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Permalink
https://escholarship.org/uc/item/34d3t1x9

Journal
American Journal of Epidemiology, 189(5)

ISSN
0002-9262

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Publication Date
2020-05-05

DOI
10.1093/aje/kwz238

Peer reviewed

Original Contribution

Depression and Incident HIV in Adolescent Girls and Young Women in HIV Prevention Trials Network 068: Targets for Prevention and Mediating Factors

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The human immunodeficiency virus (HIV) epidemic among adolescent girls and young women (AGYW) in sub-Saharan Africa is a critical public health problem. We assessed whether depressive symptoms in AGYW were longitudinally associated with incident HIV, and identified potential social and behavioral mediators. Data came from a randomized trial of a cash transfer conditional on school attendance among AGYW (ages 13–21 years) in rural Mpumalanga Province, South Africa, during 2011–2017. We estimated the relationship between depressive symptoms and cumulative HIV incidence using a linear probability model, and we assessed mediation using inverse odds ratio weighting. Inference was calculated using the nonparametric bootstrap. AGYW with depressive symptoms had higher cumulative incidence of HIV compared with those without (risk difference = 3.5, 95% confidence interval (CI): 0.1, 7.0). The strongest individual mediators of this association were parental monitoring and involvement (indirect effect = 1.6, 95% CI: 0.0, 3.3) and reporting a partner would hit her if she asked him to wear a condom (indirect effect = 1.5, 95% CI: –0.3, 3.3). All mediators jointly explained two-thirds (indirect effect = 2.4, 95% CI: 0.2, 4.5) of the association between depressive symptoms and HIV incidence. Interventions addressing mental health might reduce risk of acquiring HIV among AGYW.

adolescent health; depression; HIV/AIDS; South Africa

Abbreviations: AGYW, adolescent girls and young women; HIV, human immunodeficiency virus; HPTN, HIV Prevention Trials Network.

Every hour, 30 adolescents are infected with the human immunodeficiency virus (HIV) (1). In 2016, the global number of adolescents aged 10–19 years living with HIV rose to 2.1 million, a 30% increase since 2005 (1). Of new HIV infections among adolescents, 3 in 4 occur in sub-Saharan Africa (1), and adolescent girls are especially burdened. The ratio of adolescent incident HIV infection in sub-Saharan Africa was almost 3 girls to each boy in 2017 (2). Understanding risk factors during adolescence before infection is thus crucial to inform prevention strategies.

The most common method of HIV transmission worldwide, at almost 70% of infections, is unprotected heterosexual intercourse (3). Therefore, age at sexual debut, choice of partner, and risky sexual behavior are key targets for prevention (4). However, given limited success in reducing HIV incidence, there is increasing recognition of the critical need to address upstream factors that shape risky behaviors (5–8).

Long-standing evidence demonstrates a link between depression and HIV in sub-Saharan Africa (9, 10). Depression is known to influence treatment adherence and mortality among HIV-infected individuals (11, 12). Furthermore, a high prevalence of depressive disorders has been reported at the time of HIV testing (13) and among HIV-infected individuals in South Africa (14–16). It is understood that a diagnosis of HIV can contribute to depression (17),

given that it is a life-threatening illness requiring lifelong management and medication. The stigma of HIV likely contributes further to depression (18), and concerns about losing a job or partnership or, in the case of pregnancy, the prospect of the infant acquiring HIV, add more stress (19).

However, the possibility of depression as a risk factor for HIV incidence in sub-Saharan Africa has not been examined to date. Depressive symptoms have been linked to increased risk of HIV incidence among men who have sex with men in the United States (20, 21), but the relationship has not previously been tested among adolescent girls and young women (AGYW) in sub-Saharan Africa, although a number of plausible pathways exist. Depressive symptoms among young South African women are associated with increased alcohol use and risky sexual behaviors (22–25), both considered to be risk factors for HIV (3, 26, 27). The association between depression and risky sexual behaviors might be related to sexual abuse as a child or sexual assault as an adolescent/adult (28). Furthermore, depression could lead to use of sex as a coping mechanism to regulate negative emotions, increase a young woman's desire to please her partners (4, 29), and contribute to a lack of efficacy or power in sexual relationships (30–32). Depressive symptoms are also associated with reduced educational attainment (33), another known risk factor for HIV in this population (26, 27, 34), and limited involvement or engagement in community life (35). Finally, depression in adolescence can be associated with poor relationships with parents and can reduce parental closeness or involvement (36–38), which can in turn put girls at greater risk due to lower parental monitoring and advice.

