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### Title

Chronic voluntary caffeine intake in male Wistar rats reveals individual differences in addiction-like behavior

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17 **Abstract**

18 Caffeine is the most widely consumed psychoactive substance in the world. However,  
19 there is controversy about whether becoming addicted to caffeine is possible and a lack of well-  
20 established animal models to examine caffeine consumption. The present study sought to  
21 establish a model of caffeine consumption in Wistar rats, identify different rat populations of  
22 caffeine preference, and determine whether extended voluntary caffeine consumption produces  
23 compulsive-like caffeine intake and withdrawal symptoms.

24 Male Wistar rats were used throughout the experiment. The optimal concentration of  
25 caffeine to maximize caffeine consumption and caffeine preference was determined. Rats were  
26 then given continuous access to caffeine, followed by intermittent access. Rats were tested for  
27 signs of withdrawal-like behavior by measuring mechanical nociception and irritability-like  
28 behavior. Rats were further examined for compulsive-like caffeine consumption.

29 Dose-response testing indicated an optimal caffeine concentration of 0.3 mg/ml. During  
30 intermittent access to caffeine, the rats did not escalate their caffeine intake and instead exhibited  
31 a decrease in intake over sessions. Three groups of rats were identified based on caffeine  
32 preference (high, medium, and low) across continuous and intermittent access. These three  
33 groups of rats matched low (1 cup), medium (2 cups), and high (4 cups) levels of daily coffee  
34 consumption in humans. Caffeine-consuming rats did not exhibit differences in mechanical  
35 nociception or irritability-like behavior compared with controls. In high caffeine-preferring rats  
36 but not in medium or low caffeine-preferring rats, compulsive-like caffeine consumption was  
37 observed.

38 The present study established a rodent model of caffeine consumption that resulted in  
39 large individual differences in caffeine intake, similar to humans. Compulsive-like caffeine

40 consumption in high caffeine-preferring rats in and differences in caffeine preference between  
41 groups suggest that caffeine may result in compulsive-like intake in a subpopulation of subjects.  
42 Further testing is necessary to determine the factors that contribute to differences in caffeine  
43 preference and compulsive-like intake.

44

45 **Keywords**

46 Caffeine Use Disorder

47 Compulsivity

48 Dependence

49 Substance Abuse

50 Two-bottle choice

51

## 52 **Introduction**

53 Caffeine is the most widely consumed drug in the world. It is regularly consumed in mild  
54 amounts for its anxiolytic and mood-boosting effects. It is generally regarded as safe, with barely  
55 any restrictions worldwide on purchase and consumption compared with other psychoactive  
56 substances (Nehlig et al., 1992; Temple et al., 2017; Nieber, 2017; Richards and Smith, 2016).  
57 Over 85% of the United States population consumes at least one caffeinated beverage per day,  
58 and many individuals consume more than one caffeinated beverage per day (Mitchell et al.,  
59 2014; Jain et al., 2019; Juliano et al., 2012).

60 The *Diagnostic and Statistical Manual of Mental Disorders*, 5th edition, does not  
61 recognize caffeine among substance use disorders (SUDs). Although abstinence from chronic  
62 caffeine consumption can produce withdrawal, unclear is whether it is also associated with  
63 uncontrollable drug use and drug use despite adverse consequences (American Psychiatric  
64 Association, 2013; Meredith et al., 2013). In humans, high levels of regular caffeine use can lead  
65 to withdrawal symptoms, such as anxiety, headaches, fatigue, and irritability (American  
66 Psychiatric Association, 2013; Mitchell et al., 2014; Juliano and Griffiths, 2004; Stringer and  
67 Watson, 1987; Griffiths and Chausmer, 2000; Heckman et al., 2010; Jain et al., 2019). Although  
68 caffeine users can present withdrawal symptoms, studies have reported inconsistent evidence of  
69 compulsive caffeine consumption (i.e., consuming despite harmful consequences), despite a  
70 growing number of cases that meet these criteria (Strain et al., 1994; Hughes et al., 1998; Juliano  
71 et al., 2012; Richards and Smith, 2015). Unknown is whether caffeine is addictive and results in  
72 dependence or compulsive-like intake.

73 Few studies have evaluated the effects of caffeine and caffeine dependence in humans  
74 and animal models. Many previous clinical studies focused on specific aspects of the effects of

75 caffeine on overall health and not caffeine addiction *per se*. A notable common pattern among  
76 these previous studies is that individual humans consume different levels of caffeine (Seal et al.,  
77 2017; Kolahdouzan and Hamadeh, 2017; Mitchell et al., 2014). Given differences in the amount  
78 of caffeine that is consumed among the human population, caffeine dependence and compulsive-  
79 like caffeine intake might only be seen in individuals who regularly drink high levels of caffeine,  
80 but this distinction has not yet been well explored.

