
|   | n                 | percent             |

| Number of Best Practices (0-8) Employed by Adolescent Clinics |               |      |  |
|---|---------------|------|--|
| 8 strategies  | 5             | 38.5 |  |
| 7 or fewer strategies   | 8             | 61.5 |  |
| Number of Best Practices (0-4) Employed by                    | Adult Clinics |      |  |
| 4 strategies  | 4             | 30.8 |  |
| 3 or fewer strategies   | 9             | 69.2 |  |

# Table 2

Individual and Clinical Level Factors Associated with Successful Healthcare Transition (N = 132)

|  | Model 1 |        | Model 2           |        | Model 3           |        | Model 4           |
|--|---------|--------|-------------------|--------|-------------------|--------|-------------------|
|  | ء       | ٩      | OR (95% CI)       | ٩      | AOR (95% CI)      | ٩      | AOR (95% CI)      |
| Individual Level   |         |        |                   |        |                   |        |                   |
| Intercept  | -0.69   | -3.58  |                   | -4.37* |                   | -3.39  |                   |
| Age 24 (Less 24 referent)                                    |         | 1.07   | 2.91 (0.78–10.97) | 1.05   | 2.84 (0.78–10.41) | 1.02   | 2.76 (0.73-10.44) |
| <i>Male (No referent)</i>                                    |         | 0.17   | 1.18 (0.37–3.75)  | 0.25   | 1.29 (0.41–4.05)  | 0.18   | 1.19 (0.38–3.77)  |
| Hispanic (No referent)                                       |         | 0.45   | 1.56 (0.45–5.37)  | 0.45   | 1.58 (0.46–5.46)  | 0.42   | 1.52 (0.44–5.22)  |
| Insurance (Private referent)                                 |         |        |                   |        |                   |        |                   |
| Medicaid   |         | 0.41   | 1.51 (0.45–5.03)  | 0.54   | 1.71 (0.52–5.69)  | 0.45   | 1.56 (0.47–5.22)  |
| No Insurance   |         | 1.59   | 4.91 (0.77-31.12) | 1.91   | 6.74 (1.04-43.59) | 1.57   | 4.79 (0.77–29.91) |
| Attend HIV care in past 3 months (No referent)               |         | 1.21   | 3.34 (0.50–22.46) | 1.29   | 3.62 (0.56–23.50) | 1.21   | 3.37 (0.50–22.65) |
| Stigma Disclosure Scale (0–4)                                |         | -0.79* | 0.45 (0.23–0.91)  | -0.83* | 0.44 (0.33–0.87)  | -0.82* | 0.44 (0.22–0.89)  |
| Youth Perspective: Differences between Clinics (No referent) |         | 0.53   | 1.70 (0.31–9.44)  | 0.60   | 1.82 (0.33–10.17) | 0.50   | 1.66 (0.30–9.22)  |
| Youth Perspective: Support with Adult Clinic (No referent)   |         | 1.16   | 3.18 (0.46–22.07) | 0.98   | 2.67 (0.40–17.82) | 1.22   | 3.40 (0.49–23.87) |
| Youth Perspective: Discussed Challenges (No referent)        |         | 0.38   | 1.46 (0.29–7.48)  | 0.44   | 1.56 (0.30–7.90)  | 0.40   | 1.49 (0.29–7.69)  |
| Distressed (No referent)                                     |         | -1.04  | 0.36 (0.07–1.87)  | -0.95  | 0.39 (0.08–1.98)  | -1.10  | 0.33 (0.06–1.78)  |
| Clinic Level   |         |        |                   |        |                   |        |                   |
| Intercept  | 66.0    | 1.56   | 0.06              | 1.03   |                   | 1.52   |                   |
| Best Practices: Adolescent Clinic (7 referent)               |         |        |                   | 1.58*  | 4.84 (1.00–23.33) |        |                   |
| Best Practices: Adult Clinic ( 3 referent)                   |         |        |                   |        |                   | -054   | 0.59 (0.09–3.86)  |
| Model Fit  |         |        |                   |        |                   |        |                   |
|  | 161.49  | 139.29 |                   | 135.56 |                   | 138.98 |                   |