Existing evidence linking depression to risky sexual behavior and HIV infection in sub-Saharan Africa relies on cross-sectional studies that leave the direction of causality unclear (i.e., is depression a cause or consequence of risky sexual behavior/HIV?) (9, 10, 14, 17, 39–41). In the present study, we used unique longitudinal data to test the hypothesis that depressive symptoms in early adolescence are associated with incident HIV infection. Furthermore, we investigated whether a range of social and behavioral factors mediated the relationship between depressive symptoms and incident HIV. This study was conducted using the HIV Prevention Trials Network (HPTN) 068 cohort, a longitudinal study of AGYW in South Africa. We hypothesized that depressive symptoms in adolescence would increase HIV incidence and that this would be explained, at least in part, by behavioral mediators. Because depression is common and potentially modifiable in low and middle-income countries (42), it represents a promising prevention target.

METHODS

Participants

The HPTN 068 cohort of AGYW was established in 2012 as part of a National Institutes of Health–funded randomized controlled trial (HPTN 068) to estimate the effects of cash transfers conditional on school attendance on HIV incidence among adolescent girls in rural Mpumalanga Province, South Africa (26). Participants included 2,533 girls and

women in grades 8–11 (aged 13–21 years) at baseline. Eligibility criteria required the enrollees to be unmarried, not pregnant at enrollment, and able to read. They and their parents/guardians were also required to be able to open a bank account. All households with eligible AGYW in the study area were recruited; only 7% did not participate, due to either parent/guardian or participant refusal (43). The study did not exclude girls who tested positive for HIV infection at baseline in order to avoid undesired disclosure of HIV status. Additional details on the study recruitment and enrollment have been previously published (26, 43). Participants were followed annually for up to 4 years, with additional graduation and postintervention follow-up visits that resulted in follow-up duration of up to 6 years. Retention during the study period was approximately 91% (26). Participants completed detailed surveys about their economic and health behaviors, attitudes regarding social norms, and life experiences, and they provided blood samples to test for HIV each year. Surveys about household composition and wealth were also undertaken with the participant's head of household, providing rich longitudinal cohort data with HIV incidence at multiple time points throughout adolescence.

Institutional review board approval for this study was obtained from the University of North Carolina at Chapel Hill, the University of the Witwatersrand Human Research Ethics Committee, and the Mpumalanga Province Health Research and Ethics Committee. Approval for analytical work was also obtained by the University of California, Berkeley, and the University of California, San Francisco.

Exposure definition

To assess depressive symptoms, we used a 10-item short form of the Children's Depression Inventory scale (44), administered at the baseline visit. The reduced scale has comparable results with the full scale and has previously been used in South Africa (45–47); the full scale has been validated in sub-Saharan Africa (48). Each question had 3 levels, and following standard practice, we coded the most positive response as 0, the middle response as 1, and the most negative response as 2. We summed the items over the 10 questions and we dichotomized the index at 7, which is the prorated equivalent of the recommended cutoff, at 19, from the full 27-item Children's Depression Inventory, which is used to identify adolescents with depressive disorders in nonclinical samples (44, 45). In a validation study of the short-form Children's Depression Inventory in Rwanda, the sensitivity and specificity were 0.64 and 0.97, respectively, for the cutoff value of 7 (48).

Outcome definition

HIV status was determined at each follow-up visit by administering 2 parallel rapid HIV tests (the Determine HIV-1/2 test (Alere Medical Co., Matsudo-shi, Chiba, Japan) and the US Food and Drug Administration–cleared Unigold Recombigen HIV test (Trinity Biotech, Bray, County Wicklow, Ireland)). If one or both tests were reactive or

positive, confirmatory HIV testing was done with the Food and Drug Administration–cleared GS HIV-1 Western Blot Kit (Bio-Rad Laboratories Inc., Redmond, Washington). A detailed description of study visit and laboratory procedures is published elsewhere (26). Cumulative incidence of HIV was evaluated from the second through the fifth follow-up visits, which spanned a period of up to 6 years.

