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## Crossover from 2D ferromagnetic insulator to wide bandgap quantum anomalous Hall insulator in ultra-thin MnBi<sub>2</sub>Te<sub>4</sub>

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### Abstract

Intrinsic magnetic topological insulators offer low disorder and large magnetic bandgaps for robust magnetic topological phases operating at higher temperatures. By controlling the layer thickness, emergent phenomena such as the quantum anomalous Hall (QAH) effect and axion insulator phases have been realized. These observations occur at temperatures significantly lower than the Néel temperature of bulk MnBi<sub>2</sub>Te<sub>4</sub>, and measurement of the magnetic energy gap at the Dirac point in ultra-thin MnBi<sub>2</sub>Te<sub>4</sub> has yet to be achieved. Critical to achieving the promise of this system is a direct measurement of the layer-dependent energy gap and verification of a temperature-dependent topological phase transition from large bandgap QAH insulator to a gapless TI paramagnetic phase. Here we utilize temperature-dependent angle-resolved photoemission spectroscopy to study epitaxial ultra-thin MnBi<sub>2</sub>Te<sub>4</sub>. We directly observe a layer-dependent crossover from a 2D ferromagnetic insulator with a bandgap greater than 780 meV in one septuple layer (1 SL) to a QAH insulator with a large energy gap (>70 meV) at 8 K in 3 and 5 SL MnBi<sub>2</sub>Te<sub>4</sub>. The QAH gap is confirmed to be magnetic in origin, as it becomes gapless with increasing temperature above 8 K.

**Keywords:** magnetic topological insulator, thin film, MnBi<sub>2</sub>Te<sub>4</sub>, quantum anomalous Hall insulator, ferromagnetic insulator, angle-resolved photoemission spectroscopy.

### Introduction

Topological insulators (TIs) in three-dimensions possess a topologically protected spinpolarized gapless Dirac cone on the surface of a bulk insulator that is robust against timereversal invariant perturbations.<sup>1-7</sup> Time-reversal symmetry in TIs can be broken by introducing long-range magnetic order, resulting in profound changes to the electronic band structure, specifically a gap opening at the Dirac point caused by exchange coupling.<sup>6,8-10</sup> The combination of magnetization and strong spin-orbit coupling in ultra-thin topological insulators not only results in a gap opening but also the presence of chiral edge modes within the magnetic gap representing the quantum anomalous Hall (QAH) insulating state.<sup>9-11</sup> In this state the edge mode is chiral and perfectly spin polarized, yielding dissipationless transport of charge with applications in spintronic and ultra-low energy electronics.<sup>12</sup> Further, a realization of distinct topological phases based on broken time-reversal symmetry such as the chiral Majorana fermion<sup>13</sup> and axion insulator states are also achievable.<sup>14,15</sup>

The QAH effect was first realized *via* dilute magnetic doping using Cr and V in ultra-thin films of (Bi,Sb)<sub>2</sub>Te<sub>3</sub>. <sup>9,10</sup> However, the non-uniform doping and magnetization result in large spatial fluctuations in the size of the magnetic gap,<sup>16</sup> and the parallel dissipative channels create spin-scattering which greatly suppresses the temperature at which QAH effect can be observed. Modulation-doped sandwich heterostructures have modestly raised the QAHE temperature to 1-2 K,<sup>17,18</sup> which is still far below the size of the magnetic gap and the Curie temperature. To explore the QAHE and other topological phases at elevated temperatures requires uniform distribution of magnetization, not readily achieved *via* dilute magnetic doping.

The intrinsic magnetic topological insulator  $MnBi_2Te_4$  was recently proposed<sup>19-22</sup> and experimentally verified as a bulk antiferromagnetic topological insulator<sup>23-26</sup> that hosts both intrinsic magnetism and topological protection.  $MnBi_2Te_4$  is a layered compound similar to the well-known TI Bi<sub>2</sub>Te<sub>3</sub>, where five atomic layers of Te - Bi - Te - Bi - Te form a quintuple layer (QL), however,  $MnBi_2Te_4$  has an extra Mn - Te layer in between Te and Bi in the middle of the Bi<sub>2</sub>Te<sub>3</sub> quintuple layer, forming a septuple layer (SL) (Fig. 1a). The origin of the magnetic order comes from the  $Mn^{2+}$  ions (contributing a 5  $\mu_B$  magnetic moment), with moments coupled ferromagnetically within each SL, and antiferromagnetically between adjacent SLs, resulting in an uncompensated antiferromagnet. By controlling the layer structure in the 2D limit a set of thickness-dependent magnetic and topological transitions have been predicted, such as a

