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Differential vulnerability of CA1 vs CA3 pyramidal neurons after ischemia:
possible relationship to sources of Zn²⁺ accumulation and its entry into and
prolonged effects on mitochondria

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3 *Differential vulnerability of CA1 vs CA3 pyramidal neurons after ischemia: possible relationship to*
4 *sources of Zn²⁺ accumulation and its entry into and prolonged effects on mitochondria*

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38 interests.

46 **Abstract:** Excitotoxic mechanisms contribute to the degeneration of hippocampal pyramidal neurons
47 after recurrent seizures and brain ischemia. However, susceptibility differs, with CA1 neurons
48 preferentially degenerating after global ischemia, and CA3 neurons after limbic seizures. Whereas most
49 studies address contributions of excitotoxic Ca^{2+} entry, it is apparent that Zn^{2+} also contributes, reflecting
50 accumulation in neurons either **after synaptic release** and entry through post-synaptic channels or upon
51 mobilization from intracellular Zn^{2+} binding proteins like metallothionein-III (**MT-III**). **Using mouse**
52 **hippocampal slices** to study acute oxygen glucose deprivation (**OGD**) triggered neurodegeneration, we
53 find evidence for early contributions of excitotoxic Ca^{2+} and Zn^{2+} accumulation in both CA1 and CA3, as
54 indicated by the ability of Zn^{2+} chelators or Ca^{2+} entry blockers to delay pyramidal neuronal death in both
55 regions. However, using knockout animals (of MT-III and vesicular Zn^{2+} transporter, **ZnT3**) and channel
56 blockers revealed substantial differences in relevant Zn^{2+} sources, with critical contributions of pre-
57 synaptic release and its permeation through Ca^{2+} (and Zn^{2+}) permeable AMPA channels in CA3, and Zn^{2+}
58 mobilization from MT-III predominating in CA1. To assess consequences of the intracellular Zn^{2+}
59 accumulation, we employed OGD exposures slightly shorter than those causing acute neuronal death;
60 under these conditions, cytosolic Zn^{2+} rises persisted for 10-30 min after OGD, followed by recovery over
61 ~40-60 min. Furthermore, the recovery appeared to be accompanied by mitochondrial Zn^{2+} accumulation
62 (via the mitochondrial Ca^{2+} uniporter, **MCU**) in CA1 but not in CA3 neurons, that was markedly
63 diminished in MT-III knockouts, suggesting that it depended upon Zn^{2+} mobilization from this protein.

64 **Significance Statement:** The basis for the differential vulnerabilities of CA1 vs CA3 pyramidal neurons
65 is unclear. Present studies of events during and after acute OGD highlight a possible important
66 difference, with rapid synaptic entry of Ca^{2+} and Zn^{2+} contributing more in CA3, but with delayed and
67 long lasting accumulation of Zn^{2+} within mitochondria occurring in CA1 but not CA3 pyramidal neurons.
68 These data may be consistent with observations of prominent mitochondrial dysfunction as a critical early
69 event in the delayed degeneration of CA1 neurons after ischemia, and support a hypothesis that
70 mitochondrial Zn^{2+} accumulation in the early reperfusion period may be a critical and targetable upstream
71 event in the injury cascade.

72 **Introduction**

73 Hippocampal pyramidal neurons (HPNs) of the CA1 and CA3 domains are highly vulnerable to injury in
74 pathological conditions of prolonged or recurrent seizures, or after brain ischemia. However, their
75 patterns of vulnerability differ, likely reflecting differences in events leading to their degeneration. CA3
76 neurons are preferentially lost in response to limbic seizures occurring after kainic acid injection into the
77 amygdala (Ben-Ari et al., 1980a; Ben-Ari et al., 1980b; Tanaka et al., 1988). In contrast, delayed
78 selective degeneration of CA1 neurons is conspicuous after transient ischemia in humans (Zola-Morgan et
79 al., 1986; Petit et al., 1987) and rodents (Kirino, 1982; Ordy et al., 1993; Sugawara et al., 1999).

80 Excitotoxic mechanisms, caused by excessive glutamate release, have long been considered important
81 contributors to ischemic neurodegeneration. Most studies have focused upon the role of rapid Ca^{2+} entry
82 through NMDA type glutamate receptors. Indeed, glutamate triggered injury to cultured neurons is Ca^{2+}
83 dependent (Choi, 1987), and delayed sharp Ca^{2+} rises, occurring after the end of the glutamate exposures,
84 are indicative of cell death (Rothman and Olney, 1986; Siesjo, 1988; Randall and Thayer, 1992).
85 However, despite intense early interest, clinical efficacy of glutamate antagonists has been limited.

86 Further studies have highlighted contributions of another divalent cation, Zn^{2+} , which is present in the
87 brain at high levels, accumulates in hippocampal pyramidal neurons after ischemia or prolonged seizures,
88 and has been implicated in ischemic neurodegeneration (Frederickson et al., 1989; Tonder et al., 1990;
89 Koh et al., 1996; Yin et al., 2002; Calderone et al., 2004). **It is apparent that there are two distinct**
90 **sources of the Zn^{2+} that accumulates in neurons after ischemia or prolonged seizures. One**
91 **comprises presynaptic vesicular Zn^{2+} that is released and enters the postsynaptic neurons**
92 **(“translocation”), likely in large part through highly Ca^{2+} permeable AMPA (Ca-AMPA) channels**
93 **(which are also highly Zn^{2+} permeable) (Yin et al., 2002; Calderone et al., 2004; Noh et al., 2005).**
94 **In addition, Zn^{2+} can be released from cytosolic buffering proteins like metallothioneins (MTs)**
95 **already present in the neurons (Aizenman et al., 2000; Lee et al., 2000; Lee et al., 2003).**

96 Early effects of ischemia can be studied in brain slices subjected to oxygen–glucose deprivation (OGD),
97 a procedure that mimics some aspects of stroke. Hippocampal slice models have revealed Zn^{2+} rises to

98 begin shortly after OGD onset and to contribute to subsequent injury (Yin et al., 2002; Wei et al., 2004;
99 Stork and Li, 2006). To discriminate early effects of Zn^{2+} from those of Ca^{2+} , we simultaneously tracked
100 these ions in CA1 pyramidal neurons in acute hippocampal slices subjected to OGD. **We found Zn^{2+}**
101 **rises to precede and contribute to the induction of the terminal sharp Ca^{2+} rises** (“**Ca**
102 **deregulations**”), which were causatively linked to a loss of membrane integrity (Medvedeva et al., 2009).
103 The early Zn^{2+} rises resulted in mitochondrial accumulation (via the mitochondrial Ca^{2+} uniporter, **MCU**),
104 contributing to their dysfunction and reactive oxygen species (**ROS**) generation (Medvedeva and Weiss,
105 2014).

106 Present slice studies show that Zn^{2+} clearly contributes to acute OGD induced injury in both CA1 and
107 CA3 neurons, and further seek to examine differences in early events between these neuronal populations
108 that may bear upon their differential vulnerabilities. Our findings suggest that pre-synaptic Zn^{2+} release
109 and entry through Ca-AMPA channels dominates in CA3, whereas Zn^{2+} mobilization from MT-III is of
110 greater importance in CA1. Furthermore, when we carried out OGD exposures just short of those that
111 induce acute cell death, there was substantial ongoing Zn^{2+} accumulation in mitochondria of CA1 (but not
112 in CA3) neurons persisting for at least ~30 min after OGD. These findings support the hypothesis that
113 delayed mitochondrial Zn^{2+} accumulation might be a critical trigger of mitochondrial dysfunction and
114 selective degeneration of CA1 pyramidal neurons after transient ischemia. As the Zn^{2+} accumulation
115 progresses during the early post ischemic period, delivery of appropriate therapeutics during this period
116 may have potential to provide substantial benefit.

117

118 **Materials and Methods**

119 **Animals.** All procedures were performed according to a protocol approved by University of California
120 Irvine Animal Care and Use Committee. Efforts were made to minimize animal suffering and number of
121 mice used. Three strains of mice **of either sex** were used for experiments: wild type mice 129S6/SvEvTac
122 (Taconic Biosciences), **mice lacking metallothionein III (004649 - 129S7-Mt3^{tm1Rpa}/J**, Jackson
123 Laboratory) and **mice lacking vesicular Zn^{2+} transporter (005064 - B6;129-Slc30a3^{tm1Rpa}/J**,

124 Jackson Laboratory). The strain of origin for both of these knockouts is **129S7/SvEvBrd-Hprt<+>**.
125 We have characterized the occurrence of OGD induced Zn^{2+} rises and Ca^{2+} deregulation in each of these
126 knockout strains, and find that Zn^{2+} rises precede Ca^{2+} deregulation in both CA1 and CA3 in both of these
127 strains much as in the WT mice, with no evidence for any generalized differences in viability; the small
128 deviations noted from WT occur in opposite directions in CA1 and CA3 neurons, and, in all cases are
129 explainable based upon prior studies and expectations of the roles of the deleted peptides (MT-III or
130 ZnT3; data not shown). However, despite the reasonable match between these strains, we cannot rule out
131 the possibility of functionally significant differences and consequently only make statistical comparisons
132 between responses within the same strain.

133 ***Preparation of acute hippocampal slices.*** Hippocampal slices were prepared from ~4 week old mice as
134 previously described (Medvedeva, 2009). Mice were deeply anesthetized with isoflurane and decapitated,
135 and the brains rapidly removed and placed in ice-cold preparation solution containing (in mM): Sucrose
136 220, KCl 3, NaH_2PO_4 1.25, $MgSO_4$ 6, $NaHCO_3$ 26, $CaCl_2$ 0.2, Glucose 10 and ketamine 0.42 (pH 7.35,
137 310 mOsm, equilibrated with 95 % O_2 / 5% CO_2). Hippocampal slices (300 μ m) were cut with a
138 vibratome (Leica VT1200), and placed in artificial CSF (ACSF) containing (in mM): NaCl 126, KCl 3,
139 NaH_2PO_4 1.25, $MgSO_4$ 1, $NaHCO_3$ 26, $CaCl_2$ 2, Glucose 10 (pH 7.35, 310 mOsm, adjusted with sucrose
140 and equilibrated with 95% O_2 / 5% CO_2). After equilibration for 1 hour at $34\pm 0.5^\circ C$, slices were kept at
141 room temperature ($20-23^\circ C$) in oxygenated ACSF for at least 1 h before use.

142 ***Loading individual hippocampal neurons with fluorescent indicators.*** For recordings, slices were placed
143 in a flow-through chamber (RC-27L chamber with plastic slice anchor, Warner instruments) mounted on
144 the stage of an upright microscope (BX51WI Olympus, Japan) and perfused with oxygenated ACSF at 2
145 ml/min. Experiments were performed at $32\pm 0.5^\circ C$. Fura-FF, FluoZin-3 or AlexaFluor-488 were dissolved
146 in pipette solution (containing, in mM: 125 KGlucuronate, 10 KCl, 3 Mg-ATP, 1 $MgCl_2$, 10 HEPES, pH
147 7.25 with KOH, 290 mOsm with sucrose) at concentrations of 1, 1, and 0.25 mM respectively, and 1 μ l
148 placed in the tip of a micropipette (5-7 M Ω , borosilicate glass with filament), prior to backfilling with
149 pipette solution. Neurons were loaded with fluorescent indicators via patch pipettes by holding them in

150 the whole-cell configuration at -60 mV for 5 min, as previously described (Medvedeva et al., 2009).
151 During pipette withdrawal, cells were monitored to assess membrane leakage and Ca^{2+} levels; intact cells
152 were left to recover for 20 min before starting experiment.
153 **Fluorescent measurements.** For simultaneous measurements of intracellular Ca^{2+} and Zn^{2+} dynamics,
154 cells were co-loaded with a low affinity Ca^{2+} indicator (Fura-FF, $K_d \sim 5.5 \mu\text{M}$) and a high affinity Zn^{2+}
155 indicator FluoZin-3 ($K_d \sim 15 \text{ nM}$). To assess changes in membrane integrity, the ion insensitive fluorescent
156 compound, AlexaFluor-488 was co-loaded with Fura-FF. Fluorescence was alternately excited at 340(20),
157 380(20) 482(20) nm via a 40x water-immersion objective, using a Xenon light source (Sutter
158 Instruments), and emitted fluorescence collected at 532 (40) nm using a cooled CCD camera
159 (Hamamatsu, Japan) (All filters are bandpass with bandwidths indicated in parentheses.) Images were
160 acquired every 15 or 30 sec, background subtracted and analyzed using METAFLUOR 7.1 software
161 (Universal Imaging). Changes in Ca^{2+} values are presented as the ratio of background subtracted Fura-FF
162 emission intensities upon excitation at 340 and 380 nm (“340/380 ratio”), FluoZin-3 fluorescence changes
163 are presented as $\Delta F/F_0 = (F - F_0)/F_0$, and AlexaFluor-488 fluorescence changes as F/F_0 , where F is the
164 current fluorescence intensity and F_0 is the average background-subtracted baseline fluorescence during
165 the 10 min prior to OGD.

