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Liquid

Protective Film

Semiconductor

# <sup>1</sup> Thin-Film Materials for the Protection of Semiconducting <sup>2</sup> Photoelectrodes in Solar-Fuel Generators

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12 ABSTRACT: The electrochemical instability of semiconductors in aqueous electrolytes has

13 impeded the development of robust sunlight-driven water-splitting systems. We review the use of

14 protective thin films to improve the electrochemical stability of otherwise unstable semiconductor

15 photoelectrodes (e.g., Si and GaAs). We first discuss the origins of instability and various strategies

16 for achieving stable and functional photoelectrosynthetic interfaces. We then focus specifically on

17 the use of thin protective films on photoanodes and photocathodes for photosynthetic reactions that include oxygen evolution,

18 halide oxidation, and hydrogen evolution. Finally, we provide an outlook for the future development of thin-layer protection

19 strategies to enable semiconductor-based solar-driven fuel production.

#### 1. INTRODUCTION

<sup>20</sup> Photoelectrochemical (PEC) systems capture the energy of <sup>21</sup> sunlight and enable the storage of that energy in the chemical <sup>22</sup> bonds of a commodity chemical or fuel, such as hydrogen. <sup>23</sup> Chemical fuel can be used to power vehicles or to generate <sup>24</sup> electricity on demand, in contrast to photovoltaic systems that <sup>25</sup> cannot generate electricity during cloudy weather or at night. <sup>26</sup> Efficient, inexpensive, and long-lasting PEC systems that could <sup>27</sup> allow for the harvesting and storage of a small fraction (0.02%) <sup>28</sup> of the ~96 000 TW of solar power incident on the surface of <sup>29</sup> the earth could, in principle, meet all current global fuel and <sup>30</sup> electricity needs.<sup>1</sup>

In general, a PEC system uses light-absorbing materials, such 31 32 as semiconductors or molecular systems, to capture photons 33 and convert the energy of the photons to excited states of charge carriers. The internal energy in these excited states can 34 be transported to the interface between the light absorber and 35 36 an electrolyte, at which reactive sites can facilitate oxidative or 37 reductive electron-transfer half-reactions. The reductive half-38 reaction produces a chemical fuel, whereas the oxidative half-39 reaction produces O<sub>2</sub> or another chemical oxidant, such as 40 halogens: I2, Br2, or Cl2. Because electrolysis of halide 41 compounds also stores energy in the form of renewable H<sub>2</sub> 42 fuel, hydrogen halide splitting is also technologically relevant to 43 solar-fuel production. Protons or hydroxide ions liberated by 44 one-half-reaction must be transported to the sites where the 45 other half-reaction occurs. The products of the overall reaction, 46 a chemical fuel and an oxidant, may be formed and collected in 47 a single compartment, yielding a system that produces a 48 mixture of reactive products that subsequently must be

separated. Alternatively, the oxidation and reduction reactions <sup>49</sup> can be carried out in separate compartments; this configuration <sup>50</sup> obviates the need for a subsequent separation strategy but often <sup>51</sup> impedes the requisite mass transport to neutralize chemical <sup>52</sup> potential gradients while minimizing product crossover. <sup>53</sup>

Catalyst

Solar-driven water-splitting cells that specifically use semi- 54 conducting materials to produce  $H_2(g)$  and  $O_2(g)$  from water 55 have been a focus of much recent research attention, as 56 evidenced by the extensive recent review literature and 57 commentary.<sup>2-11</sup> In context of the preceding paragraph, <sub>58</sub> semiconductors are responsible for light absorption, and 59 photocathodes and/or photoanodes drive the hydrogen- 60 evolution reaction (HER) and oxygen-evolution reaction 61 (OER), respectively. A single semiconductor (e.g., SrTiO<sub>3</sub>) 62 under solar illumination can generate charge carriers that have 63 sufficient electrochemical potential difference to split water 64 under standard conditions at room temperature. However, such 65 materials can only absorb photons with energies in the 66 ultraviolet (>3 eV) and thus cannot effectively convert an 67 appreciable amount of energy available in the visible and 68 infrared portions of the solar spectrum, leading to low 69 terrestrial solar-to-hydrogen conversion efficiencies.<sup>1</sup>

One of the primary strategies for realizing an efficient water- 71 splitting cell is to use two semiconducting light absorbers 72 placed optically and electrically in series. In this tandem- 73 absorber approach, the total electrochemical potential available 74

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75 to drive the water-splitting reaction is ideally the sum of the 76 photovoltages produced by each semiconductor. Electro-77 chemical water splitting requires a minimum potential differ-78 ence of 1.23 V between the anode and cathode under standard 79 conditions; however, kinetic barriers to the HER and OER are 80 overcome only when a substantial overpotential (at least 0.3-81 0.4 V) is applied. Thus, in addition to semiconducting light 82 absorbers, efficient solar-driven water splitting requires electro-83 catalysts to minimize the required overpotential. Figure 1a,b 84 shows a schematic for tandem-absorber-based water-splitting 85 cells operating in acidic and alkaline aqueous solutions, 86 respectively.



**Figure 1.** Schematic of a tandem-absorber-based solar-driven watersplitting cell operating in (a) acidic and (b) alkaline aqueous solution. The light absorbers are semiconductors arranged in series with respect to the direction of the incident light, with the bottom semiconductor absorbing lower-energy photons than those absorbed by the top semiconductor. The light absorbers support oxygen-evolution and hydrogen-evolution catalysts which are in physical contact with an aqueous electrolyte, and the light absorbers are embedded in a gasimpermeable ion-exchange membrane. A protective coating conformally covers and passivates the top and sides of the tandem light absorber component of the system, while allowing for integration of catalysts on the outer surface.

The requirements associated with a tandem-absorber strategy 87 88 for solar-driven water-splitting cells have been analyzed in <sup>89</sup> detail, and these criteria can be used to identify suitable <sup>90</sup> semiconductor absorbers.<sup>13–17</sup> An optimized tandem-junction solar-driven water-splitting system consists of a semiconductor 91 92 with a band gap of 1.60-1.80 eV on the top and a 93 semiconductor with a band gap of 0.95-1.20 eV on the bottom, with the exact values depending on the performance of 94 95 the electrocatalysts and design of the water-splitting cell.<sup>15</sup> These band gap requirements rule out the use of most known 96 oxide semiconductors (SrTiO<sub>3</sub> and TiO<sub>2</sub>, for example) and 97 suggest the suitability of well-understood and technologically 98 99 important Group IV, III-V, II-VI, and chalcopyrite semi-100 conductors as light absorbers. However, these latter materials 101 are typically unstable (either dissolving or developing insulating 102 oxide coatings) under aqueous HER or OER conditions. Thus, 103 the efficiency requirements of a viable water-splitting system 104 present a materials conundrum: known chemically stable oxide semiconductors are unsuitable due to inappropriately large 105 band gaps (e.g., SrTiO<sub>3</sub>) and/or poor electronic properties 106 (e.g., Fe<sub>2</sub>O<sub>3</sub>), while the technologically important semi- 107 conductors with appropriate band gaps (e.g., Si, GaAs) that 108 accordingly are used in photovoltaic cells cannot be used in 109 PEC-based systems directly due to the chemical instability of 110 the photoelectrode materials. Two parallel paths are being 111 pursued in response to this conundrum: (1) develop methods 112 to protect those otherwise unstable semiconductors to enable 113 their use in efficient water-splitting cells and (2) discover new, 114 efficient semiconductors that are inherently stable under water-115 splitting conditions and that have band gaps in the range of 116 0.95-1.8 eV.

In developing PEC materials along either path described 118 above, one must consider the environment in which the 119 electrochemical reactions are carried out. An efficient water- 120 splitting cell must make use of electrolytes that can support 121 sizable photocurrent densities, typically  $\geq 10$  mA cm<sup>-2</sup> under 122 nonconcentrated "1 Sun" illumination. In the absence of 123 external energy inputs other than sunlight, operation at this 124 current density corresponds to ≥12.3% solar-to-hydrogen 125 conversion efficiency.<sup>18</sup> Inefficient ion transport will result in 126 the development of a significant potential gradient in the cell, 127 which will be manifested in part as a pH gradient in the 128 aqueous electrolytes as well as possible concentration gradients 129 associated with the transport of other ionic species in the 130 electrolyte. Strongly acidic or alkaline electrolytes (pH < 1 or 131 pH > 13, respectively) are capable of supporting the requisite 132 current densities without developing significant pH gradients.<sup>19</sup> 133 However, many semiconductors are chemically unstable in 134 contact with such potentially corrosive electrolytes. Thus, the 135 discovery of electrocatalysts, semiconductors, and protective 136 barriers that are stable in highly acidic or alkaline media is one 137 of several key hurdles to realize a technologically viable, 138 efficient, safe, and stable tandem solar-driven water-splitting 139 system.<sup>20,21</sup> 140

Several reviews have recently been published that describe 141 aspects of semiconductor-based solar-driven water splitting. 142 These include a general review of water-splitting cells,<sup>2</sup> 143 experimental demonstrations,<sup>11</sup> Si-based materials,<sup>22</sup> and sur- 144 face passivation layers with a focus on the electronic properties 145 of the resulting devices.<sup>23</sup> This review focuses on the use of 146 thin-film materials to protect and stabilize otherwise unstable 147 semiconductors for use as photoelectrodes in water-splitting 148 systems. First, we discuss the origins of the chemical instability 149 of materials and semiconductors specifically (Section 2). 150 Additional background in Section 2 includes a discussion of 151 strategies for realizing stable semiconductor/liquid junctions, 152 which identifies thin protective films as a promising strategy. 153 We then discuss various techniques for the formation of such 154 coatings and how they impact interfacial electronic behavior. 155 The literature that describes thin films for use as protective 156 layers for photoanodes (Section 3) and photocathodes (Section 157 4) using unstable semiconducting light absorbers in water- 158 splitting devices is then thoroughly reviewed. Finally, we 159 present an outlook for the future of protective thin films to 160 enable the robust, efficient production of solar fuels under a 161 variety of operational conditions (Section 5). 162

#### 2. BACKGROUND ON INSTABILITY AND STABILIZATION STRATEGIES

163

Corrosion of semiconductor electrodes represents a special case 164 of the general phenomenon and conceptual treatment of 165 166 corrosion of electroactive substances, including metals, oxides, 167 and other conductive materials. According to Pourbaix, 168 corrosion is "the destruction of a material under the chemical 169 or electrochemical action of the surrounding environment".<sup>24</sup> 170 In the context of this broad definition, corrosion poses a 171 ubiquitous practical challenge for long-term materials stability. 172 Corrosion processes have been most thoroughly characterized 173 for metals in aqueous environments, for which corrosion 174 generally occurs through the oxidation of zerovalent species, as 175 in eq 1.

$$_{176} \qquad M + ox \to M^{z+} + red \tag{1}$$

177 Here, M is a zerovalent metal;  $M^{z+}$  is the oxidized metal 178 product; ox is an oxidant; and red is the reduced form of the 179 oxidant. The reduction process accompanying metal oxidation 180 usually involves the conversion of protons to H<sub>2</sub> or reduction of 181 oxygen to water. Both of these processes involve Brønsted— 182 Lowry acid equivalents, so the pH of the electrolyte is a critical 183 parameter in determining the thermodynamics of corrosion of 184 metals.

Sustained rapid corrosion requires that the relevant reaction states is thermodynamically favorable as well as kinetically facile. However, even extremely slow corrosion can have a significant impact on the lifetime of industrial components (e.g., turbine blades, vehicle chassis, etc.), so thermodynamic calculations are generally considered to be the most important indicator of long-term material stability. For example, Pourbaix diagrams, or potential-pH predominance area diagrams, provide useful relationships between the stable phase and the electrochemical potential of the solid and solution environment.<sup>25</sup> Figure 2 shows, by way of example, the potential-pH diagram for titanium.

 $f_2$ 



**Figure 2.** Pourbaix diagram for titanium in aqueous electrolytes, adapted from ref 25. Both  $H_2$  evolution and water oxidation occur in the passivating region of the diagram. Reproduced by permission of The Electrochemical Society.

197 Several strategies for corrosion protection in aqueous 198 environments have been implemented. The most straightfor-199 ward approach involves use of a chemically stable coating. For 200 example, Ti has a large passivation region involving the 201 formation of a surface oxide layer, with this passivation region 202 covering practical potential-pH values for both water oxidation 203 and H<sub>2</sub> generation (Figure 2). Indeed, in pH 0–14 electrolytes, 204 bulk titanium dioxide (TiO<sub>2</sub>) is chemically stable at up to 600 205 mV anodic overpotentials and 200 mV cathodic overpotentials 206 with respect to the OER and HER, respectively.

Many surface coatings that are used to prevent corrosion in 207 metals are not suitable for use in semiconductor-based water 208 splitting systems. First, thick metallic or insulating films are not 209 universally applicable to semiconductor photoelectrodes 210 because such films block light from reaching the semiconductor 211 and/or impede the charge transfer processes that are required 212 to effect the fuel-forming interfacial redox reactions that must 213 be sustained in an operating water-splitting cell. Another 214 method that is commonly used to protect metals from 215 corrosion involves electrically connecting the material to be 216 protected to a sacrificial anode that is more favorably oxidized. 217 The connection causes the electrochemical potential of the 218 metal to rest in the passivation or immunity region on a 219 potential-pH diagram.<sup>27</sup> In an analogous fashion, applied 220 potentials can be used to protect metals from corrosion. This 221 approach only works for PEC systems if a region of 222 electrochemical potential stability happens to coincide with 223 the potentials needed for the fuel-forming redox reactions. Such 224 a situation is more likely to occur for photocathodes than 225 photoanodes and, as such, will be discussed further in Section 4. 226

Corrosion of semiconductors is more complicated than 227 corrosion of metals because the number of available electrons 228 or holes at the surface that participate in electrochemical 229 processes varies depending on the illumination, biasing 230 conditions, surface/bulk electronic properties, and the solution 231 composition. Further, many semiconductor materials of interest 232 contain several different atoms, which allows for multiple 233 corrosion mechanisms, each governed by different electro- 234 chemical equilibria. 235

The corrosion of semiconductor photoelectrodes can occur 236 through three routes: photoelectrochemical, electrochemical, 237 and chemical. Electrochemical and photoelectrochemical 238 corrosion both require net charge transfer between the 239 photoelectrode and the electrolyte; however, photoelectro- 240 chemical reactions involve photoexcited minority carriers, 241 whereas electrochemical reactions involve majority carriers. 242 Chemical corrosion involves reactions that lead to degradation 243 or dissolution of the electrode material without the necessity of 244 net charge transfer across the solid—electrolyte interface. 245

In the 1970s, Gerischer recognized the issue of photo- 246 electrochemical corrosion of inorganic semiconductors and 247 conducted an analysis of the corrosion potentials of several 248 compound semiconductors.<sup>28</sup> In particular, Gerischer related 249 the quasi-Fermi levels of the minority carriers to the corrosion 250 potential to determine if a given corrosion reaction was 251 thermodynamically favorable when the semiconductor was 252 illuminated. Figure 3 shows the band gap—corrosion potential 253 f3 relationships for stable and unstable semiconductors, using the 254 band diagram formalism. The aqueous photocorrosion 255 reactions for anodic and cathodic decomposition of a covalent 256 compound semiconductor, respectively, can be written as 257

$$MX + zh^{+} \rightarrow M^{z+}_{(aq)} + X \tag{2}$$

$$MX + ze^- \rightarrow M + X^{z-}_{(aq)} \tag{3}_{259}$$

where M and X are the semiconductor cation and anion;  $zh^+$  is 260 the stoichiometric number of holes; and  $ze^-$  is that of electrons. 261 The same reactions can proceed for an elemental semi- 262 conductor (e.g., Si), where formally M and X are the same 263 zerovalent element. 264

As implied by eqs 2 and 3, the oxidized component (M) may 265 become solvated by the aqueous solution through coordination 266 with water or adventitious components of the electrolyte. 267



**Figure 3.** (a) Stable and (b) unstable band alignment for semiconductor electrodes where  ${}_{n}E_{decomp}$  indicates the cathodic decomposition potential and  ${}_{p}E_{decomp}$  indicates the anodic decomposition potential.

268 Alternatively, corrosion can produce insoluble species, which 269 often remain attached to the surface of the semiconductor as a 270 thin film and retard further corrosion. Regardless of the details 271 of each given corrosion reaction, the position of the quasi-272 Fermi level with respect to the corrosion potential determines 273 whether the photocorrosion process is thermodynamically 274 favorable under illumination.

The studies by Gerischer were followed by further analysis of semiconductor stability by Park and Barber<sup>29</sup> and by Fujishima ret al.<sup>30</sup> More recently, these analyses have been augmented with modern thermodynamic data and first-principles calculations, to provide a more general analysis for a wide range of semiconductors.<sup>31</sup> Results to date indicate that all known nonxide semiconductors are unstable during aqueous anodic exp oxygen evolution, and only a few materials, such as Si and MSe<sub>2</sub>, are stable under cathodic conditions.<sup>32</sup>

Although in many cases the corrosion of a semiconducting 284 285 light absorber is driven by optical excitation, the corrosion 286 reaction can also occur over a wide range of potentials in the dark. For example, dissolution of Si in alkaline media cannot be 287 completely inhibited by varying the electrode potential. 288 Attempts to quantify the contributions of the electrochemical 289 corrosion and chemical corrosion processes indicate that 290 chemical rather than electrochemical mechanisms dominate 291 292 the dissolution of Si in alkaline media.<sup>33,34</sup> Therefore, the changes in surface electron/hole concentrations due to optical 293 excitation and/or biasing can change the electrochemical 294 corrosion rates, but such treatments may not completely arrest 295 296 the corrosion of Si.

