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Deletions in *GRID2* lead to a recessive syndrome of cerebellar ataxia and tonic upgaze in humans

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Supplemental data at www.neurology.org

ABSTRACT

Objective: To identify the genetic cause of a syndrome causing cerebellar ataxia and eye movement abnormalities

Methods: We identified 2 families with cerebellar ataxia, eye movement abnormalities, and global developmental delay. We performed genetic analyses including single nucleotide polymorphism genotyping, linkage analysis, array comparative genomic hybridization, quantitative PCR, and Sanger sequencing. We obtained eye movement recordings of mutant mice deficient for the ortholog of the identified candidate gene, and performed immunohistochemistry using human and mouse brain specimens.

Results: All affected individuals had ataxia, eye movement abnormalities, most notably tonic upgaze, and delayed speech and cognitive development. Homozygosity mapping identified the disease locus on chromosome 4q. Within this region, a homozygous deletion of *GRID2* exon 4 in the index family and compound heterozygous deletions involving *GRID2* exon 2 in the second family were identified. Grid2-deficient mice showed larger spontaneous and random eye movements compared to wild-type mice. In developing mouse and human cerebella, GRID2 localized to the Purkinje cell dendritic spines. Brain MRI in 2 affected children showed progressive cerebellar atrophy, which was more severe than that of Grid2-deficient mice.

Conclusions: Biallelic deletions of *GRID2* lead to a syndrome of cerebellar ataxia and tonic upgaze in humans. The phenotypic resemblance and similarity in protein expression pattern between humans and mice suggest a conserved role for GRID2 in the synapse organization between parallel fibers and Purkinje cells. However, the progressive and severe cerebellar atrophy seen in the affected individuals could indicate an evolutionarily unique role for GRID2 in the human cerebellum. *Neurology*® **2013;81:1378-1386**

GLOSSARY

CGH = comparative genomic hybridization; PTU = paroxysmal tonic upgaze; SNP = single nucleotide polymorphism.

The cerebellum is responsible for the integration of afferent signals from the periphery and efferent output from the cerebral cortex to produce precise, coordinated movements. It is also a critical component of motor learning^{1–3} and participates in cognitive processes.^{4,5} Diseases of the cerebellum typically present with ataxia, which is characterized by discoordination of movement, gait instability, impairment of articulation, and abnormalities of eye movement and swallowing.⁶ Other findings, such as hypotonia and intention tremor, as well as changes in cognition and mood, can be seen. There are numerous genetic disorders having cerebellar ataxia as a prominent feature, and some are inherited as autosomal recessive traits. These autosomal recessive cerebellar ataxias are highly heterogeneous and include conditions with structural abnormalities of the cerebellum (e.g., pontocerebellar hypoplasia, *RELN*-associated lissencephaly, and cerebellar hypoplasia), cerebellar atrophy (e.g., ataxia telangiectasia), or grossly normal

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cerebellar structure (e.g., Friedreich ataxia).^{7,8} Here we describe a cerebellar ataxia syndrome in 2 unrelated families. The affected individuals presented with ataxia, gross motor delay, eye movement abnormalities characterized by tonic upgaze and nystagmus, and cognitive delay. We found that biallelic deletions in the gene *GRID2* are responsible for the disease phenotype.

METHODS Study subjects. Affected individuals were examined by at least one pediatric neurologist (A.M., G.H.M.), developmental pediatrician (R.N.), or clinical geneticist (E.L.M., J.M.S.).

Standard protocol approval, registrations, and patient consents. All study participants or their guardians provided written informed consent. The protocols were approved by the participating institutions, and were in accordance with the ethical standards of the responsible national and institutional committees on human subject research.

Genetic analysis. Genomic DNA was extracted from whole blood in acid-citrate-dextrose buffer or saliva in Oragene collection kits (DNA Genotek, Kanata, Canada). DNA samples from family CH-4900 were genotyped using Illumina OmniExpress single nucleotide polymorphism (SNP) microarray or Illumina 660w microarrays. The data were visualized using dChip software package. 9,10 Multipoint lod scores were calculated using MER-LIN,11 assuming a recessive mode of disease inheritance, full penetrance, and a disease allele frequency of 0.0001.