166 To assess changes in mitochondrial potential ($\Delta\Psi_m$) slices were bulk loaded with the $\Delta\Psi_m$ sensitive
167 indicator, Rhodamine 123 (Rhod123, 26 μM , 30 min, 20-23 °C). Rhod123 is positively charged and
168 accumulates in negatively charged mitochondria where its fluorescence is quenched. Upon mitochondrial
169 depolarization **it is released into the cytoplasm causing an increase in fluorescence** (Duchen et al.,
170 2003). Rhod123 was excited at 540(25) nm and emitted fluorescence collected at 605(55) nm. Images
171 were acquired every 15 s, and data presented as $\Delta F/F_0 = (F - F_0)/F_0$ where F is a current fluorescence
172 intensity and F_0 is the fluorescence intensity in the resting slice. Regions of interest were monitored in the
173 CA1 or CA3 pyramidal cell layers.

174 **Oxygen-glucose deprivation (OGD) in slices.** To simulate hypoxic-hypoglycemic conditions, ACSF was
175 changed to identical solution lacking glucose and bubbled with 95% N_2 / 5% CO_2 . In studies examining

176 acute OGD induced neurodegeneration, OGD was continued for at least 15 min, and maintained through
177 the time of the terminal Ca^{2+} deregulation. For sublethal OGD exposures, OGD was terminated (by
178 restoration of oxygenated ACSF) ~1 min after start of the Zn^{2+} rise in experiments where Zn^{2+} was
179 measured (typically occurring from 6-9 min), or, for the Rhod123 (Fig. 5) and confocal imaging (Fig. 7)
180 studies, after 8.5-9 min as indicated.

181 MK-801 (10 μM), Nimodipine (10 μM), 1-Naphthyl acetyl spermine (NASPM, 100 μM) and
182 N,N,N',N'-tetrakis(2-pyridylmethyl)ethane-1,2-diamine (TPEN, 40 μM) were applied 10 min prior to and
183 during OGD. Ruthenium Red (10 μM) and carbonyl cyanide-4-(trifluoromethoxy)phenylhydrazone
184 (FCCP, 2 μM) were applied after the termination of OGD as indicated.

185 ***Antibody labeling and confocal microscopy.*** Hippocampal slices (300 μm) were subjected to OGD as
186 described for 8.5 min, “perfused” in ACSF at 32°C for 1 hr, and immediately fixed with 4%
187 paraformaldehyde. **Thin (30 μm) sections were cut using a microtome cryostat** (ThermoFisher
188 Scientific, Waltham, MA) and stained with primary antibodies against the mitochondrial outer membrane
189 protein TOM20 (1:200, Santa Cruz Biotechnology, Santa Cruz, CA) and secondary anti-rabbit fluorescent
190 antibodies (1:200, DyLight™ 488, Jackson ImmunoResearch, West Grove, PA) . The sections were
191 imaged using an inverted stage Nikon Eclipse Ti chassis microscope with Yokogawa CSUX spinning
192 disk head, a 100x (1.49 n.a.) objective, and images acquired using a Hamamatsu electromultiplying CCD
193 camera. Excitation (488 nm) was via a Coherent Sapphire laser source synchronized with the camera,
194 emission monitored with a 525 (50) nm filter, and images were acquired using M^IcroManager
195 ImageAcquisition software (v1.4.16). **Brightfield images** were obtained using the same objective at the
196 same z-axis position immediately after acquiring fluorescent image.

197 For analysis of mitochondria size and shape (using ImageJ software) we selected large neurons in the
198 pyramidal cell layer. To control for differing behavior of mitochondria between distinct cell types and
199 cellular compartments, we chose to focus our studies on mitochondria surrounding the prominent nuclei
200 of pyramidal neurons, and selected fields for analysis in which mitochondria were clearly evident in
201 perinuclear regions, in the plane of sharp focus. Images were adjusted to provide optimal discrimination

202 of their apparent edges from background. In the perinuclear regions, mitochondria are aligned with their
203 long axes parallel to the nuclear membrane. Nuclear regions in which clearly demarcated mitochondria
204 were evident were cropped from images and saved with a code name for blinded measurements, and
205 measures (of long axes, parallel to, and short axes, perpendicular to nuclear membranes) obtained on all
206 clearly demarcated mitochondria adjacent to and surrounding the nuclear circumference. Mean
207 parameters were determined for mitochondria within each cell, the cell values were averaged to determine
208 the mean parameters within each independent slice, and presented values are means from 3-5 slices for
209 each condition.

210 **Reagents:** Fura-FF, FluorZin-3, Rhodamine 123 and AlexaFluor-488 hydrazide were obtained from
211 Invitrogen (Carlsbad, CA). MK-801 was obtained from Abcam (Cambridge, UK), N,N,N',N'-Tetrakis(2-
212 pyridylmethyl)ethylenediamine (TPEN), Ruthenium Red and ketamine were obtained from Sigma (St.
213 Louis, MO). Nimodipine was obtained from Miles Inc. (West Haven, CT). 1-Naphthyl acetyl spermine
214 (NASPM) was obtained from Tocris Bioscience (Bristol, UK). TOM20 antibodies were obtained from
215 Santa Cruz Biotechnology (Santa Cruz, CA; Cat # sc-11415, **RRID:AB_2207533**) and DyLight™ 488
216 antibodies were obtained from Jackson ImmunoResearch (West Grove, PA; Cat # 211-482-171). All
217 other reagents were purchased from Fisher Scientific.

218 **Statistics and data analysis.** The onset times of OGD-induced Zn^{2+} rises and of Ca^{2+} deregulations were
219 determined by finding intersections between the extrapolated baselines, with lines fitting the first
220 substantial FluoZin-3 fluorescence increases or Fura-FF ratio increases, as previously described
221 (Medvedeva et al., 2009). Differences between sets of data were assessed using two sample t-tests (Origin
222 9.1). In some studies we sought to determine whether the degree of protection provided by an
223 intervention (assessed as the mean interval between the time of Ca^{2+} deregulation in control and treatment
224 groups) or the interval between the Zn^{2+} rise and the Ca^{2+} deregulation differed between CA1 and CA3
225 neurons. For these assessments we used the ANOVA linear contrast test (STATA software). All
226 comparisons reflect sets of data substantially interleaved in time, and were based on 5–10 slices from ≥ 5

227 animals each condition (numbers of cells and slices are indicated for each experiment), and all values are
228 presented as means±SEM.

229 **Results**

230 *Ca²⁺ and Zn²⁺ contribute to OGD induced degeneration of both CA3 and CA1 pyramidal neurons*

231 In recent studies (Medvedeva et al., 2009; Medvedeva and Weiss, 2014), we examined Ca²⁺ and Zn²⁺
232 changes in hippocampal CA1 pyramidal neurons during acute OGD, and their respective contributions to
233 neuronal injury. When the slices were subjected to OGD, we found that cytosolic Zn²⁺ rises began within
234 several minutes and preceded very high cytosolic Ca²⁺ rises (termed “Ca²⁺ deregulation” events), which
235 were terminal events, as they were accompanied by a loss of membrane integrity. These Ca²⁺
236 deregulations were delayed by addition of the high affinity Zn²⁺ chelator, N,N,N',N'-Tetrakis(2-
237 pyridylmethyl)ethylenediamine (TPEN), indicating a contribution of the Zn²⁺ to the cell death cascade.

238 Since there are likely to be therapeutically significant differences in the sequence of events linking
239 ischemia to degeneration of CA1 vs CA3 neurons, we employed the same paradigm to examine
240 contribution of Zn²⁺ and Ca²⁺ in acute ischemic degeneration of CA3 neurons. As in our prior studies,
241 single neurons in acute slices were co-loaded with membrane impermeable forms of the high affinity Zn²⁺
242 indicator FluoZin-3 (K_d~ 15 nM) and a low affinity ratiometric Ca²⁺ indicator (Fura-FF; K_d ~ 5.5 μM)
243 via a patch pipette, and the slices subjected to OGD as described [(Medvedeva et al., 2009; Medvedeva
244 and Weiss, 2014); see Materials and Methods], while monitoring changes in cytosolic Zn²⁺ (as ΔF/F_o) and
245 Ca²⁺ (as 340/380 ratio). We found that as in CA1, Zn²⁺ rises preceded Ca²⁺ deregulation events in CA3
246 (**Fig. 1A,B**).

247 To confirm that the Ca²⁺ deregulations were terminal events in CA3, other neurons were co-loaded
248 with the low affinity Ca²⁺ indicator (Fura-FF) along with the ion insensitive fluorescent compound,
249 AlexaFluor-488. As in CA1 (Medvedeva et al., 2009), Ca²⁺ deregulation was always accompanied by the
250 onset of a dramatic loss of AlexaFluor-488 fluorescence in the absence of any recovery of the cytosolic
251 Ca²⁺, indicating a terminal loss of membrane integrity (Randall and Thayer, 1992; Vander Jagt et al.,
252 2008) (**Fig. 1A**). Furthermore, the Ca²⁺ deregulation in CA3 pyramidal neurons was delayed by Zn²⁺

253 chelation (with TPEN, 40 μ M) to a similar degree as in CA1 (**Fig. 1C**), indicating that Zn^{2+} contributes to
254 the onset of this terminal event in both subfields.

255

256 *“Excitotoxicity” contributes to OGD induced degeneration in both CA3 and CA1 pyramidal neurons*

257 Most studies of acute excitotoxicity have focused upon the contribution of rapid Ca^{2+} entry through
258 highly Ca^{2+} permeable NMDA receptor-gated channels. Ca^{2+} can also enter depolarized neurons via
259 voltage gated Ca^{2+} channels (VGCC). To assess the contribution of these Ca^{2+} entry routes to OGD
260 induced degeneration, we tested effects of the NMDA blocker MK-801 alone, or in the additional
261 presence of the VGCC blocker nimodipine (each at 10 μ M; added 10 min before start of OGD). Each of
262 these treatments delayed Ca^{2+} deregulation in both CA3 and CA1 neurons. Interestingly, each of these
263 treatments provided significantly greater protection in CA3 than in CA1, suggesting a greater acute
264 excitotoxic contribution to ischemic injury in CA3 (**Fig. 2**), possibly consistent with the greater
265 susceptibility of CA3 neurons to recurrent limbic seizures.

266

267 *Critical contribution of Zn^{2+} entry through Ca-AMPA to acute OGD injury in CA3*

268 AMPA-type glutamate receptors, which mediate most rapid excitatory neurotransmission, are
269 tetramers, comprised of combinations of four subunits (GluA1-4). Whereas most AMPA channels are
270 Ca^{2+} impermeable, those lacking the GluA2 subunit are Ca^{2+} permeable (Ca-AMPA channels) (Hollmann
271 et al., 1991; Verdoorn et al., 1991). While it was originally thought that pyramidal neurons express few
272 Ca-AMPA channels, it later became apparent that they are present at variable levels on many pyramidal
273 neurons, and may be preferentially found in dendritic membranes adjacent to sites of presynaptic
274 glutamate release (Lerma et al., 1994; Yin et al., 1999; Ogoshi and Weiss, 2003). In addition to being
275 Ca^{2+} permeable, these channels, unlike NMDA channels, are also highly permeable to Zn^{2+} (Yin and
276 Weiss, 1995; Sensi et al., 1999; Jia et al., 2002) and are likely important routes for entry of synaptically
277 released Zn^{2+} into post-synaptic neurons (Yin et al., 2002; Noh et al., 2005).

