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1 **Insights into the Causes of Arc Rifting**
2 **from 2D Dynamic Models of Subduction**

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5 **Key Points:**

- 6 • Spontaneous forearc/arc rifting can occur when there is a broad region of weak mate-
7 rial in the overriding plate.
8 • Positive buoyancy of hot, mantle wedge material drives rifting and can change over-
9 riding or subducting plate motion.
10 • Heating of the subducting crust and overriding plate lithosphere could produce adakites
11 or boninites during rifting.

Abstract

Backarc spreading centers initiate as forearc or arc rifting events when extensional forces localize within lithosphere weakened by hydrous fluids or melting. Two models have been proposed for triggering forearc/arc rifting: roll-back of the subducting plate causing trench retreat, or motion of the overriding plate away from the subduction zone. This paper demonstrates that there is a third mechanism caused by an *in situ* instability that occurs when the thin high-viscosity boundary, which separates the weak forearc from the hot buoyant mantle wedge, is removed. Buoyant upwelling mantle causes arc rifting, drives the overriding plate away from the subducting plate, and there is sufficient heating of the subducting plate crust and overriding plate lithosphere to form adakite or boninite volcanism. For spontaneous forearc/arc rifting to occur a broad region of weak material must be present and one of the plates must be free to respond to the upwelling forces.

1 Introduction

The existence of back-arc spreading centers was first recognized from the occurrence of shallow seafloor bathymetry and young crust in the marginal basins of the western Pacific [Karig, 1971]. At the same time, it was also proposed that back-arc spreading centers initiate as forearc or arc rifting events, which eventually leaves a remnant volcanic arc (whole or split) on the landward side of the back-arc spreading center [Karig, 1970]. Two well-known examples of this arc-rifting process are found in the Tonga-Kermadec and Izu-Bonin-Mariana (IBM) subduction zones (Fig. 1). In the Tonga-Kermadec subduction zone the Lau Basin and Havre Trough are the present-day back-arc spreading centers, whereas the Lau-Colville ridge is the remnant (split) volcanic arc [Karig, 1970; Gill, 1976; Clift and ODP Leg 135 Scientific Party, 1995; Taylor et al., 1996]. In the IBM, there has been two episodes of arc rifting [Stern et al., 2003]. Arc rifting first occurred at approximately 30–25 Ma forming the Palua-Kyushu ridge (remnant arc), followed by back arc spreading in the Shikoku and Parece Vela basins from 30–15 Ma. A second arc rifting event may have started as early as 6–10 Ma [Stern and Bloomer, 1992; Martinez et al., 1995] forming the west Mariana ridge (remnant arc) with seafloor spreading on the Mariana Trough starting at 3–4 Ma. In addition, the Izu-Bonin Arc is currently experiencing rifting, which is thought to have started at about 2 Ma [Taylor et al., 1991]. In both these regions volcanism thought to be caused by melting of subducted crust (adakites; Defant and Drummond [1990]) or hot, shallow melting of metasomatized peridotites (boninites; Crawford et al. [1989]) have been identified and related to the extension or rifting close to the trench [Crawford et al., 1989; Falloon et al., 2008; Cooper et al., 2012; Meffre et al., 2012].

Following the discovery of back-arc spreading centers and forearc/arc rifting, several models were proposed to explain how such an extensional process could occur within the overriding plate near a major convergent boundary. In addition to the overall compressive state of a convergent margin, corner-flow models predict that the overriding plate should be in compression above the arc due to the region of low pressure that forms in the mantle wedge corner [McKenzie, 1969; Sleep, 1975]. Karig [1970] initially proposed that extension would be driven by the buoyant upwelling of hot material from the mantle wedge generated by shear heating. However, as it was later shown that shear heating is not a significant heat source, this buoyancy-driven model was superseded by plate-driven models of extension. Based on early plate motion data, and the ages and orientations of marginal basins in the Pacific, Jurdy [1979] proposed that roll-back of the subducting slab caused trench retreat, which then pulled part of the overriding plate seaward and away from the larger overriding plate. In contrast Molnar and Atwater [1978] and later Scholz and Campos [1995] proposed that a change in motion of the overriding plate away from the convergent margin initiated back-arc spreading. In both models, weakening of the overriding plate is needed to localize extension in the arc (e.g., through melt weakening) or forearc (e.g., through hydrous fluid weakening).

