

Bottom-up drivers of global patterns of demersal, forage, and pelagic fishes

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ABSTRACT

Large-scale spatial heterogeneity in fisheries production is predominantly controlled by the availability of zooplankton and benthic organisms, which have a complex relationship with primary production. To investigate how cross-ecosystem differences in these drivers determine fish assemblages and productivity, we constructed a spatially explicit mechanistic model of three fish functional types: forage, large pelagic, and demersal fishes. The model is based on allometric scaling principles, includes basic life cycle transitions, and has trophic interactions between the fishes and with their pelagic and benthic food resources. The model was applied to the global ocean, with plankton food web estimates and ocean conditions from a high-resolution earth system model. Further, a simple representation of fishing was included, and led to moderate matches with total, large pelagic, and demersal catches, including re-creation of observed variations in fish catch spanning two orders of magnitude. Our results highlight several ecologically meaningful model sensitivities. First, coexistence between forage and large pelagic fish in productive regions occurred when forage fish survival is promoted via both favorable metabolic allometry and enhanced predator avoidance in adult forage fish. Second, the prominence of demersal fish is highly sensitive to the efficiency of energy transfer to benthic invertebrates. Third, the latitudinal distribution of the total catch is modulated by the temperature dependence of metabolic rates, with increased sensitivity pushing fish biomass toward the poles. Fourth, forage fish biomass is suppressed by strong top-down controls on temperate and subpolar shelves, where mixed assemblages of large pelagic and large demersals exerted high predation rates. Last, spatial differences in the dominance of large pelagics vs. demersals is strongly related to the ratio of pelagic zooplankton production to benthic production. We discuss the potential linkages between model misfits and unresolved processes including movement, spawning phenology, seabird and marine mammal predators, and socioeconomically driven fishing pressure, which are identified as priorities for future model development. Ultimately, the model and analyses herein are intended as a baseline for a robust, mechanistic tool to understand, quantify, and predict global fish biomass and yield, now and in a future dominated by climate change and improved fishing technology.

1. INTRODUCTION

46 Fishes are an important resource economically, socially, and nutritionally (FAO 2016).
47 For this reason, fisheries oceanographers and managers have long sought to forecast fisheries
48 yields, often on a species- and regional stock-specific basis (Christensen et al. 2015). These
49 efforts have received mounting attention as the growing human population increases demands on
50 seafood and jobs (Barange et al. 2014) while climate change is projected to alter ocean
51 productivity (Bopp et al. 2013, Laufkötter et al. 2015) and subsequent fisheries yields (e.g.
52 Cheung et al. 2010, Blanchard et al. 2012, Lefort et al. 2015). However, the connections between
53 ocean productivity and fisheries yields is not straightforward (Ryther 1969, Friedland et al. 2012,
54 Stock et al. 2017) and the need to understand global fisheries extends beyond total yields. Fishes
55 come in many shapes and sizes, with differences related to habitat, feeding preferences, and life
56 history characteristics. These various functional types serve different roles in their ecosystems
57 and have disparate socioeconomic value. They also rely on different energy flow pathways from
58 phytoplankton (van Denderen et al. 2018) and are subject to varying degrees of predatory and
59 fisheries control (Frank et al. 2005, Andersen & Pedersen 2010).

60 Most of the commercially important fish species fall into three functional types: small
61 pelagic fish (termed forage fish), large pelagic fish, and demersal fish. Forage fish live in the
62 upper water column where they feed on plankton (Blaxter & Hunter 1982, Cury et al. 2000, van
63 der Lingen et al. 2006). They tend to have smaller maximum sizes and serve as prey to numerous
64 marine predators (Blaxter & Hunter 1982, Cury et al. 2000, Pikitch et al. 2014). Representative
65 species include sardines and anchovies. Large pelagic fish also live in the upper water column, as
66 well as greater depths, where they act as top predators, only feeding on plankton during their
67 larval stages (Lehodey et al. 2008). Notable examples include tunas and billfishes. Thusly,
68 forage fish and large pelagics are different trophic levels in the classic size-structured pelagic
69 food chain that extends from phytoplankton to zooplankton to fish. In contrast to these pelagic
70 fishes, demersal fishes live near the seafloor and consume benthic fauna that derive their energy
71 from export production (Blanchard et al. 2009, Rowe & Demming 2011). Demersal fish are
72 generalist predators capable of feeding on pelagic animals in addition to benthic resources
73 (Garrison & Link 2000, Bulman et al. 2001). This functional group is exemplified by gadids and
74 flatfishes, such as Atlantic cod and Greenland halibut.

75 These three fish functional types have been studied extensively and represented in
76 numerous models from the regional to global scale with detail that ranges from species-specific
77 behavior and life histories to maximum size as the only trait. Such models have been used to
78 predict distributions (Lehodey et al. 2008, Maury 2010, Harfoot et al. 2014, Watson et al. 2015),
79 estimate potential yield (Andersen & Beyer 2015, Carozza et al. 2017), examine the effect of
80 different fishing strategies (Andersen & Pedersen 2010, Jennings & Collingridge 2015, Galbraith
81 et al. 2017), and project the impacts of climate change on fish and fisheries (Cheung et al. 2010,
82 Blanchard et al. 2012, Barange et al. 2014, Lefort et al. 2015). There remains a need, however, to
83 understand the dominant factors determining the global distribution and productivity of these
84 functional types in order to predict the changing structure of fish communities and their
85 productive capacity under global change and continued exploitation.

86 It was our objective to elucidate the bottom-up drivers of the global patterns of forage,
87 large pelagic, and demersal fish production and catches. To do so, we constructed a mechanistic
88 model based on allometric rate scaling principles that resolves trade-offs and interactions
89 between these three key functional types. Specifically, it simulates the competitive and predatory
90 trophic interactions between the fishes and with their pelagic and benthic food resources and
91 replicates fundamental aspects of fish life cycles. The model builds off of size spectrum models

92 (e.g. Benoit & Rochet 2004, Andersen & Pedersen 2010, Blanchard et al. 2009, 2012, Hartvig et
93 al. 2011, Jennings & Collingridge 2015), and uses allometric relationships to describe
94 physiological rates. It differs by distinctly representing functional groups through variations in
95 habitat, maximum size, and feeding preferences. Also, in contrast to many size spectrum models,
96 our model is spatially explicit, and mechanistically connected to lower trophic levels without
97 relying on assumptions about trophic transfer efficiency. We coupled this model to a high-
98 resolution global earth system model that resolves plankton trophodynamics (Stock et al. 2014,
99 2017) to mechanistically explore drivers underlying the coexistence, distribution, and biomass
100 productivity of these critical fish functional types across ecosystems in the contemporary ocean.

101 102 2. METHODS

103 To aid recognizability, we have named this new model the FishErIes Size and functional
104 TYpe model (FEISTY). FEISTY is a size- and trait-based model of higher trophic level
105 dynamics. For the analysis herein, FEISTY was forced with physical and plankton food web
106 dynamics provided by GFDL’s ESM2.6 high-resolution earth system model (Delworth et al.
107 2012, Dunne et al. 2012, 2013, Stock et al. 2017). In the methods below, we first briefly describe
108 the physical and plankton forcing, followed by a detailed description of FEISTY and its
109 integration with ESM2.6.

110 111 **2.1 Physical and Plankton Food Web Drivers**

112 Outputs from GFDL’s ESM2.6 high-resolution Earth System Model were used to provide
113 physical and plankton food web forcing for FEISTY. ESM2.6 was constructed by integrating
114 carbon and plankton food web dynamics from GFDL’s Carbon, Ocean Biogeochemistry and
115 Lower Trophics (COBALT) ecosystem model (Stock et al. 2014) with a high resolution physical
116 climate simulation (Delworth et al. 2012). The horizontal resolution is 10-km in the ocean
117 submodel and 50-km in the atmospheric submodel. The ocean has 50 vertical layers, with 10-m
118 vertical resolution over the top 200 m and a minimum depth of 40 m (i.e., all locations <40 m are
119 treated as if they are 40 m deep).

120 COBALT uses 33 state variables to resolve global-scale cycles of nitrogen, carbon,
121 phosphate, silicate, iron, calcium carbonate, oxygen, and lithogenic material (Stock et al. 2014).
122 The representation of planktonic food web dynamics within COBALT includes bacteria,
123 diazotrophs, small and large phytoplankton, and three zooplankton groups that feed on
124 phytoplankton, bacteria, and each other according to mean predator to prey size ratios (Hansen et
125 al. 1994). The small zooplankton group represents microzooplankton that are <200 μm in
126 equivalent spherical diameter (ESD). The medium zooplankton are parameterized as small- to
127 medium-bodied copepods (0.2–2.0 mm ESD), and the large zooplankton are parameterized as
128 large copepods/krill (2.0–20 mm ESD). The parameterization of trophic interactions relies
129 primarily on allometric and bioenergetic relationships, and the model was calibrated to ensure
130 quantitative consistency with large-scale planktonic food web dynamics, including patterns in
131 primary and zooplankton production (Stock et al. 2014). Within ESM2.6, COBALT was
132 furthermore able to robustly capture differences in chlorophyll, primary production, medium and
133 large zooplankton biomass, and export fluxes across globally-distributed, mostly coastal “large
134 marine ecosystems” (LMEs), with the exception of inland seas (Stock et al. 2017). The primary
135 shortcomings of ESM2.6-COBALT are (i) it under-predicts very high chlorophyll (>5 mg m^{-3})
136 inferred from satellites in nearshore regions (<25 m) and (ii) the fully coupled atmosphere-ocean
137 configuration is more susceptible to regional biases and drifts in biome boundaries relative to

138 ocean simulations forced by atmospheric reanalysis. Chlorophyll mismatches in nearshore
139 regions may arise partially from satellite estimate errors linked to the complex optical properties
140 of these waters (Schofield et al. 2004, Dierssen 2010), but likely also reflect ESM2.6 limitations
141 in nearshore regions such as the 40 m minimum depth.

142 The fully coupled ESM2.6-COBALT was run with 1990 greenhouse gas conditions for
143 55 years to reach equilibrium conditions for the upper ocean processes that exert dominant
144 controls on ocean productivity (Stock et al. 2017). The run was initialized with hydrography
145 from year 141 of a 1990 control with the CM2.6 physical climate model and nutrients from the
146 World Ocean Atlas (Garcia et al. 2006), dissolved organic carbon from GLODAP (Key et al.
147 2004), and other fields from a coarse-resolution COBAL simulation (Stock et al. 2014). We use
148 a monthly climatology formed from the last 5 years of the coupled ESM2.6-COBALT run as an
149 estimate of contemporary cross-ecosystem energy flows from plankton to fish, noting that these
150 estimates were highly similar across differing 5, 10, and 20 year segments (Stock et al. 2017).
151 This climatology was interpolated to a daily timescale and a coarser horizontal resolution grid of
152 1° latitude/longitude to force FEISTY. For all FEISTY simulations, the monthly climatology was
153 repeated for 150 years. Our results present the final year of this simulation.

154 COBAL is linked to FEISTY in an “offline” fashion. That is, COBAL outputs drive
155 the fish model, but there are no feedbacks of the fish on the plankton. All COBAL biomasses
156 and fluxes were converted from moles of nitrogen (molN) to grams wet weight (gWW) assuming
157 Redfield (1934) stoichiometry and the constant wet weight to carbon ratio of 9:1 (Pauly &
158 Christensen 1995). From here on, all biomasses will be expressed as wet weight (i.e. g signifies
159 gWW). The specific COBAL outputs that drive the fish model are: medium and large
160 zooplankton biomass integrated over the top 100 m, mdz and lgz ($g\ m^{-2}$), the mortality rate of
161 medium and large zooplankton, which sets an upper bound for material consumed by fish, also
162 integrated over the top 100 m, $loss_mdz$ and $loss_lgz$ ($g\ m^{-2}\ d^{-1}$), the flux of detrital matter to the
163 ocean bottom, det_btm ($g\ m^{-2}\ d^{-1}$), the mean temperature in the upper 100 m, T_p (°C), and the
164 bottom temperature, T_b (°C). The annual mean values of these forcings are provided in the
165 Supplementary material (Supp. Table S1) for reference. A detailed assessment of ESM2.6 skill at
166 the ocean-biome and across Large Marine Ecosystem (LME) is provided by Stock et al. (2017).

167

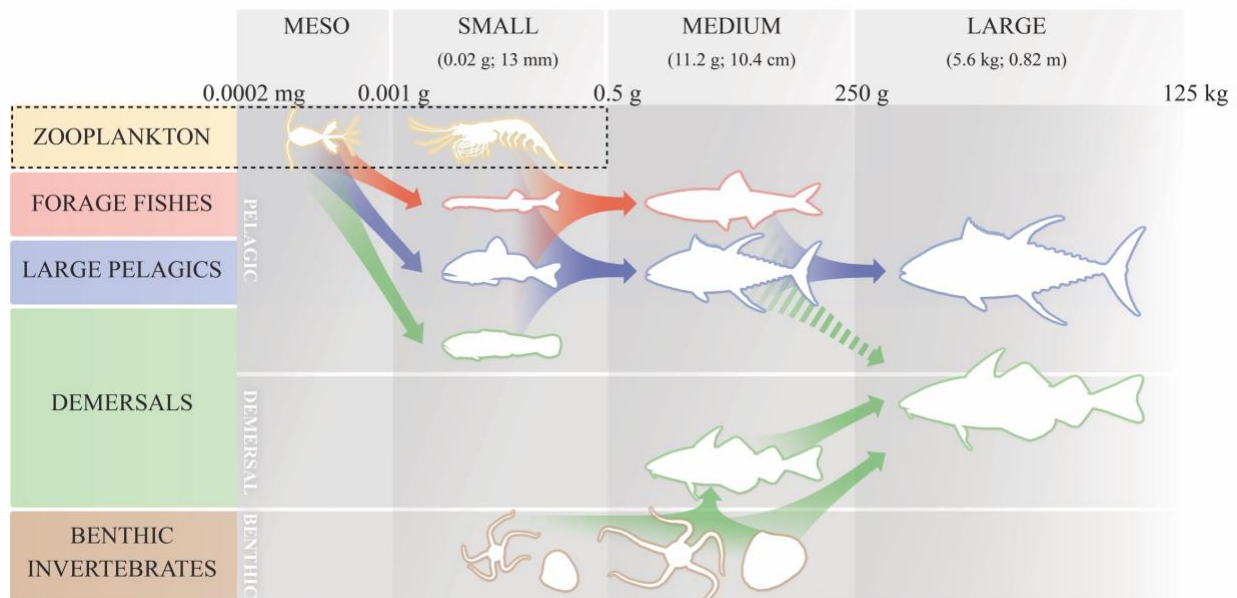
168 **2.2 FEISTY – A Global Fisheries Model**

169

170 *2.2.1 Fishes*

171 Fishes in FEISTY are defined by their functional type, size, and maturity stage (Figure
172 1). There are three fish functional types represented in the model: forage fish (F), large pelagic
173 fish (P), and demersal fish (D). Forage fish are planktivores and have a smaller maximum size
174 compared to the large pelagic and demersal types. Adult large pelagics and demersals are
175 piscivores, but prey depends on life stage and habitat. Both the forage fish and large pelagics are
176 fully pelagic, living their entire lives in the upper 100 m represented by the model. The demersal
177 fish begin their lives as pelagic larvae, then transition to the benthic habitat as juveniles. The
178 adults are fully benthic in areas where the water column is >200 m, while in shallower areas they
179 may feed both on the benthos and in the pelagic water column. Our representation of demersals
180 is largely based on the life history strategies of gadids and pleuronectids where early life stages
181 are pelagic, the late juvenile stage is the most bottom-oriented, often relying on specific benthic
182 habitat for shelter or food, and adults of increasing maturity inhabit more of the water column
183 and their feeding becomes opportunistic.

184 The biological rates in the model are based on allometry using the mass, w (g), of each
 185 size class. Fish size classes are defined using logarithmic size bins appropriate for the life history
 186 stage it represents by holding Z , the ratio of initial and final body sizes of each size-class,
 187 constant across all stages. The small size class (S) is 1–500 mg (geometric mean 20 mg), which
 188 is equivalent to 4.6–36.8 mm in length (geometric mean 13 mm) and encompasses the large
 189 zooplankton size range. The medium size class (M) represents fishes 0.5–250 g (geometric mean
 190 11.2 g, 10.4 cm) and the large size class (L) represents 0.25–125 kg (geometric mean 5.6 kg,
 191 0.82 m). These lengths were calculated from the weights using the length-weight relationship of
 192 Andersen and Beyer (2015). The small size class of the forage fish (SF) is an immature stage of
 193 both larvae and juveniles, and the medium size class is the mature adult stage (MF). For large
 194 pelagic and demersal fish, the small size class is representative of the larvae (SP, SD), the
 195 medium the juveniles (MP, MD), and the large the adults (LP, LD). The number of groups (2 for
 196 small, early maturing fish and 3 for large, late maturing fishes) was chosen *a priori*. FishBase
 197 was consulted via the R package “rfishbase” (Boettiger et al. 2012) to determine the mean
 198 lengths (“TL”) and weights (“Weight”) of fishes by the “Order” and “DemersPelag” categories
 199 to ensure that the geometric mean sizes represented typical forage and large pelagic fishes. While
 200 these size bins and functional types are coarse, they allow us to capture the basic contrasts and
 201 life cycle stages for the functional types of interest herein in a numerically efficient way tractable
 202 for long, global simulations. The structure is also intended as a framework that can be expanded
 203 to other functional types or refined for a specific species as needed.



204 **Figure 1.** Model structure denoting the four fish size classes, three functional types, three
 205 habitats, two prey categories, and feeding interactions (arrows). Dashed arrow denotes feeding
 206 only occurs in shelf regions with depth <200 m. The dotted line surrounds biomass that is input
 207 from an ESM. In this case, the COBALT medium and large zooplankton fall into the meso and
 208 small fish size classes respectively, though other plankton food web models could be used.
 209 [Color in print and online]
 210

211
 212 The general form of the mass-conserving model is derived from the stage-structured
 213 formulation of De Roos et al. (2008), which approximates a continuously size-based formulation
 214 into a few stages. The central assumption is that the ratio of mortality to mass-specific growth

215 rate is independent of body size within a life stage, such as is when growth and mortality scale
 216 metabolically with mass. FEISTY is based on a simplification of a full size-structured model
 217 where growth and mortality will indeed scale metabolically (Andersen & Beyer 2006, Hartvig et
 218 al. 2011), but vary between life stages. In contrast to the simplistic growth and mortality rates of
 219 De Roos et al. (2008), those in a dynamic simulation such as FEISTY will not scale purely
 220 metabolically because they are emergent results of both metabolic factors and dynamic changes
 221 in prey and predators. In this case the formulation is not exact, but a useful numerical
 222 approximation that has been used successfully for similar applications (e.g. Van Leeuwen et al.
 223 2008).

224 Each functional type is modeled as a collection of size-classes, with smaller classes
 225 growing into larger size classes. The fish biomass density in size and stage class i (B_i , g m^{-2})
 226 evolves with time (t , d) as:

$$227 \quad \frac{dB_i}{dt} = B_i \cdot (v_i - \rho_i - \gamma_i - \mu_{nat}) + R_i - \psi_i - H_i, \quad (1)$$

228 where v (d^{-1}) is the biomass-specific rate of energy assimilation available for growth and
 229 reproduction (i.e., total assimilation minus catabolic respiration), ρ (d^{-1}) is the biomass-specific
 230 rate of energy used for reproduction, γ (d^{-1}) is the biomass-specific rate of energy used for
 231 somatic growth to the next size class, and μ_{nat} (d^{-1}) is the biomass-specific natural mortality rate
 232 (Table 1). The biomass recruiting from the size class below or, in the case of the larvae, via
 233 reproduction, is given by R_i . The biomass lost to predation by larger size classes (ψ , $\text{g m}^{-2} \text{d}^{-1}$)
 234 arises through the modeled consumption, and H ($\text{g m}^{-2} \text{d}^{-1}$) is the biomass lost to fishing harvest.
 235 The parameterization of each of these terms is described in the subsections that follow.

236 Benthic invertebrates, which consist of a pool with no explicit size that derives energy
 237 from the detrital flux to the sea floor, are modeled separately from the fish functional types. The
 238 invertebrate biomass density (B_I ; g m^{-2}) over time is

$$239 \quad \frac{dB_I}{dt} = \beta \cdot det_btm - \psi_I, \quad (2)$$

240 where β represents the transfer efficiency from detritus to benthic invertebrates and ψ_I is the
 241 predation losses ($\text{g m}^{-2} \text{d}^{-1}$) via consumption by the demersal medium and large size classes. The
 242 parameter β reflects both the respiration costs of the benthic invertebrates and the fraction of the
 243 detrital flux that is buried or remineralized directly by bacteria. The value of β was
 244 parameterized such that the global distribution of benthic invertebrates closely resembled the
 245 megafauna estimates of Wei et al. (2010) and the trophic level of large demersal fish was >3 in
 246 coastal regions.

247 Spatially, FEISTY is comprised of a set of discretized ordinary differential equations
 248 representing a demographic system at each spatial grid cell, being forced offline by vertically
 249 integrated temperature, vertically integrated zooplankton biomass concentrations and mortality
 250 losses, and bottom temperature and detrital fluxes. To step the model forward in time we used a
 251 simple forward-Euler scheme, integrated with a daily time step. The forward-Euler scheme is
 252 stable at these temporal scales and the spatial scales of the global model grid.
 253

Symbol	Description	Value	Units	Source
<i>Parameters</i>				
a_C	maximum consumption intercept	0.0548	$g^{bc-1} d^{-1}$	Hartvig*
a_E	encounter intercept	0.1918	$m^2 g^{be-1} d^{-1}$	Hartvig*
a_M	metabolism intercept	0.011	$g^{bm-1} d^{-1}$	Hartvig*
α	assimilation efficiency	0.7	--	Watson
b_C	maximum consumption slope	-0.25	--	Hartvig
b_E	encounter slope	-0.20	--	Hartvig
b_M	metabolism slope	-0.175	--	Hartvig*
β	transfer efficiency from detritus to benthic invertebrates	0.075	--	RD*
dt	time step	1	d	
ε	reproductive efficiency	0.01	--	JC
f	fishing mortality rate	8.22E-04	d^{-1}	AB
k	temperature sensitivity of most rates	0.063	$^{\circ}C^{-1}$	Stock
k_M	metabolism temperature sensitivity	0.0855	$^{\circ}C^{-1}$	Stock*
κ	fraction of energy allocated to growth	1, 1, 0.5	--	
L_L	length of large size class individual	292.4–2320.8 (mean 824)	mm	WL, AB
L_M	length of medium size class individual	36.8–292.4 (mean 104)	mm	WM, AB
L_S	length of small size class individual	4.6–36.8 (mean 13)	mm	ws, AB
μ_{nat}	natural mortality rate constant	2.74E-04	d^{-1}	
T_0	metabolic rates reference temperature	10	$^{\circ}C$	Hartvig
θ	prey preference	Table 2		
θ_A	large fishes preference on medium forage fish	0.5	--	calibration
θ_D	preference of large demersals on pelagic prey	0.75	--	vanD*
θ_S	medium fish preference on medium zooplankton	0.25	--	
W_L	weight of large size class individual	250–125000 (mean 5600)	g	
W_M	weight of medium size class individual	0.5–250 (mean 11.2)	g	

ws	weight of small size class individual	0.001–0.5 (mean 0.02)	g	
z	ratio of the initial to the final body size of each size class	0.002	--	WL, WM, ws
<i>Forcing</i>				
det_btm	flux of detrital matter to the ocean bottom	forcing	$\text{g m}^{-2} \text{d}^{-1}$	COBALT
lgz	large zooplankton biomass integrated over the top 100 m	forcing	g m^{-2}	COBALT
loss_lgz	biomass of large zooplankton lost to higher predators integrated over the top 100 m	forcing	$\text{g m}^{-2} \text{d}^{-1}$	COBALT
loss_mdz	biomass of medium zooplankton lost to higher predators integrated over the top 100 m	forcing	$\text{g m}^{-2} \text{d}^{-1}$	COBALT
mdz	medium zooplankton biomass integrated over the top 100 m	forcing	g m^{-2}	COBALT
T _b	bottom temperature	forcing	°C	COBALT
T _p	mean temperature in the upper 100 m	forcing	°C	COBALT
Y	prey biomass	forcing, simulated	g m^{-2}	COBALT, eqs. 1, 2
<i>Simulated</i>				
A	mass-specific search rate	simulated	$\text{m}^2 \text{g}^{-1} \text{d}^{-1}$	eq. 4
B	biomass of fish or benthic invertebrates	simulated	g m^{-2}	eqs. 1, 2
C	mass-specific maximum consumption rate	simulated	$\text{g g}^{-1} \text{d}^{-1}$	eq. 6
E	mass-specific encounter rate	simulated	$\text{g g}^{-1} \text{d}^{-1}$	eq. 3
γ	energy for growth	simulated	$\text{g g}^{-1} \text{d}^{-1}$	eq. 10
H	biomass lost to fishing	simulated	$\text{g m}^{-2} \text{d}^{-1}$	eq. 14
I	mass-specific consumption rate	simulated	$\text{g g}^{-1} \text{d}^{-1}$	eq. 5
λ	fraction of time spent in the pelagic	simulated	--	eq. 15
M	biomass-specific basal metabolic rate	simulated	$\text{g g}^{-1} \text{d}^{-1}$	eq. 9
μ_{tot}	total mortality rate	simulated	d^{-1}	eq. 11
ν	total energy available for growth and reproduction	simulated	$\text{g g}^{-1} \text{d}^{-1}$	eq. 8
R	biomass recruiting to the next size class	simulated	$\text{g m}^{-2} \text{d}^{-1}$	eq. 13
ρ	energy for reproduction	simulated	$\text{g g}^{-1} \text{d}^{-1}$	eq. 12
T	habitat temperature	simulated	°C	eq. 16
ψ	biomass lost from predation by larger size classes	simulated	$\text{g m}^{-2} \text{d}^{-1}$	eq. 7

254 **Table 1.** Model parameters, forcing, and key simulated variables. Means are geometric means.
 255 Parameter values are those used in the final simulation. The “forcing” designation indicates
 256 externally-imposed model forcing that are derived from the ESM2.6-COBALT (Section 2.1).
 257 Simulated quantities are those derived from the model-governing equations, given a specified set
 258 of parameters and forcing. Note that these simulated variables are in addition to the core model
 259 state variables summarized in Figure 1. Parameter sources are provided: AB = Andersen &
 260 Beyer 2015; Hartvig = Hartvig et al. 2011, Hartvig & Andersen 2013; JC = Jennings &
 261 Collingridge 2015; RD = Rowe & Demming 1985, Rowe & Demming 2011; Stock = Stock et al.
 262 2014; Watson = Watson et al. 2015; vanD = van Denderen et al 2018. Those marked with an
 263 asterisk began as the baseline value from this source, but were altered through model calibration
 264 (Appendix).

