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## Role of $\alpha 5^*$ nicotinic acetylcholine receptors in the effects of acute and chronic nicotine treatment on brain reward function in mice

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### Abstract

**Objective**—Allelic variation in the  $\alpha 5$  nicotinic acetylcholine receptor (nAChR) subunit gene, *CHRNA5*, increases vulnerability to tobacco addiction. Here, we investigated the role of  $\alpha 5^*$  nAChRs in the effects of nicotine on brain reward systems.

**Materials and methods**—Effects of acute (0.03125-0.5 mg/kg SC) or chronic (24 mg/kg per day; osmotic minipump) nicotine, and mecamylamine-precipitated withdrawal, on intracranial self-stimulation (ICSS) thresholds were assessed in wildtype and  $\alpha 5$  nAChR subunit knockout mice. Noxious effects of nicotine were further investigated using a conditioned taste aversion (CTA) procedure.

**Results**—Lower nicotine doses (0.03125-0.125 mg/kg) decreased ICSS thresholds in wildtype and  $\alpha 5$  knockout mice. At higher doses (0.25-0.5 mg/kg), threshold-lowering effects of nicotine were diminished in wildtype mice, whereas nicotine lowered thresholds across all doses tested in  $\alpha 5$  knockout mice. Nicotine (1.5 mg/kg) conditioned a taste aversion to saccharine equally in both genotypes. Mecamylamine (5 mg/kg) elevated ICSS thresholds by a similar magnitude in wildtype and  $\alpha 5$  knockout mice prepared with minipumps delivering nicotine. Unexpectedly, mecamylamine also elevated thresholds in saline-treated  $\alpha 5$  knockout mice.

**Conclusion**— $\alpha 5^*$  nAChRs are not involved in reward-enhancing effects of lower nicotine doses, the reward-inhibiting effects of nicotine withdrawal, or the general noxious effects of higher nicotine doses. Instead,  $\alpha 5^*$  nAChRs regulate the reward-inhibiting effects nicotine doses that oppose the reward-facilitating effects of the drug. These data suggest that disruption of  $\alpha 5^*$  nAChR signaling greatly expands the range of nicotine doses that facilitate brain reward activity, which may help explain the increased tobacco addiction vulnerability associated with *CHRNA5* risk alleles.

### Keywords

CHRNA5;  $\alpha 5$  nicotinic receptors; nicotine; reward; aversion; habenula; interpeduncular nucleus; conditioned taste aversion

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### CONFLICT OF INTEREST:

The authors have no conflicts of interest to disclose.

## INTRODUCTION

Nicotine is the principal reinforcing component in tobacco smoke responsible for its addictive qualities (Stolerman and Jarvis 1995). Nicotine can enhance the activity of brain reward circuitries, and this action likely plays a key role in the development and persistence of the tobacco habit in human smokers (Kenny and Markou 2006). In addition to the rewarding effects of nicotine, escape from the aversive consequences of nicotine withdrawal is also likely to play a role in the persistence of the tobacco habit (Doherty et al. 1995; Kenny and Markou 2001). Indeed, smoking cessation precipitates an aversive nicotine withdrawal syndrome (Hughes et al. 1991; Shiffman and Jarvik 1976), with the duration and severity of withdrawal predicting relapse in abstinent smokers (Piasecki et al. 1998; Piasecki et al. 2003). Therefore, understanding the mechanisms by which nicotine enhances, and withdrawal diminishes, the activity of brain reward systems is likely to shed important insights into the neurobiology of tobacco addiction.

The addiction-relevant actions of nicotine are derived from its stimulatory and/or desensitizing actions on neuronal nicotinic acetylcholine receptors (nAChRs) in the central nervous system (CNS). Nicotinic receptors are composed of five distinct membrane-spanning subunits ( $\alpha$  and  $\beta$  subunits) that combine to form a functional receptor (Albuquerque et al. 1995; Lena and Changeux 1998). The neuronal  $\alpha$  subunit exists in nine isoforms ( $\alpha 2$ - $\alpha 10$ ), and the  $\beta$  subunit exists in three isoforms ( $\beta 2$ - $\beta 4$ ) (Elgoyhen et al. 1994; Elgoyhen et al. 2001; Le Novere et al. 2002). Nicotinic receptors containing  $\alpha 4$  and  $\beta 2$  subunits (denoted as  $\alpha 4\beta 2^*$  nAChRs) are the predominant nAChR subtypes in the CNS and account for most of the high-affinity nicotine binding sites (Flores et al. 1992). Somewhat surprisingly, recent findings have shown that genetic variation in the lesser-expressed  $\alpha 5$ / $\alpha 3/\beta 4$  nAChR subunit gene cluster significantly increases risk of tobacco addiction (Berrettini et al. 2008; Lips et al. 2009; Saccone et al. 2007). In particular, polymorphisms in the  $\alpha 5$  subunit gene (*CHRNA5*) present compelling evidence for a genetic contribution to tobacco addiction vulnerability (Bierut 2010). A non-synonymous single nucleotide polymorphism (SNP) in *CHRNA5* (rs16969968) that results in an aspartic acid to asparagine substitution at amino acid residue 398 (D398N), and decreases the function of  $\alpha 5^*$  nAChRs incorporating this subunit (Bierut et al. 2008), increases the risk of tobacco dependence by ~30% in individuals carrying a single copy of the variant and more than doubles the risk in those carrying two risk alleles (Berrettini et al. 2008; Bierut et al. 2008; Grucza et al. 2008; Stevens et al. 2008; Wang et al. 2009). The D398N major risk allele is associated with heavy smoking (Berrettini et al. 2008; Bierut et al. 2008; Grucza et al. 2008; Stevens et al. 2008), early onset of smoking behavior (Weiss et al. 2008), and with “pleasurable buzz” from tobacco (Sherva et al. 2008).