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wide bandgap ferromagnetic (FM) insulator in 1 SL with potential applications in proximityinduced magnetization.<sup>21</sup> Beyond 1 SL, even- and odd-layered systems are predicted to be axion insulators and wide-bandgap QAH insulators respectively.<sup>19,22</sup> Recent transport experiments on MnBi<sub>2</sub>Te<sub>4</sub> have confirmed the axion insulator phase in 6 SL<sup>15</sup> and the QAHE in 5 SL at 1.4 K at zero magnetic field, further increased to 6.5 K in an external magnetic field by aligning the layers ferromagnetically.<sup>27</sup> Yet these temperatures correspond to thermal energies <1 meV and are still well below the predicted magnetic bandgap values (ranging between 38 meV<sup>19</sup> and 98 meV<sup>27</sup>) and below the Néel temperature ( $T_N \approx 23-25 \text{ K}^{25,27}$ ). To date, the electronic bandstructure of ultra-thin MnBi<sub>2</sub>Te<sub>4</sub> has only been examined above the Néel temperature where it appears gapless.<sup>28</sup> Hence there has been no direct confirmation of the crossover from ferromagnetic (FM) insulator to QAH insulator with increasing layer thickness, nor a direct observation of the size or magnetic nature of the energy gap in the QAH state.

In this work we precisely control the layer thickness of  $MnBi_2Te_4$  *via* molecular beam epitaxy growth (MBE) and perform temperature-dependent angle-resolved photoelectron spectroscopy (ARPES) above and below the Néel temperature to reveal the layer-dependent crossover from 2D ferromagnetic insulator ( $\Delta_{GAP} > 780$  meV) in 1 SL to QAH insulator with large gaps ( $\Delta > 70$  meV at 8 K) in 3 and 5 SL, in excellent agreement with first-principles density functional theory (DFT) calculations. The QAH gap is confirmed to be magnetic in origin, as it abruptly becomes zero with increasing temperature as the system becomes paramagnetic, demonstrating a phase transition from QAH insulating state to topological gapless surface state.

### **Results and Discussion**

High-quality MnBi<sub>2</sub>Te<sub>4</sub> films were grown by molecular beam epitaxy on Si(111)-7 × 7 substrates *via* alternately growing 1 QL Bi<sub>2</sub>Te<sub>3</sub> and 1 BL MnTe to spontaneously form MnBi<sub>2</sub>Te<sub>4</sub> (see Methods for specific details on the growth). Figure 1b shows a Reflection Highenergy Electron Diffraction (RHEED) pattern of 3 SL MnBi<sub>2</sub>Te<sub>4</sub>. The atomically flat morphology of the film is indicated by a sharp (1 × 1) streak pattern (see SI Section 1 for LEED and additional RHEED data). Figure 1c shows an atomic-resolution scanning tunneling microscopy (STM) image and the expected 1 × 1 atomic structure with a lattice constant of 4.3 Å. A constant binding energy ARPES map taken at the Fermi level (*i.e.* Fermi surface map) of 2 SL MnBi<sub>2</sub>Te<sub>4</sub>, capturing multiple Brillouin zones (BZ) is shown in Fig. 1d. In each BZ, the only features observed are at the  $\overline{\Gamma}$  points, showing a slight hexagonal warping of the Fermi surface. The hexagonal features confirm threefold rotational symmetry, consistent with the rhombohedral structure of MnBi<sub>2</sub>Te<sub>4</sub>. An in-plane lattice constant,  $a \approx 4.3$  Å can be calculated from the Fermi surface map for 2 SL, with similar values for 3 and 5 SL (see SI Section 2) in excellent agreement with STM (Fig. 1c) and previous reports on bulk MnBi<sub>2</sub>Te<sub>4</sub>.<sup>26,29</sup> In contrast, 1 SL has a lattice constant of 4.06 Å suggesting there is the compressive strain when in direct contact with the Si(111), immediately relaxed when the thickness increases. These results are summarized in Table 1. Figure 1e shows x-ray photoelectron spectroscopy (XPS) of 5 SL taken at hv = 1486 eV, with the characteristic Mn3*p*, Te 4*d* and Bi 5*d* core levels observed in the correct chemical stoichiometry when accounting for the different photo-ionization cross sections. Depth dependent XPS measurements are shown in Fig. S3 to demonstrate the films are composed of solely MnBi<sub>2</sub>Te<sub>4</sub> and not a composition of Bi<sub>2</sub>Te<sub>3</sub> with MnTe on the top.