297 Several general conclusions can be drawn from the above discussion. First, corrosion is ubiquitous for nonoxide semi-298 conductors that have suitable band gaps for tandem-based PEC 299 water electrolysis. Second, such corrosion can give rise to two 300 outcomes: passivation and dissolution. Passivation involves 301 corrosion reactions that result in a protective and insoluble film. 302 For example, anodization of Si in neutral solutions produces a 303 surface layer of SiO<sub>2</sub>, which is insoluble in many acidic and 304 neutral electrolytes. Alternatively, dissolution involves a change 305 in redox state and removal of dissolved semiconductor atoms 306 from the surface, such as the oxidation of Si and dissolution as 307 silicate species in strongly alkaline solution. 308

In many cases of passivation, including Si oxidation, the passivating film is not electrically conductive, rendering this region locally inactive for electrochemical reactions, but possibly protected from further corrosion. As a result, passivation can be used as a viable strategy for protection of semiconductor photoelectrodes in specific cases. Dissolution is 314 arguably less desirable than passivation because dissolution 315 results in the continual destruction of the semiconductor and 316 can undercut protected regions, thus ultimately leading to 317 failure that propagates throughout entire active regions. Only 318 semiconductors whose dissolution rates are *extremely* kinetically 319 inhibited can achieve sustained PEC-based solar-driven water 320 splitting without additional surface protective treatments. 321

2.1. Strategies for Obtaining Stable Semiconductor/ 322 Liquid Junctions. Given the ubiquity of semiconductor 323 corrosion, several strategies for stabilizing semiconductor/ 324 liquid junctions have been developed to mitigate this drawback. 325 We discuss all of the strategies here for context and 326 subsequently describe in detail the specific approach of surface 327 coatings, which has proven to be the most successful for 328 applications of semiconductor photoelectrodes for solar-driven 329 water splitting. 330

One strategy for making stable semiconductor/liquid 331 junctions involves the scrupulous removal of water and oxygen 332 from the system, thus removing the reactants for the most 333 common corrosion reactions. Nonaqueous redox systems (e.g., 334 ferrocene dissolved in acetonitrile containing a supporting 335 electrolyte) can yield stable PEC systems<sup>35-37</sup> and can also 336 provide a simple, corrosion-free platform for evaluating the 337 electronic properties of semiconductor absorbers. These 338 systems can be used to produce regenerative PEC cells that 339 produce electricity but do not store energy as chemical fuels 340 and cannot be used directly for water splitting. Regenerative 341 aqueous systems can also exhibit long-term stability by 342 exploiting electrolytes that facilitate kinetic stabilization.<sup>38-42</sup> 343 In such cases, the desirable interfacial electron-transfer reaction 344 kinetically outcompetes the oxidation or reduction of the 345 semiconductor photoelectrode, resulting in greatly suppressed 346 rates of corrosion. Although kinetic stabilization is possible in 347 the regenerative PEC case, for which the oxidation/reduction of 348 the solution redox pair is extremely rapid, oxygen evolution and 349 hydrogen evolution are generally slower than the corrosion 350 reactions and hence lead to high branching ratios of 351 electrochemical corrosion relative to the desired water-splitting 352 chemistry. Exceptions to this general rule likely exist for oxide 353 semiconductors. For example, kinetic stabilization may explain 354 the increased robustness of Fe hydroxide- or CoO<sub>x</sub>-function- 355 alized BiVO<sub>4</sub>, where the corrosion/photopassivation of this 356 oxide semiconductor is slower than water oxidation by Fe 357 hydroxide or CoO<sub>x</sub>.<sup>43,44</sup> 358

Surface functionalization by organic reagents has been 359 explored for stabilization and manipulation of the interfacial 360 energetics and kinetics of semiconductor electrodes in aqueous 361 electrolytes. Functionalization of surfaces with covalently 362 bonded organic moieties can enhance the stability of 363 semiconductor electrodes.<sup>45</sup> One of the most studied systems 364 in this regard is the methyl-terminated Si(111) surface because 365 nearly 100% of the surface Si atop sites can be functionalized 366 with a methyl group.<sup>46</sup> While such molecular functionalization 367 may provide stable photocathodes due to the lack of a reductive 368 degradation mechanism, such functionalization for photoanode 369 stabilization is likely to be limited in general because aliphatic 370 C-H bonds are more readily oxidized than water or hydroxide 371 ions. As an example of utility for photocathodes, p-Si has been 372 functionalized with a modified viologen that acts as a support 373 and charge-transfer layer for Pt particles that electrocatalyze H<sub>2</sub> 374 production in water.4 375

The primary approach, and the focus of this review, is the strategy of coating unstable semiconductor surfaces with thermodynamically stable films (Figure 4).<sup>48</sup> In this case, the



**Figure 4.** Schematic of a protective film for photoelectrochemical fuel generation depicting only the semiconductor near-surface region, the protective film, catalysts, and the solution, along with the properties required of the film.

379 protective film should be electrically conductive, electrochemi-380 cally stable, and optically transparent and should prevent the 381 semiconductor surface from directly contacting the electrolyte. 382 Ideally, such a layer would also possess favorable optical and electronic properties (e.g., highly transparent and perhaps 383 antireflective; large barrier for transfer of majority carriers; small 384 interface trap state density). Clearly, not all coatings will fulfill 385 all of these requirements. For example, thick (i.e., more than a 386 few nanometers) metal films will not be antireflective or 387 optically transparent and will generally produce low open-388 circuit voltages when relied upon for the formation of the 389 photoactive junction (i.e., Schottky contact), so the utility of 390 such films is likely limited. In some cases, the protective film 391 could be catalytic for the reaction of interest; however, catalysts 392 or cocatalysts can also be added to the outer surface or 393 394 incorporated as an additional component in the protective 395 layer. The composition of the protective film, the deposition 396 technique, and the structure at the interface can play significant 397 roles in determining the resulting photoelectrosynthetic 398 behavior.

The location and coverage of conductive and protective films 300 400 are also relevant parameters that must be considered. Variation 401 of such geometric factors includes the patterning of 2D metal 402 islands<sup>49<sup>-</sup></sup> where the metal protective contacts can be optically 403 thick, provided that the islands are sparse enough to allow for passage of a large fraction of solar light. Further, strategies 404 405 including 3D structuring, and the passage of current through 406 optically thick metal films<sup>50</sup> or metal particles at the base of <sup>407</sup> high aspect ratio structured absorbers, have been proposed<sup>10,21</sup> <sup>408</sup> and implemented experimentally.<sup>51,52</sup> While these strategies 409 may enable the use of optically thick catalytic/protective films 410 in well-defined locations, protection is still required on the 411 remainder of the semiconductor surface where the requirement 412 of electronic conductivity is lifted, but transparency and stability are not. 413

**2.2. Methods for Forming Protective Films.** Various techniques can be employed for forming protective films on semiconductor light absorbers, each with its own basic principles and merits. Broadly, the deposition technique chosen will influence the uniformity, stoichiometry, interfacial charactive teristics, and other structural and electronic properties of the film and hence may critically impact the stability and performance of the resulting composite photoelectrodes.

422 Physical-vapor deposition (PVD) techniques, such as thermal 423 or electron-beam evaporation, magnetron sputtering, and 424 pulsed-laser deposition, allow for precise control over the 425 composition and thickness of the protective film. The principle 426 drawback of PVD techniques is line-of-sight deposition, which 427 can result in shadowing and inhomogeneous deposition on structured semiconductor surfaces. This drawback can be 428 somewhat circumvented by tuning the deposition conditions 429 (e.g., chamber pressure and deposition geometry). Sputtering is 430 one of the most common and popular PVD methods, but it can 431 result in damage to the semiconductor absorber surface due to 432 impact of high-energy ions.<sup>53</sup> Another drawback of PVD 433 techniques broadly is the lack of chemical control at the 434 interface between the semiconductor and the deposited film. 435

Chemical vapor deposition (CVD) techniques have also 436 been used for forming corrosion protection coatings. These 437 techniques are limited by the precursor volatility, stability, and 438 deposition chemistry, all of which limit the available deposition 439 conditions and material compositions. CVD can be used to coat 440 nonplanar surfaces under appropriate deposition conditions. 441 Atomic-layer deposition (ALD), the subset of CVD techniques 442 that utilizes sequential, self-limiting surface reactions, is 443 particularly suitable for conformal and uniform deposition on 444 high aspect-ratio or porous materials.<sup>54</sup> Further, ALD exhibits a 445 high degree of thickness control relative to traditional CVD and 446 PVD techniques. Due to these advantages, a large number of 447 recent studies on the protection of photoelectrodes have used 448 ALD. 449

Electrodeposition is another well-studied technique that can 450 coat high aspect-ratio materials and generally deposits material 451 where a conductive path and precursor species are simulta-452 neously present. This technique is limited by the deposition 453 conditions (e.g., near room temperature, liquid environment) 454 and generally results in porous coatings.<sup>55</sup> A heavily 455 investigated method of catalyst formation is the electro-456 deposition of Co and Ni oxides,<sup>56</sup> which result in a porous 457 film that maximizes the number of sites for catalysis. However, 458 the resulting film is unsuitable for corrosion protection of 459 nonoxide semiconductors due to porosity. Sol–gel, chemical 460 bath, and spray deposition of materials are also of interest due 461 to their low cost of implementation, but like electrodeposition, 462 these techniques often result in porous films that can even 463 remain somewhat porous after postdeposition annealing/ 464 densification steps.<sup>57,58</sup>

**2.3. Behavior of Semiconductor/Electrolyte Interfa-** 466 **ces.** The deposition chemistry, as well as the structure of the 467 resulting semiconductor/protective film interface, can signifi- 468 cantly influence the resulting electronic properties and hence 469 the energy-conversion efficiency of photoelectrodes. Figure 5 470 fs



**Figure 5.** Comparison of energy band diagrams for a semiconductor/ liquid PEC junction (left) and for a buried PV junction (right). The base semiconductors in both cases are doped n-type. In the buried PV junction case, a p-type thin layer or a semiconductor/metal Schottky barrier forms a different, and often higher, built-in voltage than the contact with the electrolyte, depending on the value of  $E_{\rm redox}$  and the interfacial energetic situation of the different types of contacts on the semiconductor of interest.

471 shows two contrasting cases, specifically photovoltaic (PV) cells 472 that utilize "buried", solid-state junctions, as well as photo-473 electrochemical (PEC) cells using semiconductor—liquid 474 junctions. The terminology used here in differentiating between 475 PV and PEC junctions was recently clarified in a report on the 476 taxonomy of solar fuel generators.<sup>10</sup> For PEC cells, the band 477 bending responsible for charge separation is produced by 478 charge-transfer equilibration between the Fermi level of the 479 semiconductor and the Fermi level (electrochemical potential) 480 of the liquid contact. In contrast, for buried-junction PV cells, 481 the band bending in the semiconductor is entirely determined 482 by the nature of the solid-state contact (e.g., p—n junction or 483 semiconductor/metal junction).<sup>59,60</sup>

A prototypical buried junction is insensitive to changes in the 484 485 redox potential of the electrolyte because the built-in voltage of 486 the junction is determined by the solid/solid interface, not by 487 charge transfer at the solid/liquid interface. The introduction of 488 protective lavers often converts a PEC cell into a PV cell or into 489 a hybrid junction that incorporates aspects of a semiconductor/ 490 liquid junction as well as aspects of a solid-state junction.<sup>61,62</sup> 491 Many attempts to stabilize PEC materials have used the 492 photovoltaic junction configuration because the energy-493 conversion properties of such systems are essentially fixed 494 and can often provide near optimal values of key photovoltaic 495 figures of merit, such as the open-circuit photovoltage,  $V_{oc}$ . 496 Consistent with the majority of recent research efforts in this 497 area, this review focuses only on PEC cells, PV cells, and 498 combinations of these that are fully immersed in aqueous solution. PV-biased electrosynthetic cells that involve the 499 complete structural encapsulation of complex PV components, 500 connected to a discrete and stand-alone electrolysis unit, while 501 also interesting and in many ways more technologically mature, 502 are outside the scope of this review. 503

For the specific absorber materials of concern, the photo-504 505 electrode performance can be estimated based on the specific 506 junction configurations. That is, semiconductor-liquid photo-507 electrochemical junctions and solid-state junctions often 508 produce different figures of merit, most notably exhibiting 509 different open-circuit voltages  $(V_{oc})$  under an otherwise 510 mutually common set of conditions. For example, Si p-n s11 junctions typically exhibit  $(V_{oc})$  of 0.55 V or more and s12 photocurrent densities of  $\geq$ 35 mA·cm<sup>-2</sup> under 1 Sun 513 illumination.<sup>63</sup> For a Si Schottky barrier (direct MS contact), s14 the  $V_{\rm oc}$  would only be ~0.3 V for n-type Si and ~0.2–0.3 V for 515 p-type Si<sup>64,65</sup> but could be up to 0.65 V for a MIS (metal-516 insulator-semiconductor) structure.<sup>66</sup> In all cases, direct 517 metal-silicon contacts will result in high thermionic emission s18 currents and thus exhibit inherently low  $V_{\rm oc}$  values compared 519 with well-produced p-n junctions or heterojunctions. There-520 fore, it is instructive to note the specific junction types 521 employed in the work discussed below when comparing the 522 performance of different types of coatings on a photoelectrode 523 material of interest.

#### 3. PROTECTION OF PHOTOANODES

**3.1. Overview.** Due to the early observation of photos2s corrosion in aqueous electrolytes, in conjunction with the s26 general instability of nonoxide photoanode materials, significant s27 attention has been devoted to protection of photoanodes.<sup>28,31</sup> s28 This section focuses on thin-layer materials that stabilize s29 semiconductor light absorbers for the sunlight-driven oxidation s30 of water or hydroxide, as well as for anode reactions that are s31 important processes in photosynthetic halide-splitting reactions. Hence, the key oxidative electrochemical half-reactions 532 are 533

$$H_2O \leftrightarrow \frac{1}{2}O_2 + 2H^+(aq) + 2e^-$$

$$E^0 = 1.23 \text{ V vs RHE (in acid)}$$

$$2OH^-(aq) \leftrightarrow \frac{1}{2}O_2 + H_2O + 2e^-$$

$$E^0 = 1.23 \text{ V vs RHE (in base)}$$

$$Cl_2(g) + 2e^- \leftrightarrow 2Cl^-(aq) \quad E^0 = 1.39 \text{ V vs RHE (in acid)}$$

$$Br_2(aq) + 2e^- \leftrightarrow 2Br^-(aq)$$

$$E^0 = 1.09 \text{ V vs RHE (in acid)}$$

$$I_3^-(aq) + 2e^- \leftrightarrow 3I^-(aq) \quad E^0 = 0.54 \text{ V vs RHE (in acid)}$$

where RHE is the potential of the reversible hydrogen 534

electrode. 535 Below, we present data compiled from a large number of 536 reports of oxygen-evolving photoanodes that have been 537 stabilized with thin films. We summarize the available library 538 of metal oxides for protective coatings because metal oxides are 539 generally considered thermodynamically stable for oxidative 540 chemistry and also because the corrosion behavior of metal 541 oxides is well-documented. Coating strategies will then be 542 discussed and are categorized by the protective thin-film 543 composition, including metals and metal silicides, oxides, 544 phosphides, and nitrides, as well as organic layers. 545

Table 1 summarizes reported efforts to produce thin-layer 546 th protection for oxygen-evolving photoanodes. In chronological 547 order, the table lists the semiconductor being protected, the 548 protective film composition, deposition method, and electro- 549 lyte(s) used. When possible, the stability and performance 550 metrics are also noted, including the time during which a noted 551 current density was passed, the approximate onset potential vs 552 the reported reference potential, and vs the Nernst potential for 553 the oxidation reaction of interest. If not specified specifically in 554 the work, the approximate onset potential was estimated as the 555 potential at which the photocurrent reached  $\sim 1 \text{ mA cm}^{-2}$ . A 556 value for  $V_{\text{shift}}$  is also reported, based on the absolute value of 557 the shift along the potential axis in the current density vs 558 potential curve (J-E) of an illuminated protective film, relative 559 to the behavior of a photoinactive metallic electrode in the dark 560 with the same near-surface composition (i.e., same electro- 561 catalytic behavior).<sup>20</sup> This figure of merit is related to the 562 photovoltage generated or "power saved" by the semiconductor 563 absorber relative to the dark electrocatalysis case<sup>18</sup> and 564 therefore quantifies the maximum free energy for the 565 photosynthetic oxidation reaction that is produced by 566 illumination of the photoanode. Table 1 does not include 567 reports of thin-layer protection in nonphotosynthetic redox 568 systems, although many of these reports will also be discussed. 569