Recently, we found that mice with null mutation in the  $\alpha 5$  nAChR subunit gene consumed significantly more nicotine than their wildtype counterparts, as measured by intravenous self-administration of the drug (Fowler et al. 2011). Increased nicotine consumption in the knockout mice was most prominent when high unit doses of the drug were available for self-administration (Fowler et al. 2011). These findings are reminiscent of the increased tobacco addiction vulnerability and heavier smoking detected in individuals carrying the D398N risk allele. Intriguingly, virus-mediated re-expression of the otherwise absent subunit in the medial habenula (MHb), which projects almost exclusively to the interpeduncular nucleus (IPN), rescued this phenotype in the knockout mice (Fowler et al. 2011). We also found that virus-mediated knockdown of  $\alpha 5$  nAChR subunits in the MHb-IPN pathway of rats increased their nicotine intake similar to the knockout mice. Moreover, this manipulation in rats did not alter the stimulatory effects of nicotine on brain reward systems, but attenuated the reward-inhibiting effects of higher unit doses of the drug, as measured by nicotine-induced lowering and elevations of ICSS thresholds, respectively (Fowler et al. 2011).

The above finding suggests that  $\alpha 5^*$  nAChRs in the MHb-IPN system regulate the aversion-related effects of higher doses of nicotine. Although the  $\alpha 5$  nAChR subunit is most densely expressed in the MHb-IPN pathway, expression is also found in deep layers of the cortex, hippocampus, ventral tegmental area (VTA) and substantia nigra (Marks et al. 1992). Hence, a limitation of these prior studies is that reward-relevant actions of nicotine were examined in rats after  $\alpha 5$  nAChR subunits were manipulated only in the MHb-IPN system. As such, it is unclear if  $\alpha 5^*$  nAChRs expressed more broadly in other addiction-relevant brain circuits may also contribute to reward-related action of nicotine. Also, it is unclear if  $\alpha 5^*$  nAChRs may regulate the reward-inhibiting effects of nicotine withdrawal or more generalized aversion-related effects of nicotine. Therefore, in the current study we assessed the effects of acutely administered nicotine, across a broad range of doses, on ICSS thresholds in wildtype and  $\alpha 5$  nAChR subunit knockout mice. In this manner the contribution of  $\alpha 5$  nAChRs throughout the entire brain, rather than only those expressed in the MHb-IPN system, to the effects of acutely administered nicotine on brain reward function could be assessed. In addition, we also examined the effects of mecamylamine-precipitated withdrawal from chronic nicotine treatment in wildtype and  $\alpha 5$  subunit knockout mice on ICSS thresholds to determine whether  $\alpha 5^*$  nAChRs may contribute to negative affective components of the nicotine withdrawal syndrome. Finally, to more fully investigate the involvement of the  $\alpha 5^*$  nAChRs in noxious effects of nicotine, and to other noxious stimuli, we examined the development of a condition taste aversion (CTA) to in  $\alpha 5$  knockout and wildtype mice.

## METHODS

### Animals

Male mice with null mutation in the  $\alpha 5$  nAChR subunit and their wildtype littermates were bred in our animal facilities by mating heterozygous pairs. Subjects were genotyped as described previously (Fowler et al. 2011). Mice were at least 6 weeks of age at the beginning of each experiment. Subjects were maintained in an environmentally controlled vivarium on a 12h:12h reversed light:dark cycle, and food and water were provided *ad libitum* until behavioral training commenced. All procedures were conducted in strict accordance with the NIH Guide for the Care and Use of Laboratory Animals and were approved by the Institutional Animal Care and Use Committee of The Scripps Research Institute - Florida.

### Drugs

(-)-Nicotine hydrogen tartrate salt (Sigma) was dissolved in 0.9% sterile saline; the doses of nicotine refer to the free-base form. Mecamylamine hydrochloride (Tocris) and lithium chloride (LiCl, Sigma) were dissolved in 0.9% sterile saline. Systemically administered drugs were delivered in a volume of 10 ml/kg body weight.

### Electrode Implantation and ICSS Procedure

Thirty-four mice were anesthetized with an isoflurane (1-3%)/oxygen vapor mixture and positioned in a stereotaxic frame in the 'flat-skull' position (Kopf Instruments). A stainless steel bipolar electrode (Plastics One) was implanted into the lateral hypothalamus (AP: -0.5mm from bregma; ML:  $\pm 1.3$ mm from midline; DV: -5.0mm from brain surface) (Paxinos 2001). All subjects were permitted at least 72 h recovery from surgery prior to initiating training in the behavioral procedure. Mice were trained to respond according to a modification of the discrete-trial current-threshold procedure of Kornetsky and Esposito, as previously described (Johnson et al. 2008). The overall threshold for the session is defined as the mean of the thresholds for the individual series. Because of large variation in threshold current intensities ( $\mu A$ ) between animals, data for each animal was expressed as

percent change from baseline, with group means also presented and analyzed as percent of baseline. These mice were tested in experiment 1, followed by experiment 2, and subsequently experiment 3, described below. Four mice were excluded due to sickness/death (1 following nicotine D-R). Three mice were excluded due to inability to acquire/maintain stable ICSS responding.

### **Experiment 1: Effects of acute nicotine treatments on ICSS thresholds**

After establishing stable baseline levels of ICSS threshold responding, defined as <25 % variation in daily ICSS thresholds and requiring approximately 10 daily ICSS sessions, all mice received systemic injections of saline or nicotine ((0.03125-0.5 mg/kg SC) according to a within-subjects repeated-measures Latin-square experimental design. There was at least 2 days between each injection of the Latin-square during which ICSS thresholds were assessed daily to ensure that they returned to pre-injection baseline levels. The doses of nicotine were chosen based on preliminary studies (not shown) to identify doses that reliably lowered ICSS thresholds in mice.

### **Experiment 2: Effects of chronic nicotine treatment on ICSS thresholds**

After reestablishing stable baseline levels of ICSS threshold responding, defined as <25% variation in daily ICSS thresholds, mice were allocated to two groups per genotype (four groups in total) such that there was no statistically significant differences in mean raw ICSS thresholds between groups. Mice were anesthetized with isoflurane and subcutaneously implanted with osmotic minipumps (Alzet; model 2004; 28 day pumps) delivering saline or nicotine. The concentration of the nicotine salt solution was adjusted according to animal body weight to deliver 24 mg/kg per day free-base nicotine. This dose was chosen based on previous studies demonstrating that this dose induces nicotine dependence in mice, reflected in elevations of ICSS thresholds during antagonist-precipitated or spontaneous withdrawal (Johnson et al. 2008). The surgical wound was sutured following implantation (or removal) of the minipump, and mice were administered the analgesic metacam (0.2 mg/kg, meloxicam, Boehringer Ingelheim). ICSS threshold assessments re-commenced 24 h after implantation of osmotic minipumps and continued during daily sessions.