81         Preclinical animal models are widely used to study addictive behaviors (O'Dell et al.,  
82 2004; Gilpin et al., 2008a; Gilpin et al., 2008b; Vendruscolo and Roberts, 2014; Wade et al.,  
83 2015; Carnicella et al., 2014; Park et al., 2015; Edwards et al., 2012; Avegno and Gilpin, 2019).  
84 Caffeine dependence and withdrawal have been reported in studies that utilized animal models of  
85 involuntary/forced consumption (Nehlig, 1999). Mixed results have been reported in studies that  
86 behaviorally modeled voluntary caffeine intake in rats. Intravenous caffeine self-administration  
87 did not result in consistent levels of caffeine intake (Atkinson and Enslin, 1976). However, rats  
88 learned to prefer a flavor that was associated with oral caffeine consumption (Fedorchak et al.,  
89 2002), suggesting that caffeine may be voluntarily consumed orally in rats at proper doses and in  
90 appropriate models of drinking.

91         The present study established a voluntary model of oral caffeine consumption in Wistar  
92 rats. We identified distinct rat populations based on caffeine preference and determined whether  
93 extended voluntary caffeine consumption in the two-bottle choice model produces compulsive-  
94 like caffeine drinking and withdrawal symptoms.

95

## 96 **Methods**

### 97 *Subjects*

98           Adult male Wistar rats ( $n = 36$ ; 60 days old, ~250 g at the start of the study) were used  
99 for all of the experiments. The animals were single housed and maintained on a 12 h/12 h  
100 light/dark cycle with *ad libitum* access to food and water throughout the experiment. All of the  
101 procedures were conducted in strict adherence to the National Institutes of Health Guide for the  
102 Care and Use of Laboratory Animals and approved by The Scripps Research Institute  
103 Institutional Animal Care and Use Committee.

104

### 105 *Experimental Design*

106           The rats ( $n = 24$ ) received continuous or intermittent access to a caffeine solution in a 24-  
107 h two-bottle choice paradigm. The remaining control rats ( $n = 12$ ) remained experimentally naive  
108 and received no caffeine throughout the study. The rats were first tested to establish a caffeine  
109 dose-response curve using four different concentrations (0.07, 0.14, 0.3, and 0.7 mg/ml). The  
110 optimal concentration (0.3 mg/ml), based on the dose response, was used for the remainder of the  
111 experiment. Following dose-response testing, the rats then received continuous access to caffeine  
112 for 8 days. After continuous access, the rats received intermittent access (every other day) to  
113 caffeine for 10 total sessions over 3 weeks. During intermittent access, all of the rats were tested  
114 for irritability-like behavior in the bottle-brush test and pain sensitivity in the von Frey test 24 h  
115 after the last access to caffeine. The rats were then returned to continuous access for 8 days and  
116 then tested for compulsive-like caffeine intake in the quinine adulteration test. See Fig. 1 for a  
117 diagram of the experimental design.

118

### 119 *Drugs*

120 Research-grade caffeine (Sigma Aldrich, St. Louis, MO, USA) was dissolved in water.  
121 The rats were given access to the caffeine solution in a voluntary two-bottle choice paradigm.  
122 The bitter tastant quinine hydrochloride dihydrate (Sigma Aldrich, St. Louis, MO, USA) was  
123 used for the quinine adulteration test.

124

#### 125 *Dose-response test*

126 We first sought to determine which concentration of caffeine (0.07, 0.14, 0.3, and 0.7  
127 mg/ml) is most preferred by the rats while maximizing caffeine consumption. Each concentration  
128 was tested for 2 days. The positions of the caffeine and water bottles were alternated every day to  
129 avoid possible side preference. Caffeine and water intake was recorded by weighing each bottle  
130 daily at the end of the rats' light cycle. The 0.3 mg/ml concentration resulted in the highest total  
131 caffeine consumption and was the most highly preferred. Therefore, this concentration was used  
132 for the subsequent phases of the experiment.

133

#### 134 *Continuous- and intermittent-access two-bottle choice of caffeine and water*

135 A model of continuous- and intermittent-access to caffeine in a two-bottle choice  
136 procedure was used. This model was similar to previous studies of alcohol drinking (George et  
137 al., 2012; Simms et al., 2008; Wise, 1973; Kimbrough et al., 2017b). The rats were presented  
138 with 24-h access to two bottles throughout the experiment, one containing water and the other  
139 containing caffeine. The bottle positions were switched daily to avoid possible side preference.  
140 Daily water and caffeine intake was recorded by weighing the bottles each day at the end of the  
141 light cycle. Based on the dose-response test, we used the 0.3 mg/ml concentration for continuous  
142 and intermittent access. The rats were first given continuous access to 0.3 mg/ml caffeine and

143 water for 8 days. On the last 2 days of continuous access, caffeine and water intake was recorded  
144 for each rat at multiple times throughout the 24-h session (i.e., 2, 6, 12, and 24 h after the  
145 beginning of the dark cycle) to examine drinking patterns throughout the day. Following  
146 continuous access, the rats were given intermittent access to caffeine, in which caffeine and  
147 water days alternated with water-only days for a total of 10 caffeine days over 3 weeks. We  
148 sought to determine whether the escalation of caffeine intake would occur. On days when the rats  
149 did not receive caffeine (i.e., water-only days), empty bottles were placed in the open slot where  
150 the caffeine bottle would be.