A variety of reference electrodes have been used historically 570 to evaluate the electrochemical behavior of stabilized oxygen- 571 evolving photoanodes. A reversible hydrogen electrode (RHE) 572 is often used to report potential values and provides a 573 convenient reference potential because the value of RHE 574 accounts for the actual composition of the electrolyte and 575 represents a fixed potential relative to the reaction of interest 576  $(-1.23 \text{ V} \text{ vs} \text{ the reversible OER potential at } 25 ^{\circ}\text{C})$ . Other 577 reference electrodes that are often used include the saturated 578

						and the strength of the T	Г	F	X7-1-06	
year	base SC	coating (thickness, $nm)^{a}$	deposition method	electrolyte	Hq	stability, time (at J, $mA \ cm^{-2}$ )	$E_{\text{onset}} \stackrel{\text{VS ref}}{(V)}$	$\operatorname{OER}^{E_{\operatorname{onset}}}(\mathrm{V})^{b}$	vshift (mV)	ref
1975	n-GaP	Au(-)	evaporation	borate buffered 0.5 M K <sub>2</sub> SO <sub>4</sub>	9.2	1	+0.5 vs SCE	+0.05	560	Nakato <sup>70</sup>
1975	n-GaP	Pd(-)	evaporation	borate buffered 0.5 M K <sub>2</sub> SO <sub>4</sub>	9.2	I	I	I	600	Nakato <sup>70</sup>
1977	n-GaP	Au(40)	sputter or evaporation	buffered 0.5 M K <sub>2</sub> SO <sub>4</sub>	4.3	I	-0.45 vs SCE	-1.18	300-500	Harris <sup>71</sup>
1977	n-GaP	Ag(30)	sputter or evaporation	buffered 0.5 M K <sub>2</sub> SO <sub>4</sub>	4.3	1	-0.5 vs SCE	-1.23	I	Harris <sup>71</sup>
1977	n-Si, n-GaAs, n-GaP, n-InP, n-CdS	$\operatorname{TiO}_2(-)$	CVD	$Na_2SO_4$	I	w	I	I	I	Kohl <sup>140</sup>
2014	n-GaP	${\rm TiO}_2(118)/~{ m NiO}_x(2)$	ALD, e-beam evaporation	1 M KOH	13.7	>5 h (2.5)	-0.16 vs SCE	-0.35	590	$\mathrm{Hu}^{49}$
1977	n-GaAs, n-GaAlAs	Al <sub>2</sub> O <sub>3</sub> , TiO <sub>2</sub> , Si <sub>3</sub> N <sub>4</sub>	sputtering	$Na_2SO_4 + NaOH$	,	I	I	I	I	Tomkiewic <sup>141</sup>
1977	n-GaAs, n-GaAlAs	SnO <sub>2</sub> , Nb <sub>2</sub> O <sub>5</sub>	electrodep	$Na_2SO_4 + NaOH$	ı	I	I	I	I	Tomkiewicz <sup>141</sup>
1987	n-GaAs	Pt or $Pd(-)/MnO_x(20)$	chemical bath deposition	0.5 M NaOH	13	>80 min (11)	-0.4 vs NHE	-0.86	I	Kainthla <sup>91</sup>
1994	n-GaAs	ITO(230)	sputtering	0.5 M NaClO <sub>4</sub>	I	1	I	I	I	Kraft <sup>79</sup>
2014	np <sup>+</sup> -GaAs	$TiO_2(118)/Ni/NiO_x(2)$	ALD, e-beam evaporation	1 M KOH	13.7	>25 h (14)	-0.38 vs SCE	-0.57	810	$Hu^{49}$
1975	n-Si	Au(-)	evaporation	borate buffered 0.5 M K <sub>2</sub> SO <sub>4</sub>	9.2	I	I	I	170	Nakato <sup>70</sup>
1975	n-Si	Pd(-)	Evaporation	borate buffered 0.5 M K <sub>2</sub> SO <sub>4</sub>	9.2	I	I	I	150	Nakato <sup>70</sup>
1980	n-Si	${\rm Fe}_2{\rm O}_3(2.5-800)$	sputtering/oxidation	0.1 M NaOH	13	I	+0.4 vs SCE	+0.2	I	Morisaki <sup>83</sup>
1983	n-Si	$ITO(3-100)/RuO_2(-)$	sputtering	buffered NaOH	12	I	+0.2 vs SCE	-0.1	I	Hodes <sup>76</sup>
1984	n-Si	${\rm Fe}_2{\rm O}_3(75)/{\rm Pd}(10)$	electron-beam evaporation	0.2 M KOH	13.3	1	+0.36 vs Hg/HgO	+0.01	I	Osaka <sup>86</sup>
1984	n-Si	$Pt-SiO_x(10)/Pt$	phototoanodic oxidation	0.5 M H <sub>2</sub> SO <sub>4</sub>	0	100 h (1)	+1.4 vs NHE	+0.17	I	Bockris <sup>82</sup>
1986	n-Si	Pt or $Pd(-)/MnO_x(20)$	chemical bath deposition	$0.5 \text{ M K}_2 \text{SO}_4$	13, 7	600 h (1)	+0.6, +1.2 vs NHE	+0.14, +0.38	500, 500	Kainthla <sup>90</sup>
1987	n <sup>+</sup> n-Si, n+p-Si	Ni(30)	sputtering	1 M KOH	14	~45 min	+0.25 vs Hg/HgO	-0.06	370	Guiozheng <sup>142</sup>
1987	n <sup>+</sup> n-Si, n <sup>+</sup> p-Si	Pt(3)	sputtering	1 M KOH	25	>8 h	+0.51 vs Hg/HgO	+0.2	170	Guiozheng <sup>142</sup>
1989	n-Si	${ m Cr}_2{ m O}_3(-)~/{ m TiO}_2(-)/{ m SbO}_*(-)$	CVD/sol-gel	$1 \text{ M H}_2\text{SO}_4 + 1 \text{ M IPA}$	0~	20 h (2.0)	+0.5 vs SCE	-0.50	I	Poznyak <sup>81</sup>
1661	n-Si	BP(-)	CVD	$1 \text{ M H}_2 \text{SO}_4$	0~	I	+0.4 SCE	-0.6	I	Goossens <sup>127</sup>
2004	a-Si:Ge nip	ITO(-) /Fe <sub>2</sub> O <sub>3</sub> (-)	sputtering or spray pyrolysis	I	I	I	I	I	650	Miller <sup>143</sup>
2011	n-Si	$TiO_2(2)/Ir(3)$	ALD	$1 \text{ M H}_2\text{SO}_4$	0	8 h (5.1)	I	-0.22	532	Chen <sup>119</sup>
2011	n-Si	$TiO_2(2)/Ir(3)$	ALD	0.4 M Na <sub>2</sub> HPO <sub>4</sub> , and 0.6 M Na <sub>2</sub> HPO <sub>4</sub> and NaOH	7	8 h (5.1)	I	-0.28	565	Chen <sup>119</sup>
2011	n-Si	$TiO_2(2)/Ir(3)$	ALD	1 M NaOH	14	24 h (15)	I	-0.20	555	Chen <sup>119</sup>
2011	a-Si	ITO(70)/ Co-oxide	I	1 M potassium borate	9.2	3 h (~3.7)	-0.4 vs RHE	-1.63		Reece <sup>77</sup>
2012	n-Si	$NiO_x(30)$	sol-gel	buffered Na <sub>2</sub> SO <sub>4</sub>	7.25	30 min	I	-0.05	300	Sun <sup>92</sup>
2012	n-Si	$Ti-Fe_2O_3(20)$	CVD	NaOH-NaHPO <sub>4</sub> 1 M NaOH	13.8	I	+1.2 vs RHE	0	~400	Jun <sup>88</sup>
2012	$Ta_3N_5$	$CoO_x(-)$	impregnation	1 М КОН	13.6	2 h (1)	+0.6 vs RHE	-0.60		Liao <sup>106</sup>

Table 1. Photoelectrosynthetic Systems for Oxygen Evolution  $^{d}$ 

	ref	Hayashi <sup>108</sup>	Kenney <sup>72</sup>	Kenney <sup>72</sup>	Sun <sup>93</sup>	Strandwitz <sup>68</sup>	Lichterman <sup>44</sup>	Higashi <sup>104</sup>	Domen <sup>101</sup>	Sun <sup>80</sup>	Yang <sup>94</sup>	Kim <sup>112</sup>	Kim <sup>102</sup>	McDowell <sup>109</sup>	Lichterman <sup>144</sup>	Hu <sup>49</sup>	Hu <sup>49</sup>	Liu <sup>103</sup>	Mei <sup>73</sup>	Mei <sup>95</sup>	Shaner <sup>122</sup>	Shaner <sup>122</sup>	Sun <sup>96</sup>	Sun <sup>97</sup>	Sun <sup>97</sup>	Zhong <sup>113</sup>	Sun <sup>97</sup>
Vehift <sup>c</sup>	(mV)	I	~500	~500	I	450	I	300	I	388	610	I	I	I	I	430	520	I	I	I	180	440	520	600		I	440
F we	$OER (V)^{Lonset V3} b$	1	-0.15	-0.05	-0.05	-0.025	-0.83	-0.30	-0.63	-0.05	-0.13	-1.0	-0.93	-0.63	-0.53	-0.16	-0.07	-0.53	-0.18	-0.18	+0.07	-0.13	-0.25	-0.28	-0.12	-0.83	-0.07
F ws ref	Lonset vs 101 (V)	I	Ι	I	I	I	+0.40 vs RHE	1	+0.6 vs RHE	I	+1.1 vs RHE	+0.2 vs RHE	+0.3 vs RHE	+0.6 vs RHE	+0.7 vs RHE	+0.03 vs SCE	+0.12 vs SCE	0.7 vs RHE	+1.05 vs RHE	+1.05 vs RHE	I	I	I	+0.95 vs RHE	+1.1 vs RHE	+0.4 vs RHE	+1.15 vs RHE
stability time (at I	$mA \text{ cm}^{-2}$	110 h (0.5 mL $\cdot \text{cm}^{-2} \cdot \text{h}^{-1}$ )	12 h (10)	80 h (10)	2 h (1)	$\sim 30 \min(10)$	30 min (1.5)	1 h (2)	3 h (0.5)	2.5 h (10.8)	24 h (30)	50 h (2.6)	2 h (2)	2 (1.4)	100 h (20)	1	>100 (35)	6 h (S)	18 h (25.5)	300 (15)	I	2200 h (4.5)	1200 h (30)	200 h (34)	100 h (5.4)	16 h (2.5)	1000 h (22.9)
	Hq	14	14	9.5	7.25	13.6	13	8	11	14	13.6	~	7	13	14	13.7	13.7	13.6	0	14	13.6	13.6	14	14	14	~	14
	electrolyte	1 M NaOH	1 M KOH	0.65 M K-borate and 0.35 M Li-borate	buffered Na <sub>2</sub> SO <sub>4</sub>	1 M KOH	0.1 M KOH	0.1 M Na <sub>2</sub> HPO <sub>4</sub> (aq) and 0.1 M Na <sub>2</sub> HPO <sub>4</sub> (aq)	0.1 M potassium phosphate buffer solution	1 M NaOH	1 M NaOH	phosphate buffer	0.1 M potassium phosphate buffer solution	0.1 M KOH	1 М КОН	1 M KOH	1 M KOH	1 M NaOH	$1 \text{ M H}_2\text{SO}_4$	1 М КОН	1 M KOH	1 M KOH	1 M KOH	1 M KOH	1 M KOH	0.1 M KPi	1 M KOH
	deposition method	spin coating + annealing	e-beam evaporation	e-beam evaporation	sputtering	ALD	ALD	calcination	electrophoretic deposition + calcination	sputtering	ALD	(photo) electrodeposition	electrophoretic deposition + calcination	ALD	ALD, e-beam evaporation	ALD, e-beam evaporation	ALD, e-beam evaporation	chemical bath deposition + calcination	sputtering	sputtering	ALD and Sputtering	ALD and sputtering	sputtering	sputtering	sputtering	bulk calcination/ALD	sputtering
	coating (thickness, $nm)^a$	NiO	Ni(2)	Ni(2)	$NiRuO_x(30)$	$\mathrm{MnO}_{\mathrm{x}}(10)$	$CoO_x(1)$	$\operatorname{CoO}_{x}(-), \operatorname{CoO}_{x} + \operatorname{IrO}_{x}(-), \operatorname{CoO}_{x} + \operatorname{RuO}_{x}(-)$	CaFe <sub>2</sub> O <sub>4</sub> + Co-Pi cocatalyst	ITO(100)/Au(S)/ ITO(100)/NiOx(–)	$CoO_x(2)$	FeOOH(-)/ NiOOH(-)	CaFe <sub>2</sub> O <sub>4</sub> (–) + Co-Pi cocatalyst (–)	$TiO_2(1)/Ni(2)$	$TiO_2(100-140)/NiO_x(2)$	$TiO_2(3-143)/Ni/NiO_3(100)$	${ m TiO_2(68)/~Ni/NiO_x(100)}$ islands	ferrihydrite $(-)$ + Co <sub>3</sub> O <sub>4</sub> cocatalyst $(-)$	$\mathrm{Ir}/\mathrm{IrO}_{\mathrm{x}}(4)$	Fe-treated NiO (50)	$TiO_2(94)/NiCrO_x(40)$	$TiO_2(94)/Ni(40)$	$NiO_x(75)$	$NiO_x(75)$	$NiO_x(75)$	$CoO_x$ (1 wt %)/NiO(~6)	$NiO_x(75)$
	base SC	n-GaN	n-Si	n-Si	n-Si	n-Si	$BiVO_4$	$BaTaO_2N$	TaON	np <sup>+</sup> -Si	np <sup>+</sup> -Si	BiVO <sub>4</sub>	BiVO <sub>4</sub>	$BiVO_4$	n-CdTe	n-Si	np⁺-Si	$Ta_3N_5$	np <sup>+</sup> -Si	np <sup>+</sup> -Si	n-Si microwire	np <sup>+</sup> -Si microwire	np <sup>+</sup> -Si	HTJ-Si	a-Si:H	$BiVO_4$	n-CdTe
	year	2012	2013	2013	2013	2013	2013	2013	2013	2014	2014	2014	2014	2014	2014	2014	2014	2014	2014	2014	2015	2015	2015	2015	2015	2015	2015

Table 1. continued

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year	base SC	coating (thickness, $nm)^a$	deposition method	electrolyte	Hq	stability, time (at J, mA cm <sup>-2</sup> )	$E_{ m onset} \stackrel{ m VS}{(V)}$	${\mathop{\rm E}\limits_{ m onset} \mathop{ m vs}\limits_{ m OER} {\mathop{ m vs}\limits_{ m (V)} {}^{b}}}$	Vshift <sup>c</sup> (mV)	ref
2015	np <sup>+</sup> -Si	${ m Ti}(5)/{ m TiO}_2(100)/{ m IrO}_x$	sputtering	1 M $H_2SO_4$ or 1 M HClO <sub>4</sub>	0	60 h (23)	+1 vs RHE	-0.23	500	Mei <sup>123</sup>
2015	ZnO	$Ta_2O_5(-)$	ALD	0.1 M KOH	13	5 h (0.8)	+0.5 vs RHE	-0.73	I	Li <sup>145</sup>
2015	np <sup>+</sup> -InP	$NiO_x(75)$	sputtering	1 М КОН	14	48 h (20.8)	+0.85 vs RHE	-0.37	390	Sun <sup>146</sup>
2015	np <sup>+</sup> -Si	$NiCo_2O_4$ (40)	sputtering	1 M KOH	14	72 h (30)	+1 vs RHE	-0.23	600	$\operatorname{Chen}^{98}$
<sup>a</sup> Outer past th	most layer is the fina e thermodynamic po	layer listed, layer closest to tential. <sup>c</sup> This would be the v	the semiconductor is the voltage shift from the da	first layer listed. <sup>b</sup> This would rk electrocatalysis on a metal	l be the v l or dege	oltage vs the thermodynamenerate electrode that are c	nic potential (as comparable to t	: calculated); 1 he electrocata	negavie mea: Iytic behavi	is more negative ar of as-reported

photoanodes. d(.) data not specified or parameter not reported. (w) Effective water oxidation not demonstrated. (v) Possibly photocorrosion.

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NaOH, 0.098 V vs NHE at 25 °C) electrodes because these 582 references are conveniently used for PEC experiments 583 performed in aqueous media. These reference potentials can 584 be converted to the RHE scale by explicitly measuring the RHE 585 potential in the electrolyte of interest<sup>67</sup> or by an arithmetic 586 conversion using the known potential differences between the 587 reference electrode and the RHE potential. We note, however, 588 that due to nonzero temperature coefficients, junction 589 potentials, and the tendency for reference electrode potentials 590 to drift over time, it is always preferable to provide an explicit 591 calibration to the RHE scale when possible. In Table 1, when 592 potentials other than RHE were reported, a specified arithmetic 593 conversion has been performed to calculate the potential vs 594 RHE, based on the solution pH, but the onset potential is also 595 provided with respect to the reported reference electrode. 596 Summary of Available Library of Stable Materials. The 597 corrosion stability of oxide coatings and semiconductor light 598 absorbers is generally referenced to the Pourbaix diagrams (vide 599 supra). Many metals form insoluble oxides and self-passivate 600 under water oxidation potentials (E > 1.23 V vs RHE) and 601 certain pH conditions, by being biased into the "passivation" 602 region (Figure 2).<sup>25</sup> However, under certain electrochemical 603 and pH conditions, many oxides form soluble species, resulting 604 in film destruction/dissolution. Due to their insolubility over 605

calomel electrode (SCE, 0.241 V vs NHE at 25 °C), the 579

saturated silver/silver chloride (Ag/AgCl, 0.197 V vs NHE at 580 25 °C), or the mercury/mercury oxide (Hg/HgO with 1.0 M 581

transport properties, the following metal oxides can be 607 considered as candidates for photoanode protective coatings 608 over some appreciable range of pH values: TiO2, ZrO2, HfO2, 609 SnO<sub>2</sub>, In<sub>2</sub>O<sub>3</sub>, FeO<sub>x</sub>, MnO<sub>x</sub>, NiO<sub>x</sub>, CoO<sub>x</sub>, WO<sub>3</sub>, ZnO, Ta<sub>2</sub>O<sub>5</sub>, 610 NbO<sub>x</sub>, Al<sub>2</sub>O<sub>3</sub>, MgO, SiO<sub>2</sub>, BiO<sub>x</sub>.<sup>26</sup> Thermodynamic stability 611 (through the formation of insoluble oxides/hydroxides), 612 however, is not a sufficient condition for corrosion protection. 613 For example, thin layers of NiO<sub>x1</sub> CoO<sub>x1</sub> and MnO<sub>x</sub> may 614 become porous during passage of current and may thus allow 615 for eventual permeation of electrolyte to the underlying 616 semiconductor surface.61,68 617 3.2. Protection of Photoanodes for Water Splitting. 618 3.2.1. Metals and Metal Silicide Protective Layers. Although 619 metals and metal silicides absorb or reflect considerable light, 620 thin layers or sparse coverages allow for their use as protective 621 and functional films. An additional drawback of metals is that 622

certain ranges of pH, but without considering their electronic 606

and functional films. An additional drawback of metals is that 622 metal/semiconductor Schottky contacts generally have large 623 majority-carrier-based recombination currents due to ther- 624 mionic emission processes at the semiconductor/metal inter- 625 face and thus produce small photovoltages.<sup>69</sup> Attempts to 626 protect n-type GaP (n-GaP) and n-type Si (n-Si) photoanodes 627 in the late 1970s relied on thin layers of Au, Pd, and Ag films 628 deposited by electron-beam evaporation or sputtering.<sup>70</sup> Later, 629 Wilson et al. revisited such a metal-layer stabilization strategy 630 by studying a Au/n-GaP combination.<sup>71</sup> The energy-band 631 diagram indicated that the metal overlayer formed a buried 632 Schottky junction with n-GaP; hence this metal coating 633 contacted the electrolyte (similar to Figure Sb). 634

Recently, a 2 nm Ni layer was reported to stabilize n-Si 635 photoanodes in 1 M KOH (pH = 14) for 12 h as well as in 636 borate buffer (pH = 9.5) for 80 h,<sup>72</sup> with an initial current 637 density of 10 mA cm<sup>-2</sup>. The proposed energy-band diagram 638 suggests that, upon contact with liquid electrolytes, a thin Ni 639 layer on Si forms a buried Schottky junction with a higher 640 barrier height than a thick Ni layer on Si. This hypothesis is 641

642 supported by the observation of a photovoltage under 643 simulated 1 Sun illumination of 500 mV, which is larger than 644 the  $V_{oc}$  observed for conventional n-Si/Ni junctions with 645 thicker Ni layers. NiO<sub>x</sub> can become porous during prolonged 646 water oxidation, which makes this method potentially problem-647 atic in terms of the film permeability. The anodization depth is 648 also critical to the protection capabilities of the metal films and 649 is a function of several parameters including the current density, 650 potential, temperature, and electrolyte composition.

