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Publication Date

2024

DOI

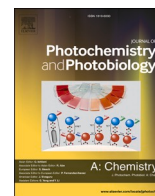
10.1016/j.jphotochem.2023.115075

Peer reviewed



Contents lists available at ScienceDirect

Journal of Photochemistry &amp; Photobiology, A: Chemistry

journal homepage: [www.elsevier.com/locate/jphotochem](http://www.elsevier.com/locate/jphotochem)

# Photophysical characterization of isothiazologuanosine, a unique isomorphous and isofunctional fluorescent analogue of guanosine

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## ARTICLE INFO

### Keywords:

Ab initio calculations  
Fluorescent probes  
Fluorescence spectroscopy  
Nucleobases  
Photophysics

## ABSTRACT

Application of fluorescence techniques to investigate molecular interactions with nucleic acids is complicated by their poor emission, making the substitution of natural nucleobases by fluorescent nucleoside analogues (FNAs) a useful strategy. A breakthrough in fluorescent nucleoside analogues has been the development of thienoguanosine (<sup>th</sup>G) and isothiazologuanosine (<sup>tz</sup>G), two isosteric mimics of guanosine (G). Due to its N7 atom needed in Hoogsteen base pairs and enzyme recognition, <sup>tz</sup>G is also an isofunctional G surrogate. Herein, we integrated fluorescence spectroscopy measurements with quantum mechanical (QM) calculations to characterize the mechanisms underlying <sup>tz</sup>G photophysics in different solvents. In dioxane and ethyl acetate, <sup>tz</sup>G existed primarily as a H1 keto-amino tautomer with short fluorescence lifetime ( $\tau \sim 2$  ns) and low quantum yield ( $\phi \sim 0.10$ ). In buffer, the H1 tautomer ( $\phi = 0.36$ ,  $\tau = 8.84$  ns) coexisted with a weakly emissive H3 keto-amino tautomer. The two tautomers were also observed in methanol, but with a 30% decrease in  $\phi$  and  $\tau$  values for the major H1 tautomer. QM calculations suggested that the main non-radiative pathway of <sup>tz</sup>G-H1 involves NS bond loosening and is responsible for the more solvent-sensitive  $\phi$  and  $\tau$  values compared to <sup>th</sup>G. This pathway is much more efficient for <sup>tz</sup>G-H3, for which an additional pathway to a dark  $n\pi^*$  state and a large coupling with triplet states further explain its very low emission. This study lays the ground for rationally using <sup>tz</sup>G as a sensitive FNA.

## 1. Introduction

Due to their outstanding sensitivity and versatility, fluorescence techniques are ideally suited for investigating biomolecules and their interactions with a wide range of ligands. Unfortunately, in the case of nucleic acids, the applications of these techniques are complicated, as the natural nucleobases are almost non-emissive, [1] frequently necessitating external labelling. Covalent labelling of oligonucleotides with bright fluorophores at their 3'- or 5'-end or at the 5-position on pyrimidines incorporated in the oligonucleotide sequence [2 3] shows severe limitations, since the fluorophores are generally bulky and can alter the nucleic acid folding and ligand binding. [4 5 6] These drawbacks can be largely surpassed by using fluorescent nucleoside analogues (FNAs),

such as 2-aminopurine, which can substitute natural nucleobases with limited structural or functional perturbation. [2 7 3 8 9] Although very useful, most of these FNAs do not faithfully substitute natural nucleobases, are highly quenched and/or show limited environmental sensitivity when incorporated into nucleic acids. [9] A clear breakthrough in this field was achieved by the introduction of the thieno[3,4-*d*]pyrimidine (<sup>th</sup>N) and the isothiazolo[4,3-*d*]pyrimidine (<sup>tz</sup>N) families of FNAs. [10 11] The most investigated and applied members of these families have been the analogues of G, namely <sup>th</sup>G and <sup>tz</sup>G (Fig. 1).

A significant amount of work has been performed on <sup>th</sup>G in recent years. <sup>th</sup>G's fluorescence quantum yield ( $\phi$ ) is high ( $\phi \sim 0.50$ ) and its dominant fluorescence lifetime in DNA is unusually long [9–29], facilitating its selective measurement in complex media using time-resolved

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<https://doi.org/10.1016/j.jphotochem.2023.115075>

Received 2 June 2023; Received in revised form 25 July 2023; Accepted 1 August 2023

Available online 7 August 2023

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techniques. The presence of two possible tautomers with different spectral properties provides an additional information channel as their equilibrium depends on the polarity and H-bonding properties of the embedding medium. When incorporated into nucleic acids, <sup>th</sup>G retains high  $\phi$  and environmental sensitivity, and appears to seamlessly replace G residues in DNA and RNA duplexes. [10 11 12 13 14 15] Both in matched and mismatched duplexes, the steady-state and time-resolved fluorescence spectra of <sup>th</sup>G have been shown to sensitively report on local structural changes and single nucleotide polymorphism. Therefore, <sup>th</sup>G emerged as an outstanding emissive substitute for G.

<sup>th</sup>G differs from G by the absence of the N atom at position 9, the presence of a S atom instead of a C atom at position 8 and by the absence of the N atom at position 7. The latter feature, though not impacting the formation of canonical Watson–Crick base pairs, can impact its performance. Indeed, this N7 atom has a key role not only in the formation of Hoogsteen base pairs for the stabilization of triple helices or G-quadruplexes, [16 17] but also in the proper recognition of G by several enzymes. [18] <sup>tz</sup>G, possessing this N7, appears, in principle, even superior to <sup>th</sup>G, being an almost fully isofunctional G surrogate. This was notably demonstrated by the much higher ability of <sup>tz</sup>G compared to <sup>th</sup>G to undergo effective deamination by guanine deaminase [18] or to be converted into a dinucleotide by a bacterial enzyme, [19] being comparable to G.

To fully exploit the potential of <sup>tz</sup>G and properly interpret its spectroscopic signatures, the first key step is to investigate its photophysics as a free nucleoside or nucleobase. This strategy has been proven to be fundamental for <sup>th</sup>G: interpreting the data of <sup>th</sup>G-labelled RNA and DNA sequences [15 20] would have been impossible without a comprehensive characterization of the photophysical features of free <sup>th</sup>G. [10 12 14 21 22].

In contrast to <sup>th</sup>G, only preliminary spectroscopic characterization was performed on <sup>tz</sup>G, [11 23] which has been reported to absorb with a maximum  $\lambda_{\text{abs,max}} = 333 \text{ nm}$  ( $\epsilon = 4870 \text{ M}^{-1} \cdot \text{cm}^{-1}$ ) and emit at  $\lambda_{\text{em,max}} = 459 \text{ nm}$  ( $\phi = 0.25$ ) in water. Computational calculations [24] have suggested that the lowest-lying  $^1(\pi\pi^*)$  bright state populated after light absorption evolves without any energy barrier to the lowest energy emissive minimum energy. From this structure, a high energetic barrier impedes the path to the conical intersection with the ground state, explaining the high  $\phi$  value. However, a comprehensive time-resolved fluorescence study of free <sup>tz</sup>G as well as a study seeking for the existence of tautomers in the ground-state or excited-state is still missing. A full picture of the photophysics of <sup>tz</sup>G is not yet available, undermining its rationale use as a nucleoside surrogate in fluorescence studies of nucleic acids.

To understand the underlying photophysics of <sup>tz</sup>G, we investigated the spectroscopic properties of free <sup>tz</sup>G in two aprotic (dioxane, ethyl acetate) and two protic (aqueous buffer and methanol) solvents, combining experimental measurements (steady-state and time-resolved fluorescence) with quantum mechanical (QM) calculations. We found that in the two aprotic solvents, <sup>tz</sup>G exhibited similar  $\lambda_{\text{em,max}}$  (427 nm) and  $\phi$  ( $\approx 0.10$ ). Moreover, we demonstrate that <sup>tz</sup>G mainly exists as a H1 keto-amino tautomer associated with a fluorescence lifetime of about 2 ns. Two additional minor species with lifetimes of 0.45 and 8.3 ns, respectively, were also identified. In protic solvents, <sup>tz</sup>G was found to

exist as H1 and H3 keto-amino tautomers, which display different absorption and emission features. In contrast to <sup>th</sup>G, where the two tautomers show comparable brightness in aqueous buffer, the H1 keto-amino tautomer of <sup>tz</sup>G is two orders of magnitude brighter than the H3 keto-amino tautomer, exhibiting a  $\phi$  value of 0.36 and a fluorescence lifetime of 8.84 ns. The two tautomers were also observed in methanol, but with a decreased fluorescence  $\phi$  and lifetime for the major H1 tautomer as compared to water. This study lays the ground for the use of <sup>tz</sup>G as a sensitive fluorescence probe both in its monomeric form and when incorporated into nucleic acids.

## 2. Materials and methods

### 2.1. Materials

The fluorescent isothiazologuanosine (<sup>tz</sup>G) was synthesized in the form of a ribonucleoside as previously described. [11] Stock solutions of <sup>tz</sup>G (3.46 mM) were prepared in spectroscopic grade DMSO. Spectroscopic grade DMSO (99.9%), methanol (99.9%), ethyl acetate (99.5%), dioxane (99.8%) and molecular sieves (3 Å) were purchased from Sigma-Aldrich. The buffer solution was 20 mM HEPES (Merck), pH 7.

### 2.2. UV-visible absorption and steady-state fluorescence measurements

Absorption spectra were recorded on UV-2700i (Shimadzu) and Cary 4000 (Agilent) UV-visible spectrophotometers.

Excitation and emission spectra were recorded with Fluoromax 4 and Fluoromax Plus spectrofluorimeters (Jobin-Yvon) equipped with a thermostated cell compartment at 20.0 ( $\pm 0.2$ ) °C. Fluorescence spectra were corrected from Raman scattering, lamp fluctuations and instrumental wavelength-dependent bias. Quartz cuvettes with 1 cm path length were used for measurements. The fluorescence quantum yields ( $\phi$ ) [25] of <sup>tz</sup>G in the different solvents were calculated using quinine sulfate in sulfuric acid (0.5 M) as a reference ( $\phi_R = 0.546$ ) [26] and the following equation:

$$\phi = \phi_R \frac{I}{I_R} \frac{A_R}{A} \frac{n^2}{n_R^2} \quad (1)$$

where  $I$  is the area under the emission spectrum,  $A$  is the absorbance at the excitation wavelength, and  $n$  is the refractive index of the solvent. The quantum yields at each excitation wavelength (from 290 nm to 380 nm, with 10 nm increment) were given as means  $\pm$  standard error of the mean for at least three independent measurements.