151

#### 152 *Determination of separate populations of caffeine preference*

153 Average preference across the continuous- and intermittent-access periods was calculated  
154 for each rat. The rats were then split into three categories based on preference: high preference (>  
155 70% preference for caffeine over water), medium preference (30-70% preference for caffeine  
156 over water), and low preference (< 30% preference for caffeine over water).

157

#### 158 *Measurement of mechanical nociception during caffeine withdrawal*

159 The von Frey test was used to measure mechanical nociception in rats (Kononoff et al.,  
160 2018a; Kallupi et al., 2018). The test was performed 24 h after the rats' last access to caffeine.  
161 We used an automated von Frey device (Dynamic Plantar Aesthesiometer, Ugo Basile) to  
162 measure touch sensitivity on the plantar surface of the rats' hind paws. The rats were placed in  
163 individual chambers on top of an elevated wire grid floor and were given 5 min to acclimate to  
164 the apparatus before the procedure began. A thin 0.5 mm von Frey filament was attached to the  
165 automated machine and placed under the wire grid. The filament was applied perpendicularly to

166 the plantar surface of the rat's hind paw. The filament was applied with gradually increasing  
167 force (maximum force = 40 g) until a reflex reaction occurred. The Dynamic Plantar  
168 Aesthesiometer automatically recorded the paw withdrawal latency and force of the filament that  
169 was applied at the time of paw withdrawal. Each rat underwent six trials (three trials for the left  
170 hind paw and three trials for the right hind paw). The chambers were cleaned with ethanol  
171 between each session.

172

### 173 *Measurement of irritability-like behavior during caffeine withdrawal*

174 We used the bottle-brush test to test for irritability-like behavior 24 h after the rats' last  
175 access to caffeine. The test was based on previous studies (Kononoff et al., 2018b; Kimbrough et  
176 al., 2017a). The bottle-brush test uses a bottle brush to measure aggressive and defensive  
177 responses. Increases in irritability-like behavior in the bottle-brush test have been observed  
178 during alcohol and nicotine withdrawal (Sidhu et al., 2018; Somkuwar et al., 2017; Kimbrough et  
179 al., 2017a; Xue et al., 2018; Kallupi et al., 2018; Kimbrough et al., 2020). The test began at the  
180 start of the rats' dark cycle and was conducted under dim red light. The session consisted of 10  
181 trials in a clean plastic cage (26.67 cm × 48.26 cm × 20.32 cm; Ancare, Bellmore, NY, USA)  
182 with fresh bedding. Each trial began with the rat positioned at the back of the cage. The bottle-  
183 brush was inserted into the cage from the front, rotating toward the rat's whiskers for  
184 approximately 3 s. The rotating brush was then returned to the front of the cage where it hung  
185 vertically for approximately 2 s before it was removed entirely from the cage. During this time,  
186 three observers recorded aggressive and defensive responses in each trial. Total responses over  
187 all 10 trials per session per rat were then summed, and an average across all observers was  
188 calculated for each rat. The following aggressive responses were recorded: sniffing the brush,

189 biting the brush, boxing the brush, following the brush, and exploring the brush (i.e.,  
190 manipulating the brush without biting or boxing). The following defensive responses were  
191 recorded: escaping from the brush, digging, jumping, climbing, vocalization, and grooming.

192

### 193 *Quinine adulteration test*

194 After receiving intermittent access, the rats were given continuous access for 8 days to  
195 restabilize caffeine intake before beginning the quinine adulteration test. Quinine (5, 10, 25, and  
196 50 mg/L) was added to the 0.3 mg/ml caffeine solution. This method has been used in previous  
197 studies to examine compulsive-like alcohol drinking despite aversive consequences and results in  
198 a reduction of the preference for preferred solutions (Kimbrough et al., 2017b; Vendruscolo et  
199 al., 2012; Seif et al., 2013). Beginning at the 5 mg/L quinine concentration, each concentration  
200 was tested in ascending order for 2 days. The bottle positions of water and adulterated caffeine  
201 solution were switched every day to avoid possible side preference.

202

### 203 *Determination of the Human Equivalent Dose of caffeine*

204 We calculated the Human Equivalent Dose (HED; in mg/kg) of caffeine that was  
205 consumed by rats in each preference group (low, medium, and high). Caffeine consumption at  
206 the 0.3 mg/ml dose during the dose-response test was used as a baseline value of caffeine  
207 consumption in each group. The dose conversion from rats to humans (i.e., the HED) was  
208 estimated based on body surface area, which is associated with body weight (Nair and Jacob,  
209 2016). To compare our rat HED results to actual human caffeine dose averages, we estimated an  
210 approximate daily intake of caffeine in mg/kg for high, medium, and low caffeine drinking  
211 humans. We calculated the mg of caffeine consumed for high caffeine drinkers based off of a