Chorkendorff and co-workers<sup>73</sup> used a 4 nm Ir layer to 651 652 stabilize Si p<sup>+</sup>-n junction photoanodes (n-type bulk with a p<sup>+</sup> 653 emitter at the surface) in 1.0 M H<sub>2</sub>SO<sub>4</sub> for 18 h at an average 654 current density of 25.5 mA cm<sup>-2</sup>. They posited that the 655 operative semiconductor/electrolyte interface involved a buried 656 photovoltaic junction in contact with metallic Ir serving as an 657 ohmic contact as well as a water-oxidation catalyst. The XPS 658 depth profiling data indicated that the Ir anodized to a depth of 659 less than 4 nm and maintained protection of the Si 660 photoanodes. These results are encouraging because even 661 slower anodization of Ir is expected in alkaline media.<sup>74</sup> The 662 coating thus needs to be sufficiently thin to obviate obscuration 663 of incident light yet sufficiently thick to prevent anodization 664 that leads to porous protective and catalytic coatings under 665 operating conditions.

*3.2.2. Metal Oxide Protective Layers.* Due to their chemical most common class of thin layers used for photoanode protection. Due to the abundance of research conducted with this class of materials, we have further subdivided this class into the following categories: (1) catalytically inactive transparent conductive oxides (TCO), (2) catalytically active metal oxides, and (3) redox-inactive metal oxides.

3.2.2.1. Catalytically Inactive TCO Protective Layers. Catalytically inactive TCOs, such as Sn-doped  $In_2O_3$  (ITO), SnO<sub>2</sub>, or F- or Sb-doped SnO<sub>2</sub>, resist corrosion in neutral or neutral pH aqueous electrolytes but corrode at extreme pH values. These protective coatings either form Schottky but corrode at extreme contacts to underlying solid-state but corrode at extreme but

ITO layers have been used to protect a wide variety of 685 686 semiconductors, including n-Si, n-GaAs, a GaP/amorphous Si/ crystalline Si tandem,<sup>75</sup> triple-junction amorphous Si, and Si–Ge alloy solar cells.<sup>76–79</sup> Due to a low catalytic activity toward 687 688 oxygen evolution, ITO surface layers are usually further 689 functionalized with catalysts such as RuO2, Fe2O3, and Co-690 oxide. ITO-coated triple-junction amorphous Si solar cells have 691 shown >3 h of stability at a photocurrent density of  $\sim$ 3.7 mA 692  $cm^{-2}$  in 1.0 M potassium borate buffer (pH = 9.2) but showed 693 <30 min of stability in 0.10 M KOH (pH = 13), likely because 694  $_{695}$  In<sub>2</sub>O<sub>3</sub> is soluble in aqueous media with high pH values.<sup>26,77</sup> n-Si 696 coated with 40 nm of ITO as well as with a RuO<sub>2</sub> cocatalyst 697 showed an onset of photoanodic current at 0.1 V negative of 698 the water-oxidation potential in buffered pH =  $\sim 12.5$ 699 NaOH(aq), but neither oxygen yields nor long-term stability 700 data were reported.<sup>76</sup> Recently, an ITO/Au/ITO stack was 701 deposited on a Si p-n junction and produced 2.5 h of 702 photoanodic stability in 1.0 M NaOH (pH = 14).80 Most 703 TCOs are n-type semiconductors and thus do not generally 704 form high barrier-height contacts to many n-type semiconductor absorbers, due to a close alignment of the Fermi 705 levels of the TCO to the conduction band of the semi- 706 conductor. Therefore, many attempts to realize high perform- 707 ance for water oxidation have used PV junctions coated with 708 TCO protective films, as described above. 709

Chromium-containing oxides have been used to stabilize 710 oxygen-evolving photoanodes, perhaps motivated or justified by 711 the utility of chromia scales in protecting stainless steels. For 712 example,  $Cr_2O_3$  films deposited by CVD at 500 °C have been 713 used to stabilize n-Si photoanodes in 1.0 M H<sub>2</sub>SO<sub>4</sub>, with a 714 photovoltage of 0.5 V.<sup>81</sup> A mixed oxide containing 715  $Cr_2O_3$ :TiO<sub>2</sub>:SbO<sub>x</sub> deposited on the  $Cr_2O_3$  was reported to 716 stabilize an n-Si photoanode in an electrolyte consisting of 1 M 717 H<sub>2</sub>SO<sub>4</sub> and 1 M isopropanol. However, the measured 718 photocurrent diminished continually over 20 h, and the effect 719 of the added isopropanol was not explained. It is possible that 720 the measured current was due to isopropanol oxidation, rather 721 than oxidation of water to produce  $O_2(g)$ . 722

3.2.2.2. Catalytically Active Metal Oxide Protective Layers. 723 Catalytically active transition-metal oxides and silicates have 724 also been widely explored as protective coatings. This strategy 725 attempts to exploit a possible dual function of the metal oxide 726 film: protection and catalysis. Bockris and co-workers<sup>82</sup> used 727 Pt-doped SiO<sub>2</sub> (SiO<sub>2</sub> electrochemically grown on Pt-coated Si 728 surfaces) formed by photoanodic oxidation to stabilize n-Si 729 photoanodes in 0.5 M  $H_2SO_4$  (pH = ~ 0) for 100 h at a 730 photocurrent density of 1 mA cm<sup>-2</sup>. Electron-beam-evaporated 731 Fe<sub>2</sub>O<sub>3</sub> has also been used to stabilize n-Si and showed an onset 732 of photoanodic current at ~0.4 V vs SCE (~1.3 V vs RHE) in 733 0.1 M KOH,<sup>83</sup> indicating a low photovoltage and almost no 734 power saved by the n-Si photoelectrode when coated with iron 735 oxide. Iron oxide coatings on n-Si photoanodes have been 736 further investigated in terms of structure<sup>84</sup> and performance in 737 contact with electrochemically reversible redox couples<sup>85</sup> as 738 well as in contact with alkaline media with<sup>86</sup> and with- 739 out<sup>83,87-89</sup> methanol. Jun et al.<sup>88</sup> used hematite ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>) 740 mixed with 4% Ti to cover an n-Si electrode surface and 741 observed a photoanodic current onset of ~1.1 V vs RHE in 1 M 742 NaOH (pH = 13.8). In this study, a potential shift of  $\sim -0.55$  743 V between an ITO electrode coated with iron oxide in the dark 744 relative to an n-Si photoanode coated with iron oxide in the 745 light can be inferred, indicating an appreciable photovoltage 746 produced by this type of stabilized Si photoanode.

MnO<sub>x</sub> coatings deposited by chemical-bath deposition, along 748 with an electrodeposited noble-metal layer, have shown 749 promise.<sup>90,91</sup> MnO<sub>x</sub>-coated GaAs and Si showed stable anodic 750 current for 80 min (at 11 mA cm<sup>-2</sup>) in 0.5 M NaOH and 60 751 min (at 1.2 mA cm<sup>-2</sup>) in 0.5 M K<sub>2</sub>SO<sub>4</sub>, respectively. In both 752 studies, electrodeposited noble metal layers of Pd or Pt were 753 used prior to the deposition of MnO<sub>x</sub>, making it unclear if the 754 noble metal played a role in protection. Strandwitz et al.<sup>68</sup> 755 showed that manganese oxide deposited using ALD stabilized 756 n-Si without noble metal layers when the electrodes were 757 contacted by the electrochemically reversible, one-electron 758 ferri-/ferrocyanide redox couple, and they also showed that the 759 protected n-Si photoanode oxidized hydroxide for ~30 min at 760 an average photocurrent density of 24 mA cm<sup>-2</sup> in 1 M 761 KOH(aq). A photovoltage of 0.45 V was observed for MnO<sub>x</sub>- 762 coated Si photoanodes, and the light-limited current density 763 indicates that the MnO<sub>x</sub> coating partially absorbs incident 764 illumination. It was hypothesized in this case that electro- 765 chemically induced porosity was ultimately responsible for 766 failure of the protective film.<sup>6</sup> 767

Other first-row transition metal oxides have stabilized 768 769 photoanodes under neutral and alkaline conditions. Recently, 770 NiO<sub>x1</sub> CoO<sub>x1</sub> and NiRuO<sub>x</sub> protective layers comprised of OER 771 catalysts have been used for this purpose.<sup>72,92-94</sup> Sol-gel 772 deposited  $NiO_x$  on n-Si showed 4 h of photoanodic water 773 oxidation in phosphate-buffered  $Na_2SO_4(aq)$  at an initial current density of 7 mA  $cm^{-2}$ , with the current density 774 decreasing to 1 mA cm<sup>-2</sup> over several days of continuous 775 operation (pH = 7.25).<sup>92</sup> Sputtered NiRuO<sub>x</sub> and ALD-grown 776  $CoO_r$  stabilized n-Si in 1 M KOH(aq) for 2 h (at 1 mA cm<sup>-2</sup>) 777 and for 24 h (at 10 mA cm<sup>-2</sup>), respectively. Chorkendorff and 778 co-workers recently reported that Fe-treated NiO thin films 779 with a Ti underlayer protected Si-based photoanode assemblies 780 for water oxidation for 300 h at 1.3 V vs RHE, although the 781 current declined continuously during the reported stability 782 test.<sup>95</sup> Sun et al. reported that sputtered NiO, films protected 783 784 heterojunction-Si (a-Si/c-Si), amorphous Si (a-Si:H), n-CdTe, and buried junction np<sup>+</sup>-InP for water oxidation for up to 1200 785 786 h in 1 M KOH, while the photoanodes were operated continuously at their light-limited current densities.<sup>96,97</sup> 787 Electrochemically induced porosity that is common in many 788 789 metal oxide coatings was not considered to be an issue for these 790 NiO, coatings. Chen et al.<sup>98</sup> investigated p-type NiCo<sub>2</sub>O<sub>4</sub> as a protection layer for Si. Inssitu Raman spectroscopy was used to 791 792 show that the metal oxide was structurally stable under electrochemical cycling. The photoelectrochemical perform-793 ance was similar to that of the NiO<sub>x</sub>-coated photoanodes 794 795 discussed above. Stability testing was conducted for 72 h, but 796 analysis of the electrolyte for corrosion products suggested a 797 much longer lifetime.

Oxide and Nitride Absorbers. Metal-oxide OER catalysts 798 799 have also been used to improve the stability of oxide, nitride, 800 and oxynitride light-absorbing materials under photoanodic 801 conditions and warrant comment here. The general expectation 802 is that nitride-based absorbers are more stable than other 803 nonoxides. However, oxides, nitrides, or oxynitrides are not 804 always stable and require cocatalysts or protection strategies to 805 improve their stability. Domen and co-workers showed that  $_{806}$  IrO<sub>2</sub> and CoO<sub>x</sub> particles could be sparsely deposited on TaON 807 by chemical-bath deposition or electrophoretic deposition, 808 respectively, and both types of TaON photoanodes exhibited 809 improved stability, 1–2 h in buffered 0.1 M Na<sub>2</sub>SO<sub>4</sub> electrolyte  $^{1}$  (pH = 6).<sup>99,100</sup> An onset potential of 0.63 V negative of the 811 water oxidation potential was observed from this 2.7 eV band 812 gap semiconductor. By forming a heterojunction, calcium 813 ferrite (p-CaFe<sub>2</sub>O<sub>4</sub>) modified TaON or BiVO<sub>4</sub> photoanode 814 showed improved performance for water splitting as compared 815 to the same material without a surface coating.<sup>101,102</sup> Li et al. 816 reported that a ferrihydrite (Fe<sub>5</sub>HO<sub>8</sub>·3H<sub>2</sub>O) layer protected a 817 Ta<sub>3</sub>N<sub>5</sub> photoanode against photocorrosion for >6 h, while the 818 bare Ta<sub>3</sub>N<sub>5</sub> only operated for several minutes under the same 819 conditions. The ferrihydrite was hypothesized to be a hole 820 storage layer, which captured and transmitted the photogenerated holes readily to the electrolyte or to the Co3O4 821  $^{822}$  catalyst.<sup>103</sup> BaTaO<sub>2</sub>N treated with preloaded CoO<sub>x</sub> particles 823 and postloaded with RhOx, along with a necking treatment that 824 involved impregnating a TaCl<sub>5</sub> solution followed by treatment 825 with H<sub>2</sub>, showed at least 1 h stability for water oxidation in pH 826 = 8 phosphate buffer.<sup>104</sup> Barium-doped tantalum nitride 827 nanorod photoanodes exhibited up to 1.5% solar energy-828 conversion efficiency with a faradaic efficiency of unity for 100 829 min at pH 13, when modified with cobalt phosphate as the  $_{830}$  catalyst.  $^{105}$  Similarly,  $Ta_3N_5$  was stabilized in  $\bar{1}$  M KOH for 2 h

using surface-impregnated  $\text{CoO}_x$  particles, and the electrode <sup>831</sup> showed an onset of oxygen evolution at 0.6 V vs RHE under <sup>832</sup> visible ( $\lambda > 420$  nm) illumination.<sup>106</sup> n-GaN showed improved <sup>833</sup> stability in 1 M NaOH for up to 100 h using a surface-located <sup>834</sup> NiO cocatalyst.<sup>107,108</sup>

The relatively narrow band gap metal oxide semiconductor, 836 BiVO<sub>4</sub>, is only stable anodically at pH =  $\sim$ 7 in contact with 837 electrochemically reversible redox couples. Consistently, BiVO4 838 only lasts for a few cyclic voltammetric scans in pH > 13 before 839 the material dissolves.<sup>109</sup> However, the photoanodic stability of 840 BiVO<sub>4</sub> can be improved by deposition of a cocatalyst of Co- 841 phosphate and FeOOH.<sup>110,111</sup> Lichterman et al.<sup>109</sup> showed that 842 ALD-grown  $CoO_r$  can improve the stability of BiVO<sub>4</sub> in 0.10 M 843 KOH (pH = 13) to >0.5 h, and Choi and co-workers<sup>112</sup>  $_{844}$ showed that a photoelectrodeposited FeOOH/NiOOH catalyst 845 layer stabilized BiVO<sub>4</sub> surfaces in phosphate buffer (pH = 7) for 846 ~50 h. Very recently, Domen<sup>113</sup> and co-workers found that 847 further deposition of an ultrathin p-type NiO layer by ALD on 848 the  $CoO_x/BiVO_4$  photoanode made by the particle transfer 849 method tripled the photocurrent density and rendered the 850 photoanode stable for at least 16 h at pH 7, with a light-limited 851 photocurrent density of 2.5 mA cm<sup>-2</sup>. The photocurrent onset 852 potential of BiVO<sub>4</sub> electrodes was 0.4 V vs RHE, a -0.83 V 853 shift from the formal potential for water oxidation from this 2.3 854 eV band gap material. In this work, the in situ formed NiOOH 855 together with CoO<sub>x</sub> were responsible for the improved water 856 oxidation activity and formed a buried junction between the p- 857 NiO and the n-BiVO<sub>4</sub>.<sup>113</sup> 858

3.2.2.3. Catalytically Inactive, Wide Band Gap Metal Oxide 859 Protective Layers. Catalytically inactive, chemically stable, wide 860 band gap metal oxides have been investigated as barrier layers 861 to stabilize photoanode surfaces, and such barrier layers may 862 obviate the drawback of electrochemically induced porosity. 863 Parsons and co-workers observed that the passivating behavior 864 of TiO<sub>2</sub>, ZnO, and Al<sub>2</sub>O<sub>3</sub> thin films led to reduced 865 photocorrosion of Si but was accompanied by a significant 866 reduction in hole conduction.<sup>114</sup> Often, an insulating or wide 867 band gap protection layer like TiO2 behaves as a barrier to 868 transport of photogenerated holes, due to the valence band 869 offset between TiO<sub>2</sub> and nonoxide semiconductors.<sup>115</sup> A clear 870 demonstration of the hole barrier introduced by wide band gap 871 oxides was shown by the decline in photocurrent on a  $TiO_2$  872 photoelectrode that accompanied the deposition of an 873 insulating MgO film.<sup>116</sup> In this case, the TiO<sub>2</sub> was the 874 semiconductor being covered by the MgO. Although the 875 TiO<sub>2</sub> did not require protection, the study produced a clear 876 demonstration of inhibited hole conduction. Within oxynitride- 877 based absorbers, a necking treatment consisting of TiCl<sub>4</sub> and 878 TaCl<sub>5</sub> impregnation, followed by annealing, resulted in the 879 formation of TiO<sub>2</sub> and Ta<sub>2</sub>O<sub>5</sub> between light absorber particles 880 and increased the photoelectrode stability and perform- 881 ance.<sup>104,117,118</sup>

One approach to transport holes through the blocking layer <sup>883</sup> is by tunneling or trap-assisted tunneling. Recently, this strategy <sup>884</sup> has been demonstrated using a 2 nm thick  $\text{TiO}_2$  film grown by <sup>885</sup> ALD with a thin Ir film overlayer that has stabilized n-Si <sup>886</sup> photoanodes for 8 h in 1.0 M H<sub>2</sub>SO<sub>4</sub>, phosphate buffer (pH = <sup>887</sup> 7), or 1 M NaOH.<sup>119,120</sup> Similarly, a ~1 nm ALD-grown TiO<sub>2</sub> <sup>888</sup> film was used to stabilize n-ZnO in 0.1 M KOH (pH = 13) for <sup>889</sup> 3 h of water oxidation.<sup>121</sup> In these cases, conduction through <sup>890</sup> the crystalline TiO<sub>2</sub> layer was attributed to hole tunneling, <sup>891</sup> which limits the film thickness to ~2 nm.<sup>69</sup>

Κ

Recent studies of ALD-grown TiO<sub>2</sub> protective films on Si 893 894 have shown effective hole transport even with TiO<sub>2</sub> layers that 895 are too thick to facilitate effective hole tunneling,<sup>120</sup> in support 896 of previous theoretical work suggesting this might be possible.<sup>4</sup>  $^{897}$  The amorphous  ${\rm TiO}_2$  coating was grown by ALD using 898 tetrakisdimethylamido titanium (TDMAT) and was found to 899 stabilize Si, GaAs, GaP, and CdTe in 1.0 M KOH(aq) for >100 900 h, with islands of NiO<sub>x</sub> as the OER cocatalyst.<sup>44,49</sup> Although 901 this TiO<sub>2</sub> film is chemically stable, identification of the 902 mechanism of hole conductivity requires further investigation. 903 This amorphous TiO<sub>2</sub> coating has also stabilized structured, 904 high-aspect-ratio light absorbers such as Si microwires for 905 >2200 h of continuous operation under water oxidation 906 conditions in 1.0 M KOH.<sup>122</sup> Such an amorphous TiO<sub>2</sub> 907 coating can also stabilize BiVO<sub>4</sub> but with a thickness of  $\sim 1$ 908 nm, where tunneling of photogenerated charge carriers is 909 possible.<sup>109</sup> In all of these studies, the TiO<sub>2</sub> was formed by the 910 ALD using TDMAT as a Ti precursor, which may be 911 responsible for the unique, "leaky" electronic behavior, possibly 912 due to nitrogen content from the amido-based precursor and/ 913 or Ti<sup>3+</sup>. Regardless of the chemical origins of such electronic 914 transport, such a scenario was proposed by Campet, and an 915 adapted band diagram is shown in Figure 6 that may explain the



**Figure 6.** Hole conduction through a thick (>2 nm) protective layer, adapted from Campet et al.<sup>48</sup> A "mini" band in the wide band gap protective layer obviates hole blockage that would otherwise occur due to a large mismatch in the energy levels of the valence band of the semiconductor and the oxide protection layer. Used with permission under Creative Commons Attribution License, Copyright 1989 Hindawi Publishing Group.