For comparative genomic hybridization (CGH), a custom microarray was designed on the 8 × 60K platform using eArray software (Agilent, Santa Clara, CA), and the experiment was conducted according to the manufacturer's protocol. For microsatellite marker analysis, markers that are adjacent to the *GRID2* deletions found in the CH-5400 family were selected using the UCSC Genome Browser, and primers were synthesized with the forward primers labeled with 6-FAM. Quantitative real-time PCR experiments were performed using the StepOnePlus real-time PCR system (Applied Biosystems, Foster City, CA). PCR amplification and Sanger sequencing across the deletion boundaries were performed according to standard methods. Additional details on assays, reagents, and primer sequences are provided in e-Methods and table e-1 on the *Neurology*® Web site at www. neurology.org.

Recording spontaneous eye movements in mice. Eye movements were monitored in the resting state under room light conditions (300–400 lux) from homozygous engineered *Grid2* knockout (C57BL/6 background)¹² or *ho-15J* (C3HJ background)¹³ mice at postnatal day 52 or older. Wild-type littermates of each mutant line were used as controls. Eye movements were continuously monitored with an infrared camera and the traces were recorded for 15 seconds, 5 times. Additional details on assays are provided in e-Methods.

Immunohistochemistry. Paraffin sections (4 μ m in thickness) of the human and C57BL/6 mouse cerebella were used for immunohistochemistry. Additional details on methods and reagents are provided in e-Methods.

RESULTS Clinical presentation and phenotype. We identified a consanguineous pedigree (CH-4900) of Jordanian heritage that had 3 children from 2 branches

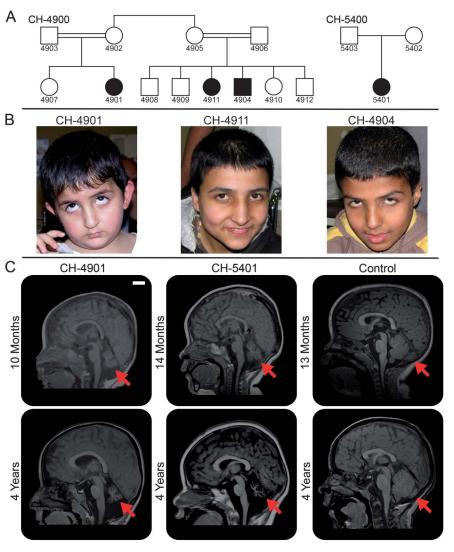
of the family with a unique neurologic condition (figure 1A). The parents of each branch were first cousins, and the mothers were sisters. The proband (CH-4901) initially presented at 9 months of age with global developmental delay, hypotonia, and intermittent tonic upgaze (figure 1B). On subsequent examination at 5 years, frequent tonic upgaze persisted and occasional horizontal nystagmus on primary gaze was noted (video 1). She had truncal and appendicular ataxia, and walked with support. She spoke in 2-word sentences. Her cousins (CH-4904 and CH-4911) were found to have similar presentations (figure 1B). CH-4911 (14 years) had occasional tonic upgaze and horizontal nystagmus on primary gaze (video 2). She had truncal and appendicular ataxia, developed contractures of the shoulder and ankle joints, and was wheelchairdependent. She spoke in full sentences and could name a few body parts and colors. Her comprehension was estimated to be the equivalent of 6-7 years. CH-4904 (12 years) had rather persistent tonic upgaze, frequent bursts of upward or diagonal nystagmus, and gazeevoked nystagmus (video 3). He had truncal and appendicular ataxia, and walked with support. He spoke in single words and some short sentences. His comprehension was estimated to be the equivalent of 3 years. A fourth affected individual (CH-5401) from an unrelated, nonconsanguineous family (CH-5400; figure 1A) of Mexican heritage was also identified and presented with a similar phenotype. On examination at 4 years, she had occasional tonic upgaze and bursts of horizontal nystagmus. She had truncal and appendicular ataxia and could walk only with support. She spoke in 2-word sentences and could follow one-step commands.

Brain MRI studies were obtained for 2 of the affected individuals: CH-4901 at 10 months and again at 4 years of age, and CH-5401 at 14 months and again at 4 years of age. Both of these individuals showed progressive cerebellar atrophy (figure 1C, video 4), but no cerebral abnormalities were noted. The cerebellar flocculus appeared to be particularly severely affected (figure e-1). At 4 years of age, the cerebellar vermal area (as measured on a sagittal midline MRI and adjusted to the total intracranial area on the same plane) was decreased by about 80% in CH-4901 and about 96% in CH-5401 compared to a normal control (figure e-2).