278 **To block these channels we used the selective Ca-AMPA channel blocker, 1-naphthyl acetyl**
279 **spermine (NASPM), a synthetic analog of joro spider toxin (Koike et al., 1997; Yin et al., 2002; Noh**
280 **et al., 2005). Whereas NASPM (100 μ M; added 10 min before start of OGD) significantly delayed**
281 **Ca²⁺ deregulation in CA3 pyramidal neurons,** it had no effect on the time of Ca²⁺ deregulation in CA1
282 (Fig. 3A). Yet, as Ca-AMPA channels are permeable to Ca²⁺ as well as Zn²⁺, we wondered whether
283 inhibition of Ca²⁺ or Zn²⁺ entry was the more important factor in the protective effects of NASPM in
284 CA3. To determine whether the protection provided by NASPM was due to specific blockade of Zn²⁺
285 entry through Ca-AMPA channels, we made use of the mice lacking the vesicular Zn²⁺ transporter, ZnT3,
286 which are completely lacking in presynaptic vesicular Zn²⁺ (Cole et al., 1999). In slices prepared from
287 ZnT3 knockout mice (**ZnT3 KOs**), OGD still triggered Zn²⁺ rises and subsequent Ca²⁺ deregulation (not
288 shown), much as we observed in WT's. However, the previously observed protective effects of NASPM,
289 as well as of the Zn²⁺ chelator, TPEN were entirely absent in CA3 neurons of the ZnT3 KOs, strongly
290 arguing that the beneficial effect of NASPM in slices prepared from WT mice was largely due to
291 antagonism of the passage of synaptically released Zn²⁺ through Ca-AMPA channels (Fig. 3B). In
292 contrast to the absence of protective effect of TPEN in ZnT3 KOs in CA3, TPEN was still substantially
293 protective in CA1, suggesting that the sources of the Zn²⁺ that contribute to acute OGD induced injury
294 differ between these hippocampal subzones.

295

296 ***Zn²⁺ mobilization from MT-III contributes to OGD induced degeneration of CA1 neurons***

297 Observations that Zn²⁺ is stored in pre-synaptic vesicles, undergoes activity dependent release, and that
298 loss of pre-synaptic Zn²⁺ occurs concomitantly with Zn²⁺ accumulation in degenerating post-synaptic
299 neurons after ischemia or prolonged seizures led to the expectation that Zn²⁺ “translocation” accounted
300 for the injurious Zn²⁺ accumulation noted to occur in these conditions (Frederickson, 1989; Frederickson
301 et al., 1989; Tonder et al., 1990; Koh et al., 1996). However, using ZnT3 KO mice, the surprising
302 observation was made that, rather than the expected decreases, Zn²⁺ accumulation and damage to CA1
303 pyramidal neurons were actually increased (Lee et al., 2000). A subsequent study using MT-III KOs as

304 well as double MT-III/ZnT3 KO mice suggested that much of the delayed Zn^{2+} accumulation seen after
305 prolonged seizures in CA1 pyramidal neurons of ZnT-3 KO mice was due to Zn^{2+} mobilization from MT-III
306 (Lee et al., 2003).

307 **To assess contributions of MT-III bound Zn^{2+} to acute OGD induced degeneration, we used slices**
308 **from MT-III KO mice. In these slices, OGD still triggered Zn^{2+} rises and subsequent Ca^{2+}**
309 **deregulation (not shown), much as in both WT's and ZnT3 KO mice.** Whereas Zn^{2+} chelation with TPEN
310 delayed Ca^{2+} deregulation in CA3 pyramidal neurons (much as in WT's; see Fig. 1), protective effects of
311 TPEN were absent in CA1 neurons of the MT-III KO mice (**Fig. 4A**). This strongly suggests that the Zn^{2+}
312 contribution to acute OGD induced degeneration of CA1 (but not CA3) neurons is mediated largely by
313 Zn^{2+} mobilization from MT-III. Despite the lack of substantial Zn^{2+} contribution to CA1 damage in these
314 mice, Ca^{2+} entry blockers had protective effects in the MT-III KO mice similar to those seen in WT slices in
315 both CA1 and CA3 (**Fig. 4B**).

316

317 ***Protracted mitochondrial Zn^{2+} accumulation after sublethal OGD in CA1 but not in CA3 neurons***

318 Whereas above studies highlighted distinct sources of the Zn^{2+} contributing to acute ischemic damage **in**
319 **CA1 vs CA3 pyramidal neurons**, we next sought to examine clues to possible differences in targets or
320 effects of the Zn^{2+} after the acute ischemic episode that might help to explain the differential
321 vulnerabilities of CA1 and CA3 neurons in disease conditions. To this aim we carried out OGD of
322 durations just short of those that induced acute Ca^{2+} deregulation and cell death, terminating OGD ~1 min
323 after the onset of the cytosolic Zn^{2+} rise (which generally occurred between 6 and 9 minutes), and
324 monitored cytosolic Ca^{2+} and Zn^{2+} for an additional 60-80 min. Under these conditions, cytosolic Zn^{2+}
325 rises persisted for ~10-30 min after OGD, followed by recovery over ~40-60 min.

326 There are a number of reasons to suspect that mitochondria are important targets for deleterious effects
327 of cytosolic Zn^{2+} accumulation (reviewed in the Discussion section, below). To assess mitochondrial
328 Zn^{2+} accumulation after sublethal OGD exposures, we used the mitochondrial uncoupler, FCCP (2 μ M, 5
329 min), which dissipates the proton gradient across the inner mitochondrial membrane, resulting in rapid

330 mitochondrial depolarization (**loss of $\Delta\Psi_m$**) and release of Zn^{2+} already present in mitochondria (Sensi et
331 al., 2000; Sensi et al., 2002; Medvedeva et al., 2009; Clausen et al., 2013). **Application of FCCP 55-60**
332 **min after the end of the OGD episode resulted in a sharp cytosolic Zn^{2+} rise in CA1 neurons,**
333 presumably due to the release of Zn^{2+} that had become sequestered in the mitochondria. However, Zn^{2+}
334 responses to identical delayed FCCP treatments were almost absent in CA3 (**Fig. 5A,B,C**). One possible
335 explanation for the absence of a cytosolic Zn^{2+} response to FCCP in CA3 could be that the mitochondria
336 were already fully depolarized at that time and could not sequester Zn^{2+} . To test this, we used the $\Delta\Psi_m$
337 sensitive indicator Rhodamine 123 (**Rhod123**) to compare $\Delta\Psi_m$ between CA1 and CA3; an increase in
338 fluorescence of this indicator is indicative of loss of $\Delta\Psi_m$. When added 50 min after the end of the OGD,
339 FCCP triggered similar sharp increases in Rhod123 fluorescence (as $\Delta F/F_0$) in both CA1 and CA3
340 neurons, indicating a similar magnitude of $\Delta\Psi_m$ at this time point (**Fig. 5D,E**). Thus, the paucity of
341 delayed mitochondrial Zn^{2+} uptake in CA3 does not appear to be explained by greater or more persistent
342 loss of $\Delta\Psi_m$ in these neurons.

343

344 ***Zn^{2+} accumulation in CA1 mitochondria during “reperfusion” reflects mobilization from MT-III***

345 **We next used the MCU blocker Ruthenium Red (RR)** (Moore, 1971; Medvedeva and Weiss, 2014) to
346 elicit clues as to the time frame during which Zn^{2+} accumulates in the CA1 mitochondria (**Fig. 6**). When
347 RR was applied for 15 min, starting 7-10 min after the end of the OGD (while cytosolic Zn^{2+} levels were
348 still markedly elevated), application of FCCP 30 min later failed to elicit a Zn^{2+} rise (Fig. 6A), supporting
349 the hypothesis that much of the Zn^{2+} may have entered the mitochondria during this period of elevated
350 cytosolic Zn^{2+} . As a test of this idea, we also examined the effect of RR application at a later time point
351 (**starting ~30-40 min after the end of the OGD**), when cytosolic Zn^{2+} rises had largely recovered. With
352 this treatment, subsequent FCCP (15 min after washout of the RR) did result in a large cytosolic Zn^{2+} rise
353 (Fig. 6B). The simplest explanation for this observation is that the RR treatment at this later time point
354 largely failed to prevent mitochondrial Zn^{2+} accumulation, as considerable Zn^{2+} had already entered the
355 mitochondria, remaining sequestered within them at the time of the FCCP exposure. Notably however,

356 this delayed RR application caused a small increase in cytosolic Zn^{2+} (**Fig. 6B**, arrow, evident in all 6
357 cells examined) supporting the idea that free Zn^{2+} accumulation in the cytosol and its uptake into
358 mitochondria was still ongoing at the indicated time (~40 min after OGD). Finally, to test the
359 contribution of Zn^{2+} mobilization from MT-III to this delayed mitochondrial Zn^{2+} accumulation, we
360 carried out an identical sublethal OGD exposure in slices from MT-III KOs. Interestingly, despite the
361 absence of MT-III, we still saw cytosolic Zn^{2+} rises that persisted for a period of 10-20 min after the end
362 of the OGD. However, upon delayed application of FCCP, cytosolic Zn^{2+} rises were considerably less
363 than in WT (Fig. 6C).

364

365 **Mitochondrial swelling after OGD in CA1 pyramidal neurons is attenuated by MCU blockade**

366 Finally, to examine a possible consequence of the mitochondrial Zn^{2+} uptake in CA1 we employed
367 confocal imaging to assess changes in mitochondrial morphology ~1 hour after sublethal OGD. Slices
368 were exposed either to sham wash in oxygenated media (as control) or to a sublethal (~8.5 min) episode
369 of OGD, either alone, or with RR applied 10 min after the end of the OGD for 15 min (**as in Fig. 6A,**
370 **above**). One hour after OGD, slices were fixed, and immunolabeled with antibody for the mitochondrial
371 outer membrane marker, TOM20. Slices were examined under confocal microscopy (1000x), and images
372 obtained in the CA1 pyramidal layer (**Fig. 7A**). For quantitative assessment, images were adjusted (using
373 Image J software) to optimally discriminate mitochondrial borders from background, and perinuclear
374 regions cropped from images and coded for blinded measurement of mitochondrial lengths and widths
375 (see Methods). We found that OGD caused a marked “rounding-up” of the mitochondria with substantial
376 decreases in their mean lengths, increases in their widths, and decreased length / width (L/W) ratios. We
377 further found that delayed application of RR attenuated this effect, yielding an intermediate L/W ratio
378 (**Fig. 7B**).

379

380 **Discussion**

381 *Distinct “pools” contribute to injurious hippocampal Zn^{2+} accumulation in vivo*

382 Despite high (>100 μM) levels of Zn^{2+} in the brain, under resting conditions it is almost all sequestered,
383 such that free levels are very low (generally <1 nM) (Frederickson, 1989). One major site of Zn^{2+}
384 sequestration is in synaptic vesicles, where it is stored via action of the vesicular Zn^{2+} transporter, ZnT3
385 (Cole et al., 1999), and undergoes activity dependent release (Assaf and Chung, 1984; Howell et al.,
386 1984). Observations of Zn^{2+} accumulation in injured and degenerating neurons after prolonged seizures
387 or ischemia (Frederickson et al., 1989; Tonder et al., 1990) led to the proposition that “ Zn^{2+} translocation”
388 across the synapse might contribute critically to the associated neurodegeneration. The link between Zn^{2+}
389 accumulation and neurodegeneration was markedly strengthened by observations that the extracellular
390 Zn^{2+} chelator, Ca-EDTA was highly protective in ischemia (Koh et al., 1996; Calderone et al., 2004).
391 Studies of Zn^{2+} entry routes indicated permeation through VGCC, and, with particular rapidity, through
392 Ca-AMPA channels (Weiss et al., 1993; Yin and Weiss, 1995; Sensi et al., 1999; Jia et al., 2002). Indeed,
393 Zn^{2+} entry through Ca-AMPA channels appears to contribute to ischemic injury both acutely and at
394 delayed time points after transient ischemia, after numbers of these channels have been upregulated (Yin
395 et al., 2002; Noh et al., 2005).