### **Experiment 3: Effects of precipitated nicotine withdrawal on ICSS thresholds**

After 7 consecutive days of ICSS threshold assessment following minipump implantation, the effects of mecamylamine-precipitated nicotine withdrawal on ICSS thresholds were assessed. To precipitate withdrawal, mice were injected with saline or mecamylamine (5 mg/kg, intraperitoneal [IP]) 10 min prior to the behavioral session, according to a balanced cross-over design. This dose of mecamylamine was chosen based on other reports examining the effects of mecamylamine-precipitated withdrawal on ICSS thresholds with chronic minipump administration of nicotine in mice (Hilario et al. 2012; Stoker et al. 2012). After injection, mice were placed into the ICSS chambers and post-injection ICSS thresholds were assessed. Thresholds were assessed daily for at least two days, until they returned to pre-injection baseline levels, before the next injection in the cross-over design.

### **Experiment 4: Conditioned Taste Aversion to nicotine and lithium**

In new cohorts of wildtype and knockout mice, the development of a conditioned taste aversion to saccharin paired with nicotine (1.5 mg/kg, SC) or saline (control) (n=4-7 per group) was examined. Similarly, development of a CTA to lithium chloride (LiCl; 100 mg/kg, IP) or saline (control) (n=6-8 per group) was also examined. No mice were excluded from the data analysis. Drinking tubes were constructed as previously reported (Bachmanov et al. 2002), and the procedure was based on previously published reports studies (Rauhut et al. 2008; Shoaib et al. 2002). The mice were initially water restricted for 24 h and were then

permitted 20 min access to tap water. 24 h later, the mice were permitted 20 min access to 0.15% saccharin diluted in tap water. The following day, mice were permitted 60 min access to saccharin and five min later received a subcutaneous injection of either saline (control groups) or test drug (nicotine or lithium) dissolved in physiological saline (drug groups). The following day was a nondrug session in which all of the mice received 60 min access to tap water. The mice underwent three additional saccharin/drug sessions (injections of either saline or nicotine), which alternated with nondrug sessions of tap water access. Finally, on the test day, mice were presented with two drinking tubes containing either tap water or the saccharin solution. Data are presented as percentage of the total volume of intake.

### Statistical Analyses

For ICSS, percentage of baseline reward thresholds scores were calculated by expressing the absolute threshold scores as a percentage of the scores obtained during baseline. The baseline values were the mean thresholds on the three sessions prior to minipump implantation or the session before mecamylamine. For percentage change from baseline, 100 was subtracted from the percentage of baseline scores. Data were analyzed by a t-test, or one- or two-way repeated-measures analyses of variance (ANOVA), as appropriate. Following significant main or interaction effects, groups were compared by Bonferroni post-hoc tests. In all cases, the level of significance was set at 0.05. All statistical analyses were performed using GraphPad Prism software.

## RESULTS

### Experiment 1: Effects of acute nicotine treatments on ICSS thresholds

The wildtype and  $\alpha 5$  knockout mice did not differ in their mean ( $\pm$ SEM) raw threshold values (WT:  $128.9 \pm 20.66 \mu\text{A}$ ; KO:  $157.1 \pm 17.55 \mu\text{A}$ ;  $p > 0.05$ ) or baseline response latencies (WT:  $3.38 \pm 0.21 \text{ msec}$ ; KO:  $3.27 \pm 0.16 \text{ msec}$ ;  $p > 0.05$ ). Two-way repeated-measures ANOVA showed that nicotine significantly altered ICSS thresholds in wildtype and  $\alpha 5$  knockout mice: Dose ( $F_{(5,25)} = 10.3$ ,  $p < 0.001$ ); Dose  $\times$  Genotype interaction ( $F_{(5,25)} = 2.5$ ,  $p < 0.05$ ). As seen in Fig. 1a, nicotine had a bimodal action on ICSS thresholds in wildtype mice, with lower doses (0.03125-0.125 mg/kg) decreasing ICSS thresholds, and higher doses returning thresholds towards baseline values. In  $\alpha 5$  knockout mice, nicotine lowered thresholds at all doses tested, with the magnitude of lowering similar across all doses. The Bonferroni multiple comparisons post-hoc test showed that thresholds were significantly lower at the highest nicotine dose tested (0.5 mg/kg) in  $\alpha 5$  knockout mice compared with wildtype mice ( $p < 0.05$ ) (Fig. 1a). Two-way repeated-measures ANOVA showed that wildtype and  $\alpha 5$  knockout mice did not differ in their latency to respond at any dose tested (Fig. 1b).

### Experiment 2: Effects of chronic nicotine treatment on ICSS thresholds

As seen in Fig. 2, ICSS thresholds remained stable across the 7 consecutive days of testing after implantation of osmotic minipumps in wildtype (Fig. 2a) and  $\alpha 5$  knockout mice (Fig. 2b). Interestingly, thresholds were elevated in the saline-treated wildtype mice on the first day of assessment after pump implantation. Therefore, we compared thresholds across all groups and genotypes of mice on this day. As seen in Fig. 2c, there was a significant Genotype  $\times$  Treatment interaction effects,  $F_{(3,22)} = 5.1$ ,  $p < 0.05$ , which reflected the elevated ICSS thresholds in wildtype mice treated with saline compared with the other groups on this day.

### Experiment 3: Effects of precipitated nicotine withdrawal on ICSS thresholds

Injections of saline did not alter ICSS thresholds in wildtype mice with minipumps continuously delivering nicotine or saline (Fig. 3a,  $p > 0.05$ ). Mecamylamine injections, however, significantly altered ICSS thresholds in the wildtype mice (Fig. 3a). When threshold data in wildtype mice were analyzed by two-way repeated-measures ANOVA, we found a statistically significant main effect of Mecamylamine ( $F_{(1, 12)} = 22.9$ ,  $p < 0.0005$ ); and a Mecamylamine  $\times$  Pump (Saline or Nicotine) interaction effect ( $F_{(1, 12)} = 18.2$ ,  $p < 0.005$ ). The Bonferroni multiple comparisons test demonstrated that mecamylamine treatment significantly elevated ICSS thresholds compared with saline in wildtype mice prepared with nicotine-delivering pumps ( $p < 0.001$ ; Fig. 3a). In  $\alpha 5$  knockout mice, injections of saline also did not alter ICSS thresholds. However, mecamylamine significantly altered ICSS thresholds in these mice (Fig. 3b). This was reflected in a main effect of Mecamylamine ( $F_{(1, 10)} = 24.7$ ,  $p < 0.001$ ). Interestingly, in  $\alpha 5$  knockout mice there was no statistically significant Mecamylamine  $\times$  Pump interaction effect ( $p > 0.05$ ). Consistent with this finding, Bonferroni test demonstrated that mecamylamine treatment significantly elevated ICSS thresholds compared with saline in mice prepared with saline- or nicotine-delivering pumps ( $p < 0.05$  in both cases; Fig. 3b). Two-way repeated-measures ANOVA showed that the latency to respond for stimulation following mecamylamine was unaltered in wildtype or knockout mice with saline or nicotine minipumps (Fig. 3c).