By delicate control of the growth conditions, we achieve SL-by-SL growth of MnBi<sub>2</sub>Te<sub>4</sub>, allowing the DFT-predicted transition from ferromagnetic insulator to quantum anomalous Hall insulator to be probed directly with ARPES. The upper panel of Figure 2 shows a series of ARPES band maps of MnBi<sub>2</sub>Te<sub>4</sub> with thicknesses of (a) 1 SL, (b) 2 SL, (c) 3 SL and (d) 5 SL measured along the  $\overline{\Gamma M}$  direction. The middle panel in Fig. 2 plots the respective double derivative, and the bottom panel plots the corresponding DFT calculations using HSE functional (1-3 SL) and PBE functional (5 SL). There is a clear thickness-dependent transition from wide-bandgap in 1 SL (Fig. 2a) to an evolution into nearly Dirac-like dispersion in 3 and 5 SL (Fig. 2c-d), evidence of evolution towards non-trivial topological features. There is an excellent overlap with the DFT calculations in the overall band shape and with increasing thickness, along with a rigid shift in the bands toward the Fermi level, suggesting the film becomes less *n*-type due to increased manganese content which acts as a hole dopant.<sup>6</sup>

In Fig. 3a-b we determine the bandgap in 1 and 2 SL MnBi<sub>2</sub>Te<sub>4</sub> respectively, by plotting the ARPES spectrum (left panel), its double derivative (middle panel) and the corresponding energy distribution curves (right panel). In Fig. 3a the ARPES spectrum of 1 SL MnBi<sub>2</sub>Te<sub>4</sub> exhibits only a broad M-shaped valence band (VB), with the valence band maximum  $\approx$ 780 meV below the Fermi level, with no signature of the conduction band (CB) and a strong intensity bulk Si(111) band observed below 1.1 eV. The overall band shape confirms an indirect bandgap ferromagnetic insulator in excellent agreement with our DFT results and Ref.

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22. This is different from that reported by Ref. 19 which reports a direct bandgap, which is likely as a result of applying the on-site Hubbard correction term to Te instead of Mn (further discussion and calculations are found in Section S4 of the SI). The gap >780 meV is larger than theoretical predictions (see Table 1) and is either due to bandgap underestimation in DFT<sup>30</sup> or the compressive strain that is observed in 1SL. The gap size is comparable to other 2D ferromagnets, such as monolayer CrI<sub>3</sub>,<sup>31</sup> but is significantly larger than in (non-magnetic) 1 QL pristine Bi<sub>2</sub>Te<sub>3</sub>.<sup>4</sup> In Fig. 3b for the 2 SL film the M-shaped VB is preserved but now a parabolic CB appears, whose minimum is below  $E_{\rm F}$ , indicating the film is *n*-type doped. The CB dispersion within a 0.15 Å<sup>-1</sup> region extending from the  $\overline{\Gamma}$  point is fit using a nearly free electron model,  $E = \hbar^2 k^2 / 2m^*$ , yielding an effective mass,  $m^* = 0.25 m_0$  (see Section S5 and Fig. S7 in SI for fits). An extremely weak intensity hole band, only observable when taking a double derivative (middle panel of Fig. 3b), results in a direct bandgap of 300 ± 100 meV. The excellent agreement with DFT suggests that 2 SL is a zero plateau QAH insulator.<sup>22</sup>