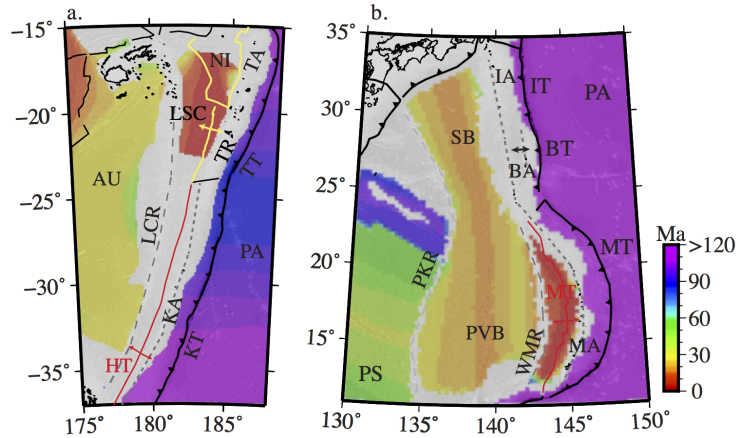


Figure 1. Location of subduction systems with recent arc rifting event(s). Gray-scale is bathymetry [Smith and Sandwell, 1997] overlain by transparent color image of seafloor age [Müller et al., 2008]. a) The volcanic arc in Tonga-Kermadec subduction zone began rifting at ≈ 6 Ma to form Lau-Colville ridge (LCR), which is now separated from the active arcs (TA–Tonga Arc, KA–Kermadec Arc) by back-arc oceanic spreading ridges: the Havre Trough (HT) and Lau spreading centers (LSCs). Other labels: TR–Tonga ridge (shallow bathymetric feature), TT–Tonga Trench, KT–Kermadec Trench, PA–Pacific plate, AU–Australian plate, NI–Niuafoa Plate. b) The volcanic arc of the Izu-Bonin-Mariana subduction systems has rifted twice in the past forming the Palua-Kyushu Ridge (PKR) at ≈ 30 – 25 Ma and the west Mariana Ridge (WMR) at ≈ 3 – 4 Ma. The Bonin Arc (BA) is currently rifting. Other labels: IA–Izu Arc, MA–Mariana arc, PVB–Parece Vela Basin, SB–Shikoku Basin, PS–Philippine Sea Plate, PA–Pacific Plate, IT–Izu Trench, BT–Bonin Trench, MT–Mariana Trench.

More recently, analysis of the formation of back-arc basins using plate tectonic reconstructions for the Cenozoic indicates that just prior to the initiation of back-arc spreading, the overriding plate motion changes to move away (retreat) from the subduction zone, supporting the model of overriding-plate driven extension and rifting [Sdrolias and Müller, 2006]. However, there is still significant disagreement as to whether deformation in subduction zones is primarily driven by the changes in motion of the overriding plate [Heuret and Lallemand, 2005] or the subducting slab [Schellart, 2008]. Analysis of such absolute plate motion depends significantly on the choice of reference frame [Schellart et al., 2008], and while the timing of seafloor spreading can be determined from seafloor magnetic anomalies, timing and duration of extension prior to seafloor spreading is more difficult to constrain.

Here, a different mechanism for arc/forearc rifting is presented that is not driven by external changes in plate motions, but instead results from an internal, buoyancy driven extension. This new mechanism occurs under limited conditions in 2D numerical models of subduction in which buoyancy forces drive subduction, plate and trench motions (e.g., fully-dynamic models). Although these models are quite simple in terms of the processes leading to formation of a weak region in the overriding plate, they provide insight into the necessary conditions for spontaneous forearc/arc rifting to occur. The models also facilitate the evaluation of the expected evolution in thermal structure, and therefore also the type of volcanism that might accompany such a rifting event.

2 Modeling Methods

Subduction dynamics is modeled in a two-dimensional (2D) slice of a spherical shell extending from the surface to the core-mantle boundary and 61° in longitude (Fig. S1).

96 Simulations are run using the CitcomS finite element code [Zhong *et al.*, 2000; McNamara
 97 and Zhong, 2004; Tan *et al.*, 2006]. The model set-up is identical to Arredondo and Billen
 98 [2017], allowing for fully dynamic simulations in which only buoyancy forces drive subduc-
 99 tion, surface plate and trench motion. That is, there are no imposed velocity or stress bound-
 100 ary conditions: all boundaries are free-slip. In addition a boxed region at the trailing end
 101 of both plates with a fixed thermal profile and low viscosity allowing the plates to freely
 102 move away from or toward the sidewalls. The minimum element size is 2.5 km by 1.5 km
 103 in a 1000 wide by 170 km deep region centered at 35° and increases incrementally into the
 104 surrounding domain. Subduction is initiated with a proto-slab extending to a depth of 200
 105 km. In addition, the models include: 1) a layered density structure for the subducting plate,
 106 2) a composite visco-plastic rheology based on laboratory experiments for olivine, and 3)
 107 compositionally-dependent phase transitions (which lead to slow folding and bending of the
 108 plate, and slow trench advance and retreat). Other details of the model set-up can be found in
 109 Arredondo and Billen [2017] and Figure S1.