### 2.3. Time-resolved fluorescence measurements

Time-resolved fluorescence measurements were performed with the time-correlated single-photon counting (TCSPC) technique. The fluorescence intensity decays of <sup>tz</sup>G were recorded on a home-made set-up using a pulse-picked supercontinuum white-light laser (EXR-20, NKT Photonics) equipped with an UV extension (SuperK Extend UV, NKT Photonics). Excitation was at 350 and 370 nm with 19.5 MHz repetition rate. Emission was collected through a KV 389 filter and the requested

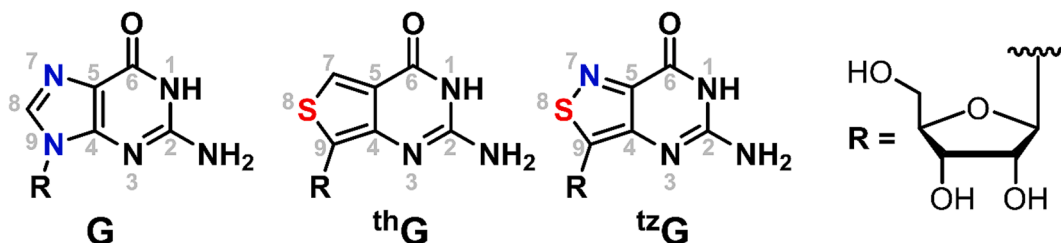


Fig. 1. Structures of guanosine (G), thienoguanosine (<sup>th</sup>G) and isothiazologuanosine (<sup>tz</sup>G).

wavelength was selected by a 16 mm band-pass monochromator (Jobin-Yvon H10). Photons were detected with a microchannel plate Hamamatsu R3809U photomultiplier coupled to a pulse preamplifier (HFAH 20, Becker & Hickl). Data were recorded on a TCSPC counting board (SPC 130, Becker & Hickl). The instrumental response function recorded with a polished aluminum reflector has a full width at half maximum (FWHM) of 50 ps. Alexa 488 dye with 4.1 ns lifetime was used as a reference for lifetime measurements. [27].

To rule out the possibility that the minor long-lived lifetime observed in 1,4-dioxane may be caused by the presence of H-bonding impurities, we used pre-activated molecular sieves (3Å, 4–8 mesh) to remove water from the solvent. The pre-activated molecular sieves were combined with 1,4-dioxane at a proportion of ¼ (w/w) in an oven-dried glass vial and kept sealed for 24 h at room temperature with occasional shaking to absorb the traces of water from the solvent. Then, <sup>12</sup>G was dissolved in the treated dioxane and time-resolved experiments were recorded immediately after.

#### 2.4. Fluorescence lifetime data analysis

In a first step, the Maximum Entropy Method (MEM) [28 29] was used to retrieve the distribution of the decay times without assumptions about the shape of the distribution and the number of decay components. MEM is based on maximizing the Skilling-Jane's entropy function:

$$S = \int_0^{\infty} \alpha(\tau) - m(\tau) - \alpha(\tau) \log \frac{\alpha(\tau)}{m(\tau)} d\tau \quad (2)$$

where  $\alpha(\tau)$  is the reconstructed distribution, and  $m(\tau)$  is the primary model. The MEM method was essentially used to provide the number of lifetime components needed to fit the decays.

To improve the determination of the decay components, a global analysis method [30 31] was applied to analyze our multi-wavelength decay data. This method is based on the assumption that the decay components  $\tau_i$  do not depend on the emission wavelength, while their amplitudes  $\alpha_i$  are different for each wavelength. The global analysis fitting routine was built in DecayFit program [32] that uses the iterative reconvolution method and non-linear least-squares minimization method. The DecayFit program allows the use of the experimentally measured instrument response function (IRF).

Decay-associated spectra were calculated from the intensity decay curves using: [25]

$$I_i(\lambda) = \frac{\alpha_i(\lambda) \cdot \tau_i \cdot I(\lambda)}{\sum_j \alpha_j(\lambda) \cdot \tau_j} \quad (3)$$

where  $I(\lambda)$  is the intensity of the steady state emission spectrum at wavelength  $\lambda$ .

#### 2.5. Quantum mechanical calculations

**Electronic methods.** The relative energies of the different tautomers of <sup>12</sup>G have been computed with the CBS-QB3 [33] procedure, which enables an accurate determination of the thermochemical stability of medium size compounds in the gas phase (GP), and provides a benchmark for the density functionals (DFT) used for computations in solution. Two different functionals have been used in DFT and Time-Dependent DFT (TD-DFT) calculations, PBE0 [34 35] and M052X, [36 37] which have been already profitably used in our previous studies of <sup>12</sup>G and other DNA bases. [38 39] Ground and excited state geometry optimizations, followed by the characterization of the lowest energy excited states and computation of the vertical absorption (VAEs) and emission energies (VEEs) have been performed by using different basis sets (see SI for details). Unless specified, in the main text we shall discuss the results obtained with M052X and the 6–31+G(d,p) basis set. We choose M052X as reference functional because, though overestimating

the transition energies in the isolated bases, [20 40] it has been already profitably used when studying oligonucleotides, [38 39] since it can fairly accurately describe stacking interactions and the relative stability of charge transfer (CT) transitions, [36 37] as shown, inter alia, by our study on <sup>12</sup>G included in DNA. [15 20] On the average, M052X overestimates the emission energies and the transition dipole moments, and these deficiencies [21 15 20] obviously affect the expected accuracy of the computed radiative lifetime. On the other hand, considering that vibrational effects are not included in our calculations, we here simply aim to get a qualitative estimate of the radiative lifetimes, to check if they are consistent with the experimental ones, and to obtain reference values for our future studies in oligonucleotides, where we shall use M052X. CASPT2/CASSCF calculations have been also performed, whose details can be found in the SI.

**Solvent effect.** Bulk solvent effects in dioxane and in water have been included in our calculations by using the Polarizable Continuum Model (PCM). [41 42] For water, where solute/solvent Hydrogen Bonds (HB) could be important, we resorted to a hybrid implicit/explicit model, explicitly including 6 H<sub>2</sub>O water molecules in the calculations (see below). Absorption energies have been computed at the solvent non-equilibrium level, while emission energy at the equilibrium one.

**Radiative lifetimes** have been estimated by using a procedure previously described, [21] based on the VEEs and the transition dipole moments. For the non-radiative decay, we have tentatively located the S<sub>1</sub>/S<sub>0</sub> degeneracy regions by performing relaxed scans along relevant coordinates, knowing the limitations of TD-DFT to accurately describe such regions of the potential energy surfaces (PES).

**Spin-orbit coupling** (SOC) terms have been computed using the pysoc program. [43].

### 3. Results and discussion

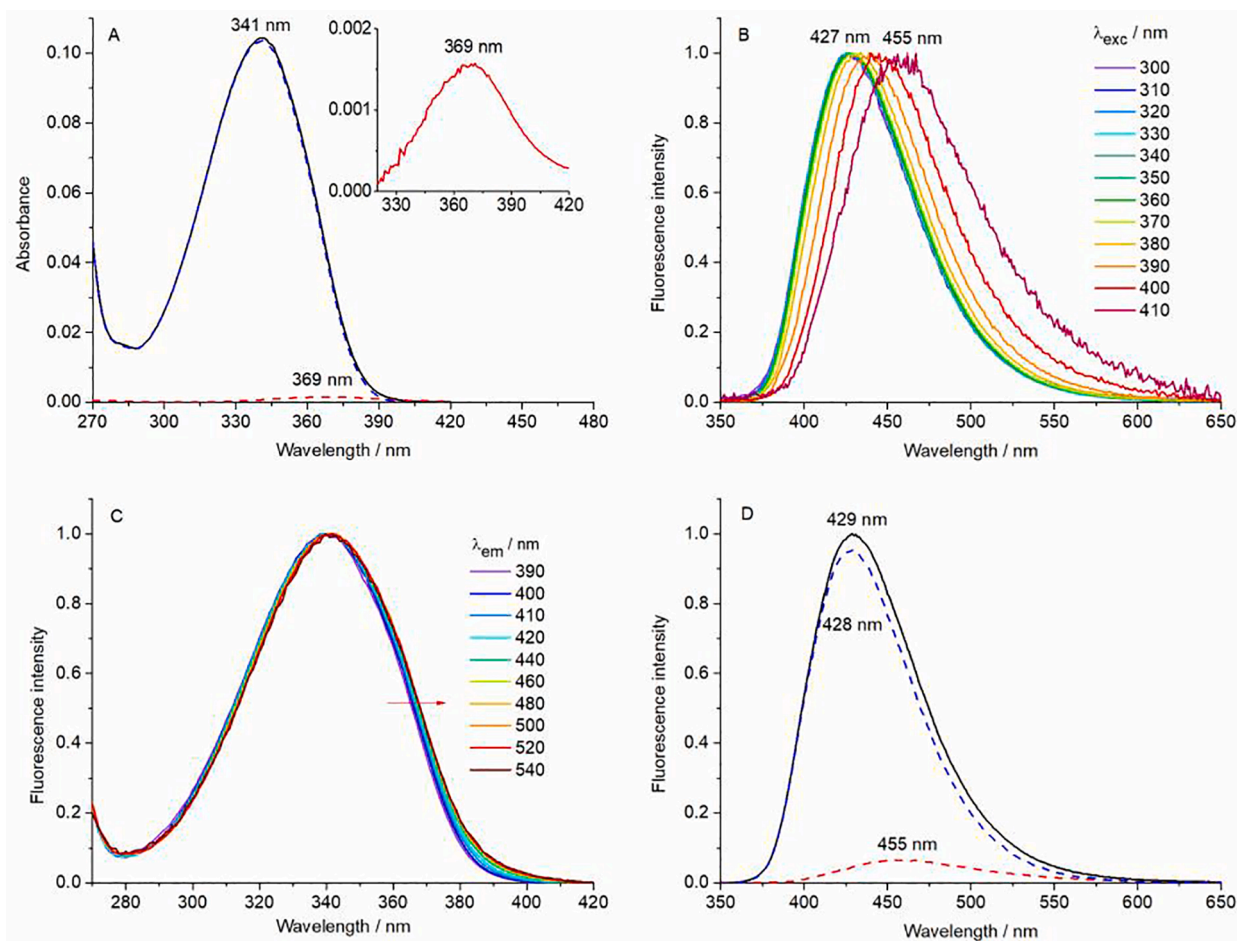
#### 3.1. Photophysical properties of <sup>12</sup>G in aprotic solvents