We now examine the size of the bandgap in 3 SL and 5 SL MnBi<sub>2</sub>Te<sub>4</sub>. Figure 4a shows high-resolution ARPES maps taken at hv = 50 eV and 8 K (*i.e.* well below the Néel temperature,  $T_{\rm N} \approx 23-25 \text{ K}^{25,27}$ ) for 3 SL (top panel) and 5 SL (bottom panel). The red circles overlaid reflect the extracted maxima from fitting momentum distribution curves (MDC) with Lorentzian line shapes in the k range between -0.5 to +0.5 Å<sup>-1</sup> as shown in Fig. 4b. Fitting with four-line shapes was used for binding energies below ~0.4 eV and ~0.3 eV for 3 SL and 5 SL respectively, in order to decouple the linearly dispersing Dirac bands from additional valence bands which are clearly present in the MDCs in Fig. 4b and predicted in the DFT calculations in Fig. 4c. These additional bands when interacting with the Dirac hole band lead to an anticrossing and manifest as a slight kink in the band dispersion. The strong spectral weight near  $\overline{\Gamma}$  in the Dirac point region is due to Te-orbital-related matrix element effects (see Fig. S6 for orbital character analysis) as this intensity diminishes quickly with changing photon energy (see Fig. S8). This strong spectral weight has previously been observed in the Dirac point region of Bi<sub>2</sub>Te<sub>3</sub><sup>3</sup> and Bi<sub>2</sub>Te<sub>3</sub>/MnBi<sub>2</sub>Te<sub>4</sub> heterostructures, but not in Mn-doped Bi<sub>2</sub>Se<sub>3</sub>.<sup>7</sup> A clear electron and hole band asymmetry is observed, yielding asymptotic Fermi velocities for 3 SL of  $v_{F,e} \approx 4.2 \times 10^5 \text{ ms}^{-1}$  and  $v_{F,h} \approx 2.0 \times 10^5 \text{ ms}^{-1}$  and for 5 SL  $v_{F,e} \approx 5.0 \times 10^5 \text{ ms}^{-1}$  and  $v_{F,h} \approx 2.9$  $\times$  10<sup>5</sup> ms<sup>-1</sup>. The hole band v<sub>F</sub> for both 3 SL and 5 SL was extracted above the kink regions discussed above.

The band dispersion for both 3 SL and 5 SL appear hyperbolic as expected for a massive Dirac dispersion, and the linear extrapolation of the electron and hole bands to k = 0 shows the bands do not meet at a discreet point (*i.e.* the Dirac point) but are actually separated by  $40 \pm 15$  meV for both 3 SL and 5 SL MnBi<sub>2</sub>Te<sub>4</sub>. This strongly supports the conclusion that the system is gapped, and in order to accurately determine the size of the bandgap we utilize two independent methods. The first is based on the hyperbolic band dispersion for both 3 SL and 5 SL as expected for a massive Dirac dispersion, so we fit the data to a model of a hyperbolic system (shown as white bands in Fig. 4a) given by

$$E_i(k) = D \pm \sqrt{\Delta_i^2 + \hbar^2 v_{F,i}^2 (k + k_0)^2}, i \in n, p$$
(1)

where  $\Delta = \Delta_n + \Delta_p$  represents the bandgap, D the doping, and  $v_{F,i}$  the asymptotic Fermi velocities away from the gapped region at large momenta. This yields magnetic gap sizes of  $\Delta_{3SL} = 109 \pm 15$  meV and  $\Delta_{5SL} = 84 \pm 15$  meV. Figure 4c overlays the DFT band calculations and shows excellent overlap for 3 SL, whilst the 5 SL PBE (due to underestimation of the bandgap<sup>30</sup>) required the bandgap to be adjusted to match the experimental data using the scissors method.