Mediator definitions

We examined the following possible mediators, assessed at the first follow-up visit, which was approximately a year after the measurement of depressive symptoms: health and sexual behaviors, indicators of relationship power, and family or social involvement. Health and sexual behaviors included alcohol use more than once a month, number of partners in the previous 12 months, unprotected sex in the previous 3 months, and purchased or received birth control or condoms in the previous month. Indicators of relationship power included a recent sexual partner ≥5 years older, having engaged in transactional sex in the past 12 months, a partner who would hit her if she asked him to use a condom, a partner who would be angry if she asked him to use a condom, a partner who would suspect she was cheating if she asked him to use a condom, low power according to the Sexual Relationship Power Scale (49), and intimate partner violence in the previous 12 months. Family and social involvement mediators included having an average of >5 days of missed school per month during the previous year, having attended >80% of school days between follow-up visits, parental monitoring and involvement in girl's life (a detailed description of this measure is provided in Web Appendix 1, available at https://academic.oup.com/aje), and the number of community organizations of which the girl was a member.

We chose these mediators based on literature that had identified these factors as behaviors that could be affected by depressive symptoms, could modify HIV risk, and could plausibly be targeted by interventions.

Analytical plan

To establish temporal ordering between depressive symptoms, mediators, and HIV, we excluded AGYW who were HIV-infected at the baseline or first follow-up visit ($n = 113$, 4.5%). We considered mediators from the first annual follow-up visit to ensure temporality between depression at the baseline visit and mediators (Figure 1). HIV incidence was included from the second to the fifth annual follow-up visits, which spanned a period of up to 6 years.

We controlled for baseline covariates that might have affected depressive symptoms, HIV incidence, and the mediators, based on theory and prior literature. These included household food insecurity, per capita household consumption, percentage of household members receiving a government grant, adverse and positive life events, orphan status, maternal and paternal educational attainment, pregnancy history, age, and trial arm. Detailed definitions of the covariates are available in Web Appendix 2.

We estimated the effect of depressive symptoms on cumulative HIV incidence using a linear probability model to estimate parameters on the additive (risk difference) scale (50, 51). For the mediation analyses, we estimated natural direct and indirect effects using inverse odds-ratio weighting and considered multiple mediators (52, 53). This method uses the invariance property of the odds ratio to create weights that block the association between depression and the mediator(s), thus isolating the natural direct effect of depression (i.e., the effect that does not go through the mediator(s)). Some strengths of this approach, in contrast to other available mediation methods (54, 55), are that it allows multiple mediators to be considered simultaneously and allows for interactions between depression and the mediators. The natural indirect effect, the association of depression with HIV via the mediator(s), is the difference between the total effect and the natural direct effect.

To account for covariate missingness we used multiple imputation with 30 imputed data sets (56). The nonparametric bootstrap was used to calculate 95% Wald-type
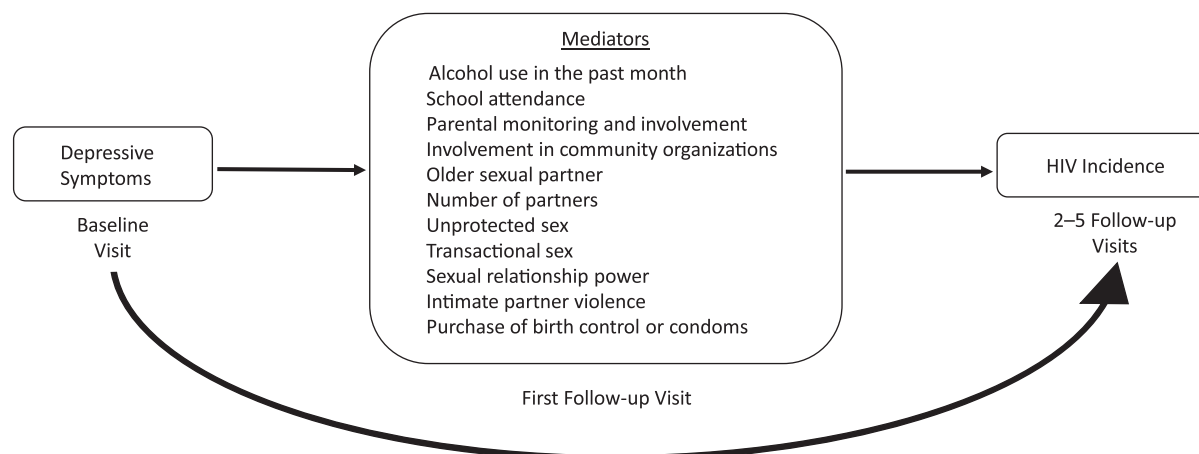