##### 3.1.1. Spectroscopic studies

**3.1.1.1. Steady-state studies.** To characterize the photophysical properties of <sup>12</sup>G, we first investigated the spectroscopic properties of <sup>12</sup>G in 1,4-dioxane and ethyl acetate, two aprotic apolar solvents that slightly differ in their E<sub>T</sub> [30] values (36.0 and 38.1 kcal.mol<sup>-1</sup>, respectively). In both solvents, the absorption spectrum of <sup>12</sup>G shows a maximum at  $\lambda_{\text{abs,max}} = 341$  nm. By recording the emission spectra at excitation wavelengths ranging from 300 nm to 410 nm, we found that up to 360 nm, the normalized emission spectra fully overlapped with a maximum at  $\lambda_{\text{em,max}} = 427$  nm. In contrast, at longer excitation wavelengths (370–410 nm), the emission maximum progressively shifted to 455 nm (Fig. 2A, B and Fig. S1A, B), indicating an additional species characterized by red-shifted absorption and emission spectra.

For greater insight, excitation spectra were recorded over the whole emission range (390–540 nm). The normalized excitation spectra largely overlapped with a maximum (340 nm) similar to the  $\lambda_{\text{abs,max}}$  value, and may thus correspond to the major absorbing species (Fig. 2C and S1C). However, close examination revealed a spectral broadening as the emission wavelength increased (Fig. 2C and S1C, red arrow), confirming the existence of a red-shifted ground-state species. The excitation spectrum of this red-shifted species was obtained by subtracting the normalized excitation spectrum at 390 nm from the spectrum at 540 nm. Normalization to the red part of <sup>12</sup>G absorption spectrum provided the absorption spectrum of this red-shifted species (Fig. 2A and S1A, red dashed curve and insets). Finally, subtracting this spectrum from the absorption spectrum of <sup>12</sup>G gave the absorption spectrum of the major ground-state species (Fig. 2A and S1A, blue dashed curve). The minor red-shifted species was found to be shifted by ~ 30 nm compared to the main species and contribute <1% to the total absorption spectrum.

From the absorption spectra, it can be further concluded that the



**Fig. 2.** Absorption, emission and excitation spectra of  $^{12}\text{G}$  in dioxane. (A) Experimental absorption spectrum of  $^{12}\text{G}$  (black solid line). The spectra of the major (blue dashed line) and minor (red dashed line) ground-state species were deduced from  $^{12}\text{G}$  absorption and excitation spectra, as described in the main text. Inset: zoom of the absorption spectrum of the minor ground-state species. (B) Normalized emission spectra recorded at different excitation wavelengths. (C) Normalized excitation spectra recorded at different emission wavelengths. The red arrow shows the spectral broadening when  $\lambda_{\text{em}}$  is increased. (D) Emission spectrum of  $^{12}\text{G}$  recorded at  $\lambda_{\text{exc}} = 370$  nm (black solid line) and its deconvolution performed by normalizing the emission spectrum of the minor red-shifted species recorded at  $\lambda_{\text{exc}} = 410$  nm (red dashed line) to the red edge of the emission spectrum recorded at  $\lambda_{\text{exc}} = 370$  nm. The difference between the two spectra provided the spectrum of the main emitting species (blue dashed spectrum).  $[^{12}\text{G}] = 22.44 \mu\text{M}$ . (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

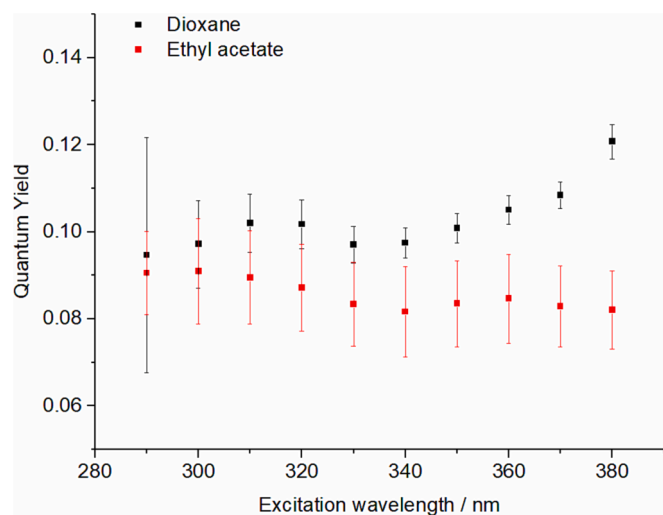
major blue-shifted species can be selectively excited at  $\lambda_{\text{exc}} \leq 320$  nm, while the red-shifted species can be selectively excited at  $\lambda_{\text{exc}} \geq 410$  nm. Using the emission spectra obtained at these specific  $\lambda_{\text{exc}}$  values (Fig. 2B and S1B), we deconvoluted the emission spectrum obtained at  $\lambda_{\text{exc}} = 370$  nm and found that the red-shifted species made a significant contribution in spite of its low absorbance (Fig. 2D and S1D), suggesting that it exhibited a higher  $\phi$  value than the major blue-shifted species. Both the maxima of absorbance ( $\lambda_{\text{abs,max}} = 341$  nm) and emission ( $\lambda_{\text{em,max}} = 427$  nm) of the major blue-shifted species of  $^{12}\text{G}$  in the two apolar solvents are comparable to the corresponding values (330 nm and 424 nm, respectively) of  $^{13}\text{G}$  in dioxane [14], suggesting that it may correspond to the same H1 keto-amino tautomer.

The  $\phi$  of  $^{12}\text{G}$  was observed to be rather constant over the 300–360 nm excitation range, being  $0.10 \pm 0.01$  in dioxane and  $0.09 \pm 0.01$  in ethyl acetate, respectively (Fig. 3). Due to its very low absorbance, it was not possible to accurately determine the  $\phi$  value of the red-shifted species. Nevertheless, the increase of the  $\phi$  value in dioxane at  $\lambda_{\text{exc}} \geq 360$  nm confirmed that the  $\phi$  value of the red-shifted species was higher than that of the major blue-shifted species. This increase was not observed in ethyl acetate, probably due to the very low absorbance values at  $\lambda_{\text{exc}} \geq 360$  nm, that render the  $\phi$  determination inaccurate.

**3.1.1.2. Time-resolved fluorescence studies.** Next, time-resolved experiments were performed on  $^{12}\text{G}$  in dioxane and ethyl acetate using  $\lambda_{\text{exc}} = 350$  nm and  $\lambda_{\text{em}}$  ranging from 400 nm to 520 nm with 10 nm steps. The intensity decays were analyzed simultaneously by a global fit approach (Fig. 4A and S2A). Analysis of the  $\chi^2$  values and distribution of residuals revealed that a three-exponential function was needed for a good fit in both solvents (Fig. 4B and S2B). The best fit was obtained with a short-lived lifetime ( $\tau_1 = 0.45 \pm 0.05$  ns and  $0.46 \pm 0.02$  ns for dioxane and ethyl acetate, respectively), a major intermediate lifetime ( $\tau_2 = 1.97 \pm 0.09$  ns and  $1.73 \pm 0.05$  ns for dioxane and ethyl acetate, respectively), and a long-lived lifetime ( $\tau_3 = 8.5 \pm 0.5$  ns and  $8.2 \pm 0.4$  ns for dioxane and ethyl acetate, respectively). No significant improvement of the  $\chi^2$  values and distribution of residuals in both solvents was observed when negative amplitudes typical of excited-state reactions were allowed. We therefore only considered the fits with positive amplitudes.

The amplitude of the major lifetime  $\tau_2$  was observed to increase with  $\lambda_{\text{em}}$  and reached a plateau close to 100% at  $\lambda_{\text{em}} \geq 480$  nm (Fig. 4C and S2C). Conversely, the amplitude of the short lifetime  $\tau_1$  dropped to zero in the red part of the emission spectrum ( $\lambda_{\text{em}} > 480$  nm). The amplitude of the long-lived lifetime  $\tau_3$  increased with  $\lambda_{\text{em}}$  but did not exceed 2% (red squares in Fig. 4C and S2C). Using  $\lambda_{\text{exc}} = 370$  nm (Fig. S3A-C) instead of 350 nm, the  $\tau_3$  amplitude significantly increased, reaching 6%





**Fig. 3.** Dependence of  $^{12}\text{G}$  quantum yield on the excitation wavelength in dioxane and ethyl acetate. The graph represents mean QY values with standard errors of the means from three experiments for each solvent.