396 The generation of ZnT3 KO mice provided a model to directly examine the specific contribution of
397 synaptic Zn^{2+} release in neurodegeneration (Cole et al., 1999). Surprisingly, ZnT3 knockout actually
398 increased the delayed Zn^{2+} accumulation and neuronal injury occurring after prolonged kainate seizures in
399 CA1 neurons (while modestly decreasing them in CA3) (Lee et al., 2000). It subsequently became
400 apparent that another important Zn^{2+} pool is that which is bound to Zn^{2+} buffering proteins like
401 metallothioneins (MT-III being the primary isoform in neurons). Studies in neuronal culture revealed that
402 strong Zn^{2+} mobilization from these proteins in the absence of any extracellular Zn^{2+} entry, could trigger
403 Zn^{2+} dependent neuronal injury (Aizenman et al., 2000; Bossy-Wetzels et al., 2004), and also indicated
404 that, depending upon conditions, MT-III could either provide a source of Zn^{2+} that could injure neurons
405 upon mobilization, or a buffer that could provide protection from cytosolic Zn^{2+} loads (Malaiyandi et al.,
406 2004). The generation of MT-III KOs provided a model to directly examine its roles *in vivo* (Erickson et
407 al., 1997). Interestingly, MT-III appeared to act in both of these ways, with the knockout decreasing Zn^{2+}

408 accumulation and injury in CA1, while increasing injury in CA3 after prolonged kainate seizure,
409 consistent with it being a source of injurious Zn^{2+} in CA1, but buffering incoming Zn^{2+} loads to provide
410 protection in CA3 (Erickson et al., 1997; Lee et al., 2003). Present studies extend these *in vivo* studies, by
411 revealing distinct sources and dynamics of injurious Zn^{2+} accumulation in CA1 and CA3 neurons in acute
412 ischemic injury, with acute entry of synaptic Zn^{2+} via Ca-AMPA channels dominating in CA3, with rapid
413 and ongoing mobilization from MT-III appearing to dominate in CA1.

414

415 ***Mitochondria as critical targets of injurious Zn^{2+} effects***

416 Whereas past studies have highlighted a number of mechanisms through which Zn^{2+} mediates neurotoxic
417 effects, we and other have found Zn^{2+} to potently disrupt mitochondrial function *in vitro* (Weiss et al.,
418 2000; Dineley et al., 2003; Shuttleworth and Weiss, 2011), causing dose dependent mitochondrial
419 dysfunction that correlates well with the extent of injury evolving over the subsequent hours, leading us to
420 hypothesize that mitochondria may be a key locus of its neurotoxic effects. Zn^{2+} appears to enter
421 mitochondria through the MCU (Saris and Niva, 1994; Jiang et al., 2001; Malaiyandi et al., 2005;
422 Gazaryan et al., 2007; Medvedeva and Weiss, 2014), and to affect their function with far greater potency
423 than Ca^{2+} , causing mitochondrial depolarization, ROS generation, and potent induction of swelling,
424 probably due to activation of a large conductance channel, the mitochondrial permeability transition pore
425 (mPTP) (Wudarczyk et al., 1999; Jiang et al., 2001; Gazaryan et al., 2007).

426 Despite the high potency of its effects on isolated mitochondria, relatively high extracellular Zn^{2+}
427 exposures are needed to potently disrupt mitochondrial function in intact neurons under resting
428 conditions, raising questions as to the degree of mitochondrial dysfunction and injury likely to be
429 triggered by pre-synaptic Zn^{2+} release and its translocation into post-synaptic neurons (Pivovarova et al.,
430 2014). However, cytosolic buffering (by MT's or related peptides) is normally highly protective from
431 cytosolic Zn^{2+} loads, and under disease associated conditions of oxidative stress and/or acidosis, buffering
432 is impaired and mitochondrial Zn^{2+} uptake and disruption of function can occur with far lower levels, or
433 even in the absence of extracellular Zn^{2+} (Sensi et al., 2003; Clausen et al., 2013). Indeed, intracellular

434 mobilization and accumulation of endogenous Zn^{2+} can impact mitochondrial function both in neuronal
435 cultures (Sensi et al., 2003; Bossy-Wetzel et al., 2004), and in post ischemic hippocampus (Calderone et
436 al., 2004; Bonanni et al., 2006). Furthermore, our recent slice studies strongly support the idea that
437 specific entry of endogenous Zn^{2+} into mitochondria through the MCU contributes to ROS generation and
438 injury during acute OGD (Medvedeva and Weiss, 2014).

439 Notably, mitochondria seem to be critically involved in the delayed selective degeneration of CA1
440 pyramidal neurons after transient ischemia; these neurons show mitochondrial swelling with release of
441 cytochrome C into the cytosol beginning within hours of ischemia, prior to caspase-3 activation, and with
442 the appearance of TUNEL-positive cells and neurodegeneration with prominent DNA fragmentation
443 occurring over several days (Antonawich, 1999; Nakatsuka et al., 1999; Ouyang et al., 1999; Sugawara et
444 al., 1999). In addition, early treatment with either the Zn^{2+} chelator, Ca-EDTA (Calderone et al., 2004) or
445 the mPTP blocker, Cyclosporine A (CsA) (Nakatsuka et al., 1999) decreased delayed cytochrome C
446 release in CA1 neurons after ischemia, supporting contributory roles of Zn^{2+} and the mPTP to the
447 activation of this apoptotic pathway. In light of the potent effects of Zn^{2+} on mitochondria, we propose
448 that the protracted Zn^{2+} accumulation we find to occur selectively in mitochondria of CA1 neurons
449 represents a critical early and targetable event in the cascade of events culminating in the delayed
450 selective degeneration of CA1 neurons.

451

452 ***Summary and therapeutic implications***

453 Whereas *in vivo* studies have examined Zn^{2+} effects on outcome hours to days after the ischemic event, its
454 contributions to the initiation of death cascades have been relatively little studied. Present studies employ
455 an acute hippocampal slice model of ischemia, which provides the benefit of enabling real time high
456 resolution tracking of changes, to assess differences in Ca^{2+} and Zn^{2+} dependent excitotoxic triggering
457 events between CA1 and CA3 pyramidal neurons that may bear upon their differential susceptibilities.
458 Our findings suggest that rapid “excitotoxic” Ca^{2+} and Zn^{2+} entry may dominate in CA3, possibly
459 consistent with the particular susceptibility of these neurons after recurrent limbic seizures, which are

460 characterized by repetitive firing of Zn^{2+} rich mossy fiber terminals. However, in the case of a sublethal
461 insult, CA3 neurons may be better able to recover ionic homeostasis. In contrast to the dominant role of
462 acute Zn^{2+} translocation through Ca-AMPA channels in CA3, Zn^{2+} mobilization from MT-III appears to
463 dominate in CA1. Notably, mobilization from MT-III also appears to underlie ongoing Zn^{2+} accumulation
464 in CA1 mitochondria during the “reperfusion” phase, well after the end of sublethal episodes of OGD.
465 We suggest that this ongoing Zn^{2+} accumulation in CA1 mitochondria is integral to the delayed activation
466 of apoptotic injury in CA1, with sequential occurrence of ROS generation, mitochondrial swelling due to
467 mPTP activation, Cytochrome C release, and caspase activation contributing to delayed cell death.

468 Elucidation of these early excitotoxic events in CA1 vs CA3 pyramidal neurons may have therapeutic
469 implications. In the case of acute neuronal injury resulting from prolonged seizures or ischemia, anti-
470 excitotoxic interventions including specific block of Ca-AMPA channels may be of particular utility in
471 CA3. In contrast, while acute excitotoxic ion entry certainly contributes in CA1, interventions aiming to
472 attenuate Zn^{2+} accumulation in mitochondria (and downstream consequences thereof) may be of
473 particular value both acutely as well as after sublethal insults, to diminish delayed injury. Potential
474 interventions could include MCU blockers to attenuate delayed mitochondrial Zn^{2+} accumulation
475 (although, depending upon conditions, they also have potential to exacerbate cytosolic Ca^{2+} loading)
476 (Velasco and Tapia, 2000; Medvedeva and Weiss, 2014), antioxidants (which may attenuate oxidation
477 dependent Zn^{2+} release from MT-III), or mPTP blockers, like CsA that should attenuate downstream
478 mPTP activation (Uchino et al., 1998; Nakatsuka et al., 1999; Friberg and Wieloch, 2002). Indeed, in
479 light of the complexity of the events leading to delayed degeneration, it is likely that no single
480 intervention will be optimal, but that combinations of interventions, likely delivered in distinct temporal
481 phases of the injury cascade will provide the best outcome. We hope that further clarification of early
482 Zn^{2+} related events in CA1 vs CA3 pyramidal neurons in a model of ischemia will ultimately aid the
483 development of targeted treatments to diminish injury to these vulnerable neuronal populations after
484 ischemia or recurrent seizures.

485

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657 **Figure Legends**

658 **Figure 1. OGD evoked Zn^{2+} rises precede and contribute to Ca^{2+} deregulation in both CA3 and CA1**

659 **pyramidal neurons. A:** Relationship between intracellular Zn^{2+} and Ca^{2+} rises, and loss of membrane

660 integrity in individual CA3 neurons subjected to OGD. Pseudocolor fluorescent images (**top panels**)

661 show cells loaded with a low affinity ratiometric Ca^{2+} indicator (Fura-FF; 340/380 ratio images) along

662 with either a Zn^{2+} sensitive indicator (FluoZin-3, background subtracted emission intensity, arbitrary

663 units, AU; **Left**), or an ion insensitive fluorescent compound (Alexa Fluor-488, background subtracted

664 emission intensity, AU; **Right**) and subjected to 15 min OGD. Numbers indicate time (in min) after the

665 onset of OGD. Traces (**bottom panels**) show fluorescence changes in the same neurons. Note that the

666 Zn^{2+} rise precedes a sharp Ca^{2+} deregulation event (**Left**), and that the Ca^{2+} deregulation is accompanied

667 by a loss of the AlexaFluor-488 signal (**Right**, one cell representative of 4), indicative of loss of

668 membrane integrity.

669 **B.** Zn^{2+} rises precede the terminal Ca^{2+} deregulation in CA3 as well as CA1 pyramidal neurons:

670 Individual FluoZin-3 and Fura-FF loaded CA1 and CA3 neurons were subjected to OGD; traces (\pm SEM;

671 aligned for the onset of Ca^{2+} deregulation) show mean changes in somatic FluoZin-3 fluorescence ($\Delta F/F_0$;

672 **blue**) and Fura-FF ratio changes (**black**) (**CA3, Top:** Zn^{2+} rise 7.7 ± 0.6 min, Ca^{2+} rise 11.6 ± 0.6 min, $n=8$,

673 $p=5.1 \times 10^{-4}$; **CA1, Bottom:** Zn^{2+} rise 7.5 ± 0.5 min, Ca^{2+} rise 10.6 ± 0.5 min; $n=9$, $p=3.4 \times 10^{-4}$; The interval

674 from the Zn^{2+} rise to the Ca^{2+} deregulation was not different between CA1 and CA3; $p=0.452$, ANOVA

675 linear contrast).

676 **C.** Similar Zn^{2+} contributions to the occurrence of terminal Ca^{2+} deregulation in CA3 and CA1

677 pyramidal neurons: Hippocampal slices were subjected to OGD alone (**black**) or in the presence of the

678 Zn^{2+} chelator TPEN (40 μ M; **blue**). Traces (\pm SEM; aligned for the onset of Ca^{2+} deregulation) show

679 mean Fura-FF ratio changes (**CA3, Top:** control: 11.2 ± 0.7 min, $n=9$; TPEN: 14.4 ± 0.6 min, $n=6$,

680 $p=5.3 \times 10^{-3}$; **CA1, Bottom:** control: 10.6 ± 0.5 min, $n=9$; TPEN: 14.7 ± 0.7 min, $n=9$, $p=1.1 \times 10^{-4}$; TPEN

681 induced delay in Ca^{2+} deregulation was not different between CA3 and CA1, $p=0.62$, ANOVA linear

682 contrast).

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Figure 2. Greater contribution of acute NMDA and VGCC mediated excitotoxicity to OGD evoked Ca²⁺ deregulation in CA3 than CA1 pyramidal neurons. CA1 and CA3 neurons were loaded with FluoZin-3 and Fura-FF, and subjected to OGD alone (**black**), in the presence of the NMDA receptor antagonist, MK-801 (**MK, red**; 10 μM) or with both MK-801 and the VGCC blocker nimodipine (**MK/Nim, blue**; both at 10 μM); traces (±SEM; aligned for the onset of Ca²⁺ deregulation) show mean Fura-FF ratio changes. Each of these anti-excitotoxic interventions delays Ca²⁺ deregulation in both CA3 (**A**) and in CA1 (**B**) (**CA3**: control: 11.1±0.6, n=13; MK-801: 17.5±0.5, n=5, p=5.7x10⁻⁶; MK/Nim: 20.2±0.9 min, n=10, p=7.8x10⁻⁹ vs control for both treatments; **CA1**: control: 10.6±0.5 min, n=9; MK-801: 13.5±1.1 min, n=7, p=0.017; MK/Nim: 16.7±0.8 min, n=10, p=1.4x10⁻⁵ vs control. Notably, each of these interventions provides a greater degree of protection in CA3 than in CA1 (p=0.044 for MK801 alone and p=0.019 for MK/Nim by ANOVA linear contrast).