265

266 2.2.2 Consumption and Predation

267 Predation is the consequence of consumption following encounter. The biomass-specific
 268 encounter rate, $E_{i,j}$ (d^{-1}), between predator i and prey type j is a temperature-dependent function
 269 of prey biomass, Y_j (g m^{-2}), prey preference, θ_j , and fish weight,

$$270 E_{i,j} = \theta_j \cdot Y_j \cdot A_i, \quad (3)$$

271 where A_i is the mass-specific search rate ($\text{m}^2 \text{g}^{-1} \text{d}^{-1}$):

$$272 A_i = \exp(k \cdot (T - T_0)) \cdot a_E \cdot w_i^{b_E}, \quad (4)$$

273 where T_0 is 10°C (See section 2.2.5 on temperature-dependence). Mass-specific consumption of
 274 prey biomass, I (d^{-1}), is calculated using a multi-prey Type II feeding function:

$$275 I_i = \sum_{j \in J} \frac{C_i \cdot E_{i,j}}{C_i + \sum_{j \in J} E_{i,j}}, \quad (5)$$

276 where we use the index j for prey in the diet set J , which depends on the predator (see below).

277 The mass-specific maximum consumption rate, C (d^{-1}), is:

$$278 C_i = \exp(k \cdot (T - T_0)) \cdot a_C \cdot w_i^{b_C}, \quad (6)$$

279 where k governs temperature sensitivity and b_C is an allometric scaling constant determining
 280 body-size dependence. Following this, the predation rate of a given functional type in size class i ,
 281 ψ_i ($\text{g m}^{-2} \text{d}^{-1}$),

$$282 \psi_i = \sum_{n \in i+1} I_{i,n} \cdot B_n, \quad (7)$$

283 is the sum of consumption by the predators of the next size class up ($n \in i+1$).

284 The diet set J varies amongst the groups as previously described and shown in Figure 1,
 285 with a full prey preference matrix given in Table 2. In the basic model formulation, all of the
 286 linkages between fish in Figure 1 are assumed to have equal preferences/prey availability ($\theta=1$).
 287 We explore the necessity of additional prey avoidance and predator specialization for
 288 coexistence of fish functional types in a series of experiments (Section 2.3). To support this, we
 289 allow the medium-size fish to consume the small zooplankton size class, though two size classes
 290 removed from them, at a lesser preference, θ_S . We explore the implication of enhanced predator
 291 avoidance by adult forage fish relative to the juvenile stages of larger fish by reducing their
 292 availability to large predators, θ_A . Lastly, θ_D diminishes the feeding effectiveness of demersal
 293 generalists feeding on pelagic prey relative to pelagic specialists.
 294 FEISTY is coupled with the zooplankton fields from COBALT in a manner that ensures fish
 295 cannot consume more energy than zooplankton can provide. COBALT creates large-scale
 296 patterns in medium and large zooplankton productivity that are consistent with observed patterns
 297 (Stock et al. 2014). This sets an upper bound for fish consumption, but zooplankton production

298 can also be lost to natural mortality, unresolved cannibalism within zooplankton groups, or
 299 predators not resolved by our model (e.g., gelatinous zooplankton, marine mammals). If the
 300 consumption calculated by FEISTY is less than available zooplankton production from
 301 COBALT, the excess energy is presumed lost to these other pathways. If the calculated fish
 302 model feeding rates are greater than available zooplankton production, we reduce feeding rates
 303 proportionally so that energy is conserved.
 304

	Bent	MZ	LZ	SF	SP	SD	MF	MP	MD
SF		1							
SP		1							
SD		1							
MF		θ_S	1	1	1	1			
MP		θ_S	1	1	1	1			
MD	1								
LP							θ_A	1	
LD	1						$\theta_A \cdot \theta_D$	θ_D	1

305 **Table 2.** Feeding preferences with predators in the rows (labeled with leftmost column) and prey
 306 in the columns (labeled with top row). S: small, M: medium, L: large, Bent: benthic
 307 invertebrates, Z: zooplankton, F: forage fish, P: large pelagic fish, D: demersal fish. See Table 1
 308 for θ values.
 309

310 2.2.3 Growth and Reproduction

311 The total biomass-specific energy available for growth or reproduction (production rate)
 312 for a given size-class i is:

$$313 \quad v_i = \alpha \cdot I_i - M_i, \quad (8)$$

314 where α is the food assimilation efficiency and M is biomass-specific basal metabolic costs (d^{-1}).
 315 Basal metabolic costs are

$$316 \quad M_i = \exp(k_M \cdot (T - T_0)) \cdot a_M \cdot w_i^{b_M}, \quad (9)$$

317 where k_M governs temperature sensitivity and b_M dictates size-dependence. Following De Roos et
 318 al. (2008), the growth to the next size class (maturation rate) is:

$$319 \quad \gamma_i = \frac{\kappa_i v_i - \mu_{toti}}{1 - z_i^{(1 - \mu_{toti}/(\kappa_i v_i))}}, \quad (10)$$

320 where μ_{toti} is the total mortality rate of fish class i (d^{-1}), which is the sum of the natural mortality
 321 rate, the predation rate, and the fishing mortality rate, all expressed as biomass-specific rates:

$$322 \quad u_{toti} = u_{nat} + \frac{\psi_i}{B_i} + f_i, \quad (11)$$

323 and where z_i is the ratio of the initial to the final body size that a particular life stage
 324 encompasses. Thus, z_i reflects the size range that an individual has to grow through before
 325 maturing to the next size class. κ_i is a unit-less parameter that controls the fraction of v_i used for
 326 somatic growth, hence $1 - \kappa_i$ is the energy invested in the production of eggs for each size-class i .
 327 Each functional type only has one size class with mature individuals. In the immature size
 328 classes 100% of energy is allocated to growth ($\kappa=1$). Since the mature size class spans a range of
 329 sizes, we assume that it represents both adults that have reached their maximum size and those

330 that are still growing. For this mature group, energy is split 50% towards reproduction and 50%
 331 towards growth ($\kappa=0.5$). The energy available for reproduction is:

$$332 \quad \rho_i = v_i \cdot (1 - \kappa_i) . \quad (12)$$

333 Since there is no larger size class for the adults to mature to, the available energy, γ , determining
 334 the flux out of the size class is instead available for reproduction. Biomass in the smallest size
 335 classes is produced from reproduction with an efficiency, ε , that accounts for egg mortality and
 336 other processes that reduce the number of larvae from the mass-specific fecundity of mature
 337 females (e.g. sex ratios). The biomass recruiting to the smallest size class ($i=1$) or the next size
 338 class up ($i>1$) is

$$339 \quad R_i = \begin{cases} \varepsilon \cdot (\rho_A + \gamma_A) \cdot B_A, & i = 1 \\ \gamma_i B_{i-1}, & i > 1 \end{cases} , \quad (13)$$

340 where the subscript A denotes an adult stage (MF, LP, or LD).

341

342 2.2.4 Non-predation Mortality

343 Natural mortality, μ_{nat} (d^{-1}), from sources other than piscivory (e.g. disease, zooplankton,
 344 birds, marine mammals) is treated as a constant equivalent to 0.1 y^{-1} . In addition, mortality from
 345 fishing harvest is simulated by applying a constant fishing mortality rate, f (d^{-1}),

$$346 \quad H_i = f_i \cdot B_i . \quad (14)$$

347

348 2.2.5 Temperature-dependence of model rates

349 A broad range of temperature relationships have been reported for marine teleost fishes,
 350 but most estimates cluster around a doubling in biological rates for each 10°C temperature
 351 increase (Q_{10}). A meta-analysis of resting metabolism by Clarke and Johnston (1999) found
 352 within-species Q_{10} values that ranged from 0.45 to 3.41, with a median of 2.40, whereas their
 353 cross-species analysis resulted in a Q_{10} of 1.83. As a starting point, a Q_{10} of 1.88 from Eppley
 354 (1972) and the COBALT plankton biological rates (Stock et al. 2014) was adopted. We assumed
 355 that encounter and consumption rates followed the lower temperature-sensitivity of anabolism
 356 (Perrin 1995), here represented with a Q_{10} of 1.88.

357 The temperature, T , used to calculate rates varies by fish functional type and feeding
 358 behavior. For pelagic stages $T=T_p$ and for benthic stages $T=T_b$. For demersal adults in coastal
 359 areas, the temperature depends on the estimated fraction of time spent in the pelagic, λ , and
 360 demersal zones ($1-\lambda$). While the adult demersals do not explicitly split their time between
 361 environments, the temperature weighting is proportional to the biomass of prey (medium size
 362 fish and benthos) in both areas,

$$363 \quad \lambda = \frac{B_{MF} + B_{MP}}{B_{MF} + B_{MP} + B_{MD} + B_{Bent}} . \quad (15)$$

364 The effective temperature for adult demersals is then calculated as

$$365 \quad T = T_p \cdot \lambda + T_b(1 - \lambda) . \quad (16)$$

366

367 2.3 Parameter sensitivity and conditions for the coexistence of functional types

368

369 Our initial simulations used uniform prey availability/preference for all the predator-prey
 370 linkages shown in Figure 1 ($\theta=1$) and the most commonly employed biological rate allometric
 371 relationships (b_C , b_E , b_M). We use a perturbation analysis to understand the basic sensitivities in
 372 the patterns of fish biomass distributions and to devise a pragmatic strategy for tuning at the
 373 global scale (Appendix), leading to the parameter values in Table 1. The sensitivity analysis

374 perturbed parameters by $\pm 10\%$ from those most commonly employed in the literature (Table
375 A1). Parameter sensitivity was calculated as the difference in \log_{10} -transformed mean biomass of
376 the perturbation, $Pert$, from the base level, $Base$,

$$377 \quad S_n = \log_{10}(\overline{Pert}_n) - \log_{10}(\overline{Base}_n), \quad (17)$$

378 where n denotes the response variable. The logarithmic transformation was used to ensure
379 similar weighting of changes across orders of magnitude. Five different perturbation response
380 metrics were chosen: forage fish biomass, large pelagic fish biomass, demersal fish biomass, low
381 latitude biomass (latitudes $< 30^\circ\text{N}$ or S), and high latitude biomass ($> 30^\circ\text{N}$ or S). The magnitude
382 of all response metrics was calculated as the square root of the sum of all five squared (i.e., the
383 L2 norm of the response vector). Responses of parameter changes were clustered using the
384 “hclust” routine in RStudio v1.0.143.

385 Considered together, these response metrics provide a broad yet concise perspective on
386 how perturbations to each parameter can affect the global distribution and prominence of each
387 functional type, as well as the total biomass. This perspective is central to the objective of
388 understanding the dominant factors determining the global distribution and productivity of these
389 functional types. While a complete optimization across all parameters is not possible in a 3D
390 global context, the perturbation analysis provides a transparent means of optimizing over a
391 limited number of key controls to obtain reasonable agreement with observations. The details of
392 this calibration are discussed in Section 3.2 and further details are provided in the Appendix. We
393 acknowledge that there may be other pathways to similar skill, but a complete exploration of
394 these pathways falls outside the scope of paper.

395

396 **2.4 Generalized additive model of functional type dominance**

397

398 Generalized additive modeling (GAM) of fisheries landings data (Watson 2017) binned
399 by ecoregion found that the fraction of large pelagic fish out of total large pelagic and demersal
400 fishes could best be estimated by the ratio of pelagic resources to benthic resources (van
401 Denderen et al. 2018). We similarly estimated GAMs to compare the results of FEISTY to these
402 findings, and to isolate the dominant environmental drivers of functional type dominance. Three
403 different response variables were estimated: (i) the fraction of large pelagics out of all fishes with
404 large adults ($P/(P+D)$), (ii) the fraction of large pelagics out of all pelagic-inhabiting fishes
405 ($P/(P+F)$), and (iii) the fraction of large fishes out of all large and medium fishes ($(LP+LD)/$
406 $(LP+LD+MP+MD+MF)$). For each of these fractions, the same four regressors were examined in
407 isolation as drivers: \log_{10} -transformed net primary production (NPP, $\text{mg C m}^{-2} \text{d}^{-1}$), \log_{10} -
408 transformed ratio of zooplankton production lost to higher predation to detritus flux to the
409 seafloor (Zloss:Det), upper water column (0-100 m) temperature (PelT, $^\circ\text{C}$), and the proportion
410 of the LME that was continental shelf, as expressed as the fraction of the area $< 200 \text{ m}$
411 (Frac $< 200\text{m}$). GAM is a nonlinear extension of multiple linear regression that represents the
412 dependence of a single response variable on a set of regressors, each through a smooth function,
413 that interact additively with the response (Hastie & Tibshirani 1990). Our analysis was
414 completed with the “betareg” package (Cribari-Neto & Zeileis 2010) in RStudio v1.0.143 using a
415 beta distribution (suitable for proportional data) with a probit link function and splines with a
416 maximum of 3 knots as the smoothing function.

417

418 **2.5 Comparison with historical fish catches**

419

420 The assessment of the realism of the simulated global distribution of fish functional types
421 in FEISTY is based, by necessity, on reconstructed fish catch (Pauly & Zeller 2015). With our
422 objective of understanding the bottom-drivers of spatial catch patterns that often vary by orders
423 of magnitude, the fishing parameterization in FEISTY was kept as simple as possible. Fishing
424 was implemented with a constant fishing mortality rate in space and time. Fisheries mainly
425 targeted adult fishes (MF, LP, and LD) under the implicit assumption that fisheries adjust their
426 gear to target those fishes. Juvenile fishes in the medium size class (MP and MD) experienced a
427 fishing mortality of 10% of the fishing rate to represent bycatch and reduced selection by fishing
428 gear. We used a fishing mortality rate that would result in approximately maximum sustainable
429 yield across all three functional types, 0.3 yr^{-1} (Andersen & Beyer 2015).

430 Fishery-independent observations and estimates of fish abundance are very sparse.
431 Though our analysis focuses on bottom-up effects, we must rely on fisheries catch data for model
432 validation because they have the most global coverage. We use a global catch reconstruction
433 from the Sea Around Us (SAU) project that incorporates estimates of industrial fisheries, small-
434 scale fisheries, and discards (Pauly & Zeller 2015). We compared SAU catches to those
435 simulated by the model at the spatial level of large marine ecosystems (LMEs). LMEs are
436 “relatively large regions on the order of 200,000 km² or greater, characterized by distinct: (1)
437 bathymetry, (2) hydrography, (3) productivity, and (4) trophically dependent populations”
438 (www.lme.noaa.gov/Portal/). These LMEs primarily cover coastal ecosystems and inland seas
439 (22% of the ocean area), but account for >95% of fish catch in the SAU reconstruction.
440 Following the results of Stock et al. (2017), we removed 21 of the 66 LMEs that were identified
441 as low-effort, low-catch (LELC) outliers. These included the oligotrophic insular
442 Pacific/Hawaiian LME, most polar LMEs where ice and severe weather tend to restrict effort,
443 and Australian LMEs where conservative regulations limit catch (Flood et al. 2014, AFMA
444 2015, Mcowen et al. 2015). The remaining 45 LMEs accounted for 93.4% of the total catch in all
445 66 LMEs.

446 For each of these 45 LMEs, we compared total catch and catch by functional type. There
447 are 24 groups of fishes in the SAU database, defined by size and functional type (Table 4;
448 Palomares et al. 2015). We mapped these onto the two sizes and three functional types that were
449 harvested in the model: F (MF), P (MP and LP), and D (MD and LD; Table 4). In most
450 instances, a SAU category was 100% representative of a FEISTY fish type. The two exceptions
451 were sharks, which can be either pelagic or demersal. Similar to the weighting scheme of
452 Friedland et al. (2012), we split these two groups, Small to Medium Sharks (<90 cm) and Large
453 Sharks (≥ 90 cm), evenly into 50% pelagic and 50% demersal.

454 Catches by LME and fish group were obtained for the years 1950–2010. For each LME,
455 we reduced the catch dataset to the years with the top 10 annual total catches (c.f. Cheung et al.
456 2008, Stock et al. 2017). These 10 years were used to calculate the mean catch of all fishes and
457 by type for comparing to model results. The top 10 years are assumed to approximate maximum
458 fish catch potential in heavily fished LMEs, and hence likely reflect constraints from bottom-up
459 ocean productivity. Further, 10 years was chosen so that the time period short enough to exclude
460 long time periods before industrialized fishing. Two different metrics were used for comparing
461 the log₁₀-transformed annual catches (MT km⁻² y⁻¹) by LME: the correlation coefficient (r) and
462 root mean square error (RMSE).

463
464

Functional Group	Description	F	P	D
pelagic _{sm}	Small Pelagics (<30 cm)	1	0	0
pelagic _{md}	Medium Pelagics (30 - 90 cm)	0	1	0
pelagic _{lg}	Large Pelagics (≥90 cm)	0	1	0
demersal _{sm}	Small Demersals (<30 cm)	0	0	0
demersal _{md}	Medium Demersals (30 - 90 cm)	0	0	1
demersal _{lg}	Large Demersals (≥90 cm)	0	0	1
bathypelagic _{sm}	Small Bathypelagics (<30 cm)	1	0	0
bathypelagic _{md}	Medium Bathypelagics (30 - 90 cm)	0	1	0
bathypelagic _{lg}	Large Bathypelagics (≥90 cm)	0	1	0
bathydemersal _{sm}	Small Bathydemersals (<30 cm)	0	0	0
bathydemersal _{md}	Medium Bathydemersals (30 - 90 cm)	0	0	1
bathydemersal _{lg}	Large Bathydemersals (≥90 cm)	0	0	1
benthopelagic _{sm}	Small Benthopelagics (<30 cm)	0	0	0
benthopelagic _{md}	Medium Benthopelagics (30 - 90 cm)	0	0	1
benthopelagic _{lg}	Large Benthopelagics (≥90 cm)	0	0	1
reef-associated _{sm}	Small Reef assoc fish (<30 cm)	0	0	0
reef-associated _{md}	Medium Reef assoc fish (30 - 90 cm)	0	0	1
reef-associated _{lg}	Large Reef assoc fish (≥90 cm)	0	0	1
shark _{sm-md}	Small to Medium Sharks (<90 cm)	0	0.5	0.5
shark _{lg}	Large Sharks (≥90 cm)	0	0.5	0.5
ray _{sm-md}	Small to Medium Rays (<90 cm)	0	0	1
ray _{lg}	Large Rays (≥90 cm)	0	0	1
flatfish _{sm-md}	Small to Medium Flatfishes (<90 cm)	0	0	1
flatfish _{lg}	Large Flatfishes (≥90 cm)	0	0	1

466 **Table 4.** The weighting of SAU functional groups in FEISTY functional types for catch
 467 comparisons by functional type.

468

469 3. RESULTS

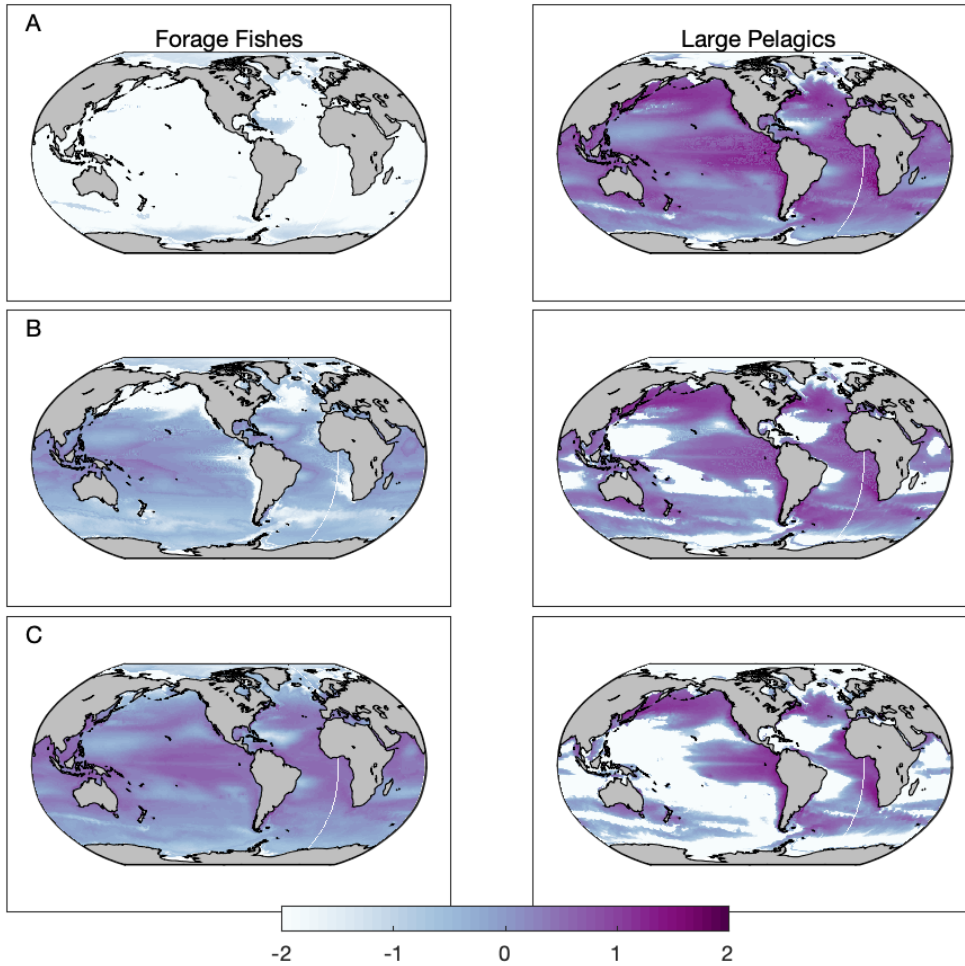
470

471 **3.1 Controls on the distribution and coexistence of fish functional types**

472

473 Predator-prey and metabolic relationships based on standard weight and temperature
 474 scaling relationships did not allow for coexistence of forage fish and large pelagic fish, with
 475 large pelagics easily outpacing forage fish (Figure 2A). The parameter perturbation analysis
 476 revealed diverse ways of modulating the relative abundance of different functional types and
 477 their latitudinal distribution (Figure 3). Since the primary bias of model simulations with
 478 literature parameter values was too few forage fish, Figure 3 shows the responses associated with
 479 parameter shift directions that result in a positive change in forage fish biomass (noting that the
 480 opposite change is generally anticorrelated and thus not shown). Furthermore, to focus analysis
 481 on those parameters exerting significant controls on the fisheries patterns the model is intended