Best Practices for Adolescent Clinic by Percentage of Youth who had a Successful Healthcare Transition (N = 13)

|   | Percent Transitioned | ansitioned |           |         |
|---|----------------------|------------|-----------|---------|
|   | < 50%                | 50%        | Total     | p-value |
| Have formal (or informal) transition protocol   |                      |            |           | 0.769   |
| No  | 1 (10.0)             | 0 (0)      | 1 (7.7)   |         |
| Yes   | (0.06) 6             | 3 (100.0)  | 12 (92.3) |         |
| Have a specific "transition" staff person (e.g., social worker, case manager) at adult clinic |                      |            |           | 0.231   |
| No  | 0 (0)                | 1 (33.3)   | 1 (7.7)   |         |
| Yes   | 10 (100.0)           | 2 (66.7)   | 12 (92.3) |         |
| Have adult provider go to adolescent clinic to treat or meet youth just prior to transition   |                      |            |           | 0.706   |
| No  | 7 (70.0)             | 2 (66.7)   | 9 (69.2)  |         |
| Yes   | 3 (30.0)             | 1 (33.3)   | 4 (30.8)  |         |
| Have adolescent clinic staff attend first adult clinic appointment with youth                 |                      |            |           | 0.706   |
| No  | 3 (30.0)             | 1 (33.3)   | 4 (30.8)  |         |
| Yes   | 7 (70.0)             | 2 (66.7)   | 9 (69.2)  |         |
| Provide information and support related to options for where to receive adult care            |                      |            |           | 0.769   |
| No  | 1 (10.0)             | 0 (0)      | 1 (7.7)   |         |
| Yes   | 9 (0.06) 0           | 3 (100.0)  | 12 (92.3) |         |
| Insurance protocols (e.g., making insurance claims, carrying insurance card)                  |                      |            |           | 0.769   |
| No  | 1 (10.0)             | 0 (0)      | 1 (7.7)   |         |
| Yes   | 9 (0.06) 0           | 3 (100.0)  | 12 (92.3) |         |
| Adolescent Clinic Site Classification   |                      |            |           | 0.420   |
| Same clinical space   | 3 (30.0)             | 0 (0)      | 3 (23.1)  |         |
| Same medical system   | 3 (30.0)             | 2 (66.7)   | 5 (38.5)  |         |
| Different medical system  | 4 (40.0)             | 1 (33.3)   | 5 (38.5)  |         |
| Number of Adult Transition Clinic(s)  |                      |            |           | 0.563   |
| Adolescent clinic primarily transitions to 1 adult clinic                                     | 5 (50.0)             | 1 (33.3)   | 6 (46.2)  |         |
| Adolescent clinic primarily transitions to multiple adult clinics                             | 5 (50.0)             | 2 (66.7)   | 7 (53.8)  |         |
| Best Practices: Adolescent Clinic (8 strategies)  |                      |            |           |         |
| No  | 7 (70.0)             | 1 (33.3)   | 8 (61.5)  | 0.315   |

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**Total** 5 (38.5)

2 (66.7)

< **50%** 3 (30.0)

Yes

50%

Percent Transitioned

p-value

Table 4

Best Practices for Adult Clinic by Percentage of Youth who had a Successful Healthcare Transition (N = 13)