Figure 3. Contribution of synaptic Zn²⁺ release and its entry through Ca-AMPA channels to OGD evoked Ca²⁺ deregulation in CA3 pyramidal neurons. CA1 and CA3 neurons in slices from wild type mice (**A**) and ZnT3 KO mice (**B**) were loaded with Fura-FF and FluoZin-3 and subjected to OGD alone (**black**) or in the presence of TPEN (40 μM) or NASPM (100 μM) as indicated (**blue**). Traces (±SEM; aligned for the onset of Ca²⁺ deregulation) show mean Fura-FF ratio changes.

A. Ca-AMPA channel blockade substantially delays Ca²⁺ deregulation in CA3 (Left), with no effect on CA1 neurons (Right). (**CA3**: control: 11.5±0.7 min, n=7; NASPM: 18.1±1.2 min, n=5; p=6x10⁻⁴; **CA1**: control: 10.6±0.5 min, n=9; NASPM: 10.7±0.4 min, n=5; p=0.86)

B. In the absence of vesicular Zn²⁺ (in ZnT3 KO's), protective effects of TPEN and of NASPM on CA3 neurons are eliminated (but TPEN still protects in CA1). (**CA3**: control: 12.1±0.9 min, n=9; NASPM: 12.8±0.9 min, n=9, p=0.58; TPEN: 12.2±0.6 min, n=6, p=0.96; **CA1**: control: 8.4±0.8 min, n=6; TPEN: 11.5±1.0 min, n=7, p=0.037)

709 **Figure 4: MT-III deletion substantially eliminates the Zn²⁺ contribution to acute OGD induced**
710 **injury in CA1 (but not CA3) pyramidal neurons.** CA1 and CA3 neurons in slices from MT-III KO
711 mice were loaded with Fura-FF and FluoZin-3 and subjected to OGD alone (**black**), or in the presence
712 either of TPEN (40 μM) or MK-801+ nimodipine (MK/Nim, each at 10 μM) as indicated (**blue**). Traces
713 (±SEM; aligned for the onset of Ca²⁺ deregulation) show mean Fura-FF ratio changes.

714 **A.** *In the absence of MT-III, protective effects of TPEN persist in CA3 (Left) but are eliminated in CA1*
715 *(Right).* (CA3: control: 10.2±0.7 min, n=8, TPEN: 13.6±0.7 min, n=8, p=3.7×10⁻³; CA1: control:
716 11.6±0.7 min, n=9, TPEN: 12.0±0.8 min, n=7, p=0.76)

717 **B.** *In the absence of MT-III, NMDA and VGCC mediated excitotoxicity contributes substantially to OGD*
718 *evoked Ca²⁺ deregulation in both CA3 and CA1 pyramidal neurons.* (CA3: control: 10.2±0.7 min, n=8,
719 MK/Nim: 17.2±1.2 min, n=7, p=5.2×10⁻⁴; CA1: control: 13.0±0.7 min, n=9, MK/Nim: 20.4±0.7 min,
720 n=10, p= 3.1×10⁻⁷; MK/Nim induced delay in Ca²⁺ deregulation was not different between CA3 and CA1,
721 p=0.78, ANOVA linear contrast)

722

723 **Figure 5. Sublethal OGD evokes delayed mitochondrial Zn²⁺ accumulation in CA1 but not in CA3**

724 **pyramidal neurons. A,B,C:** Individual CA1 and CA3 neurons in slices from wild type mice were
725 loaded with Fura-FF and FluoZin-3, subjected to sublethal episodes of OGD (~7-10 min, OGD terminated
726 ~1 min after the initial cytosolic Zn²⁺ rise), and cytosolic Zn²⁺ (monitored as FluoZin-3 ΔF/F₀) followed
727 for an additional hour (**A:** without; or **B,C:** with delayed addition of FCCP, 2μM x 5 min, as indicated).
728 Pseudocolor images show FluoZin-3 fluorescence in single representative neurons at the indicated times
729 after the start of OGD (in min), and traces (FluoZin-3 ΔF/F₀; **blue**; Fura-FF ratio; **black**) show time
730 course of changes in the same neurons (mean start times of the initial OGD-evoked Zn²⁺ rise were: **A:**
731 8.0±0.8 min, n=5; **B:** 7.7±0.75 min, n=7; **C:** 7.2±0.38 min, n=8 neurons).

732 **A.** *Cytosolic Zn²⁺ rise and slow recovery in CA1 neurons after sublethal OGD.* Note the further rise after
733 the termination of OGD followed by a slow recovery of cytosolic Zn²⁺ over the ~30 min after the OGD
734 (trace representative of n=5).

735 **B,C.** Administration of FCCP 55-60 min after OGD termination evoked large cytosolic Zn^{2+} rises in CA1
736 but not in CA3 neurons. (Mean FCCP elicited Zn^{2+} rises at 55-60 min: **CA1**: $75 \pm 21.9\%$, $n=7$; **CA3**:
737 $8.75 \pm 7.4\%$, $n=8$, $p=3.5 \times 10^{-3}$)

738 **D,E.** Substantial recovery of mitochondrial potential ($\Delta\Psi_m$) in both CA1 and CA3 pyramidal neurons
739 after sublethal OGD. Slices were bath loaded with Rhod123 and subjected to sublethal (9 min) OGD
740 followed after ~50 min by FCCP application as indicated. Traces (from representative single neurons)
741 demonstrate changes in Rhod123 fluorescence relative to the pre-OGD baseline (ΔF_{OGD}). However, since
742 slow dye loss from the slices after OGD attenuated absolute ΔF rises, for quantitative comparisons of
743 magnitudes of ΔF changes (reflecting the degree of $\Delta\Psi_m$ loss triggered by OGD vs that triggered by
744 FCCP) responses were re-normalized to the 3 min just prior to addition of FCCP (ΔF_{FCCP} ; red) (**CA1**:
745 ΔF_{OGD} $63 \pm 5.7\%$, ΔF_{FCCP} $58.7 \pm 5.2\%$, $\Delta F_{FCCP}/\Delta F_{OGD}$ 0.98 ± 0.14 , $n=6$; **CA3**: ΔF_{OGD} $56 \pm 3.5\%$, ΔF_{FCCP}
746 $56 \pm 10\%$, $\Delta F_{FCCP}/\Delta F_{OGD}$ 1.02 ± 0.19 , $n=7$; $p=0.88$).

747

748 **Figure 6. Delayed mitochondrial Zn^{2+} uptake in CA1 pyramidal neurons is substantially attenuated**
749 **by MCU inhibition shortly after OGD or by deletion of MT-III.** CA1 neurons were co-loaded with
750 FluoZin-3 and Fura-FF and subjected to sublethal OGD, followed, after 55-60 (A,C) or ~70 (B) min, by
751 addition of FCCP ($2\mu M \times 5$ min). Traces show the time course of changes in FluoZin-3 $\Delta F/F_o$ (**blue**) and
752 Fura-FF ratio (**black**) in representative neurons (mean start times of the initial OGD-evoked Zn^{2+} rise
753 were: **A**: 7.6 ± 0.6 min, $n=7$; **B**: 7.0 ± 0.4 min, $n=6$; **C**: 7.1 ± 0.3 min, $n=10$).

754 **A,B.** MCU inhibition only blocks mitochondrial Zn^{2+} uptake when applied shortly after OGD, while
755 cytosolic Zn^{2+} is elevated: RR ($10\mu M$ for 15 min) was applied ~7-10 min (**A**) or ~35-40 min (**B**) after
756 OGD (traces show representative single neurons), followed by application of FCCP as indicated. Note
757 the absence of FCCP elicited Zn^{2+} rise with early application of RR while cytosolic Zn^{2+} was still elevated
758 (**A**), in contrast to the strong FCCP elicited Zn^{2+} rise with later application of RR (**B**). Also note the small
759 intracellular Zn^{2+} rise triggered by late RR application, most likely resulting from block of ongoing Zn^{2+}
760 uptake into mitochondria at this late time point (**Arrow**, seen in 6 of 6 cells examined). (Mean FCCP

761 elicited Zn^{2+} rises, as FluoZin-3 $\Delta F/F_0$: **A**: $6.3 \pm 4.2\%$, $n=7$, $p=1.7 \times 10^{-3}$; **B**: $68 \pm 18.3\%$, $n=6$, $p=0.8$; *both*
762 *comparisons with the rise in control, $75 \pm 21.9\%$, from Fig. 5B).*

763 **C. Diminished delayed mitochondrial Zn^{2+} accumulation in CA1 pyramidal neurons of MT-III KO mice.**
764 Hippocampal neurons from MT3 mice were loaded with indicators and subjected to sublethal OGD
765 followed by application of FCCP as in Fig. 5B. Note the paucity of Zn^{2+} rise triggered by FCCP exposure
766 compared to that seen in WT mice (FluoZin-3 $\Delta F/F_0$: $20.7 \pm 8\%$, $n=10$).

767 **D. Summary data: Delayed mitochondrial Zn^{2+} uptake as a function of treatment.** Bars indicate mean
768 FCCP evoked Zn^{2+} rises (normalized to the pre-FCCP baseline, ΔF_{FCCP}) after sublethal OGD under the
769 conditions indicated. * indicates $p < 0.01$ vs CA1 control; (p values vs CA1 control are as indicated
770 above: CA1 early RR: $p=1.7 \times 10^{-3}$; CA1 late RR: $p=0.8$; CA3: $p=3.5 \times 10^{-3}$; #, for CA1 MT-III KO we
771 elect not to display a p value because of strain difference).

772

773 **Figure 7. Mitochondrial swelling after OGD in CA1 pyramidal neurons is attenuated by MCU**
774 **blockade.** Brain slices were subjected to sham wash in oxygenated medium (control) or were subjected
775 to 8.5 min OGD either alone or with RR (10 μ M, applied 10 min after termination of the OGD for 15
776 min). One hour after the end of the OGD, slices were fixed (with 4% PFA), and processed for
777 immunostaining with TOM20 antibody.

778 **A. Appearance of mitochondrial swelling.** Representative merged images show the brightfield
779 appearance of pyramidal neurons in the CA1 region overlaid with confocal fluorescence images of TOM-
780 20 labeled mitochondria. Bar = 10 μ m

781 **B. Quantitative measurements. Left:** Mitochondrial measurements (length and width; obtained using
782 ImageJ software, see Methods) after the indicated treatment. Graphs display mean values from 3-5
783 independently treated hippocampal slices, comprising ≥ 18 neurons each condition, and with 107
784 mitochondria measured in control; 144 in OGD; 190 in OGD+RR (see Methods; *Length, in μ m*: control
785 1.4 ± 0.047 , OGD 0.8 ± 0.032 , $p=2.0 \times 10^{-4}$ vs control; OGD+RR 1.0 ± 0.062 , $p=8 \times 10^{-3}$ vs control, $p=0.04$ vs

786 OGD; Width: control 0.49 ± 0.007 , OGD 0.64 ± 0.045 , $p=0.03$ vs control; OGD+RR 5.5 ± 0.024 , $p=0.09$ vs
787 control, $p=0.1$ vs OGD). **Right:** Mean Length/Width (L/W) ratios observed after each treatment (based
788 on the same data; control 2.9 ± 0.1 , OGD 1.4 ± 0.11 , $p=1.9 \times 10^{-4}$ vs control; OGD+RR 2.0 ± 0.17 ,
789 $p=7.9 \times 10^{-3}$ vs control, $p=0.03$ vs OGD). Note that OGD caused a “rounding up” of mitochondria, with a
790 decrease in length and increase in width; and that this change was attenuated by delayed treatment with
791 RR. * - $P < 0.05$, ** - $P < 0.01$, *** - $P < 0.001$

792

793 **Figure 8: Schematic model: Possible acute and early “reperfusion” events after sublethal ischemia**

794 **in CA1 pyramidal neurons.** Traces show representative FluoZin-3 changes from a single neuron and

795 Rhod123 changes from the CA1 pyramidal cell layer of a different slice. **(1) Early OGD:** Zn^{2+} (circles)

796 and glutamate (triangles) are released from presynaptic terminals. Zn^{2+} and Ca^{2+} enter post-synaptic