(Fig. S3C), suggesting that the  $\tau_3$  lifetime might be associated with the red-shifted minor species seen in the steady-state data. This conclusion was strengthened by the match of the decay-associated spectrum (DAS) of  $\tau_3$  with the emission spectrum of the red-shifted species (Fig. S4C, inset). As the  $\tau_3$  value was similar to the major lifetime in water (see below), we checked whether it was not due to residual traces of water by adding molecular sieves for water removal. No change in the  $\tau_3$  value or amplitude was observed (data not shown), indicating that it was not an artifact due to traces of water. The DAS of the intermediate lifetime  $\tau_2$  largely dominated (>90%) the emission of  $^{12}\text{G}$  in both apolar solvents (Fig. S4A and B) and may thus correspond to the H1 keto-amino

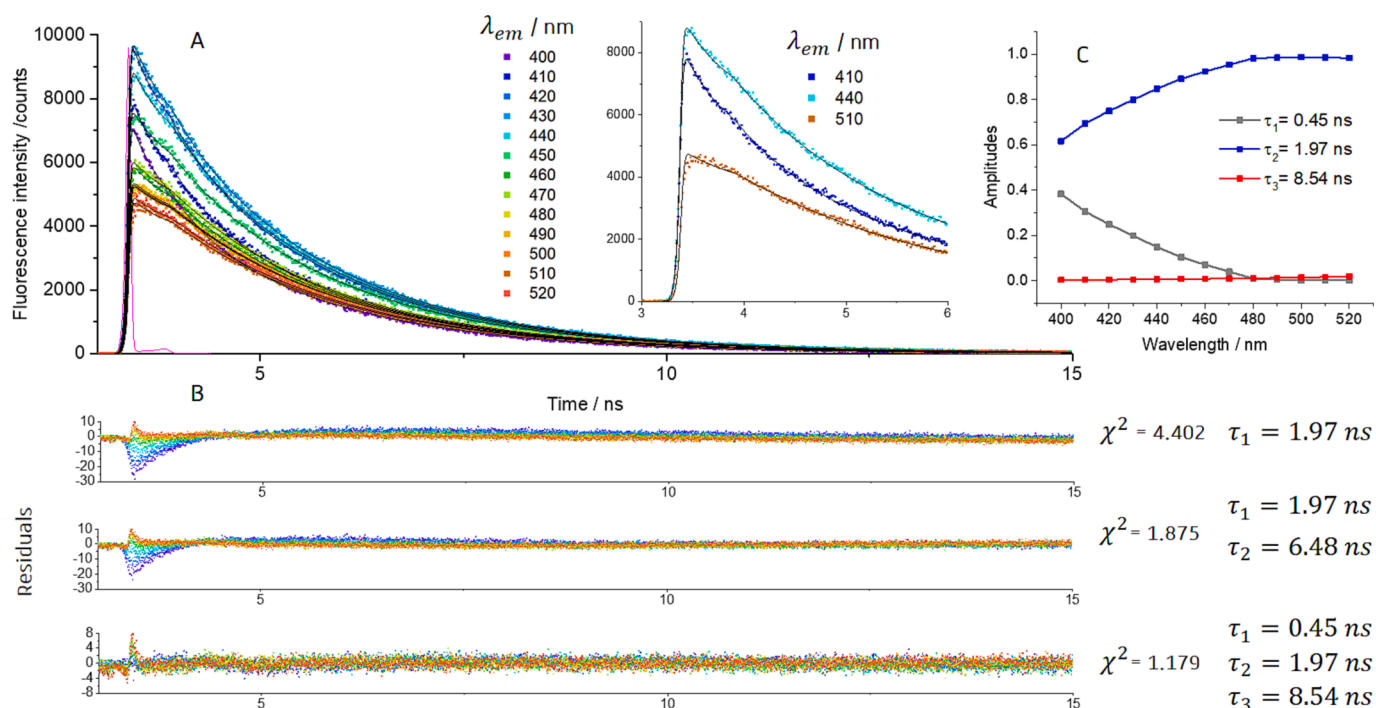
tautomer. Finally, the DAS of the short-lived lifetime  $\tau_1$  was found to be blue-shifted with  $\lambda_{\text{em,max}} \sim 410$  nm, but contributed only moderately to  $^{12}\text{G}$  emission. Moreover, the strong overlap of the normalized excitation spectra recorded in the blue part of the emission spectra (Fig. 2C and S1C) suggested that the excitation spectrum of the species associated to  $\tau_1$  overlaps with that of the major tautomer. Both the modest contribution of this short-lived species in  $^{12}\text{G}$  emission and its marginal shift in respect with the major tautomer likely explain that we were unable to detect it in the steady-state spectra.

The high similarity between the steady-state and time-resolved data of  $^{12}\text{G}$  in dioxane and ethyl acetate strongly suggests that  $^{12}\text{G}$  has similar photophysical mechanisms in the two solvents. The only significant difference was the 10% decrease in both  $\phi$  and  $\tau_2$  values in ethyl acetate compared to dioxane.

### 3.1.2. Quantum mechanical calculations

**3.1.2.1. Tautomerization equilibria.** To identify the species responsible of the observed spectroscopic properties, we resorted to QM calculations, focusing both on tautomeric and conformational equilibria. To reduce the associated computational cost, most analyses were performed on a computational model, where the ribose unit is mimicked by a methyl group (Fig. 5). We nevertheless also performed test calculations on the ribonucleoside, focusing on the syn/anti conformational equilibrium of aromatic heterocycle with respect to the tetrahydrofuran ring (Fig. S5), which could impact the photophysics of  $^{12}\text{G}$  in non-polar solvents.

As a first step of our analysis, we compared the relative energy (Table 1) of the different tautomers (Fig. 5), and the dependence on the nature of the embedding medium. In the gas phase, we have checked the reliability of DFT calculations (including different functionals) and basis sets, by using the CBS-QB3 approach. As shown in Table 1,  $^{12}\text{G}$ -H1 is predicted to be the most stable tautomer. M052X and PBE0 estimates are in good agreement with those provided by CBS-QB3, the discrepancy



**Fig. 4.** Time-resolved emission decays of  $^{12}\text{G}$  in dioxane. (A) The decays were recorded over the emission spectrum of  $^{12}\text{G}$ , using  $\lambda_{\text{exc}} = 350$  nm. The experimental data (squares) were fitted using the global fit method (black solid lines) and a three-exponential function. Experimental IRF (magenta curve). Inset: highlight of the intensity decays and their fits in the first channels for three  $\lambda_{\text{em}}$  values. (B) Distribution of residuals,  $\chi^2$  values and lifetime values for one-, two-, and three-exponentials fit of the decays. (C) Wavelength dependence of the amplitudes of the lifetime components obtained using a three-exponential fit. [ $^{12}\text{G}$ ] = 22  $\mu\text{M}$ . (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

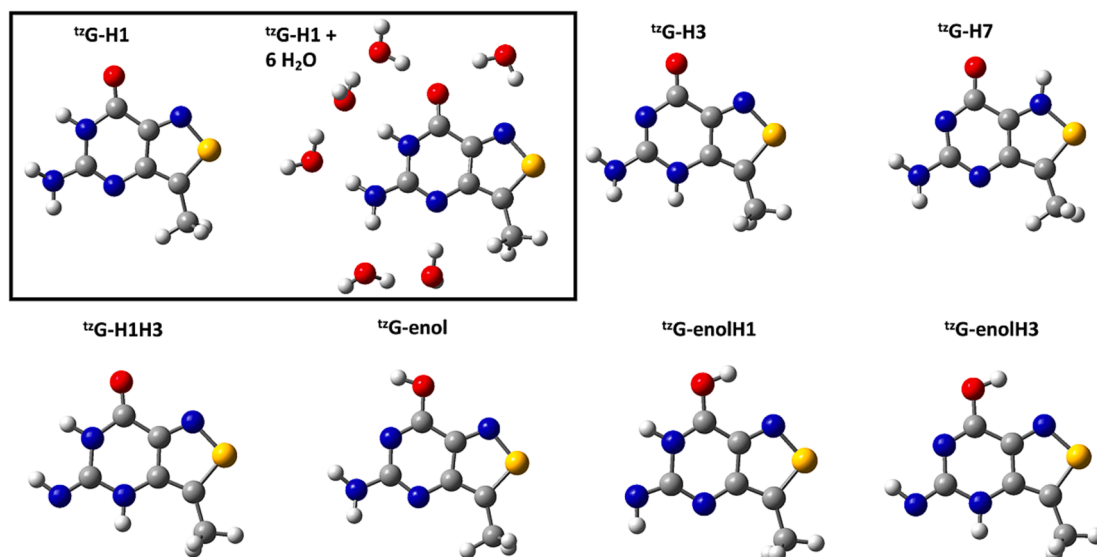


Fig. 5. Schematic drawing of the main tautomers of  $^{12}\text{G}$  with an example of the explicit/implicit solvent model for the  $^{12}\text{G-H1}$  tautomer.

Table 1

Relative stability ( $\Delta\text{G}$  in kcal/mol) of the main tautomers of  $^{12}\text{G}$  according to different computational methods and embedding media.

	CBS-QB3 Free Energy	M052X/6-31+G(d,p)	PBE0/6-31+G(d,p)	M052X/6-31G(d)	PBE0/6-31+G(d)
Gas Phase					
$^{12}\text{G-H1}$	0.0	0	0	0	0
$^{12}\text{G-H3}$	9.8	10.7	10.3	10.2	10.6
$^{12}\text{G-H7}$	23.9	23.7	21.3	25.4	24.2
$^{12}\text{G-Enol}$	3.4	2.9	3.9	4.9	5.9
$^{12}\text{G-H1H3}$	7.3	8.1	7.6	7.9	7.3
$^{12}\text{G-EnolH1}$	31.6	33.1	32.0	34.9	34.0
$^{12}\text{G-EnolH3}$	15.9	16.3	17.0	17.9	18.3
Dioxane					
$^{12}\text{G-H1}$		0.0	0.0		0.0
$^{12}\text{G-H3}$		7.2	8.1	8.8	8.6
$^{12}\text{G-H7}$		19.9	20.1	24.5	22.4
$^{12}\text{G-Enol}$		4.3	5.9	6.7	7.6
$^{12}\text{G-H1H3}$		7.4	8.1	8.3	7.8
$^{12}\text{G-EnolH1}$		32.9	33.0	35.9	35.2
$^{12}\text{G-EnolH3}$		16.7	18.2	19.1	19.8
6 $\text{H}_2\text{O}$					
$^{12}\text{G-H1}$		0.0 (93%)		0.0	
$^{12}\text{G-H3}$		1.6 (7%)		2.8	
$^{12}\text{G-H7}$		12.8 (0%)		12.9	
$^{12}\text{G-Enol}$		6.4 (0%)		11.35	

being, with a few exceptions, smaller than 1 kcal/mol.