No developmental regression was noted in any of the individuals, except for CH-4911, who had a decline in motor function due to progression of joint contractures. None of the affected individuals had seizures, and none had dysmorphic facial features. A summary of the clinical findings is presented in table 1.

Linkage and copy number analysis. SNP genotyping was performed on all individuals of pedigree CH-4900 shown in figure 1A and identified 6 genomic regions

Figure 1 Family pedigrees and phenotypic characterization



(A) Pedigrees of families CH-4900 and CH-5400. Two horizontal lines connecting individuals indicate consanguinity. (B) Facial pictures of the affected individuals in family CH-4900. There are no obvious dysmorphic features. They often show involuntary tonic upgaze, which is captured in individuals CH-4901 and CH-4904. (C) Midsagittal T1-weighted MRI of 2 affected individuals and an age-matched neurologically normal control. At approximately 1 year of age, volume reduction of the cerebellar vermis is already apparent (arrows) in individuals CH-4901 and CH-5401. At 4 years of age, progression of cerebellar atrophy and lack of normal pontine enlargement are evident (arrows). Scale bar = 2 cm.

over 1 cM that were shared by all affected children, with the largest being a 7.0-cM region on chromosome 4q22 (table e-2). Genome-wide linkage analysis yielded a maximum multipoint lod score of 3.608 for the 4q22 region, which was the only locus with a significant lod score, thus identifying it as the candidate disease locus (figure e-3). Within this candidate interval, there was a block where 6 consecutive SNPs failed to be called in the affected children by dChip software (from rs13105522 to rs17264265). This raised the possibility of a homozygous deletion in these individuals. The interval included exon 4 of the gene *GRID2*. Furthermore, clinical chromosome microarray testing of the affected child in pedigree CH-5400 revealed compound heterozygous deletions of exon 2 in *GRID2*.

To evaluate genomic copy number in this region in more detail, we designed a custom CGH microarray, primarily targeting the region of these deletions. All affected children in the CH-4900 family were found to have a homozygous deletion of approximately 37 kb including *GRID2* exon 4 (figure 2, A and B), which is expected to result in a frameshift and early truncation of the protein (p.Asp177Glyfs*5). The parents of the proband (CH-4902 and CH-4903) were also examined, and the expected heterozygous deletion was found in both. In the CH-5400 family, the affected individual (CH-5401) inherited a 50-kb deletion involving *GRID2* exon 2 from her mother (figure 2, A and B). She also had a larger 335-kb deletion including *GRID2* exon 2, resulting