797 neurons via glutamate activated (Ca-AMPA & NMDA) channels and VGCC. Zn^{2+} is also mobilized

798 from MT-III as a result of ischemia associated oxidative stress and acidosis. Intracellular Zn^{2+} and Ca^{2+}

799 are taken up by mitochondria (via the MCU). Mitochondrial dysfunction including ROS generation, will

800 promote further Zn^{2+} mobilization, resulting in a feed forward cascade of mitochondrial dysfunction and

801 Zn^{2+} accumulation. This uptake causes early mitochondrial depolarization (loss of $\Delta\Psi_m$) which precedes

802 the sharp cytosolic Zn^{2+} rise. **(2): Later during OGD:** After some threshold of mitochondrial Zn^{2+} and

803 Ca^{2+} accumulation, mitochondria strongly depolarize (loss of $\Delta\Psi_m$) and the Zn^{2+} and Ca^{2+} sequestered

804 within them are released back into the cytosol. At this point, the oxidative and acidotic environment

805 combined with mitochondrial dysfunction will impair both the buffering of Zn^{2+} by MT-III, and cellular

806 extrusion of Ca^{2+} and Zn^{2+} , impeding recovery of ionic homeostasis. In the absence of prompt

807 reperfusion, severe cytosolic Ca^{2+} deregulation and rapid cell death ensues. **(3) “Reperfusion” after**

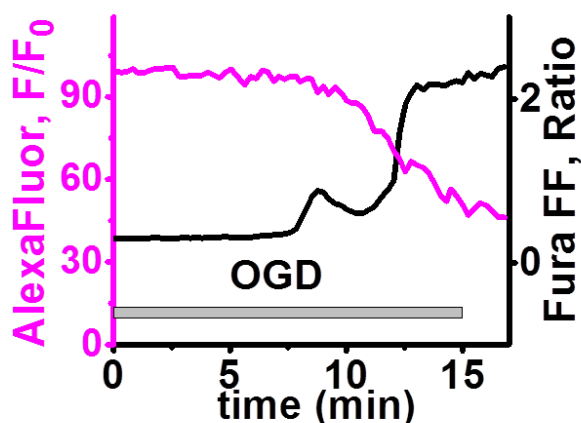
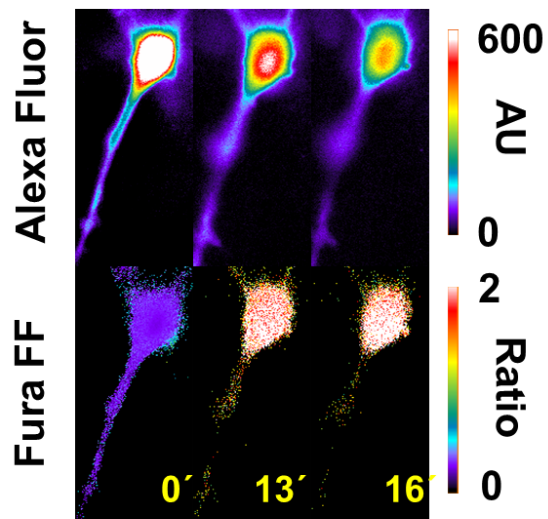
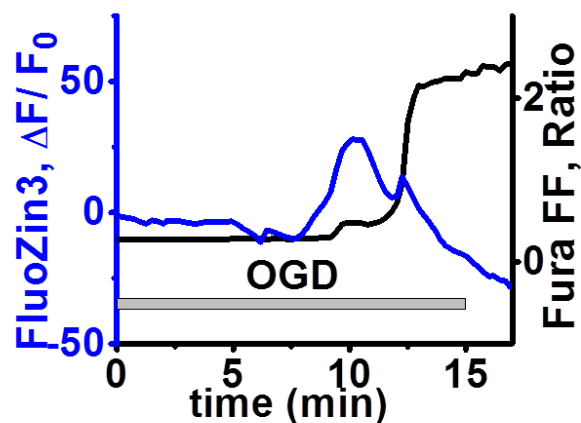
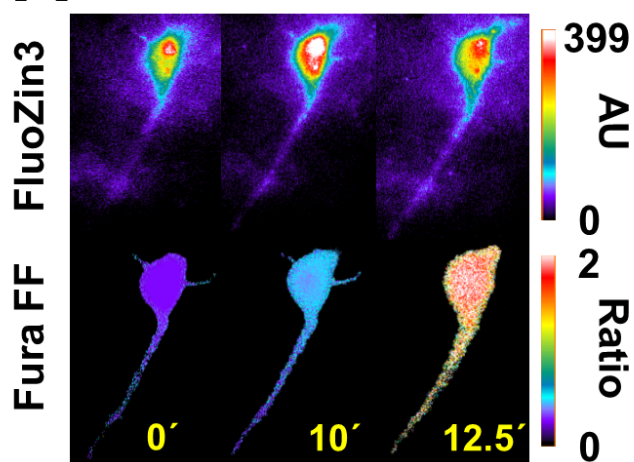
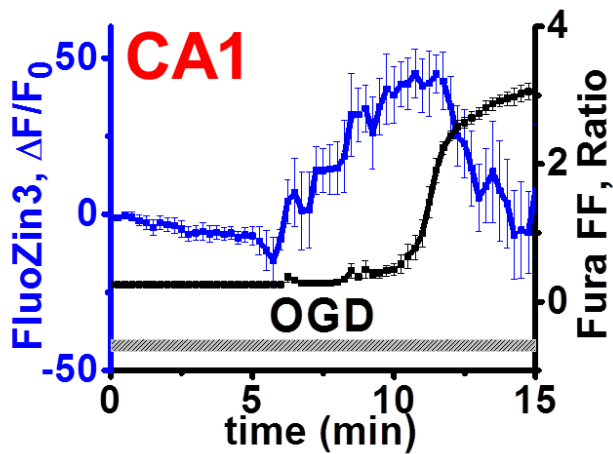
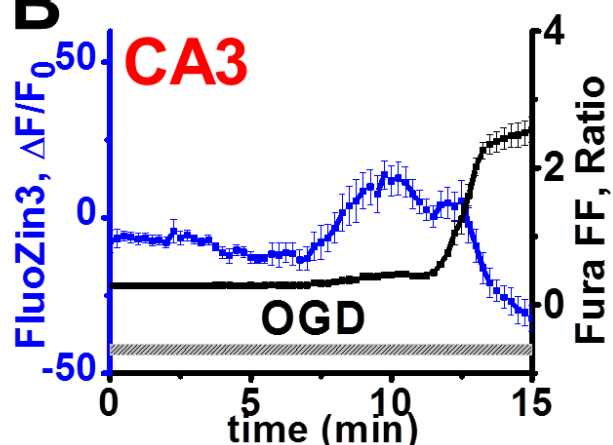
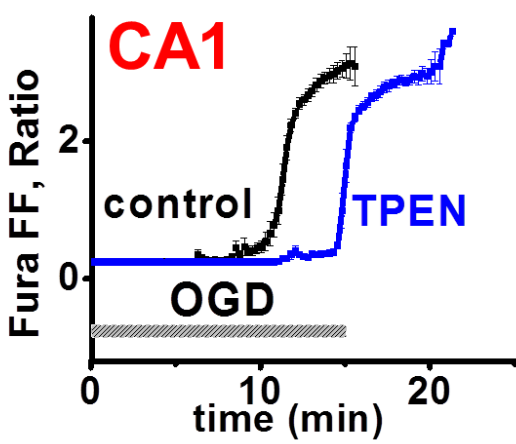
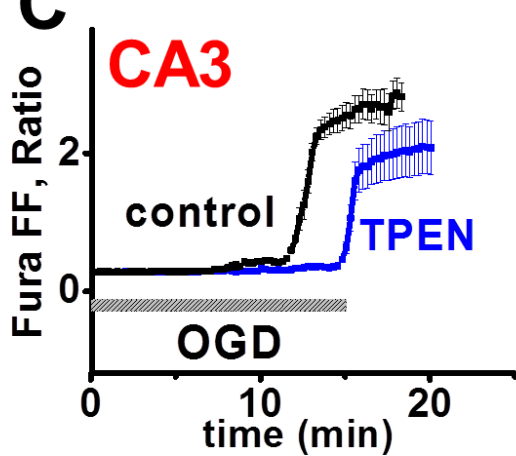
808 **sublethal OGD:** If reperfusion with restoration of O_2 and glucose occurs prior to the onset of Ca^{2+}

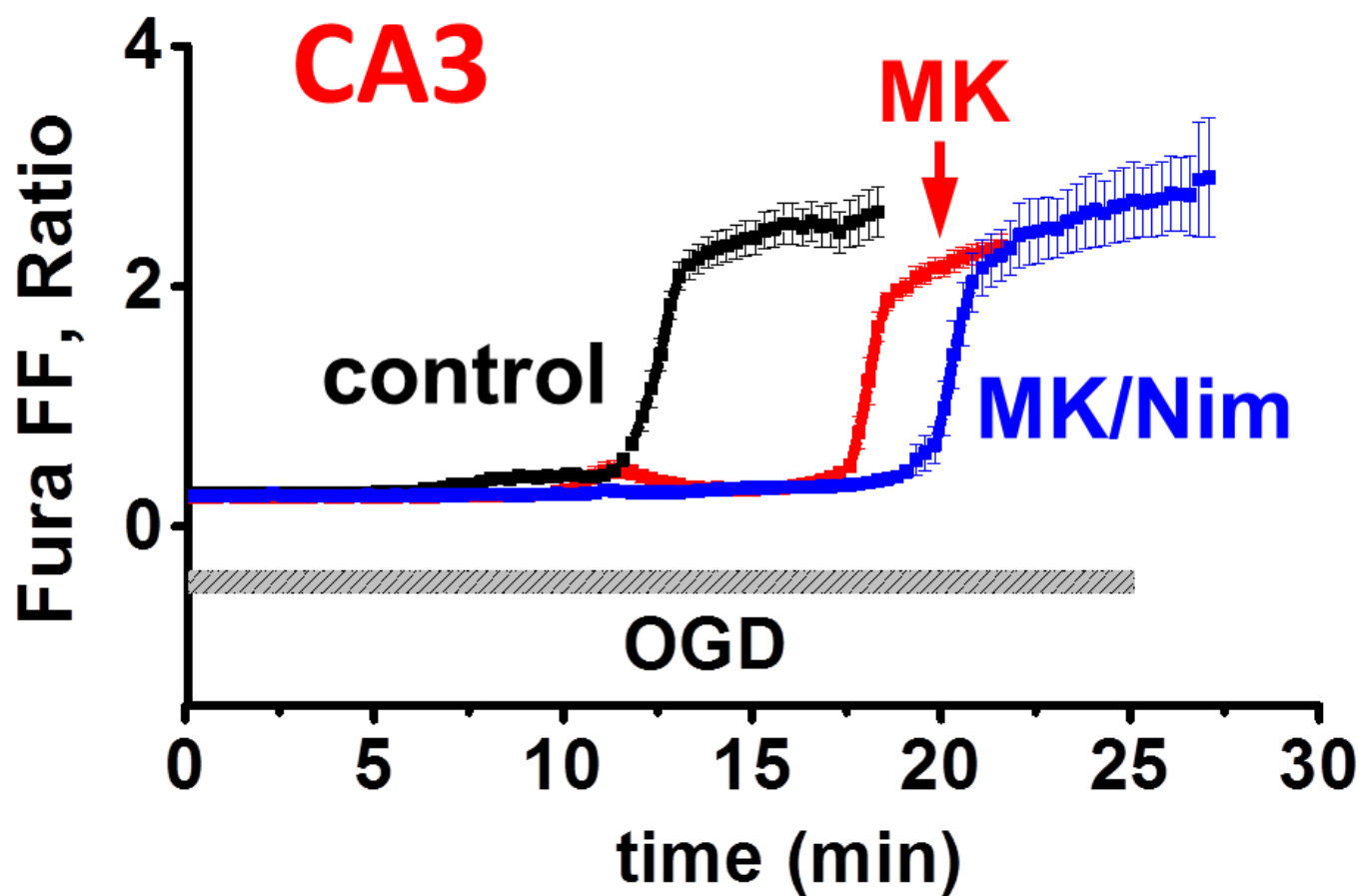
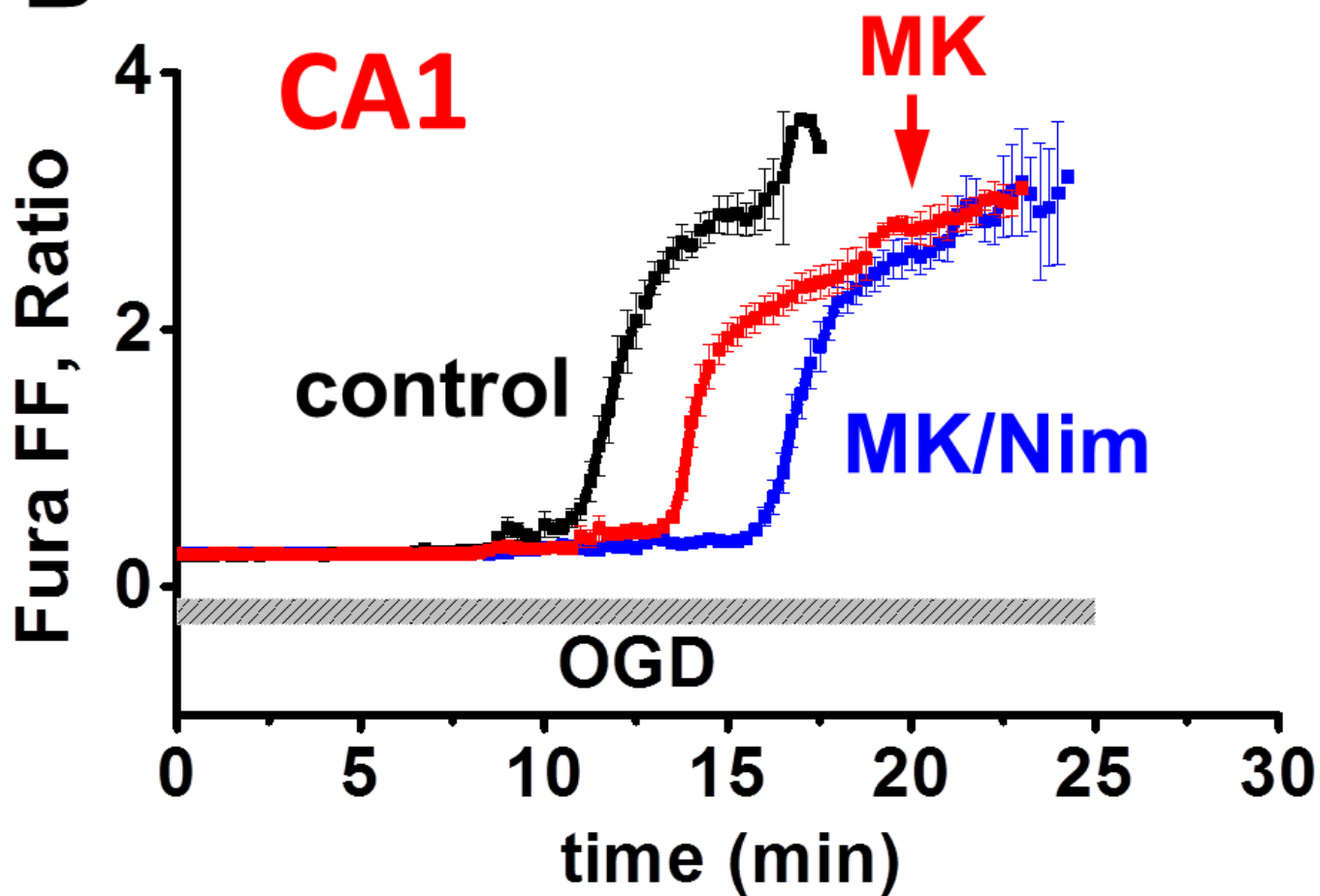
809 deregulation, mitochondria can begin to recover function and $\Delta\Psi_m$. With recovery of $\Delta\Psi_m$ (along with