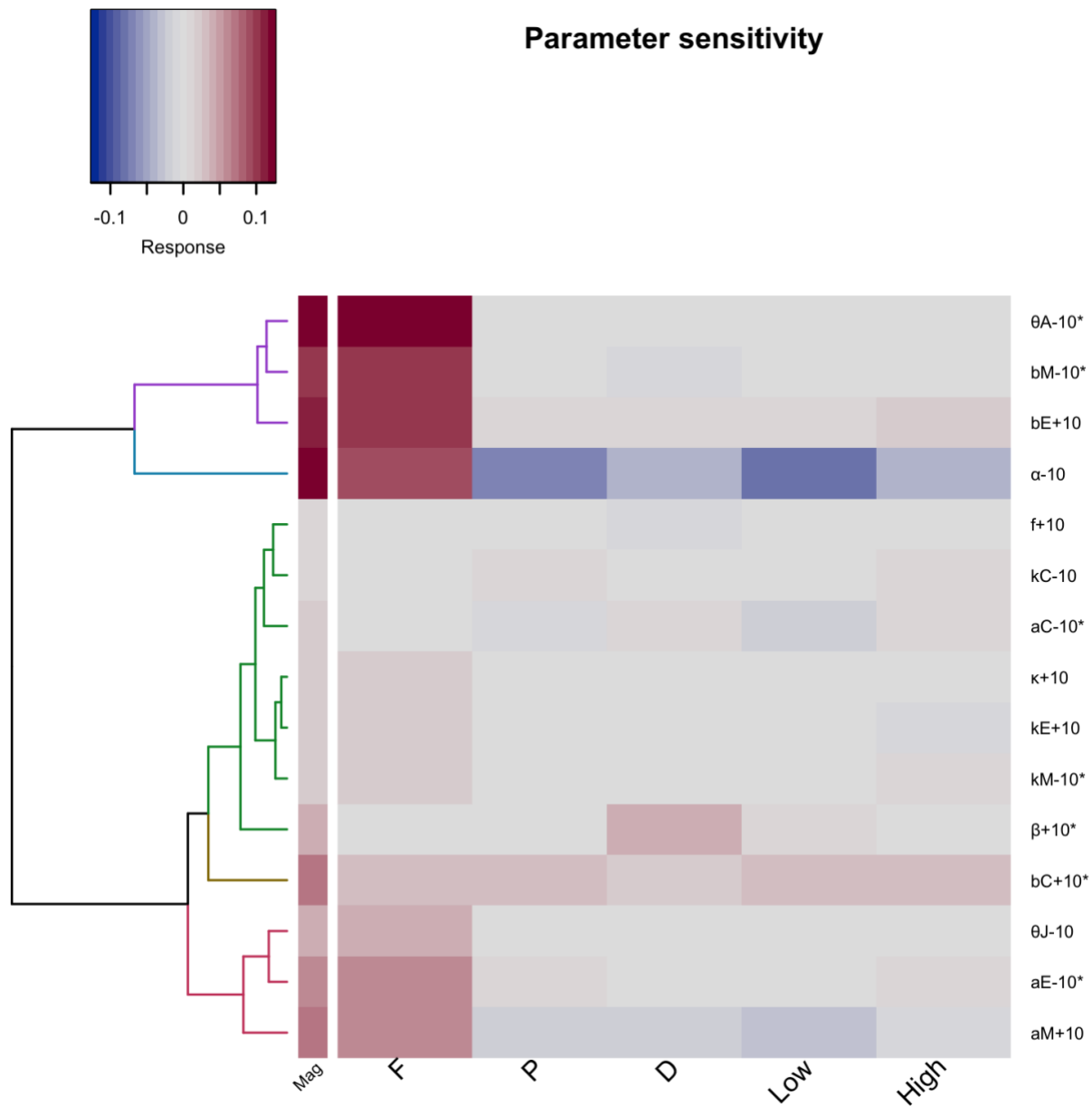
482 to simulate, we have limited the parameters shown in Figure 3 to those producing a total
 483 response magnitude beyond the first quartile. The primary subdivisions occur between those
 484 parameters exerting large control on the forage fish biomass (top cluster in Figure 3 with blue
 485 and purple lines of the dendrogram), moderate control (bottom cluster in Figure 3 with red and
 486 brown lines of the dendrogram), and those that do not (middle cluster in Figure 3 with greens
 487 lines of the dendrogram). Within the top “large forage fish control” and bottom “moderate forage
 488 fish control” clusters, there are smaller subdivisions by the effects on other groups.



489
 490 **Figure 2.** Distribution of \log_{10} biomass (g m^{-2}) of forage fish (left) and large pelagic fish (right).
 491 Coexistence in productive regions required metabolic scalings with size that were favorable for
 492 small fish and an assumption that predator avoidance in adult forage fish exceeded that of the
 493 juvenile stages of larger fish. Simulations with (A) $b_M = -0.25$ and $\theta_A = 1.0$, (B) $b_M = -0.175$ and
 494 $\theta_A = 1.0$, and (C) $b_M = -0.175$ and $\theta_A = 0.5$. [*Color in print and online*]

495
 496 The top cluster suggests several options to address the extreme scarcity of forage fish in
 497 our initial simulation. Two of the 3 parameters producing the largest forage fish increases
 498 controlled the size-dependence of biological rates (Figure 3). Either i) decreasing the weight
 499 sensitivity of metabolism (less negative b_M) such that the metabolic penalty for being smaller
 500 was not as great; or ii) increasing the weight sensitivity of the encounter rate (more negative b_E)
 501 such that the biomass-specific encounter rate advantage of being small was greater, led to
 502 marked increases in forage fish biomass. This sensitivity of forage versus large-pelagic

503 dominance to metabolic scalings with size is consistent with the findings of De Roos et al.
 504 (2003). However, shifting b_M within the observed range while maintaining other constraints
 505 failed to upend the dominance of large pelagic fish in all but a few oligotrophic systems (Figure
 506 2B). Forage fish only became prevalent when more advantageous metabolic scalings were
 507 combined with the parameter exhibiting the greatest single impact on forage fish abundance:
 508 enhanced predator avoidance by adult forage fish relative to juvenile large fishes sharing the
 509 same medium size class (Figure 3; θ_A). Changing θ_A from 1 to 0.5 produced robust coexistence
 510 in highly productive regions, with truncated food webs dominated by forage fish in lower
 511 productivity subtropical gyres (Figure 2C). While the perturbation analysis suggests that the
 512 additive effects of many perturbation across other parameters may be able to produce similar
 513 modulations in prominence, it is notable that this would require numerous shifts of parameters to
 514 the extreme ends of their uncertainty ranges.

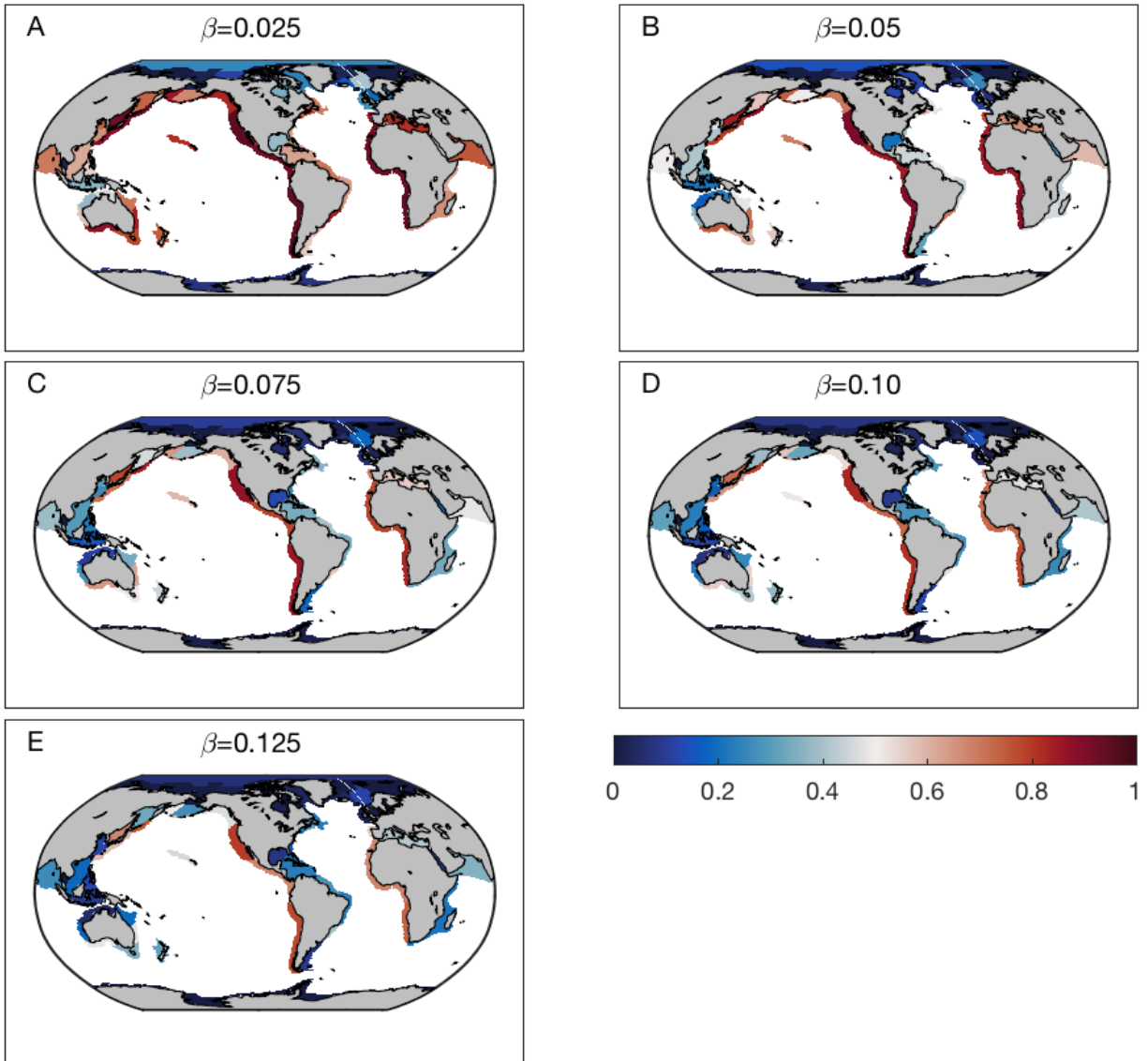


515

516 **Figure 3.** Heatmap and clustering of $\pm 10\%$ parameter changes from the base level (Table 3).
517 Response values are the percent difference in biomass of: Forage fish biomass (F), Large pelagic
518 fish biomass (P), Demersal fish biomass (D), all biomass in low latitudes ($<30^\circ\text{N}$ or S, Low), all
519 biomass at higher latitudes ($>30^\circ\text{N}$ or S, High). The colorbar on the left shows the total
520 magnitude (Mag) of all responses. For the slope of biomass-specific allometric relationships (b_M ,
521 b_E , b_C), which are generally negative, perturbations refer to the magnitude of the negative slope
522 (i.e., b_M-10 results in a reduced weight sensitivity of metabolic costs, which leads to more forage
523 fish because the metabolic penalty for being small is not as great as the unperturbed case).
524 Parameters with an asterisk were adjusted in the model calibration (see Appendix). [*Color in*
525 *print and online*]
526

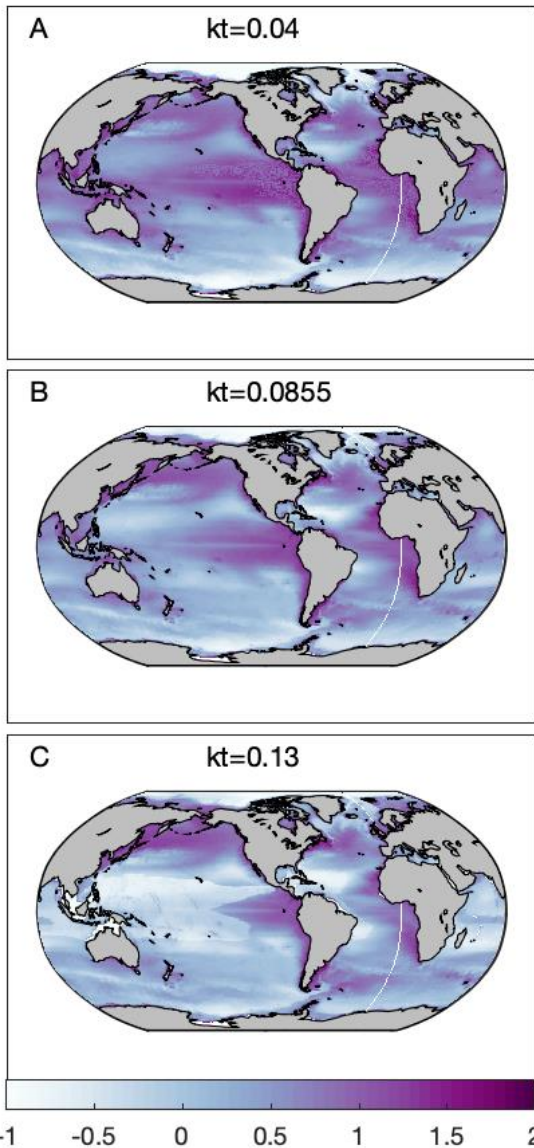
527 Several perturbations in the top “large forage fish control” cluster also exhibit secondary
528 demersal responses. In contrast, the benthic efficiency (β) exerts a relatively strong and targeted
529 effect on demersal biomass. Assuming a low benthic efficiency ($\beta=0.025$) produced benthic
530 invertebrate biomasses (Supp Figure S1) much lower than the empirical estimates of Wei et al.
531 (2010) at high latitudes and generally resulted in the dominance of large pelagic fish over
532 demersals in most non-polar latitudes (Figure 4A). This imbalance, particularly in the North
533 Pacific, could be remedied with a moderate increase in β (e.g., Figure 4C). Greater increases in
534 β could produce demersal-dominant catches in many ecosystems (e.g., Figure 4E) and start to
535 approach the high latitude benthic biomass estimates of Wei et al. (2010), but create values far
536 above these estimates in subtropical gyres (Supp Figure S1).

537 The capacity to modulate the relative prominence of low versus high latitude fish
538 biomass is generally limited relative to the capacity to modulate functional types (Figure 3).
539 When focusing on those parameters producing the largest relative change between low and high
540 latitude systems (e.g., increase low latitude biomass and decrease high latitude biomass), the
541 most effective parameters are the assimilation efficiency (α) and the intercepts of the maximum
542 consumption and metabolism allometric relationships (a_C and a_M). In all these cases, parameter
543 perturbations that decrease the energy available for growth (decreasing assimilation or maximum
544 consumption by 10%, increasing metabolic costs by 10%) have a disproportionately negative
545 impact in lower latitudes where energetic constraints are generally tighter. This response,
546 however, is often secondary to others for these variables.
547



548
 549 **Figure 4.** The mean fraction of large pelagics out of large pelagic fish and demersals with
 550 varying benthic efficiency, β , at the LME scale. [Color in print and online]
 551

552 The next three most effective parameters at modulating the latitudinal distribution of fish
 553 are the temperature dependence coefficients of the metabolic, maximum consumption, and
 554 encounter rates, respectively (k_M , k_C , and k_E). While their effect may seem subtle in Figure 3,
 555 modulation of these temperature sensitivities over the full range of uncertainty can lead to
 556 marked changes in the global fish distribution. For example, increasing the temperature-
 557 dependence of k_M to the high end of its uncertainty leads to a marked reduction in low latitude
 558 fish biomass (Figure 5) because of warm water respiration increases. This effect is particularly
 559 strong in oligotrophic subtropical gyres where energy surpluses are particularly small. In
 560 contrast, the biomass in cooler, high latitude systems is enhanced. It is also notable that, unlike
 561 α , a_C , and a_M , the temperature coefficients have relatively small responses of the functional type
 562 biomasses, thus providing a relatively efficient way to modulate the latitudinal distribution
 563 without strongly impacting other quantities.



564
 565 **Figure 5.** Distribution of all fish \log_{10} biomass (g m^{-2}) with different basal metabolism
 566 temperature sensitivities: k_M equal to (A) 0.0405 ($Q_{10}=1.50$), (B) 0.0855 ($Q_{10}=2.35$), (C) 0.1305
 567 ($Q_{10}=3.69$). [Color in print and online]

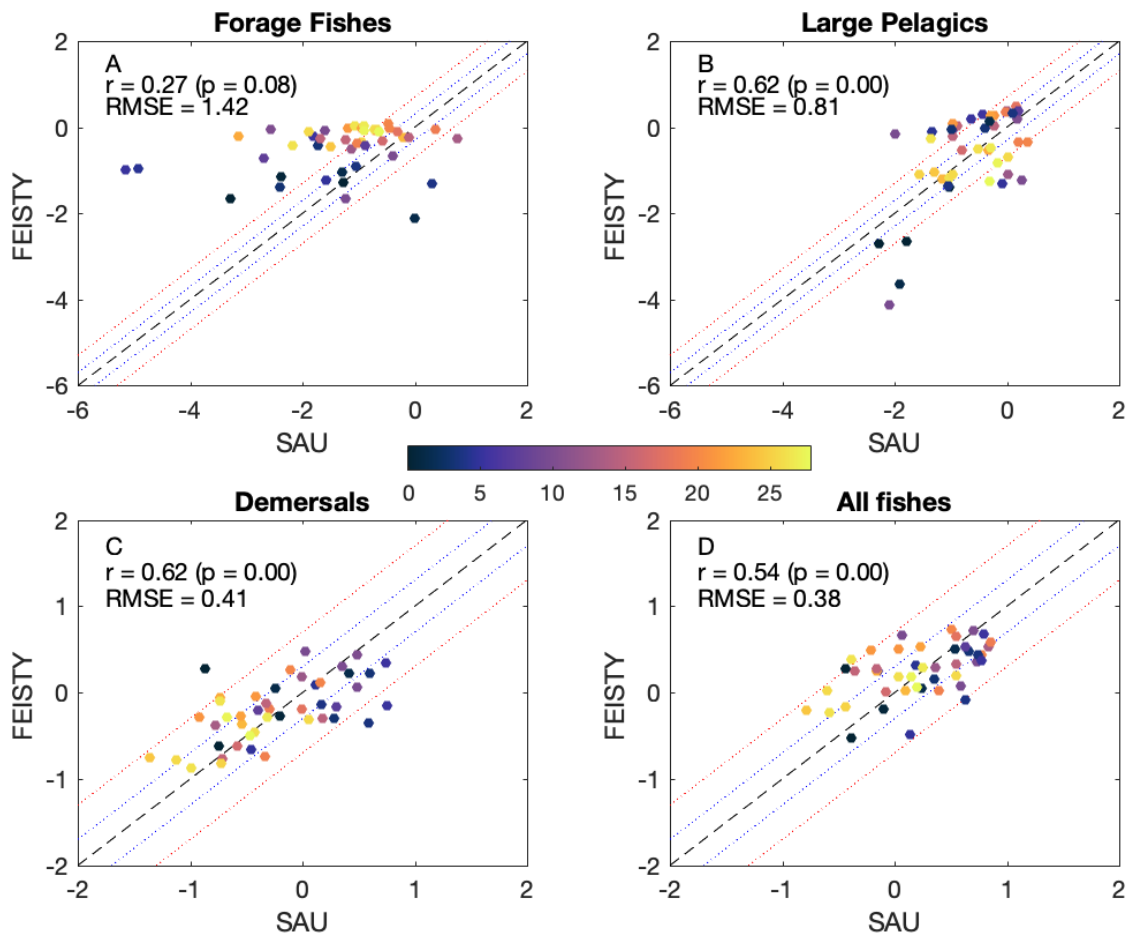
568
 569 **3.2 Comparison against global catch patterns**

570 We used the sensitivities described in Section 3.1 to calibrate the model to best match
 571 observed total catch and catch by functional type (see Appendix). As described above in Section
 572 3.1, there are undoubtedly multiple parameterization that lead to fish functional type and
 573 latitudinal distributions that are consistent with catch data. The sensitivities highlighted suggest
 574 several common characteristics: i) favorable metabolic allometry for forage fish and an enhanced
 575 capacity to avoid predation relative to the juvenile stages of larger fish (Figure 2C), ii) a
 576 relatively high benthic transfer efficiency to favor demersals in LMEs with high benthic fluxes
 577 (Figure 4C), and iii) a relatively strong temperature dependence of metabolic costs to shift the
 578 highest catches toward high latitudes (Figure 5B). As discussed in Section 2, a full optimization

579 over all parameters is computationally infeasible for global simulations. The parameter
580 combination is thus not expected to be a global optima, nor does it preclude other simulations
581 producing similar fits. Rather, it is a pragmatic, transparent tuning of dominant constraints on the
582 key quantities the model is attempting to match.

583 On the LME scale, the resulting agreement between annual catches in the model
584 simulation and the Sea Around Us Project catch reconstructions was generally moderate, with
585 Pearson r values ≥ 0.54 when comparing large pelagic fish, demersals, and all fishes combined
586 (Figure 6B,C,D, Table 5). With its globally uniform fishing rate, FEISTY tended to capture the
587 highest forage fish catch systems, but systematically overestimated forage fish catches in a
588 number of LMEs with very low catches (Figure 6A). There were no large outliers when
589 comparing the demersal catches, but model underestimates occurred in colder LMEs (Figure
590 6C). In addition to examining catches of each functional type, we also compared the fraction of
591 the simulated catch that was large pelagic fish rather than demersal fish. The model's skill in
592 recreating variations in this fraction was statistically significant, but ultimately limited ($r=0.33$;
593 Table 5; Supp Figure S2).

594 While there are clearly discrepancies between modeled and reconstructed catch, the
595 model's skill in matching observed catch levels is generally moderate and all skill metrics should
596 be viewed with the knowledge that catch is an imperfect measure of species distribution (see
597 Sections 2.4 and 4.1) and the simulated catch arises from a very simple fishing model. We thus
598 continue in Section 3.3 with an analysis of the drivers of the modeled distribution. Extensive
599 evaluation of the discrepancies will be provided in the Discussion (Section 4).



600

601 **Figure 6.** Catch comparisons between model simulations (FEISTY) and global catch
 602 reconstructions (SAU) for (A) forage fish, (B) large pelagic fish, (C) demersals, and (D) all
 603 fishes combined. Dot color indicates mean pelagic (top 100 m) temperature (°C) of the LME.
 604 Dashed lines represent 1:1 (black), 2x difference (blue), 5x difference (red). [*Color in print and*
 605 *online*]
 606

	r	RMSE
SAU All Fish	0.54	0.38
SAU F	0.27	1.42
SAU P	0.62	0.81
SAU D	0.62	0.41
SAU Frac Pelagic	0.33	0.31
vanD Frac Pelagic	0.54	0.26
Stock All Fish	0.79	0.13

607 **Table 5.** Statistical comparisons (Pearson’s r and root mean square error (RMSE)) to catch
 608 estimates (SAU and Stock model (Section 4.2.2)) and fraction of the catch that is large pelagic
 609 fish vs. demersal fish (SAU and vanD model (Section 4.2.3)). Bold numbers denote significance
 610 with $p \leq 0.05$.

611
 612 **3.3 Global distribution of fish functional types**
 613

614 The biomass distribution of both types of fishes inhabiting the pelagic environment are
 615 similar in that they are greatest in the tropics and temperate regions, with lows in the subtropical
 616 gyres and lowest values in polar areas (Figure 7A,B). The large pelagic fish differ from the
 617 forage fish in the tropics and subtropics where they are mostly restricted to the eastern side of
 618 ocean basins, near areas of upwelling (Figure 7B). These regions of upwelling, in addition to
 619 subpolar areas, are associated with high large pelagic fish biomasses and reduced forage fish
 620 populations (Figure 7A,B). A latitudinal gradient in demersal fish biomass is not well defined,
 621 instead demersal fish are more abundant in coastal areas than the deep basins (Figure 7C). When
 622 combined, the total fish biomass is equally high in offshore tropical and temperate regions and
 623 coastal areas, with intermediate levels in polar oceans, and the lowest levels in the subtropics
 624 (Figure 7D). Global mean fish biomass excluding that harvested was 1.54×10^9 MT, of which
 625 1.50×10^9 MT was in the medium and large size classes.

626 The global distribution of the fraction of large pelagic fish to the other two types broadly
 627 mimics that of the large pelagic fish on their own. There are very few areas with equivalent
 628 abundances; usually one type dominates. A pattern emerges when this fraction is defined on an
 629 LME scale and compared to the production of pelagic (zooplankton) and benthic (benthic
 630 invertebrate) resources (Figure 8A,B). Large pelagic fish proliferate over demersals when the
 631 ratio of zooplankton production (available to higher predators) to benthic detritus flux is elevated
 632 (Figure 8A).