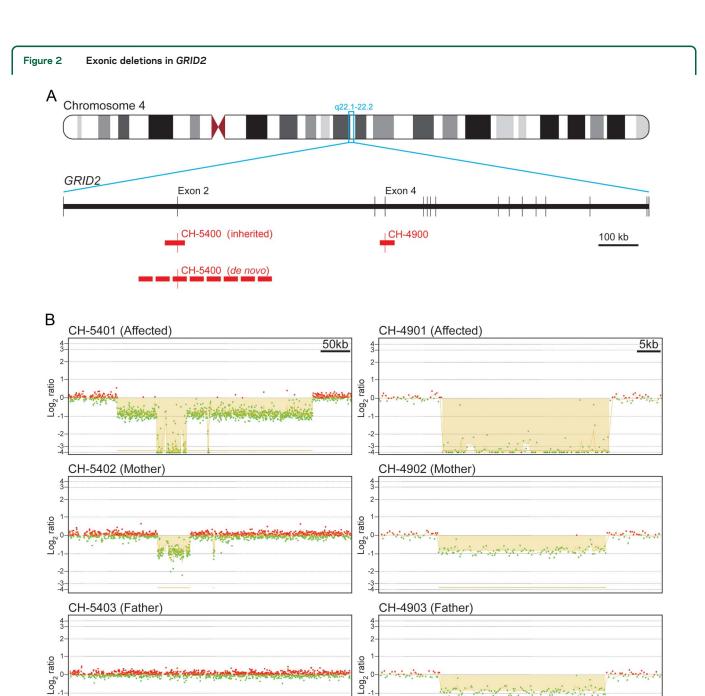
Table 1 Clinical manifestations of the affected individuals				
	Family CH-4900			Family CH-5400
	CH-4901	CH-4911	CH-4904	CH-5401
Age at most recent examination	5 years 2 months	14 years 1 month	12 years 5 months	4 years 3 months
Sex	Female	Female	Male	Female
Head circumference, cm	49 (15th percentile)	55 (81st percentile)	54 (51st percentile)	49 (30th percentile)
Development				
Speech and cognition	Single words at 4 years. Currently speaks in 2-word sentences; 50-70 word vocabulary.	Repetitive syllables at 2 years. Two-word sentences at 5-6 years. Currently speaks in full sentences. Names a few body parts and colors. Comprehension estimated at 6-7 years.	Two-word sentences since 5-6 years. Currently speaks in single words and some short sentences. Comprehension estimated at 3 years.	Single words at 1 1/2 years. Currently speaks in 2-word sentences (since 2 1/2 years). Understands one-step commands.
Gross motor	Sat without support at 2 years. Walked with both hands held at 2 1/2 years, and with one hand held at 4 years. Still does not walk without support.	Sat without support at 2 years. Walked with both hands held at 3 years. Currently wheelchairdependent.	Sat without support at 1 year. Walked with both hands held at 1 year, and with one hand held at 3-4 years. Still does not walk without support.	Just started walking with
Abnormal eye movements	Frequent tonic upgaze. Occasional horizontal nystagmus on primary gaze.	Occasional tonic upgaze. Occasional horizontal nystagmus on primary gaze.	Eyes mostly remain deviated upward. Frequent bursts of upward or diagonal nystagmus, and gaze-evoked nystagmus.	Occasional tonic upgaze. Burs of horizontal nystagmus.
Muscle tone	Hypotonic	Hypotonic; contracture of shoulder and knee joints	Hypotonic	Normal
Ataxia				
Truncal	Yes	Yes	Yes	Yes
Appendicular	Yes	Yes	Yes	Yes
Brain MRI	Progressive atrophy of cerebellar vermis and hemispheres	Not done	Not done	Progressive atrophy of cerebellar vermis and hemispheres

in biallelic deletion of this exon (figure 2, A and B). However, this larger deletion was not present in her father. Microsatellite marker analysis eliminated the possibility of nonpaternity and uniparental disomy (figure e-4), and therefore the 335-kb deletion appears to have arisen de novo on the paternally derived chromosome. Deletion of *GRID2* exon 2 is expected to result in an in-frame deletion of 52 amino acids (p.Gly30_Glu81del). The genomic *GRID2* deletions in both families were confirmed by qPCR (figure e-5). PCR amplification and Sanger sequencing were performed across each of the identified exonic deletions and thus eliminated the possibility of complex rearrangements, such as an inversion (figure e-6).

Eye movement recordings of Grid2-deficient mice. Spontaneously occurring loss-of-function mutations in the *GRID2* ortholog (*Grid2*, also known as *GluD2*) are common in mice and generally referred to as *hotfoot*. ¹⁴ Interestingly, one of the *hotfoot* mutants, *ho-15J*, is caused by an in-frame deletion of exon 2, as observed in individual CH-5401. ¹³ Indeed, kinematic analyses of limb movements indicate that *ho-15J* mice show ataxia analogous to the ataxic gait of individuals with cerebellar disorders. ¹⁵ Thus, to examine whether the eye movement abnormalities seen in humans with

GRID2 mutations are also observed in ho-15J mice, we monitored eye movements using an infrared camera under room light conditions. Significantly larger spontaneous and random eye movements were observed in ho-15] mice (19.9 \pm 2.8 mm in 15 seconds, n = 5) than in littermates (10.3 \pm 1.3 mm in 15 seconds, n = 5, p = 0.015 by Student t test; figure 3, A and B). Since the genetic background of ho-15J (C3HJ) is associated with retinal degeneration, we examined eye movements in engineered Grid2 knockout mice on the C57BL/6 background.¹² As reported previously,¹⁶ these mice also showed larger spontaneous eye movements (16.2 \pm 2.2 mm in 15 seconds, n = 3) than littermates (7.7 \pm 0.8 mm in 15 seconds, n = 3, p = 0.023 by Student t test; figure 3, C and D). These results indicate that some of the ocular motor dysfunctions in the affected individuals are recapitulated in ho-15] and Grid2 knockout mice.

