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### Title

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### Permalink

<https://escholarship.org/uc/item/37d4478v>

### Journal

Nature Biomedical Engineering, 1(3)

### ISSN

2157-846X

### Authors

Fang, Hui  
Yu, Ki Jun  
Gloschat, Christopher  
[et al.](#)

### Publication Date

2017

### DOI

10.1038/s41551-017-0038

Peer reviewed



Published in final edited form as:

*Nat Biomed Eng.* 2017 ; 1: . doi:10.1038/s41551-017-0038.

## Capacitively Coupled Arrays of Multiplexed Flexible Silicon Transistors for Long-Term Cardiac Electrophysiology

Hui Fang<sup>1,2,3,†</sup>, Ki Jun Yu<sup>2,3,4,†</sup>, Christopher Gloschat<sup>5,†</sup>, Zijian Yang<sup>2,3</sup>, Chia-Han Chiang<sup>6</sup>, Jianing Zhao<sup>2,3</sup>, Sang Min Won<sup>2,3</sup>, Siyi Xu<sup>2,3</sup>, Michael Trumpis<sup>6</sup>, Yiding Zhong<sup>2,3</sup>, Enming Song<sup>2,3</sup>, Seung Won Han<sup>2,3</sup>, Yequang Xue<sup>7</sup>, Dong Xu<sup>2,3</sup>, Gert Cauwenberghs<sup>8</sup>, Matthew Kay<sup>5</sup>, Yonggang Huang<sup>7</sup>, Jonathan Viventi<sup>6</sup>, Igor R. Efimov<sup>5,\*</sup>, and John A. Rogers<sup>2,3,\*</sup>

<sup>1</sup>Department of Electrical and Computer Engineering, Northeastern University, Boston, MA 02115, USA

<sup>2</sup>Department of Materials Science and Engineering, University of Illinois at Urbana-Champaign, Urbana, IL 61801, USA

<sup>3</sup>Frederick Seitz Materials Research Laboratory, University of Illinois at Urbana-Champaign, Urbana, IL 61801, USA

<sup>4</sup>School of Electrical and Electronic Engineering, Yonsei University, Seoul, 03722, Republic of Korea

<sup>5</sup>Department of Biomedical Engineering, The George Washington University, Washington, DC 20052, USA

<sup>6</sup>Department of Biomedical Engineering, Duke University, Durham, NC 27708, USA

<sup>7</sup>Department of Mechanical Engineering and Department of Civil and Environmental Engineering, Northwestern University, Evanston, IL 60208, USA

<sup>8</sup>Department of Bioengineering, University of California San Diego, La Jolla, CA 92093, USA

### Abstract

Advanced capabilities in electrical recording are essential for the treatment of heart-rhythm diseases. The most advanced technologies use flexible integrated electronics; however, the penetration of biological fluids into the underlying electronics and any ensuing electrochemical reactions pose significant safety risks. Here, we show that an ultrathin, leakage-free, biocompatible dielectric layer can completely seal an underlying layer of flexible electronics while allowing for electrophysiological measurements through capacitive coupling between tissue and the electronics, and thus without the need for direct metal contact. The resulting current-leakage

\*To whom correspondence should be addressed. jrogers@illinois.edu or efimov@gwu.edu.

†These authors are contributed equally to this work

Correspondence and requests for materials should be addressed to J.A.R. (jrogers@illinois.edu) or I.R.E. (efimov@gwu.edu).

**Author contributions** H.F., K.Y., C.G., Z.Y., I.R.E. and J.A.R. designed the research; H.F., K.Y., Z.Y., C-H.C., J.Z., S.X., S.W., Y.Z., E.S., S.W.H. and D.X. fabricated the devices and electronics; H.F., C.G., Z.Y. and J.Z. carried out animal experiments; H.F., K.Y., C.G., Z.Y., C-H.C., J.Z., M.T., J.V., G.C. and M.K. performed data analysis; H.F., Z.Y., Y.X. and Y.H. contributed to mechanical simulations; H.F., K.Y., C.G., Z.Y., I.R.E. and J.A.R. co-wrote the manuscript.

The authors declare no competing financial interests.

levels and operational lifetimes are, respectively, four orders of magnitude smaller and between two and three orders of magnitude longer than those of any other flexible-electronics technology. Systematic electrophysiological studies with normal, paced and arrhythmic conditions in Langendorff hearts highlight the capabilities of the capacitive-coupling approach. Our technology provides a realistic pathway towards the broad applicability of biocompatible, flexible electronic implants.

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Tools for spatially mapping electrical activity on the surfaces of the heart are critically important to experimental cardiac electrophysiology and clinical therapy. The earliest systems involved micro-electrode arrays on flat, rigid substrates, with a focus on recording cardiac excitation in cultured cardiomyocytes and mapping signal propagation across planar cardiac slices<sup>1-5</sup>. More recent technologies exploit flexible arrays, in formats ranging from sheets, to baskets, balloons, 'socks' and integumentary membranes, with the ability to integrate directly across large areas of the epicardium and endocardium in beating hearts<sup>6-10</sup>. The most sophisticated platforms of this type include an underlying backplane of thin, flexible active electronics that performs local signal amplification and allows for multiplexed addressing<sup>11,12</sup>. This latter feature is critically important because it enables scaling to high density, high speed measurements, in regimes that lie far beyond those accessible with simple, passively addressed systems without integrated electronics. The measurement interface associated with all such cases relies on thin electrode pads in direct physical contact with the tissue, where electrical signals transport through via-openings to the electronics. Although this approach has some utility, bio-fluids can readily penetrate through the types of polycrystalline metal films used for the electrodes. Resultant leakage currents from the underlying electronics can cause potentially lethal events such as ventricular fibrillation (VF), and cardiovascular collapse<sup>13,14</sup>; they also lead to degradation of the Si electronics and catastrophic failure of the measurement hardware. The electrochemical reaction with the electrolyte at the metal/tissue interface will also yield bio-corrosion of metal films<sup>15</sup>. By consequence, devices of with such designs are inherently unsuitable for human use, even in surgical contexts or other acute applications. Similar considerations prevent their application in any class of implant<sup>16-18</sup>.

The results presented here provide a robust and scalable solution to these challenges by eliminating all direct metal interfaces and replacing them with capacitive sensing nodes integrated on high performance, flexible silicon electronic platforms for multiplexed addressing. Specifically, an ultrathin, thermally-grown layer of silicon dioxide covers the entire surface of the system, to serve both as a dielectric to enable direct capacitive coupling to the semiconducting channels in arrays of silicon nanomembrane (Si NM) transistors and as a robust, biocompatible barrier layer to prevent penetration of bio-fluids. The co-integration of active electronic circuits affords built in signal conditioning and processing, as well as scalability via multiplexed addressing<sup>19-25</sup>. Although capacitive methods for sensing<sup>26-28</sup> and rigid platforms of large-scale active microelectrodes<sup>29-32</sup> are known, our work combines two features that, viewed either individually or collectively, are important advances in technology for electrophysiological mapping at the organ level in living biological systems: (1) use of an ultrathin thermally grown layer of silicon dioxide for capacitive sensing that simultaneously provides high-yield, defect-free encapsulation layers

with long-term stability in bio-fluids; (2) combination of high fidelity capacitive sensing, long-term stability and mechanical flexibility in a fabrication process that yields thin active electronics with robust operation on dynamically evolving curved surfaces of biological tissue, as demonstrated in cardiac mapping on beating hearts. The technology introduced here is the first to incorporate all of the key features needed for use in high speed, high resolution cardiac electrophysiology: (1) large area formats with integrated active electronics for multiplexing and signal amplification on a per channel level, (2) thin, flexible device mechanics for integration and high fidelity measurement on the curved, moving surfaces of the heart, (3) cumulative levels of leakage current to the surrounding tissue that remain well below 1  $\mu\text{A}$  (per ISO 14708 1:2014 standards for implantable devices), for safe operation, (4) long-lived, thin, bendable bio-fluid barriers as perfect, hermetic sealing of the underlying electronics for stable, reliable function and (5) biocompatible interfaces for long-term use, without direct or indirect contact to traditional electronic materials. Detailed studies of the materials and the combined electrical and mechanical aspects of the designs reveal the key features, and advantages, of this type of system. Application to epicardial mapping of *ex vivo* Langendorff heart models further quantitatively validates the capabilities in various contexts of clinical relevance. The resulting high levels of safety in operation and long-term, long-term stable measurement capabilities create unique, compelling opportunities in both cardiac science and translational engineering.