810 oxidative environment possibly worsened by reperfusion), cytosolic Zn^{2+} is taken back up into

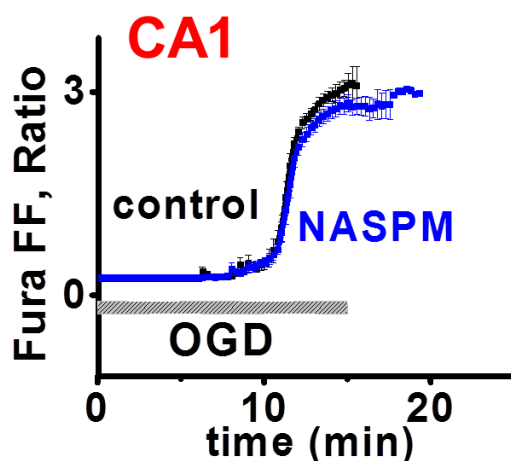
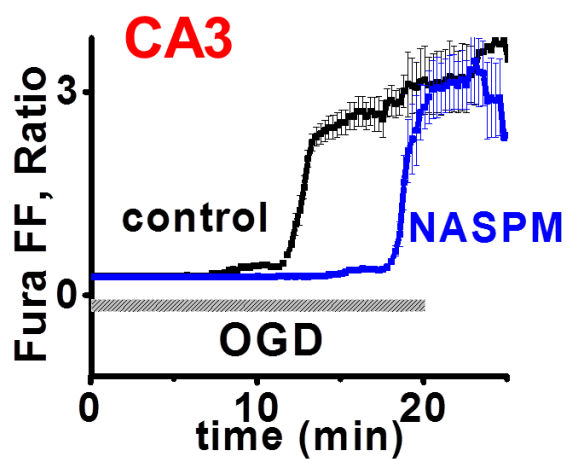
811 mitochondria, where it can remain sequestered for extended periods of time [likely hours; (Sensi et al.,

812 2002; Bonanni et al., 2006)], and can impair their function [likely synergistically with Ca^{2+} ; (Sensi et al.,
813 2000; Jiang et al., 2001)]. Depending upon the extent of Zn^{2+} uptake, mitochondria might gradually
814 recover their normal function, or, alternatively, may undergo delayed dysfunction, comprising ROS
815 production and opening of the mPTP [with release of cytochrome C (CyC) and other apoptotic
816 mediators], contributing to delayed cell death. Such a mechanism is compatible with findings of
817 preferential delayed mitochondrial dysfunction with CyC release in CA1 pyramidal neurons after
818 transient ischemia (Nakatsuka et al., 1999; Sugawara et al., 1999).

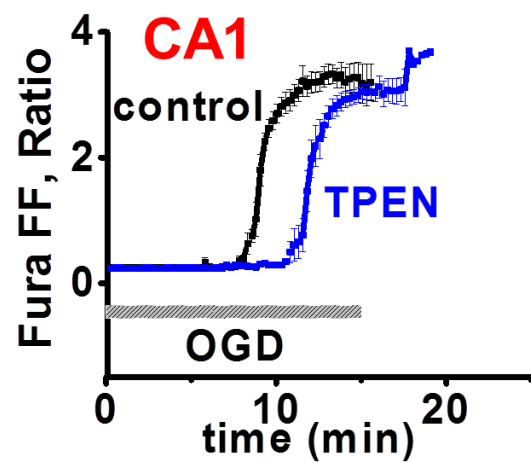
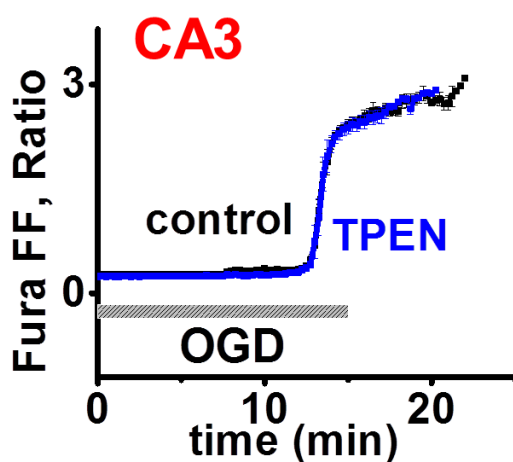
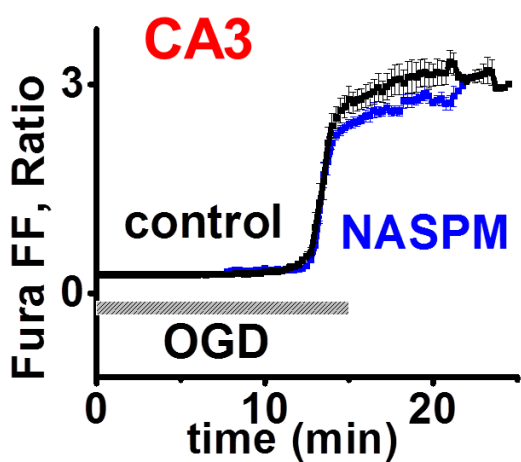
A**B****C**

A**B**

A. WT mice

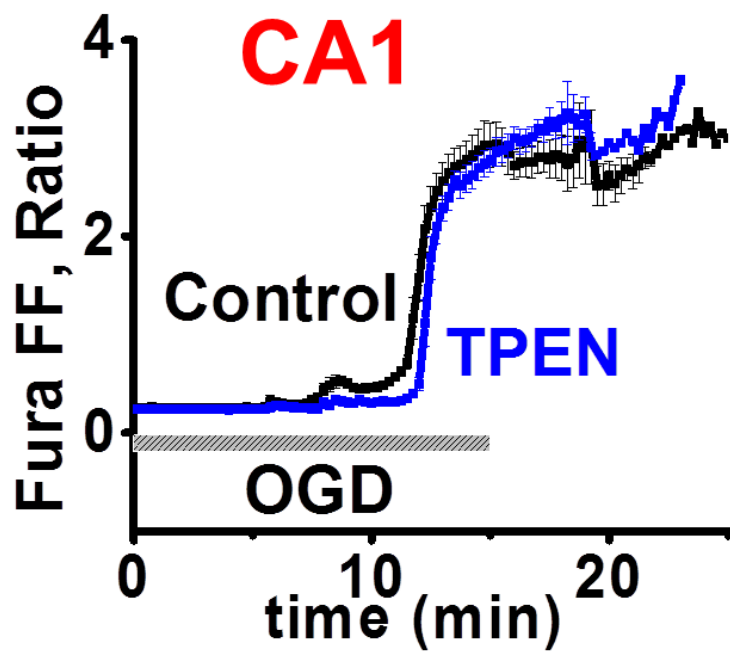
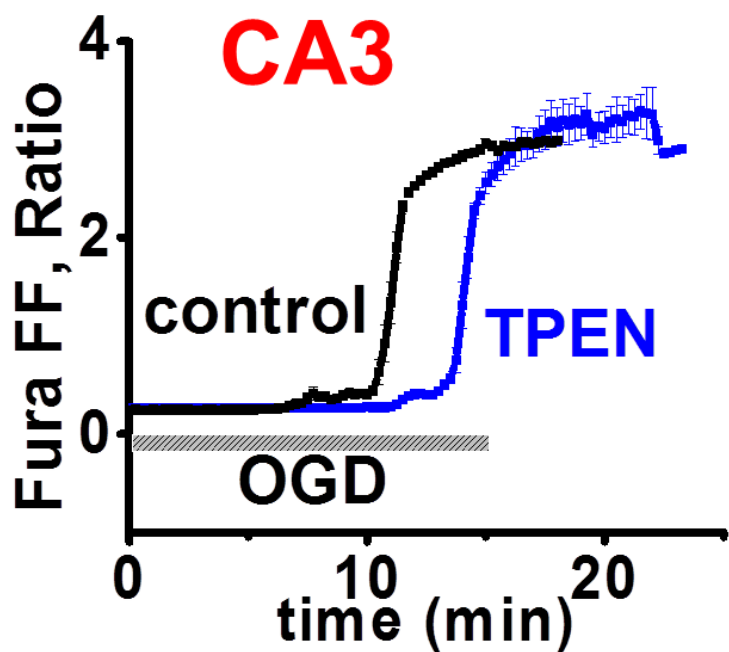