Figure 1. Timeline of depressive symptoms measurement, mediator assessment, and human immunodeficiency virus (HIV) testing over the course of the study, HIV Prevention Trials Network 068 Cohort, Mpumalanga, South Africa, 2011–2017.

Table 1.   Descriptive Statistics for Baseline Covariates, Severe Depressive Symptoms, and Human Immunodeficiency Virus Incidence for the HIV Prevention Trials Network 068 Cohort, Mpumalanga, South Africa, 2011–2017

Covariate	No.	Depressive Symptoms, %	HIV-Positive, %
Orphaning status[a]			
1 parent deceased	567	19.5	8.1
2 parents deceased	105	20.8	10.2
Household economic status			
Food insecure[b]			
No	2,022	17.9	7.7
Yes	393	19.5	10.1
Decile of total household per capita expenditures[b]			
1	242	16.4	9.6
2	235	17.0	8.6
3	240	21.5	7.6
4	244	15.5	6.6
5	246	20.9	7.3
6	242	19.0	8.8
7	241	20.7	12.6
8	239	17.4	8.4
9	240	18.0	7.6
10	246	15.4	3.7
>25% of household receiving a government grant[b]			
No	560	14.8	7.0
Yes	1,855	19.2	8.4
Maternal education[c]			
No school	443	18.7	8.0
Some primary	480	21.8	9.4
Completed primary	111	23.0	7.1
Some high school	716	17.9	9.9
Completed high school	570	16.0	5.6
University or vocational	94	7.1	3.0
Paternal education			
No school	499	17.9	9.4
Some primary	433	21.3	9.2
Completed primary	125	20.7	8.5
Some high school	553	17.6	8.4
Completed high school	687	17.3	7.0
University or vocational	118	13.2	2.1
Any negative life event[b]			
No	1,772	17.8	8.2
Yes	643	19.3	7.8
Any positive life event[b]			
No	1,965	18.4	7.8
Yes	450	17.1	9.3

Table continues

Table 1.   Continued

Covariate	No.	Depressive Symptoms, %	HIV-Positive, %
Demographic factors			
Ever been pregnant			
No	2,211	16.6	7.5
Yes	204	35.4	15.0
Age at baseline, years			
13	269	15.2	6.3
14	482	13.5	5.5
15	527	18.1	7.5
16	505	16.1	8.7
17	358	18.6	10.0
18	163	34.7	11.4
19	79	31.4	11.9
≥20	32	28.2	19.2
Trial arm			
Control	1,212	17.3	8.3
Intervention	1,203	19.1	7.9

Abbreviation: HIV, human immunodeficiency virus.

[a] This category does not sum to the total because it does not include participants for whom both parents are still living.

[b] Details on measurement and definitions of these variables are available in Web Appendix 2.

[c] The total for this category does not sum to the total due to rounding across multiply imputed data sets.

RESULTS

Main effects

There were 2,533 AGYW included in the study; of these, 118 were excluded as a result of having no information on HIV status (n = 5, 0.2%) or were HIV-positive at baseline or the first follow-up visit (n = 113, 4.5%). This resulted in a sample size of 2,415. The prevalence of depressive symptoms and incidence of HIV varied across the baseline covariates (Table 1), but overall, 18.2% of AGYW were classified as having depressive symptoms at baseline, and the cumulative incidence of HIV after the first follow-up visit was 0.072 (Table 2). AGYW with depressive symptoms had 0.107 cumulative HIV incidence over the years of follow-up, compared with 0.065 cumulative incidence among those without depressive symptoms (Table 2). After adjustment for covariates, depressive symptoms at baseline were associated with higher cumulative incidence of HIV (risk difference = 3.5, 95% confidence interval: 0.1, 7.0) (Table 3).