A check on the determination of the bandgap was performed by peak fitting analysis of the energy distribution curves (EDCs) taken at  $k_{\parallel} = 0$ . The EDCs for 3 SL and 5 SL MnBi<sub>2</sub>Te<sub>4</sub> taken at 8 K are plotted in Fig. 4d, along with the peak fitting analysis based on Ref. 7 for Bi<sub>2</sub>Te<sub>3</sub>/MnBi<sub>2</sub>Te<sub>4</sub> heterostructures where a pronounced shoulder is observed when cooling below 13 K (the temperature dependence and the evolution of electronic structure is shown in Fig. 5 and discussed in detail below). We fit the dominant spectral weight with two identical Lorentzian line shapes, and include small additional conduction and valence band peaks either side of the main peaks that reflect the electron and hole Dirac bands. At 8 K we arrive at gaps for 3 SL and 5 SL of 71  $\pm$  15 meV and 70  $\pm$  15 meV respectively. In Fig. S11 of the SI we include fits of the 8 K data with: (a) four peaks to reflect a gapped system and (b) three peaks to reflect a gapless system with only a single main Dirac peak. The three peaks fit is unable to reproduce the shoulder on the right flank of the peak in the experimental data, validating our four peak fitting approach. The bandgap derived from EDC fitting using four peak components shows excellent agreement with that obtained from fitting to Eqn. (1) for 5 SL MnBi<sub>2</sub>Te<sub>4</sub> and slight discrepancy above experimental error for 3 SL. Figure 4e plots the bandgap as a function of thickness for experiment and DFT calculations from this work and that of Ref. 22, and are also summarised in Table 1.

We now investigate the response of the topological electronic structure to magnetic ordering, by conducting temperature-dependent ARPES measurements. Figure 5a shows the energy distribution curves at  $k_{\parallel} = 0$  measured at temperature T = 8 K and 13 K for 5 SL MnBi<sub>2</sub>Te<sub>4</sub>. There is a clear broadening upon cooling from 13 K, and the right flank of the peak (*i.e.* lower binding energy) develops a clear shoulder. Higher temperature measurements (up to 33 K) show minimal change from the 13 K data, which suggests that 8 K is below the magnetic phase transition temperature, *i.e.* uncompensated antiferromagnetic phase (uAFM), and 13 K above it, *i.e.* paramagnetic phase. In Fig. 5b we fit the T = 13 K spectra (fitting up to 33 K was also performed) with two almost identical components yielding a splitting of 15 meV. Figure S11 in the SI shows a comparison between fitting with: (c) two peaks and (d) on peak component at 13 K. A slightly larger peak intensity for the hole band was adopted due to the lower Fermi velocity of the hole band. This splitting of less than 15 meV reflects a nearly gapless topological insulator (that maintains a small remnant confinement gap due to its ultrathin nature) confirming the system is now paramagnetic. The sum of these two peaks is plotted as a single peak component (red line shape) for clarity in Fig. 5b. Upon cooling to 8 K and the uAFM phase, the peak splitting of these two components (blue line shapes) increases and yields a magnetic gap,  $\Delta = 70 \pm 15$  meV.

There is further evidence of a band evolution between 8 and 13 K when examining the near-  $E_{\rm F}$  ARPES maps and the corresponding MDCs at 8 K (Fig. 5c) and 13 K (Fig. 5d) (full temperature range 8-33 K is shown in Fig. S9). As shown in Fig. 5e at binding energies,  $E_{\rm B} =$ 0, 0.25, and 0.36 eV there is a clear increase in wavevector, k with increasing T. However, the slope of the electron and hole band (and consequently Fermi velocity) extracted from the MDC maxima remains unchanged as shown in Fig. S9c of the SI. At 13 K and above linear extrapolation of the bands to k = 0 yields a gap  $\Delta < 10$  meV in excellent agreement with the EDC analysis above. The near gapless nature at 13 K and above is further confirmed *via* ARPES measurements taken at T = 13 K and hv = 40 eV (shown in Fig. S10) in order to avoid the strong spectral weight of the Te orbital in the gapped region. This clearly shows a gapless Dirac dispersion and is consistent with measurements taken on 5 and 7 SL MnBi<sub>2</sub>Te<sub>4</sub> at 25 K in Ref. 28. Figure 5f plots the bandgap as a function of temperature.