Once the accuracy of our DFT estimates was confirmed, we have used this method to study the tautomeric equilibria in dioxane, where the solvent effect has been considered by the PCM model. The relative stability of  $^{12}\text{G-H3}$  in dioxane is higher with respect to the gas phase, whereas that of the  $^{12}\text{G-Enol}$  tautomer is slightly lower (Table 1). Moreover, our calculations suggest that  $^{12}\text{G-H1}$  should be the only ground state tautomer present in dioxane and thus, the main species observed in the excitation and emission spectra. The only other tautomer which could potentially exist is  $^{12}\text{G-Enol}$ , but its contribution should be  $<1\%$ . This conclusion is confirmed by our test calculations considering the ribose ring. As reported in Table S1, the most stable conformer is  $^{12}\text{G-H1}_{\text{anti}}$ , which is close in energy with the syn one, and 4.1 kcal/mol more stable than  $^{12}\text{G-Enol}_{\text{anti}}$  one, which is 0.8 kcal/mol more stable than  $^{12}\text{G-Enol}_{\text{syn}}$ .

**3.1.2.2. Photophysics.** The lowest energy excited state ( $S_1$ ) at Franck-Condon (FC) for  $^{12}\text{G-H1}$  tautomer is associated with a large oscillator strength ( $f = 0.23$ ) and can be described as a  $\pi\pi^*$  excitation with a predominant HOMO  $\rightarrow$  LUMO character (Table 2). This transition,

labelled in the following as  $\pi\pi^*1$ , is associated to the lowest energy absorption band of  $^{12}\text{G}$  in dioxane. Quantitatively, the computed Vertical Absorption Energy (4.33 eV, 286 nm) is blue-shifted by 0.69 eV with respect to the maximum of the lowest energy band of the experimental spectrum (3.64 eV, 341 nm). This discrepancy is partially due to the lack of vibrational effects, [44] whereas the rest depends on the inaccuracy of the chosen computational approach (see Computational details). The  $S_2$ ,  $\sim 0.5$  eV higher in energy, corresponds instead to a dark  $n\pi^*$  state ( $f = 0.00$ ) which can be described as an excitation from the Lone Pair (LP) of the carbonyl oxygen atom (with some contribution of the "N7" LP) to the LUMO  $\pi^*$ . Therefore, we label this excited state as  $n\pi^*1$ . Geometry optimizations of  $S_1$  lead to a stable and strongly emissive  $S_1$  minimum (Vertical Emission Energy = 3.27 eV, 379 nm), where the ring retains an almost planar geometry. The computed Stokes shift for the emission from this minimum is 1 eV,  $\sim 90$  nm, a value in reasonable agreement, considering the lack of vibronic effects, with the experimental one (0.74 eV), supporting the attribution of the main emitting species to the H1 tautomer.

The lowest energy FC excited states for the other tautomers are similar (Table 2) to those described for  $^{12}\text{G-H1}$ , with a bright  $\pi\pi^*$  state

**Table 2**

Main photophysical properties of <sup>12</sup>G tautomers in dioxane. Adiabatic energies (eV) with respect to the corresponding S<sub>0</sub> minimum and associated wavelengths (nm) in parentheses. Adiabatic energies of the excited state minima with respect to the S<sub>0</sub> minimum of <sup>12</sup>G-H1 are in bold. Emission energies (eV) and corresponding wavelengths (nm) in parentheses are in italics. PCM/TD-M052X/6-31 + G(d,p)//M052X/6-31 + G(d,p) level of theory. Oscillator strengths are given in brackets. Experimental wavelengths are in braces.

	<sup>12</sup> G-H1	<sup>12</sup> G-H3	<sup>12</sup> G-H7	<sup>12</sup> G-Enol
S <sub>1</sub>	4.33 [0.23] (286) {341}	4.20 [0.00] (295)	3.25 [0.15] (381)	3.94 [0.21] (315) {369}
S <sub>1</sub> minimum	<b>3.86</b> [0.15] 3.27 (379) {429}	<b>4.22</b> [0.00] 3.45 (359)	<b>3.81</b> [0.10] 2.47 (502)	<b>3.73</b> [0.18] 3.14 (394) {455}
S <sub>2</sub>	4.82 [0.00]	4.70 [0.30]	3.64 [0.00]	4.58 [0.00]
S <sub>2</sub> minimum	<b>4.41</b> [0.01] 3.89 <sup>a</sup> (319)	<b>4.60</b> [0.06] 2.98 (416)	Decay to ππ* <sup>*</sup>	Decay to ππ* <sup>*</sup>
S <sub>3</sub>	5.22 [0.00]	5.35 [0.00]	4.28 [0.00]	5.21 [0.17]
S <sub>4</sub>	5.47 [0.01]	5.53 [0.32]	4.29 [0.12]	5.62 [0.00]
S <sub>5</sub>	5.71 [0.00]	5.73 [0.00]	4.41 [0.00]	5.64 [0.01]
S <sub>6</sub>	5.83 [0.76]	5.80 [0.00]	5.66 [0.01]	5.99 [0.59]

<sup>a</sup> Single point on the minimum optimized at the 6-31G(d) level, since at the 6-31 + G(d,p) level a crossing with S1 is always found.

and a dark LP nπ\* state involving the carbonyl oxygen and/or the nitrogen atoms. However, the energies of ππ\*1 and nπ\*1 and, therefore, their relative stability strongly depend on the tautomer. For <sup>12</sup>G-H3, the dark nπ\*1 state (S<sub>1</sub>, 4.20 eV) is more stable than ππ\*1 (S<sub>2</sub>, 4.70 eV) (Table 2). Moreover, in the FC region the ππ\*1 transition in <sup>12</sup>G-H7 and <sup>12</sup>G-Enol is significantly red-shifted with respect to <sup>12</sup>G-H1. It is, thus, not surprising that when optimizing the geometry of ππ\*1 for the <sup>12</sup>G-H7 and <sup>12</sup>G-Enol, the resulting minimum is more stable than that of <sup>12</sup>G-H1, by ~0.1 eV for both the <sup>12</sup>G-Enol and <sup>12</sup>G-H7 (see their absolute energy with respect to the <sup>12</sup>G-H1 S<sub>0</sub> minimum, reported in bold in Table 2).

Interestingly, the computed Vertical Absorption Energy (3.94 eV, 315 nm) of <sup>12</sup>G-enol is red-shifted by ~50 nm (0.6 eV) with respect to the experimental absorption maximum (3.35 eV, 369 nm) of the minor red-shifted absorption species. In addition, a 60 nm (0.4 eV) shift can be seen between the computed Vertical Emission Energy (3.14 eV, 394 nm) of <sup>12</sup>G-enol and the experimental emission maximum (2.72 eV, 455 nm) of the minor red-shifted absorption species. Since both shifts are comparable to those observed for the major H1 tautomer, the minor red-shifted species may be attributed to the <sup>12</sup>G-enol tautomer. This assignment is also supported by the agreement between the calculated (Table 1) and experimental (Fig. 2A) percentages of this tautomer in the ground state. This picture also holds when the ribose is included in the computational model (Table S1). However, as detailed in the SI, the emissive properties of the minima show some dependence on the syn/anti equilibrium of the ring, which affects the planarity of the minima (Fig. S6).

Finally, we have estimated the fluorescence radiative lifetime (τ<sub>rad</sub><sup>comp</sup>) of the H1 tautomer, based on State Specific PMC-TD-M052X calculations, which should more accurately describe the emission energies. We obtained τ<sub>rad</sub><sup>comp</sup> = 15.8 ns, fully consistent with the experimental value of τ<sub>rad</sub> = τ<sub>2</sub>/φ = ~20 ns, considering that this tautomer is by far, the main emitting species.

**Non-radiative decay paths.** We next characterized the main non-radiative decay channels of the lowest energy bright state. The main paths involve (i) decay to the ground electronic state (S<sub>0</sub>) through a conical intersection (CI), (ii) population transfer to a dark, non-emissive singlet electronic state and (iii) population transfer to a triplet electronic state.

For the first path, considering that TD-DFT is not the most suitable method to locate the CI with the ground electronic state, we integrated our analysis with CASPT2 calculations to provide an additional check for the TD-DFT picture. CASPT2 predicts that the lowest energy S<sub>1</sub>/S<sub>0</sub> CI involves the loosening of the N7-S bond, leading to what in the following we label as Ring-Opening CI (RingOp-CI in Fig. 6 and SI). In addition to this degeneracy region, we explored two additional possible crossing regions between ππ\*1 and S<sub>0</sub>. One, labelled oopS in the following, is

characterized by an out-of-plane S atom and resembles the CI reported for <sup>11</sup>G. [21] The third one, marked as nh2, displaces the C2 and its NH<sub>2</sub> substituent out of the plane and is the well-known lowest energy CI for guanine. [45] As discussed in the SI, the two most effective decay channels involve the oopS-CI and the Ring Op-CI, the latter being characterized by a vanishingly small energy barrier. After the crossing with the S<sub>0</sub> surface, the system recovers its minimum, reforming the initial NS bond, in line with the photostability of <sup>12</sup>G (data not shown). M052X provides a picture very close to that of CASPT2, supporting the reliability of the analysis we have performed for H1 and enol tautomers in dioxane.

As depicted in Fig. 7, in dioxane we obtain a picture similar to that found in the gas phase (Figs. S7 and S8). A quite substantial energy barrier (0.6 eV) separates the minima of the ππ\* state from the oopS crossing region. In contrast, the access to the Ring Op region is extremely easy, especially for H1 tautomer, for which the energy barrier is 0.04 eV. This value increases to 0.07 eV, when the larger aug-cc-pvtz basis set is used. Interestingly, the energy barrier towards Ring Op-CI region is larger for the enol tautomer (0.25 eV at the aug-cc-pvtz level), which is consistent with our proposal that this tautomer is more emissive. For the second path, for both the H1 and enol tautomers, the population transfer to the closest nπ\* state is expected to be marginal, considering the large energy gap (>0.5 eV) existing in the FC region. Concerning the third path related to triplet population, the H1 tautomer has two lowest lying triplet states with modest spin-orbit coupling (SOC < 5 cm<sup>-1</sup>) at the position of the ππ\*1 minimum. In contrast, the close lying state T<sub>3</sub> (+0.6 eV) shows significant SOC (24 cm<sup>-1</sup>). The energy gap with the triplet states is similar for the enol minimum but with smaller SOC values (<1 cm<sup>-1</sup> with T<sub>1</sub> and T<sub>2</sub> and 16 cm<sup>-1</sup> with T<sub>3</sub>).