Immunohistochemistry for GRID2 in developing and adult human cerebella. In the rat and mouse, Grid2 is exclusively expressed in cerebellar Purkinje cells, and selectively localized at parallel fiber synapses. ^{17–20} We tested whether this expression profile is preserved in human cerebella. At 98 and 308 days of age, intense immunoreactivity for GRID2 was observed in the



(A) Schematic of the gene GRID2 on chromosome 4q22.1-q22.2. Exons are represented by vertical lines. Deletions are indicated with red bars. (B) Array comparative genomic hybridization (aCGH) results highlight the deletions shown in panel A. Individual CH-5401 inherited a 50-kb deletion that abolishes exon 2 from her mother (CH-5402). CH-5401 also has a larger 335-kb deletion that abolishes exon 2 on the paternally inherited chromosome, but it was not present in her father (CH-5403), suggesting a de novo deletion. Individual CH-4901 inherited identical 37-kb deletions abolishing exon 4 from her mother (CH-4902) and father (CH-4903), who are first cousins. Probes with a \log_2 ratio of approximately 0 indicate a normal copy number of 2, those with a \log_2 ratio of approximately -4 indicate a copy number of zero. Probes hybridized to the reference DNA are indicated in red while those hybridized to the DNA of subjects are indicated in green.

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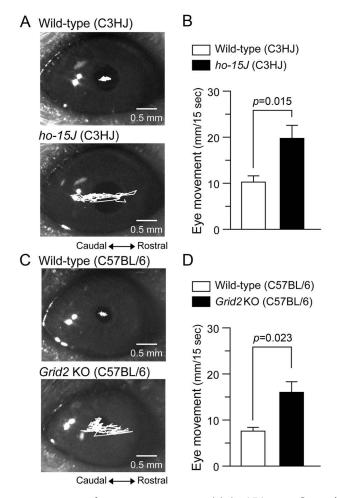
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molecular layer, whereas it was very low in the external and internal granular layers (figure 4, A–D). At 25 years of age, tiny GRID2(+) puncta densely occupied the molecular layer and aligned along Car8(+) Purkinje cell dendrites (figure 4, E and F), similar to the pattern in adult mouse cerebellum (figure 4, G and H). Furthermore, GRID2(+) puncta in adult human cerebellum were often contacted to VGluT1(+) parallel

fiber terminals (figure 4, I), never with VGluT2(+) climbing fiber terminals (figure 4, J). These results suggest that exclusive expression of GRID2 at parallel fiber-Purkinje cell synapses is preserved in the human cerebellum. We then inquired whether the territories in Purkinje cell dendrites occupied by parallel fiber and climbing fiber are different between mouse and human. The climbing fiber territory in Purkinje cell

-2

Figure 3 Eye movements in Grid2-KO and ho-15J mice



(A) Representative traces of eye movements in an adult ho-15J mouse (bottom) and its littermate (top) monitored for 15 seconds. (B) Averaged data show the total distance of eye movements in 15 seconds in ho-15J mice and littermates; n = 5 for each genotype. (C) Representative traces of eye movements in an adult Grid2-KO mouse (bottom) and its littermate (top) monitored for 15 seconds. (D) Averaged data show the total distance of eye movements in 15 seconds in Grid2-KO mice and littermates; n = 3 for each genotype. Data are presented as the mean \pm SEM and statistical significance was assessed by 2-tailed Student t test. KO = knockout.

dendrites can be defined as Car8(+) dendrites associated with VGluT2, and it appeared to occupy relatively small portions in the molecular layer of adult human cerebellum (figure 4, K) as compared to adult mouse cerebellum (figure 4, L). This was supported by a significantly large decrease in the mean dendritic length of climbing fiber territory per 1 mm² of the molecular layer in adult human (10.06 ± 0.68 mm) than in adult mouse (55.88 ± 12.05 mm; p < 0.001, Student t test). This suggests that the ratio of parallel fiber territory relative to climbing fiber territory has expanded during the course of evolution.