Mediation

Estimates of mediation parameters between depressive symptoms and HIV are presented in Table 3. The estimated natural direct effect on the risk difference scale of depressive symptoms on incident HIV, considering all mediators jointly, was 1.1 (95% confidence interval: −2.1, 4.4), while the estimated natural indirect effect considering all mediators was 2.4 (95% confidence interval: 0.2, 4.5), suggesting that the mediators explain about two-thirds of the absolute association between depressive symptoms and HIV when considered jointly. The remaining estimate of the natural direct effect suggests that depressive symptoms might also increase the risk of HIV through biological or other behavioral mechanisms not examined here.

The mediators that individually explained the largest portion of the association between depressive symptoms and HIV incidence were parental monitoring and involvement and reporting that a partner would hit her if she asked him to wear a condom. The next strongest mediators were missing ≥5 days of school on average per month in the past year, having unprotected sex in the past 3 months, reporting her partner would assume she was cheating or become angry if

confidence intervals. We estimated direct and indirect effects for each mediator separately and all mediators jointly. We conducted a sensitivity analysis using inverse probability of censoring weights to assess whether loss to follow-up affected our results. We also conducted a quantitative bias analysis using results on the risk ratio scale to assess how strong mediator-outcome confounding would need to be to change our results (57). A full description of this procedure is provided in Web Appendix 3.

All analyses were performed using R, version 3.4 (R Foundation for Statistical Computing, Vienna, Austria) (58); the code is available in Web Appendix 4.

Table 2. Human Immunodeficiency Virus Incidence According to Exposure Status and Year of Follow-Up for the HIV Prevention Trials Network 068 Cohort, Mpumalanga, South Africa, 2011–2017

No. of Years of Follow-up	Overall				No Depressive Symptoms				Depressive Symptoms			
	No. of HIV Cases	Observed Person-Years at Risk[a]	Cases/ Person-Years	Cumulative Incidence[b]	No. of HIV Cases	Observed Person-Years at Risk	Cases/ Person-Years	Cumulative Incidence[b]	No. of HIV Cases	Observed Person-Years at Risk	Cases/ Person-Years	Cumulative Incidence[b]
2	22	2,329	0.009	0.009	16	1,906	0.008	0.008	6	423	0.014	0.014
3	43	2,136	0.020	0.027	27	1,747	0.015	0.022	16	389	0.041	0.050
4	42	1,913	0.022	0.044	34	1,570	0.022	0.039	8	343	0.023	0.068
5	36	1,336	0.027	0.059	26	1,093	0.024	0.052	10	243	0.041	0.091
6	32	671	0.048	0.072	25	547	0.046	0.065	7	124	0.056	0.107
Total	175	8,385	0.021	0.072	128	6,863	0.019	0.065	47	1,522	0.031	0.107

Abbreviation: HIV, human immunodeficiency virus.

[a] The total observed person-years at risk in the second year of follow-up is n = 2,329 rather than the total n = 2,415 because 86 were lost to follow-up before the second year.

[b] Cumulative incidence includes all cases up through the relevant year of follow-up.

she asked him to use a condom, and experiencing intimate partner violence in the past 12 months. Having a partner ≥5 years older, engaging in transactional sex, and the number of community organizations AGYW were involved with mediated the smallest portions of the overall association. The results did not meaningfully change when we used inverse probability of censoring weights to control for differential loss to follow-up (Web Table 1).

We conducted a quantitative bias analysis on the risk ratio scale for the amount of unobserved mediator-outcome confounding that could change the results, focusing on the mediation analysis that considered all mediators jointly (Web Table 2). We found that unobserved confounding would need to be strong in order to alter our findings (Web Tables 3 and 4).

DISCUSSION

This study examined the association of depressive symptoms with HIV incidence among AGYW in South Africa, a population bearing a large burden of HIV/AIDS and the highest number of incident cases in the region hardest hit by the epidemic. We found prevalence of depressive symptoms of 18.2% in this young adolescent population, which was almost twice the prevalence recorded in a nationally representative survey of adults in South Africa (59). Previous evidence in sub-Saharan Africa showed that HIV infection increased risk of depression (15, 18, 40), and depression reduced treatment adherence and survival among HIV-positive individuals (10, 11, 41). Cross-sectional studies also suggested a co-occurrence of depression and HIV (14, 39). The findings of this study suggest that having depressive symptoms in adolescence might also increase risk of HIV infection. To our knowledge, this is the first demonstration that depression is longitudinally related to HIV acquisition among AGYW in sub-Saharan Africa. The results are especially important given the high burden of depression among adolescents and point to areas for prevention strategies.