Summarizing the experimental and computational analyses, it can be inferred that the keto-amino H1 tautomer is the major tautomer in dioxane. It exhibits an emission maximum at 428 nm. The relatively low φ (0.10) of <sup>12</sup>G in dioxane can be explained for the H1 tautomer by the existence of an easily accessible path to the ground state, involving the loosening of the NS bond. The minor red-shifted tautomer with an emission maximum at about 455 nm was identified as the enol tautomer. Our calculations do not provide any definitive indication for the identity of the short-lived lifetime observed in the time-resolved data. One possible explanation is related to the different sugar conformers (syn/anti, without considering the different possible tetrahydrofuran ring conformations) that have slightly different photophysical properties and could display different lifetimes. Alternatively, the different regions of the PES (e.g. the non-planar structures with respect to the planar ones) may have slightly different photophysical properties. As a result, a wave-packet exploring different regions of the PES could lead to different lifetimes.



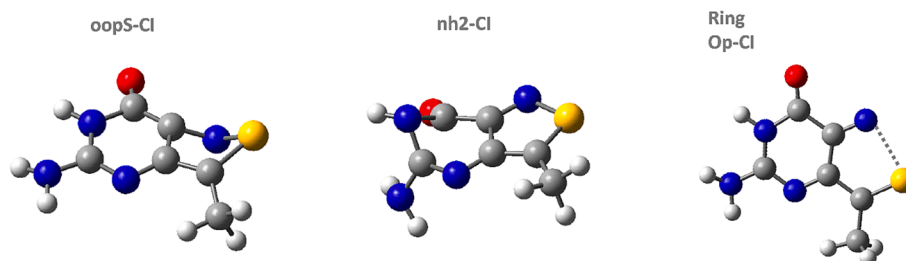


Fig. 6. Three different  $S_1/S_0$  potential crossing regions investigated in this study.

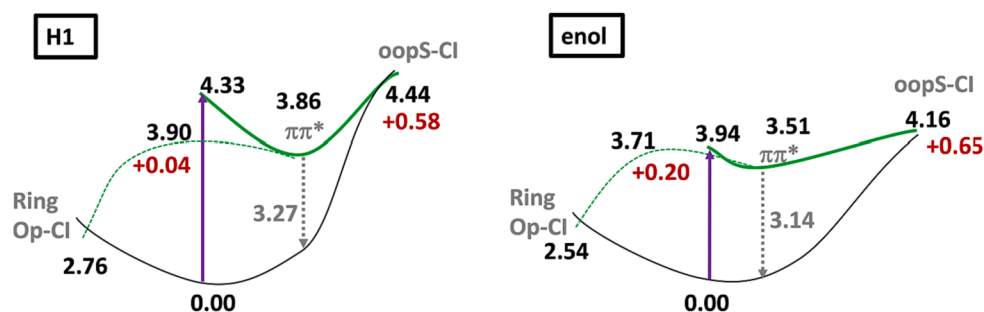


Fig. 7. Potential Energy Surfaces in dioxane. PCM/TD-M052X/6–31 + G(d,p) calculations. Adiabatic Energies in black, vertical energies in gray and barriers in red (eV). The dashed green curve schematically depicts the path connecting the minima of the  $\pi\pi^*$  state with the RingOp-Cl. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

### 3.2. Photophysical properties of ${}^{\text{t}}\text{zG}$ in protic solvents

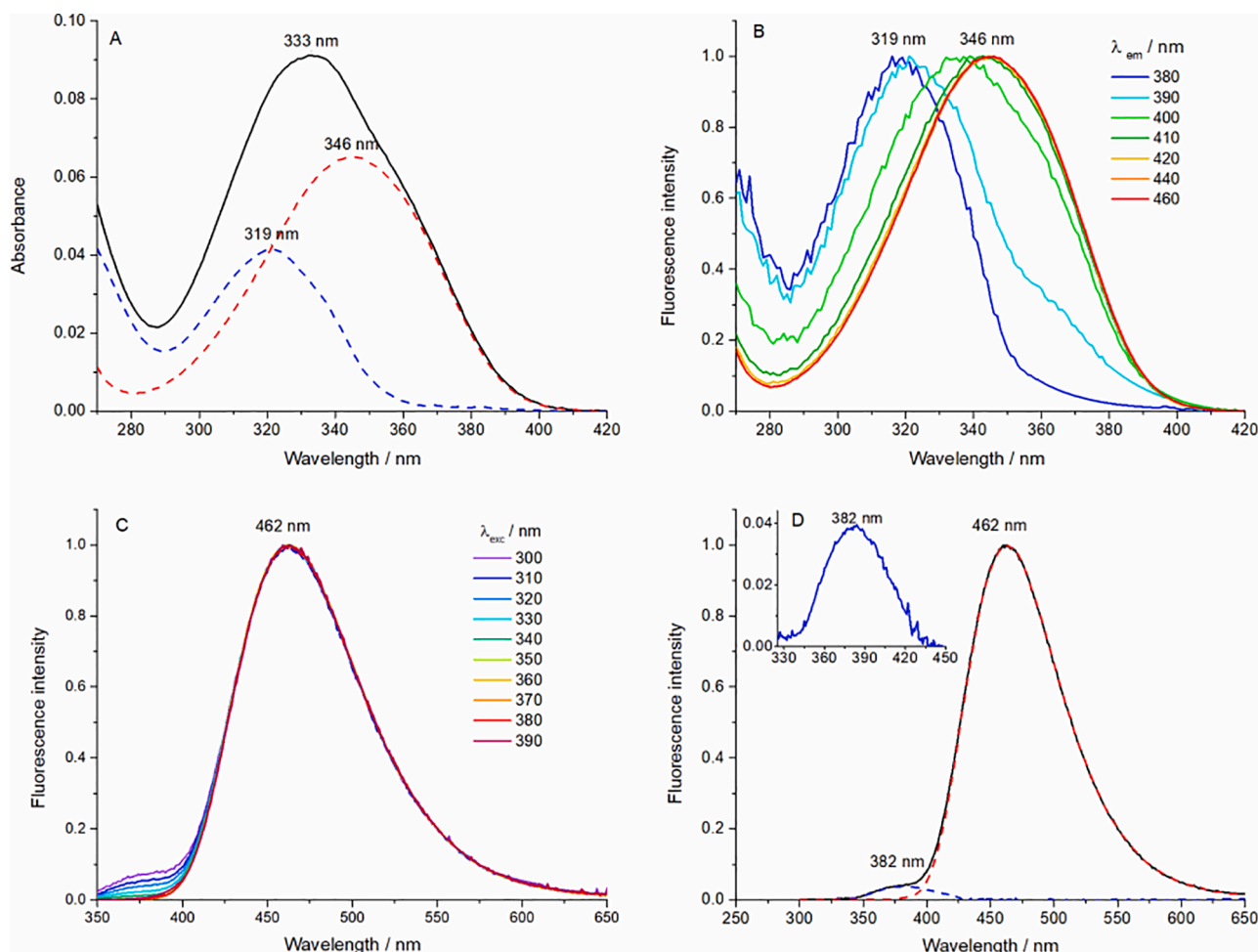
#### 3.2.1. Spectroscopic studies

**3.2.1.1. Steady-state fluorescence studies.** The absorption spectrum of  ${}^{\text{t}}\text{zG}$  in aqueous buffer at pH 7 shows a maximum at  $\lambda_{\text{abs,max}} = 333$  nm, but is clearly not symmetric, exhibiting a shoulder in its red edge (Fig. 8A). In line with this observation, the excitation spectra recorded at different emission wavelengths showed a progressive shift in their maximum from 319 nm to 346 nm (Fig. 8B), indicating that multiple species coexist in the ground state. By analogy with the parent  ${}^{\text{th}}\text{G}$  nucleoside, we hypothesized that there are two tautomers in equilibrium. Since the red-shifted excitation spectra fully overlapped for  $\lambda_{\text{em}} \geq 420$  nm, we assumed that they corresponded to the spectrum of one of the two tautomers and used it for deconvoluting the absorption spectrum. By subtracting the red-shifted excitation spectrum normalized to the red-edge part of the absorption spectrum, we obtained a blue-shifted band with  $\lambda_{\text{abs,max}} = 319$  nm (Fig. 8A) that overlapped well with the excitation spectrum recorded at  $\lambda_{\text{em}} = 380$  nm (Fig. 8B). The absorption maxima of the two  ${}^{\text{t}}\text{zG}$  tautomers [ $\lambda_{\text{abs,max}} = 319$  nm and 346 nm (Fig. 8A)] corresponded quite well to the maxima of the two previously characterized  ${}^{\text{th}}\text{G}$  tautomers ( $\lambda_{\text{abs,max}} = 313$  and 334 nm), [14] suggesting that they could correspond to the H3 and H1 keto-amino tautomers, respectively. By assuming that the molar absorption coefficient of the H1 tautomer in buffer at pH 7 is similar to that in dioxane ( $4650 \text{ M}^{-1}\text{cm}^{-1}$ ) [11] where the H1 tautomer is largely dominant (see above), we calculated the concentration of the H1 tautomer from the deconvoluted absorption spectrum (Fig. 8A) and thus, deduced the concentration and molar absorption coefficient for the H3 tautomer ( $9196 \text{ M}^{-1}\text{cm}^{-1}$ ). Based on these data, the H1/H3 concentration ratio was inferred to be 3/1. Comparison of the absorption spectra of the two tautomers (Fig. 8A) further revealed that the H1 tautomer can be selectively excited at wavelengths  $\geq 360$  nm.