110 An important aspect of the models for the process of arc rifting is the characterization
 111 of the crustal layer on the subducting plate. The overriding and subducting plates are sep-
 112 arated by the 7.5 km thick low viscosity basalt crustal layer on the subducting plate. This
 113 weak layer acts as the shear zone plate boundary and its location and dip are determined
 114 by the evolving dynamics in the simulation. The viscosity of the weak crustal layer is de-
 115 fined such that the specified crustal viscosity (e.g., 10^{20} Pa s) is the maximum viscosity of
 116 the shear zone: therefore, if the material gets hotter or the strain-rate increases enough to
 117 weaken based on the olivine flow law defined, then that weakening can occur. In addition,
 118 the crustal material undergoes a phase transition from basalt to eclogite at depths of 50–150
 119 km (depending on temperature), which also causes the viscosity to transition from that of the
 120 weak basalt to a viscosity for strong eclogite (in this case modeled with the same flow law as
 121 for olivine).

122 To summarize the change in stress-state of the overriding plate, the horizontal strain-
 123 rate tensor (HSS) is calculated. HSS represents the orientation of the principal axis of the strain-
 124 rate tensor. Consider the dip of the principal shortening axis at a point in the model do-
 125 main, $\theta = 0$ to ± 180 (measured counter-clockwise with-respect to the horizontal), then
 126 $HSS = \cos(2\theta)$. Therefore, when $\theta = 0$ or ± 180 then $HSS = 1$ representing horizon-
 127 tal shortening. When $\theta = \pm 90$, corresponding to $HSS = -1$, then the shortening axis is
 128 vertical and the stretching axis is horizontal. Therefore, an HSS value close to ± 1 indicates
 129 horizontal shortening or stretching, while smaller magnitude values indicate a state of stress
 130 that is dipping. In addition, its important to note that the high viscosity plate interior usu-
 131 ally deforms at very low strain-rates ($1 \times 10^{-17} \text{ s}^{-1}$), therefore, when using HSS to evaluate
 132 the changing state of strain, the magnitude of deformation is also tracked using the second
 133 invariant of the strain-rate tensor, $\dot{\epsilon}_{II}$.

134 To evaluate the thermal evolution of the subducting slab and mantle wedge thermal
 135 profiles are extracted from the model results. For the subducting plate this is done by ex-
 136 tracting a smooth contour along the top of the harzburgite layer. For the top of the crustal
 137 layer, the location of the harzburgite curve is shifted by 7.5 km in the direction perpendic-
 138 ular to the local orientation of the boundary. The temperature along both contours is then
 139 determined using a bilinear interpolation of the temperature from adjacent grid points to the
 140 points on the smooth contours. Based on the highest temperature gradients found at the top
 141 of the crustal layer (30°C/km) and a horizontal mesh spacing of 2.5 km, the error in the
 142 temperature for the top of the crust is estimated to be on the order of 76°: this corresponds
 143 to mislocating the boundary by a full mesh element. For the vertical wedge thermal profile
 144 at 38.5° longitude no interpolation is required: the temperature is plotted at the mesh grid
 145 points.