As thresholds were unexpectedly elevated by mecamylamine in the saline-treated  $\alpha 5$  knockout mice (Fig. 2b), we directly compared the effects of mecamylamine on thresholds in wildtype and  $\alpha 5$  knockout mice prepared with saline-delivering minipumps. We found that mecamylamine had statistically significant effects on ICSS thresholds, reflected in a main effect of Mecamylamine ( $F_{(1, 12)} = 9.7$ ,  $p < 0.01$ ) and a significant Mecamylamine  $\times$  Genotype interaction effect ( $F_{(1, 12)} = 7.8$ ,  $p < 0.05$ ). Bonferroni test again demonstrated that mecamylamine significantly elevated ICSS thresholds in saline-treated  $\alpha 5$  knockout mice compared with saline-treated  $\alpha 5$  knockout mice ( $p < 0.01$ ), with thresholds also elevated in this group when compared with the mecamylamine-treated wildtype mice ( $p < 0.01$ ).

### Experiment 4: Conditioned Taste Aversion to nicotine and lithium

Wildtype and  $\alpha 5$  knockout mice did not differ in their baseline consumption of 0.15% saccharin in a one-bottle pre-test ( $p > 0.05$ ) (Fig. 4a). When saccharin was paired with nicotine injections, both the wildtype and  $\alpha 5$  knockout mice demonstrated statistically significant reductions in their consumption of the nicotine-paired solution: Drug ( $F_{(1,19)} = 101$ ,  $p < 0.0001$ ); Dose  $\times$  Genotype interaction ( $F_{(1,19)} = 1.21$ , NS) (Fig. 4b). Similarly, when saccharin consumption was paired with LiCl injections, both the wildtype and  $\alpha 5$  knockout mice drank considerably less saccharin solution than their saline-injected littermates: Drug ( $F_{(1,23)} = 55.9$ ,  $p < 0.0001$ ); Dose  $\times$  Genotype interaction ( $F_{(1,23)} = 0.60$ , NS) (Fig. 4c). To investigate whether the same learned aversion could be elicited with nicotine, a separate cohort of mice were examined for their ability to develop a CTA with a high dose of nicotine (1.5 mg/kg, free base).

## DISCUSSION

We found that the magnitude by which acutely administered nicotine injections lowered ICSS thresholds was similar in  $\alpha 5$  nAChR subunit knockout mice and their wildtype littermates. We also found that the magnitude by which mecamylamine-precipitated elevations of ICSS thresholds was similar in nicotine-dependent wildtype and knockout mice. These findings suggest that the reward-enhancing effects of acutely administered nicotine, and the reward-inhibiting effects of precipitated nicotine withdrawal, are not regulated by  $\alpha 5^*$  nAChRs. Interestingly, however, as the dose of acutely administered

nicotine increased we found that the lowering effects of the drug on ICSS thresholds were greatly diminished in wildtype mice. This likely reflects the fact that reward-inhibiting (aversive) effects of higher nicotine doses oppose the reward-enhancing effects of the drug, resulting in ICSS thresholds returning to baseline levels. Higher doses of nicotine than those tested here would likely have had more intense reward-inhibiting effects and raised thresholds above baseline levels in wildtype mice, consistent with recently published observations in rats (Fowler et al. 2011). Importantly, higher nicotine doses continued to lower ICSS thresholds in the  $\alpha 5$  knockout mice. Wildtype and knockout mice were equally sensitive to noxious effects of a high nicotine dose and to lithium, as measured using a conditioned taste aversion procedure. These findings suggest that deficits in  $\alpha 5^*$  nAChR signaling do not abolish general sensitivity to noxious effects of nicotine. Instead, deficient  $\alpha 5^*$  nAChR signaling renders mice resistant to the reward-inhibiting actions of nicotine at higher doses that oppose its reward-enhancing effects and broadens the range of nicotine doses that facilitate brain reward activity. Obtaining the reward-enhancing properties of nicotine is considered a major source of motivation that drives the development and persistence of the tobacco habit in human smokers (Kenny and Markou 2006). Therefore, the current observations may explain the high rates to tobacco dependence in individuals carrying *CHRNA5* risk alleles, and the fact that these individuals are also likely to be heavier smokers.

The lowering effects of acute experimenter-administered nicotine injections on ICSS thresholds in wildtype and  $\alpha 5$  knockout mice are similar in magnitude to those observed in rats that volitionally self-administered the drug by intravenous injections (Kenny et al. 2009; Kenny and Markou 2006). Rats regulate their nicotine self-administration behavior to a level that achieves maximal nicotine-induced lowering of ICSS thresholds (Kenny et al. 2009; Kenny and Markou 2006). Hence, the lowering effects of nicotine on ICSS thresholds, induced by experimenter- or self-administered nicotine infusions likely reflect the stimulatory actions of the drug on brain reward systems that are responsible for establishing and maintaining the nicotine-taking habit in rodents and perhaps the tobacco habit in human smokers (Kenny 2007). As such, the present findings suggest that the reward-enhancing effects of nicotine that drive the establishment of the tobacco habit are unaltered by genetic ablation of  $\alpha 5^*$  nAChRs.