## Results

### Capacitively coupled silicon nanomembrane transistors as active sensing nodes

The overall system consists of 396 multiplexed capacitive sensors (18 columns, 22 rows), each with dimensions of  $500\ \mu\text{m} \times 500\ \mu\text{m}$ , as shown in Fig. 1a, distributed uniformly over a total area of  $9.5\ \text{mm} \times 11.5\ \text{mm}$ . Each sensor consists of two underlying Si NM transistors, one of which connects to a metal pad from its gate electrode (Fig. 1a and b). A layer of thermally grown silicon dioxide (900 nm,  $\text{SiO}_2$ ) covers the entire top surface of the system (Fig. 1a and Supplementary Fig. 1–4). This ultrathin (comparing to the thickness of the layers used previously for encapsulation<sup>33–35</sup>) thermal  $\text{SiO}_2$  layer serves not only as the dielectric for capacitive coupling of adjacent tissue to the semiconducting channels of the associated Si NM transistors, but also as a barrier layer that prevents penetration of bio-fluids to the underlying metal electrode and associated active electronics. The fabrication begins with definition of 792 Si n-channel metal-oxide semiconductor (NMOS) transistors on a silicon on insulator (SOI) wafer. A sequence of deposition, etching and photolithographic patterning steps forms the necessary dielectric and metal layers for the interconnects and sensing electrodes. Bonding a layer of polyimide on top of this electronics yields a thin, flexible system upon removal of the silicon wafer. Here, the buried oxide (Box) layer of the SOI wafer serves as the capacitive interface and encapsulation layer. Detailed information on the device fabrication can be found in **Methods**, Supplementary note 1 and Supplementary Fig. 1. Supplementary Fig. 2 and 3 show optical images at various stages of the fabrication and a corresponding cross sectional schematic illustration of the final device, respectively. This fabrication process is capable of scaling up to the largest silicon wafers available (currently 450-mm diameter), allowing for systems that provides full area coverage across most of the internal organs of the human body.

As presented in the equivalent circuit, top and cross sectional views of Fig. 1b, each sensor includes an amplifier and a multiplexer, with capacitive input. The amplifier consists of a Si NM transistor (channel length  $L_{\text{eff}} = 13.8 \mu\text{m}$ , width  $W = 80 \mu\text{m}$ , thickness  $t = 50 \text{ nm}$ ), with a gate that extends to a large metal pad ( $270 \mu\text{m} \times 460 \mu\text{m}$ ). The thermal  $\text{SiO}_2$  layer above the transistor and the metal pad physically contacts adjacent tissue during operation. The tissue/ $\text{SiO}_2$ /gate metal pad forms a large capacitor ( $C_{\text{CAP}}$ , tissue/ $\text{SiO}_2$ /gate metal pad) that couples with the gate that drives the transistor channel. This directly couples to the semiconductor channel of the amplifier, bypassing the effects of capacitance in the wiring to remote electronics, as an important distinction between the architecture presented here and traditional, passive capacitive sensors<sup>28,36</sup>. This direct coupling provides immediate signal amplification and eliminates signal cross-talk along the pathway. Previously reported nanowire bio-sensors<sup>21,37</sup> rely on similar coupling schemes, although difficulties in scaling prevent their use in the types of large-scale, multiplexed arrays introduced here. The capacitance,  $C_{\text{CAP}}$ , is configured to be over one order of magnitude larger than the top gate capacitance ( $C_{\text{TG}}$ ) of the transistor, thereby prevent forming a voltage divider and attenuating the signal. This design is important for high performance signal amplification and low noise levels<sup>28</sup>. Detailed electrical models of the operation are in the **Methods** section. The sensing system also utilizes an active multiplexing circuitry design similar that described elsewhere<sup>12</sup>, where the electrical signal from the tissue at each given node in the array is selected in a rapid time sequence by the multiplexing transistors (with the same dimensions as the amplifier transistor) for external data acquisition. Additional details are in **Methods**.

Figure. 1c illustrates the principle of the capacitive coupling to a Si NM transistor. Electrically biasing a droplet of phosphate buffered saline (PBS) solution placed in contact with the  $\text{SiO}_2$  layer causes coupling to the gate pad of the transistor (while the gate pad was not directly biased), thereby allowing measurement of the transfer characteristic in a manner that simulates the effects of electrical potential generated from the contacting tissue (Fig. 1c, left and middle). The resulting transconductances, threshold voltages, and subthreshold swings are similar to those measured by directly biasing the gate pad, thereby validating the capacitively coupled sensing design. (The minor discrepancies in the subthreshold swing and transconductance arise from slight differences in the overall capacitance to the channel.) The capacitively coupled transistor exhibits an on/off ratio of  $\sim 10^7$  and a peak effective electron mobility of  $\sim 800 \text{ cm}^2/\text{V.s}$  (Fig. 1c, Supplementary Fig. 5), as calculated from standard field effect transistor models (see **Methods**). Figure 1c (right) shows the output characteristics, consistent with Ohmic source/drain contacts and well-behaved current saturation. This high performance operation is critically important for high fidelity amplification and fast, multiplexed addressing.

The operation and output characteristics of the amplifier appear in Fig. 1d. Here, a current sink and a single-stage Si NM transistor with capacitively coupled input forms a common drain amplifier (source follower). As a result of the large capacitive coupling, this circuit offers high voltage gain (0.97, where 1 is the ideal value) for both DC (-2 to 2 V) and AC (5 mV, 10 Hz) inputs (Fig. 1d, right), where the gain corresponds to the ratio between the output and input voltages ( $V_{\text{OUT}}/V_{\text{IN}}$ ). The presence of the thermal  $\text{SiO}_2$  layer yields an

ultra-high input impedance measurement interface ( $\sim 2.6 \text{ G}\Omega$  per sensing node at 10 Hz, Supplementary Fig. 6), and nearly perfect encapsulation of the electronics from the surroundings. This high input impedance at the  $\text{SiO}_2$ /tissue interface transfers into a low output impedance ( $\sim 855 \Omega$  per sensing node, detailed calculation in **Methods**) via the source follower circuit's current gain. Additional circuit level improvement such as input capacitance neutralization and circuit reference grounding, with reduced thermal  $\text{SiO}_2$  thickness can further enhance the recording quality of bio-potentials<sup>38</sup>.

Such sensing systems can be constructed with excellent uniformity in electrical responses across all sensing nodes. Figure 1e shows a histogram plot of the gain measured on all nodes of a 396-channel sensing matrix; the yield is 100% and the average gain is 0.99 (minimum: 0.9, maximum: 1, with a standard deviation of  $1.12 \times 10^{-4}$ ). The yield here defines the number of working (with gain above 0.6) sensing nodes divided by the total sensing nodes on the array. In testing and *ex vivo* experiments, the 22 row select signals cycle at 25 kHz, yielding a sampling rate of 1136 Hz per node. This rate can be further increased by improving the multiplexing rate in the back-end data acquisition (DAQ) system.

