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1	Quality of DNA extracted from formalin-fixed, paraffin-embedded canine tissues
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11 Running head: DNA extraction from FFPE tissues

12 **Abstract.** Veterinary pathology tissue banks are valuable resources for genetic studies. However, 13 limited data exist as to whether quality DNA can be extracted from these tissues for use in canine 14 genotyping studies. We extracted DNA from 44 formalin-fixed, paraffin-embedded (FFPE) tissue 15 blocks from dogs; 9 of these dogs had DNA available from whole blood samples that had been 16 banked. We genotyped DNA from 30 of 44 tissue blocks and 9 whole blood samples on the 17 Illumina CanineHD BeadChip; DNA quality was insufficient in 14 of 44 samples from tissue 18 blocks. There was significant correlation between the 260/280 ratio and single-nucleotide 19 variation (SNV) call rate (p = 0.0276; $r^2 = 0.162$); 23 of 30 samples from FFPE were genotyped 20 with >65% call rates. Median pairwise identical-by-state (IBS) analysis was 0.99 in 8 pairs with 21 dog call rates >65%. Neither age of tissue block nor specific tissue types were associated with 22 significant differences in DNA concentration, 260/280 ratio, or SNV call rate. DNA extracted 23 from tissue blocks can have variable quality, although comparable levels of homozygosity 24 suggest that extracts from FFPE with call rates >65% might provide similar results to samples 25 from whole blood when analyzed on the Illumina CanineHD BeadChip. 26

27 Key words: canine; DNA extraction; formalin fixation; genetics; genome.

28 Genetic studies are gaining popularity in veterinary medicine with the development and 29 application of advanced molecular techniques. Newer applications of molecular technology, such 30 as genome-wide association study and whole-genome sequencing, have provided new insight 31 into the heritability of disease in veterinary species. However, because of the reliance of these 32 techniques on high-quality DNA, specimens must generally be collected prospectively; 33 alternatively, banked DNA that has been extracted from fresh specimens must be used. 34 Veterinary pathology tissue block repositories provide a wealth of tissue material for evaluation 35 using conventional pathology techniques but are undeveloped resources for the application of 36 genome studies. Veterinary teaching institutions and commercial laboratories bank thousands of 37 tissue blocks each year, and new opportunities for such studies exist if high-quality DNA can be 38 recovered from these tissue blocks. Genome studies within a single breed can be performed with 39 small sample sizes,⁷⁴ but analytical power is enhanced by large sample sizes. The availability of 40 DNA from tissue banks would also allow investigation of uncommon diseases with potential 41 translational application to human medicine.⁵² Previous studies have documented the use of 42 formalin-fixed, paraffin-embedded (FFPE) tissues for molecular techniques, such as PCR in veterinary medicine¹³⁻³⁵ and medical studies of tumor-bearing people,^{86,97} but there is a lack of 43 44 published data about the use of these tissues for veterinary single-nucleotide variation (SNV) 45 array genotyping. 46 The optimal quality of DNA samples submitted for SNV array genotyping in canine 47 studies is not well defined. SNV array genotyping generally requires 50 µg of DNA, and,

- 48 anecdotally, 260/280 ratios >1.7 result in adequate call rates. When DNA samples submitted for
- 49 SNV genotyping result in call rates >95%, they are generally accepted as high quality $\frac{44,68,79}{---}$;

50 however, studies in human medicine have suggested that call rates >65% might be adequate for
51 SNV array genotyping from FFPE samples.⁹⁶

Herein we describe the methods used to extract DNA samples from canine FFPE
samples. We hypothesized that DNA extracted from FFPE tissue blocks could be used for SNV
analysis using the Illumina CanineHD BeadChip. Our project was undertaken to increase the
sample size of a study of genetic susceptibility to disseminated fungal infections in German
Shepherd dogs.

57 The veterinary medical record system at the University of California–Davis, Veterinary 58 Medical Teaching Hospital (VMTH) was searched to find both autopsy and biopsy samples 59 using the keywords "Aspergillus", "aspergillosis", and "fungal". The medical record system was 60 searched for patient visits and specimens submitted between 1983 and June 2017. Our search 61 revealed 138 hits, representing 34 individual cases. Of these, 10 were excluded because they 62 were localized sinonasal or bronchopulmonary disease. Tissue blocks were found in the 63 repository from 23 of the 24 dogs with disseminated aspergillosis (19 acquired at autopsy, 4 via 64 biopsy); 1 tissue block could not be recovered. The database was searched a second time, 65 limiting the breed to German Shepherd dogs to identify control dogs. We cross-referenced these 66 dogs to our database of previously banked DNA from whole blood to identify dogs from which 67 we would have paired samples (whole blood and FFPE extracted). 68 Our data are presented with descriptive statistics using median and interquartile range 69 (IQR) for nonparametric data or mean and SD, as appropriate. Comparisons between groups 70 were made using the Mann–Whitney U or Student *t*-test, as appropriate. Comparisons between 71 blood- and FFPE-extracted specimens from the same dog were made using a paired Student t-72 test. Linear regression was used to assess the relationship between continuous variables.