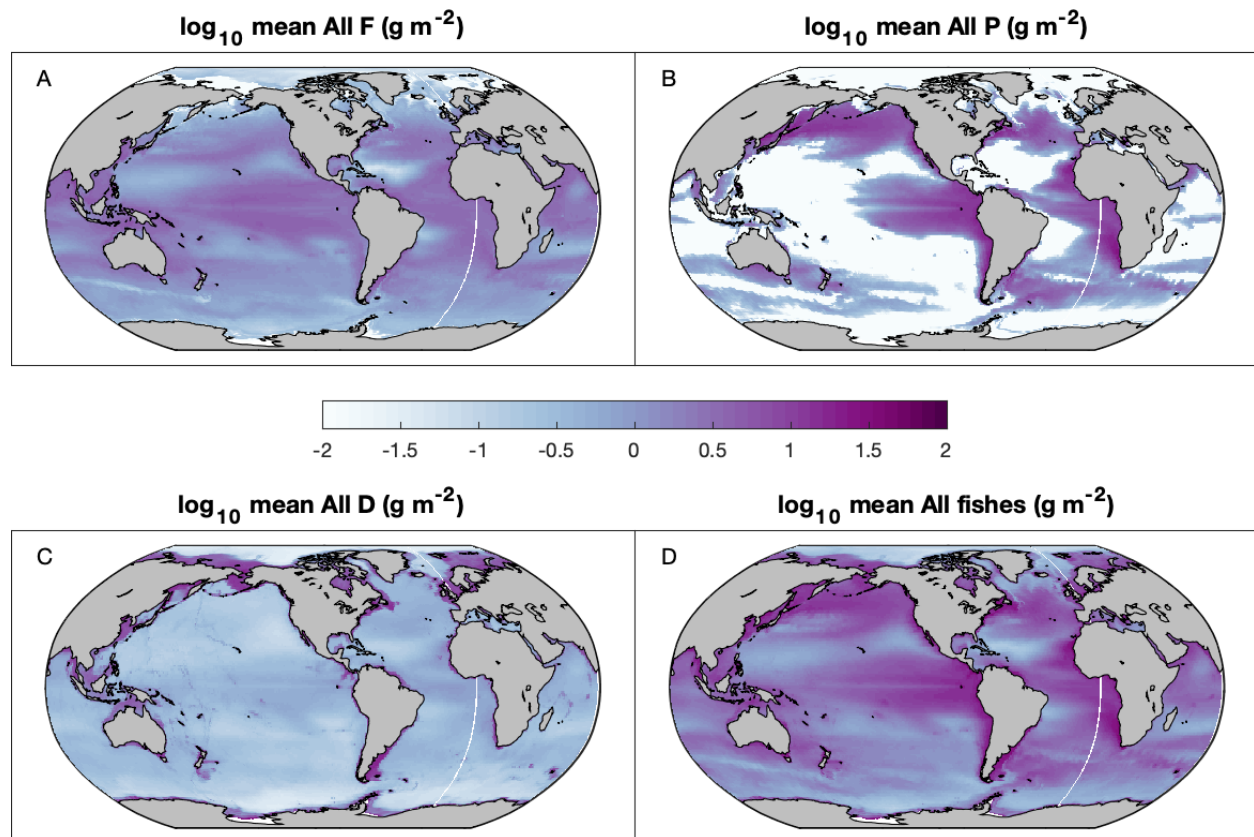
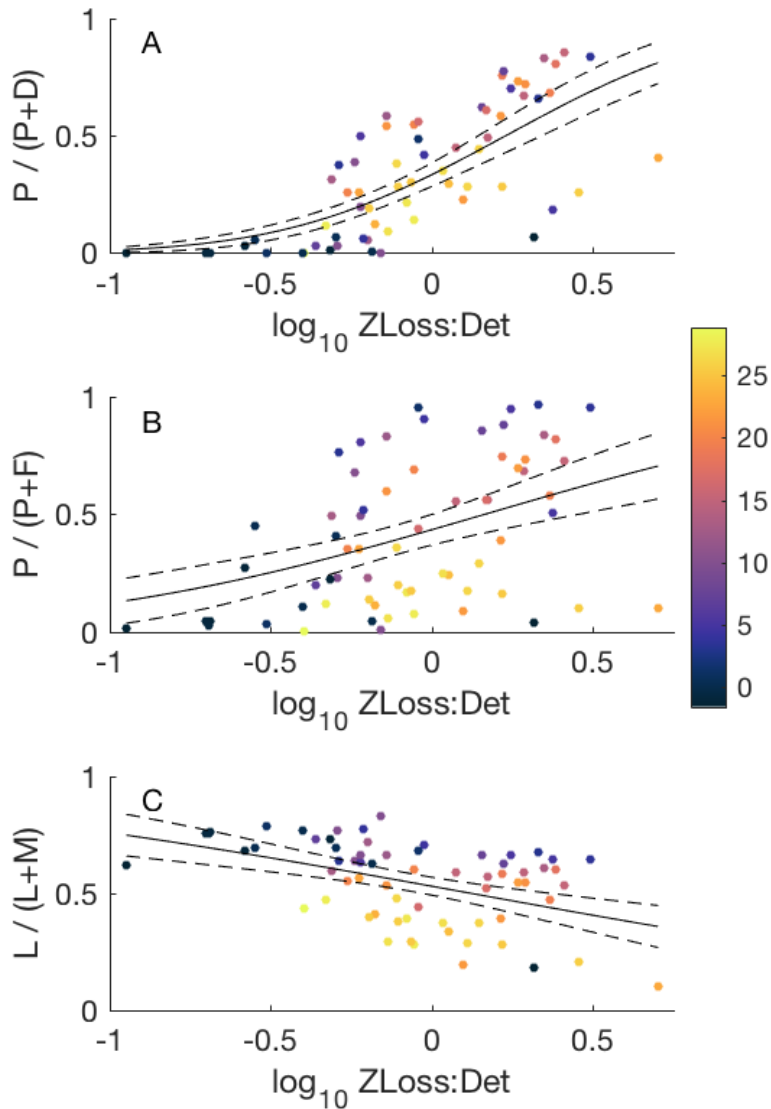


Figure 7. Simulated global \log_{10} biomass (g m^{-2}) of (A) forage fish, (B) large pelagic fish, (C) demersals, and (D) all fishes combined. [Color in print and online]

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When used in a generalized additive model, this ratio of zooplankton to detritus was able to explain 68% of the deviance in the fractions of pelagic fish over demersals (Table 6; Supp. Table S2). The relationship between the ratio of zooplankton to detritus for the fractions of pelagic fish over forage fish ($P/(P+F)$) and the fraction of large fishes compared to medium fishes ($L/(L+M)$, where $L=(LP+LD)$ and $M=(MP+MD+MF)$) were weaker, only explaining 26% and 24% of the deviance, respectively (Figure 8B,C, Table 6; Supp. Tables S3, S4). The fraction of large fishes compared to medium fishes was strongly correlated to temperature, with lower fractions of all large fishes in warm LMEs (Figure 8C, Table 6, Supp Figure S3J, Supp. Table S4). Temperature was also associated with the fraction of large pelagic fish compared to demersals and forage fish (Table 6; Supp. Tables S2, S3), with extreme warm and cold environments decreasing the fraction (Supp Figure S3B,F). Only a small amount of the deviance of all three fractions was explained by the proportion of the LME that was continental shelf, as expressed as the fraction of the area <200 m (Table 6; Supp. Tables S2-4). The dominance by large pelagic fish decreased as this shelf area increased, while the percentage of large fishes compared to medium fishes increased as this area increased (Supp Figure S3C,G,K). In all cases, NPP was a worse predictor than the ratio of zooplankton to detritus and worse or equivalent to temperature (Table 6; Supp. Tables S2-4). These relationships were driven by low fractions of large pelagics (or high fractions of large fishes) at low NPP values, while there was a large spread in fractions in LMEs with high NPP values (Supp Figure S3D,H,L).



656
 657 **Figure 8.** Fraction of (A) large pelagic vs. demersal, (B) large pelagic vs. forage, and (C) large
 658 vs. medium fishes as a function of the ratio of zooplankton production lost to higher predation
 659 (ZLoss) to detritus flux to the seafloor (Det) by LME. Solid lines: predicted response, dashed
 660 lines: standard error. Dot color indicates mean pelagic (top 100 m) temperature ($^{\circ}\text{C}$) of the LME.
 661 [Color in print and online]
 662

	Model	log₁₀(Zl:Det)	PeIT	Frac<200	log₁₀(NPP)
P/(P+D)	Deviance explained	0.68	0.49	0.3	0.55
	R ²	0.51	0.31	0.24	0.37
P/(P+F)	Deviance explained	0.26	0.35	0.09	0.22
	R ²	0.19	0.35	0.05	0.18
L/(L+M)	Deviance explained	0.24	0.59	0.13	0.05
	R ²	0.20	0.60	0.11	0.02

664 **Table 6.** Deviance explained and R² of generalized additive models of the LME-scale fraction of
665 large pelagic fish vs. demersal fish (P/(P+D)), large pelagic fish vs. forage fish (P/(P+F)), and
666 large fishes to medium fishes (L/(L+M)) as a function of the individual terms: the log₁₀
667 transformed ratio of zooplankton losses to higher predators to seafloor detritus flux (log₁₀
668 Zl:Det), mean pelagic temperature in the top 100 m (PeIT), the fraction of LME area <200 m
669 (Frac200), and the log₁₀ transformed net primary production (NPP).

670

671 3.4 Fish dynamics in major ecosystem domains

672

673 A more detailed perspective on the drivers of the prevalence of functional types is
674 provided through inspection of several locations representative of more general ocean domains.
675 Domain 1 is the Eastern Bering Sea (Table 7) as representative of a “Shelf Sea,” an area over the
676 continental shelf (<200 m) that has high amounts of both pelagic and benthic production. Shelf
677 seas tend to be located in temperate and subpolar environments with seasonal variability of the
678 physical and biological conditions. Other classic examples include the North Sea and the Scotian
679 Shelf. Domain 2, the Peruvian Upwelling System (Table 7), is an example of an “Upwelling”
680 region with high pelagic production and little to no benthic production. These habitats occur in
681 areas with coastal upwelling such as the western margin of continents (e.g. off Peru and
682 California) and with equatorial upwelling such as in the eastern Pacific. Domain 3 is an
683 “Oligotrophic Gyre”, with the example being the location of the Hawaii Ocean Timeseries
684 (commonly referred to as “HOT”; Table 7). Such nutrient-poor areas occur in the subtropics
685 where there is a permanent thermocline and shallow mixed layer depth, resulting in low primary
686 production yielding low pelagic and benthic prey.

687

Location	Abbrev.	Longitude	Latitude	Depth (m)	Domain
Eastern Bering Sea	EBS	-164.5	56.5	79	Shelf Sea
Peruvian Upwelling	PUP	-79.5	-12.5	4782	Upwelling
Hawaii Ocean Timeseries	HOT	-157.5	22.5	4616	Oligotrophic gyre

688 **Table 7.** Longitude, latitude, and depth of the Domain example locations.

689

690 In each of these domains we compared the mean biomass of the resources (medium and
 691 large zooplankton, benthos) and the fishes, the consumption fluxes between groups, and the
 692 effective transfer efficiencies. We defined 3 estimates of transfer efficiency. $TE_{eff_{LTL}}$: the ratio
 693 of secondary production of the lowest consumer trophic levels (Lower Trophic Levels (LTL);
 694 medium zooplankton, large zooplankton, benthos) to net primary production (NPP). $TE_{eff_{HTL}}$:
 695 the ratio of highest trophic level (Higher Trophic Levels (HTL); pelagics and demersals in the
 696 large size class) fish production to secondary production. $TE_{eff_{ATL}}$: the ratio of HTL production
 697 to NPP, which encompasses All Trophic Levels.

698 In the Shelf Sea with the Eastern Bering Sea as an example (Table 7), the demersal
 699 abundance was greater than large pelagic abundance (Figure 9). This was related to the amount
 700 of benthic resources, demonstrated with the Z:D ratio (Figure 8A), that serve as an additional
 701 resource that is not shared with the large pelagic fish. Strong top-down control by large pelagic
 702 fish and demersals limited the relative prominence of forage fish biomass in these areas
 703 compared to regions >200 m such as the Oligotrophic Gyres and Upwelling regions (Figure 9).
 704 Eastern Bering Sea effective transfer efficiency from NPP to the large size class ($TE_{eff_{ATL}}$) was
 705 3.80×10^{-3} , which separated into an effective transfer efficiency of LTL as 0.14 and of HTL as
 706 2.72×10^{-2} (Table 8).

707 The forage fish and large pelagic fish coexisted at high abundances in the Upwelling
 708 Domain, but there were fewer forage fish than expected (Figure 9). These regions hosted little to
 709 no demersal population. The large pelagic abundance in this Upwelling region was greater than
 710 the demersal abundance in the Shelf Sea. The Peruvian Upwelling effective transfer efficiencies
 711 were similar to those of the Shelf Sea locations, with $TE_{eff_{ATL}}=2.93 \times 10^{-3}$, $TE_{eff_{LTL}}=0.10$,
 712 $TE_{eff_{HTL}}=3.04 \times 10^{-2}$ (Table 8).

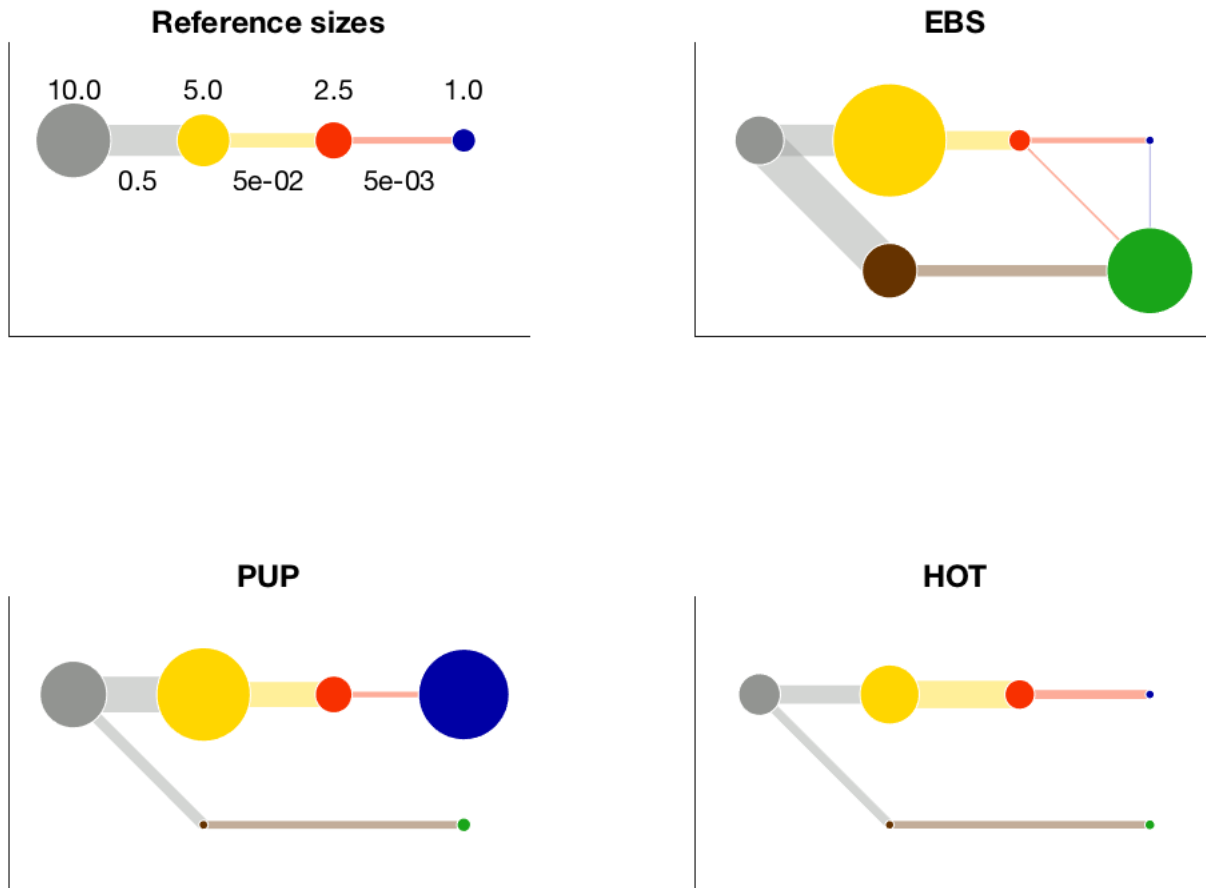
713 In the Oligotrophic Gyre domain, food webs were truncated with little to no biomass of
 714 the highest trophic levels, large pelagic fish and demersals (Figure 9). Large demersals exceeded
 715 large pelagic fish because of sparse benthic resources (Figure 9). The effective transfer
 716 efficiencies in the Oligotrophic Gyres were the lowest by 1-2 orders of magnitude. HOT
 717 effective transfer efficiencies were $TE_{eff_{ATL}}=2.27 \times 10^{-5}$, $TE_{eff_{LTL}}=0.03$, and $TE_{eff_{HTL}}=6.71 \times 10^{-4}$
 718 (Table 8).

719

	EBS	PUP	HOT
$TE_{eff_{ATL}} \text{ loc}$	3.80E-03	2.95E-03	2.27E-05
$TE_{eff_{LTL}} \text{ loc}$	0.14	0.10	0.03
$TE_{eff_{HTL}} \text{ loc}$	2.72E-02	3.04E-02	6.71E-04
$TE_{eff_{HTL}} \text{ LME}$	2.08E-02	2.67E-02	1.87E-03
Maureaud ECI	2.46E-02	5.40E-03	3.40E-03
LME	EBS (1)	Humb (13)	Haw (10)

720 **Table 8.** Modeled effective transfer efficiencies of all trophic levels from NPP to the large fishes
 721 (ATL), from NPP to the lower trophic levels (LTL), and from LTL to the highest trophic level
 722 (HTL) at the individual domain locations (loc; Table 7) and averaged for the corresponding LME
 723 (LME). For comparison is the ECI of Maureaud et al. (2017), which is equivalent to $TE_{eff_{HTL}}$.

724



725
 726 **Figure 8.** Mean biomass (circles) and mean flux of biomass (lines) through the pelagic and
 727 benthic food webs at the three test locations in the Pacific: Eastern Bering Sea (EBS), Peruvian
 728 Upwelling (PUP), and Hawaii Ocean Timeseries (HOT). Reference circle sizes correspond to the
 729 biomasses (g) and reference line widths correspond to the fluxes ($g\ d^{-1}$) given. Net primary
 730 production (NPP): grey, Medium and large zooplankton (MZ+LZ): yellow, Forage fish: red,
 731 Large pelagic fish: blue, Benthos: brown, Demersals: green. [Color in print and online]

732

733 4. DISCUSSION

734

735 4.1 Reconciling simulated and observed catches

736

737 The time-average catches simulated by FEISTY showed moderate agreement with total,
 738 demersal, and large pelagic catches from empirical reconstructions across globally distributed
 739 LMEs (Figure 6). This suggests that FEISTY's description of bottom-up forcing and interactions
 740 between functional types captures significant drivers and processes structuring fish communities
 741 at global scales. However, while peak forage fish catch was captured, the model markedly over-
 742 estimated forage catch in some systems. In contrast, the agreement with large pelagic and
 743 demersal catches were more balanced in terms of over- and under-estimation, though some
 744 systematic biases remained. All misfits are likely linked to a combination of limited resolution of
 745 both fish and fisheries dynamics within FEISTY, in addition to shortcomings in the model
 746 forcing (Stock et al. 2017). The model predicts potential catches if the entire globe is fished with

747 one strategy, which is clearly a simplification of a far more complex reality. Fishing patterns and
748 intensity vary greatly between systems due to cultural, management, and technology differences
749 (Watson et al. 2013, Kroodsmas et al. 2018) that are not covered by our simulations. Further,
750 fisheries catch is an imperfect test of the model performance, as it is not necessarily proportional
751 to biomass abundance (Branch et al. 2010), which is the primary variable of interest modeled by
752 FEISTY. However, due to the limited records on biomass abundance at global scales, fisheries
753 catches are a reasonable substitute for measuring whether FEISTY captures broad-scale
754 biological patterns. The model objectives to recreate catch patterns across globally-distributed
755 heavily fished ocean and coastal biomes where the catch per unit area varies by over two orders
756 of magnitude also lessens concerns over the simplicity of the fishing model. While the simplicity
757 of the fishing model undoubtedly contributes to the misfit between the model and catch
758 reconstruction, the extremely large oceanographic contrasts maximize the “bottom-up” signal.

759 The correspondence between empirical catch reconstructions and simulated catches of
760 forage fish was poor. While FEISTY captured peak catches associated with large forage
761 fisheries, it greatly overestimated forage fish catches in a number of LMEs with very low catches
762 despite seemingly favorable energetics. The biggest over-estimates were restricted to two regions
763 with neighboring LMEs: The North Pacific LMEs of the Eastern Bering Sea, Gulf of Alaska,
764 Aleutian Islands, West Bering Sea, and Chukchi Sea; and nine LMEs along the eastern coasts of
765 North and South America. Interestingly, there is large variation ($\pm 5x$) between the SAU catch
766 reconstruction and that of Watson (2017) for forage fish in many of these LMEs. This suggests
767 that the original landings data are not straightforward and that the SAU project (Pauly & Zeller
768 2015) and Watson (2017) have made different choices in their methods of estimation for these
769 regions.

770 A second explanation for the misfits could be that forage fish are present, but not targeted
771 in those LMEs where the model over-estimates forage catch. Compilation of effort hours
772 associated with purse seine vessel tracks analyzed by the Global Fishing Watch (Kroodsmas et al.
773 2018) demonstrates that 2012-2016 effort for schooling pelagic fish targeted by purse seines is
774 lower than the median in half of these over-estimated LMEs, with the exception of the Aleutian
775 Islands, Eastern Bering Sea, Gulf of Alaska, California Current, Northeast U.S. Shelf, Scotian
776 Shelf, and Patagonian Shelf (Supp Figure S4). The purse seine vessels in these median or above
777 effort LMEs may be targeting large pelagic fish rather than forage fish, which cannot be
778 distinguished by this gear type. These data demonstrate where forage fish harvesting is not
779 occurring rather than where it definitively is. Apart from these seven LMEs, the over-estimation
780 of forage fish catches in FEISTY can likely be explained by modeled fishing rates that were
781 higher than the suggested historic rates of the past 50 years.

782 Additional misfit in the simulated forage fish catch could be the result of top-down
783 factors. Synthesis of 72 Ecopath food web models revealed that forage fish catch exceeded that
784 of their predators in all ecosystems (Pikitch et al. 2014), though this excluded non-harvested
785 predators such as seabirds and marine mammals. In contrast, simulated forage fish catch does not
786 exceed that of large pelagic fish in many regions where both groups overlap, suggesting strong
787 top-down control of forage fish by their predators in our model. While our model exhibits
788 relatively few over-estimations of forage catch, we expect that simulated overexploitation of the
789 predators will reduce top-down control, allowing for greater forage fish populations in such
790 systems (e.g. Andersen & Pedersen 2010, Szuwalski et al. 2017).

791 While the fit to large pelagic fish catch is far better than the forage fish catch, there are
792 some LMEs where simulated catches differ from those observed by a factor of 5 (Figure 6B).

793 Most of the under-estimated LMEs are around northern Europe, a region where the fishing
794 mortality of large predatory fishes greatly exceeded 0.3 yr^{-1} during the 1951-2006 reconstructed
795 SAU time period (Christensen et al. 2003). One of the remaining lower simulated catch
796 locations, the Indonesian Shelf, highlights the longitudinal disparity in the simulated biomass of
797 large pelagic fish across the Pacific. This distribution agrees with the results of Watson et al.
798 (2015) who found that large fish predators were absent from the subtropical western Pacific
799 when fish migration and movement were not considered. However, much of this region became
800 viable for large predators when they were allowed to swim in the direction that increased their
801 per capita net growth rates (Watson et al. 2015). Thus, the large migratory abilities of this
802 functional type appear to be necessary for their existence in the less productive regions of the
803 ocean, namely the tropics and subtropics. As a next test of our model, we aim to add behavioral
804 movement rules, as well as advection and diffusion, to rectify the distribution and catches of the
805 large pelagic fish. This in turn may improve the other functional types as well.

806 FEISTY large pelagic catches in the subpolar and upwelling LMEs and the Patagonian
807 Shelf skew higher than SAU catch estimates. This is particularly apparent in the North Pacific,
808 where the model predicts higher large pelagic biomass than suggested by catch. These regions
809 have fewer large pelagic fish but are not devoid of large pelagic top predators. Instead, marine
810 birds, pinnipeds, and cetaceans serve the same trophic role (Cury et al. 2000, Kaschner et al.
811 2011, Pikitch et al. 2014). Conversely, in the subpolar areas, the model underestimates catches of
812 demersal fish. Again, this is most likely explained by the simplistic model fishing rate, which
813 was lower than historic rates of the past 50 years in regions that experienced overexploitation of
814 many demersal gadids and flatfishes, such as in the North Atlantic (Christensen et al. 2003).

815 Overall, a large amount of the discrepancy between modeled and observed catches can be
816 explained by the simplistic representation of fishing in the model. We hypothesize that a better
817 representation of the actual fishing patterns in the model would bring the simulated catches better
818 in line with observations, while maintaining that existing model skill merits further discussion of
819 results relative to alternative models (Section 4.2) and the sensitivity of the model to
820 parameterization of fish ecology (Section 4.3).