Because depression has been linked to lower condom use (30), and unprotected sex is the main route of HIV transmission in South Africa (60), we considered mediators that might connect depressive symptoms to behaviors that could influence risk of HIV. Mediators considered in this study—including alcohol use, school attendance, parental monitoring and involvement, community participation, sexual behaviors, and sexual relationship power—jointly explained about two-thirds of this association. Parental monitoring and involvement and reporting fear that a partner would hit her if she requested that he use a condom were responsible for the largest estimated individual mediation effects. However, we acknowledge that these mediators represent complex behaviors whose development could precede and contribute to the manifestation of depressive symptoms. In this study, we were not able to disentangle all the interconnections between these complicated emotional and social factors over time, but by measuring them at distinct visits we attempted to capture meaningful temporal connections between them and their relationships to HIV incidence.

Evidence indicates that parental monitoring and involvement can influence HIV risk. Parental involvement has been

Table 3.   Estimated Total Effects[a] of Depressive Symptoms on Incidence of Human Immunodeficiency Virus and Estimated Natural Direct and Indirect Effects of Several Mediators in the HIV Prevention Trials Network 068 Cohort, Mpumalanga, South Africa, 2011–2017[b]

Mediator	Natural Direct Effect	95% CI	Natural Indirect Effect	95% CI
Health and school behaviors				
Alcohol use in the past month	2.40	−1.0, 5.8	1.10	−0.4, 2.7
More than 5 days of missed school on average per month in the previous year	2.10	−1.3, 5.4	1.40	−0.2, 3.1
High school attendance between rounds of >80%	2.30	−1.1, 5.7	1.20	−0.4, 2.8
Parental monitoring and involvement	1.90	−1.3, 5.0	1.60	0.0, 3.3
Community engagement (number of community organizations involved in)	2.60	−0.9, 6.1	0.90	−0.7, 2.5
Sexual behaviors and self-efficacy				
Had a recent sexual partner ≥5 years older than oneself	2.50	−0.9, 5.9	1.00	−0.5, 2.6
No. of partners in the previous 12 months (0, 1, 2, or more)	2.30	−1.1, 5.7	1.20	−0.4, 2.9
Had unprotected sex in the previous 3 months	2.20	−1.2, 5.5	1.40	−0.3, 3.0
Engaged in transactional sex in the previous 12 months	2.50	−1.0, 6.0	1.00	−0.6, 2.6
Sexual relationship power: partner would hit me if I requested he use a condom	2.00	−1.3, 5.2	1.50	−0.3, 3.3
Sexual relationship power: partner would be angry if I requested he use a condom	2.20	−1.1, 5.6	1.30	−0.4, 3.0
Sexual relationship power: partner would assume I'm cheating if I ask him to use a condom	2.20	−1.2, 5.5	1.40	−0.3, 3.0
Low power according to sexual relationship power scale	2.30	−1.0, 5.6	1.20	−0.4, 2.9
Experienced intimate partner violence in the previous 12 months	2.20	−1.1, 5.5	1.30	−0.3, 2.8
Purchased birth control or condoms	2.40	−1.0, 5.7	1.20	−0.4, 2.7
All mediators	1.10	−2.1, 4.4	2.40	0.2, 4.5

Abbreviations: CI, confidence interval; HIV, human immunodeficiency virus.

[a] The estimated total effect risk difference was 3.5 (95% CI: 0.1, 7.0).