This clear emergence of a magnetization induced gap with decreasing temperature provides a definitive signature for a temperature-dependent topological phase transition from large bandgap QAH insulator to a near gapless TI paramagnetic phase. These phases are depicted schematically in Fig. 5g. It should be noted the phase transition temperature reported here between 8-13 K is well below the  $T_N \approx 23$  K reported for 5 SL MnBi<sub>2</sub>Te<sub>4</sub><sup>27</sup> however, in both Ref. 22 and 27 a decrease in the Néel temperature is reported with decreasing thickness. Furthermore, Ref. 27 reports corrections to the temperature dependent resistivity with an abrupt downturn in resistivity below  $T_N$ , followed by a rapid increase at  $\approx 10$  K which they attribute to localization. The abrupt transition observed at similar temperature here in ARPES, along with the QAH effect in MnBi<sub>2</sub>Te<sub>4</sub> being limited to 6.5 K<sup>27</sup>, suggests that the phase transition responsible for topological order may occur below the Néel temperature (indicating more than one magnetic phase transition) or the Néel temperature may be lower in these samples than previously thought and further work is needed to understand this behavior and also to understand whether there are spatial variations in the bandgap due to magnetic disorder, which has been previously observed in dilute magnetically doped TI's.<sup>16</sup>

#### Conclusions

Our results provide an experimental demonstration of the thickness-dependent electronic properties of  $MnBi_2Te_4$ , from a wide bandgap 2D ferromagnet to a QAH insulator with a magnetic bandgap in excess of 70 meV. Therefore,  $MnBi_2Te_4$  not only offers pathways to realise high temperature QAH effect but is also applicable in designer van der Waals heterostructures for proximity induced magnetization<sup>21</sup> and in the realization of distinct topological phases such as chiral Majorana fermions *via* coupling to a superconductor.<sup>13</sup>

### Methods

### Growth of Ultra-Thin MnBi<sub>2</sub>Te<sub>4</sub> on Si(111)

Ultra-thin  $MnBi_2Te_4$  thin films were grown in an ultra-high vacuum (UHV) molecular beam epitaxy (MBE) chamber and then immediately transferred after the growth to an interconnected ARPES chamber at Beamline 10.0.1, Advanced Light Source (ALS), Lawrence Berkeley National Laboratory, USA. To prepare an atomically flat substrate, a Si(111) wafter was flash annealed with e-beam heating in order to achieve a (7 × 7) surface reconstruction.

For  $MnBi_2Te_4$  film growth, effusion cells were used to evaporate elemental Bi (99.999%) and Mn (99.9%) in an overflux of Te (99.95%). Rates were calibrated with a quartz crystal microbalance. High quality epitaxial growth of  $MnBi_2Te_4$  was achieved by first growing 1QL

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of  $Bi_2Te_3$  at 230°C, then a bilayer of MnTe was deposited in order to spontaneously form  $MnBi_2Te_4$  in a similar manner to the formation of  $MnBi_2Se_4^{1}$ . To reach the desired thickness this recipe was continued by again depositing 1 QL of  $Bi_2Te_3$  followed by a bilayer of MnTe. After growth was completed the film was annealed at the same temperature in an overflux of Te for 5 - 10 min to improve crystallinity. Reflection High Energy Electron Diffraction (RHEED) and Low Energy Electron Diffraction (LEED) were used to confirm the (001) single-crystal epitaxial growth across a large area (see Supplementary Materials S1).

# Angle resolved photoemission spectroscopy (ARPES) measurements and x-ray photoelectron spectroscopy (XPS)

ARPES measurements were performed at Beamline 10.0.1 of the ALS. Data was taken using a Scienta R4000 analyser at temperatures between 8 K and 33 K. The total energy resolution was 15 - 25 meV depending on the beamline slit widths and analyser settings, and the angular resolution was 0.2°. This resulted in an overall momentum resolution of  $\approx 0.01$  Å<sup>-1</sup> for the photoelectron kinetic energies measured, with the majority of the measurements performed at hv = 50 eV. XPS measurements were performed at the Soft X-ray Beamline of the Australian Synchrotron using a SPECS Phoibos-150 Spectrometer at room temperature. The Bi5*d*, Te 4*d* and Mn 3*p* core levels were measured at photon energies of 100 eV, 350 eV, 850 eV and 1486 eV. This ensured surface sensitivity for the low photon energy scans at 100 eV, with the higher photon energies used to characterize the depth dependence of the core levels, to confirm there was only MnBi<sub>2</sub>Te<sub>4</sub> throughout the film. The binding energy scale of all spectra are referenced to the Fermi energy (*E*<sub>F</sub>), determined using either the Fermi edge or 4*f* core level of an Au reference foil in electrical contact with the sample.