3 Results

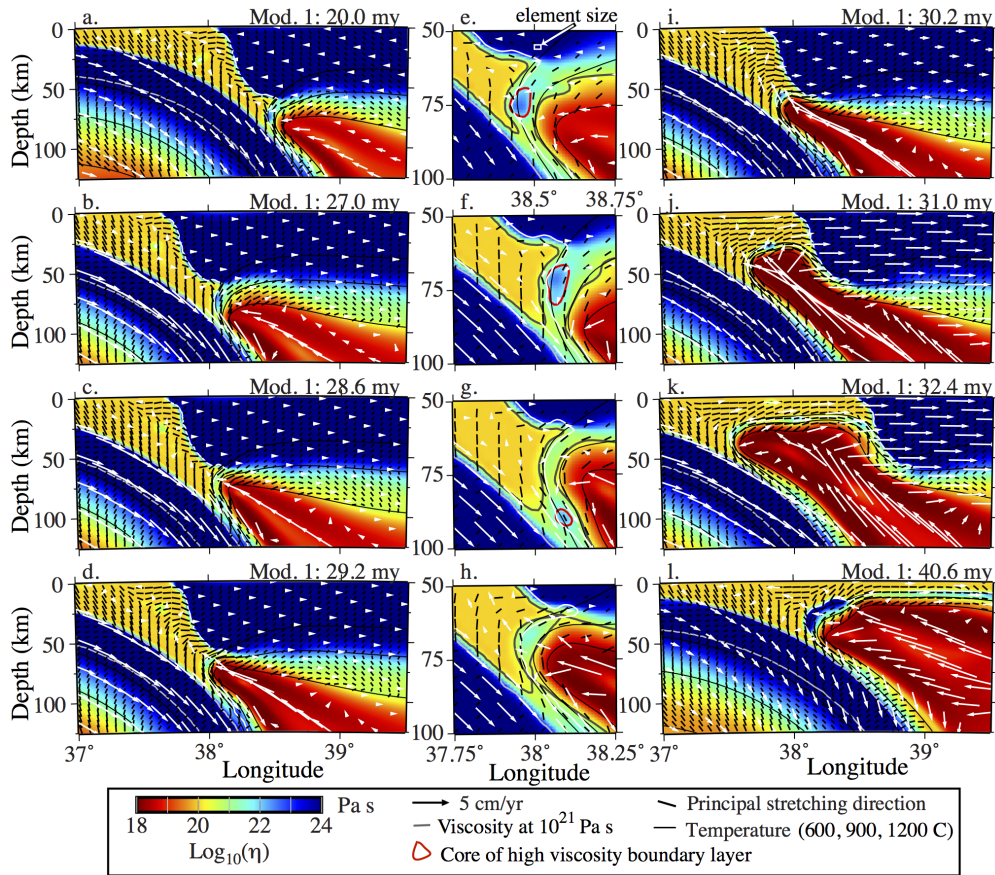
In the process of modeling time-dependent evolution of slab shape, plate and trench motion [Arredondo and Billen, 2017] an unusual overriding plate spreading event occurred in one of the models. This model includes an 80 Ma old subducting plate, 40 Ma old overriding plate and a crustal/shear zone viscosity of 10^{20} Pa s (Model 1). The model evolves as expected for about 30 Ma, until the overriding plate suddenly rifts apart (Fig. 2; Movies S1 and S2). Prior to the rifting event, some of the weak crustal material is accreted to the overriding plate slowly forming a broad weak accretionary prism at the surface, and an ≈ 20 km wide weak region extending down almost to the mantle wedge corner. The broader deep zone forms during an episode of trench retreat from 16.5–18.0 Ma, allowing more material into the space between the plates. The weak crustal material is separated from the hot, weak mantle wedge material by a 15–20 km thick zone of cold, higher viscosity ($> 10^{21}$ Pa s) mantle material (Fig. 2a). This material, which is numerically resolved by 5–10 elements (see white box in Fig. 2e), is not advecting with the mantle wedge and acts as a fixed thermal and mechanical boundary layer.

At about 28.6 Ma, the corner of the mantle wedge flow shifts slightly away from the subducting slab, and up into the overriding plate. This slight shift causes the material forming the core of the previously stationary high-viscosity boundary layer to weaken slightly and to be dragged around the mantle wedge corner and down the slab interface (see red contour in Fig. 2e–g; Movie S1). This sudden removal of the high viscosity boundary creates the conditions for spontaneous arc rifting. The hot mantle wedge material is no longer constrained by the high-viscosity boundary, and the positive buoyancy drives the mantle wedge material upward. The upwelling mantle material pushes up into the middle of the broad, low-viscosity accreted material driving the overriding plate away from the subducting plate. The low-viscosity of this region of the overriding plate is a key factor in allowing the buoyancy of the mantle material to push up into the overriding plate.

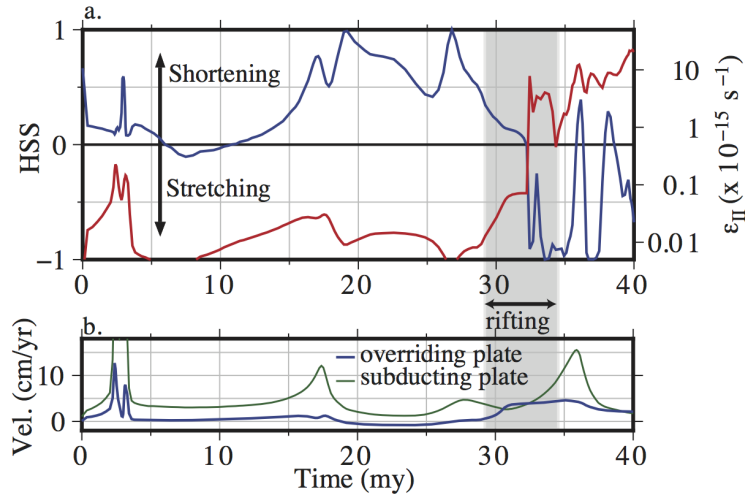
The main rifting event takes about 4.0 Ma (28.6–32.4 Ma) and leads to 150 km of extension, but slow continued extension and thinning of this region continues and focuses to a clear "back-arc" location at 38.0 Ma until the end of the model at 40 Ma (Fig. 2l). The extended region has a thin weak crustal layer underlain by a thin high viscosity thermal boundary layer. When the extension focuses at 38 Ma, this region thins losing the high-viscosity layer, while the adjacent extended region moves with the overriding plate. Notably, during the rifting process, the subducting plate shifts into trench advance with the slab dip shallowing slightly beneath the extending region. This deformation of the shallow slab is being driven by folding of the slab in the transition zone, and is unaffected by rifting of the overriding plate (Movie S2).