### ***In vitro* assessment of electrical performance**

The performance of the capacitively coupled active sensing nodes is stable across a broad time dynamic range. Figure 2a shows high gain, low noise measurements for input signal frequencies between 0.1 and 100 Hz, with similar or better performance than simple, directly coupled metal sensing interfaces (Supplementary Fig. 7). The power spectral density (PSD) of the output signal, computed from the Fourier transform of the auto-correlation function, describes its frequency behavior. As an example, the PSD of the noise (Fig. 2b, when measuring with a 5 mV, 10 Hz sine-wave input) indicates expected  $\sim 1/f$  behavior at low frequencies, consistent with circuit models (see **Methods**). Figure. 2c displays a 396-channel sensing system with mean noise as low as  $\sim 55 \mu\text{V}$  and SNR over 42 dB (also see Supplementary Fig. 8), and excellent uniformity across the entire device. The transistor mobility, the sensing node gain, and the array yield are also superior, likely due to the better interface from Si and thermal  $\text{SiO}_2$ . Statistics on the test transistor threshold voltage, mobility, array yield and average gain from different devices show very small sample to sample and batch to batch variations (Fig. 2d and e). Mechanical bending tests and *in vitro* soak tests highlight the flexibility and robustness of the system (Fig. 2f and g). For  $\sim 5 \text{ mm}$  bending radii, the finite element analysis (FEA, Supplementary Fig. 9) indicates that the strain induced in the Si and top  $\text{SiO}_2$  layers are less than 0.025%, far below their fracture limits ( $\sim 1\%$ ). The device performance remains unchanged in the bent state and does not vary after bending to a radius of 5 mm for 1, 10, 100, 1000, and 10000 cycles (Fig. 2h). Infrared imaging also reveals that there is no apparent increase in temperature associated with operation of the device (Supplementary Fig. 10).

The system also demonstrates outstanding stability of continuous operation when completely immersed in saline solution and bio-fluids, due to the thermal  $\text{SiO}_2$  encapsulation. Figure 2g depicts the set-up for the soak test, where phosphate-buffered saline (PBS) solution simulates the cardiac bio-fluid. Evaluations involve application of a 3 V DC bias between the sensing system and a Pt reference electrode throughout the test, at a

temperature of 37 °C. The leakage current remains lower than  $10^{-9}$  A/cm<sup>2</sup> for at least 120 days (measured up to date) for 2 devices (Fig. 2i). Figure 2j shows the device response to a 10-Hz sine wave input, before and after the bending and soaking experiments. All indications are consistent with reliable, invariant operation associated with conditions that mimic those for *in vivo* cardiac applications. The most compelling demonstrations are in leakage levels that are factors of 10,000 smaller than those of previous related cardiac mapping technologies (factors of 1000 smaller than the standard of safety limit for active implantable medical device in ISO 14708 1:2014, 1  $\mu$ A). Compared to previously reported devices with lifetimes of only a few hours in soak tests<sup>11</sup>, the operational lifetimes here are between two and three orders of magnitude longer, with interfaces that consist of a uniform layer of a well-established material (thermal silicon dioxide) in traditional implants. This unprecedented device longevity highlights the pin hole free nature and robustness of the thermal SiO<sub>2</sub> layer, which is unachievable with films deposited using conventional methods.

### Cardiac mapping in animal heart models

Experiments that validate the function involve recording of unipolar voltage signals from all 396 nodes on multiple *ex-vivo* Langendorff perfused rabbit hearts<sup>39</sup>. Figure 3a shows a system placed on the anterior aspect of the heart with equal overlap on the right and left ventricles. The device conformally covers the curvilinear surface of the heart. Although capillary forces associated with the moist cardiac surface can fixate the device in place, the use of a thin Polyvinyl chloride (PVC) film wrapped around the heart further enhanced the robustness of the mechanical coupling. Samples of representative single node voltage tracings during sinus rhythm are in Fig. 3b. Clear components, similar to the P wave, QRS complex, and T wave in clinical electrocardiogram (ECG) recordings, are apparent. The low noise levels are consistent with the *in vitro* results (Supplementary Fig. 11). The average heart beats at ~125 beats per minute. Attaching the device on heart does not interfere with the heart's rhythm, based on experimental observations (Supplementary Fig. 12). The slowing of the sinus rhythm rate can be attributed to normal heart deterioration that results from the use of a blood substitute in the *ex vivo* Langendorff perfused model. High definition spatial temporal electrophysiology mapping results from plotting the signals from all 396 nodes as a function of time. Spatial voltage maps of all nodes at four sequential time points appear in Fig. 3c, corresponding to phase 4 to phase 1 in the cardiac action potential (dashed lines in Fig. 3b illustrate the time window where Fig. 3c is taken). The wave of cardiac activation approaches the center of the anterior aspect of the heart from both the left and right sides, which matches well the physical location of the device. The extracted conduction velocities ( $0.9506 \pm 0.3340$  mm ms<sup>-1</sup>) are close to values inferred from optical data ( $0.8124 \pm 0.3438$  mm ms<sup>-1</sup>) as described in the following, for the 300 ms cycle length (Supplementary Fig. 13). This same technology platform is important not only for cardiac applications (both *ex vivo* and *in vivo*, as demonstrated in Supplementary note 2 and Supplementary Fig. 14), but also for high speed mapping of electrophysiology of other organ systems, including those that exhibit much smaller changes in voltage, and for use as implants in live animal models. Successful *in vivo* recording from rat auditory cortex (Supplementary note 3 and Supplementary Fig. 15) demonstrates these capabilities.



## Comparison with fluorescence imaging

The optical transparency of the system in the spaces between the metal electrodes and transistors allows validation of electrical measurements by means of simultaneous optical mapping<sup>40</sup>. In particular, comparison of electrical and optical recordings provides a robust method for establishing morphological criteria for phenomenon like activation and repolarization. Figure 4a shows a three-beat comparison of optical and electrical signals at three distinct pacing cycle lengths. Representative optical action potentials show an adequate signal-to-noise ratio (SNR) for this comparison despite the dense nature of the sensing circuits. Interpolated activation maps of both data types reveal a strong association during both sinus rhythm (Fig. 4b, top) and pacing (Fig. 4b, bottom). Close observation (Fig. 4c, left) of the two signals types indicates a close correspondence of key morphologies associated with activation (QRS complex in electrogram vs.  $(dV/dt)_{\max}$  in optical signal, where  $V$  is the optical signal, and  $t$  is the time) and repolarization (T wave in electrogram vs.  $APD_{90}$  in optical signal, where  $APD_{90}$  stands for the action potential duration at 90% of repolarization). Strong correlations in activation (Fig. 4c, middle) and repolarization (Fig. 4c, right) are also apparent. The electrical and optical recordings also demonstrate good accuracy from the equivalence test (Supplementary Fig. 16).

## Study of ventricular fibrillation (VF)

Previously reported flexible passive electrode arrays lacked sufficient spatial density to map and reconstruct patterns of activity associated with VF (ref. 10). The capacitive high-density sensing electronics presented here overcome this limitation, to allow reliable tracking of reentrant patterns of activation (Fig. 5a and 5b, top). Calculation of the signal phase values (Fig. 5b, bottom), a common clinical method for assessing arrhythmias, corroborates these observations. Detecting a singularity in the phase map can identify a reentrant pattern of activation. This singularity is a location around which all values of phase from  $\pi$  to  $\pi$  are represented<sup>41–43</sup> and can be seen in the first frame of the top two rows of Fig. 5b. In clinical practice, the identification of phase singularities is commonly used to guide ablation therapy of arrhythmias<sup>44,45</sup>. The location of the phase singularity in each frame (Fig. 5b bottom) can be calculated using the methodology set forth by Bray et al<sup>46</sup>. The phase singularity is the most positive point on the map that wanders within the bottom right quadrant as the wave of activation passes through a single reentrant pattern. In a single second of recorded data six such reentrant patterns occur, with an average duration of  $8.089 \pm 2.734$  ms. This demonstration has significant implications for use of the electrode in diagnostic catheters and implantable devices aimed at treating patients with life threatening atrial and ventricular arrhythmias.