916 behavior of these TiO<sub>2</sub>-coated electrodes.<sup>48</sup> An alternative 917 explanation involves transport of electrons through TiO<sub>2</sub> which 918 essentially acts as an ohmic contact between Ti and a Pt catalyst 919 in an  $np^+$ -Ti-TiO<sub>2</sub>-Pt stack.<sup>123</sup> The TiO<sub>2</sub> in this study was 920 deposited using sputtering, and it was claimed that it did not 921 possess states that would yield a "leaky" TiO<sub>2</sub> layer. However, 922 this work also utilized a metal (5 nm Ti) intermediate layer 923 between the TiO<sub>2</sub> and Si absorber (rather than a direct  $TiO_2$ -Si contact) which functioned like a tunnel junction for 924 925 recombination of holes in the p<sup>+</sup> Si and electrons in TiO<sub>2</sub>. The Ti layer was said to have formed a high barrier height (yet 926 927 ohmic) contact to the  $p^+$  emitter and an ohmic contact to the TiO<sub>2</sub>. The authors also specifically studied the transport from 928 929 the p<sup>+</sup> Si emitter into a Ti Schottky contact as a function of the 930 emitter doping density.

3.2.3. Nitride, Phosphide, and Boride Protective Layers. Nitride-based compounds (e.g., GaN,  $TaN_x$ ) have generally shown better stability for PEC water oxidation than is obtained using Si, Ge, or compound arsenide semiconductors, <sup>124,125</sup> but 934 reports describing the use of nitrides as protective films are not 935 common. Boron phosphide (BP with 1:1 ratio of boron and 936 phosphorus) has been grown on n-Si and n-GaAs to increase 937 the photoanode stability but was initially only examined in 938 contact with electrochemically reversible aqueous couples.<sup>126</sup> 939 An n-type BP layer grown epitaxially by CVD methods using 940 BBr<sub>3</sub> and PBr<sub>3</sub> as precursors in a stream of dry H<sub>2</sub> as carrier gas 941 yielded improved photoanodic and cathodic stability on n-Si 942 and p-Si, respectively.<sup>127</sup> The BP-protected n-Si photoanode 943 showed a photoanodic current onset of 0.4 V vs SCE, and 944 neither light-limited photocurrent densities nor the extent of 945 stability under operation were reported. In contrast, the BP- 946 protected p-Si photocathode showed more than 1000 h of 947 continuous operation at a photocurrent density of 15 mA cm<sup>-2</sup>. 948 No quantitative yield of  $O_2$  and  $H_2$  was reported for either BP- 949 protected n-Si photoanodes or p-Si photocathodes. As is 950 further discussed below, enhanced stability could be due to 951 alloying of the B- or P-based components of the film with the 952 Si. 953

**3.3.** Protection of Photoanodes for Halide Splitting. 954 Table 2 presents reports of thin-layer protection for photo- 955 t2 anodes performing the oxidation of halides. These reactions 956 generally proceed more readily than oxygen evolution due to 957 more facile interfacial kinetics for the oxidative half-reactions of 958 the halides relative to water oxidation. In the early 1980s, Bard 959 and co-workers used noble metals to stabilize Si photoanodes 960 for halide oxidation. <sup>128</sup> A Pt-modified n-Si photoanode showed 961 a photovoltage of 0.40 V, which is consistent with a Schottky 962 barrier formed between Pt and n-Si. The light-limited 963 photocurrent density was 14 mA cm<sup>-2</sup> under 65 mW cm<sup>-2</sup> 964 of simulated solar illumination. Nakato et al.<sup>129</sup> attempted a 965 similar strategy using buried junction np<sup>+</sup>-Si photoanodes and 966 obtained a photovoltage of 550 mV and a photocurrent density 967 of 12 mA·cm<sup>-2</sup>. Islands of Pt particles were shown to protect n- 968 Si photoanodes in a 4.8 M HBr/0.03 M Br<sub>2</sub> electrolyte.<sup>130</sup>

In addition to metals and silicides, conductive oxide coatings 970 such as ITO, SnO<sub>2</sub>, Sb-doped SnO<sub>2</sub>, TiO<sub>2</sub>, Fe<sub>2</sub>O<sub>3</sub>, and WO<sub>3</sub> 971 have been shown to exhibit stable photoelectrochemical 972 behavior in conjunction with n-Si photoanodes under halide 973 oxidation conditions.<sup>76,131–134</sup> Both ion-beam sputtered ITO 974 on n-Si with a RuO<sub>2</sub> cocatalyst and CVD-grown SnO<sub>2</sub> on n-Si 975 with a Pt cocatalyst have shown 20 h of stability in either a Cl<sub>2</sub>/ 976 Cl<sup>-</sup> electrolyte (pH = 6.6) or in a I<sub>3</sub><sup>-</sup>/I<sup>-</sup> electrolyte (pH = 1.5), 977 respectively.<sup>76</sup> Yano et al.<sup>134</sup> reported that thin layers of 978 electron-beam evaporated TiO<sub>2</sub>, Fe<sub>2</sub>O<sub>3</sub>, or WO<sub>3</sub> stabilized np<sup>+</sup>- 979 Si junctions for iodide oxidation, and a TiO<sub>2</sub>-stabilized buried 980 junction np<sup>+</sup>-Si photoanode exhibited  $V_{oc}$  = 580 mV and 330 h 981 of stability for the oxidation of fuming HI.

Boron-alloyed Si surfaces also have shown stability for halide 983 oxidation. In one case, an np<sup>+</sup>-Si junction with a heavily B- 984 enriched surface was formed by drop casting a saturated 985 methanol solution of boron trioxide (BO<sub>3</sub>) onto Si surfaces, 986 followed by annealing at 1000 °C for 2 h in air.<sup>135</sup> During 987 oxidation of 57% fuming HI, such a boron-alloyed Si surface 988 showed 7 h of photoanodic stability at 15 mA cm<sup>-2</sup> with a  $V_{oc}$  = 989 300 mV. With a Pd catalyst overlayer, the PEC performance 990 improved to yield  $V_{oc}$  = 650 mV while providing 7 h of stability 991 at 32 mA cm<sup>-2</sup> of photoanodic current density. In this case, as 992 well as in the BP case described previously for OER and HER, 993 the well-known "etch-stop" mechanism could be responsible for 994 the stability of these layers. Boron-alloyed layers are commonly 995 exploited to produce etch stop layers during micromachin- 996

year	base SC	coating (thickness, nm) (a)	deposition method	electrolyte	Hq	stability, time (at J, $mA \text{ cm}^{-2}$ )	$E_{\text{onset}}$ vs ref (V)	$V_{\rm oc}~({ m mV})$	ref
1982	n-Si	CuPc (150)	coating, passivated electrode	0.2 M Nal/0.004 M I <sub>2</sub> / 0.5 M Na <sub>2</sub> SO <sub>4</sub>	ł	30 s (0.2)		ł	Leempoel <sup>147</sup>
1982	n-Si	CuPc (80)	coating, passivated electrode	1 M Nal/0.004 M I <sub>2</sub>	1	500 s (9)	-0.1 V vs SCE	:	Leempoel <sup>147</sup>
1982	n-Si	Surface doped n-Si (–)	drop-cast followed by diffusion	57% HI	1	7 h (15)	-0.25 V vs SCE	300	Nakato <sup>135</sup>
1982	n-Si	Surface doped n-Si (–)	drop-cast followed by diffusion	57% HI	;	7 h (32)	-0.6 V vs SCE	650	Nakato <sup>135</sup>
1983	n-Si	Pt silicide ( $\sim$ 4)	flash evaporation	1 M Nal, 0.1 M I <sub>2</sub>	1	:	-0.1 V vs SCE	400	Fan <sup>128</sup>
1983	n-Si	Pt silicide ( $\sim$ 4)	flash evaporation	0.5 M Na <sub>2</sub> SO <sub>4</sub> , 1 M NaBr	1	8 h (4)	+0.4 V vs SCE	;	Fan <sup>128</sup>
1983	n-Si	n-Si(Ir)(-)	flash evaporation	11 M LiCl	s	3 h (7)	+0.7 V vs SCE	:	Fan <sup>128</sup>
1983	n-Si	Ru0 <sub>2</sub> -modified n- Si(Ir) (~4)	flash evaporation	11 M LiCl	s	7 d (9)	+0.6–0.7 V vs SCE (estimated)	1	Fan <sup>128</sup>
1983	n-Si	ITO (~40)	ion beam sputtering	15 M LiCl/0.05 M Cl <sub>2</sub>	~6.6	20 h (14.3) in 5 M NaCl	+0.7 V vs SCE	160	Hodes, Thompson <sup>76,131</sup>
1983	n-Si	$SnO_2/Ru$ (80)	spray/CVD	3 M NaCl/sat. Cl <sub>2</sub> system	:	1		340	Decker <sup>132</sup>
1983	n-Si	$SnO_2$ (80)	spray/CVD	3 M NaCl/sat. Cl <sub>2</sub> system	;	:		470	Decker <sup>132</sup>
1983	n-Si	$SnO_2/Sb$ (80)	spray/CVD	3 M NaCl/sat. Cl <sub>2</sub> system	;	1		460	Decker <sup>132</sup>
1984	n-Si	Polyacetylene (–)	polymerization	0.5 M Lil, 10 mM $I_2$ in 11 M LiCl	ł	23 h (2.2)	-0.2 V vs SCE	400	Simon <sup>137</sup>
1985	np <sup>+</sup> -Si	Pt silicide (<5)	E-beam evaporation followed by annealing and HF etching before PEC	7.6 M HI/0.05 M I <sub>2</sub>	:	4500 h (12)	$-0.55 \text{ V} \text{ vs } \text{ I}_3^-/\text{I}^-$	550	Nakato <sup>129</sup>
1985	np <sup>+</sup> -Si	TiO <sub>2</sub> (3–20)	E-beam evaporation	7.6 M HI/0.03 $-0.05$ M I <sub>2</sub>	1	330 h (16)	-0.57 V vs SCE	580	Tsubomura <sup>134</sup>
1985	np <sup>+</sup> -Si	$Fe_2O_3$ (3–20)	E-beam evaporation	7.6 M HI/0.03 M I <sub>2</sub>	;	:	-0.53 V vs SCE	540	Tsubomura <sup>134</sup>
1985	np <sup>+</sup> -Si	WO <sub>3</sub> (3–20)	E-beam evaporation	7.6 M HI/0.03 M I <sub>2</sub>	;	1	-0.53 V vs SCE	540	Tsubomura <sup>134</sup>
1986	n-Si	$SnO_2$ (40–50)	CVD	$0.4 \text{ M KI}/0.00075 \text{ M I}_2$ , 0.5 M NaSO <sub>4</sub>	1.5	20 h (19 to 17)	1	549	Belanger <sup>133</sup>
1992	n-Si	Pt islands/SiO <sub>x</sub> (–)	vacuum deposition followed by alkali-etching	4.8 M HBr/0.03 M Br <sub>2</sub>	;			660-680	Nakato <sup>130</sup>
2004	n-Si	nanodot Pt (–)	electrodeposition	$8.6 \text{ M HBr} + 0.05 \text{ M Br}_2$	;	:	$-0.68$ V vs $I_3^{-}/I^{-}$	680	Takabayashi <sup>139</sup>
2004	n-Si	methyl-n-Si + Pt (-)	methylation and electrodeposition	$8.6 \text{ M HBr} + 0.05 \text{ M Br}_2$	;	3 h (24)		1	Takabayashi <sup>139</sup>
2004	n-Si	methyl-n-Si + Pt (–)	methylation and electrodeposition	7.6 M HI + 0.05 M I <sub>2</sub>	1	24 h implied by XPS data	$-0.55 \text{ V vs } \text{I}_3^-/\text{I}^-$	550	Takabayashi <sup>139</sup>
2013	n-Si	PEDOT:PSS (20)	spin coat	8.4 M HBr	0>	7 (10)	+0.3 V vs Pt (in 8.4 M HBr)	380	Mubeen <sup>138</sup>
2013	n-Si	PEDOT:PSS (20)	spin coat	Ш	~2	6 (7)	-0.3 V vs Pt (in pH2 HI)	380	Mubeen <sup>138</sup>

Table 2. Photoelectrosynthetic Systems for Halide Oxidation  $^{a}$ 

 $^a(\text{--})$  data not specified or parameter not reported.



**Figure 7.** State-of-the-art performance of thin-layer protected Si photoanodes. The light absorber/protective coating/catalyst compositions are (a) n-Si/2 nm TiO<sub>2</sub>/Ir. Reprinted by permission from Macmillan Publishers Ltd.: Nature Materials (ref 119), Copyright 2011. (b) n-Si or np<sup>+</sup>-Si/4–143 nm TiO<sub>2</sub>/Ni islands. Reproduced with permission from The American Association for the Advancement of Science, 2014 (ref 49). (c) np<sup>+</sup>-Si/Fe-treated 50 nm NiO. Reproduced with permission from the American Chemical Society (Ref 95). (d) np<sup>+</sup>-Si wires/94 nm TiO<sub>2</sub>/NiCrO<sub>x</sub>. Reproduced from ref 122 with permission from The Royal Society of Chemistry.



**Figure 8.** State-of-the-art stability of the corresponding thin-layer protected Si photoanodes in Figure 7. The corresponding photoanode structures are: (a) n-Si/2 nm TiO<sub>2</sub>/Ir. Reprinted by permission from Macmillan Publishers Ltd.: Nature Materials (ref 119), Copyright 2011. (b) n-Si or np<sup>+</sup>-Si/4–143 nm TiO<sub>2</sub>/Ni islands. Reproduced with permission from The American Association for the Advancement of Science, 2014 (ref 49). (c) np<sup>+</sup>-Si/Fe-treated 50 nm NiO. Reproduced with permission from the American Chemical Society (ref 95). (d) np<sup>+</sup>-Si wires/94 nm TiO<sub>2</sub>/NiCrO<sub>x</sub>. Reproduced from ref 122 with permission from The Royal Society of Chemistry. The black curve in (b) indicates the monitored illumination intensity as a function of time.

<sup>997</sup> ing,<sup>136</sup> and the high conductivity of these layers may also allow <sup>998</sup> for favorable electron-transfer properties and photoactive <sup>999</sup> barrier formation in water-splitting devices.

Various organic films also show promise for protection 1000 1001 against corrosion and catalysis for halide oxidation. Wrighton 1002 and co-workers<sup>137</sup> showed that polyacetylene-coated n-Si [n-1003 Si/(CH)<sub>x</sub>] stably oxidized  $I_3^{-}/I^{-}$  for 23 h at a photocurrent 1004 density of 2.2 mA cm<sup>-2</sup>. Similarly, McFarland and co-1005 workers<sup>138</sup> have shown that a PEDOT:PSS-coated n-Si 1006 photoanode can oxidize HBr and HI for 7 and 6 h, respectively. 1007 The photovoltage of such polymer/Si junctions was 380-400 1008 mV. However, the measured light-limited photocurrent density 1009 from PEDOT:PSS-coated n-Si photoelectrodes was 7-10 mA 1010 cm<sup>-2</sup> because PEDOT:PSS coatings strongly absorb incident 1011 illumination. A methylated n-Si(111) surface with electro-1012 deposited Pt islands showed a photovoltage of 550 mV in 1013 contact with  $I_3^{-}/I^{-}$  redox and showed a photoanodic stability of 1014 3 h at 24 mA cm<sup>-2</sup> in contact with the Br<sub>2</sub>/Br<sup>-</sup> redox couple.<sup>139</sup> 1015 In contrast, Pt-electrodeposited and hydrogen-terminated n-Si 1016 and bare hydrogen-terminated n-Si showed an immediate 1017 photocurrent drop within <1 h.