212 study indicating that the 90<sup>th</sup> percentile of US caffeine consumers take 380 mg of caffeine daily  
213 (Mitchell et al., 2014). We then calculated the medium caffeine drinkers intake to be 50% of the  
214 high caffeine drinkers intake (190 mg) and low caffeine drinkers to be 25% of the high caffeine  
215 drinkers intake (95 mg). This equates to approximately 4 cups of coffee for high drinkers, 2 cups  
216 for medium drinkers, and 1 cup for low drinkers for an average 95 mg of caffeine cup of coffee.  
217 We then calculated intake (in mg/kg) in humans based on an average body weight of 80 kg for  
218 each dose (high, medium and low). The resulting mg/kg doses for high, medium and low  
219 caffeine drinking humans is displayed in Fig 6.

220

### 221 *Statistical analysis*

222 Caffeine preference was calculated as caffeine consumption (in ml) divided by total  
223 intake (caffeine + water; in ml). The caffeine intake results are presented as intake (in ml and  
224 mg/kg) and preference for caffeine over water. These data are expressed as 2-day averages. The  
225 initial dose-response data before splitting the groups into high, medium, and low preference were  
226 analyzed using one-way repeated-measures analysis of variance (ANOVA), with caffeine  
227 concentration as the within-subjects factor. The data on caffeine intake during the different  
228 phases of the study (dose-response, continuous access, and intermittent access), with the groups  
229 split into high, medium, and low preference, were analyzed using two-way repeated-measures  
230 ANOVA, with group as the between-subjects factor and concentration and days as the within-  
231 subjects factors. Caffeine intake in the quinine adulteration test was examined using one-way  
232 repeated-measures ANOVA for each group, with quinine concentration as the within-subjects  
233 factor. The data from the bottle-brush test, body weight, and data from the von Frey test were  
234 analyzed using one-way ANOVA, with group as the between-subjects factor. Significant main

235 effects in the ANOVAs were followed by the Student-Newman-Keuls (SNK) *post hoc* test. The  
236 data were analyzed using Statistica software (Tibco). Values of  $p < 0.05$  were considered  
237 statistically significant.

238

## 239 **Results**

### 240 *Dose-response test*

241 For the initial caffeine dose-response test, the one-way repeated-measures ANOVA  
242 revealed a significant main effect of caffeine concentration on caffeine consumption ( $F_{3,69} =$   
243  $49.70, p < 0.0005$ ). The SNK *post hoc* test indicated that caffeine consumption at the 0.07 and  
244 0.7 mg/ml caffeine concentrations was significantly lower compared with the 0.14 and 0.3 mg/ml  
245 concentrations. Caffeine consumption at 0.3 mg/ml caffeine was significantly higher than  
246 caffeine consumption at the 0.14 mg/ml concentration (Fig. 2A).

247 The one-way repeated-measures ANOVA revealed a significant main effect of caffeine  
248 concentration on the preference for caffeine ( $F_{3,69} = 49.63, p < 0.0005$ ). The SNK *post hoc*  
249 indicated that caffeine preference at the 0.7 mg/ml caffeine concentration was significantly lower  
250 compared with all of the other caffeine concentrations (Fig. 2B).

251 We then analyzed caffeine consumption (in mg/kg) based on separate groups of caffeine  
252 preference (high, medium, and low). The two-way repeated-measures ANOVA revealed  
253 significant main effects of group ( $F_{2,21} = 5.38, p < 0.05$ ) and concentration ( $F_{3,63} = 59.34, p <$   
254  $0.0005$ ) and a significant group  $\times$  concentration interaction ( $F_{6,63} = 7.02, p < 0.0005$ ). The SNK  
255 *post hoc* test indicated that caffeine consumption in the high preference group at the 0.07 and 0.7  
256 mg/ml caffeine concentrations was significantly lower than at the 0.14 and 0.3 mg/ml doses.  
257 Additionally, in the high preference group, caffeine consumption (in mg/kg) at the 0.3 mg/ml

258 caffeine concentration was significantly higher than caffeine consumption at the 0.14 mg/ml  
259 concentration. In the medium preference group, caffeine consumption (in mg/kg) at the 0.3  
260 mg/ml caffeine concentration was significantly higher than all of the other concentrations. In the  
261 low preference group, caffeine consumption (in mg/kg) at the 0.7 mg/ml caffeine concentration  
262 was significantly lower than caffeine consumption at the 0.14 mg/ml concentration. Comparisons  
263 among groups showed that the high preference group consumed significantly more caffeine (in  
264 mg/kg) compared with the medium and low preference groups at the 0.7 and 0.3 mg/ml caffeine  
265 concentration. The medium preference group consumed significantly more caffeine (in mg/kg)  
266 than the low preference group at the 0.3 mg/ml concentration (Fig. 2C).