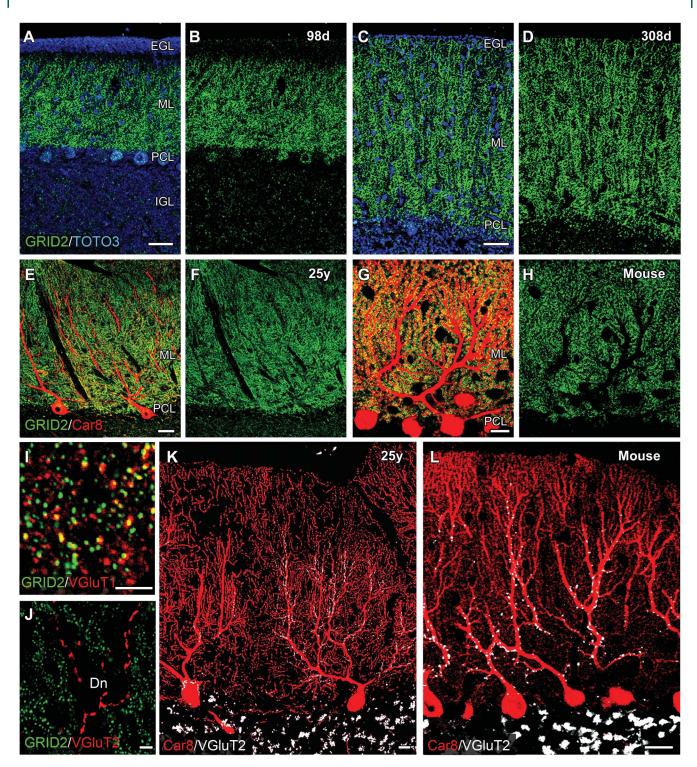
DISCUSSION In this study we describe an autosomal recessive syndrome of cerebellar atrophy, eye movement abnormalities that include intermittent tonic upgaze and nystagmus, variable motor abnormalities

and cognitive delay, and identify biallelic deletions of the gene *GRID2* as its cause.

The function of the GRID2 protein in the human brain has not yet been elucidated, but its mouse ortholog, Grid2, has been extensively studied. It is a member of the ionotropic glutamate receptor family, though it does not bind glutamate. 17,19 Grid2 is expressed primarily by cerebellar Purkinje cells and localizes to dendritic spines that synapse with parallel fibers. 18,20,21 Recently Cbln1 was identified as its ligand, and the interaction between Grid2 and Cbln1 was shown to be essential for organization of synapses between parallel fibers and Purkinje cells.^{22,23} Grid2 has also been shown to mediate long-term depression in the developing mouse cerebellum through its interaction with D-serine.24,25 With its dual roles in synapse organization and modulation of synaptic communication, Grid2 is essential for the development and function of the mouse cerebellum.

Grid2 loss-of-function mouse mutants, such as hotfoot14 and Grid2 knockout mice,25 show ataxia and mild volume loss of the cerebellum. Thus, they recapitulate the clinical manifestations observed in individuals with biallelic GRID2 deletions. At least 10 distinct naturally occurring hotfoot alleles have been characterized to date, and most of them are due to deletion of one or more exons. 13,26 One of the hotfoot alleles, ho-151, represents a homozygous deletion of exon 2, the same exon deleted in the CH-5400 family presented herein.¹³ Removing exon 2 is predicted to lead to an in-frame deletion of 52 amino acids in both mouse and human. However, it has been shown that the resulting protein product in mice is retained in the endoplasmic reticulum and degraded, suggesting it is a null mutation.¹³ Deletion of exon 4, found in the CH-4900 family, is expected to cause a frameshift and early truncation, and thus it is also a likely null mutation.

One of the unique clinical features shared by all affected individuals described herein is involuntary tonic upgaze. The pathophysiology of this symptom is not well-understood, but it is interesting to note that the flocculus appears to be one of the cerebellar areas most severely affected by atrophy in the affected children. The flocculus has been implicated in the pathogenesis of downbeat nystagmus, which is characterized by slow, upward drift of the eyes.²⁷ Therefore, there could be a shared underlying mechanism between tonic upgaze and downbeat nystagmus that involves floccular dysfunction. The tonic upgaze described also resembles what is seen in a rare clinical syndrome known as paroxysmal tonic upgaze (PTU).28,29 Most reported cases of PTU appear clinically distinct from the individuals we report; for example, PTU is often seen as a transient condition in otherwise neurologically normal children. However, some cases of PTU are associated with ataxia or developmental delay,²⁸ and