3.4. Summary and Perspectives of Photoanode 1018 1019 Protection. Figure 7 and Figure 8 display cyclic voltammetric 1020 and chronoamperometric data, respectively, for some of the 1021 best-performing demonstrations of protection via tunnel-oxide 1022 conduction, "leaky" defect-state conduction, and doped TCO 1023 conduction. Each of these strategies yields excellent perform-1024 ance in terms of photocurrent and photovoltage, as well as 1025 continued OER activity for periods of many hours. To date, 1026 various oxide coatings have resulted in both high performance 1027 and  $>10^2$  h of stability for protected photoanodes. The ALD-1028 grown amorphous TiO<sub>2</sub> strategy has exhibited the best 1029 protection to date for a variety of materials for the longest 1030 time periods at relevant current densities (~2200 h, ~5 mA  $1031 \text{ cm}^{-2}$ ), but it may not be the only or best choice as a protective 1032 coating. NiO, protective coatings have shown >1000 h stability 1033 consistently for self-passivating materials (e.g., Si and CdTe) 1034 with planar configuration. Therefore, one future direction in 1035 photoanode stabilization would focus on catalytically active 1036 oxides (NiO<sub>x</sub>, CoO<sub>x</sub>, and mixed NiFeO<sub>x</sub>), inactive metal oxides 1037 (ZnO,  $ZrO_{2}$ ,  $HfO_{2}$ , and  $BiO_{x}$ ), nitrides (GaN,  $TaN_{x}$ ), and 1038 carbides (TaC, TiC, and ZrC) that may be doped or 1039 engineered with defect states within the band gap so that 1040 they allow hole transport in an analogous fashion to amorphous 1041 ALD TiO<sub>2</sub>. The successful implementation of this approach in 1042 general will benefit from a systematic understanding of the 1043 origin and energetics of defect states and conduction 1044 mechanisms in these stable materials.

<sup>1045</sup> Second, the combination of catalytically active oxides and <sup>1046</sup> catalytically inactive barrier layers provides an approach to <sup>1047</sup> separate the functions of protection/conductivity (e.g.,  $\text{TiO}_x$ ) <sup>1048</sup> and catalysis (e.g., NiO(OH)) and represents an immediate <sup>1049</sup> engineering approach to obtaining additional improvements in <sup>1050</sup> the lifetime of stabilized photoanodes. This strategy may avoid <sup>1051</sup> issues related to electrochemically induced porosity in the <sup>1052</sup> protective film.

A few remaining gaps still exist for photoanode stabilization. 1054 n-Si has been stabilized for >1000 h in either alkaline or acidic 1055 electrolytes, but stability with high photovoltage and photo-1056 current without using a buried junction has not been reported. 1057 n-Si/TiO<sub>2</sub>/Ir configurations have shown 560 mV photo-1058 voltages,<sup>119</sup> whereas other combinations of n-Si and protective 1059 coatings produce photovoltages <450 mV. For GaAs, no strategies other than ALD-grown amorphous  $TiO_2$  have 1060 demonstrated stability, and the photovoltages of such systems 1061 need to be improved by interfacial engineering. For n-InP and 1062 n-CdTe, catalytically active coating strategies have been 1063 demonstrated, but interfacial engineering would be beneficial 1064 to improve the energy-conversion performance of such devices. 1065 For  $Ta_3N_5$ , stability has been improved to a few hours, but 1066 long-term stability requires further coating development. 1067

#### 4. PROTECTION OF PHOTOCATHODES

4.1. Overview. Although early experiments in the field of 1068 solar-driven water splitting emphasized the use of wide band 1069 gap, n-type oxide absorbers, p-type semiconducting materials 1070 can also facilitate solar-assisted hydrogen evolution, provided 1071 that the absorbers are coupled to suitable HER electro- 1072 catalysts.<sup>148</sup> The early demonstrations of PEC-based hydrogen 1073 evolution did not include explicit efforts to protect the 1074 semiconductor surface from corrosion because many photo- 1075 cathodes are relatively stable under such conditions. Some 1076 materials are in fact cathodically protected under illumination 1077 because they operate in the immunity region of the Pourbaix 1078 diagram, while others have extremely slow kinetics for 1079 reduction of the surface species and undergo minimal bulk 1080 reduction processes. Nevertheless, the use of thin-layer 1081 protective coatings can enhance the stability of certain 1082 materials; these coatings often eliminate the direct contact 1083 between the semiconductor surface and the aqueous electrolyte 1084 and therefore increase the complexity of the device structure 1085 and fabrication. 1086

Although the introduction of a protection layer generally 1087 forms a buried junction, which can lead to Fermi level pinning 1088 that is not present at the unprotected photocathode/liquid 1089 interface of concern, suitable application of surface layers can 1090 also beneficially increase the photovoltage as well as the long- 1091 term stability of some photocathode materials.<sup>149</sup> Most of the 1092 well-established TCO materials that are used ubiquitously as 1093 top contacts in conventional photovoltaic cells and many 1094 refractory metal oxides (e.g., TiO<sub>2</sub>) are n-type semiconductors, 1095 making them suitable to form highly rectifying and photoactive 1096 contacts with p-type absorbers (e.g., p-InP or p-Si). Many of 1097 these metal oxides are also stable under reducing conditions 1098 (e.g., TiO<sub>2</sub>; vide supra). Thus, several obvious and natural 1099 choices often exist for use as surface layers to protect a 1100 semiconductor photocathode of interest, when such protection 1101 is needed to obtain stable photoreduction behavior. 1102

In certain cases, p-type semiconductors in contact with 1103 aqueous electrolytes may spontaneously form protective surface 1104 layers. Alternatively, protective layers may form spontaneously 1105 when a p-type semiconductor is transiently exposed to 1106 oxidizing conditions. Depending on the semiconductor, these 1107 surface layers may be insulating, as with Si  $(SiO_2)$ , or may be n- 1108 type conducting, as in the case of  $InP (In_2O_3)$ . Moreover, the 1109 physical and electronic properties (crystallinity, defect density, 1110 conductivity, work function, etc.) are likely a function of the 1111 conditions under which the layer is formed. Hence, the 1112 implementation of a passivation/protection scheme for photo- 1113 cathodes is highly material-specific, and certain materials 1114 (particularly Si and InP) have been highly favored for the 1115 inherently desirable qualities of their "native" surface coatings 1116 under photocathodic conditions. Table 3 summarizes many of 1117 t3 the results for hydrogen-evolving photocathode materials that 1118 employ surface layers for stabilization and/or improved energy- 1119 conversion efficiencies. The content of Table 3 parallels that of 1120

orted stability $E(\text{onset})$ vs $y \text{ time } J (\text{mA cm}^{-2})$ RHE (V) ref	l hours" <1 –0.14 Kohl <sup>140</sup>	– 0.54 Heller and Vadimsky <sup>150</sup>	60 0.8 Heller <sup>151</sup>	– 1.6 Sakai <sup>152</sup>	– 2.0 Sakai <sup>152</sup>	$\sim 4$ 0.3 Maier <sup>153</sup>	35 – Khasalev <sup>154</sup>	0.7 0.46 Siripala <sup>155</sup>	10 0.1 Marsen <sup>156</sup>	9 0.5 at pH 9.5 Yokoyama <sup>157</sup>	9 0.1 Hou <sup>158</sup>	$0.2 \sim 0.5$ Lin <sup>159</sup>	1.5 0.4 Paracchino <sup>160</sup>	35 0.73 Lee <sup>161</sup>	12 0.33 Seger <sup>162</sup>	mage NR 0.2 Sun <sup>163</sup> ved	9.5 0.45 Warren <sup>51</sup>	$1 \sim 0.3$ Paracchino <sup>164</sup>	0.2 0.3 Rovelli <sup>165</sup>	0.3 –	10 0.33 Laursen <sup>166</sup>	3.5 0.6 (pH 9) Moriya <sup>167</sup>	0.07 0.36 Yang <sup>168</sup>	20 0.51 Seger <sup>169</sup>	11 0.93 Lin <sup>170</sup>	3 0.6 Zhang <sup>171</sup>	$0.05 \sim 0.5$ Bourgeteau <sup>172</sup>	$\begin{array}{llllllllllllllllllllllllllllllllllll$	$\begin{array}{llllllllllllllllllllllllllllllllllll$	$\begin{array}{llllllllllllllllllllllllllllllllllll$	$\begin{array}{llllllllllllllllllllllllllllllllllll$
repo stabilit	"several	1 week	s 24 h	I	I	90 q	10 h	I	4 h	16 h	1 h	20 min	10 h	4 h	1 h	1 h, dai obser	1 h	10 h	15 min	15 min	5 d	12 d	22 h	72 h	12 h	1 h	45 min	45 min 2.5 h	45 min 2.5 h 2.5 h	45 min 2.5 h 2.5 h 5 h	45 min 2.5 h 2.5 h 5 h 10 h
illumination	450 W Xe lamp	Sunlight 85 mW cm <sup>-2</sup>	100 W QTH, 2-3 sun	AM1, 100 mW $\text{cm}^{-2}$	AM1, 100 mW $\mathrm{cm}^{-2}$	W halogen 33 mW cm <sup>-2</sup>	W halogen	Xe lamp 70 mW cm <sup>-2</sup>	natural sunlight		AM1.5G, >635 nm	LED, 425–660 nm, 26 mW cm <sup>-2</sup>	AM1.5G	AM1.5	AM 1.5 >635 nm	AM1.S	W-halogen 100 mW cm <sup>-2</sup>	AM1.5	AM1.5	AM1.5	AM1.5 >635 nm	150 W Xe Lamp	Tungsten iodine >400 nm	AM1.5 >635 nm	AM1.5G	AM1.5G	Xe lamp >400 nm	Xe lamp >400 nm AM1.5	Xe lamp >400 nm AMI.5 AMI.5	Xe lamp >400 nm AM1.5 AM1.5	Xe lamp >400 nm AM1.5 AM1.5 AM1.5
solution pH	℃~	0		0	0	0	0~	varied	0	varied	0	6	5	0	0	7.2	4.5	S	7.0	0.6	0	~	1	0	4	℃~	0~	° ?	1 ~0	$\sim 0 \sim 1$	$\overset{\sim}{}_{0}\overset{\sim}{}_{0}\overset{\sim}{}_{1}$ 1 4
electrolyte	0.5 M Na <sub>2</sub> SO <sub>4</sub>	1 M HCl with 2 M KCl <sup>c</sup>	$4 \text{ M HClO}_4$	$0.5 \text{ M H}_2 \text{SO}_4$	$0.5 \text{ M H}_2\text{SO}_4$	1 M HCl	2 M HBr, 2 M NaClO4	0.1 M sodium acetate	$0.5 \text{ M H}_2 \text{SO}_4$	$0.1 \text{ M } \text{Na}_2 \text{SO}_4$	1 M HClO <sub>4</sub>	0.1 M Na <sub>2</sub> SO <sub>4</sub>	0.5 M Na <sub>2</sub> SO <sub>4</sub>	1 M HClO <sub>4</sub>	1 M HClO <sub>4</sub>	0.25 M Na <sub>2</sub> SO <sub>4</sub>	KHP	1 M acetate	0.5 M KP <sub>i</sub>	$0.1 \text{ M Na}_2 \text{SO}_4$	1 M HClO <sub>4</sub>	0.1 M NaP <sub>i</sub>	0.1 M H <sub>2</sub> SO <sub>4</sub>	$1 \text{ M HClO}_4$	0.5 M KHP	1 M Na <sub>2</sub> SO <sub>4</sub>	$0.5 \text{ M H}_2 \text{SO}_4$	0.5 M H <sub>2</sub> SO <sub>4</sub> 0.5 M H <sub>2</sub> SO <sub>4</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub> 0.5 M H <sub>2</sub> SO <sub>4</sub> 0.1 M H <sub>2</sub> SO <sub>4</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub> 0.5 M H <sub>2</sub> SO <sub>4</sub> 0.1 M H <sub>2</sub> SO <sub>4</sub> 0.1 M H <sub>2</sub> SO <sub>4</sub>	0.5 M H <sub>2</sub> SO <sub>4</sub> 0.5 M H <sub>2</sub> SO <sub>4</sub> 0.1 M H <sub>2</sub> SO <sub>4</sub> 0.1 M H <sub>2</sub> SO <sub>4</sub> 0.5 M Na <sub>2</sub> SO <sub>4</sub>
cocatalyst (nm)	none	Ru, Rh, Pt	Ru, Rh, Pt	Pt (1.5)	Pt (1.5)	Pt	Pt	(none)	(none)	Pt	$Mo_3S_4$	$NiO_x$	Pt	Ru	MoSx	Pt	Ni-Mo	Pt	Pt		$MoS_x$	Pt	(none)	Pt	Pt	(none)	$MoS_3$	MoS <sub>3</sub> Ti/Pt	MoS <sub>3</sub> Ti/Pt Pt MoS <sub>2+x</sub>	MoS <sub>3</sub> Ti/Pt Pt MoS <sub>2+x</sub>	MoS <sub>3</sub> Ti/Pt Pt MoS <sub>2+*</sub>
deposition method	CVD	acid etching	acid etching	E-beam evaporation	E-beam evaporation			sputtering		chemical bath	drop cast	spin coating	ALD	ALD	sputtering	solution growth		ALD	ALD		sputtering/ sulfidation	chemical bath deposition	thermal polycondenstation	sputtering	sputtering	decomposition of glucose		thermal oxidation	thermal oxidation ALD	thermal oxidation ALD	thermal oxidation ALD
protective film composition $(\text{thickness, nm})^b$	TiO <sub>2</sub> (not stated)	"thin surface oxide"	"thin surface oxide"	Pt (1.5 nm)	Pt (1.5 nm)	(none)	(none)	$TiO_{2}$ (100)	(none)	n-CdS	$\mathrm{Mo}_3\mathrm{S}_4$	$NiO_x$ (10)	$AZO/TiO_{2}$ (20/10)	$TiO_2 (2-5)$	Ti (9)	ZnO nanowires	(none)	AZO $(20)/TiO_2$ (20)	$AZO/TiO_2$		Mo/MoS <sub>2</sub>	CdS	$C_3N_4$	$Ti/TiO_2$ (5/100)	$TiO_2$ (80)	carbon (20)		SiO <sub>2</sub> (2)	SiO <sub>2</sub> (2) AZO (20)/TiO <sub>2</sub> (100)	SiO <sub>2</sub> (2) AZO (20)/TiO <sub>2</sub> (100)	SiO <sub>2</sub> (2) AZO (20)/TiO <sub>2</sub> (100)
photocathode	p-Si	p-InP	p-InP	two-junction nip a-Si	triple-junction a-Si/ crystalline-Si	p-Si	p-GaInP <sub>2</sub>	p-Cu <sub>2</sub> O	p-CuGaSe <sub>2</sub>	p-Cu(In,Ga)Se <sub>2</sub>	p-Si nanopillars	p-Cu <sub>2</sub> O nanowires	p-Cu <sub>2</sub> O	p-InP, nanotextured	n <sup>+</sup> p-Si	p-Si	n <sup>+</sup> p-Si	Cu <sub>2</sub> O	p-CZTS		n <sup>+</sup> p-Si	p-Si	CuInS <sub>2</sub>	n <sup>+</sup> p-Si	nip a-Si	p-Cu <sub>2</sub> O	P3HT/PCBM	P3HT/PCBM p-Si MIS	P3HT/PCBM p-Si MIS p-CuO	P3HT/PCBM p-Si MIS p-CuO	P3HT/PCBM p-Si MIS p-CuO
year	1977	1981	1982	1988	1988	1996	1999	2003	2008	2010	2011	2012	2012	2012	2012	2012	2012	2012	2013		2013	2013	2013	2013	2013	2013	2013	2013 2013	2013 2013 2014	2013 2013 2014	2013 2013 2014

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# Table 3. continued

year	photocathode	protective film composition $(\text{thickness, nm})^b$	deposition method	cocatalyst (nm)	electrolyte	solution pH	illumination	reported stability time	$f_{\rm max}^{\rm stability}$ J (mA cm <sup>-2</sup> )	E(onset) vs RHE (V)	ref
2014	n <sup>+</sup> p-Si	Mo/MoS <sub>2</sub>	sputtering/ sulfidation	$MoS_2$	0.5 M H <sub>2</sub> SO <sub>4</sub>	0~	AM1.5	100 h	17	0.35	Benck <sup>175</sup>
2014	p-Cu <sub>2</sub> O	AZO $(20)$ / TiO <sub>2</sub> (100)	ALD	$RuO_2$	0.5 M Na <sub>2</sub> SO <sub>4</sub> , 0.1 M NaP <sub>i</sub>	S	AM1.5	8 h	S	0.55	Tilley <sup>176</sup>
2014	nanoporous p-Si	$Al_2O_3$ (2)	ALD	Pt	$0.5 \text{ M H}_2 \text{SO}_4$	0~	AM1.5	12 h	30	< 0	Choi <sup>177</sup>
2014	textured n <sup>+</sup> p-Si	$F:SnO_2/TiO_2$	solution deposition	Ir	1 M HClO <sub>4</sub>	0~	AM1.5	$\sim$ 24 h	ю	-0.5	Kast <sup>178</sup>
2014	p-InP	$TiO_{2}$ (10)	ALD	Pt	1 M HClO <sub>4</sub>	0~	AM1.5	2 h	24	0.80	Lin <sup>179</sup>
2014	p-Si	Ti/Ni	E-beam evaporation	Ni	1 M KOH KB <sub>i</sub>	14	150 W Xe lamp	12 h	10	0.3	Feng <sup>180</sup>
						~9.5		12 h		0.25	
2015	multicrystalline n <sup>+</sup> p-Si	Al <sub>2</sub> O <sub>3</sub> (4.5)	ALD	Pt	$0.5 \text{ M K}_{2}\text{SO}_{4} + 0.5 \text{ M H}_{2}\text{SO}_{4}$	0~	AM1.5	100 h	27	-0.2-0	Fan <sup>181</sup>
2015	p-Si	SrTiO <sub>3</sub>	MBE	Pt	$0.5 \text{ M H}_2 \text{SO}_4$	0~	AM1.5	35 h	30	0.45	Ji <sup>182</sup>
<sup>a</sup> Illumii semicor	nation is simulated A	M1.5G unless noted. Table	is for photocathodes	that evolve	$\mathrm{H}_2$ and for which th	le stability	' time is reported. <sup>b</sup> Ou	termost layer is	the final layer	listed, and laye	r closest to the