|   | Percent T | Percent Transitioned |           |         |
|---|-----------|----------------------|-----------|---------|
|   | < 50%     | 50%                  | Total     | p-value |
| Have formal (or informal) transition protocol   |           |                      |           | 0.769   |
| No  | 1 (10.0)  | 0 (0)                | 1 (7.7)   |         |
| Yes   | (0.06) 6  | 3 (100)              | 12 (92.3) |         |
| Have a specific "transition" staff person (e.g., social worker, case manager) at adult clinic |           |                      |           | 0.203   |
| No  | 2 (20.0)  | 2 (66.7)             | 4 (30.8)  |         |
| Yes   | 8 (80.0)  | 1 (33.3)             | 9 (69.2)  |         |
| Have adult provider go to adolescent clinic to treat or meet youth just prior to transition   |           |                      |           | 0.510   |
| No  | 7 (70.0)  | 1 (33.3)             | 6 (61.5)  |         |
| Yes   | 3 (33.3)  | 2 (50.0)             | 7 (38.5)  |         |
| Have adolescent clinic staff attend first adult clinic appointment with youth                 |           |                      |           | 0.685   |
| No  | 6~(60.0)  | 2 (66.7)             | 7 (53.8)  |         |
| Yes   | 4 (40.0)  | 1 (33.3)             | 6 (38.5)  |         |
| Best Practices: Adult Clinic (4 strategies)   |           |                      |           | 0.706   |
| No  | 7 (70.0)  | 2 (66.7)             | 9 (69.2)  |         |
| Yes   | 3 (30.0)  | 1 (33.3)             | 4 (30.8)  |         |