The orientation and magnitude of the strain-rate in the overriding plate further illustrates the spontaneous nature of the plate rifting event. Figure 2 shows the orientation of the principal stretching direction from the strain-rate tensor for individual time steps. Both prior to and during rifting the amount of strain in the high viscosity portion of the plate is low ($< 10^{-16}$ s $^{-1}$) and the orientation of the strain is neither dominantly horizontal shortening or stretching ($HSS < 1$ in Fig. 3a). In addition, the direction of motion of the overriding plate changes from slow trench advance to slow trench retreat and back to advance prior to the rifting event (Fig. 3b; Movie S1).

Prior to the rifting event, the stretching axis in the accreted material indicate shearing parallel to the subducting plate, except for a small region of horizontal stretching at the boundary with the overriding plate (longitude of 37.5°) at shallow depth. As the buoyant mantle material begins to rise into the weak crustal material, a broad region of horizontal stretching forms in the accreted material. However, this zone of extension does not reach all the way to the subducting plate: the viscous coupling of material to the sinking slab and dragging of this material by the slab forms a *back-stop* to the upwelling mantle flow.



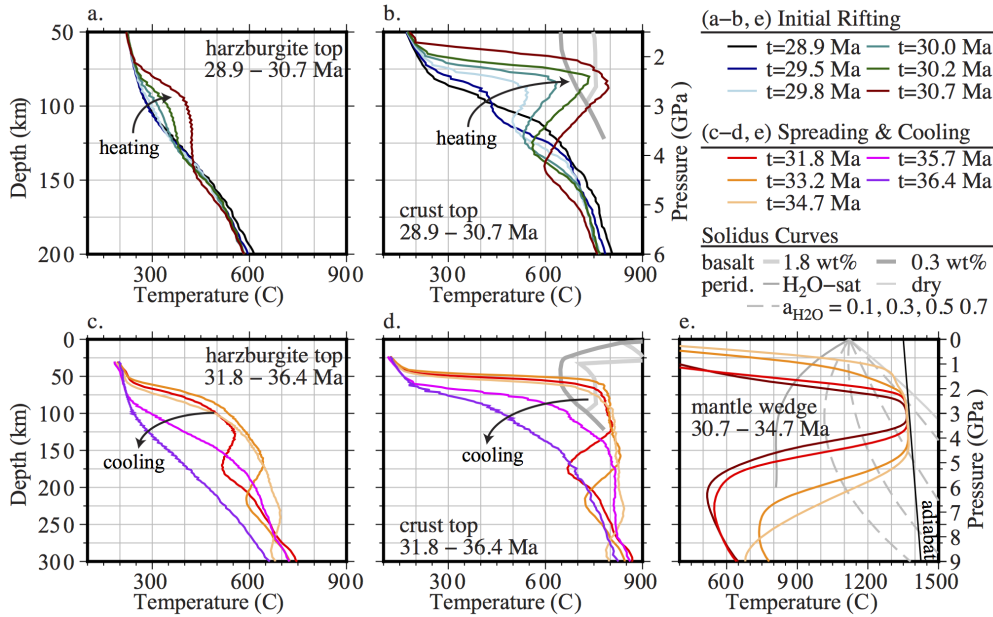
172 **Figure 2.** Time evolution of spontaneous arc rifting. a) Subduction corner at 20.0 Ma. b) Just prior (27.0
 173 Ma) to the rifting event the overriding plate is moving slowly towards the subduction zone. c–d and i–k)
 174 During the rifting event (29.2–32.4 Ma) rapid upward flow of the mantle pushes the overriding plate, which
 175 reverses direction and moves away from the trench and advancing subducting plate. e–h) Zoom-in on mantle
 176 wedge corner before (e) and during (f–h) rifting showing advective erosion of thin, high viscosity boundary
 177 separating weak forearc from mantle wedge (thick, black contour is viscosity at 10^{21} Pa s; red contour shows
 178 core of high viscosity boundary). l) After the rifting event, a high viscosity boundary reforms and subduction
 179 continues. Color image shows plate strength (viscosity). Black, thin – temperature contours at 600, 900, and
 180 1200°C. White arrows – flow velocity. Black bars – principal stretching direction from strain-rate tensor.
 181 Small white box in (e) shows numerical mesh element size. See also Movie S1.