(A–H) GRID2 immunofluorescence (green) in the human cerebellar cortex at 98 days (A, B), 308 days (C, D), and 25 years (E, F) of age, and Grid2 immunofluorescence in the adult mouse cerebellar cortex (G, H). Sections are counterstained with TOTO3 (blue) in A and C, and costained for Purkinje cell marker Car8 (red) in E and G. (I, J) Double immunofluorescence for GRID2 (green) and VGluT1 (red in panel I) or VGluT2 (red in panel J) in the adult human cerebellar cortex. (K, L) Double immunofluorescence for Car8 and VGluT2 in the cerebellar cortex of adult human (K) and mouse (L). Scale bars = $50 \mu m$ (A–F), $20 \mu m$ (G, H, K, L), $5 \mu m$ (I, J). Dn = shaft dendrite; EGL = external granular layer; IGL = internal granular layer; ML = molecular layer; PCL = Purkinje cell layer.

thus mutations in *GRID2* could account for some of the reported cases of PTU.

We demonstrated that Grid2-deficient mice show larger spontaneous and random eye movements. These

eye movements might be considered equivalent to nystagmus in humans, which, in addition to tonic upgaze, was seen in all affected individuals. This further adds to the phenotypic resemblance between Grid2-deficient mice and humans with *GRID2* mutations.

Immunohistochemical studies of GRID2 in the developing human cerebellum showed an expression pattern that is markedly similar to that seen in mice. This suggests that GRID2 in humans plays a similar role in cerebellar synapse formation to that in mice. However, the degree of cerebellar atrophy is more pronounced in the affected individuals (80%-96% decrease in the midline vermal area) compared to Grid2-mutant mice (12% decrease in the midline vermal area).30 Cerebellar atrophy due to mutations in GRID2/Grid2 is likely the result of granule cell death. We showed evidence of significant evolutionary expansion of the parallel fiber territory on Purkinje cell dendrites in humans. Therefore, we speculate that cerebellar granule cells in humans depend more heavily on synapses with Purkinje cells (and thus on GRID2) for their survival than their rodent counterparts.

It is interesting to note that all 4 affected individuals showed delay in speech and cognitive development. Since it is recognized that children with various cerebellar disorders can present with cognitive delay, the delay in speech and cognitive development seen in these individuals could be attributable to loss of GRID2 in the cerebellum. However, previously unrecognized roles of GRID2 in the cerebral cortex cannot be ruled out, and further investigation is warranted.

During the preparation of this manuscript, an article describing a homozygous deletion of *GRID2* exons 3 and 4 in 3 members of a Turkish family was published.³³ The affected individuals were described to have cerebellar ataxia, oculomotor apraxia, nystagmus, and pyramidal signs. Interestingly, tonic upgaze was not noted in the phenotype of any of the affected individuals. The proband had cognitive delay, though the level of cognitive functioning of the other 2 affected individuals is less clear. The deletion identified in this family appears different from the 3 independent deletions we describe herein.

GRID2 and Grid2 are genomically located in the common fragile sites FRA4F and Fra6C1, respectively. Common fragile sites are regions of the genome that have a relatively high rate of genomic rearrangement and so could explain why there are many spontaneous hotfoot alleles in mice. 34,35 Thus, this locus likely represents a deletion hotspot in humans as well.

AUTHOR CONTRIBUTIONS

L. Benjamin Hills: genetic studies, writing of the manuscript. Dr. Amira Masri: ascertainment of family CH-4900, acquisition of clinical data. Dr. Kotaro Konno: mouse immunohistochemical studies. Dr. Wataru Kakegawa: mouse eye movement studies. Anh-Thu N. Lam: quantitative analysis of brain MRI, genetic studies. Dr. Elizabeth Lim-Melia: ascertainment of family CH-5400, acquisition of clinical data. Nandini Chandy: ascertainment of family CH-5400, acquisition of clinical data. Dr. R. Sean Hill: linkage analysis, interpretation of genetic data. Jennifer N. Partlow: coordination of human subject research, clinical data and

human samples. Dr. Muna Al-Saffar: coordination of human subject research, clinical data and human samples, acquisition of clinical data. Dr. Ramzi Nasir: acquisition of clinical data. Dr. Joan M. Stoler: acquisition of clinical data. Dr. A. James Barkovich: interpretation of brain MRI. Dr. Masahiko Watanabe: mouse immunohistochemical studies, critical revision of the manuscript. Dr. Michisuke Yuzaki: mouse eye movement studies, critical revision of the manuscript. Dr. Ganeshwaran H. Mochida: study design, data analysis and interpretation, writing of the manuscript.

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