B. ZnT3 KO mice

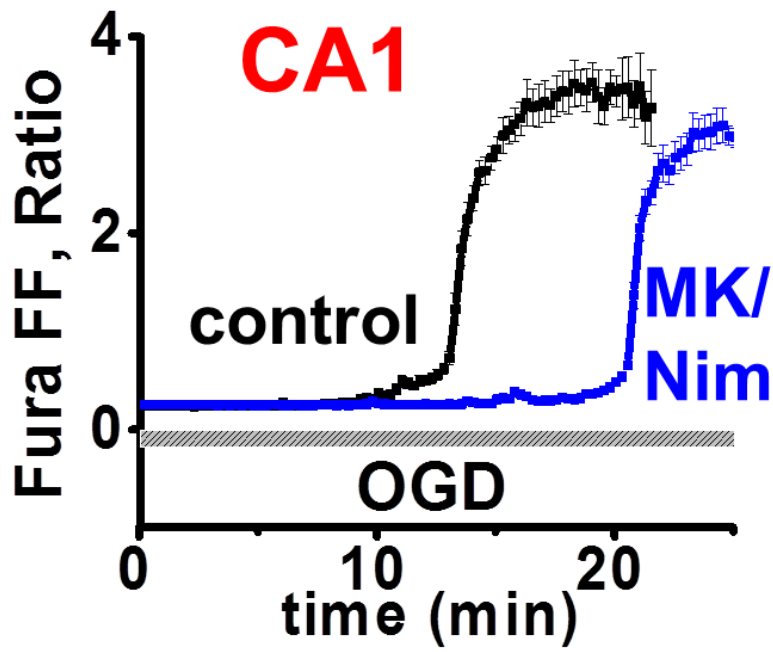
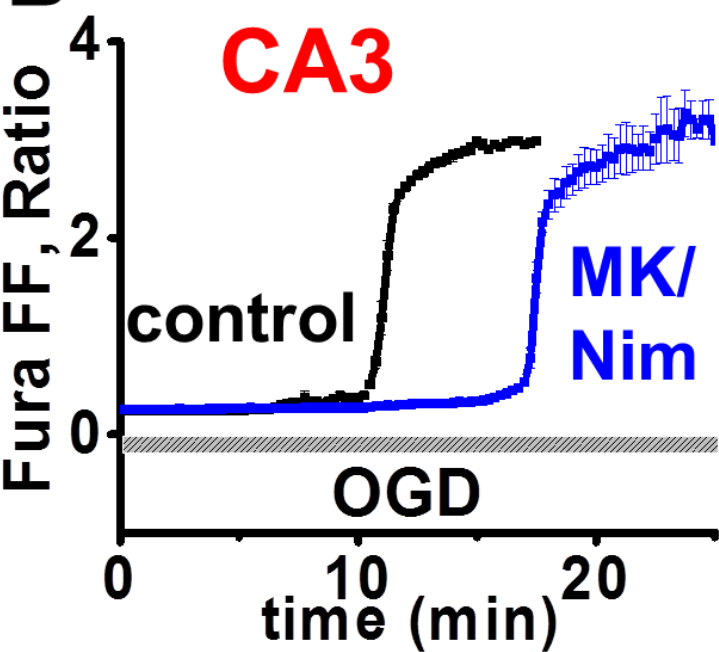


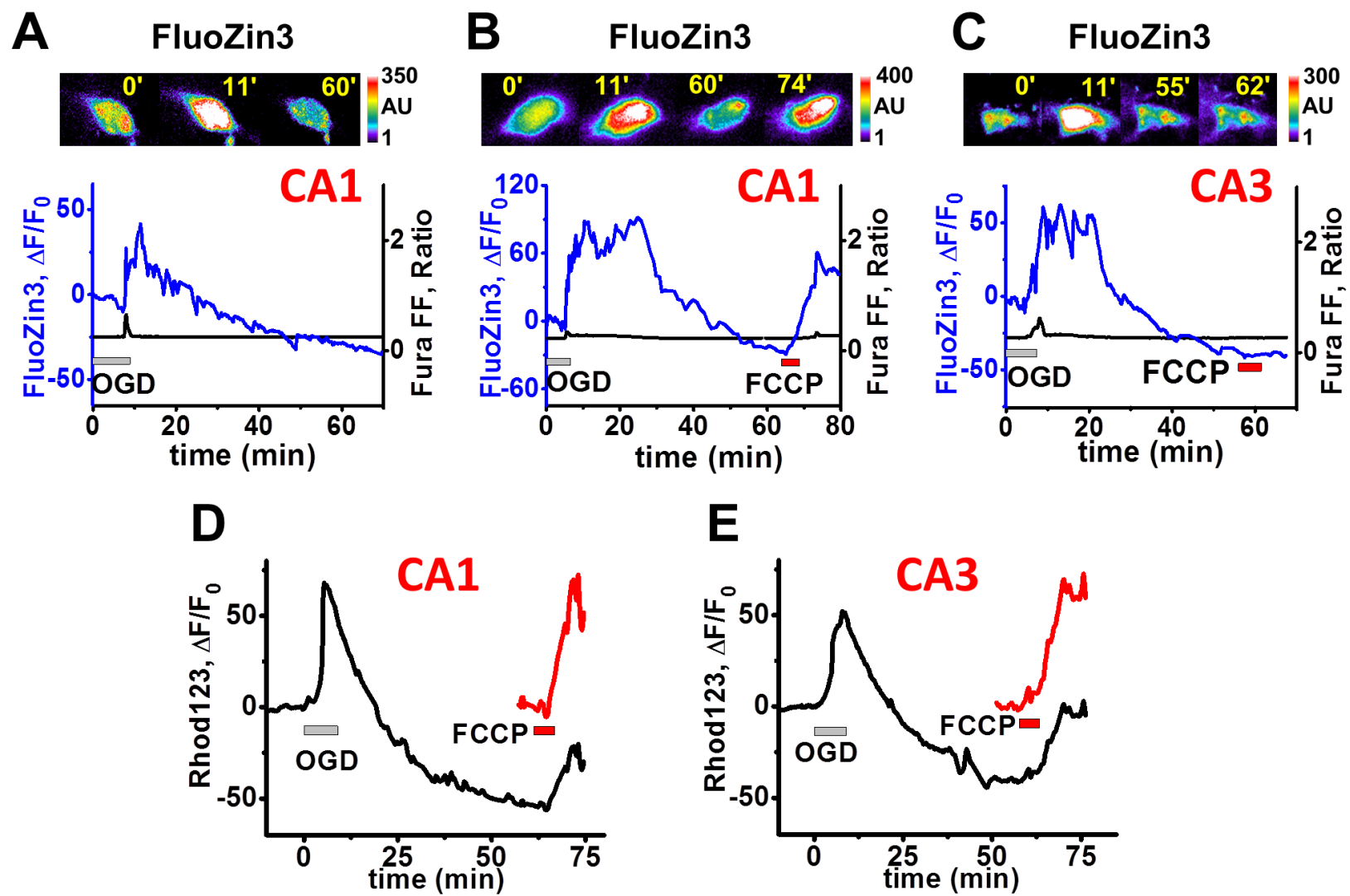
MT-III KO mice

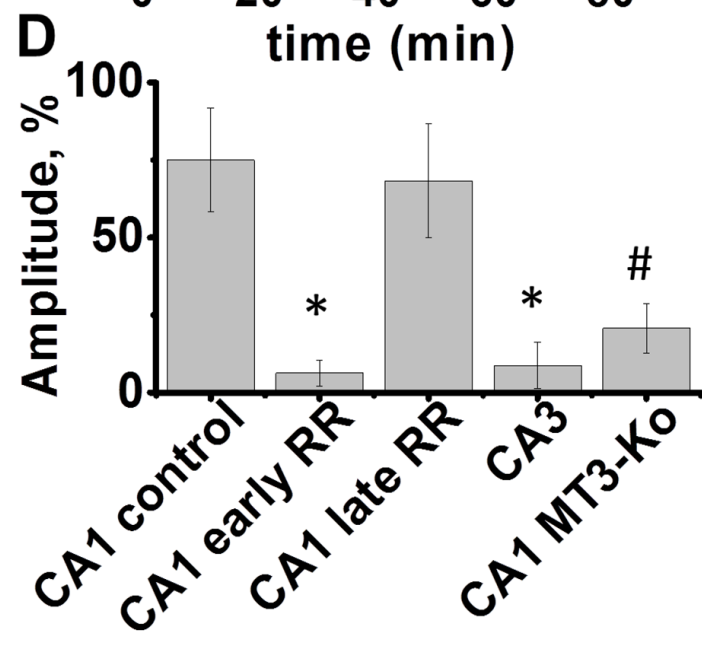
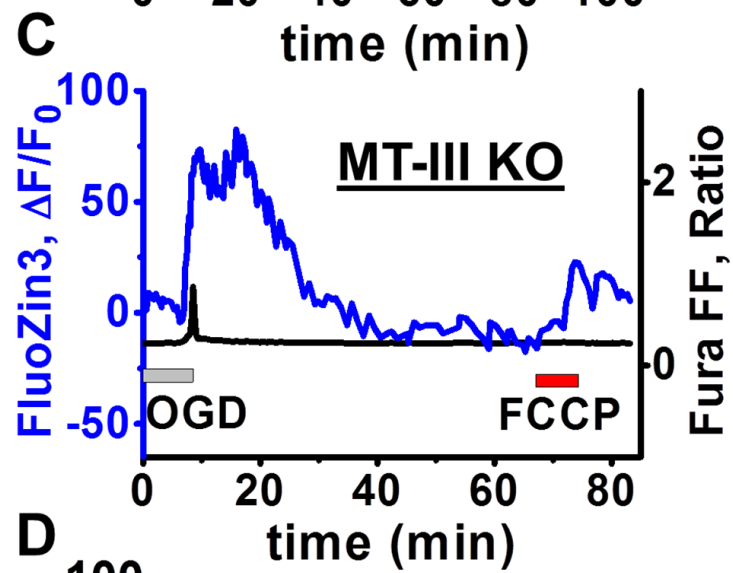
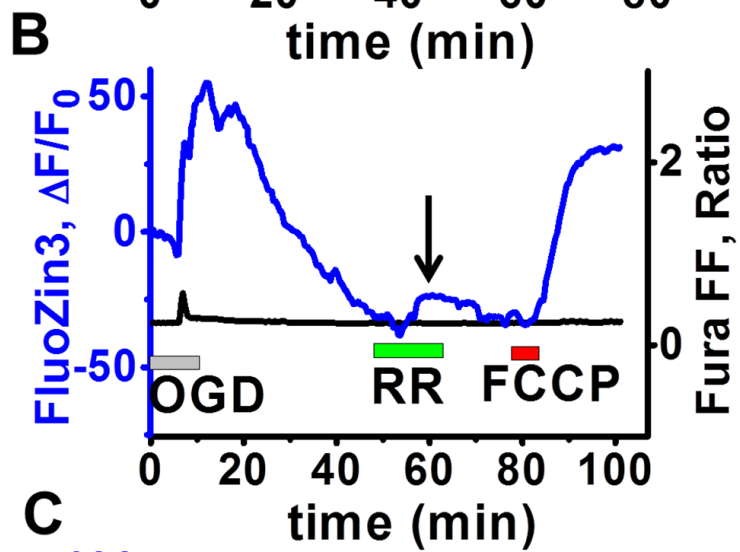
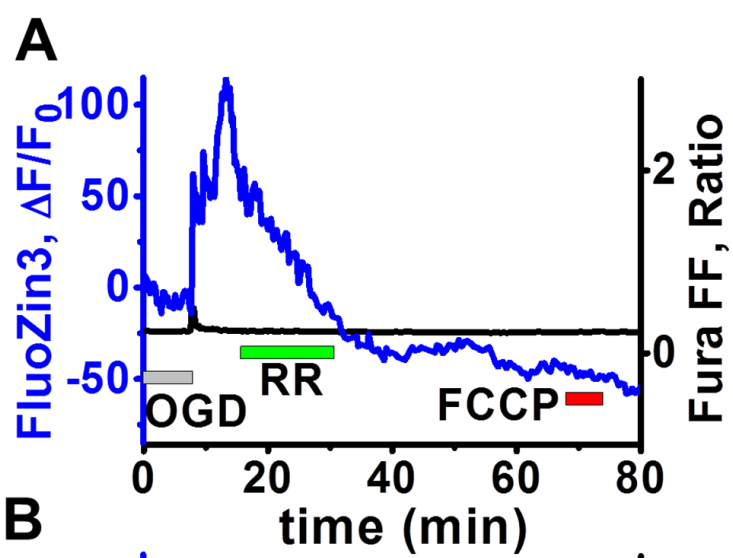
A



B







Control

OGD

OGD+RR