73	Relationships between the presence of tissues of interest and call rate, DNA concentration, and
74	260/280 ratio were evaluated using one-way ANOVA. All statistical analyses were performed
75	using commercial software (SAS v.9.4; SAS Institute). Autosomal homozygosity and degree
76	identical-by-state (IBS) were assessed using Plink (v.1.9; Shaun Purcell). To compare the quality
77	of SNV reads, the difference in homozygosity and heterozygosity between whole blood and
78	FFPE samples was calculated, notated as Δ homozygosity and Δ heterozygosity, which were
79	compared between samples with call rates $\geq 65\%$ and $<65\%$; this was used as an indicator of
80	agreement between genotyping calls; $p \leq 0.05$ was considered significant.
81	From the 44 blocks (23 cases and 21 controls), six 5-µm thick scrolls of tissue sections
82	were obtained from the VMTH pathology service. To prevent DNA cross-contamination of
83	specimens, the microtome was cleaned (RNase away; Molecular Bioproducts) between each
84	tissue block. When available, scrolls with spleen, liver, bone marrow, and lymph node were
85	requested because of the suspicion that these organs would contribute higher DNA
86	concentrations given their high leukocyte density. For biopsy specimens, any available tissue
87	was requested. Given that most tissue blocks were obtained at autopsy, most contained ≥ 1 tissue
88	(median: 2; range: 1–6). The date of acquisition and tissues present within the FFPE block were
89	recorded.
90	Initially, DNA extraction was attempted on 6 tissue blocks of unaffected dogs (QIAamp
91	DNA blood mini kit; Qiagen). Approximately 25 mg of tissue was manually trimmed from the
92	embedding paraffin, and the scrolls were deparaffinized using 3 cycles of xylene wash. Following
93	extraction, DNA concentration and quality were assessed using optical spectrophotometry
94	(NanoDrop; Thermo Fisher Scientific). The mean concentration of DNA from these samples was

95 3.65 μg/μL (±3.57), and the 260/280 ratio was 1.61 (±0.38). These results are not included in the
96 subsequent analysis.

97 Given marginal yields using this extraction technique, we then used another kit (Quick98 DNA FFPE kit; Zymo Research). In brief, this kit uses a proprietary deparaffinization solution,
99 followed by tissue digestion (proteinase and RNase) and DNA purification. This process resulted
100 in 50 μL of eluted DNA in buffer solution. DNA for controls from whole blood was extracted
101 using the QIAamp DNA blood mini kit. Extracted DNA was stored at -20°C until it was used for
102 SNV array.

104 21.3–144.9) and 260/280 ratio of 1.81 (IQR: 1.75–1.89). Given the poor extraction from some 105 FFPE-extracted samples, only DNA with a concentration >15 μ g/ μ L and 260/280 ratio >1.6 was 106 submitted for SNV analysis (*n* = 30). In this subsection of FFPE-extracted DNA, the median 107 concentration was 75.5 μ g/ μ L (IQR: 47.1–174.9) with a median 260/280 ratio of 1.80 (±0.084). 108 DNA extracted from whole blood from 1 case and 8 control dogs was also submitted for

DNA extracted from all FFPE tissues had a median concentration of 57.3 μ g/ μ L (IOR:

109 genotyping to compare call rates. The median DNA concentration from this whole blood was

110 215 μg/μL (IQR: 107–305) with a median 260/280 ratio of 1.88 (IQR: 1.88–1.91).

103

111 In total, 30 DNA extracts from FFPE tissue blocks were submitted for genotyping

112 (Illumina CanineHD BeadChip; Neogen Genomics). Of these, 9 extracts were matched with

113 DNA extracts from whole blood. Overall, the FFPE extracts resulted in a median call number of

114 166,647 (IQR: 144,227–200,539) with a rate of 75.5% (IQR: 65.3–90.8%; Suppl. Table 1). Of

the 30 total FFPE extracts submitted for SNV analysis, 23 resulted in a call rate >65%. There

116 was significant weak correlation between 260/280 ratio and call rate (p = 0.0276; $r^2 = 0.162$; Fig.

117 1). The call rate was not significantly affected by DNA concentration (p = 0.10).