## Discussion

The results presented here demonstrate a promising route toward safe, robust and high performance flexible electronics for high-density cardiac mapping in both clinical and research settings. Devices with larger area coverages and/or higher density can be readily achieved through scaling the same basic materials and architectures, in a way that leverages advanced processing techniques from the integrated circuit and information display industries. We see no fundamental hurdles, for example, in achieving systems with



thousands or even hundreds of thousands of nodes. Autocorrelation methods can be used to identify the node spacing that will maximize acquisition of electrophysiological data while reducing unnecessary redundancy. Future efforts have the potential to yield advanced, stretchable variants of these kinds of systems, to allow coverage across the entire epicardium in a pericardium-like membrane or the entire endocardium by integrating the electronics on balloon catheters. Parallel efforts should also focus on mitigating the foreign body response from these flexible electronic systems. Though minimally invasive, in certain scenarios the devices could potentially induce inflammatory responses that could result in fibrotic tissue and associated impairment of the capacitive measurement interface<sup>47–50</sup>. The addition of triazole modified hydrogels<sup>50</sup> and/or anti-inflammatory agents<sup>49</sup> could help to minimize such effects.

While the current work focuses on electrical sensing, energy delivery capabilities could stem from developing high definition capacitively coupled pace-making stimulators. In distinct contrast with optical mapping, the combination of actuators and sensing electrodes both using a capacitively coupled approach has the potential to enable clinically safe systems capable of diagnosing and treating patients with life threatening arrhythmias in real time. In addition, many sudden cardiac deaths occur due to abnormal repolarization caused by mutations in various genes, encoding ion channels governing repolarization. Lack of adequate technology to map repolarization has been a major obstacle in studies of so called long QT syndrome and short QT syndrome, which refer to duration of QT interval of electrocardiogram. The device platforms introduced in our manuscript provide a solution that is key to advancing research, diagnostics and treatment of these lethal cardiac syndromes. Future and on-going work focuses on the engineering development of power supply, data processing units and data transmission interfaces for long-term recording *in vivo*, achieving systems beyond the realm of what can be envisioned from optical mapping and conventional multielectrode arrays.

## Methods

### Capacitively coupled active sensing node design

The basic node of the capacitively coupled, active sensing electronics consists of an NMOS source-follower amplifier with a capacitance input and an on-site NMOS multiplexer (Fig. 1b and Supplementary Fig. 18). The area of the sensing pad is sufficiently large such that the capacitance between the sensing pad and the tissue is over one order of magnitude higher than the gate capacitance of the sensing transistor. For the 396-ch flexible Si active sensing electronic system in this study, the area of the sensing pad is  $270 \mu\text{m} \times 460 \mu\text{m}$ , while the transistor gate area is  $13.8 \mu\text{m} \times 80 \mu\text{m}$ . From a thin film capacitor,  $C = \epsilon_r \epsilon_0 A/t$  where  $\epsilon_r$  is the relative permittivity,  $\epsilon_0$  is the vacuum permittivity,  $A$  is the area of the capacitor and  $t$  is the thickness of the dielectric,  $C_{\text{CAP}} = 12.5 C_{\text{TG}}$ . The total capacitance ( $C_T$ ) driving the Si NM channel in the amplifier transistor yields  $\sim 0.93 C_{\text{TG}}$ , from combining  $C_{\text{CAP}}$  and  $C_{\text{TG}}$  in series. During sensing, the amplifier transistor operates in saturation (active mode). The transconductance ( $g_m$ ) can be extracted from the standard Square-Law model with the following equation,

$$g_m = \frac{\partial I_{DS}}{\partial V_{GS}} = \sqrt{2I_{DS}\mu_{eff}C_{OX}\frac{W}{L_{eff}}} \quad (1)$$

where  $\mu_{eff}$  is the effective mobility of electrons in the Si nanomembrane transistor and  $C_{OX}$  is the specific capacitance of the gate per unit gating area ( $C_T/WL_{eff}$ ). This high capacitance design can ensure high transconductance, which yields high gain and low output impedance from the amplifier.

The source input referred noise ( $v_{n,rms}$ , root-mean-squared) of the amplifier circuit can be characterized from the following analytical model<sup>28</sup>:

$$v_{n,rms}^2 = \frac{(g_{C_{PA}} + g_i)^2 + \omega^2(C_{CAP} + C_i + C_s)^2}{g_{CAP}^2 + \omega^2 C_{CAP}^2} v_{i,rms}^2 + \frac{1}{g_{CAP}^2 + \omega^2 C_{CAP}^2} i_{i,rms}^2 \quad (2)$$

where  $v_i(j\omega)$  is the input referred amplifier voltage noise ( $\omega = 2\pi f$ ),  $i_i(j\omega)$  is the net current noise at the amplifier input,  $g_{CAP} + j\omega C_{CAP}$  is the tissue-electrode coupling admittance, and  $g_i + j\omega C_i$  is the amplifier input admittance, and the  $C_s$  is the active shield to electrode capacitance (Supplementary Fig. 19 depicts the schematic of the active shield circuit). This model clearly shows that high coupling capacitance is beneficial in achieving low-noise circuits. In the low frequency limit, the noise power density can be simplified to  $\sim 1/f^\alpha$ , where  $0 < \alpha < 2$ . An active shielding circuit further improves the recording gain and the SNR of the sensing. Here, each column input includes an adjustable active shield drive voltage, adjustable column bias current and an adjustable compliance voltage to limit the peak voltage on the column lines. The row select positive and negative voltages are also fully adjustable.

## Device fabrication

As shown in Supplementary Fig. 1 and detailed in Supplementary note 1, the fabrication began with grinding a p-type silicon-on-insulator (SOI) wafer (200-nm-thick Si layer, 1000-nm-thick Box layer, and 500- $\mu$ m-thick Si handle wafer, Soitec) to 200  $\mu$ m (Syagrus Systems). A 200-nm-thick layer of silicon dioxide grown at 1150 °C in a tube furnace served as a diffusion mask. Cleaning the wafer by RCA preceded high-temperature processing, including oxidation and doping. Conventional photolithography defined doping regions, followed by reactive ion etching (RIE) with  $CF_4/O_2$ . The diffusion of phosphorous occurred at 1000 °C in a tube furnace. Photolithography and RIE with  $SF_6$  isolated the source, drain, and channel regions of the Si. Tube furnace growth (1150 °C for 37 min) and Atomic Layer Deposition (ALD) yielded a gate oxide stack of silicon dioxide (100 nm) and  $Al_2O_3$  (15 nm). Buffered oxide etchant opened the contact regions for source and drain through photolithographically defined patterns of resist. Electron-beam evaporation yielded a layer of Cr/Au (5 nm/100 nm for the first metal layer; 10 nm/500 nm for the second), patterned by photolithography and wet etching to define the gate electrodes and metal interconnects. An interlayer of polyimide (PI; thickness of 1.6  $\mu$ m) separated the metal layers. Connections

between layers involved through holes defined by lithographically patterned exposure to RIE with O<sub>2</sub>. Another coating of PI (thickness of 2 μm) isolated the second layer of metal. A layer of Al<sub>2</sub>O<sub>3</sub> (20 nm) coated this top PI surface. Separately, a PI film (Kapton; thickness of 13 μm) laminated on a glass substrate with a thin layer of cured poly(dimethylsiloxane) (PDMS) as a soft adhesive served as a handling substrate. Electron-beam evaporation formed a layer of Ti/SiO<sub>2</sub> (5 nm/100 nm) on the Kapton film to facilitating bonding with an adhesive (Kwik-Sil, World Precision Instruments) to the devices. Bonding involved placing the device, with PI side facing down, onto the Kapton side, and applying ~50 kPa of pressure. Curing of the adhesive occurred at room temperature within 30 min.

Removal of the Si substrate began with exposure to RIE with SF<sub>6</sub>, followed by Inductively Coupled Plasma Reactive Ion Etching (STS ICP-RIE). The high selectivity of etching of Si over SiO<sub>2</sub> in the ICP-RIE prevented any significant removal of the Box layer during this process. Photolithography then defined areas for forming openings for contact leads via RIE with CF<sub>4</sub>/O<sub>2</sub> and buffered oxide etching. Finally, a laser-cutting procedure defined outer perimeter of the device, thereby allowing it to be peeled from the handling substrate. A Kapton stiffener (~150-μm-thick) reinforced the backside of the contact region, to allow mounting of ZIF (zero-insertion-force) connectors as interfaces to the external data acquisition (DAQ) system.