This is consistent with recent observations from our laboratory demonstrating that virus-mediated knockdown of  $\alpha 5$  nAChR subunits specifically in the MHB-IPN system did not impact the lowering effects of systemic nicotine injections on ICSS thresholds in rats (Fowler et al. 2011). Hence, the present findings generalize these previous observations suggesting a lack of involvement of  $\alpha 5^*$  nAChRs in the MHB-IPN system in nicotine reward to global brain reward circuitry with relevance to addiction. Based on these data, we conclude that  $\alpha 5^*$  nAChRs are unlikely to play any role in the reward-enhancing properties of nicotine.

In contrast to the lack of effect of  $\alpha 5$  nAChR subunit ablation on the magnitude of nicotine-induced lowering of ICSS thresholds, we observed that higher doses of nicotine that no longer lowered ICSS thresholds in wildtype mice continued to lower thresholds in the knockout mice. Recently, we showed that instead of the lowered ICSS thresholds detected at lower doses, high nicotine doses elevate ICSS thresholds above baseline levels in rats (Fowler et al. 2011). Elevations of ICSS thresholds induced by higher doses of nicotine reflect an inhibitory action of the drug on brain reward systems, which drives avoidance behavior. Indeed, higher doses of nicotine that elevate ICSS thresholds are known to be aversive in rodents, with animals avoiding actions or environments associated with these concentrations of the drug (Fowler et al. 2011; Jensen et al. 1990; Risinger and Oakes 1995). Therefore, it is likely that higher nicotine doses failed to lower ICSS thresholds in wildtype



mice because of the opposing reward-inhibiting actions of the drug at these doses. The fact that higher nicotine doses continued to lower ICSS thresholds in the knockout mice suggests that the reward-inhibiting effects of the drug are selectively ablated by disruption of  $\alpha 5^*$  nAChR signaling. Nicotine, including that contained in tobacco smoke, has aversive effects, with non-human primates and human tobacco smokers demonstrating avoidance of the drug particularly when higher unit doses are available for consumption. It is important to note that even doses of nicotine that are actively self-administered by non-human primates or humans can be aversive and trigger avoidance behaviors (Goldberg and Spealman 1982; 1983; Goldberg et al. 1981; Goldberg et al. 1983; Henningfield and Goldberg 1983; Henningfield et al. 1986; Spealman and Goldberg 1982). This suggests that the reward-enhancing and reward-inhibiting effects of nicotine occur concurrently, with the reward-inhibiting effects that suppress nicotine intake becoming more marked as the unit dose of nicotine available for consumption increases (Fowler et al. 2011). As the reward-inhibiting effects of nicotine act to diminish the motivation to consume the drug (Fowler et al. 2011), the present findings suggest that increased vulnerability to tobacco dependence in those carrying *CHRNA5* risk alleles may be explained not by alterations in the reward-enhancing effects of nicotine, but instead by diminished sensitivity to reward-inhibiting actions of the drug that support avoidance behaviors. Consistent with this interpretation, we recently reported that  $\alpha 5$  nAChR subunit knockdown in the MHb-IPN system resulted in greater amounts of nicotine consumption in rats with concurrent reductions in sensitivity to the reward-inhibiting effects of the drug, without impacting its reward-enhancing effects (Fowler et al. 2011). When interpreting the current data within this context, it seems that the major contribution of  $\alpha 5^*$  nAChR throughout the entire brain is to control aversion-related actions of nicotine, as global knockout of the subunit results in a pattern of nicotine effects on ICSS very similar to that seen when knockdown was restricted to the MHb-IPN system; see also (Jackson et al. 2010). A similar role has recently been ascribed to  $\beta 4$  nAChRs in the MHb-IPN system in regulating the aversive properties of nicotine (Frahm et al. 2011). This suggests that the entire *CHRNA5-CHRNA3-CHRNA4* gene cluster, expression of which is enriched in the MHb-IPN system, is critical for regulating sensitivity to the aversive effects of nicotine and for avoidance of the drug. As such, deficits in the function of nAChRs incorporating these subunits likely results in reduced sensitivity to nicotine aversion and consequently greater consumption of the drug and vulnerability to tobacco addiction. Nevertheless, it is important to note that we found that  $\alpha 5$  knockout mice developed conditioned taste aversions to nicotine and lithium of similar magnitude to their wildtype counterparts. This observation suggests that  $\alpha 5^*$  nAChRs are not involved in all aspects of the noxious effects of higher nicotine doses that may trigger avoidance of the drug, but instead are specifically involved in regulating the inhibitory effects of the drug on brain reward systems.

It has been shown that direct infusion of mecamylamine into the IPN of nicotine-dependent wildtype mice precipitates the expression of somatic withdrawal signs (Salas et al. 2009). This suggests that the IPN may play a prominent role in the nicotine withdrawal syndrome. Indeed,  $\alpha 5$  knockout mice that were rendered nicotine dependent via osmotic minipumps administration did not show somatic signs of nicotine withdrawal when precipitated with mecamylamine (Jackson et al. 2008; Salas et al. 2009). These findings suggest that  $\alpha 5^*$  nAChRs, particularly those in the MHb-IPN tract, regulate aspects of the nicotine withdrawal syndrome. We previously reported that spontaneous or mecamylamine-precipitated withdrawal from chronic nicotine elevates ICSS thresholds in nicotine-dependent wildtype mice (Johnson et al. 2008), observations that are consistent with those from other laboratories (Stoker et al. 2008). Avoidance and alleviation of the reward-inhibiting effects of nicotine withdrawal is hypothesized to serve as an important substrate for negative reinforcement that motivates continued nicotine use and relapse to use during periods of attempted abstinence (Kenny 2007; Kenny and Markou 2001). Considering the role for  $\alpha 5^*$  nAChRs in the reward-inhibiting effects of acutely administered nicotine

described above, and the potential involvement of  $\alpha 5^*$  nAChR signaling in the IPN in regulating aspects of the nicotine withdrawal syndrome, we next sought to investigate the contribution of these receptors to the reward-inhibiting effects of nicotine withdrawal. To induce nicotine dependence, we implanted wildtype and  $\alpha 5$  knockout mice with osmotic minipumps delivering nicotine or saline continuously. Interestingly, we found that thresholds were elevated in wildtype mice one day after implantation of saline-delivering minipumps compared with thresholds measured in wildtype mice receiving nicotine-delivering pumps. This may reflect that fact that nicotine is known to have pain-alleviating effects (Marubio et al. 1999), which may have attenuated some post-surgical discomfort experienced by the animals during this relatively non-invasive surgery (see Methods). Interestingly, the  $\alpha 5$  knockout mice receiving saline treatments did not demonstrate similarly elevated ICSS thresholds one day after osmotic minipumps implantation surgery. This is consistent with recent findings suggesting that  $\alpha 5^*$  nAChRs may regulate pain sensitivity, with increases in  $\alpha 5$  subunit expression perhaps contributing to states of allodynia (Marubio et al. 1999; Vincler and Eisenach 2005; Young et al. 2008).