267         The two-way repeated-measures ANOVA revealed significant main effects of group  
268 ( $F_{2,21} = 29.09, p < 0.0005$ ) and concentration ( $F_{3,63} = 62.28, p < 0.0005$ ) on caffeine preference  
269 and a significant group  $\times$  concentration interaction ( $F_{6,63} = 6.92, p < 0.0005$ ). The SNK *post hoc*  
270 test indicated that caffeine preference in the high and medium preference groups at the 0.7 mg/ml  
271 caffeine concentration was significantly lower than all of the other concentrations. In the low  
272 preference group, caffeine preference at the 0.07 and 0.14 mg/ml caffeine concentration was  
273 significantly higher than at the 0.7 mg/ml concentration. Caffeine preference was significantly  
274 lower in the low and medium preference groups at the 0.07, 0.14, and 0.3 mg/ml caffeine  
275 concentration compared with the high preference group. Caffeine preference in the low  
276 preference group was significantly lower than in the medium preference group at the 0.07 and  
277 0.3 mg/ml caffeine concentrations (Fig. 2D).

278

279 *Continuous and intermittent access to caffeine*

280 One-way repeated-measures ANOVA was used to analyze caffeine preference across the  
281 continuous and intermittent access periods, with days (expressed as 2-day averages) as the  
282 within-subjects factor. The one-way ANOVA revealed a significant main effect of day on  
283 caffeine preference ( $F_{8,184} = 5.73, p < 0.0005$ ). The SNK *post hoc* test indicated that caffeine  
284 preference on Day 1 was significantly higher than on Days 16-28 (Fig. 3A).

285 Two-way repeated-measures ANOVA was also used to analyze caffeine preference, with  
286 group (high, medium, and low preference) as the between-subjects factor and days (expressed as  
287 2-day averages) during continuous and intermittent access to caffeine as the within-subjects  
288 factor. The two-way repeated-measures ANOVA revealed significant effects of group ( $F_{2,21} =$   
289  $94.87, p < 0.0005$ ) and day ( $F_{8,168} = 5.16, p < 0.0005$ ) on caffeine preference but no significant  
290 group  $\times$  day interaction (Fig. 3B).

291

#### 292 *Determination of separate populations of rats based on caffeine preference*

293 The average preference of individual rats across the continuous- and intermittent-access  
294 periods was determined and used to split the rats into high, medium, and low preference. One-  
295 way repeated-measures ANOVA was used to analyze average caffeine preference in the three  
296 different groups across the continuous- and intermittent-access periods. The one-way ANOVA  
297 revealed a significant main effect of group on caffeine preference ( $F_{2,21} = 94.94, p < 0.0005$ ). The  
298 SNK *post hoc* test indicated that caffeine preference in the high preference group was  
299 significantly higher than in the medium and low preference groups. Caffeine preference in the  
300 medium preference group was significantly higher than in the low preference group (Fig. 3C).

301

#### 302 *Behavioral testing*

303 In the von Frey test, the one-way repeated measures ANOVA revealed no effect of group  
304 on the paw withdrawal latency ( $F_{3,32} = 1.57, p > 0.05$ ) or the force that was required to elicit a  
305 withdrawal response ( $F_{3,32} = 1.05, p > 0.05$ ; Fig. 4A, B).

306 In the bottle-brush test, the one-way repeated-measures ANOVA revealed no effect of  
307 group on aggressive responses ( $F_{3,32} = 1.79, p > 0.05$ ) or defensive responses ( $F_{3,32} = 0.63, p >$   
308  $0.05$ ; Fig. 4C).

309

#### 310 *Quinine adulteration of caffeine solution*

311 One-way repeated-measures ANOVA was used to analyze the effect of increasing  
312 concentrations of quinine on caffeine preference. The one-way ANOVA revealed a significant  
313 effect of quinine concentration on caffeine preference ( $F_{4,92} = 7.87, p < 0.0005$ ). The SNK *post*  
314 *hoc* test indicated that caffeine preference significantly decreased compared with baseline at the  
315 0.025 and 0.05 g/L quinine concentrations (Fig. 5A).

316 Two-way repeated measures ANOVA was used to analyze the effect of quinine  
317 concentration on caffeine preference, with group (high, medium, and low preference) as the  
318 between-subjects factor and quinine concentration as the within-subjects factor. The two-way  
319 repeated-measures ANOVA revealed significant main effects of group ( $F_{2,21} = 80.99, p < 0.0005$ )  
320 and quinine concentration ( $F_{4,84} = 5.86, p < 0.0005$ ) on caffeine preference and a significant  
321 group  $\times$  quinine concentration interaction ( $F_{8,84} = 2.30, p < 0.05$ ). The SNK *post hoc* test  
322 indicated that the high preference group and low preference group did not exhibit a significant  
323 decrease in caffeine preference at any quinine concentration tested compared with their baseline  
324 preference. The medium preference group exhibited a significant decrease in caffeine preference  
325 compared with baseline at the 0.025 and 0.05 g/L quinine concentrations (Fig. 5B).