821

822 **4.2 Comparisons with estimates from alternative models**

823

824 There have been numerous recent studies exploring drivers of fish biomass, catch, and
825 catch by functional type. These offer alternative estimates of quantities arising from different
826 models, often with more simplified dynamics. We contrast the results and underlying
827 mechanisms herein, with emphasis on the most recent studies for each quantity.

828

829 *4.2.1 Biomass*

830 Jennings and Collingridge (2015; JC15 from here on) used a size-based macroecological
831 model to estimate the total biomass of marine consumers in a pristine ocean without fishing. The
832 general global patterns of their estimates of consumer biomass and our simulations of all fish
833 biomass are similar, with lows in the middle of subtropical gyres and highs in upwelling regions
834 and subpolar areas (Supp Figure S5; their Figure 6). The mean biomass is higher in JC15 than
835 the FEISTY results, though they emphasize the large uncertainty in this value, and they simulate
836 a larger size range of consumers (1 g to 1000 kg) than FEISTY (0.02 g to 5.6 kg). When
837 comparing the modeled biomass of medium and large fishes to that of JC15 in the 100 g to 10 kg
838 range, the FEISTY global biomass estimate of 1.50×10^9 MT is near their median of 1.60×10^9 MT

839 and falls well within the 50% uncertainty bounds. There are, however, other dissimilarities
840 suggesting more robust linkages to differences in model structure. There is less spatial variability
841 in the JC15 distribution (their Figure 6) and their biomass is lower in temperate regions, higher
842 in subpolar and polar areas, and does not extend as far westward of coastal upwelling areas.
843 These discrepancies result in variations at the LME scale such that a comparison of LME
844 rankings by biomass is not significant despite agreement on the ocean biome scale (Kendall τ
845 rank correlation, $p=0.61$). Even with higher mean biomass, their estimates of production (g m^{-2}
846 yr^{-1}) and the production to biomass ratio are lower than those of FEISTY (Supp Figure S5; their
847 Figure 6). One of the differences in model structures is that the JC15 model does not include
848 functional types that differ by traits other than maximum size. When their biological rate
849 parameters (encounter, maximum consumption, and basal metabolism) were used within the
850 FEISTY framework, they caused the loss of the forage fish group.

851 It is important to note that the biomass estimates of JC15 compared here are from a
852 median simulation (4.9×10^9 MT) with 90% uncertainty intervals that ranged from 0.3 to 26.1
853 $\times 10^9$ MT that were primarily driven by uncertainty in trophic transfer efficiency and its
854 relationship with predator-prey body mass ratios. The predator-prey body mass ratio was
855 constrained by the simple trophodynamics of the three size classes in FEISTY. The trophic
856 transfer efficiency (TE) assumptions are yet another difference between FEISTY and JC15. The
857 TE was a constant prescribed by JC15 while in FEISTY it emerged as a function of the
858 underlying interactions between fish functional types and plankton food web dynamics, and
859 hence varied in space and time. A median of 2.82×10^{-3} fraction of the lowest consumer trophic
860 level production reached the highest trophic level in FEISTY ($\text{TE}_{\text{effHTL}}$). If we assume this large
861 size class was 3 trophic levels above the lowest consumers, this effective transfer efficiency
862 would reflect a mean highest trophic level transfer efficiency of 14.1% ($\text{TE} = \text{TE}_{\text{effHTL}}^{1/3}$), with a
863 90% confidence interval of 5.6-35.0% (Supp Figure S6). This is a wider range than that of JC15
864 who used TEs 7.8-17.1% with a mean of 11.6% in their sensitivity analysis. However, the
865 fractions of the primary production that reached the lowest consumers ($\text{TE}_{\text{effLTL}}$) from the
866 COBALT simulation, 0.05 [0.01, 0.11], were strikingly lower than those assumed by JC15, 0.22
867 [0.12, 0.26], which may account for why our median biomass is less than theirs but falls within
868 their large confidence intervals. More importantly, the dynamic differences in trophic efficiency
869 and consideration of pathways connecting plankton and fishes in FEISTY likely contributed to
870 the accentuated gradients in fish biomass relative to forcing with NPP.

871 872 *4.2.2 Total catch*

873 The mechanistically-inspired, empirical work of Stock et al. (2017) was better able to
874 reconcile fisheries catch at the LME scale as a function of both zooplankton production and the
875 flux of detritus to the sediment rather than as a function of just net primary production.
876 Refinement of the Stock et al. (2017) empirical model was also accomplished via similar
877 mechanisms that improved FEISTY's fit to observed catch (Section 3.1). To best model SAU
878 catches, they needed to apply a heavy penalty on the transfer efficiency of tropical systems,
879 justified by higher metabolic demands and lower oxygen (Deutsch et al. 2015), and needed to
880 boost the transfer efficiency associated with benthic fluxes, assuming lower foraging costs for
881 benthic environments (Stock et al. 2017). Similarly, FEISTY benefited from a parameterization
882 that increased the temperature sensitivity of the basal metabolic rate, which lowered large pelagic
883 catches in the subtropics and increased those of demersals in subpolar regions. To increase the
884 transfer efficiency of the benthic environment, we did not alter the foraging abilities of demersal

885 fish, but instead allowed the benthic invertebrate production to temporally mimic the flux of
886 detritus to the bottom. Our estimate of transfer efficiency from detritus to benthos of 7.5% can be
887 thought of as an average transfer efficiency of 10% (Pauly & Christensen 1995) applied to 75%
888 of the detrital flux, which agrees well with estimates of the amount of particulate organic carbon
889 consumed by benthic metazoans that range from 60 to 90% (Rowe & Demming 1985, Rowe &
890 Demming 2011).

891 Like Stock et al. (2017), the dynamic response of transfer efficiencies results in a
892 dynamic range of catch (i.e., a factor of 100 across heavily fished systems). Stock et al. (2017)
893 estimated total fisheries catch instead of separating it by functional type. Our total simulated
894 catches by LME had greater agreement with their model ($r=0.79$) than with the SAU catch
895 reconstruction (Table 6). The skill is degraded relative to the simple trophodynamic approach
896 because Stock et al. (2017) took the trophic level of the catch from observations rather than
897 deriving it dynamically.

898 Our model supports the idea proposed by Ryther (1969) that trophic transfer efficiency
899 varies by oceanographic province. Ryther (1969) assumed transfer efficiencies of 10, 15, and
900 20% for Oceanic, Coastal, and Upwelling provinces respectively. The transfer efficiencies
901 produced by COBALT from NPP to secondary production at the test locations were less than
902 their corresponding Ryther (1969) estimates, with the exception of our Shelf Sea that was
903 equivalent to the Coastal province. The effective transfer efficiencies of NPP to the lowest
904 trophic level (TE_{effLTL}) and to the highest trophic level (TE_{effATL}) were greater in the Shelf Sea
905 than the Upwelling region, but the transfer from LTL to HTL (TE_{effHTL}) was greater in the
906 Upwelling region. Meta-analysis of Ecopath models revealed that Upwelling Areas tend to have
907 lower (LTL) or the lowest (HTL) transfer efficiencies compared to subpolar, temperate,
908 subtropical, and tropical regions (Rosenberg et al. 2014). Estimates of TE_{effHTL} (termed “ECI”)
909 from the SAU catch data also showed this pattern (Maureaud et al. 2017). These studies diverge
910 greatly on the regional rankings of transfer efficiency. For example, the tropics have the highest
911 TE in Rosenberg et al. (2014) and the lowest in Maureaud et al. (2017). On the LME scale, our
912 TE_{effHTL} values compare favorably ($r=0.53$) to the ECI scores of Maureaud et al. (2017), but
913 skew higher with a mean of 0.0176 ± 0.011 compared to their 0.0096 ± 0.006 (Supp Figure S7).
914 The effective transfer efficiencies of FEISTY also generally fall within the canonical value of
915 10% ($10.13\% \pm 5.81\%$; Pauly & Christensen 1995) but do show large regional differences (Supp
916 Figure S6).

917 918 *4.2.3 Catch by functional type*

919 The pelagic and benthic pathways from NPP to fishes (zooplankton production and the
920 flux of detritus to the sediment) can be used to understand catch composition in addition to the
921 total amount of catch. Van Denderen et al. (2018) used a food web model to predict the biomass
922 of large pelagic and demersal predators as functions of pelagic and benthic resources, estimated
923 from the *pe*-ratio (the fraction of net primary production that sinks out of the photic zone), that
924 was highly correlated to fisheries landings (Watson 2017) at the scale of ecoregions. At the LME
925 scale (3-4x ecoregion size), the van Denderen et al. (2018) model fractions correlated moderately
926 with FEISTY large pelagic catch fractions ($r=0.54$, Table 5), but the FEISTY correlations with
927 the SAU large pelagic catch fractions were low ($r=0.33$, Table 5). However, the van Denderen et
928 al. (2018) model fractions also degrade at the LME scale (not shown). The fair correlation
929 between FEISTY and the van Denderen et al. (2018) model results from the same mechanism
930 operating in each to determine the fraction of large pelagic fish compared to demersals (Table 6,

931 Figure 7A). In advance of creating the food web model, van Denderen et al. (2018) estimated the
932 fraction of large pelagic fish in Watson's (2017) landings data using a generalized additive
933 model (GAM). The ratio of pelagic resources to benthic resources explained the majority of the
934 deviance in the relative biomass of large pelagic fish versus demersals in both the van Denderen
935 et al. (2018) GAM and the GAM fit to the FEISTY output, with the fraction of large pelagic fish
936 increasing as the ratio of pelagic to benthic resources increased.

937 As previously found by other studies (Friedland et al. 2012, Stock et al. 2017, van
938 Denderen et al. 2018), our model suggests that the production of fish biomass is closely tied to
939 the separation of net primary production into pelagic and benthic secondary production. The
940 amount of each type of secondary production determines the total biomass of the system, while
941 the ratio of the two influences which functional types dominate. These relationships were
942 exemplified in our ocean domains. Both Shelf Seas and Upwelling areas have high primary and
943 secondary production, resulting in large biomasses and catches of fishes. In contrast to the Shelf
944 Seas, the deep Upwelling areas experience decay in the detrital flux such that very little reaches
945 the bottom. This difference in the ratio of secondary production led to coexistence of forage fish
946 and large pelagic fish at high abundances in Upwelling areas while the demersals were scarce.
947 On the other hand, demersal abundance exceeded that of large pelagic fish in Shelf Seas as
948 presumed. In contrast to our expectations, the large pelagic abundance in Upwelling areas was
949 not less than the demersal abundance in the Shelf Sea. This was likely the result of the pelagic
950 feeding penalty imposed on demersals and that the forage fish were not stronger competitors
951 against the large pelagic fish. As anticipated, forage fish did dominate the Oligotrophic Gyres,
952 where secondary production was too low to support the largest size classes, and their abundance
953 was lowest in the Shelf Seas where they were vulnerable to two types of predators. The
954 dominance of medium sized fishes like the forage fish was more predicated on the pelagic
955 temperature rather than the zooplankton to benthos ratio. Higher temperatures were more
956 metabolically costly to the largest size class and are indicative of regions with permanent
957 thermoclines and oligotrophy.

958 959 *4.2.4 Summary*

960 To summarize, FEISTY provides similar estimates to the total fish biomass as a size-
961 based model without functional types (Jennings & Collingridge 2015), represents observed
962 trends in fisheries catches (SAU), reflects the environmental variability in trophodynamics
963 related to LME scale differences in fisheries catch as explained by a less mechanistic model
964 (Stock et al. 2017), and reproduces the underlying mechanism involved in structuring large
965 pelagic vs. demersal dominant environments (van Denderen et al. 2018). The global patterns
966 produced by FEISTY were fairly insensitive to the parameter exploration to maximize
967 correspondence with empirical catch records, indicating that the model is robust. Overall, we
968 believe that the skill achieved supports the utility of FEISTY as a tool for assessing global trends
969 in forage, large pelagic, and demersal fish biomasses and exploring their mechanistic basis.

970 971 **4.3 Parameterizations and fish ecology**

972
973 Maximizing catch correlations was robust to parameter permutations, having the basic
974 characteristics of our model calibration (i.e. favorable allometry and/or predator avoidance of
975 forage fish, benthic energy transfer efficiency sufficient for large demersal fisheries, and
976 temperature-dependent metabolic processes favoring elevated high latitude fish catch). There

977 was, however, a somewhat delicate balance to first achieving coexistence of all three functional
978 types under the same metabolic scaling principles. Using the parameterizations and associated
979 mass-dependent functions for encounter/clearance rate, maximum consumption, and basal
980 metabolism from established size-based models (e.g. Hartvig et al. 2011, Hartvig & Andersen
981 2013, Jennings & Collingridge 2015) often led to the local extinction of one or two groups. To
982 prevent dominance of the large pelagic fish over the forage fish, the weight sensitivity of basal
983 metabolism needed to exceed that of feeding rates. This results in a decreasing scope for growth
984 with increasing size. We chose $b_C = -0.25$ and $b_M = -0.175$, a difference of -0.075 , which is
985 similar to the difference in one of the first fish bioenergetics models, $b_C - b_M = -0.07$ (Kitchell et
986 al. 1977), and the Jennings and Collingridge (2015) model, $b_C - b_M = -0.08$. These are exponents
987 for weight-specific rates ($\text{g g}^{-1} \text{d}^{-1}$) and are equivalent to non-weight specific rate (g d^{-1})
988 exponents of $b^*_C = 0.75$ and $b^*_M = 0.825$, which fall within the ranges reported in the literature.
989 von Bertalanffy (1960) argued that acquisition rates, such as consumption, scale with surface
990 area ($b^*_C = 0.67$), while metabolism scales as the organism's mass ($b^*_M = 1.0$). Through a meta-
991 analysis of fish studies, Clarke and Johnston (1999) found that b^*_M had a mean value of 0.79.
992 Reported mean or median scaling exponents ranged from 0.65 to 0.95 in the 110 studies, while
993 individual values spanned a greater range, 0.40-1.29 (Clarke & Johnston 1999). Analysis of
994 variance indicated a statistically significant variation between different families and orders where
995 the differences were caused mainly by high mean values for Myctophiformes and Salmoniformes
996 at the level of order (Clarke & Johnston 1999), fishes with life history traits very similar to our
997 forage fish functional group. Furthermore, the mass-dependence of metabolism varies with
998 ontogeny, being highest for larval stages, intermediate for juveniles, and lowest for adults
999 (Fuiman & Higgs 1997). Thus, a better parameterization of global fish distributions may exist
1000 with mass-dependent basal metabolic rates that vary by functional type (c.f. Killen et al. 2016)
1001 and life history stage. However, the robustness of such distinctions is still debated (Anderson &
1002 Beyer 2015)

1003 Previous studies have highlighted the critical role of temperature-dependent metabolic
1004 costs on the latitudinal distribution of fish catch (Libralato et al. 2008, Stock et al. 2017).
1005 FEISTY best approximated catch reconstructions of large pelagic fish and demersal fish when
1006 basal metabolism was more temperature sensitive than encounter and clearance rates. The Q_{10} of
1007 basal metabolism was 2.35, akin to the within-species mean of 2.40 found by Clarke and
1008 Johnston (1999), while encounter rates had $Q_{10} = 1.88$. This difference in temperature sensitivity
1009 for resting metabolism and other rates is also adopted by the global fish model of Cheung et al.
1010 (2010). There is ample support for the high temperature sensitivity of metabolic rates (e.g. von
1011 Bertalanffy 1960). The support for the temperature scaling of encounter rates is less solid, but
1012 there is both theoretical and empirical support for a smaller temperature sensitivity than
1013 metabolism. The encounter rates are a manifestation of increased activity. Arguably, if metabolic
1014 rates increase with temperature, so does activity. However, activity increases similarly for the
1015 prey, making them also more adept at avoiding predation (Rall et al. 2012). This would argue for
1016 a neutral or a weaker temperature response of encounter rates. Empirical studies also support a
1017 lower temperature sensitivity of consumption, with a Q_{10} around 1.6-1.8 (Perrin 1995).

1018 Achieving robust coexistence between forage fish and large pelagic fish required giving
1019 forage fish a benefit relative to large pelagic fish. In the absence of the demersals, our forage fish
1020 and large pelagic fish represent an intraguild predation system where two species are engaged in
1021 both a predator-prey relationship (LP-MF, MP-SF) and a competitive relationship (MP-MF, SP-
1022 SF) (Polis et al. 1989, Diehl & Feiel 2000, Rosenheim 2007). Models of such systems predict

1023 extinction of the top predator (large pelagic fish) at low productivities (e.g. oligotrophic gyres)
1024 because of lack of food availability, while at high productivities (e.g. upwelling regions) the
1025 intermediate consumer (forage fish) is excluded by high predation by the top predator that can
1026 sustain itself solely on their shared resource (Holt & Polis 1997, Mylius et al. 2001, Hartvig &
1027 Andersen 2013). Coexistence occurs at intermediate productivities in this case. To ensure more
1028 robust coexistence we add the effect that adults of the smaller species are superior to juveniles of
1029 the same size but from a larger species. Specifically, we represented a predator avoidance
1030 advantage by the adult forage fish of the same size class as the juvenile large pelagics. Such an
1031 advantage may reflect schooling as a predator avoidance strategy (Blaxter & Hunter 1982,
1032 Magurran 1990) or it could be the consequence of ontogenetic changes in sensory organs and
1033 propulsive muscle tissue (Fuiman & Higgs 1997). The role of this difference in predation rate for
1034 coexistence is illustrated by theoretical models of intraguild predation where coexistence at
1035 higher productivities becomes possible with a decrease in the attack rate of the top predator on
1036 the intermediate consumer (van de Wolfshaar et al. 2006). These results are borne out in
1037 FEISTY, where only the predator avoidance effects of forage fish facilitated coexistence of
1038 forage fish and large pelagics (Figure 2B). Our parameterization required that adult forage fish
1039 was a factor of two less vulnerable to predation than juvenile large pelagic fish.

1040 Despite the addition of predator avoidance, forage fish still struggle against large
1041 pelagics. A good example is eastern boundary currents where the biomass of large pelagics is
1042 larger than forage fish. An additional and ecologically plausible effect would be to also make
1043 adult forage fish competitively superior in feeding than juvenile large pelagics (Werner 1977).
1044 We found, however, that the predator avoidance effect produced a much larger response than
1045 reducing the feeding ability of the juvenile large pelagic fish (see Appendix). A deeper
1046 knowledge of the specific mechanisms leading to coexistence of small and large pelagic species
1047 in intraguild predation systems would make it possible to increase the realism of FEISTY.

1048 Exclusion of the demersal fish by the large pelagic fish was not as problematic compared
1049 to the forage fish. Demersal fish catches were sensitive to the parameterization of the benthic
1050 invertebrate resource pool. At first this pool was simulated with a carrying capacity. This
1051 formulation suffered because when the biomass approached the carrying capacity, none of the
1052 growth reflected in bottom detritus flux was realized. Instead it was dissipated and essentially
1053 lost from the energy budget, thereby inhibiting demersal production. The carrying capacity was
1054 removed and the benthic efficiency kept low to best approximate the distribution of benthic
1055 resources. In the future, it would be best to develop a similar size- and trait-based mechanistic
1056 model of the benthos to couple with the fish model (e.g. Blanchard et al. 2009).

1057 1058 **4.4 Conclusions**

1059
1060 We have created a dynamic and mechanistic global model of commercially important
1061 fishes that can be run coupled to global earth system models. It represents (i) basic life cycle
1062 dynamics, (ii) competitive and predatory interactions, and (iii) differences in life history, habitat,
1063 maximum size, and feeding preferences. As a result, it captures the main drivers and processes
1064 that structure marine communities at high trophic levels. Additionally, it is temporally dynamic
1065 making it capable of capturing trends forced by climate change, as well as non-linear tipping
1066 points and regime shifts. The model provides an improved global-scale understanding,
1067 quantification, and prediction of the ocean's capacity for fish biomass and yield. In this paper,
1068 we examined the bottom-up mechanisms of fish biomass and yield and found that not just the

1069 total system productivity, but the type of productivity (zooplankton vs. benthos) determines
1070 broad-scale spatial patterns in abundance and dominance of the commercially harvested fish.
1071 Though our model is simple in terms of only modeling three functional types of fishes, we think
1072 that it has great potential as a tool for global ecosystem studies and to project the effects of
1073 climate change on fishes and fisheries.

1074

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1082 CONTRIBUTORS

1083 CMP, CAS, and JRW developed the initial conceptualization of research. KHA further
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1087

1088 DECLARATION OF INTEREST

1089 None.

1090

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 1424

1425 **APPENDIX: Model calibration**

1426 Complete optimization across all parameters is not possible in a 3D global context, thus
1427 we focus on using seven of the parameters (denoted with asterisks) drawn from the clusters in
1428 Figure A1, but acknowledge that there may be other pathways to similar skill. We used the
1429 results of the parameter sensitivity to parameterize the model with two goals in mind: (1)
1430 coexistence between forage and large pelagic fishes in upwelling areas and (2) high correlations
1431 with observation-based catch estimates across functional types. As discussed in the main text, the
1432 primary misfit present in the baseline simulations was very low forage fish biomass. We thus
1433 first selected the parameter having the largest single effect (θ_A) and set this to its lower limit
1434 (0.5), giving adult forage fish a marked advantage over their juvenile adult counterparts. While
1435 this was essential for buoying forage fish biomass, it was not enough on its own. We thus added
1436 the possibility of decreasing the weight sensitivity of metabolism (b_M). From the parameter
1437 sensitivity results, we selected parameters that had moderate or large effects on forage fish
1438 biomass: a_E , b_M , and θ_A . The maximum consumption rate intercept a_C was jointly varied with a_E
1439 because of their integrated effect on consumption. We initially focused our calibration on three
1440 sites spanning large ecosystem contrasts (the Eastern Bering Sea, the Peruvian Upwelling, and
1441 the Hawaii Ocean Time series), before moving to full global calibration (see appendix A1 for
1442 details). Catch calibrations, particularly of large pelagic fish, demersal fish, and their fractions,
1443 were achieved through b_M , k_M , and β , and are presented at the LME scale. We allowed each
1444 parameter to vary by as much as a factor of 2 from the mid-point values.

1445

Symbol	Description	Value	Units
a_C	maximum consumption intercept	50	$\text{g}^{\text{bc}-1} \text{y}^{-1}$
a_E	encounter intercept	50	$\text{m}^2 \text{g}^{\text{be}-1} \text{y}^{-1}$
a_M	metabolism intercept	4	$\text{g}^{\text{bm}-1} \text{y}^{-1}$
α	assimilation efficiency	0.7	--
b_C	maximum consumption slope	-0.21	--
b_E	encounter slope	-0.21	--
b_M	metabolism slope	-0.21	--
β	transfer efficiency from detritus to benthic invertebrates	0.075	--
ε	reproductive efficiency	0.01	--
f	fishing mortality rate	0.3	y^{-1}
k_C	maximum consumption rate temperature sensitivity	0.063	$^{\circ}\text{C}^{-1}$
k_E	encounter rate temperature sensitivity	0.063	$^{\circ}\text{C}^{-1}$
k_M	metabolism temperature sensitivity	0.063	$^{\circ}\text{C}^{-1}$
κ	fraction of energy allocated to growth	0.5	--
μ_{nat}	natural mortality rate constant	0.1	y^{-1}
θ_A	large fishes preference on medium forage fish	0.75	--
θ_D	preference of large demersals on pelagic prey	0.75	--
θ_J	medium large pelagic fish preference on large zooplankton	0.75	--
θ_S	medium fish preference on medium zooplankton	0.25	--

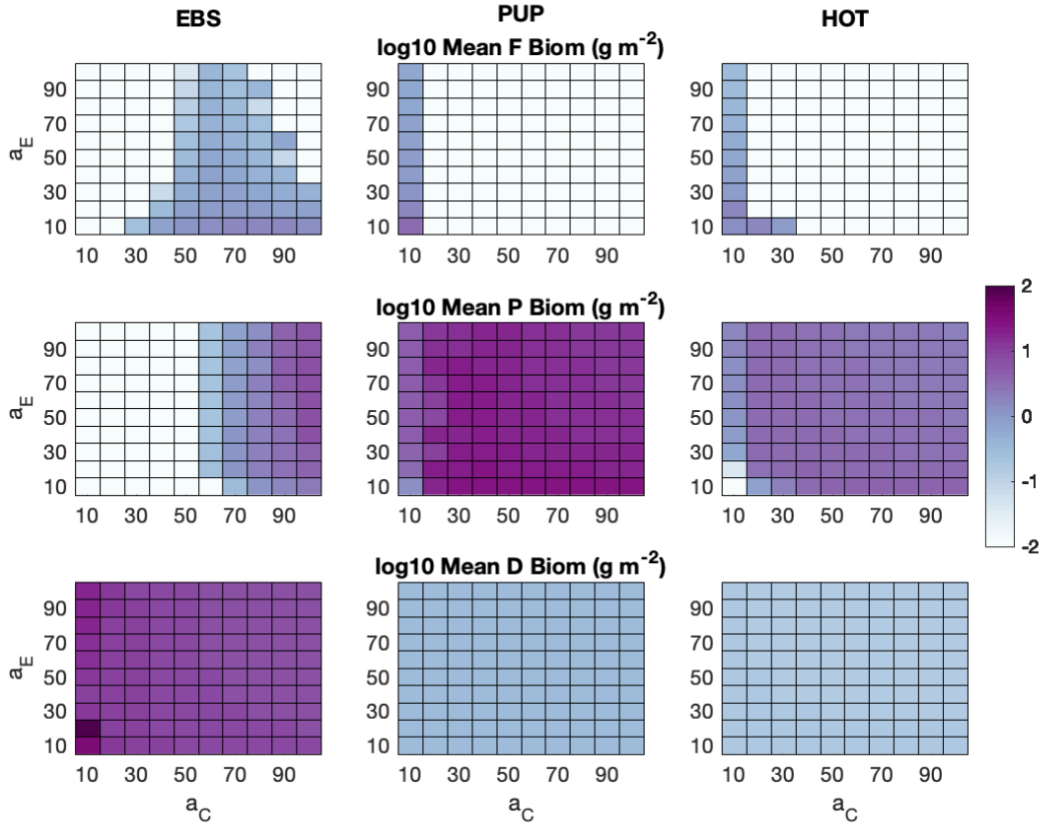
1447 **Table A1.** Parameter base values used in the parameter sensitivity test and varied in the model
1448 calibration by a factor of 2. Most are mid-point values from the literature or those most often
1449 employed in size-based models. Note that the rate variables have units of per year, whereas
1450 Table 1 uses per day.