After nicotine dependence had been induced in wildtype and  $\alpha 5$  knockout mice, we found that the magnitude by which the nAChR antagonist mecamylamine elevated ICSS thresholds was similar in nicotine-dependent wildtype and  $\alpha 5$  knockout mice. This suggests that separate neurobiological systems regulate the aversion-related effects of acute nicotine or withdrawal from chronic treatment, and that these systems can be dissociated by genetic deletion of the  $\alpha 5$  nAChR subunit. From a conceptual perspective, such dissociation in function may not be such a surprise. It has been hypothesized that neuroadaptive responses to nicotine that occur during prolonged exposure to drugs of abuse, which give rise to the reward-inhibiting effects of nicotine withdrawal, may reside in the same brain circuits that regulate the acute reward-enhancing actions of these drugs (Le Moal and Koob 2007). Furthermore, it has been proposed that the mesoaccumbens system, particularly the VTA, is the key substrate that regulates the reward-enhancing effects of nicotine (Caille et al. 2009; Farquhar et al. 2012; Kenny et al. 2009), whereas the MHB-IPN systems seems to be primarily involved in the reward-inhibiting effects of nicotine (see above). Therefore, it may be predicted that molecular adaptations that reside in the VTA or other areas involved in nicotine reward are likely to play a prominent role in the emergence of a state of negative reward during withdrawal from chronic nicotine treatment and the development of nicotine dependence. Indeed, it has been shown that disruption of nAChR signaling in the VTA can precipitate withdrawal in nicotine-dependent rodents (Bruijnzeel and Markou 2004; Hildebrand et al. 1999; Liu and Jin 2004), however, see (Salas et al. 2009). A major caveat to the interpretation that  $\alpha 5^*$  nAChRs are not involved in reward-inhibiting effects of nicotine withdrawal is the fact that we precipitated withdrawal using mecamylamine, and the effects of spontaneous nicotine withdrawal on ICSS thresholds were not assessed. Therefore, it will be important in future studies to assess the effects of spontaneous nicotine withdrawal on ICSS thresholds in  $\alpha 5$  subunit knockout mice and their wildtype counterparts.

We were surprised to find that mecamylamine precipitated withdrawal-like elevations of ICSS thresholds in saline-treated  $\alpha 5$  knockout mice but not in wildtype mice. Mecamylamine at doses used in this study and higher typically do not induce behavioral deficits, such as ICSS threshold elevations, in non-nicotine treated mice (Collins et al. 1994; Hilario et al. 2012; Johnson et al. 2008; Singh et al. 2013; Stoker et al. 2012). We observed no differences response latency for self-stimulation in response to mecamylamine administration in either wildtype or knockout mice, suggesting that the elevating effect of mecamylamine on ICSS thresholds in the knockout mice was a specific action on brain reward function in these mice. This finding could be interpreted in at least two ways. First, the  $\alpha 5$  knockout mice are more sensitive to the aversive effects of mecamylamine that would also be detected in wildtype mice if they had been treated with substantially higher

doses of the antagonist. In this case, the intrinsic aversive properties of mecamylamine seen in the  $\alpha 5$  knockout mice would be distinct from the state of negative reward precipitated by the drug in nicotine-dependent animals. Second, another explanation is that the  $\alpha 5$  knockout mice are already in a behavioral state that resembles nicotine dependence, perhaps due to adaptations in non- $\alpha 5^*$  nAChRs in response to genetic deletion of the  $\alpha 5$  subunit. In this case, the elevated ICSS thresholds induced by mecamylamine in saline-treated  $\alpha 5$  knockout mice would be mechanistically indistinguishable from the elevated thresholds precipitated by the drug in nicotine-dependent wildtype mice. Further studies will be required to test these possibilities.

Finally, nicotine continued to lower ICSS thresholds in the knockout mice at doses that no longer lowered thresholds in wildtype mice. This suggests that  $\alpha 5^*$  nAChRs are likely involved in reward-inhibiting (aversive) effects of nicotine that oppose its rewarding actions. However, it is currently unclear if  $\alpha 5^*$  nAChRs regulate the general noxious effects of nicotine (malaise, sickness-like behaviors etc.) such that the knockout mice are simply insensitive to the effects of higher nicotine doses, or if the role for  $\alpha 5^*$  nAChRs is more specifically involved in reward-inhibiting effects of the drug. To investigate this issue further, we examined whether  $\alpha 5^*$  nAChRs regulate the development of a conditioned taste aversion to nicotine. In addition, we also assessed the development of a conditioned taste aversion to lithium in order to investigate if  $\alpha 5$  knockout mice may have altered sensitivity to noxious stimuli other than nicotine. The conditioned taste aversion (CTA) procedure provides an index of the aversive effects of drugs of abuse that serve to limit intake. Unlike ICSS thresholds, which provide a specific measure of the reward-enhancing or reward-inhibiting effects of a drug, CTA can provide a more general measure of noxious drug effects (Cappell and Le Blanc 1975). Interestingly, wildtype and  $\alpha 5$  knockout mice displayed robust CTA to saccharin paired with nicotine or lithium injections. This suggests that  $\alpha 5^*$  nAChRs do not regulate the general aversive actions of nicotine, such as sickness-like behaviors or malaise, but rather the reward-inhibiting effects of the drug that oppose its reward-enhancing effects. It should be noted that controversy exists regarding the interpretation of the conditioned taste aversion elicited with drugs of abuse; the reduction in drug-paired solution has been proposed to reflect conditioned suppression of intake because of a negative contrast of saccharine effects to positive drug effects, rather than *bona fide* aversion towards the drug (Grigson 1997). However, in our studies, both nicotine and lithium induced a similar CTA in the mice, suggesting that both were similarly aversive. It has been proposed that the negative physiological state induced by nicotine is due to activation of ganglionic nAChRs, particularly those containing  $\alpha 3^*$  and/or  $\beta 4$  subunits (Marubio et al. 1999; Shoaib et al. 2002). More recently, Shoaib and colleagues have shown that the  $\beta 2^*$  nAChR-preferring antagonist DH $\beta$ E, but not the  $\alpha 7$  nAChR antagonist methyllycaconitine, decreased the expression of a CTA (Gommans et al. 2000) and ablation of  $\beta 2$  nAChR subunits attenuates the formation of a CTA across a range of nicotine doses (0.4-2.0 mg/kg) (Shoaib et al. 2002). As such, it is likely that nAChRs containing  $\alpha 3$ ,  $\beta 4$  and/or  $\beta 2$  subunits regulate the general noxious effects of nicotine, as measured by CTA, and that  $\alpha 5$  subunits make a minimal contribution to this state. Together, these findings suggest that  $\alpha 5^*$  nAChRs regulate the reward-inhibiting effects of nicotine that oppose its reward-enhancing effects, but are not involved in the more global aversive effects of the drug when delivered at high doses.