### Analysis of transistor characteristics

The effective mobility ( $\mu_{eff}$ ) can be extracted using the following equation,

$$\mu_{eff} = \frac{\partial I_{DS}}{\partial V_{DS}} \frac{L}{WC_{OX}(V_{GS} - V_T - 0.5V_{DS})} \quad (3)$$

where  $V_T$  is the threshold voltage. Subtracting the total phosphorous diffusion length ( $2x_d$ ) from the lithography length ( $L$ , 20 μm) yields the effective channel length  $L_{eff}$ . The diffusion length can be determined by the thermal history of phosphorus after doping, dominantly the thermal oxidation step for the gate oxide (1150 °C for 37 min). Specifically,  $x_d$  is calculated from the following analytical model for constant source diffusion,

$$x_d = 2\sqrt{Dt} \left[ \operatorname{erf}^{-1} \left( 1 - \frac{N_B}{N_S} \right) \right] = 3.1 \mu\text{m} \quad (4)$$

where  $D$  is the diffusivity of phosphorus in Si at 1150 °C ( $9.1 \times 10^{-13} \text{ cm}^2/\text{s}$ ),  $t$  is time (37 min),  $N_B$  is the background boron doping in Si ( $1.3 \times 10^{15} \text{ cm}^{-3}$ ), and  $N_S$  is the solid-solubility limit of phosphorous in Si at 1150 °C ( $1.5 \times 10^{21} \text{ cm}^{-3}$ ). Therefore  $L_{eff} = L - 2x_d$  yields 13.8 μm. Note that depending on whether there is capacitive coupling or not, the values of  $I_{DS}$ ,  $C_{OX}$ , and  $V_T$  will be different. Supplementary Fig. 5 shows the extracted effective mobility values as a function of gate overdrive. The peak mobility is ~800 cm<sup>2</sup>/V.s.

During sensing,  $I_{DS}$  was set to 1  $\mu$ A by the current sink. The peak  $g_m$  is 1.17 mS. The approximate output impedance of the source follower circuit is  $\sim 855 \Omega$ , i.e.  $1/g_m$ .

### Device soak test

Tests involved soaking the active cardiac sensing electrode arrays in a High-density polyethylene (HDPE) plastic tube, filled with 1 $\times$  phosphate-buffered saline (PBS, Sigma-Aldrich) solution (pH=7.4). An oven maintained the temperature at 37  $^{\circ}$ C. Lithium ion batteries biased the device at 3 V relative to a Pt reference electrode inserted into the PBS solution. Detailed experimental settings are in Supplementary Fig. 20. An adhesive (Underwater Magic) sealed the openings in the tube for the device and the electrode to prevent evaporation.

### Data acquisition

The electrical data acquisition (DAQ) system consists of a set of five PXI-6289 data acquisition cards (National Instruments) and a custom acquisition system interface board (Supplementary Fig. 21 and 22). The DAQ connects to the multiplexed arrays using flexible High-Definition Multimedia Interface (HDMI) cables and an adapter printed circuit board (PCB, Supplementary Fig. 23). The adapter PCB joins to the electrode interface PCB using two 150  $\mu$ m pitch connectors. The electrode interface PCB adapts from the ZIF connector used on the electrode array to a more durable connector that can be plugged and unplugged without damage (Supplementary Fig. 24). Custom LabVIEW software (National Instruments) controls the DAQ system. All recordings in this study used an over-sampling ratio of 4 to further reduce the noise. The optical mapping involved a sampling frequency of 1 kHz. A triggered TTL pulse aligned the optical signal to electrical data through a direct input of this pulse into the DAQ.

### Signal processing

MATLAB software (MathWorks) enabled offline filtering and analysis. Unless otherwise specified, electrical data from all channels passed through a notch filter at 60-Hz and a (1 Hz, 150Hz) band-pass filter. Calculation of the latency of the peak of each channel yielded the minimum latency, for the isochronal maps. Interpolated signals with a 16 $\times$  enhancement of the sampling mesh allowed accurate location of peaks on a cubic-spline. A final channel mask, also applied based on the amplitude of the peak, eliminating spurious delays.

### Mechanical analysis

Finite Element Analysis (FEA) simulations yielded the strain distributions in the device under pure bending by imposing rotations at the two ends of the Kapton layer. The multilayer structure modeling deployed the plane-strain element (CPE4R in the ABAQUS finite element software<sup>51</sup>. Supplementary Fig. 9 lists the material parameters used for different layers. This simulation neglected the extremely thin  $Al_2O_3$  layers. The distribution of axial strain along the thickness direction appears in Supplementary Fig. 9, which also shows the distribution of axial strain in the thickness direction. The extremely soft adhesive layer leads to the split of the neutral axes<sup>52–54</sup>, where the axial strain is zero. This split of neutral axes, above and below the soft adhesive layer, reduces the maximum strain in the

device, simply because the maximum strain is proportional to the distance to the neutral axis in each stiff layer. For 5 mm bending radius, the maximum strain is: 0.0243% (tensile) in the top SiO<sub>2</sub> layer; 0.0111% (tensile) in top Si layer; 0.0092% (tensile) in the 1<sup>st</sup> Au layer; 0.0185% (compressive) in the 2<sup>nd</sup> Au layer.

### Animal experiments

The experiments were conducted in accordance with the ethical guidelines of the National Institutes of Health and with the approval of the Institutional Animal Care and Use Committee of the George Washington University in Washington DC. Six adult male New Zealand White rabbits were used over the course of device validation. No randomization or blinding was used since there was only a single group. Representative data from the final two experiments are presented here. Briefly, we injected 400 USP (United States Pharmacopeia) units kg<sup>-1</sup> of sodium heparin via a lateral ear vein into the rabbit. Afterwards, a progression of 1%-3% isoflurane delivered via facemask anesthetized the rabbit. Once the animal was unconscious and unresponsive to pain, a midsternal incision removed the heart and the aorta cannulated to facilitate retrograde perfusion of oxygenated Tyrode's solution. The perfusate served a blood substitute for the heart to maintain its electrolyte balance and deliver an energy substrate for continued cardiac function. The solution was at a constant physiologic temperature ( $37 \pm 1$  °C) and pH ( $7.4 \pm 0.05$ ) throughout the experiment. The heart was continuously under a constant pressure of 60–80 mm Hg with oxygenated Tyrode's solution. We administered the excitation-contraction uncoupler Blebbistatin (Cayman Chemical, Ann Arbor, MI) to limit motion artifact in the optical mapping signals. A bolus injection of di-4 ANEPPS (Life Technologies, Grand Island, NY, USA) facilitated fluorescent measurement of membrane potential ( $V_m$ ). A plastic band wrapped the active cardiac sensing array and extended around the heart, facilitating mechanical conformity by capillary force from the moisturized heart surface. The data acquisition system connected with PCB board to the array and performed data acquisition. For optical mapping, A 520 nm excitation light elicited optical action potentials and a complementary metal–oxide–semiconductor (CMOS) camera (SciMedia Ltd, Costa Mesa, CA, USA) recorded them with a long pass emission filter with a 650 nm cutoff. Finally, to induce VF in a rabbit model, we administered a 20 nM ATP-dependent potassium channel opener pinacidil (Sigma-Aldrich, St. Louis, MO, USA) to shorten action potential duration and create a substrate for induction of VF. Detailed experimental settings can be found in Supplementary Fig. 25. Data was analyzed using a custom MATLAB software that can be downloaded from <http://www.efimovlab.org/research/resources>.