Table 1, with the exception that the onset potential is reported 1121 directly relative to the RHE potential in the electrolyte of 1122 interest. Additionally, the following sections discuss stabiliza-1123 tion of hydrogen-evolving photocathodes. They are organized 1124 by absorber composition, rather than protective layer 1125 composition, beginning with Si, continuing with III–V 1126 materials, and concluding with other semiconducting photo-1127 cathodes. This organization deviates from the corresponding 1128 section on photoanodes (Section 3) because the formation of 1129 conductive oxides and cathodic protection make photocathode 1130 stability and performance highly dependent on the absorber. 1131

4.2. Protection of p-Si Photocathodes. According to 1132 early Pourbaix diagrams,<sup>26</sup> p-Si is not thermodynamically stable 1133 under aqueous conditions. However, a recently revised Si 1134 Pourbiax diagram<sup>32</sup> shows a region of stability under cathodic 1135 potentials. In alkaline media, Si rapidly oxidizes and dissolves 1136 via chemical etching, and the dissolution cannot be entirely 1137 arrested by electrochemical means. However, under neutral to 1138 acidic conditions, Si forms a passivating oxide that is stable 1139 toward dissolution, although the oxide can present a barrier to 1140 electron transfer. Thus, surface coatings can stabilize the HER 1141 performance of p-Si photocathodes by facilitating interfacial 1142 electron transfer and/or by preventing the formation of 1143 insulating Si oxides. Under negative applied potentials in acid, 1144 Si cannot be further reduced or corroded, but a layer of silicon 1145 oxide may be formed in the dark under resting conditions. In 1146 the following, we describe some examples of protection 1147 strategies developed for p-Si photocathodes. 1148

Since Si does not corrode appreciably under acidic 1149 conditions many groups have focused on the development of 1150 Si photocathodes with metallic cocatalysts to facilitate hydrogen 1151 evolution. Precious metal catalysts are widely used for 1152 improving the energy-conversion efficiency of Si photo- 1153 cathodes. These catalysts can reduce activation barriers for 1154 the HER and also directly mediate electron transfer from the Si 1155 absorber to the electrolyte, which mitigates the effects of the 1156 passivating oxide that can form under aqueous conditions on 1157 the bare Si surface. Work in the early 1980s on Si 1158 photocathodes showed that silicon coated with electro- 1159 deposited layers of noble metals could facilitate electrochemical 1160 hydrogen evolution in an energy-producing fashion.<sup>183</sup> Around 1161 the same time, Heller and co-workers studied the performance 1162 and stability of Pt coated p-Si photocathodes.<sup>184</sup> In 1996, Maier 1163 et al. elaborated on these results through careful control of 1164 catalyst deposition conditions and thicknesses and explored the 1165 activity and stability of such systems under a variety of 1166 conditions.<sup>153</sup> An optimal thickness of Pt deposited electro- 1167 chemically produced a buried Schottky junction to p-Si via 1168 silicide formation, facilitating charge separation. Onset 1169 potentials in the range of 300-500 mV vs RHE were observed, 1170 with larger photovoltages observed at higher pH (Figure 9), 1171 fg and the photocathodes were stable for 60 days of continuous 1172 operation under illumination in 1 M HCl(aq). 1173

"Black silicon," formed by metal-catalyzed electroless etching, 1174 has been reported to provide improved optical absorption 1175 compared to planar Si control samples. However, without a 1176 catalyst, the onset potential for cathodic photocurrent is still 1177 >100 mV negative of 0 V vs RHE.<sup>185</sup> Use of a Pt cocatalyst 1178 shifts the onset to 400 mV vs RHE but leads to a reduction in 1179 photocurrent density to 18 mA·cm<sup>-2</sup> consistent with parasitic 1180 light absorption by the Pt.<sup>186</sup> Direct Pt deposition via ALD has 1181 been performed on p-Si microwires, but the onset potentials are 1182 smaller than those observed for Pt deposited onto radial p-n<sup>+</sup> Si 1183



**Figure 9.** CV data from p-Si photocathodes with thin Pt layers. Illumination intensity is approximately 0.3 suns. Adapted from Maier, C.U.; Specht, M.; Bilger, G. Hydrogen evolution on platinum-coated p-silicon photocathodes. *Int. J. Hydrogen Energy* **1996**, *21* (10), 859 with permission from Elsevier.<sup>153</sup>

<sup>1184</sup> microwire arrays.<sup>187,188</sup> Warren et al. formed a buried radial p-<sup>1185</sup> n<sup>+</sup> junction in Si microwires and reported a 500 mV vs RHE <sup>1186</sup> onset for H<sub>2</sub> production using an earth-abundant Ni–Mo HER <sup>1187</sup> catalyst.<sup>51</sup> However, non-noble metal alloys such as Ni–Mo <sup>1188</sup> chemically corrode under acidic conditions, limiting the <sup>1189</sup> stability of these photocathodes.<sup>189</sup> Recent attempts to mitigate <sup>1190</sup> such corrosion have focused on the integration of acid-stable <sup>1191</sup> CoP electrocatalysts into n<sup>+</sup>-p Si microwire arrays, yielding an <sup>1192</sup> onset potential of 0.45 V vs RHE and 12 h of stability under <sup>1193</sup> simulated 100 mW cm<sup>-2</sup> illumination.<sup>52</sup>

<sup>1194</sup> MoS<sub>2</sub> has been used as an integrated HER catalyst on Si <sup>1195</sup> microwires<sup>158</sup> and on planar p-n<sup>+</sup> electrodes.<sup>166,175</sup> MoS<sub>2</sub> is a <sup>1196</sup> particularly interesting catalyst because it is anticipated to be <sup>1197</sup> less costly than noble-metal catalysts, and it is very stable under <sup>1198</sup> acidic conditions. Multiday stability has been reported for p-Si <sup>1199</sup> coated with MoS<sub>2</sub>, but the onset potential of 200 mV vs RHE <sup>1200</sup> and light-limited current densities of 10 mA cm<sup>-2</sup> are both less <sup>1201</sup> than the values typically observed with p-n<sup>+</sup> Si device structures <sup>1202</sup> in conjunction with precious metal HER catalysts.

In 1977, Bard and co-workers<sup>140</sup> coated p-Si (and p-GaAs, 1203 1204 see below) with TiO<sub>2</sub> grown by CVD. However, only small cathodic photocurrents were observed, and the behavior was 1205 1206 attributed to adverse band bending at the oxide/semiconductor interface forming a barrier for electrons to cross the solid/solid 1207 1208 interface. Recently, conducting oxides have been used on p-Si 1209 photocathodes. One common strategy is to use a photovoltaic 1210 p-n<sup>+</sup> junction that contains a TCO overlayer. Seger et al. used 1211 this approach and found that a 5 nm metallic Ti followed by a 1212 thick (>100 nm) layer of TiO<sub>2</sub> and a Pt cocatalyst on top of a Si 1213 p-n<sup>+</sup> homojunction allowed operation of the electrically isolated 1214 photovoltaic buried junction device for several weeks of 1215 continuous operation for the HER in 1 M HClO<sub>4</sub>(aq).<sup>169</sup> A 1216 520 mV onset potential vs RHE was observed, which is 1217 consistent with the use of a buried n<sup>+</sup>-p Si homojunction. 1218 Similar results in terms of onset potential (510 mV vs RHE) 1219 and stability were obtained using ALD-deposited TiO2.<sup>190</sup> This 1220 work shows that TiO<sub>2</sub> can facilitate transfer of electrons 1221 through conduction-band states. Hupp and co-workers also observed that TiO<sub>2</sub> allows for facile electron conduction that is 1222 1223 largely independent of the thickness of protective coatings.<sup>1</sup> Other oxides have been explored as coatings on p-type Si. 1224 1225 Choi and co-workers used ALD-grown Al<sub>2</sub>O<sub>3</sub> to coat p-Si.<sup>177</sup> 1226 The onset potential was negative of 0 V vs RHE, meaning 1227 hydrogen was not evolved in an energy-producing fashion. Sun 1228 et al. reported the use of planar and nanostructured n-ZnO 1229 overlayers on p-Si photocathodes.<sup>163</sup> Photocathodic currents

were observed with onset potentials near 0 V vs RHE, although 1230 significant degradation in performance was observed after 1 h of 1231 operation. A thin film of SrTiO<sub>3</sub> was recently epitaxially grown 1232 directly on Si(001) by molecular-beam epitaxy and then 1233 patterned with islands of metal HER catalysts. A maximum 1234 photocurrent density of 35 mA cm<sup>-2</sup>, an open-circuit voltage of 1235 450 mV, and stable performance for 35 h was observed in 0.5 1236 M  $H_2SO_4$ .<sup>182</sup> Spray-pyrolysis-deposited F:SnO<sub>2</sub>/TiO<sub>2</sub> over- 1237 layers have been integrated into p-n<sup>+</sup> Si-based photovoltaics, 1238 with ideal regenerative cell efficiencies of up to 10.9% albeit 1239 with substantial degradation in performance after 80 h of 1240 operation in 1 M KOH(aq).<sup>178</sup>

In an approach that combines aspects of oxide passivation 1242 and HER catalyst integration, Esposito et al.<sup>173</sup> formed a p-Si/ 1243 SiO<sub>2</sub>/bilayer metal (metal-insulator-semiconductor, MIS) 1244 with a tunneling oxide. An underlayer of Ti was used to 1245 induce a rectifying barrier to the p-Si, and an overlayer of Pt 1246 was used as the HER catalyst. An onset potential of 490 mV vs 1247 RHE was observed, although the observed fill factors were low. 1248 The photocathodes were stable for at least 2.5 h under 1249 galvanostatic conditions at 8 mA cm<sup>-2</sup>. Feng et al. also used a 1250 Ti underlayer to obtain a rectifying junction to p-Si 1251 photocathodes. Upon deposition of a thin (5 nm) overlayer 1252 of Ni, these researchers observed onset potentials ranging from 1253 250 to 300 mV in pH 9.5 potassium borate (KBi) buffer and 1 1254 M KOH(aq), respectively. 12 h stability tests suggested that the 1255 Ti underlayer did not protect the underlying Si in the strongly 1256 alkaline electrolyte, but greater stability was observed in the 1257 mildly alkaline borate buffer solution.<sup>180</sup>

Amorphous silicon has been investigated extensively as a 1259 light-absorbing component in solar-driven water splitting. A 1260 number of demonstrations of overall solar water splitting have 1261 used triple-junction amorphous Si solar cell stacks in 1262 combination with HER and OER catalysts.78,192 Often in 1263 these designs the a-Si surface is isolated from the electrolyte by 1264 a thick TCO layer or by a metal. Toor et al.<sup>193</sup> showed that 1265 micropixelation of a-Si photocathodes into 100  $\mu$ m square areas 1266 isolated with SiNx could double the lifetime under HER 1267 conditions in buffered pH 10 aqueous media. Lin et al.<sup>170</sup> 1268 demonstrated 12 h stability of an a-Si p-i-n structure via a 100 1269 nm sputtered TiO<sub>2</sub> layer and either Pt or Ni-Mo catalysts. 1270 Notably, onset potentials up to 930 mV vs RHE were observed 1271 for these amorphous-Si-based, single junction photocathodes 1272 under 1 Sun illumination. Sakai et al. reported that a 1.5 nm Pt 1273 layer can enable tandem-junction amorphous Si or triple- 1274 junction amorphous Si/crystalline Si tandem PV-biased PEC 1275 photocathodes to operate in 0.5 M  $H_2SO_4(aq)$ .<sup>152</sup> The 1276 reported photocurrent densities were 2.5-3 mA cm<sup>-2</sup>, partly 1277 due to the opacity of Pt overlayers. Yamada et al. also reported 1278 a two-junction n-i-p amorphous Si PV electrolysis cell that was 1279 fabricated in an inverted supercell setup (dark cathode) with 1280 the back sides protected by stainless sheets coated with a CoMo 1281 HER catalyst; in this configuration, 18 h of operation were 1282 reported at pH 13.194 1283

The Texas Instruments (TI) Corporation performed 1284 extensive work to protect Si light absorbers as part of their 1285 development of an HBr electrolysis device based on a serial 1286 connection of two Si p-n microspherical junctions.<sup>195–197</sup> 1287 Notably, the TI researchers deposited noble metal catalysts for 1288 hydrogen evolution directly on the surface of the Si absorber 1289 homojunctions, and a glass matrix protected the uncoated Si 1290 surfaces from direct contact. Thus, the only surfaces in direct 1291 contact with the electrolyte were the catalyst or the glass. The 1292 1293 long-term stability of the associated noble metal catalysts was 1294 assessed in detail, and the greatest source of corrosion was 1295 residual instability of the photocathode catalyst material under 1296 nonoperating (i.e., dark) conditions rather than the underlying 1297 semiconductor absorber layer.<sup>198</sup>

**4.3. Protection of III–V Photocathodes.** Like Si, most 1299 III–V materials (e.g., GaAs, GaP, InP) oxidize readily under 1300 aqueous conditions. However, the stability and electronic 1301 properties of the resulting oxides vary greatly with the 1302 composition of the semiconductor. In addition, at negative 1303 potentials, group III elements can be further reduced to their 1304 metallic form.<sup>199</sup> For example, metallic Ga or In can be formed 1305 from GaAs, GaP, or InP.

Heller et al. investigated p-InP photocathodes for the HER in 1306 1307 the early 1980s.<sup>151,200,201</sup> Onset potentials of >600 mV vs RHE 1308 and current densities of 25 mA cm<sup>-2</sup> under 80 mW cm<sup>-2</sup> 1309 simulated illumination were measured. The InP needed to be 1310 operated intermittently under anodic conditions to regrow a 1311 thin oxide layer that was presumed to be critical to the observed 1312 performance.<sup>200</sup> Work by Lewerenz and co-workers has 1313 clarified this picture<sup>202</sup> and has also delineated the types of 1314 surface oxides that are produced in situ in contact with different 1315 electrolytes.<sup>203</sup> Lee et al. found that high surface area InP 1316 structures formed by reactive ion etching (RIE) with 2-5 nm 1317 of ALD-deposited TiO<sub>2</sub> performed very well for hydrogen 1318 evolution. Photocurrent onset potentials of >600 mV vs RHE, 1319 current densities of 37 mA cm<sup>-2</sup> under 100 mW cm<sup>-2</sup> of 1320 simulated solar illumination, and ideal regenerative cell 1321 conversion efficiencies of over 13% were observed.<sup>161</sup> The 1322 favorable onset potential was attributed to the formation of a p-1323 InP/n-InO<sub>x</sub> heterojunction, which was further stabilized by the 1324 ALD-grown TiO2. The structured InP photoelectrodes showed 1325 negligible degradation over 4 h of operation, which the authors 1326 attributed to the TiO<sub>2</sub> coating as well as favorable bubble-1327 release properties of the structured surface. Lin et al. 1328 subsequently increased the onset potential for InP/TiO<sub>2</sub> 1329 photocathodes to over 800 mV vs RHE by manipulation of 1330 the chemistry at the semiconductor-oxide interface.<sup>177</sup>

Other III-V semiconductor photocathodes have not 1331 1332 exhibited performance or stability comparable to that observed 1333 for p-InP-based HER systems. Early work by Bard and co-1334 workers attempted to protect p-GaAs with TiO<sub>2</sub> grown by 1335 CVD. As in their parallel efforts with silicon, only small 1336 cathodic photocurrents were observed, which was attributed to 1337 unfavorable interfacial energetics.<sup>140</sup> In the case of GaP 1338 photocathodes, neither the growth of  $GaO_x$  layers nor the 1339 integration of a Pt HER catalyst yielded improvements in the 1340 hydrogen production behavior, and the onset potentials were 1341 <0.4 V vs RHE.<sup>199</sup> H<sub>2</sub> and O<sub>2</sub> have been produced from water 1342 without an external bias using a multijunction photovoltaic 1343 absorber that used a p-GaInP top layer in direct contact with an 1344 aqueous electrolyte.  $^{204}$  H<sub>2</sub> was directly evolved at the 1345 photocathode, and  $O_2$  was evolved at the dark anode of the 1346 cell which contained  $1 \text{ M H}_2\text{SO}_4$  as the electrolyte. The lifetime 1347 of this cell was limited by photocorrosion of the GaInP layer 1348 exposed to the acidic electrolyte.<sup>205,206</sup> A long-term passivation strategy for this material has not yet been demonstrated, 1350 although nitridation by ion bombardment was found to 1351 markedly improve the stability of GaP-based absorbers.<sup>2</sup>

1352 Similar to the Texas Instruments work on HBr electrolysis
1353 using Si absorbers, Khaselev and Turner reported the net
1354 electrolysis of HI and HBr using a multijunction photo1355 electrode consisting of a GaAs photovoltaic connected to a p-

GaInP overlayer through a tunnel junction. Using noble metal 1356 cocatalysts, the electrode assembly facilitated efficient un- 1357 assisted hydrogen halide splitting for 8–10 h even under optical 1358 concentration. 154 1359