207 **Figure 3.** Time evolution of horizontal strain-state (HSS) in the overriding plate represented by tracking a
 208 point at 15 km depth and 38.5° longitude. a) Prior to the rifting event at 30.2 Ma, the overriding plate is in a
 209 state of very low magnitude horizontal shortening. As rifting occurs, the stress state switches to extension and
 210 the magnitude of strain-rate increases 3–4 orders of magnitude. Blue line shows HSS (> 0 , shortening; < 0 ,
 211 stretching). Red lines shows magnitude of the strain-rate ($\dot{\epsilon}_{II}$). b) Overriding plate motion (blue) shows slow
 212 retreat (negative) from 18–22 Ma, followed by slow advance (positive) from 22–30 Ma, before arc rifting at
 213 30.3 Ma. During rifting the overriding plate moves away from the subducting plate. Subducting plate (green)
 214 velocity is responding to bending and buckling of the deeper slab.

215 Figure 3 shows the evolution of the state of strain in the overriding plate for a represen-
 216 tative point at 38.5° longitude and at a depth of 15 km. Using the HSS and second invariant
 217 of the strain-rate tensor, it is clear that this region of the overriding plate is in a state of weak
 218 compression (shortening) through most of the model evolution prior to the arc rifting event.
 219 This state of weak compression is maintained even as the slab and trench undergo episodes
 220 of retreat and advance indicating that the overriding plate is primarily moving in response to
 221 viscous drag in the mantle rather than coupling along the plate interface. As the rifting event
 222 takes place, the strain orientation rotates to horizontal stretching and the strain-rate increases
 223 by 3–4 orders of magnitude to $\approx 10^{-14}$ – 10^{-13} s^{-1} (Fig. 3). After the initial stretching event
 224 takes place, there are shifts in the orientation of strain indicating internal deformation of the
 225 new thin crustal layer.

226 During the arc rifting event, the thermal evolution of the slab and wedge records rapid
 227 heating of the subducting plate crust and overriding plate lithosphere (Fig. 4). Before the
 228 arc rifting event the top of the subducting crust has temperatures of 200–500°C at depths of
 229 50–100 km. At the start of the rifting event the peak temperatures in this same depth range
 230 increase to almost 800°C from 29.8–30.7 Ma (Fig. 4b). As the mantle wedge continues to
 231 migrate upward, the slab surface remains hot ($T = 800^\circ\text{C}$ for $z > 50 \text{ km}$) for another 4.0 Ma
 232 before slowly cooling back to pre-rifting temperatures at 36.4 Ma (Fig. 4d). While heating
 233 of the slab surface is extreme, there is also a very sharp temperature gradient across the thin
 234 crustal layer. During the initial heating, the temperature at the base of the crust (top of the
 235 harzburgite layer) only increases by 150° (Fig. 4a). With continued heating, the peak temper-
 236 ature at 100 km eventually reaches 550°C about 5 Ma after the start of the rifting event (Fig.
 237 4c). Finally, extensional thinning of the overriding plate and rapid shallowing of the mantle
 238 wedge lead to heating of the overriding plate lithosphere. This heating is initially localized
 239 where the mantle wedge first migrates upwards, but eventually, hot temperatures (> 900 –
 240 1100°) are found at depths of 25–50 km in the region of extended lithosphere.



241 **Figure 4.** Time evolution of the slab and wedge thermal structure. (a–b) Initial rifting and heating phase
 242 (28.9–30.7 Ma). (d–e) Continued rifting and cooling phase (31.8–36.4 Ma). Thermal profiles along the top
 243 of the subducting harzburgite layer (base of the crust) (a, c), top of the subducting crust (b, d) and a depth
 244 profile through the mantle wedge at 38.5° (e). Solidi for basalt [Vielzeuf and Schmidt, 2001] or peridotite
 245 [Hirschmann, 2000; Till et al., 2010] are shown for comparison to the thermal profiles (see figure legend).

246 Finally, the spreading event that occurs in this model is dependent on the overall evolu-
 247 tion of the model and is therefore sensitive to the properties of both the crustal layer and the
 248 overriding plate. For example, there is no spreading event in a model with a higher crustal
 249 viscosity (Model 2: 10× larger), which also has slower subduction, a different slab deforma-
 250 tion history and different surface plate motions [Arredondo and Billen, 2017]. Similarly, no
 251 spreading event occurs in a model that is identical to Model 1 except that it has a younger
 252 overriding plate (Model 3: 20 Ma). In both cases, there is less accretion of crustal material
 253 to the overriding plate (Fig. S2). The subducting plate evolution in both these models (1 and
 254 3) is very similar, with folding events in the slab occurring at the same time with the same
 255 time-dependent subducting plate speeds (Fig. S3). Therefore, this type of event is a kind of
 256 instability in the system that depends on the particular long-term evolution of the system,
 257 and it is not an inevitable consequence of accretion of weak crustal material. In addition, the
 258 similar behavior of the slabs in Models 1 and 3, shows that the arc-rifting process occurs in-
 259 dependent of the subducting plate behavior at the time of rifting, and also does not interfere
 260 with the subduction process. Instead, the only long-term change to the subduction system is
 261 the addition of the back-arc spreading center on the overriding plate following the arc-rifting
 262 process.