Emission spectra recorded at  $\lambda_{\text{exc}} \geq 360$  nm provided a single emission band centered at  $\lambda_{\text{em,max}} = 462$  nm (Fig. 8C), close to the  $\lambda_{\text{em,max}}$  value (470 nm) of the  ${}^{\text{th}}\text{G}$  H1 tautomer, strengthening the assignment of the red-shifted absorption band to the H1 tautomer. In contrast

to  ${}^{\text{th}}\text{G}$ , excitation at  $\lambda_{\text{exc}} < 360$  nm did not change the emission maximum, but led to a small shoulder with  $\lambda_{\text{em,max}} = 382$  nm, close to that of  ${}^{\text{th}}\text{G}$  H3 tautomer (400 nm). The intensity of this small peak was the highest at  $\lambda_{\text{exc}} = 320$  nm, where absorbance of the H3 tautomer is the highest. This small peak was, thus, attributed to the emission of the H3 tautomer. The low emission of this tautomer is in variance with  ${}^{\text{th}}\text{G}$ , where the H3 and H1 tautomers have similar brightness values. The  $\phi$  value was observed to increase with  $\lambda_{\text{exc}}$  in the range 280–360 nm, reaching a constant value of  $0.36 \pm 0.01$  at  $\lambda_{\text{exc}} \geq 360$  nm (Fig. 9). Since the absorption of the blue tautomer is negligible above 360 nm, this value corresponds to the  $\phi$  of the H1 tautomer. A  $\phi$  value of  $< 0.01$  was estimated for the H3 tautomer from the inset of Fig. 8D, using 2-aminopurine (2AP) as a standard ( $\phi = 0.68$ ). While both  ${}^{\text{th}}\text{G}$  tautomers have similar  $\phi$  (0.50), the  $\phi$  values of the two  ${}^{\text{t}}\text{zG}$  tautomers differ by two orders of magnitude.

The spectroscopic properties of  ${}^{\text{t}}\text{zG}$  were also characterized in methanol (Fig. S9). As in buffer, the deconvolution of the absorption spectrum (Fig. S9A) and the excitation spectra (Fig. S9B) highlighted the presence of two ground-state species with similar absorption/excitation maxima to those observed in buffer. Consequently, the two species were attributed to H1 and H3 tautomers (Fig. S9A). Assuming that the molar absorption coefficient of the H1 tautomer in methanol is similar to that in dioxane ( $\epsilon = 4650 \text{ M}^{-1}\text{cm}^{-1}$ ) [11], the calculated percentage of  ${}^{\text{t}}\text{zG}$ -H3 in methanol was decreased by half compared to buffer, representing only 13% of the total species present in solution. This decrease of the H3 tautomer percentage with solvent proticity suggested that, similar to  ${}^{\text{th}}\text{G}$ , the H3 tautomer of  ${}^{\text{t}}\text{zG}$  is stabilized by H-bonding. Deconvolution of the emission spectra of  ${}^{\text{t}}\text{zG}$  in methanol (Fig. S9C) showed, as in aqueous buffer, a major contribution of the H1 tautomer centered at  $\lambda_{\text{em,max}} = 455$  nm and a very minor contribution of the H3 tautomer centered at  $\lambda_{\text{em,max}} = 382$  nm (Fig. S9D). The emission maxima of  ${}^{\text{t}}\text{zG}$  H1 and H3 tautomers are close to those of  ${}^{\text{th}}\text{G}$ -H1 (458 nm) and  ${}^{\text{th}}\text{G}$ -H3 (390 nm) tautomers in methanol. As expected from the environmental sensitivity of  ${}^{\text{t}}\text{zG}$ , the emission of the H1 tautomer was blue-shifted by 7 nm for methanol as compared to buffer, indicating positive solvatochromism. As for buffer, the  $\phi$  of  ${}^{\text{t}}\text{zG}$  in methanol (Fig. 9) grew with  $\lambda_{\text{exc}}$  and reached a constant value ( $0.24 \pm 0.01$ ) at  $\lambda_{\text{exc}} \geq 360$  nm, which thus corresponds



**Fig. 8.** Absorption, excitation and emission spectra of  $^{12}\text{G}$  in Hepes 20 mM buffer pH 7.0. (A) Absorption spectrum of  $^{12}\text{G}$  (black solid line) and its deconvolution (dashed lines). The red-shifted spectrum (red dashed line) was obtained by normalizing the excitation spectrum obtained at  $\lambda_{\text{em}} = 460$  nm to the red edge of the absorption spectrum. The blue-shifted spectrum (blue dashed line) was obtained by subtracting the red-shifted spectrum from the experimental absorption spectrum. (B) Normalized excitation spectra recorded at different emission wavelengths. (C) Normalized emission spectra recorded at different excitation wavelengths. (D) Emission spectra of  $^{12}\text{G}$  recorded at  $\lambda_{\text{exc}} = 320$  nm (black line) and 380 nm (red dashed line). The two spectra were normalized at their emission maximum (462 nm). The small blue-shifted spectrum (blue dashed line) with  $\lambda_{\text{em,max}} = 382$  nm was obtained by subtracting the red spectrum from the black one. Inset: highlight of the blue-shifted emission spectrum.  $[^{12}\text{G}] = 18.72 \mu\text{M}$ . (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

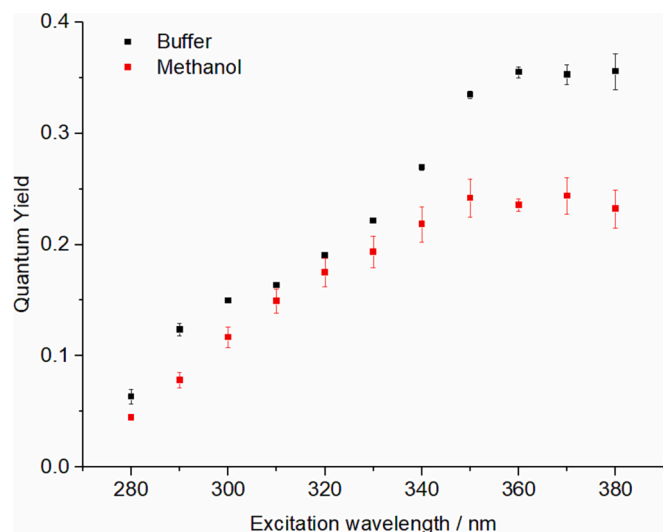
to the  $\phi$  of the H1 tautomer. Due to its very low emission, the  $\phi$  value of the H3 tautomer could not be accurately determined but was estimated to be below 0.01.

**3.2.1.2. Time-resolved fluorescence studies.** Time-resolved experiments were performed on  $^{12}\text{G}$  in buffer and methanol using  $\lambda_{\text{exc}} = 350$  nm and  $\lambda_{\text{em}}$  ranging from 410 nm to 550 nm (Fig. 10A, Fig. S10A). The  $\chi^2$  values and distribution of residuals showed that a two-exponential function provided an optimal global fit of the intensity decays of  $^{12}\text{G}$  in buffer and methanol (Fig. 10B, Fig. S10B). Optimal fits were obtained with lifetimes of  $0.27(\pm 0.06)$  and  $8.84(\pm 0.26)$  ns in water, and  $0.28(\pm 0.06)$  and  $6.12(\pm 0.39)$  ns in methanol. In both media, the amplitude of the long-lived lifetime  $\tau_2$  increased with  $\lambda_{\text{em}}$ , reaching a plateau at  $\lambda_{\text{em}} \geq 480$  nm (Fig. 10C and S10C). Conversely, the amplitude of the short-lived lifetime  $\tau_1$  dropped to zero at  $\lambda_{\text{em}} \geq 480$  nm. Since at  $\lambda_{\text{exc}} = 350$  nm, mainly the H1 tautomer absorbs,  $\tau_2$  can be attributed to the H1 species with higher  $\phi$ . The value of  $\tau_2$  is shorter by 1/3 in methanol, which matches with the decrease in  $\phi$  of the H1 tautomer in methanol as compared to buffer. The  $\tau_1$  component is mainly present in the blue part of the emission spectrum, and can thus be associated to the H3 species

excited to a small extent at  $\lambda_{\text{exc}} = 350$  nm (Fig. 8A). Both in buffer and methanol, the DAS of  $\tau_2$  almost completely overlapped with the steady-state spectrum (Fig. S11A and B), in agreement with the deconvolution of the emission spectra in Fig. 8D and S9D. The DAS of the  $\tau_1$  component was too negligibly small to allow a proper comparison with the spectrum of the H3 tautomer in the inset of Fig. 8D and S9D. Nevertheless, its blue shift and its fluorescence lifetime (nearly two orders of magnitude shorter than that of the H1 tautomer) are fully consistent with the assignment of this spectrum to the H3 tautomer.

### 3.2.2. Quantum mechanical calculations

**3.2.2.1. Tautomerization equilibria in the ground state.** The H1 tautomer of  $^{12}\text{G}$  is predicted to be the most stable also in water (Table 1). However, in this medium  $^{12}\text{G}$ -H3 exhibits a similar stability, being only 1.5 kcal/mol less stable at the M052X/6–31+G(d,p) level. Considering that, at this level of theory, the relative stability of  $^{12}\text{G}$ -H3 tautomer is underestimated by 0.9 kcal/mol with respect to CBS-QB3 in the gas phase, we can estimate that the energy difference between the two tautomers is  $< 1$  kcal/mol, making the population of  $^{12}\text{G}$ -H3 in water significant, in full



**Fig. 9.**  $\phi$  values of  $^{12}\text{G}$  in buffer and methanol at different excitation wavelengths. The  $\phi$  values are represented as means  $\pm$  standard error of the mean for three experiments in Hepes buffer, pH 7.0 (black squares) and two experiments in methanol (red squares). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

agreement with the experimental data.

**3.2.2.2. Photophysics.** In water (Table 3), the lowest energy excited states in the FC region are qualitatively very similar to those described in dioxane. The main difference concerns the relative stability of  $n\pi^*$  for  $^{12}\text{G-H3}$ , whose excitation energy blue shifts to 4.90 eV (Table 3). Confirming the trends known for the natural nucleobases, [38 39] this  $n\pi^*$  transition is thus destabilized by solute–solvent hydrogen bonds. The minima optimized for the different tautomers in water are similar to those found in dioxane, but the computed emission energies exhibit significant solvent shifts. Interestingly, the emission maximum

computed for the most stable tautomer ( $^{12}\text{G-H1}$ ) is red-shifted in water with respect to dioxane, in agreement with the experimental data. Moreover, our calculations indicate that the emission of the minor tautomer ( $^{12}\text{G-H3}$ ) is blue-shifted by  $\sim 0.5$  eV with respect to that of  $^{12}\text{G-H1}$ , supporting the hypothesis that the small shoulder in the fluorescence spectrum in water is associated with  $^{12}\text{G-H3}$ .