326

327 *Human Equivalent Dose of caffeine*

328           The HED of caffeine consumption was 4.15 mg/kg in the high preference group, 2.69  
329 mg/kg in the medium preference group, and 1.21 mg/kg in the low preference group. These  
330 HEDs were comparable to estimated doses for high, medium, and low caffeine consumption in  
331 humans (Fig. 6).

332

333 **Discussion**

334           The present study sought to establish a rat model of voluntary oral caffeine consumption  
335 using the two-bottle choice paradigm, identify different groups based on caffeine preference, and  
336 determine whether chronic caffeine consumption leads to compulsive-like caffeine intake or  
337 behavioral signs of withdrawal. We first established an ideal concentration of caffeine (0.3  
338 mg/ml) to maximize caffeine preference and total caffeine consumption by testing intake at  
339 several doses of caffeine. We found that caffeine consumption did not escalate with intermittent  
340 access and instead decreased slightly. Interestingly, separate populations of rats (high, medium,  
341 and low caffeine preference) were identified based on caffeine preference throughout the  
342 experiment. The rats exhibited no behavioral signs of withdrawal in all of the caffeine  
343 preference groups, but the high preference group exhibited signs of compulsive-like caffeine  
344 intake in the quinine adulteration test. When we calculated the HED of caffeine intake in each  
345 group of rats (high, medium, and low preference), the amount of intake resembled estimated  
346 amounts of caffeine intake in humans. Overall, these data establish a preclinical model of  
347 voluntary caffeine drinking that can distinguish different groups of rats based on caffeine  
348 preference that resemble human caffeine drinkers.

349 In the dose-response test, caffeine preference and total caffeine intake were evaluated to  
350 determine the optimal oral caffeine dose. The 0.3 mg/ml caffeine concentration was the ideal  
351 concentration for further testing in the two-bottle choice paradigm. This concentration was  
352 highly preferred and led to the largest amount of caffeine consumption. This concentration of  
353 caffeine was very similar to the amount of caffeine (0.38 mg/ml) that is contained in an average  
354 cup of coffee that is consumed by humans (Temple et al., 2017). Our dose-response data are  
355 consistent with a previous study that reported that rats form a flavor preference for lower  
356 concentrations of caffeine (0.25 and 0.125 mg/ml) but form aversions to higher concentrations  
357 (0.5 and 0.75 mg/ml; Fedorchak et al., 2002). Other studies have employed forced caffeine  
358 exposure to assess subsequent free-choice caffeine consumption. Preference has been shown to  
359 depend on the concentration of caffeine (Newland and Brown, 1992; Vitiello and Woods, 1975).  
360 The present findings and previous studies suggest that rats prefer caffeine at concentrations that  
361 are similar to those that are consumed by humans in a standard cup of coffee.

362 Previous rodent studies that examined nicotine, alcohol, and cocaine intake have  
363 demonstrated that intermittent-access schedules results in the escalation of drug intake, a  
364 hallmark of drug dependence (O'dell and Koob, 2007; Cohen et al., 2012; Melendez, 2011;  
365 Kimbrough et al., 2017b; Kawa et al., 2016). Withdrawal symptoms, such as irritability-like  
366 behavior, pain sensitivity, and anxiety-like behavior, have been shown to occur within 8-72 h of  
367 the last drug exposure in many preclinical models of substance us disorders (Cohen et al., 2012;  
368 Melendez, 2011; Kimbrough et al., 2017b; Kawa et al., 2016). Caffeine administration using  
369 methods of involuntary or forced consumption in animal models has been shown to produce  
370 dependence and withdrawal symptoms (Nehlig, 1999). Forced oral caffeine consumption at high  
371 concentrations (1 g/L) for 20 days induced aversions to a flavor that was paired with caffeine

372 withdrawal in rodents (Dingle et al., 2008), suggesting caffeine dependence can be induced by  
373 oral consumption. However, in the present study, intermittent access did not result in the  
374 escalation of intake compared with continuous access; instead, intermittent access results in a  
375 slight decrease in intake. Additionally, the rats did not exhibit behavioral signs of withdrawal 24  
376 h after the last access to caffeine. Altogether, these data suggest that voluntary caffeine drinking  
377 in an intermittent-access two-bottle choice procedure does not result in caffeine dependence and  
378 does not produce the escalation of intake (i.e., two key behaviors that are observed after chronic  
379 intermittent access to cocaine, nicotine, and alcohol; O'dell and Koob, 2007; Melendez, 2011;  
380 Kimbrough et al., 2017b; Kawa et al., 2016; Cohen et al., 2012; Simms et al., 2008; Ahmed et  
381 al., 2002).