4.4. Protection of Other Photocathodes. The chemical 1360 stability of several photocathodes other than Si and III-V 1361 materials has also been investigated. Cu<sub>2</sub>O is an interesting 1362 candidate for protection strategies because Cu<sub>2</sub>O is thermody- 1363 namically unstable under HER conditions and forms metallic 1364 Cu at the surface under illumination. Siripala et al. reported that 1365 a Cu<sub>2</sub>O/TiO<sub>2</sub> heterojunction structure, with the Cu<sub>2</sub>O grown 1366 electrochemically and the TiO2 grown by electron-beam 1367 evaporation and without explicit addition of a HER cocatalyst, 1368 was active and stable as a photocathode.<sup>155</sup> This system has also 1369 been investigated recently by Grätzel and co-workers. A bilayer 1370 AZO (Al-doped ZnO)/TiO<sub>2</sub> surface coating grown by ALD 1371 produced an onset potential of 0.4 V vs RHE. The use of Pt as a 1372 cocatalyst yielded photocurrent densities of  $\sim 8 \text{ mA cm}^{-2}$  at 0 V 1373 vs RHE.<sup>160</sup> The degradation over time was stated to be due to 1374 deactivation of the Pt catalyst as opposed to corrosion of the 1375 Cu<sub>2</sub>O.<sup>164</sup> RuO<sub>2</sub> appears to have a longer lifetime as an HER 1376 catalyst in this photocathode configuration, and subsequent 1377 work has reported half cell efficiencies of up to 6% with 96 h of 1378 continuous operation using a p-Cu<sub>2</sub>O/AZO/TiO<sub>2</sub>/RuO<sub>x</sub> 1379 structure.<sup>176</sup> 1380

Cu<sub>2</sub>O nanowires have also been protected during operation <sup>1381</sup> for the HER. Lin et al. reported that Cu<sub>2</sub>O nanowires grown by <sup>1382</sup> a chemical-bath method could be stabilized by deposition of <sup>1383</sup> spin-coated NiO.<sup>159</sup> Onset potentials >0.4 V vs RHE were <sup>1384</sup> reported but with relatively low light-limited current densities <sup>1385</sup> (~1 mA cm<sup>-2</sup>) and a Faradaic efficiency of 33%. p-CuO/ZnO <sup>1386</sup> nanowire heterostructures have also been used to generate H<sub>2</sub>, <sup>1387</sup> although at lower efficiency than has been obtained using <sup>1388</sup> Cu<sub>2</sub>O-based structures.<sup>208</sup>

P-type absorbers that are used in thin-film solar cells are also 1390 being investigated as photocathodes for solar-driven water 1391 splitting. Marsen et al. reported that p-CuGaSe<sub>2</sub> photocathodes 1392 produced photocurrent densities of up to 10 mA cm<sup>-2</sup> under 1 1393 sun illumination with no cocatalyst at pH 0.3, with 4 h of 1394 stability.<sup>156</sup> Domen and co-workers have employed a 1395 heterojunction approach using an n-CdS emitter, similar to 1396 the solar cell configuration for a p-CuGaSe<sub>2</sub> and p-Cu(In,Ga)- 1397 Se<sub>2</sub> (CIGS) absorbers.<sup>157,167</sup> With a Pt cocatalyst, stable 1398 photocurrents were observed for up to 10 days, with an 1399 onset potential of 0.7 V vs RHE. Modification of porous p-type 1400 CuInS<sub>2</sub> films with thin layers of Pt/TiO<sub>2</sub>/CdS significantly 1401 increased the cathodic photocurrent and onset potential 1402 through the formation of a p-n junction on the surface, 1403 whereas when the electrode was instead only modified with Pt/ 1404 CdS, the photoelectrode decayed rapidly due to the photo- 1405 corrosion of CdS.<sup>209</sup> The use of Pt/In<sub>2</sub>S<sub>3</sub> produced a similar 1406 enhancement effect on CuInS<sub>2</sub>.<sup>210</sup> The effective protection by 1407sulfide for these type of materials indicates the importance of 1408 interfacial structure and band alignment. Jacobsson et al. 1409 investigated CIGS/CdS/ZnO/Pt heterostructures and ob- 1410 served modest photocurrents. Onset potentials positive of 0 1411 V vs RHE were produced by isolating the solar cell and using a 1412 Pt electrode.<sup>211</sup> Rovelli et al. examined a CuZnSnS (CZTS)/ 1413 AZO/TiO2 structure, similar to the configuration discussed 1414 above for Cu<sub>2</sub>O.<sup>165</sup> The protected structure exhibited an onset 1415 potential of 360 mV vs RHE, 150 mV higher than an uncoated 1416 control sample, with 22 h of stability under acidic conditions. A 1417 C<sub>3</sub>N<sub>4</sub> film made by thermal polycondensation has been 1418 <sup>1419</sup> reported to act as a protective layer on CuInS<sub>2</sub>. C<sub>3</sub>N<sub>4</sub> is a <sup>1420</sup> polymeric semiconductor with a bandgap of about 2.7 eV, <sup>1421</sup> which has shown to be photocatalytically active for H<sub>2</sub> <sup>1422</sup> evolution.<sup>212</sup> The C<sub>3</sub>N<sub>4</sub>/CuInS<sub>2</sub> composite photocathode <sup>1423</sup> material generated a cathodic photocurrent at potentials up <sup>1424</sup> to +0.36 V vs RHE in 0.1 M H<sub>2</sub>SO<sub>4</sub>(aq), which corresponds to <sup>1425</sup> a 150 mV more positive onset potential of cathodic <sup>1426</sup> photocurrent than was observed for unmodified CuInS<sub>2</sub> <sup>1427</sup> semiconducting thin-film photocathodes. However, the photo-<sup>1428</sup> current was diminished compared to CuInS<sub>2</sub> without the <sup>1429</sup> C<sub>3</sub>N<sub>4</sub>.<sup>168</sup>

4.5. Summary and Perspective of Photocathode 1430 1431 Protection. For most of the photocathode materials discussed 1432 above, a structure consisting of a p-type absorbing layer, in 1433 conjunction with either a homojunction or heterojunction to 1434 provide an electron-selective contact, a conducting oxide layer, 1435 and an optically thin HER catalyst, produces good performance 1436 in terms of onset potential, current density, and stabil-1437 ity.<sup>51,151,161,164,169,200</sup> In the related field of solar photovoltaics, 1438 it is increasingly being recognized that reducing carrier 1439 recombination through minority-carrier selective interfaces is 1440 critical for obtaining the maximum efficiency from a given 1441 absorber material.<sup>213</sup> In the case of Si, this has led to 1442 development of thin oxide or larger band gap layers that 1443 enable minority-carrier conduction but also electronically 1444 separate the contact metal from the Si.<sup>214,215</sup> This approach 1445 can lead to substantial reductions in surface recombination and 1446 concomitant increases in open-circuit voltages. Given that many 1447 of the entries in Table 3 are for a semiconductor-oxide-metal 1448 combination, significant opportunities remain to optimize the 1449 oxide not only for corrosion protection but also for reduction <sup>1450</sup> of interfacial recombination.<sup>179</sup> These strategies can continue to 1451 be leveraged to stabilize photocathode materials having band 1452 gaps in the 1.1-1.7 eV range and to further improve the 1453 energy-conversion efficiency and stability of such materials, 1454 particularly using well-established light absorbers such as Si and 1455 thin-film chalcogenides. Further improvements in efficiency and 1456 stability should also be possible through functional improve-1457 ments, e.g., lowering catalyst overpotentials or engineering of 1458 light-trapping surfaces.

#### 5. OVERALL OUTLOOK AND CONCLUSIONS

1459 Chemical stability and protection are critically important in 1460 informing many aspects of solar-fuel systems, including device 1461 geometry, semiconductor/liquid junction performance, man-1462 agement of ion transport, and eventually manufacturing and 1463 system cost. Protective films can enable the use of 1464 technologically important, nonoxide photovoltaic materials in 1465 environments containing liquid electrolytes, for not only water 1466 splitting but also for photochemical processes such as 1467 hydrogen-halide electrolysis and electrochemical reduction of 1468 CO<sub>2</sub> to make commodity chemicals. This strategy also may 1469 enable the use of particle-based light absorbers for fuel 1470 production and environmental remediation. Development of 1471 protection strategies involving thin surface films has become a 1472 highly active research field since ca. 2011, and it is being 1473 pursued in tandem with the development of stable oxide 1474 semiconductor absorbers that have appropriate electronic 1475 properties and that might not require protective layers to 1476 exhibit oxidative stability in solar-driven water splitting (e.g., 1477 Fe<sub>2</sub>O<sub>3</sub>, BiVO<sub>3</sub>).

1478 As is readily apparent from Tables 1–3, enormous progress 1479 in the development of protective films has been made by recent research efforts. Of all of the protective film compositions, 1480 titanium oxide has emerged as a promising material for 1481 protection of both photocathodes and photoanodes. TiO<sub>2</sub> is 1482 highly stable and transparent, and appropriate conduction 1483 pathways are available for electrons via the conduction band 1484 (for photocathodes) and, in some cases, for holes via apparent 1485 in-gap defect states (for photoanodes). The development and 1486 study of TiO2 and similar oxides for protection will likely 1487 involve further study/development of the band and defect 1488 transport in these materials, as well the interfacial electronic 1489 properties of TiO2 with the nonoxide semiconductors. In the 1490 case of buried PV junctions, the interfacial electronic properties 1491 may be less important for functionality than in true PEC 1492 junctions, but the use of buried junctions increases the 1493 complexity and therefore the cost of the resulting devices. 1494 Thus, continued work on protection strategies for both PV and 1495 PEC systems is certainly warranted. In the following, we 1496 conclude with several recommendations for future directions 1497 and outlook for this research area. 1498

5.1. Demonstration Guidelines. Consistent experimental 1499 assessment of stability would aid in comparing the relative 1500 performance of different protective strategies. Guidelines have 1501 been suggested,<sup>216,217</sup> but a few key aspects are noted here. 1502 Specifically, the current density utilized for long-term stability 1503 experiments should be near what would be expected under 1504 operation for a potential device (between 10 and 20 mA cm<sup>-2</sup> 1505 and at, or near, the anticipated operating potential or maximum 1506 power point). Further, reference to RHE in addition to the 1507 employed reference electrode (if not RHE) greatly aids in rapid 1508 comparisons between studies. Measurement of the Faradaic 1509 efficiency of O<sub>2</sub> and H<sub>2</sub> gas evolution is also recommended to 1510 distinguish fuel formation from electrochemical corrosion.<sup>216</sup> 1511 Studies that assess decomposition products in the electrolyte 1512 solution<sup>98,218</sup> and/or that determine quantitatively the extent of 1513 mass loss of the electrode,<sup>95</sup> as opposed to simple monitoring 1514 of the photocurrent, can provide important insight into the 1515 relative faradaic yields of photoelectrosynthetic reactions vs 1516 corrosion of the electrodes. Standards reported by the U.S. 1517 Department of Energy indicate goals of stable PEC operation of 1518 5000 h by 2018 and recommend a minimum of 200 h for 1519 laboratory demonstrations.<sup>219</sup> The long-term stability of the 1520 other electrochemical components (frits, reference electrodes) 1521 must be carefully considered for long-term experiments 1522 especially because many studies involve strongly alkaline 1523 electrolytes, which slowly etch glass components. Key figures 1524 of merit to be measured are the voltage at maximum power 1525 point and the length of time that the photoelectrode can 1526 operate at this maximum power point. 1527

**5.2. Protected Photoelectrodes vs Buried Junctions** 1528 with Protection. The use of buried junctions from photo-1529 voltaic devices, with subsequent protection, is both concep-1530 tually and practically different from the use of photoelectrodes 1531 that do not contain a buried PV junction prior to protection. 1532 First, the processing to form buried junctions in photovoltaic 1533 devices is more complicated than the simple growth of the light 1534 absorber itself. For some materials, no processes have been 1535 developed to form high figure of merit p-n or heterojunction 1536 formation (e.g., Cu<sub>2</sub>O). Once the buried junction is formed, 1537 e.g., in planar np<sup>+</sup>-Si solar cells, the resulting device can be 1538 readily wired to an electrolyzer or to a membrane-electrode-1539 assembly device, instead of being protected and used as a 1540 "cosmetically integrated" PEC device. In some cases, formation 1541 of electrical contacts is very difficult in practice, such as with 1542 1543 arrays of Si microwires,<sup>220</sup> even if buried junctions are already 1544 formed. In this case, the wire morphology is beneficial for 1545 making PEC devices instead of PV devices since the liquid 1546 contact is inherently conformal. Further, diffusion doping of 1547 polycrystalline materials can be highly detrimental to grain 1548 boundaries (e.g., majority carrier shunting) and represents 1549 another possible advantage of the PEC-based junction. The 1550 option of protecting photoelectrodes without forming buried 1551 junctions is thus preferred to making a buried junction, 1552 provided high figure-of-merit junctions can be produced. In 1553 fact, there have been ample examples for high performance in 1554 polycrystalline PEC devices.<sup>18</sup>

5.3. Performance Comparisons. Materials systems such 1555 1556 as crystalline-Si, amorphous-Si, III–Vs (GaAs, GaP, and InP), 1557 II-VIs (CdTe and CdSe), and CIGS can form high-quality p-1558 n homojunctions or heterjunctions, so high photovoltages can 1559 be obtained from protected photoelectrodes. Materials systems 1560 like BaTaO<sub>2</sub>N, BiVO<sub>4</sub>, and Ta<sub>3</sub>N<sub>5</sub> have not been demonstrated 1561 to produce high photovoltages in homojunction, heterojunc-1562 tion, or aqueous liquid-junction systems. We note that low 1563 minority-carrier diffusion lengths and other recombination 1564 pathways could also be responsible for the low  $V_{oc}$  values for 1565 the PEC junctions studied. Furthermore, materials like Si can 1566 form buried metal-semiconductor (MS) or metal-insulator-1567 semiconductor (MIS) junctions with thin-metal layer protection, and their performance should be characteristic of the 1568 1569 solid-state junction that is formed. Hence, the various possible 1570 device configurations involved in photoelectrode stabilization 1571 should be considered when evaluating the performance of the 1572 different types of system. For example, 600 mV  $V_{\rm oc}$  is routine 1573 for a buried Si homojunction and has been reported repeatedly 1574 for various protection strategies with np<sup>+</sup>-Si or pn<sup>+</sup>-Si junctions, 1575 whereas 550 mV would be an excellent photovoltage for a 1576 protection layer on only a Si absorber. Alternatively, no Si-1577 based MS junctions have been demonstrated to produce such 1578 high a photovoltage, but 550 mV photovoltages from an Ir/ 1579 TiO<sub>2</sub>/n-Si MIS structure<sup>119</sup> constitute excellent performance 1580 from such systems.

5.4. Performance Enhancement. Enhancement of 1581 1582 performance may take the form of increases in the photo-1583 electrosynthetic figures of merit (e.g., photovoltage, photocurrent) as well as increases in longevity/robustness of the 1584 electrodes. In the case of PV junctions, the protective film must 1585 1586 simply allow for light transmission and electron/hole transmission (i.e., not present a resistive barrier). However, in the 1587 1588 PEC case, favorable band bending and carrier selectivity must be present with the protective film to establish the requisite 1589 charge-transfer asymmetry. PEC or adaptive<sup>61,62</sup> junctions 1590 warrant detailed investigation both theoretically and exper-1591 1592 imentally because the interfacial structure/chemistry and the 1593 catalyst properties can largely dictate the overall photoelectrosynthetic behavior. 1594

1595 **5.5.** New Directions. Several productive activities may 1596 advance photoelectrode stability beyond the current state-of-1597 the-art. For example, several classes of prospective protection 1598 materials have not been thoroughly explored, such as metal 1599 carbides, metal nitrides, and 2-dimensional (2-D) materials. 1600 Metal carbides and nitrides are often known for their 1601 mechanical hardness, as well as their chemical stability. The 1602 explosion of interest in 2-D materials, such as graphene,  $MoS_2$ , 1603 and hexagonal boron nitride (h-BN), may provide a wide 1604 variety of compositions to examine for use in conjunction with 1605 semiconducting electrodes. Indeed,  $MoS_2$  films have already been used in a predominantly catalytic role for hydrogen- 1606 evolving photocathodes. The study of 2-D materials for 1607 stabilization of semiconductor electrodes may yield valuable 1608 information about the interaction between these materials, such 1609 as how junctions form, based on the electronic and electro- 1610 chemical properties of the 2-D layers.<sup>221</sup> On the basis of these 1611 and other new directions, development of novel materials and 1612 understanding of the physical processes and corrosion behavior 1613 of semiconductor electrodes is needed to enable robust 1614 semiconductor-based solar fuel generators. 1615

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#### The Journal of Physical Chemistry C

1638 Innovation Hub in Fuels from Sunlight. Professor Lewis is Principal 1639 Investigator of the Beckman Institute Molecular Materials Resource 1640 Center. His research interests include artificial photosynthesis and 1641 electronic noses. Nate continues to study ways to harness sunlight and 1642 generate chemical fuel by splitting water to generate hydrogen. He is 1643 developing the electronic nose, which consists of chemically sensitive 1644 conducting polymer film capable of detecting and quantifying a broad 1645 variety of analytes. Technical details focus on light-induced electron 1646 transfer reactions, both at surfaces and in transition metal complexes, 1647 surface chemistry and photochemistry of semiconductor/liquid 1648 interfaces, novel uses of conducting organic polymers and polymer/ 1649 conductor composites, and development of sensor arrays that use 1650 pattern recognition algorithms to identify odorants, mimicking the 1651 mammalian olfaction process.



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**Dr. James McKone** received a BA in Chemistry and Music from Saint 1673 Olaf College in 2008 and a Ph.D. in chemistry from the California 1674 Institute of Technology in 2013, where he developed materials and 1675 methods for solar-driven water splitting with Harry Gray and Nate 1676 Lewis. Currently a postdoctoral researcher at Cornell University, 1677 James has studied materials for solar energy conversion and large-scale 1678 batteries with Héctor Abruña and Francis DiSalvo. His current 1679 research continues to focus on fundamental and applied studies of 1680 electrochemical energy conversion and storage. 1681



**Professor Nicholas Strandwitz** completed his B.S. in Engineering 1682 Science at The Pennsylvania State University in 2004. He earned his 1683 Ph.D. from the Materials Department at University of California Santa 1684 Barbara with Professor Galen D. Stucky. After conducting postdoctoral 1685 work at California Institute of Technology working with Professor 1686 Nathan S. Lewis he joined the Materials Science and Engineering 1687 Department at Lehigh University in 2013. Dr. Strandwitz' current 1688 research interests include new methods and chemistries in atomic layer 1689 deposition and interfacial electronic properties of semiconductor 1690 surfaces and interfaces. 1691

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