### Data Availability

Source data for the figures in this study are available in figshare with the identifier [<https://figshare.com/s/961786fcede5a8703ec5>] (ref. 55). The authors declare that all other data supporting the findings of this study are available within the paper and its supplementary information.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

This work is supported by the NIH grants R01 HL115415, R01 HL114395 and R21 HL112278, and through the Frederick Seitz Materials Research Laboratory and Center for Microanalysis of Materials at the University of Illinois at Urbana-Champaign. We would like to thank the Micro and Nanotechnology Laboratory and the School of Chemical Sciences Machine Shop at the University of Illinois for help on the device fabrication. J.Z. acknowledges supports from Louis J. Larson Fellowship, Swiebert Fellowship and H.C. Ting Fellowship from the University of Illinois, Urbana-Champaign. M.J.T. and J.V. acknowledge the support from National Science Foundation award CCF 1422914. C-H.C. and J.V. acknowledge the support from Army Research Office award W911NF-14-1-0173.

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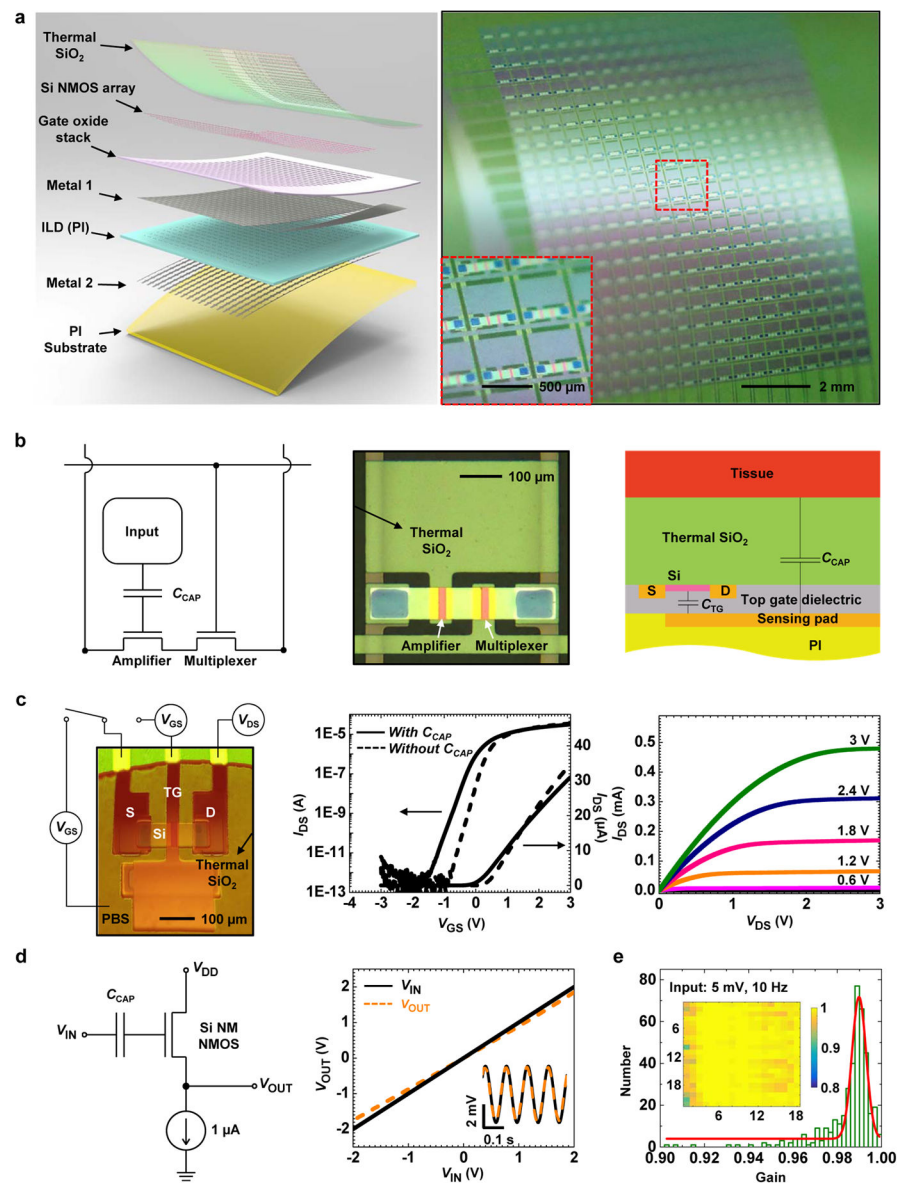
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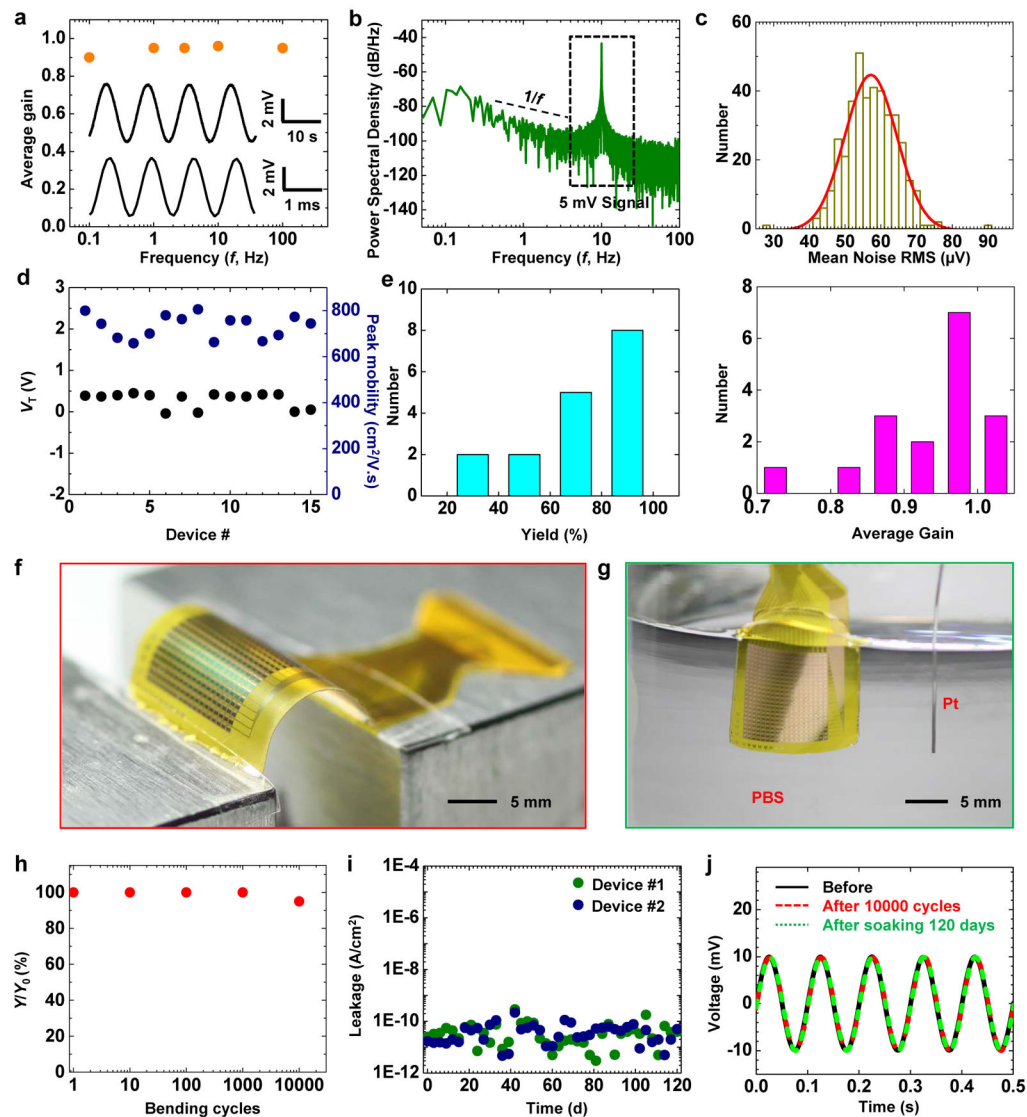