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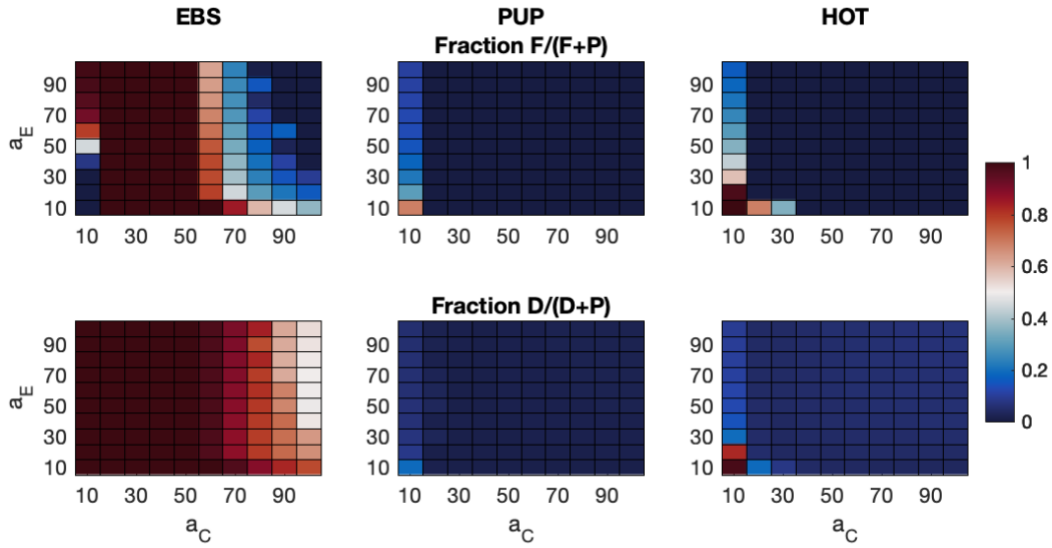
1452 *Intercepts of encounter rate and maximum consumption rate*

1453 Using the mid-point literature parameters, the intercepts of encounter rate and maximum
1454 consumption rate were first examined. To calibrate the feeding responses, the encounter rate
1455 intercept (a_E) and the maximum consumption rate intercept (a_C) were adjusted so that mean
1456 feeding levels were 0.5-0.8 of maximum consumption (C) (c.f. Hartvig et al. 2011, i.e. fish
1457 stomachs are rarely completely full or empty; Figure A3) and that mean gross growth efficiency
1458 (GGE; energy available for growth as a fraction of total energy consumed) was 0.1-0.6 and
1459 decreased with size (Blaxter & Hunter 1982; Figure A4). For visual ease, a_E and a_C are presented
1460 as their values for annual rather than daily rates, i.e. $a_E = 70 (\text{m}^2 \text{g}^{\text{be}-1} \text{y}^{-1}) = a_E = 70/365 (\text{m}^2 \text{g}^{\text{be}-1}$
1461 $\text{d}^{-1}) = 0.1918 (\text{m}^2 \text{g}^{\text{be}-1} \text{d}^{-1}$; Table 1). A lower intercept of maximum consumption rate was
1462 necessary to simulate forage fish coexistence in upwelling areas (Figures A1, A2). This lower

1463 intercept of $a_C = 10$ (y^{-1}) was also required for GGE to decrease with size (Figure A5). However,
 1464 this maximum consumption rate led to feeding levels higher than the desired 0.8 (Figure A4).
 1465 Lower feeding levels and increased forage fish biomass were next sought by varying the weight
 1466 exponents of metabolism and maximum consumption rate using a slightly higher $a_C = 20$ (y^{-1}).
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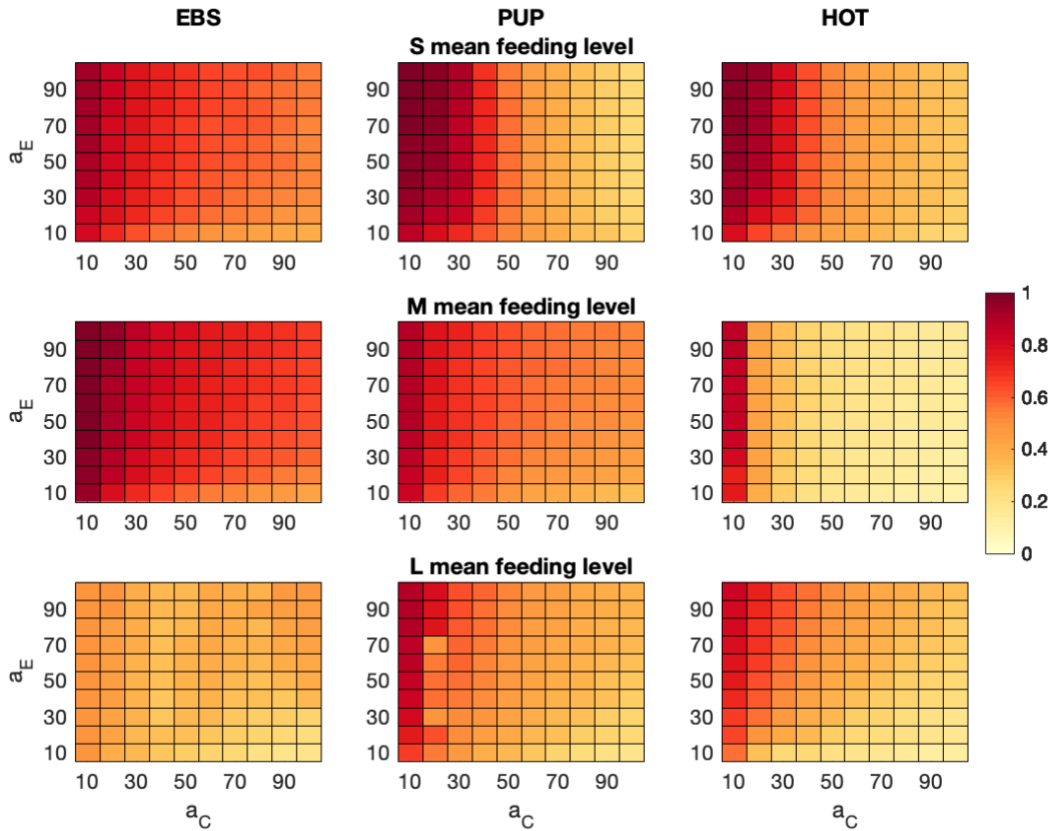


1468 **Figure A1.** Mean log10 biomass of (Top) forage fish (F), (Middle) large pelagic fish (P), and
 1469 (Bottom) demersal fish (D) at the 3 domain example locations: Eastern Bering Sea (EBS),
 1470 Peruvian Upwelling (PUP), and Hawaii Ocean Time series (HOT). $\theta_A=0.5$, $b_C = b_E = b_M = -0.21$.
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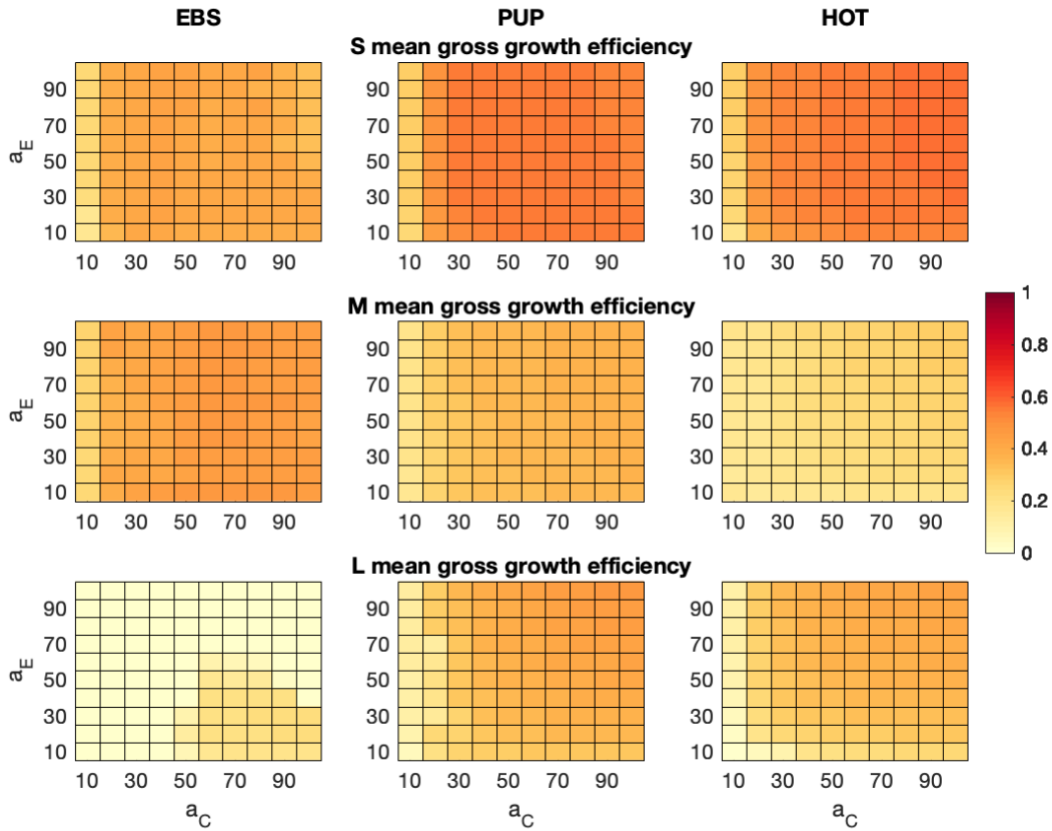
Figure A2. Fractions of (Top) forage fish (F) and (Bottom) demersal fish (D) in reference to large pelagic fish (P) at the 3 domain example locations: Eastern Bering Sea (EBS), Peruvian Upwelling (PUP), and Hawaii Ocean Time series (HOT). $\theta_A=0.5$, $b_C = b_E = b_M = -0.21$.



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Figure A3. Feeding level (fraction of maximum consumption rate) of (Top) small (S), (Middle) medium (M), and (Bottom) large (L) fishes at the 3 domain example locations: Eastern Bering

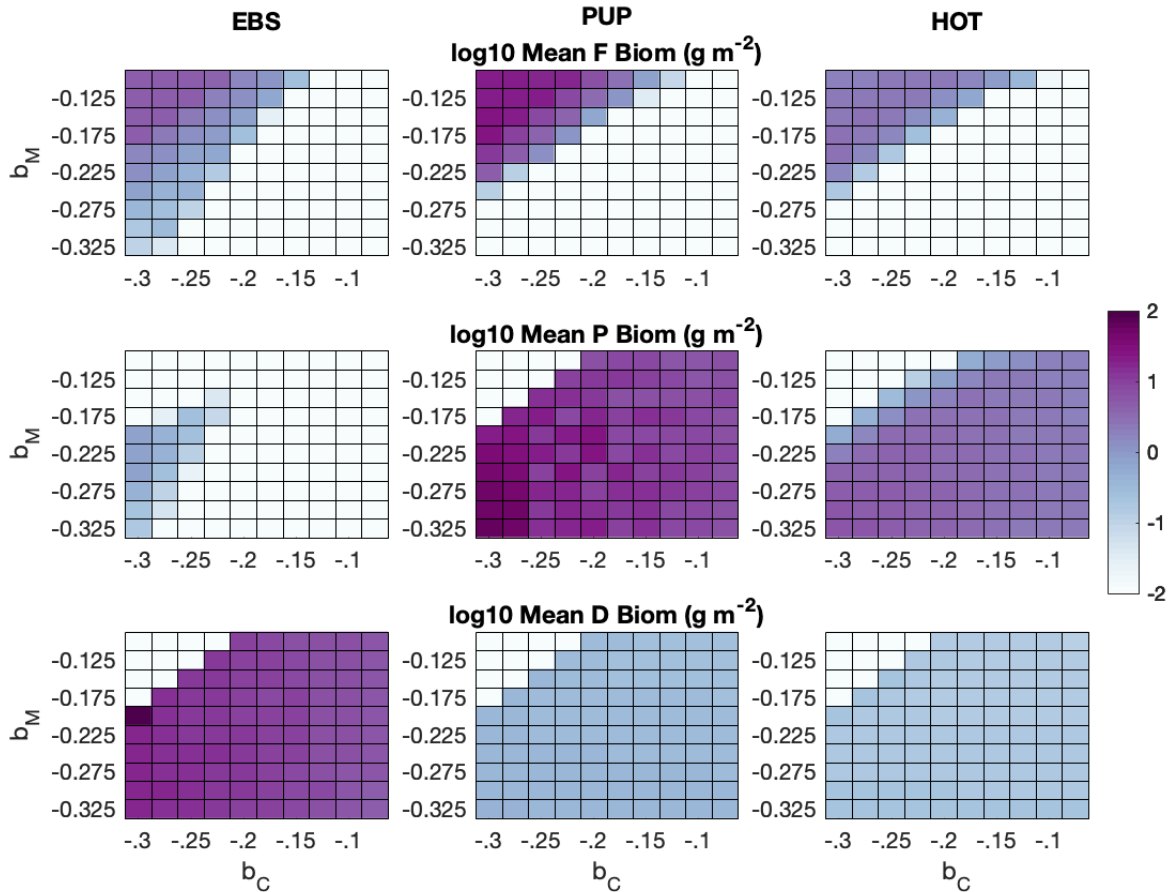
1481 Sea (EBS), Peruvian Upwelling (PUP), and Hawaii Ocean Time series (HOT). $\theta_A=0.5$, $b_C = b_E =$
 1482 $b_M = -0.21$.



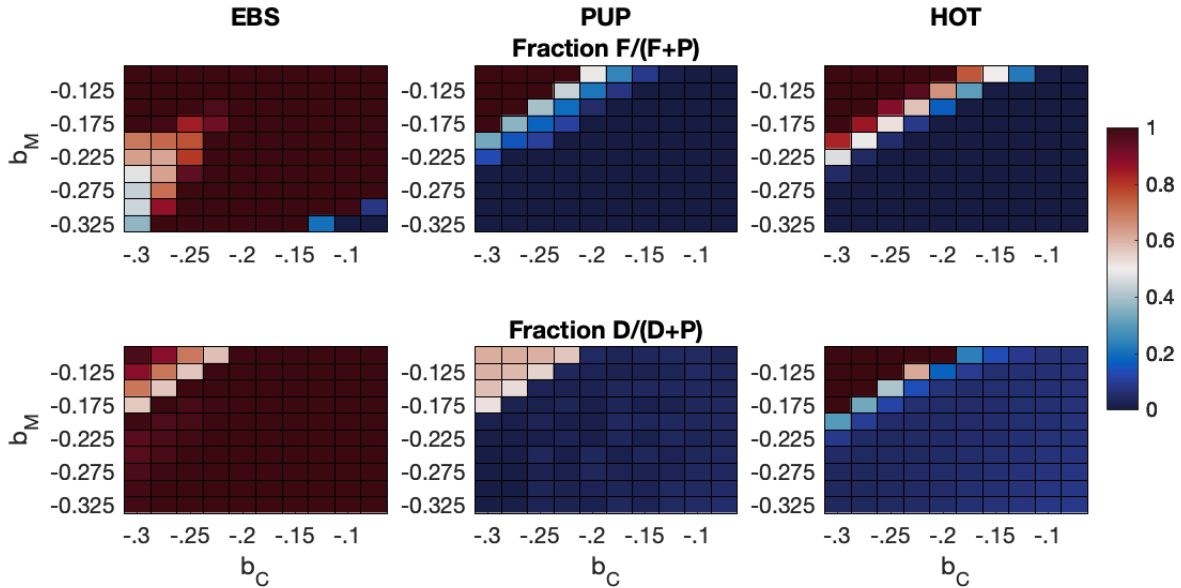
1483 **Figure A4.** Gross growth efficiency of (Top) small (S), (Middle) medium (M), and (Bottom)
 1484 large (L) fishes at the 3 domain example locations: Eastern Bering Sea (EBS), Peruvian
 1485 Upwelling (PUP), and Hawaii Ocean Time series (HOT). $\theta_A=0.5$, $b_C = b_E = b_M = -0.21$.
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1488 *Weight exponents of metabolism and maximum consumption rate*

1489 The intercepts were changed to $a_C = 20$ (y^{-1}) and $a_E = 70$ (y^{-1}) to next examine the effects
 1490 of the weight sensitivity of metabolism (b_M) and maximum consumption rate (b_C). For these
 1491 simulations and all following, $b_E = -0.20$ following Hartvig et al. (2011) and Hartvig and
 1492 Andersen (2013; Table 1). Coexistence could be achieved by lowering the metabolic rate size-
 1493 sensitivity (less negative exponent) with respect to the maximum consumption rate size-
 1494 sensitivity, particularly near a difference of 0.075 in the exponents (Figures A5, A6).



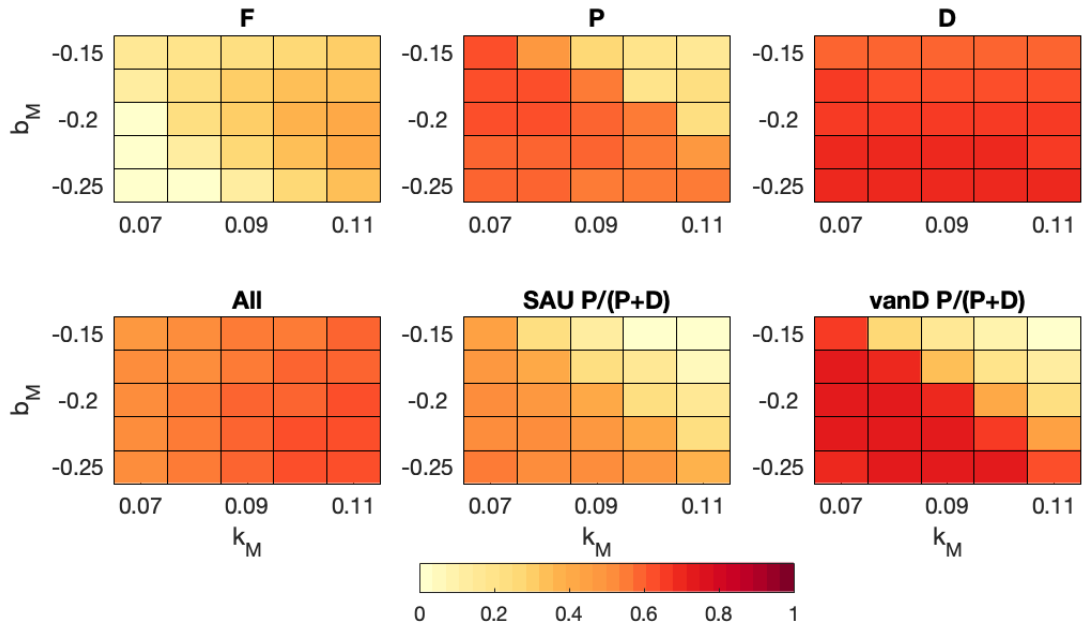
1495 **Figure A5.** Mean log10 biomass of (Top) forage fish (F), (Middle) large pelagic fish (P), and
 1496 (Bottom) demersal fish (D) at the 3 domain example locations: Eastern Bering Sea (EBS),
 1497 Peruvian Upwelling (PUP), and Hawaii Ocean Time series (HOT). $\theta_A=0.5$, $a_C = 20$, $a_E = 70$, $b_E =$
 1498 -0.20 .
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 1501 **Figure A6.** Fractions of (Top) forage fish (F) and (Bottom) demersal fish (D) in reference to
 1502 large pelagic fish (P) at the 3 domain example locations: Eastern Bering Sea (EBS), Peruvian
 1503 Upwelling (PUP), and Hawaii Ocean Time series (HOT). $\theta_A=0.5$, $a_C = 20$, $a_E = 70$, $b_E = -0.20$.
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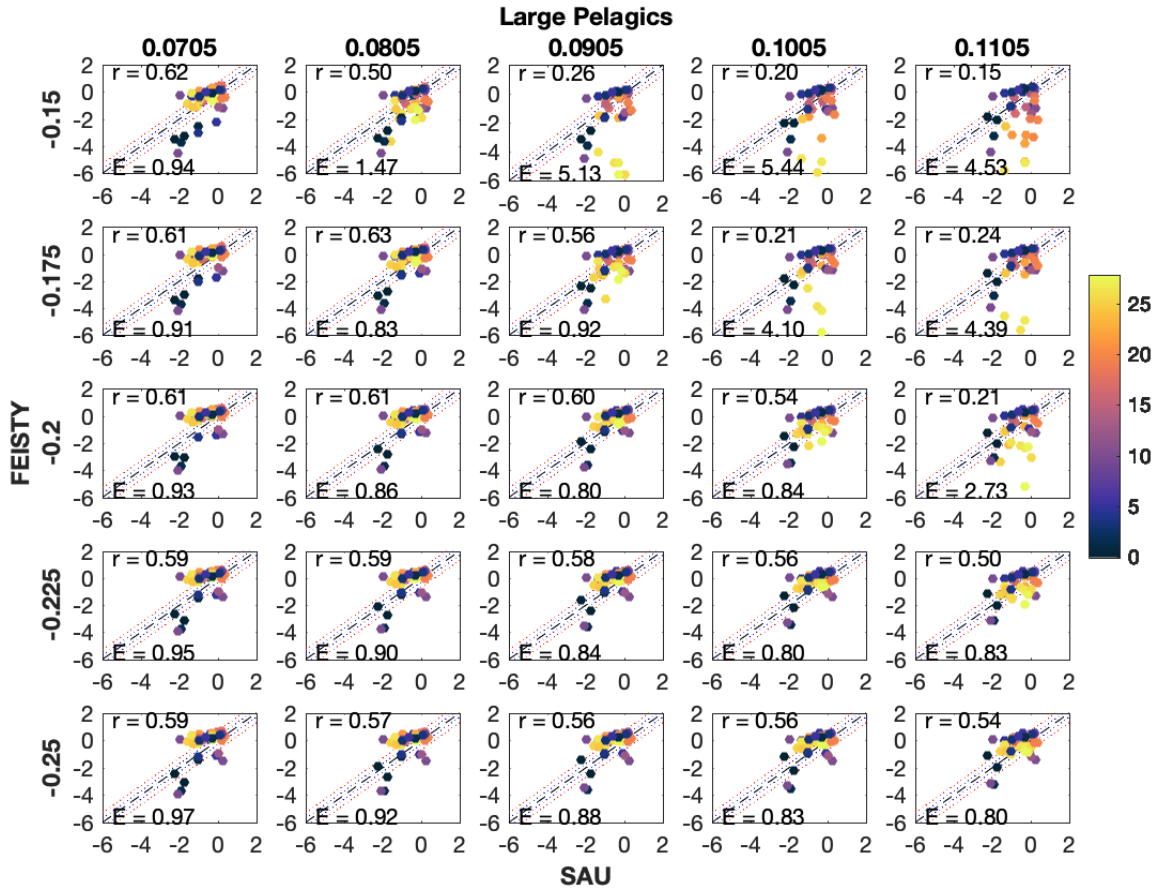
1505 *Weight exponent and temperature sensitivity of metabolism*

1506 The maximum consumption rate exponent was set at $b_C = -0.25$ (Hartvig et al. 2011,
 1507 Hartvig & Andersen 2013; Table 1) to next examine the catch correlations using various weight
 1508 (b_M) and temperature sensitivities (k_M) of metabolism. Catch correlations of forage fish,
 1509 demersals, and all fish were rather insensitive, but large pelagic catch and the fraction of the
 1510 catch that was large pelagics benefitted from stronger metabolic weight sensitivity (more
 1511 negative exponents) and temperature-dependence that ranged from 0.07-0.09 (Figure A7). When
 1512 the weight exponent and the temperature dependence of metabolism were at the higher values,
 1513 large pelagic catch and the fraction of the catch that was large pelagics were underestimated in
 1514 warm LMEs (Figures A8-11). To achieve both coexistence and high catch correlations, a
 1515 metabolic rate exponent of $b_M = -0.175$ was selected.