### **Density Functional Theory Calculations**

We employed density functional theory (DFT) calculations as implemented in the Vienna *ab Initio* Simulation Package (VASP) to calculate the electronic structure of two-dimensional MnBi<sub>2</sub>Te<sub>4</sub><sup>2</sup>. The electron exchange and correlation effects were treated with the Perdew-Burke-Ernzehof (PBE) form of the generalized gradient approximation (GGA).<sup>3</sup> The kinetic energy cut-off for the plane-wave basis was set to 400 eV. We use a  $12 \times 12 \times 1$   $\Gamma$ -centered *k*-point mesh for sampling the Brillouin zone. The van der Waals interactions in the system is described using the DFT-D3 potential.<sup>4</sup> To treat the strong, onsite Coulombic interactions of localized 3*d* electrons of Mn, which is inaccurately described by GGA, we used GGA+U approach with the

effective Hubbard-like term U set to 4 eV.<sup>5</sup> The electronic bandstructure of 2D  $MnBi_2Te_4$  obtained from PBE-GGA was further verified using the hybrid Heyd-Scuseria-Ernzerhof (HSE) hybrid functional.<sup>6</sup>

### ASSOCIATED CONTENT

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The Supporting Information is available free of charge at (link)

Sample characterization with LEED and RHEED, lattice constant as a function of thickness, x-ray photoelectron spectroscopy of  $MnBi_2Te_4$ , DFT calculations, effective mass in 2 SL, photon energy dependence of 5 SL, temperature dependence of 5 SL, photon-energy dependent gap of 5 SL, a comparison between four peak and three peak fittings.

### **AUTHOR INFORMATION**

**Author contributions** M.T.E. devised the experiments. Q. L., C. X. T., G. A performed the MBE growth at Monash University. C. X. T., Q. L., M.T.E., A. G. C., and I. B. performed the MBE growth and ARPES measurements at the ALS with the support from J.H. and S.-K.M. I. B. performed the STM measurements at Monash University. The DFT calculations were performed by Y. Y., and N. M. C. X. T., Q. L., and M.T.E. composed the manuscript. All authors read and contributed feedback to the manuscript.

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Competing interests The authors declare no competing interests.

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### Methods

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**Figure 1** | **Basic properties and characterization of MnBi<sub>2</sub>Te<sub>4</sub>. a,** layered crystal structure of MnBi<sub>2</sub>Te<sub>4</sub>. b, Reflection High-Energy Electron Diffraction (RHEED) of 3 SL MnBi<sub>2</sub>Te<sub>4</sub> along [112] direction. c, Atomic resolution scanning tunnelling microscope (STM) (5nm × 5nm) image of MnBi<sub>2</sub>Te<sub>4</sub> (V = -2.7 V, I = 70 pA) showing the 1 × 1 structure (blue diamond). Lattice constant is 0.43 nm. d, Constant energy ARPES contour of 2 SL MnBi<sub>2</sub>Te<sub>4</sub> taken at the Fermi level over multiple Brillouin zones (BZ). Hexagonal BZ is overlaid in red. e, Core-level spectrum of MnBi<sub>2</sub>Te<sub>4</sub> taken at hv = 1486 eV showing the characteristic Mn 3*p*, Te 4*d* and Bi 5*d* core levels.



Figure 2 | Thickness dependence of the band structure of MnBi<sub>2</sub>Te<sub>4</sub> and comparison with calculation. ARPES intensity (top), double derivatives (middle), and DFT calculation (bottom) of **a**, 1 SL; **b**, 2 SL; **c**, 3 SL; and **d**, 5 SL along  $\overline{\Gamma M}$  cut, measured at hv = 50 eV and T = 13 K.