#### Table 5

#### HCT Recommendations from Adolescent and Adult Clinic Staff

| Recommendation  | Adolescent Clinic Staff   | Adult Clinic Staff  |
|---|---|---|
| Youth Specific  |   | ·   |
| Develop basic life<br>skills for medical and<br>health management   | That was a change we made. I like the change we've added<br>the transition groups on for <inaudible> [00:51:05] so that<br/>they can even build up that peer support as well. And then<br/>I like the fact that we have now added the financial<br/>components and just the life[00:51:15] skills. The<br/>skills that every young adult should have, that they need to<br/>have, we've added that to our support groups now too (Site<br/>W Clinic 1 (W1)-Adolescent Social Worker)</inaudible>  | You know, if there's a life skills group somewhere in<br>the process, whether it's on the adult side or the<br>youth side, if there was a life skills group that they<br>can join into so they can learn about that insurance,<br>learn about budgeting or get the housing referrals<br>before they even get deep into the clinic, I think that<br>that would help as well. (Site X Clinic c1 (Xc1)-<br>Adult Social Worker)  |
| Provide patient<br>centered care where<br>YLHIV' expertise and<br>experiences are<br>acknowledged and<br>valued in the HCT<br>process | We've learned to listen to them a lot more, let them guide<br>how we start out transition process more so than us just<br>deciding this is what needs to be done, this is what you<br>should do, and this is how you do it. Because, of course,<br>most youth have to have a buy-in. With most youth, it's<br>kinda like even with little kids. They gotta think, "It's my<br>idea." So an adult just telling me, "This is what you gotta<br>do. Do this. Do this, do this." They tend to pull back a<br>little bit or just don't wanna do it because you're telling<br>them. But, if you can get them to feel like, "This is<br>something I need to do. This is something I should wanna<br>do. This is my idea. I'm determining how my care goes<br>and my path," it tends to be a lot easier, a lot more<br>smoother. (W1- Adolescent Social Worker) | I think part of it's going to come with is coming<br>with time and with education that we get from the<br>patients. So patients give you the lead on how they<br>need to be cared for and what their needs are. But I<br>think more and more with time that our adult staff are<br>learning what the youth need and how to take care of<br>them better. I'm trying to think of what else. I don't<br>know. I can't think of any other answers right now<br>(Wc1-Adult Nurse Practitioner)   |
| Clinic Specific   |   |   |
| Develop and implement<br>a formalized HCT<br>protocol   | A structured protocol around transitioning, not just the,<br>"Oh, well, you're about to turn 25 or 21, whichever year<br>you transition, so let's figure out where you're going to<br>go." It's really thinking about it early and taking the steps<br>to make sure that the young person doesn't feel rushed in<br>the process. (N2-Adolescent Social Worker)  | The physicians themselves sort-of being more<br>involved in the transition processI mean, if money<br>weren't an object, perhaps having one staff person, a<br>social worker or someone specifically devoted to the<br>youth. So sort-of have a transition within the<br>transition where they transition to hereYou'd have<br>to have a physician who specifically wants to work<br>with that population, the age group between just<br>coming over from adolescent care to adult care.<br>(Ra2- Adult Social Worker)  |
| Provide staff training<br>on YLHIV'<br>developmental stage<br>and context to provide<br>competent care                                | I guess learning, training on how to be moreyouth-<br>friendly because they're adults, they're 25, but they're not<br>40 or 50 and really responsible like a 40- or 50- year-<br>oldJust some kind of training on how to meet the young<br>adult where they are and not expect them to be at a<br>different level when they're not. (K1-Adolescent Social<br>Worker)  | An awareness that these kids, even though they're<br>adults, they either may not be cognitively adults or<br>that they are stuntedOr they're just going to have a<br>hard time, bottom line, because of what's going on,<br>as a normal young person trying to navigate<br>lifeAnd I think that there needs to be a little bit of<br>flexibility Just understanding that this is a true,<br>special, and key population and having the flexibility<br>to say, "Well, because they are having a hard time,<br>let's try to be a little bit kinder, gentler in our<br>approach." (Xb1- Adult Physician) |
| Ensure patient and peer<br>navigation are available<br>at the clinic to support<br>HCT for YLHIV                                      | I think that having youth find kind-of a representative or<br>buddy in the clinic is key. Someonethat the youth is<br>actually comfortable or has a good attachment toMaybe<br>the first person that they met. I think that that's something<br>key to gain trust in the clinic and in the clinic setting. (T2-<br>Adolescent Physician)  | I think that if your navigator is in his 60s, that's not<br>We have discussed having a younger youth navigator,<br>peer navigators on site. So that's potentially<br>something that we could do to make it more youth-<br>friendly. (Wa1-Adult Physician)   |
| Provide comprehensive<br>care services to support<br>all of YHIV' healthcare<br>needs   | I think that it would be nice to have a lot more transition<br>services. I know that we continue to offermental health<br>services for six months after someone transitionsThe one<br>I'm thinking of [is] pharmacy support. Childcare. I think<br>that there's just some additional services that would make<br>it helpful. (Z3-Mental Health and Substance Abuse<br>Counselor)  | A lot of mental health services, even psychological<br>services on site and social work on site. So I think<br>those support services are critical because often,<br>when the patients come in, if they have an issue, that<br>can be the only time that we have to work with them<br>sometimes, so to say, "Could you please come back<br>tomorrow because I know my social worker will be<br>here?" When they walk out the door, we've lost them<br>for a while. And without having been able to help<br>them. (Ia1-Adult Physician)  |

| Recommendation  | Adolescent Clinic Staff  | Adult Clinic Staff  |
|---|--|---|
| Prioritize inter-clinic<br>collaboration and data<br>sharing to support HCT | Establishing a relationship with an adult case manager<br>who is very hands-on early on. So someone who is youth-<br>focused and who's willing to provide a little bit more<br>intensive servicessomeone who's willing to follow up<br>with the youth in the community, engage with them, with<br>us, with the new provider, that's a big piece, and also<br>having an adult provider who's collaborativeReally, what<br>it comes down to is collaboration with the adult providers<br>and being a little bit more flexible and youth-oriented,<br>especially during the initial transition. (Q2-Adolesent<br>Social Worker) | The other is similar data sharing that would be from<br>one clinical entity to another. So, say [a youth] comes<br>to me for care and then goes to [another clinic] for<br>six months because they're closer[The youth]<br>appear like they're out of care, but they're actually in<br>care. I don't know about that. And so those kinds of<br>information sharing networks are critical at the<br>network levelthe systems level, to be able to then<br>be in touch with who's out and who needs to be<br>contacted and brought back in. (Xa1- Adult<br>Physician) |