118	Of the paired FFPE and whole blood DNA extracts, DNA concentrations and 260/280
119	ratios were consistently higher in extracts from whole blood than those from FFPE (median
120	difference: 83.5 μ g/ μ L and 0.1, respectively). All DNA extracts from whole blood had call rates
121	>95% (median: 98.3%; IQR: 98.3–98.6%) and 260/280 ratios greater than our arbitrary cutoff of
122	1.6 (mean: 1.89 ± 0.027; Suppl. Table 1). Eight of 9 FFPE extracts had call rates >65%. The
123	mean Δ homozygosity for all matched paired extracts was 17,807 (±16,919). Delta-
124	homozygosity was lower in samples with call rates >65% (13,957 \pm 13,217) when compared to
125	the matched pair with a call rate <65% (48,607; $p = 0.04$). The mean Δ heterozygosity for all
126	matched paired extracts was 4,127 (±4,045). Delta-heterozygosity was similar in samples with
127	call rates >65% (3,7881 ± 4,180) when compared to the matched pair with a call rate <65%
128	(6,899; $p = 0.50$). Overall, for the matched extracts, the median IBS was 0.99 (IQR: 0.96–0.99).
129	In total, 25 FFPE blocks had tissue from organs of interest (liver 13, spleen 22, bone
130	marrow 1, lymph node 5). The presence of none of the tissues was associated with significant
131	differences in DNA concentration, 260/280 ratio, and call rates. The age of FFPE blocks was 1-
132	24 y (median: 7.5). There was no significant relationship between age of tissue blocks and DNA
133	concentration ($p = 0.68$), 260/280 ratio ($p = 0.84$), or call rates ($p = 0.15$) from the FFPE
134	samples.
135	We demonstrated that DNA extracted from FFPE tissue blocks can be used for veterinary
136	genomic studies such as SNV array genotyping, although genotyping rates are much lower than
137	those from blood samples. This result is similar to results of studies of FFPE DNA extraction and

138 SNV array genotyping from human samples. In previous studies, mean call rates from FFPE

100 Sive analy generyping from numain sumples. In provious studies, mean can faces from FFF

139 samples were 69.4–83.4%, lower than call rates from fresh frozen (FF) tissue samples (89.4–

140 93.6%).^{86,97} These studies demonstrated a high rate of agreement in genotyping between FF and

FFPE samples with a positive relationship between call rate and genotyping agreement. The
quality of DNA extracted cannot be predicted based on tissue type or specimen age, but higher
260/280 ratios are weakly but positively correlated with SNV call rate. The clinical implications
of this association are unclear but suggest that protein contamination, along with other factors,
influences call rates in DNA extracts from FFPE tissue blocks.

146 A limitation of genotyping from FFPE tissues is the intrinsic degradation of DNA caused 147 by formalin. This might also be exacerbated by tissue digestion leading to DNA fragmentation. 148 In our study, we were only able to extract DNA of sufficient concentration and quality for SNV 149 analysis in 30 of 44 tissue block samples. Despite this screening, only 23 of these 30 samples 150 resulted in call rates >65%, resulting in an overall genotyping rate of 52%. We only evaluated 2 151 methods of DNA extraction from FFPE tissues; a broader comparison of kits and methods should 152 be performed to assess the ability to genotype animals using different approaches. Although 153 whole blood and FFPE extract SNV calls were not directly compared, comparable levels of 154 homozygosity (indicated by lower Δ homozygosity) suggest that extracts with call rates >65% 155 provide similar results when analyzed on the Illumina CanineHD BeadChip. 156 Acknowledgments 157 We thank Ms. M. Aguilar for her technical assistance in preparing samples for analysis. 158 **Declaration of conflicting interests** 159 The authors declared no potential conflicts of interest with respect to the research, authorship, 160 and/or publication of this article. 161 Funding 162 Funding for this project was provided by the Center for Companion Animal Health, School of 163 Veterinary Medicine, University of California–Davis. The project was also supported by the

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167	Supplementary material
168	Supplementary material for this article is available online.
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192	Figure 1. Results of DNA extraction from 30 formalin-fixed, paraffin-embedded tissue
193	blocks. This scatterplot depicts a linear regression modeling single-nucleotide variation call rate
194	on the y-axis as predicted by DNA 260/280 ratio on the x-axis, with slope of 0.6903 and
195	intercept of -0.457 ($p = 0.0276$; $r^2 = 0.162$). The blue shading indicates 95% CIs.
196	Figure 2. Results of DNA extraction from 44 formalin-fixed, paraffin-embedded (FFPE)
197	tissue blocks. A. This dot plot depicts the concentration ($\mu g/\mu L$) of DNA extracted from all 44
198	FFPE tissue blocks. B. This dot plot depicts the 260/280 ratios of DNA extracted from all 44
199	FFPE tissue blocks. Only the 30 samples with concentrations >15 μ g/ μ L and 260/280 ratios >1.6
200	were submitted for single-nucleotide variation array genotyping.
201	
202	Supplementary Table 1. Individual data from single-nucleotide variation analysis of
203	DNA extracted from formalin-fixed, paraffin-embedded (FFPE) canine tissues (A) and whole
204	blood (B), for matched pairs. Identical-by-state (IBS) coefficient notates similarity between
205	FFPE and blood samples.