382         Interestingly, examinations of caffeine preference in individual rats during the extended  
383 period of two-bottle choice revealed three distinct populations of rats that could be divided into  
384 high, medium, and low preference groups. The high preference group maintained high and  
385 consistent caffeine preference throughout the experiment. The low preference group exhibited a  
386 similar behavioral pattern as the high preference group, with low preference throughout the  
387 continuous- and intermittent-access periods. The medium preference group exhibited a modest  
388 decrease in caffeine preference from the continuous-access period to the intermittent-access  
389 period. In humans, similar populations of caffeine drinkers have been distinguished (Goncalves  
390 et al., 2017; Barnung et al., 2018; Mitchell et al., 2014, Kuang et al., 2018, Cornelis, 2019).  
391 Interestingly, the amount of caffeine that was consumed in the different preference groups in the  
392 present study were similar to amounts of caffeine that are consumed in different groups of  
393 humans who drink caffeinated beverages. The HED of caffeine (in mg/kg) that was consumed by  
394 rats in the present study was 4.15 mg/kg in the high preference group, 2.69 mg/kg in the medium

395 preference group, and 1.21 mg/kg in the low preference group. These HED doses in rats are  
396 comparable to estimated human doses of 4.2 mg/kg for high-preferring drinkers, 2.1 mg/kg for  
397 medium-preferring drinkers, and 1.1 mg/kg for low-preferring drinkers (see Fig. 6; Mitchell et  
398 al., 2014; Nair and Jacob, 2016). The similarity of caffeine intake in rats in the present study to  
399 caffeine intake in humans supports the use of the present model of caffeine self-administration in  
400 future preclinical studies that explore voluntary caffeine consumption and genetic determinants  
401 of caffeine preference.

402         We tested compulsive-like caffeine intake using the quinine adulteration test. The high  
403 preference group but not the low or medium preference groups exhibited persistent caffeine  
404 preference even at high concentrations of quinine. The low preference group did not exhibit a  
405 significant decrease in preference as the quinine concentration increased. This is likely  
406 attributable to a floor effect. The 0.05 g/L concentration of quinine that high caffeine drinking  
407 rats showed a persistent preference for caffeine without reduction is the same concentration of  
408 quinine that resulted in a significant reduction of alcohol intake in alcohol dependent rats  
409 (Vendruscolo et al., 2012), but not alcohol dependent rats with a prior binge drinking history  
410 (Kimbrough et al., 2017b). This suggests that although the rats did not escalate their caffeine  
411 intake or exhibit withdrawal symptoms, rats that consistently preferred higher concentrations of  
412 caffeine exhibited compulsive-like caffeine drinking.

413         In summary, the present study established a model of voluntary oral caffeine  
414 consumption, and we identified 0.3 mg/ml as the most appropriate concentration in this model.  
415 Intermittent access to caffeine did not result in the escalation of caffeine intake. We identified  
416 three distinct populations of rats (high, medium, and low preference) based on caffeine  
417 preference that mirrored intake in humans. We found evidence of compulsive-like caffeine

418 intake in the high preference group. The present model of voluntary oral caffeine consumption  
419 recapitulates caffeine preference that is observed in humans, suggesting its utility for studying  
420 the neurobiological and pharmacological effects of caffeine. The significant difference in  
421 caffeine preference that was observed between the high, medium, and low groups suggests  
422 potential genetic differences that result in different rewarding or aversive effects. We did not  
423 observe the escalation of caffeine intake with intermittent access, which contrast with other  
424 common drugs of abuse. However, compulsive-like caffeine intake in the high preference group  
425 suggests that compulsive-like behavior may develop after chronic caffeine use in individuals  
426 with a high preference for caffeine. Caffeine intake has been shown to modulate the consumption  
427 of alcohol and nicotine (Fritz et al., 2016; Rezvani et al., 2013), suggesting complex interactions  
428 with these drugs that warrant further investigation.

429

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433

#### 434 **Conflicts of Interest**

435 The authors declare no conflicts of interest.

436

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- 608

609 **Figure Legends**

610 **Figure 1.** Rats ( $n = 24$ ) received continuous and intermittent access to a caffeine solution in a 24-  
611 h two-bottle choice paradigm. The remaining control rats ( $n = 12$ ) remained experimentally naive  
612 and received no caffeine throughout the experiment. The rats were first tested to establish a  
613 caffeine dose-response curve using four different concentrations of caffeine (0.07, 0.14, 0.3, and  
614 0.7 mg/ml). The optimal concentration (0.3 mg/ml), based on the dose response, was used for the  
615 subsequent experiments. After the dose-response test, the rats received continuous access to  
616 caffeine for 8 days. After continuous access, the rats received intermittent access (every other  
617 day) to caffeine for 10 total sessions over 3 weeks. During intermittent access, all of the rats  
618 were tested for irritability-like behavior and pain sensitivity 24 h after the last access to caffeine.  
619 The rats were then returned to continuous access to caffeine for 8 days and then tested for  
620 compulsive-like caffeine intake in the quinine adulteration test.