263 4 Discussion

264 The model exhibiting spontaneous arc rifting is the only one in which rifting occurred
 265 out of a suite of over 40 models that varied subducting plate age (40, 80 Ma), crustal vis-
 266 cosity (10^{20} – 10^{21} Pa s), overriding plate age (20, 40 Ma; with or without ridge push), com-
 267 positional density (with or without), boundary conditions (kinematic, fully-dynamic), and
 268 transition zone phase changes [Arredondo, 2016; Arredondo and Billen, 2016, 2017]. The
 269 limited range of conditions in which arc-rifting occurs in the models is due to the potentially

270 unstable nature of the high-viscosity boundary separating the mantle wedge from the weak
 271 crustal material. In the models, this high viscosity boundary is fully-resolved, occurring over
 272 5–7 elements. Therefore, while a model with higher resolution might lead to slightly differ-
 273 ent timing in onset of the arc rifting process, it is not likely to change the overall behavior of
 274 the model. Therefore, based on comparison of Model 1 with similar models in which no rift-
 275 ing event occurs, the primary factor leading to spontaneous arc rifting is accumulation (or
 276 formation by other means) of a thick region of weak material in the deeper part of the over-
 277 riding plate just above the mantle wedge corner.

278 In the model, accumulation of a broad region of weak material requires significant net
 279 convergence through either long subduction durations (> 20 – 40), intermediate to fast sub-
 280 duction rates (> 4 cm/yr), or both. However, the system can remain stable unless the evolu-
 281 tion of plate motion and mantle wedge flow leads to thinning of the high viscosity layer that
 282 maintains the stable separation of weak forearc material and the hot, buoyant mantle. Such
 283 a hot mantle wedge corner, is consistent with modeling of heat flow data across several sub-
 284 duction zones [Peacock and Wang, 1999; van Keken *et al.*, 2002; Currie *et al.*, 2004] and
 285 the need for hot mantle at shallow depths beneath the volcanic arc [Kelemen *et al.*, 2003]. There-
 286 fore, a second important conclusion is that, in stable arcs the strong temperature-dependence
 287 of the viscosity may be key to providing the stabilizing barrier in the mantle wedge corner.

288 Comparison of the evolving thermal structure of the slab and overriding plate to the
 289 basalt and peridotite solidus also provides a prediction of the kind of melts that would ac-
 290 companying this kind of arc rifting event. When compared to the solidus for hydrated basalt,
 291 the high slab surface temperatures predict melting of subducting crust at depths ranging be-
 292 tween 50–150 km. Such high temperature at shallow depths could produce adakitic volcan-
 293 ism [Defant and Drummond, 1990] for almost 5 Ma during the rifting event. At the same
 294 time, heating of the interior of the slab would likely result in shallowing of dehydration re-
 295 actions in the deep crust and shallow harzburgite layer causing rapid release of volatiles
 296 [Hacker, 2008]. Finally, significant heating of the overriding plate during extension could
 297 also cause melting of the lithosphere. Since this material originates above the formerly cold,
 298 stable mantle wedge, it is appropriate to consider that it would have been hydrated (e.g.,
 299 metasomatised peridotite). With this assumption, comparison to the solidi for hydrous peri-
 300 dotite predicts melting at depths of > 25 km and $t > 34.7$ Ma for low water contents ($a_{H_2O} =$
 301 0.1) and more extensive melting that starts earlier ($t = 31.8$ Ma) for higher water contents
 302 (i.e., $a_{H_2O} = 0.3$ – 0.7 to H_2O -saturated; Fig. 4e). Such hot melting of metasomatised peri-
 303 dotite could lead to the formation of boninites [Crawford *et al.*, 1989].

304 While boninites and adakites have previously been linked to warm slabs (i.e., young,
 305 slab edges, slab windows; Defant and Drummond [1990]; Crawford *et al.* [1989]; Yogodin-
 306 ski *et al.* [2001]; Thorkelson and Breitsprecher [2005]) or slab-break off [Burkett and Billen,
 307 2009], the analysis of the model thermal structure presented shows that formation of these
 308 melts could instead be driven by instability of the sub-arc mantle and can occur even in sys-
 309 tems with cold slabs (e.g., old, ≈ 80 Ma), such as Tonga-Kermadec or the IBM. In addi-
 310 tion, as in the models, the arc rifting events in these two locations also occurred in well-
 311 established subduction systems with oceanic overriding plates, and occurred over short pe-
 312 riods of time (< 5 Ma; see Introduction and references therein). Therefore, the subduction
 313 system parameters for the arc-rifting model are broadly consistent with the recent occurrence
 314 of adakites and boninites in Tonga-Kermadec [Falloon *et al.*, 2008; Cooper *et al.*, 2012; Mef-
 315 fre *et al.*, 2012] and predict that these rock types could have formed in earlier rifting events
 316 in both Tonga-Kermadec and the IBM. However, investigation and/or synthesis of the rock
 317 types found within the extensional regions formed preceding seafloor spreading in these
 318 back-arc basins is needed to further test the applicability of this mode of arc rifting.