Figure 3 | Bandgap of 1 and 2 SL MnBi<sub>2</sub>Te<sub>4</sub>. Near  $E_F$ -ARPES intensity (left) at hv = 50 eV and T = 12 K, double derivatives (middle), and corresponding energy distribution curves (EDCs) (right) around the  $\overline{\Gamma}$  point for **a**, 1 SL, and **b**, 2 SL. The VB maximum and CB minimum are marked as red lines in ARPES intensity.



**Figure 4** | **Magnetic gap of 3 and 5 SL MnBi**<sub>2</sub>**Te**<sub>4</sub>. High resolution ARPES intensity of 3 SL (top) and 5 SL (bottom) taken at hv = 50 eV and T = 8 K with overlaid **a**, hyperbolic band fittings (white lines) with extracted momentum distribution curve (MDC) peak maxima (red circles). **b**, MDCs at different  $E_B$  fitted with Lorentzian line shapes. **c**, DFT calculations overlaid on the ARPES data. **d**, EDC spectra taken at  $k_{\parallel}=0$ . The splitting of the two main peak line shapes corresponds to the magnetic gap,  $\Delta$ . **e**, Bandgap as a function of thickness including data from experiment (black), fittings from Hyperbolic Model (green), DFT calculation (blue), and M. M. Otrokov *et al.*<sup>22</sup> (red). The open circle for 1 SL reflects only the  $E_F$ - $E_{VBM}$ , and not the bandgap as the conduction band is not observed below the Fermi level.



**Figure 5**| **Temperature dependence of 5 SL film**. **a**, Energy distribution curves (EDCs) taken at  $k_{\parallel}=0$  taken at 8 and 13 K for 5 SL MnBi<sub>2</sub>Te<sub>4</sub>. The regions S1 and S2 indicate a clear broadening and pronounced shoulder at 8 K, corresponding to a splitting of 6 meV and 27 meV, respectively. The inset in **a** shows the ARPES map taken at 8 K. **b**, Simulated peak fitting results from the spectra in **a**, corresponds to a magnetic gap of  $70 \pm 15$  meV at 8 K and  $15 \pm 15$  meV at 13 K. **c-d**, MDCs with different colors designating different binding energy regions for 3 SL and 5 SL respectively. Black: *E*<sub>F</sub>-0.09 eV, blue: 0.1-1.9 eV, sky blue: 0.2-0.29 eV, green: 0.3-0.39 eV, orange: 0.4-0.49 eV, red: 0.5-0.59 eV, purple: 0.6-0.62 eV. **e**, Wave vector, *k* extracted from MDC peak maxima as a function of temperature at *E*<sub>B</sub> = 0 (black), 0.25 (blue), 0.36 (green) eV showing a clear band evolution with increasing temperature. **f**, Bandgap as a function of temperature. **g**, Schematics of uncompensated anti-ferromagnetism QAH insulator phase (upper) and paramagnetic gapless TI phase (lower).

### Table 1 Lattice constant, bandgap and Fermi velocity as a function of thickness of $MnBi_2Te_4$

	Lattice constant, a (Å)	E <sub>g</sub> (meV) Theory	<i>E</i> <sub>g</sub> (meV) Experiment	v <sub>F</sub> (m/s) Hole	v <sub>F</sub> (m/s) Electron
1 SL	4.06 ± 0.30	550 [this work] 700 [Ref <sup>19</sup> ] 321 [Ref <sup>22</sup> ]	>780 ± 100	-	-
2 SL	4.31 ± 0.30	200 [this work] 107 [Ref <sup>22</sup> ]	300 ± 100	-	-
3 SL	4.31 ± 0.30	125 [this work] 66 [Ref <sup>22</sup> ]	$109 \pm 15$ (Hyperbolic Model) 71 $\pm$ 15 (EDC Model)	$\frac{2.0 \pm 0.5 \times 10^5}{10^5}$	$4.2 \pm 0.5 \times 10^5$
5 SL	4.31 ± 0.30	38 [Ref <sup>19</sup> ] 77 [Ref <sup>22</sup> ] 98 [Ref <sup>27</sup> ]	84 $\pm$ 15 (Hyperbolic Model) 70 $\pm$ 15 (EDC Model)	$\frac{2.9}{10^5} \pm 0.5 \times$	$5.0 \pm 0.5 \times 10^5$