621  
622 **Figure 2.** Dose-response test. To determine an optimal concentration for voluntary caffeine  
623 consumption, the rats were given 24-h continuous access to caffeine in a two-bottle choice  
624 procedure (caffeine solution and water) with four different caffeine concentrations (0.07, 0.14,  
625 0.3, and 0.7 mg/ml) for 2 days per concentration. **(A)** Caffeine intake, expressed as mg/kg. The  
626 rats consumed significantly less caffeine at the 0.7 mg/ml caffeine concentration compared with  
627 all of the other concentrations. The rats also consumed significantly more caffeine at the 0.3  
628 mg/ml concentration compared with all of the other concentrations. **(B)** Percent preference for  
629 caffeine over water. Caffeine preference at the 0.7 mg/ml caffeine concentration was  
630 significantly lower than all of the other concentrations. **(C)** Caffeine intake in the high preference  
631 group (bright pink), medium preference group (pink), and low preference group (light pink,

632 expressed as mg/kg. Caffeine consumption in the medium preference group was significantly  
633 higher at the 0.3 mg/ml caffeine concentration than caffeine consumption at the 0.7 mg/ml  
634 concentration. Caffeine consumption in the high preference group was significantly higher at the  
635 0.07, 0.14, and 0.3 mg/ml caffeine concentrations than caffeine consumption at the 0.7 mg/ml  
636 concentration. Caffeine consumption in the high and medium preference groups at the 0.07 and  
637 0.14 mg/ml caffeine concentrations was significantly lower than at the 0.3 mg/ml concentration.  
638 Caffeine consumption at the 0.3 mg/ml caffeine concentration was significantly lower in the low  
639 and medium preference groups than in high preference group. Caffeine consumption at the 0.3  
640 mg/ml caffeine concentration was significantly lower in the low preference group than in the  
641 medium preference group. **(D)** Percent preference for caffeine in the high preference group  
642 (bright pink), medium preference group (pink), and low preference group (light pink). All three  
643 preference groups at all caffeine concentrations, with the exception of 0.3 mg/ml in the low  
644 preference group, exhibited significantly higher caffeine preference than at the 0.7 mg/ml  
645 concentration. Caffeine preference at the 0.07, 0.14, and 0.3 mg/ml concentrations was  
646 significantly lower in the medium and low preference groups than in the high preference group.  
647 Caffeine preference at the 0.07 and 0.3 mg/ml caffeine concentrations was significantly lower in  
648 the low preference group than in the medium preference group. The data are expressed as 2-day  
649 averages for each concentration. \* $p < 0.05$ , compared with 0.7 mg/ml within group; ‡ $p < 0.05$ ,  
650 compared with 0.07 mg/ml within group; # $p < 0.05$ , compared with 0.3 mg/ml within group; + $p <$   
651 0.05, compared with high preference group; \$ $p < 0.05$ , compared with medium preference group.  
652

653 **Figure 3.** Caffeine preference during 24 h continuous and intermittent access to caffeine. **(A)**  
654 Percent caffeine preference across continuous (green) and intermittent (blue) drinking days.

655 Caffeine preference significantly decreased compared with the initial 2-day average during  
656 continuous access on days 16-28, shortly after the beginning of intermittent access. The data are  
657 expressed as 2-day averages for each data point.  $*p < 0.05$ , compared with day 2. **(B)** Percent  
658 caffeine preference in the high preference group (dark pink), medium preference group (pink),  
659 and low preference group (light pink) across continuous (green) and intermittent (blue) drinking  
660 days. The data are expressed as 2-day averages for each data point. **(C)** Average caffeine  
661 preference in the high, medium, and low preference groups across the entire continuous and  
662 intermittent periods. The medium and low preference groups exhibited significantly lower  
663 preference for caffeine ( $*p < 0.05$ ). The medium preference group exhibited significantly higher  
664 preference for caffeine than the low preference group ( $\#p < 0.05$ ).

665

666 **Figure 4.** Behavioral testing 24 h after the last access to caffeine. **(A, B)** No significant  
667 difference in the paw withdrawal latency **(A)** or force required to elicit a paw withdrawal  
668 response **(B)** was found between groups in the von Frey test. **(D)** No significant differences in  
669 the number of defensive or aggressive responses were found between groups in the bottle-brush  
670 test.

671

672 **Figure 5.** Compulsive-like caffeine intake in the quinine adulteration test. Four different quinine  
673 concentrations (0.005, 0.01, 0.025, and 0.05 g/L) were added to the caffeine solution and tested  
674 for 2 days at each concentration. **(A)** Caffeine preference was significantly lower than baseline at  
675 the 0.025 and 0.05 g/L quinine concentrations. **(B)** Caffeine preference was significantly lower at  
676 the 0.025 and 0.05 g/L quinine concentrations in the medium preference group (pink). No  
677 significant differences in caffeine preference from baseline were observed in the high preference

678 group (bright pink) or low preference group (light pink). The data are expressed as 2-day  
679 averages for each concentration. \* $p < 0.05$ , compared with the rats' own baseline.

680

681 **Figure 6.** Human Equivalent Dose in rats (pink) compared with estimated caffeine consumption  
682 in human caffeine drinkers (gray). A Human Equivalent Dose was calculated for each group  
683 (high, medium, and low preference) based on the amount of caffeine consumed. An estimated  
684 dose for humans who drink similar levels of caffeine was calculated for comparison. For each  
685 group, the rat HED was comparable to the estimated dose of human consumption.

686