In summary, the present findings demonstrate that disruption of  $\alpha 5^*$  nAChR signaling extends the range of nicotine doses that can facilitate the activity of brain reward systems. However,  $\alpha 5^*$  nAChRs are unlikely to play a role in the reward-enhancing effects of nicotine, the reward-inhibiting effects of nicotine withdrawal, or the general noxious effects of high-dose nicotine. Together, these findings enhance our understanding of the role of  $\alpha 5$

nAChR signaling in regulating the actions of nicotine on brain reward systems and thereby contribute to our understanding of the factors likely influencing tobacco dependence.

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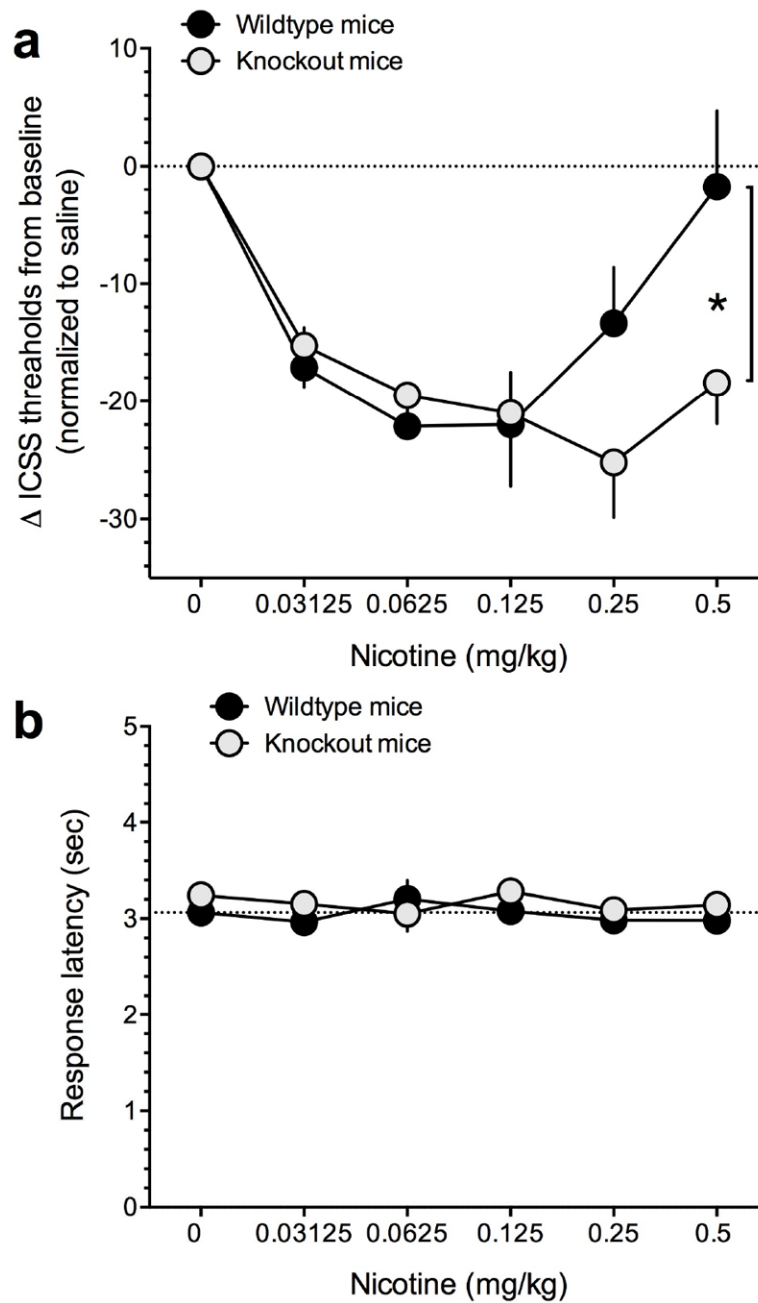
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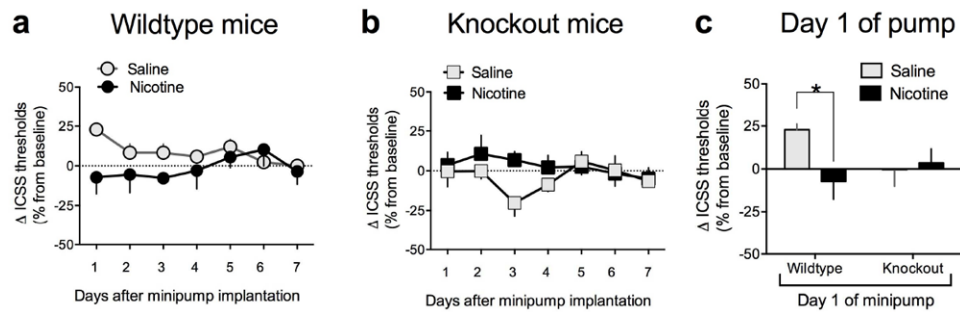
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**Figure 1.**