319 One potential weakness of the models used in this study is the simple treatment of the
 320 shallow subduction system, which does not explicitly include fluxing of hydrous fluids, melt-
 321 ing and melt transport. Therefore, in our models the region of broad weak crustal material
 322 acts as a proxy for material weakened by these other processes. In models that include such

shallow processes, forearc and arc rifting does occur as a direct result of hydrous and/or melt weakening [Gerya and Meilick, 2011; Vogt *et al.*, 2012; Baitsch-Ghirardello *et al.*, 2014], but these models have other limitations because they impose steady motion of the subducting plate and the overriding plate is fixed at the model boundary. Therefore, the models presented here complement these other results because they are fully dynamic and allow for motion of the overriding plate towards/away from the subduction zone.

More importantly, the simple treatment of the overriding plate structure: 1) allows the fundamental requirements for spontaneous arc rifting to be recognized (i.e., a broad weak region above the mantle wedge and a thinning viscous barrier), and 2) demonstrates that the rifting process in these models is not being driven by the slab retreat [Jurdy, 1979; Schellart, 2008] nor the overriding plate motion [Molnar and Atwater, 1978; Heuret and Lallemand, 2005; Sdrolias and Müller, 2006]. Instead, the dynamical process modeled here represents a new (or forgotten; see Karig [1970]) arc rifting mechanism. This new mode of forearc/arc rifting, with its ability to drive plate motion suggests that evaluation of previous and current arc rifting events should not look only to external changes in plate motions prior to rifting, but also to changes in plate motions occurring concurrently with the early stages of arc rifting. For example, there is general agreement between the time-scale of the rifting event and localization to back-arc spreading in the model ($\approx 2\text{--}5$ Ma) and in observations (e.g., as described for the IBM in the introduction). More specifically, while observations from plate tectonic reconstructions indicate that the motion of the overriding plate away from the subduction zone precedes the initiation of arc rifting [Sdrolias and Müller, 2006], the time resolution of the reconstructions leaves open the possibility that, in some cases, the change in plate motion occurs as a result of the arc-rifting event itself.

Spontaneous arc rifting is not likely to be the only mechanism for this process in the earth because it requires the overriding plate to be free to respond to the forces from the upwelling mantle wedge (e.g., increased subduction of the overriding plate on a distant subduction zone) or to accommodate this motion on other structures (e.g., reverse faulting on existing weak zones in the overriding plate). For example, the most recent arc rifting event in the Marianas occurred while the trench (and slab) remained relatively fixed. However, motion of the overriding Philippine Sea plate could be accommodated on its western plate boundaries (e.g., the Nankai-Ryukyu trenches in the north and the Philippine and Luzon trenches in the south). In addition, it is possible that spontaneous arc rifting could instead drive trench retreat, if the conditions were suitable at the time of the rifting event. In the models presented, at the time the rifting event occurs the slab and trench motion is being driven by a deeper folding event resulting in trench advance. However, if the overriding plate motion is more restricted [Baitsch-Ghirardello *et al.*, 2014] or the slab/trench motion was at a different phase, then trench retreat may occur instead or in addition to overriding plate motion. Finally, the buoyant upwelling that drives the rifting in these models may also play a role in the evolution of plate-driven forearc/arc rifting. Once the rifting process is initiated, extension will thin the viscous lithosphere allowing buoyant mantle to upwell into weak regions of the lithosphere (e.g., hydrated or having melt).

5 Conclusions

This paper demonstrates that in addition to previous models of forearc/arc rifting driven by motion of the slab/trench or the overriding plate, a third mechanism of spontaneous forearc/arc rifting can occur. This new mechanism is driven by the positive buoyancy of the rising hot mantle wedge material, and requires a broad region of weaker material in the overriding plate above the mantle wedge, and loss of a thin high-viscosity barrier at the mantle wedge corner. Therefore, long-term stability of subduction zones depends on the detailed rheologic structure of the forearc/arc region of the overriding plate. During the rifting process the slab and overriding plate undergo significant heating, which could lead to formation of adakites or boninites, respectively, in a previously cold subduction system. Therefore, identification of these rock types erupted for only a short period of time (< 5 my) within

375 extensional regions formed prior to the onset of back-arc spreading would provide obser-
376 vational support for the model predictions. In addition, the forearc/arc rifting process can
377 modify surface plate motions. Depending on the state of deformation of the slab, forearc/arc
378 rifting could be accommodated by a change to retreating motion of the overriding plate (as in
379 the models presented), by slab/trench retreat or both.

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