[b] Estimates were adjusted for household consumption, food insecurity, any negative or positive events, percent of the household receiving a government grant, orphaning status, maternal and paternal educational attainment, pregnancy history, age at baseline, and arm of the trial.

linked to reduced sexual activity and risky sexual behavior among adolescents in sub-Saharan Africa (61, 62), and parental communication around sexuality and sexual risk-taking with adolescent children has been tied to improved self-efficacy and interpersonal communication about sex, especially among AGYW (36). Positive parenting has been identified as an important contributor to adolescent physical and mental health in sub-Saharan Africa (63–65), but the directional relationship between parental involvement and child mental health and behavior is less well-studied. However, evidence from the United States indicates that the relationship between parental monitoring and child behavior is bidirectional (37) and that the direction of the relationship changes as children age into adolescence (38). For example, increased parental monitoring has been associated with lower childhood depression (66), but during adolescence, child behavior can also affect parental monitoring and

parenting behaviors (38). Our results suggest that lack of parental monitoring and involvement plays an important role in mediating the association of adolescent depressive symptoms with HIV incidence, such that depression leads to less involvement from parents, which then increases risk of HIV. However, it is also possible that parental monitoring and involvement earlier in a child's life influences later parental behavior, in addition to depressive symptoms and risky sexual behaviors.

Having gender-based power imbalances in sexual relationships has been tied to increased risk of acquiring HIV and other sexually transmitted infections in South Africa (67, 68), and it has also been associated with inconsistent condom use (31). Previous work has shown that South African men who were physically violent toward a partner were more likely to be HIV-positive, increasing HIV risk for those partners (69). Prior work has found that young HIV-

infected women with low sexual relationship power are at increased risk of depression in sub-Saharan Africa (32), and the results of this study indicate that AGYW with depressive symptoms might be more vulnerable to relationships in which they have less power or control over decision-making. One of the largest estimated mediation effects was observed for AGYW reporting that a partner would hit her if she requested that he use a condom. Having a partner who would assume infidelity or become angry if she requested that he use a condom during sex and experiencing intimate partner violence in the past 12 months also had some of the largest estimated mediation effects. These results indicate that depressive symptoms might reduce the ability of AGYW to negotiate condom use during sex and could increase their risk of HIV. Depression might also be associated with choosing sexual partners who are less open to negotiation or who are more likely to commit intimate partner violence.

In South Africa, children's mental health has been linked to school attendance and other educational outcomes (70). Furthermore, remaining in school and educational attainment during adolescence are protective against HIV incidence among AGYW in South Africa (34). School attendance was inversely related to both depressive symptoms and HIV incidence in this cohort, and missing more than 5 days of school on average per month was among the largest estimated individual mediation effects.

There were some limitations to this work. Although we were able to control for a large set of social, economic, and demographic covariates, residual confounding is always a concern in observational studies. Our total effect estimates assume there is no unmeasured confounding between the exposure and outcome. Additional assumptions for causal inference are positivity, consistency, and the stable unit treatment value assumption. Furthermore, our measurements of depressive symptoms and social and behavioral factors were self-reported. This could introduce same-source bias, in which measurement error is correlated, because, for example, depressive symptoms could affect a young woman's perception of parental monitoring or recall of past behavior. This is a limitation for the measurement of our exposure and mediators but not the outcome: HIV status was determined by blood test. In addition, while our sample included a large cohort of AGYW, we nonetheless had limited power to detect natural direct and indirect effects, particularly for a rare outcome. Detection of mediation effects tends to be underpowered with sample sizes designed for main effects only (71). Furthermore, when estimating natural direct and natural indirect effects there are several assumptions that need to be considered beyond those in a total effect analysis. In particular, in addition to concern about confounding between the exposure and outcome, there must also be no confounding of the exposure and the mediator and between the mediator and the outcome. We conducted a quantitative bias analysis to assess how strong unmeasured mediator-outcome confounding would need to be to invalidate our results and found that strong confounding would be necessary, increasing our confidence in the findings.

Our results suggest that interventions that improve mental health among AGYW might also improve HIV-prevention efforts. They suggest the importance of incorporating family support and educational or empowerment initiatives around condom use, negotiating condom use with respect to gender-based sexual relationship power, and addressing male norms around condom use in prevention programming. While depression is a modifiable public health problem, access to mental health-care services in South Africa is currently quite poor. Nevertheless, relatively low-cost interventions, delivered by lay workers in the community, have been shown to be effective in low- and middle-income countries, such as the rural South African context (72–74). Such interventions in adolescence, targeting depression as well as parental relationships and addressing social and structural factors that encourage women to participate in sexual relationships with gender-based power dynamics, could help prevent young women from acquiring HIV.

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