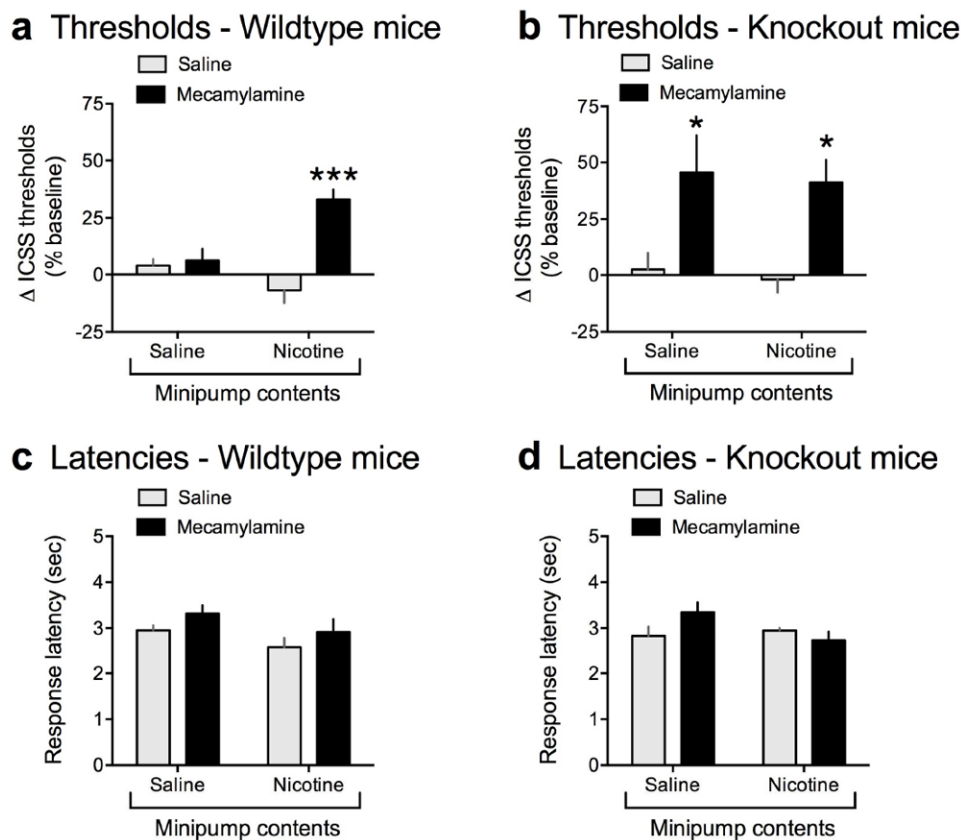
The effects of acutely administered nicotine injections on ICSS in wildtype and  $\alpha 5$  knockout mice. (a) Nicotine injections elicited a U-shaped dose response function across a range of doses. Data are expressed as mean ( $\pm$  SEM) percentage change from baseline ICSS thresholds. \* $P < 0.05$  (b) Response latencies did not differ across nicotine injections or between genotypes. Data are expressed as mean ( $\pm$  SEM) seconds to respond for stimulation.





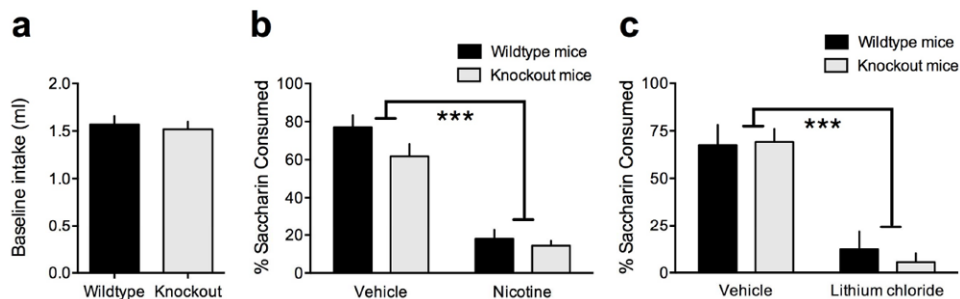
**Figure 2.**

The effects of chronic administration of saline or nicotine via osmotic minipump on ICSS thresholds were examined in wildtype and  $\alpha 5$  knockout mice. Data are expressed as mean ( $\pm$  SEM) percentage change from baseline ICSS thresholds. (a) ICSS thresholds were elevated in saline-treated wildtype mice compared with nicotine-treated wildtype mice on day 1 after minipump implantation. Thereafter, ICSS thresholds remained similar and altered across sessions in both groups of mice. (b) ICSS thresholds were similar and unaltered in saline-treated and nicotine-treated knockout mice across 7 consecutive days. (c) Comparison of ICSS in wildtype and knockout mice on day 1 after minipump implantation demonstrated that thresholds were transiently elevated only in saline-treated wildtype mice. \* $P < 0.05$ .



**Figure 3.**

The effects of mecamylamine (5 mg/kg) on ICSS thresholds were examined in nicotine-dependent wildtype and  $\alpha 5$  knockout mice. Data are expressed as mean ( $\pm$  SEM) percentage change from baseline ICSS thresholds. (a) ICSS thresholds were elevated by mecamylamine in nicotine-treated but not in saline-treated wildtype mice. \*\*\* $P < 0.001$  (b) ICSS thresholds were elevated by mecamylamine in both saline-treated and nicotine-treated knockout mice. \* $P < 0.05$  (c) Response latencies did not differ based on minipump (saline or nicotine) or injection (saline or mecamylamine) between genotypes. Data are expressed as mean ( $\pm$  SEM) seconds to respond for stimulation.



**Figure 4.**

Nicotine and lithium condition a taste aversion to saccharine similarly in wildtype and  $\alpha 5$  knockout mice. (a) Wildtype and  $\alpha 5$  knockout mice did not differ in their baseline consumption of 0.15% saccharin. Data are expressed as mean ( $\pm$  SEM) volume (ml) consumed. (b) Nicotine (1.5 mg/kg) injections paired with saccharin drinking resulted in decreased consumption of saccharin on the test day for both wildtype and  $\alpha 5$  knockout mice, as compared to vehicle-injected controls. Data are expressed as mean ( $\pm$  SEM) percentage of saccharin consumed in a two-bottle choice test. \* $P < 0.05$  (c) Pairing LiCl injections with saccharin drinking resulted in decreased consumption of saccharin on the test day for both wildtype and  $\alpha 5$  knockout mice, as compared to vehicle-injected controls. Data are expressed as mean ( $\pm$  SEM) percentage of saccharin consumed in a two-bottle choice test. \* $P < 0.05$ .