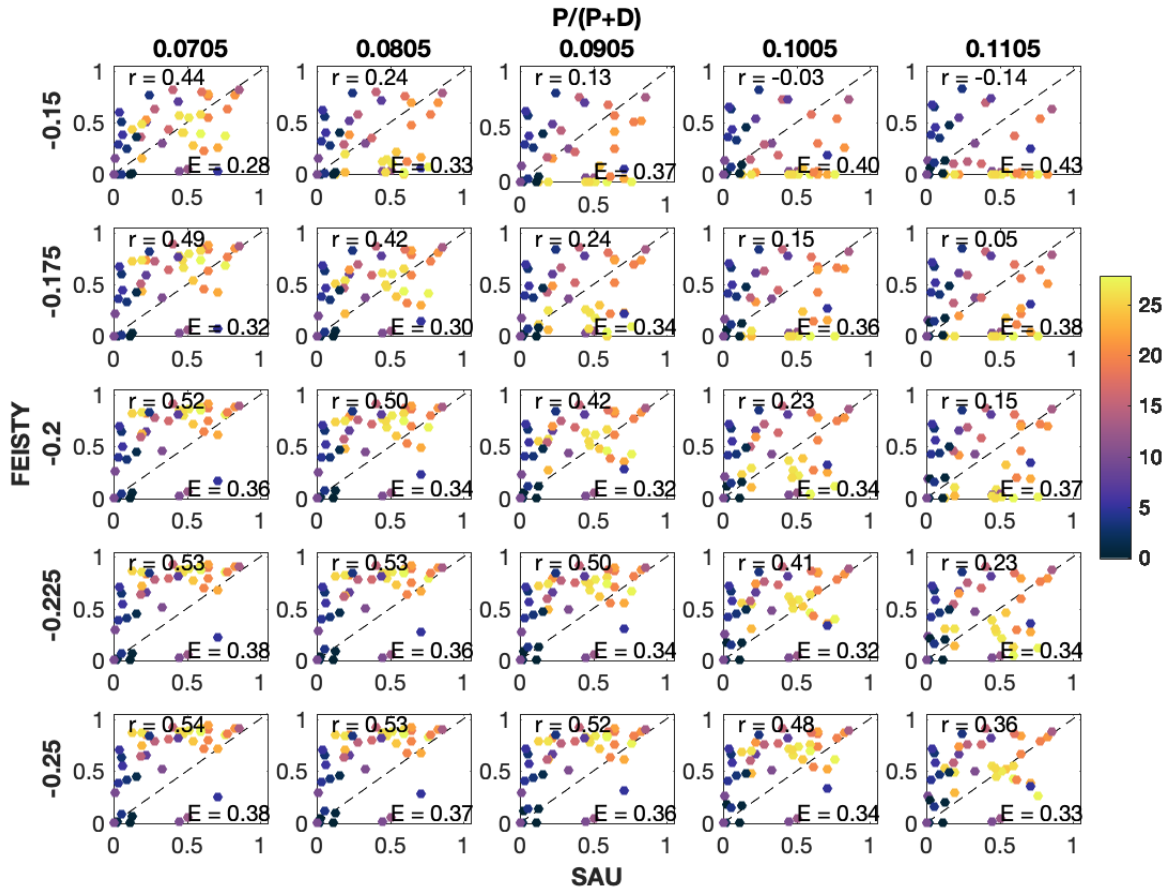
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Figure A7. Correlation (r) with SAU catches and Van Denderen (vanD) fraction pelagics by LME. $\theta_A=0.5$, $a_c = 20$, $a_E = 70$, $b_E = -0.20$, $b_C = -0.25$.



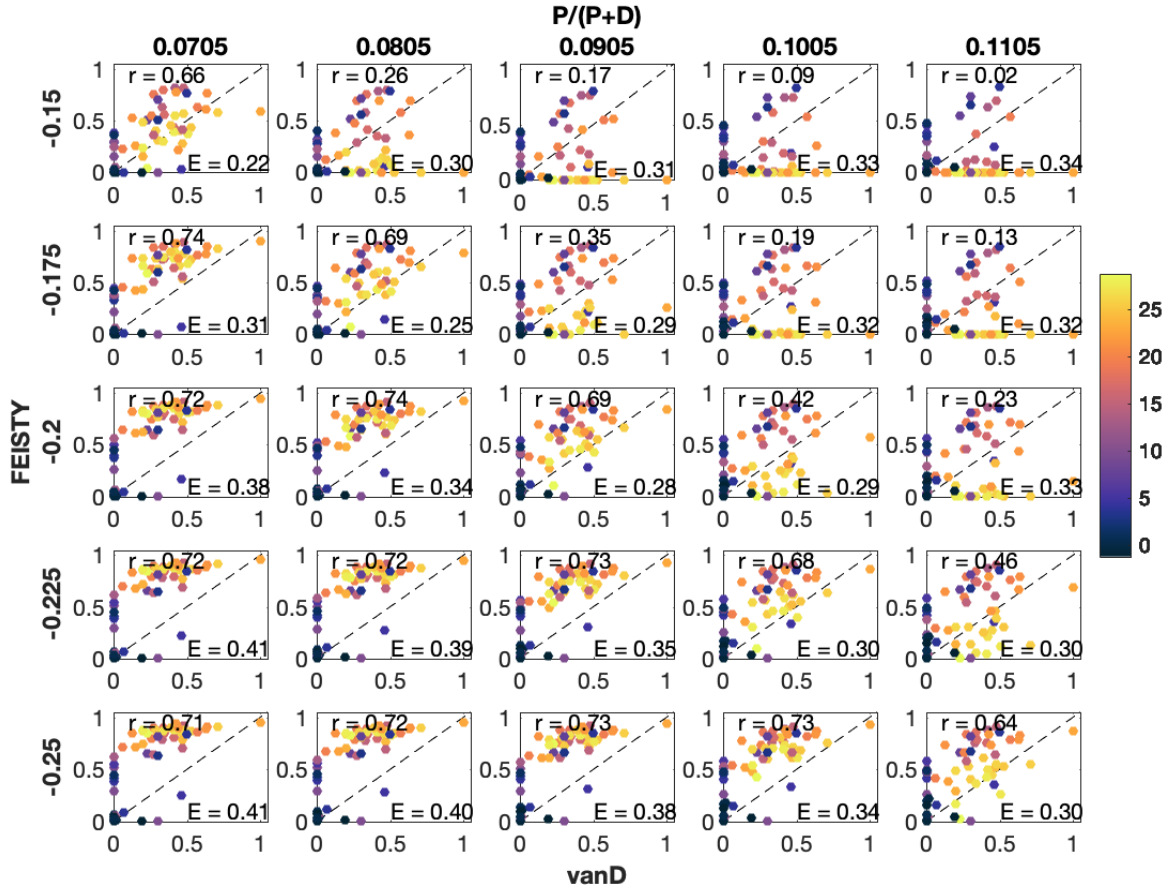
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Figure A8. Comparison of FEISTY large pelagic fish catch with SAU catch by LME. The rows are different values of metabolic weight sensitivity (b_M) and the columns are different values of metabolic temperature sensitivity (k_M). Correlations (r) and root mean square error (E) are given. Dot color indicates mean pelagic (top 100 m) temperature ($^{\circ}\text{C}$) of the LME. Dashed lines represent 1:1 (black), 2x difference (blue), 5x difference (red). $\theta_A = 0.5$, $a_C = 20$, $a_E = 70$, $b_E = -0.20$, $b_C = -0.25$.



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Figure A9. Comparison of FEISTY fraction of catch that is large pelagic fish with SAU catch by LME. The rows are different values of metabolic weight sensitivity (b_M) and the columns are different values of metabolic temperature sensitivity (k_M). Correlations (r) and root mean square error (E) are given. Dot color indicates mean pelagic (top 100 m) temperature ($^{\circ}\text{C}$) of the LME. Dashed lines represent 1:1 (black), 2x difference (blue), 5x difference (red). $\theta_A=0.5$, $a_C = 20$, $a_E = 70$, $b_E = -0.20$, $b_C = -0.25$.

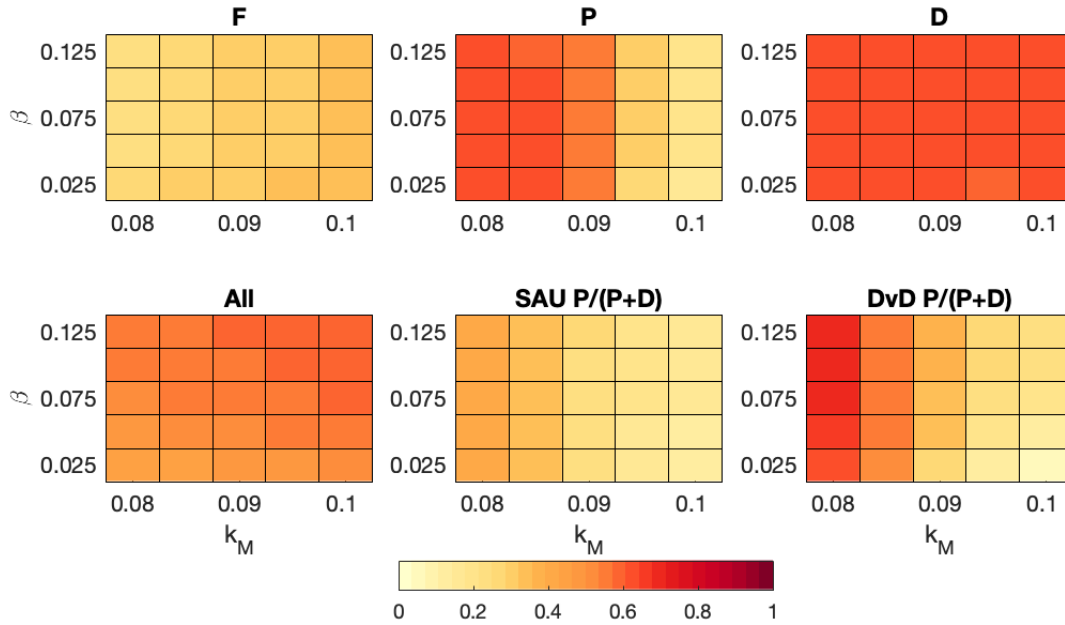


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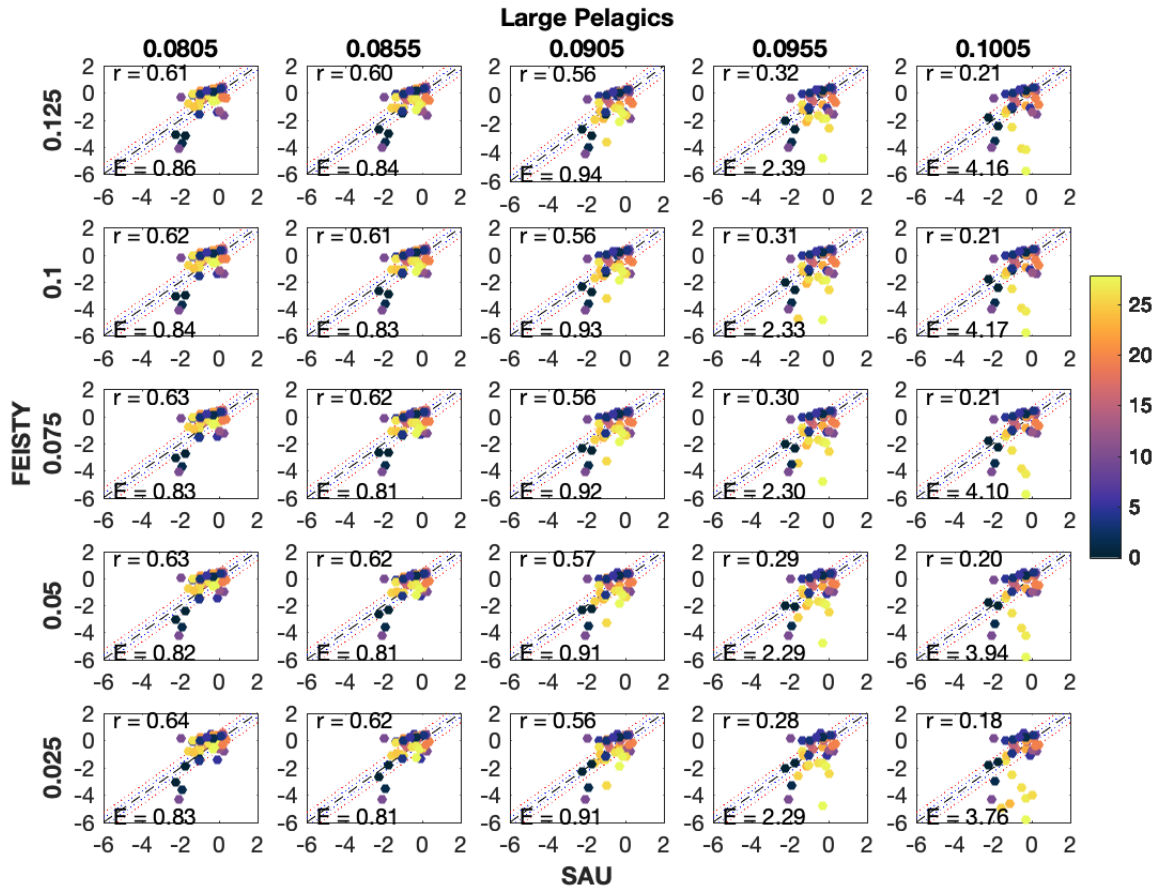
Figure A10. Comparison of FEISTY fraction of catch that is large pelagic fish with van Denderen model predictions by LME. The rows are different values of metabolic weight sensitivity (b_M) and the columns are different values of metabolic temperature sensitivity (k_M). Correlations (r) and root mean square error (E) are given. Dot color indicates mean pelagic (top 100 m) temperature ($^{\circ}\text{C}$) of the LME. Dashed lines represent 1:1 (black), 2x difference (blue), 5x difference (red). $\theta_A=0.5$, $a_C = 20$, $a_E = 70$, $b_E = -0.20$, $b_C = -0.25$.

1545 *Temperature sensitivity of metabolism and benthic efficiency*

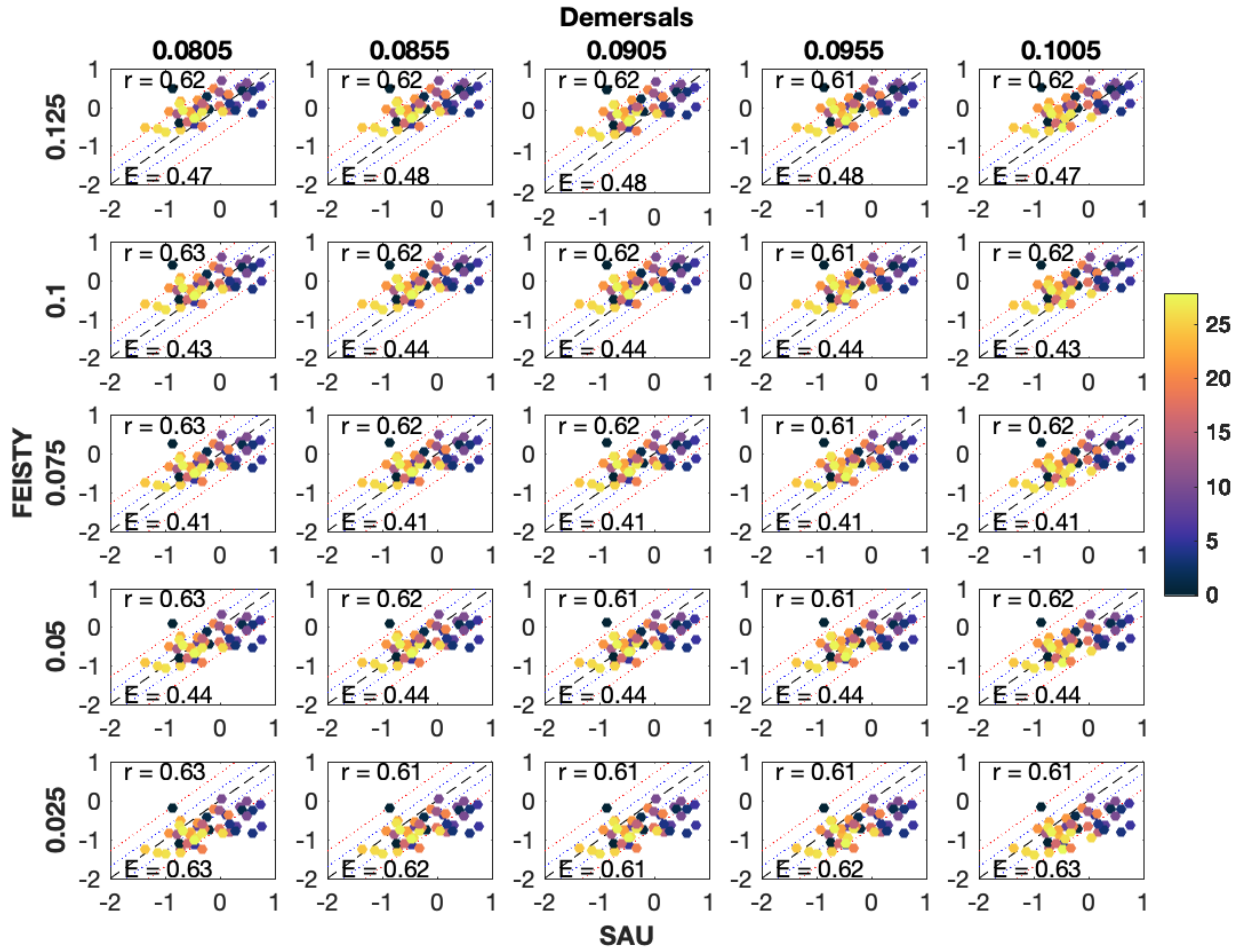
1546 The temperature sensitivity of metabolism, in combination with the benthic efficiency
 1547 (β), was further tuned with the demersal catch and fraction of catch that was large pelagics rather
 1548 than demersals. Lower temperature sensitivity and higher benthic efficiency was helpful in this
 1549 vein, with catch being less sensitive to benthic efficiency (Figure A11). Higher values of k_M led
 1550 to underestimation of large pelagic catch in warm LMEs (Figure A12), while lower values of β
 1551 led to underestimation of demersal catch in cold LMEs (Figure A13). The final parameters
 1552 selected were $k_M = 0.0855$ and $\beta = 0.075$.



1553 **Figure A11.** Correlation (r) with SAU catches and Van Denderen (vanD) fraction pelagics by
 1554 LME. $\theta_A = 0.5$, $a_C = 20$, $a_E = 70$, $b_E = -0.20$, $b_C = -0.25$, $b_M = -0.175$.
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 1558 **Figure A12.** Comparison of FEISTY large pelagic fish catch with SAU catch by LME. The rows
 1559 are different values of benthic efficiency (β) and the columns are different values of metabolic
 1560 temperature sensitivity (k_M). Correlations (r) and root mean square error (E) are given. Dot color
 1561 indicates mean pelagic (top 100 m) temperature ($^{\circ}\text{C}$) of the LME. Dashed lines represent 1:1
 1562 (black), 2x difference (blue), 5x difference (red). $\theta_A=0.5$, $a_C = 20$, $a_E = 70$, $b_E = -0.20$, $b_C =$
 1563 -0.25 , $b_M = -0.175$.



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Figure A13. Comparison of FEISTY demersal fish catch with SAU catch by LME. The rows are different values of benthic efficiency (β) and the columns are different values of metabolic temperature sensitivity (k_M). Correlations (r) and root mean square error (E) are given. Dot color indicates mean pelagic (top 100 m) temperature ($^{\circ}\text{C}$) of the LME. Dashed lines represent 1:1 (black), 2x difference (blue), 5x difference (red). $\theta_A=0.5$, $a_C = 20$, $a_E = 70$, $b_E = -0.20$, $b_C = -0.25$, $b_M = -0.175$.

1574 SUPPLEMENTARY TABLES

	Annual mean	Units
det_btm	14.46	$\text{g m}^{-2} \text{d}^{-1}$
lgz	421.91	g m^{-2}
loss_lgz	9.52	$\text{g m}^{-2} \text{d}^{-1}$
loss_mdz	12.46	$\text{g m}^{-2} \text{d}^{-1}$
mdz	501.13	g m^{-2}
NPP	350.46	$\text{mg C m}^{-2} \text{d}^{-1}$
T _b	2.48	°C
T _p	12.61	°C

1575 **Table S1.** Annual mean values of the ESM2.6-COBALT climatology outputs used as FEISTY
 1576 forcing (Table 1).

1577

	log₁₀(Zl:Det)	PeIT	Frac<200	log₁₀(NPP)
Intercept	-0.54 (-0.07)	-0.52 (-0.08)	-0.52 (-0.08)	-0.53 (-0.07)
log ₁₀ (Zl:Det)	1.00 (-1.00)			
PeIT		1.96 (-2.00)		
Frac<200			1.00 (-1.00)	
log ₁₀ (NPP)				1.87 (-1.98)
AIC	-105.50	-79.07	-64.77	-85.46
BIC	-98.93	-70.32	-58.20	-76.73
Log Likelihood	55.75	43.53	35.39	46.71
Deviance	56.73	54.50	56.58	54.89
Deviance explained	0.68	0.49	0.30	0.55
Dispersion	1.00	1.00	1.00	1.00
R ²	0.51	0.31	0.24	0.37
GCV score	-52.17	-38.07	-32.12	-41.79
Num. obs.	66	66	66	66
Num. smooth terms	1	1	1	1

1579 **Table S2.** Estimated parameters and summary statistics of generalized additive models of the
1580 LME-scale fraction of large pelagic fish vs. demersal fish (P/(P+D)) as a function of the
1581 individual terms: the log₁₀ transformed ratio of zooplankton losses to higher predators to seafloor
1582 detritus flux (log₁₀ Zl:Det), mean pelagic temperature in the top 100 m (PeIT), the fraction of
1583 LME area <200 m (Frac200), and the log₁₀ transformed net primary production (NPP). Bold
1584 numbers denote significance with p≤0.05.
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	log₁₀(Zl:Det)	PeIT	Frac<200	log₁₀(NPP)
Intercept	-0.22 (-0.08)	-0.22 (-0.08)	-0.23 (-0.09)	-0.22 (-0.08)
log ₁₀ (Zl:Det)	1.00 (-1.00)			
PeIT		1.96 (-2.00)		
Frac<200			1.00 (-1.00)	
log ₁₀ (NPP)				1.00 (-1.00)
AIC	-18.53	-24.00	-8.21	-15.88
BIC	-11.96	-15.25	-1.64	-9.31
Log Likelihood	12.26	16.00	7.10	10.94
Deviance	52.07	51.72	51.28	51.60
Deviance explained	0.26	0.35	0.09	0.22
Dispersion	1.00	1.00	1.00	1.00
R ²	0.19	0.35	0.05	0.18
GCV score	-9.07	-10.60	-3.99	-7.74
Num. obs.	66	66	66	66
Num. smooth terms	1	1	1	1

1587 **Table S3.** Estimated parameters and summary statistics of generalized additive models of the
1588 LME-scale fraction of large pelagic fish vs. forage fish (P/(P+F)) as a function of the individual
1589 terms: the log₁₀ transformed ratio of zooplankton losses to higher predators to seafloor detritus
1590 flux (log₁₀ Zl:Det), mean pelagic temperature in the top 100 m (PeIT), the fraction of LME area
1591 <200 m (Frac200), and the log₁₀ transformed net primary production (NPP). Bold numbers
1592 denote significance with p≤0.05.