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**Figure 1.** Capacitively coupled silicon nanomembrane transistors (covered by a thermal SiO<sub>2</sub> layer) as active sensing nodes in actively multiplexed flexible electronic system for high resolution electrophysiological mapping. **(a)** Exploded-view schematic illustration (left) and a photograph (right) of a completed capacitively-coupled flexible sensing system with 396 nodes in a slightly bend state. The arrows in the left illustration highlight the key functional layers. Inset on the right shows a magnified view of a few nodes. **(b)** Circuit diagram for a node in this capacitively coupled array, with annotations for each component (left), and an optical microscope image of the cell (middle). A schematic of the circuit cross-section (right) illustrates the mechanism for capacitively coupled sensing through a thermal SiO<sub>2</sub> layer to an underlying transistor. **(c)** Demonstration of capacitively coupled sensing using a test transistor (left), and its transfer (middle) and output (right) characteristics. The supply

voltage ( $V_{DD}$ ) was set to 3 V for *in vitro* bench testing, while it was a feedback from output signal during *ex vivo* measurements (Supplementary Fig. 16). The transfer characteristics correspond to cases with and without capacitive coupling (channel width  $W=80\ \mu\text{m}$ , effective channel length  $L_{\text{eff}}=13.8\ \mu\text{m}$ ,  $V_{DS}=0.1\ \text{V}$ ), in both semi-log and linear scale). Dashed curves depict characteristics measured by directly biasing the gate metal; the solid curves follow result from biasing a droplet of saline solution (red, on the left frame) in contact with the thermal  $\text{SiO}_2$  layer above the transistor and the gate metal pad. **(d)** Validation of capacitively coupled sensing from a single-transistor source-following amplifier, with a schematic of the circuit (left), and its output characteristics (right). Inset in the right figure shows the output characteristics with an AC input of 5 mV at 10 Hz. **(e)** Histogram (with fitting to a Gaussian lineshape) of gain values from all 396 nodes of a typical systems. The results indicate 100% yield and near-unity average gain. The inset shows a spatial map of the gain values.



**Figure 2.**

*In vitro* assessment of electrical performance. (a) Average gain of a representative capacitively coupled transistor as a function of the input frequency ( $f$ ) from 0.1 to 100 Hz. Inset shows the responses from this sensor node at 0.1 Hz (top) and 100 Hz (bottom), after band-pass filtering (0.05–568 Hz). (b) Power spectral density of a 5 mV AC signal at 10 Hz measured at a representative node, showing a typical  $1/f$  relationship at low frequency. The input was a sine-wave of 5 mV at 10 Hz. (c) Histograms of noise (with Gaussian fitting) measured from all 396 nodes of the device in Fig. 1c. (d) Statistics of the threshold voltage ( $V_T$ ) and peak effective mobility ( $\mu_{eff}$ ) of test transistors from 15 different arrays. (e) Statistics of yield (left) and gain (right) of 17 capacitively coupled, active sensing  $18 \times 22$  electrode array. (f) Image of a device during a mechanical bending test. (g) Image of a device completely immersed in a saline solution, during a soak test. (h) Yield ( $Y$ , defined as the number of working nodes divided by the total number of nodes) as a function of cycles of bending to 5 mm bend radius, showing minimal changes up to 10000 cycles. (i) Electrical

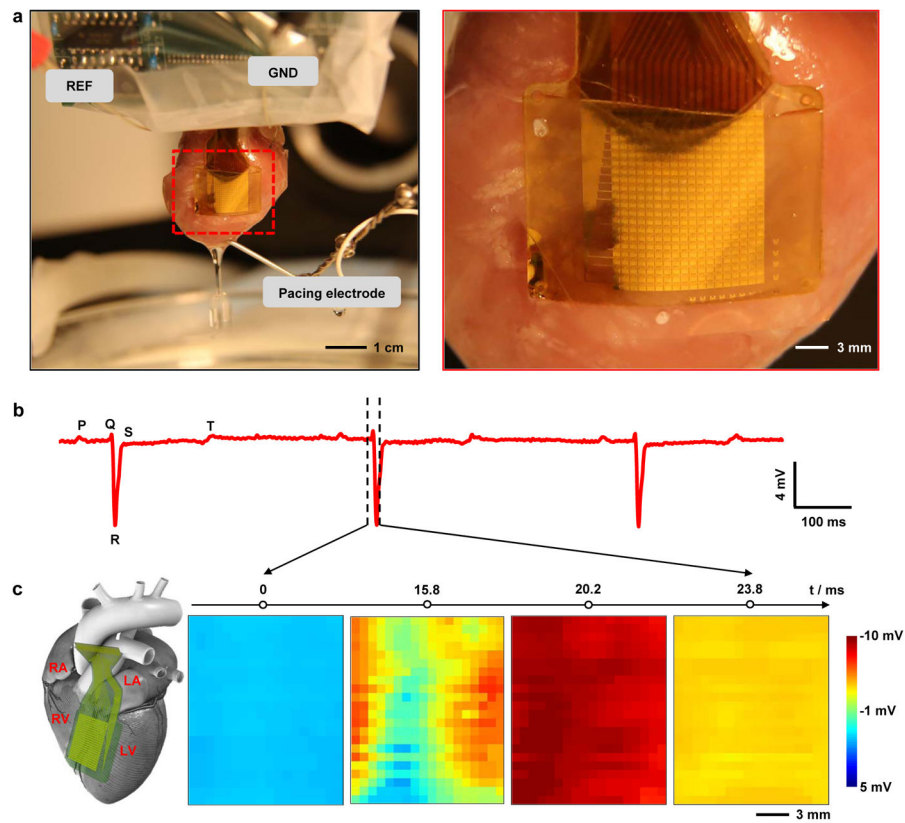
leakage current of 2 devices during soak testing. Minimal leakage appears over a period of 120 days at 37 °C. (j) Response of a representative node to a sine wave input (at 10 Hz) before, after 10000 cycles bending, and after saline immersion for 120 days.

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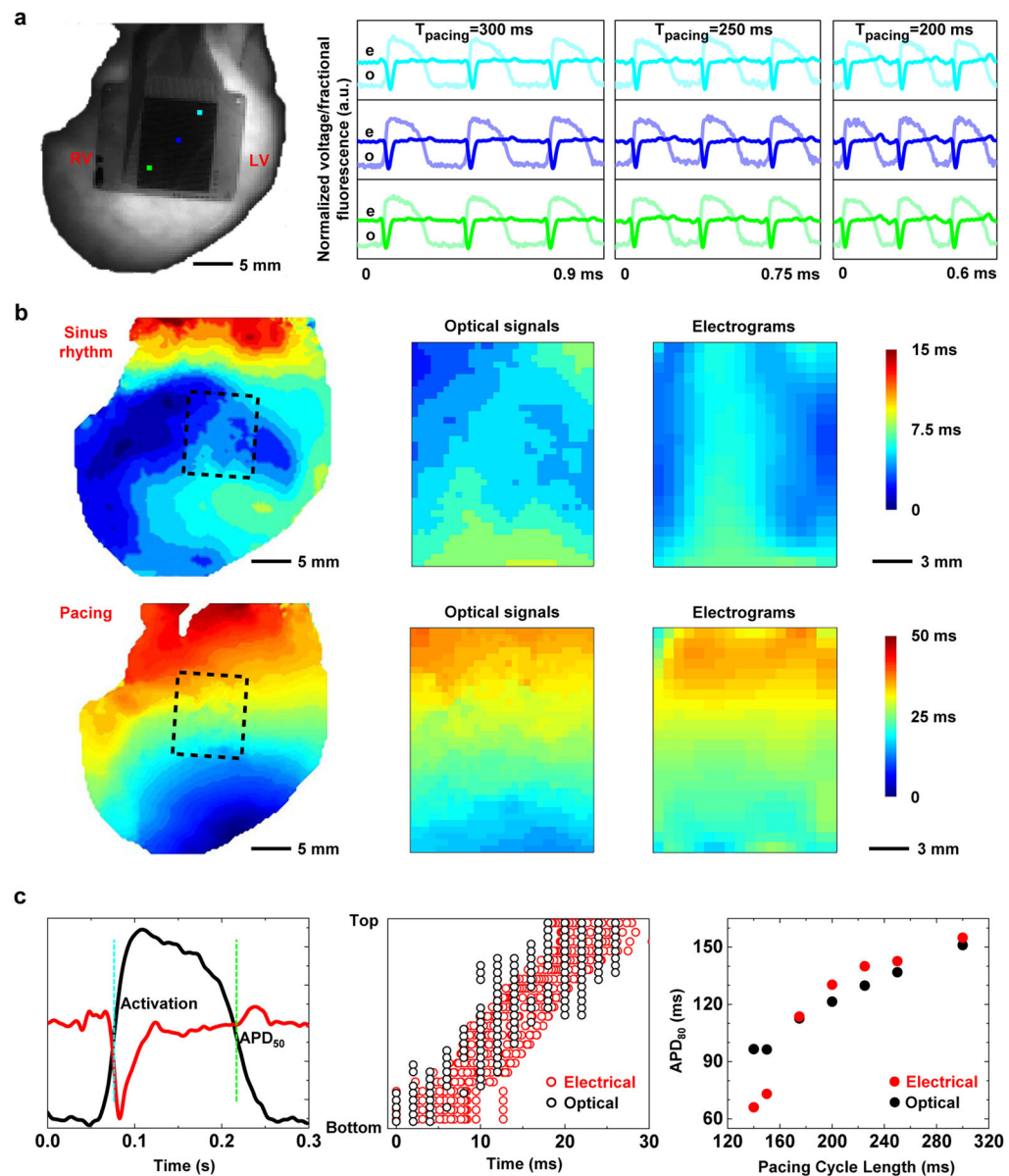
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**Figure 3.** High density cardiac electrophysiological mapping on *ex vivo* rabbit heart models. (a) A photograph of a flexible capacitive coupled sensing electronic system on a Langendorff-perfused rabbit heart (left). Magnified view, showing conformal contact of the device to the cardiac tissue, via the action of surface tension (right). (b) Representative single voltage trace from the electrode array without external pacing. Signatures related to the P, Q, R, S, and T waves in ECG traces, can be identified from the recordings. (c) Representative voltage data for all electrodes at four time points (indicated in B), showing normal cardiac wave-front propagation. The progress of the cardiac wave is consistent with the physical location of the array on heart, as illustrated in the diagram on the left (RA, LA, RV and LV stands for right atrium, left atrium, right ventricle, and left ventricle respectively).





**Figure 4.**

Comparison of electrical mapping with optical fluorescence recording. **(a)** Representative electrical and optical signals captured simultaneously on a Langendorff-perfused rabbit heart at multiple cycle lengths (300, 250, and 200 ms). **(b)** Interpolated spatial activation maps derived from these data. Top row shows activation as measured during sinus rhythm. The bottom row corresponds to 300 ms ventricular pacing. The activation maps from left to right are optical signals from the whole heart, optical signals from the device area, and electrical signals respectively. The dashed boxes in the whole heart illustrations depict the device area. **(c)** Comparison of activation and repolarization measurements in a single simultaneously measured electrical and optical signals. Left figure highlights a quantitative comparison of electrical and optical signals during one depolarization/repolarization cycle. Center figure shows the comparison of activation times measured across all electronic nodes and



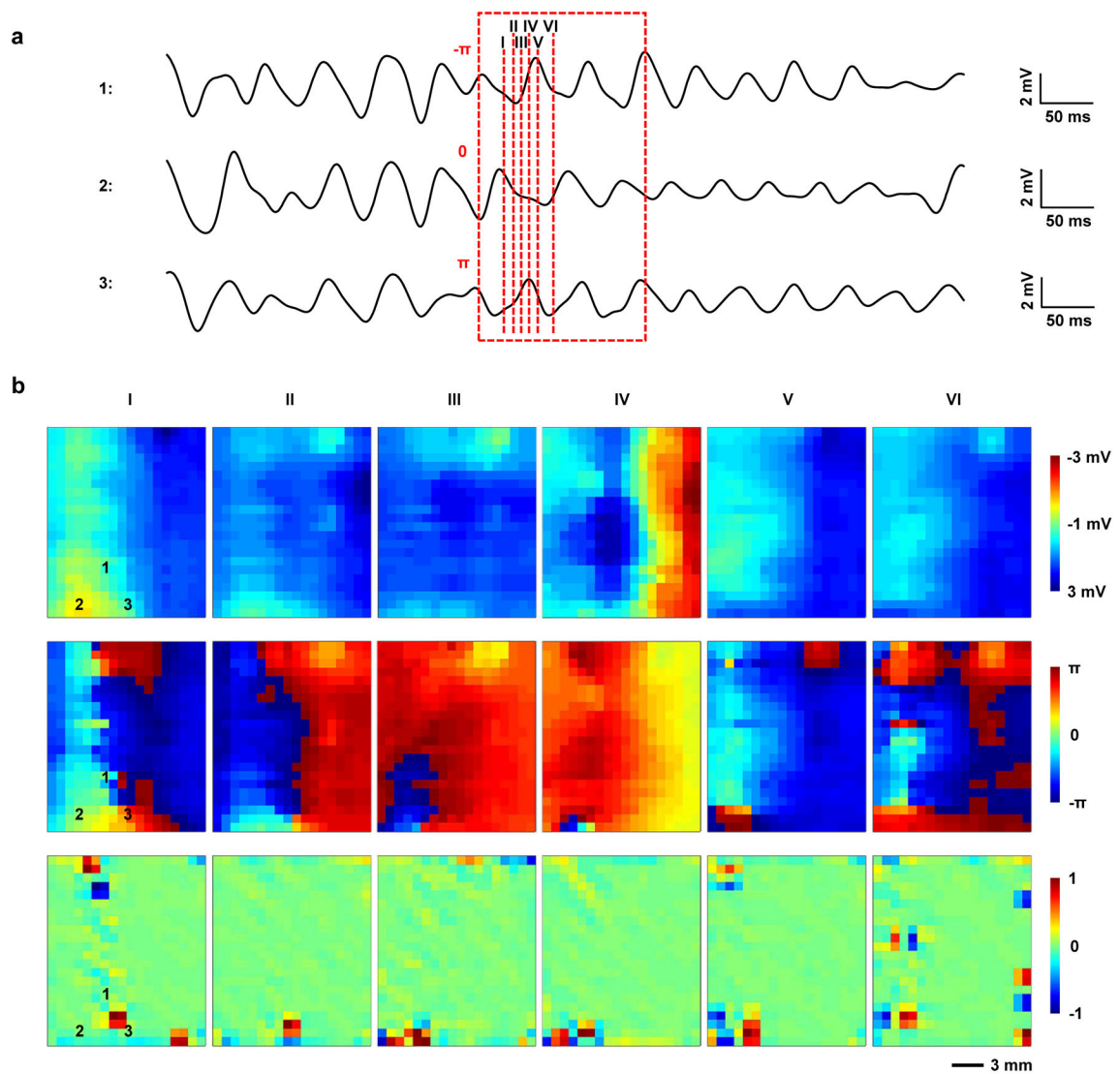
corresponding optical field of view. Right figure shows the comparison of optical and electrical restitution curves measured at various cycle lengths (300, 250, 225, 200, 175 ms).

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**Figure 5.**

Study of ventricular fibrillation (VF). **(a)** Three representative electronic node signals taken from a heart during VF. The dashed box specifies the window of time corresponding to two reentrant cycles of VF. The labels  $-\pi$ ,  $0$ , and  $+\pi$  indicate the initial phase values of the respective signals at the beginning of the reentrant cycle. **(b)** Voltage, phase and phase singularity maps at six time points corresponding to the dash lines specified in (a). Number 1, 2, and 3 on the maps mark the locations where the signals in (a) were taken. Voltage and phase data indicate a reentrant cycle of VF. A phase singularity commonly refers to a point on a phase map around which all values of phase (i.e.  $-\pi$  to  $+\pi$ ) are represented. The phase singularities are identified as the  $\pm 1$  values associated with regions of the phase map where this occurs. Optical signals from the sensing electronics area also match well with electrical recordings (Supplementary Fig. 17).