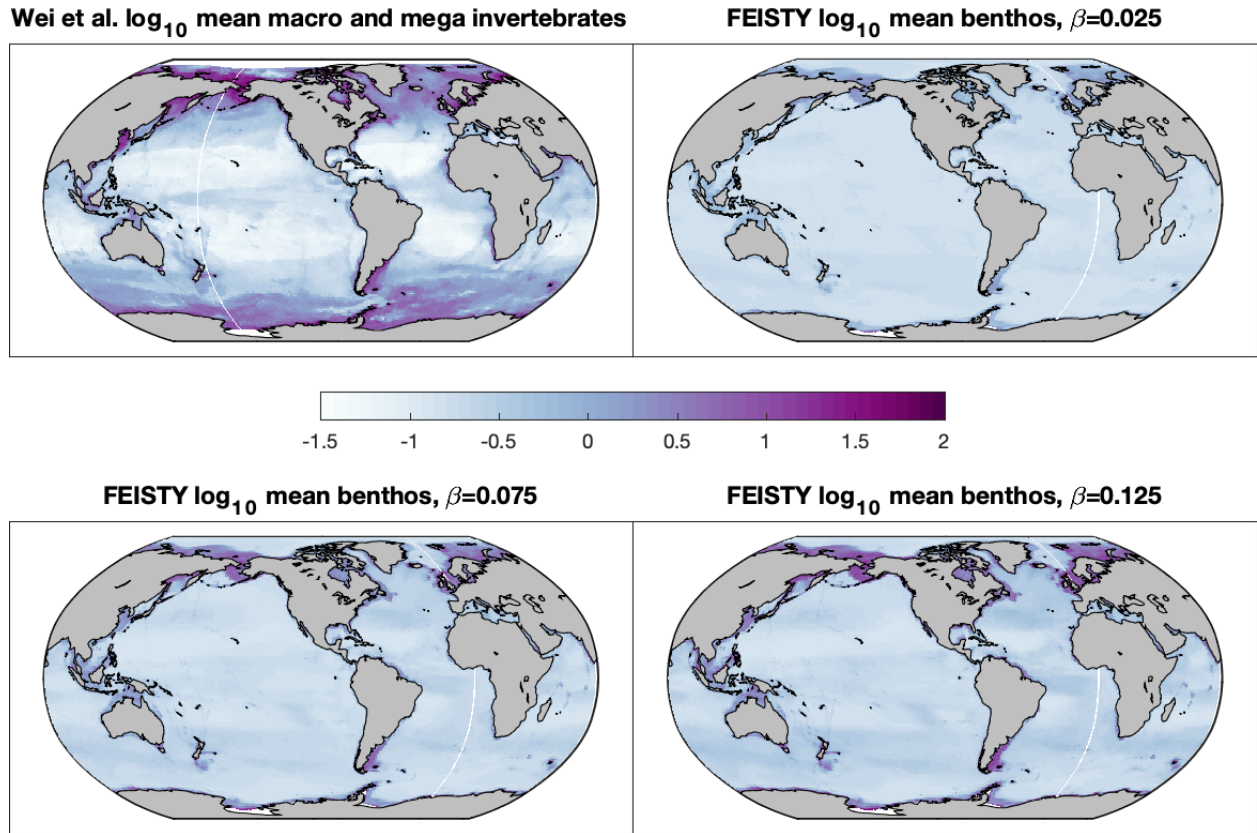
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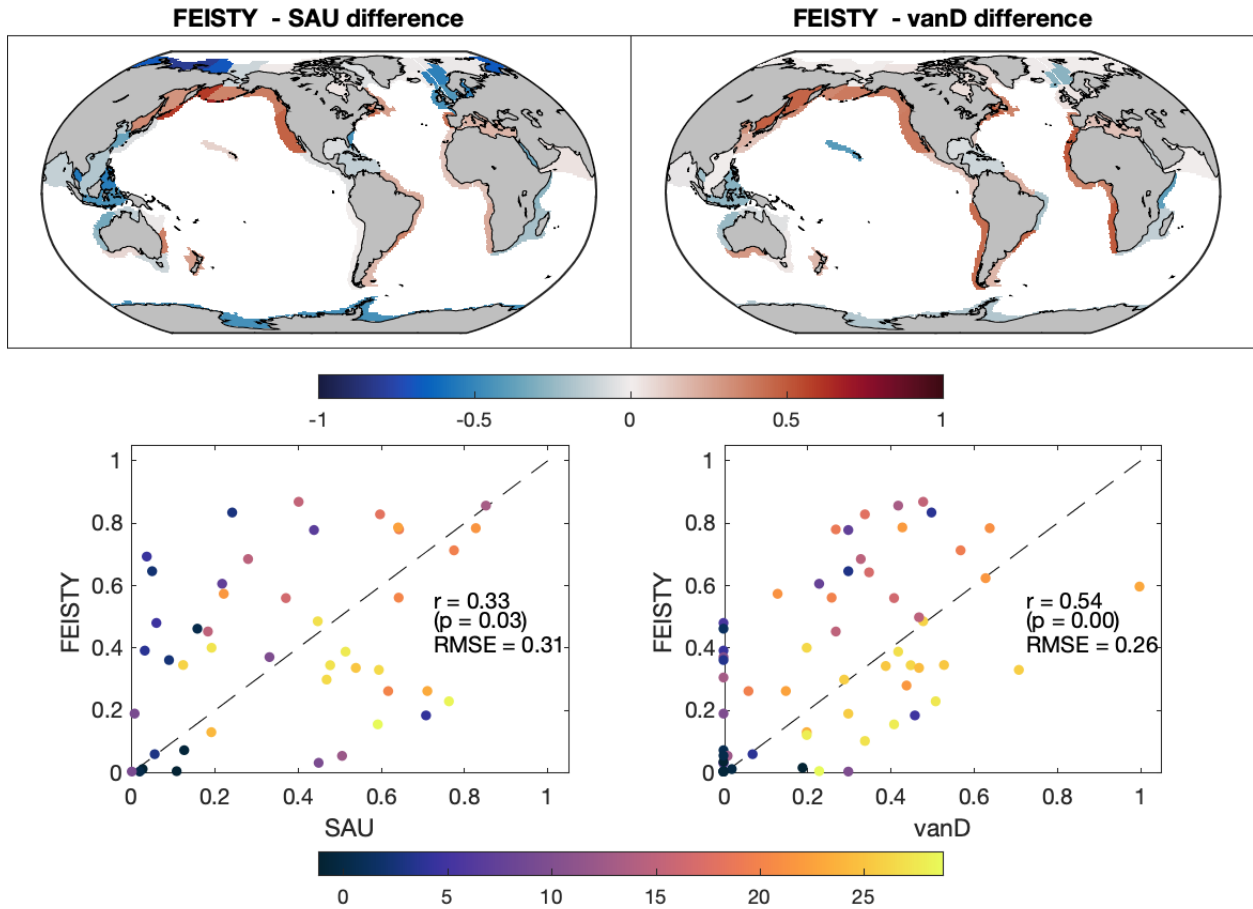
	log₁₀(Zl:Det)	PeIT	Frac<200	log₁₀(NPP)
Intercept	0.11 (-0.05)	0.11 (-0.04)	0.11 (-0.05)	0.11 (-0.05)
log ₁₀ (Zl:Det)	1.00 (-1.00)			
PeIT		1.90 (-1.99)		
Frac<200			1.00 (-1.00)	
log ₁₀ (NPP)				1.49 (-1.74)
AIC	-59.62	-96.25	-51.45	-44.11
BIC	-53.05	-87.52	-44.88	-35.93
Log Likelihood	32.81	52.12	28.72	25.80
Deviance	60.85	61.36	60.48	59.61
Deviance explained	0.24	0.59	0.13	0.05
Dispersion	1.00	1.00	1.00	1.00
R ²	0.20	0.60	0.11	0.02
GCV score	-28.56	-45.78	-24.59	-21.15
Num. obs.	66	66	66	66
Num. smooth terms	1	1	1	1

1595 **Table S4.** Estimated parameters and summary statistics of generalized additive models of the
1596 LME-scale fraction of large fishes to medium fishes (L/(L+M)) as a function of the individual
1597 terms: the log₁₀ transformed ratio of zooplankton losses to higher predators to seafloor detritus
1598 flux (log₁₀ Zl:Det), mean pelagic temperature in the top 100 m (PeIT), the fraction of LME area
1599 <200 m (Frac200), and the log₁₀ transformed net primary production (NPP). Bold numbers
1600 denote significance with p≤0.05.

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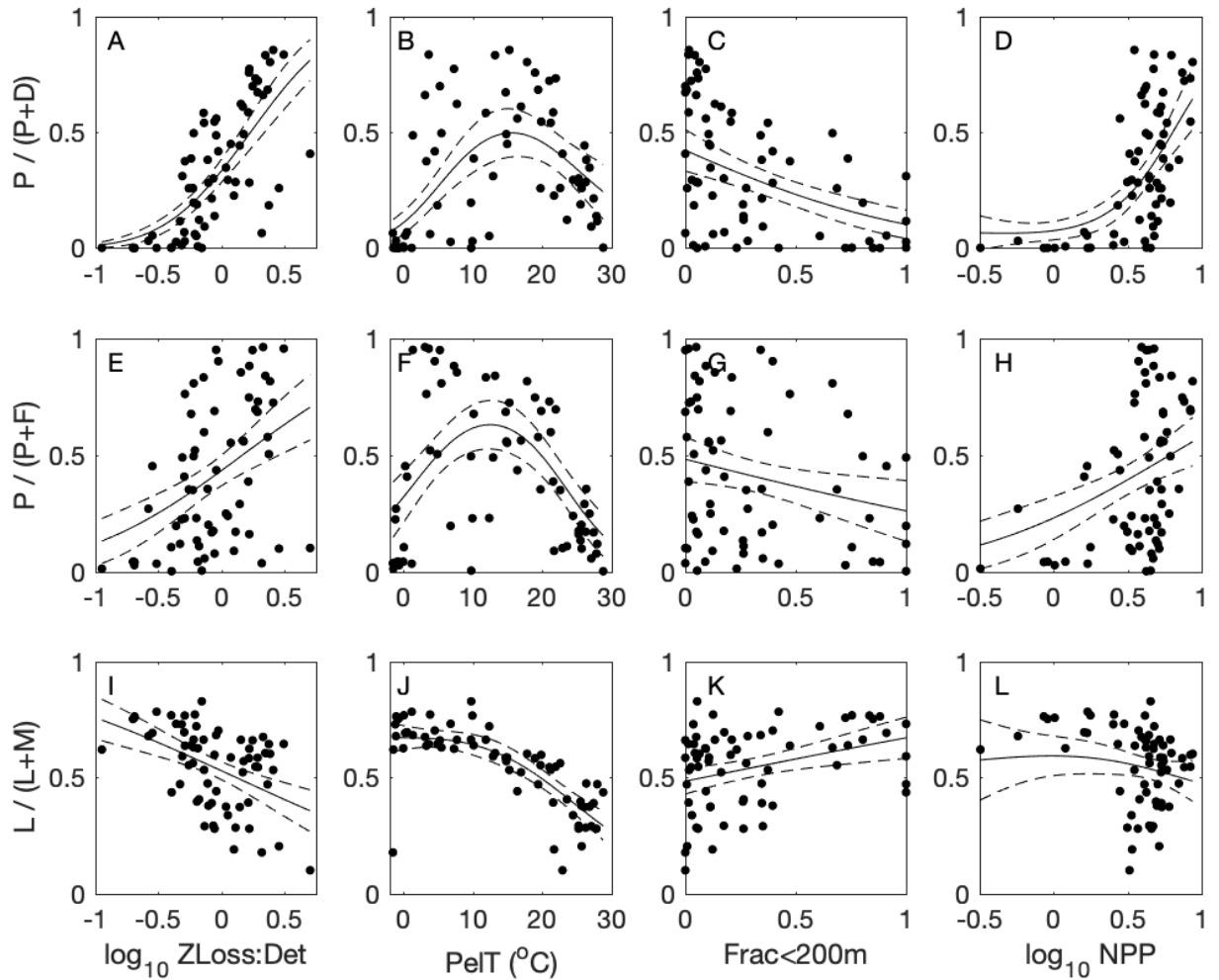


1605 **Figure S1.** Mean \log_{10} biomass (g m⁻²) of macrofauna and mega invertebrates statistically
1606 estimated by Wei et al. (2010) and benthic invertebrates simulated by FEISTY with varying
1607 benthic efficiencies (β).
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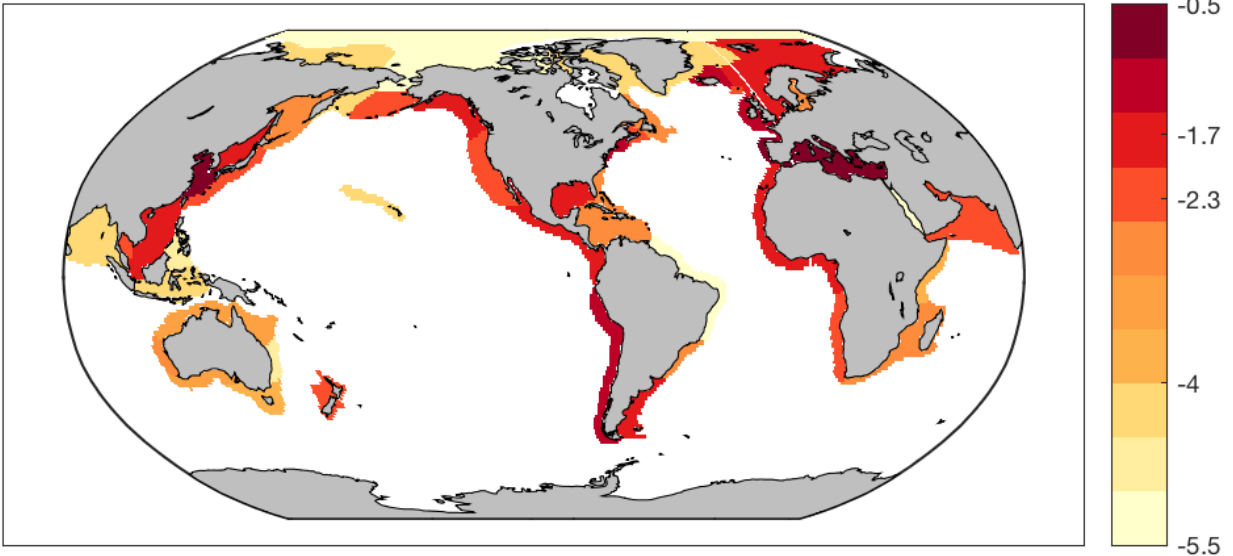
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Figure S2. (Top) Differences between modeled catch fraction of pelagics vs. demersals and (Bottom) Correlations between modeled catch fraction of pelagics vs. demersals. Comparisons with (Left) SAU catch reconstructions and (Right) vanD (van Denderen et al. 2018) modeled fractions. Dot colors indicate mean pelagic (top 100 m) temperature (°C) of the LME.

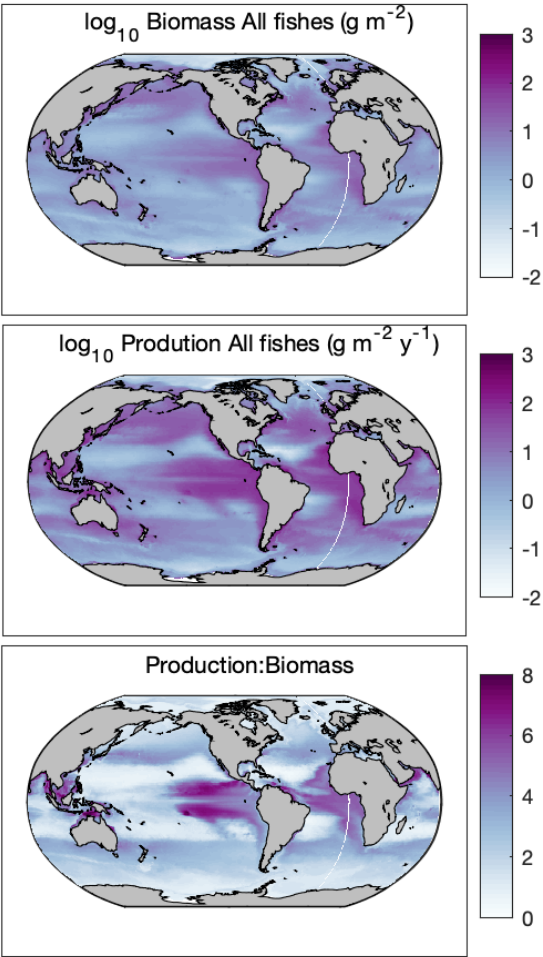


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 1616 **Figure S3.** Generalized additive model fits of the fraction of large pelagics compared to (top)
 1617 demersals and (middle) forage fishes, and (bottom) the fraction of large fishes compared to
 1618 medium fishes as functions of the ratio of zooplankton production to bottom detritus flux (\log_{10}
 1619 ZLoss:Det), the mean pelagic (0-100 m) temperature (Tpel), the fraction of the LME area that
 1620 was <200 m (Frac<200m), and net primary production (\log_{10} NPP ($\text{mg C m}^{-2} \text{d}^{-1}$)) individually.

\log_{10} Mean fishing hours km^{-2} 2012-2016



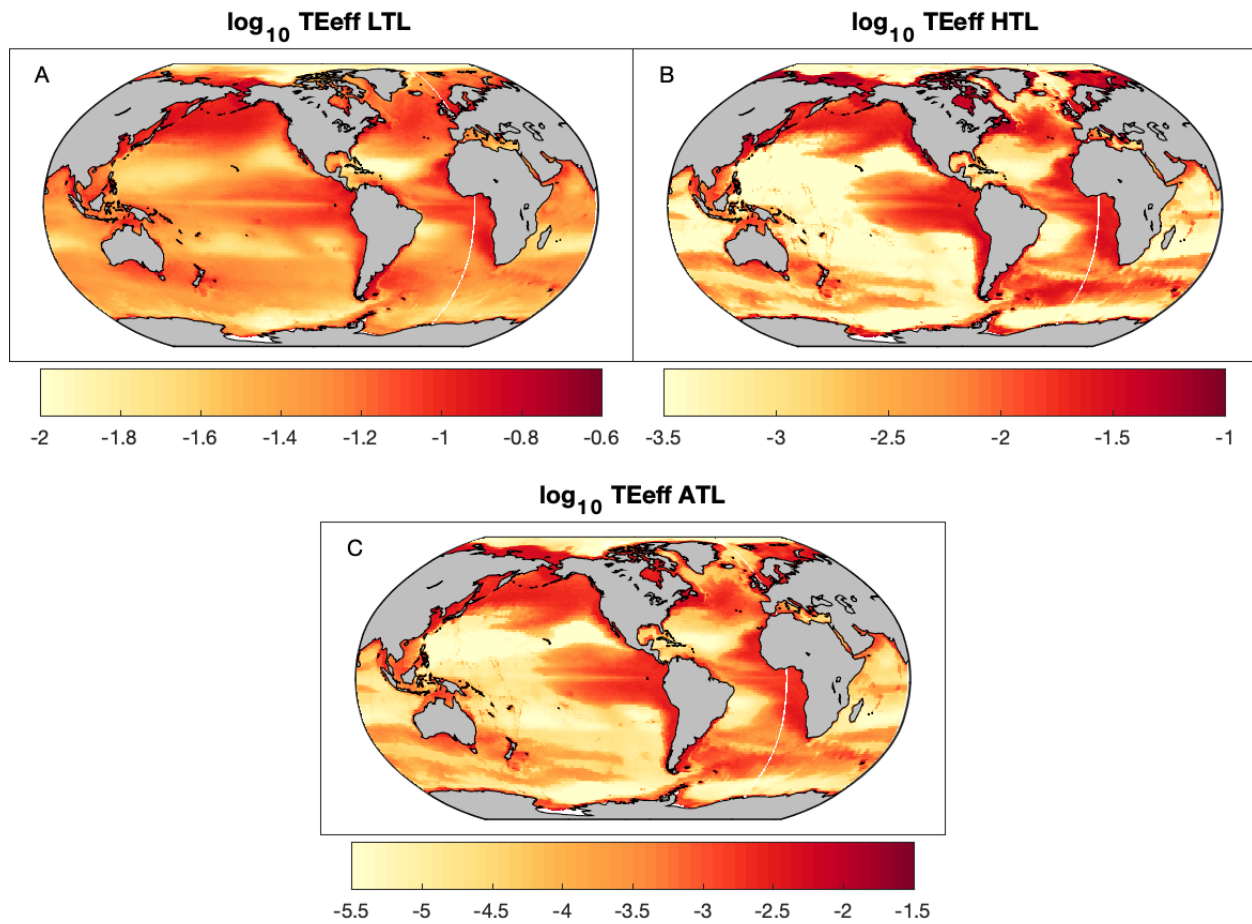
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1622 **Figure S4.** Mean purse seine fishing effort (\log_{10} hrs km^{-2}) from 2012-2016 on the LME scale.
1623 Data from Global Fishing Watch (Kroodsma et al. 2018). The effort values on the colorbar
1624 indicate the 0.01, 0.25, 0.50, 0.75, and 0.99 quantiles of all nonzero values.



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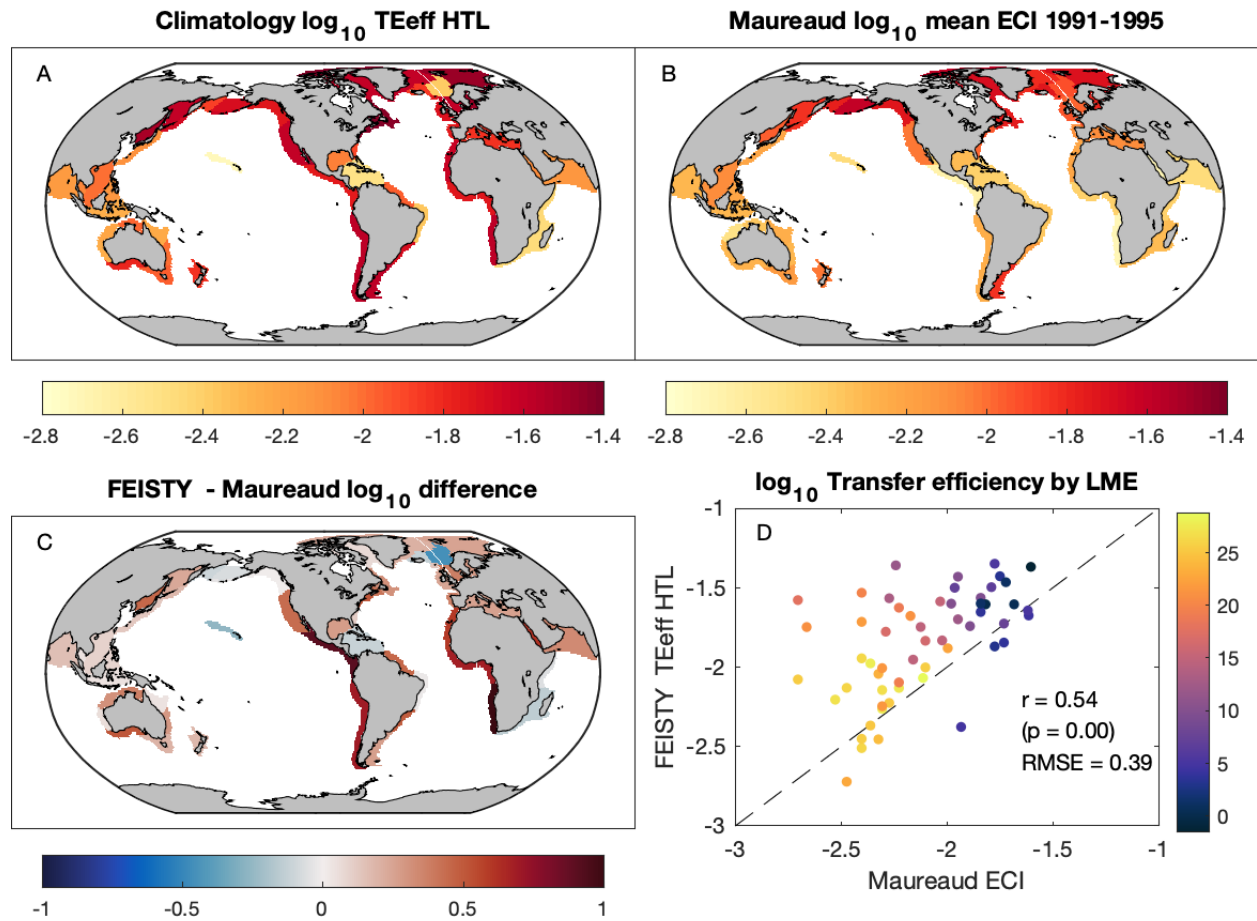
Figure S5. Jennings & Collingridge (2015) comparable plots of (Top) log₁₀ mean biomass of all fishes (g m⁻²), (Middle) log₁₀ annual production of all fishes (g m⁻² yr⁻¹), and (Bottom) the Production:Biomass ratio.

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Figure S6. Log₁₀ transformed effective transfer efficiency (log₁₀ TE_{eff}) (A) of net primary production (NPP) to the lowest trophic levels (LTL; medium zooplankton, large zooplankton, benthos), (B) of LTL production to the highest trophic level (HTL; pelagics and demersals in the large size class), and (C) of NPP to HTL, encompassing all trophic levels (ATL).



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Figure S7. (A) \log_{10} transformed effective transfer efficiency (\log_{10} TEeff) of LTL production to the highest trophic level (HTL; pelagics and demersals in the large size class) in FEISTY, (B) the comparable ECI of Maureaud et al. (2017), (C) the difference, and (D) their correlation. Color in D indicates mean pelagic (top 100 m) temperature ($^{\circ}\text{C}$) of the LME.