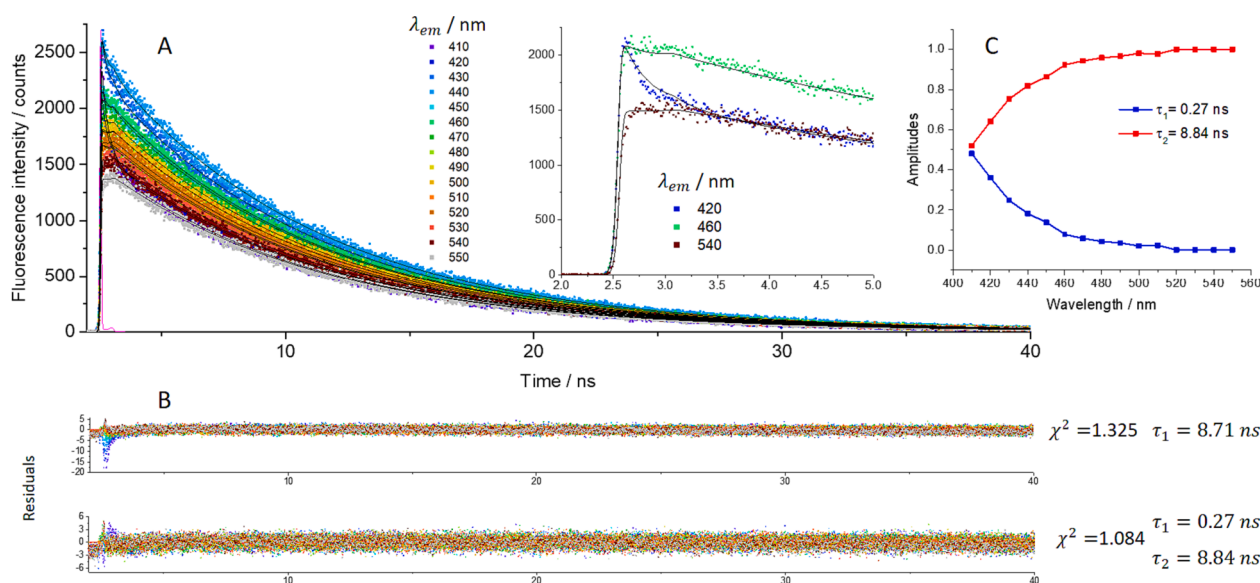
Resorting to State Specific PCM-TD-M052X calculations, we have estimated the fluorescence radiative lifetime ( $\tau_{\text{rad}}^{\text{comp}}$ ) of H1 and H3, the most populated tautomers in water. We have obtained  $\tau_{\text{rad}}^{\text{comp}} = 12.7$  ns and 7.3 ns for H1 and H3, respectively. Considering that the M052X computed emission energies are overestimated with respect to the experimental ones, the value estimated for H1 is in a reasonable agreement with the experimental one,  $\tau_{\text{rad}} = \tau_2/\phi = \sim 24$  ns.

**Non-radiative decay paths.** We have mapped the main non-radiative decay paths, focusing on the H1 and H3 tautomers populated in water. For the decay of H1 to  $S_0$  we obtain a pathway close to that described in dioxane (Fig. 11).

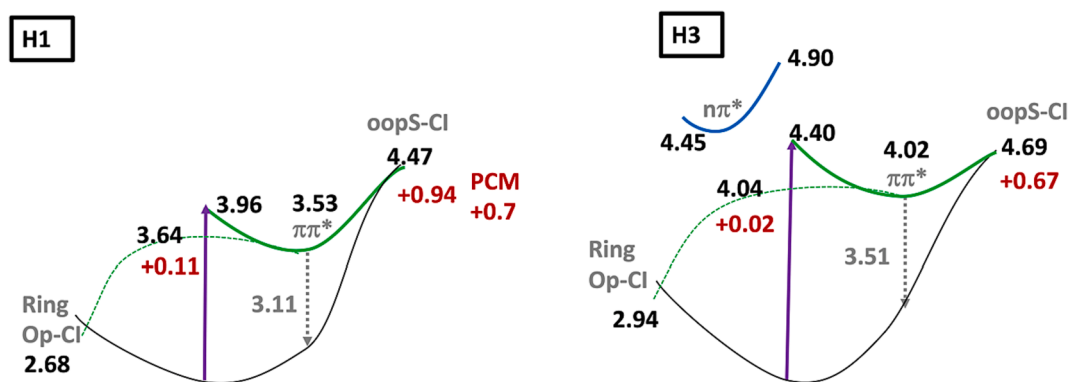
**Table 3**

Main photophysical properties of  $^{12}\text{G}$  tautomers in water solution. Adiabatic energies (eV) with respect to the corresponding  $S_0$  minimum and associated wavelengths (nm) in parentheses. Adiabatic energies of the excited state minima with respect to the  $S_0$  minimum of  $^{12}\text{G-H1}$  are in bold. Emission energies (eV) and corresponding wavelengths (nm) in parentheses are in italics. PCM/TD-M052X/6–31 + G(d,p)//M052X/6–31 + G(d,p) level of theory. Oscillator strengths are given in brackets. Experimental wavelengths are in braces.

	$^{12}\text{G-H1}$	$^{12}\text{G-H3}$	$^{12}\text{G-H7}$	$^{12}\text{G-Enol}$
S1	4.06 [0.19] (305) {346}	4.52 [0.27] (274) {319}	3.58 [0.19] (346)	3.88 [0.19] (320)
S1 minimum	<b>3.53</b> [0.25]	<b>4.08</b> [0.29]	<b>3.73</b> [0.21]	<b>3.69</b> [0.25]
	3.11 (399) {462}	3.51 (352) {382}	2.74 (452)	2.96(419)
S2	5.08 [0.00]	4.90 [0.00]	4.38 [0.00]	4.80 [0.00]
S2 minimum		<b>4.51</b> (0.00)		
		3.57 (347)		
S3	5.39 [0.03]	5.39 [0.32]	4.80 [0.15]	5.31 [0.16]



**Fig. 10.** Time-resolved emission decays of  $^{12}\text{G}$  in buffer. (A) The decays were recorded over the emission spectrum of  $^{12}\text{G}$ , using  $\lambda_{\text{exc}} = 350$  nm. The experimental data (squares) were fitted by the global fit method using a two-exponential function (black lines). The experimental IRF (magenta line) was used for the fit. Inset: highlight of the intensity decays and their fits in the first channels for three  $\lambda_{\text{em}}$  values. (B) Distribution of residuals,  $\chi^2$  and lifetime values for a one- and two-exponential fit. While the  $\chi^2$  value is only slightly improved by using a two-exponential fit as compared to a one-exponential fit, a significant improvement in the distribution of the residuals was observed especially, for the first channels. (C) Wavelength dependence of the amplitudes of the fluorescence lifetimes obtained by a two-exponential fit of the decays in (A). [ $^{12}\text{G}$ ] = 20.5  $\mu\text{M}$ , buffer: Hepes 20 mM at pH = 7. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



**Fig. 11.** Potential Energy Surfaces in water. PCM/TD-M052X/6-31+G(d,p) calculations on the model containing 6 water molecules. PCM means the values obtained including only bulk solvent effects. Adiabatic Energies in black, vertical energies in gray and barriers in red (eV). The dashed green curve schematically depicts the path connecting the minima of the  $\pi\pi^*$  state with the Ring Op-Cl. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

The paths leading to the Ring Op and the oopS crossing regions described in the gas phase and dioxane are also present in water. The energy gaps between the  $\pi\pi^*$  minima and oopS-CI are clearly larger (+0.6 eV for H3 and 0.9 for H1) compared to gas phase (+0.3 for both tautomers), making this deactivation route very unlikely. We have also performed a test only with PCM, i.e. without water molecules, and obtained +0.7 eV. Consequently, it is likely that also in water the most accessible non-radiative decay path provides for the NS bond to elongate on the  $S_1$  surface (bond length > 2.3 Å), before reforming after the crossing with  $S_0$ . However, the energy barrier computed in water for H1 is more than twice as large as that found in dioxane (0.11 eV and 0.17 eV with the 6-31G(d) and the aug-cc-pvtz basis sets, respectively). For the H3 tautomer, the path leading to the Ring Op is easier than for H1, and the associated energy barrier is extremely small (0.02 eV with the 6-31G (d), 0.07 eV with the aug-cc-pvtz basis set) with the maximum of the barrier (Fig. S12) very close to the  $\pi\pi^*$  minimum, suggesting that this path provides an effective deactivation route. Moreover, for  $^{12}\text{C}$ -H3, despite the destabilization of  $n\pi^*$  due to solute-solvent hydrogen bonds, the energy gap to  $\pi\pi^*$  (0.25–0.4 eV, depending on the basis set) is sufficiently small to make its population possible, if vibronic and thermal effects were considered. Finally, as discussed in the SI, the triplet electronic state is predicted to be more effectively populated for  $^{12}\text{C}$ -H3 than for  $^{12}\text{C}$ -H1 tautomer, since the Spin-Orbit Coupling is, on the average, larger for the former.

Our calculations therefore suggest that the main non-radiative decay pathway of  $^{12}\text{C}$ -H1, namely the elongation of the NS bond in the Ring Op region, is less efficient in water than in dioxane, explaining the higher  $\phi$  value in water. This pathway is expected to be much more efficient for  $^{12}\text{C}$ -H3. As for the latter, the other possible non-radiative paths to non-emissive states are also more favored than for  $^{12}\text{C}$ -H1, since the dark  $n\pi^*$  state is closer to the bright one and the coupling with triplet states is larger. These factors account of the very low  $\phi$  value measured for  $^{12}\text{C}$ -H3.

#### 4. Conclusion

In this study we integrated steady-state and time-resolved fluorescence spectroscopy with QM calculations to characterize the photophysics of  $^{12}\text{C}$ , a promising fluorescent guanosine surrogate, in a set of four solvents of different polarity and proticity. Our results confirm that  $^{12}\text{C}$  has all the features of a suitable DNA/RNA fluorescent probe. Besides being perfectly isosteric with G, the absorption and emission spectra are substantially red-shifted relative to the natural nucleobases and amino acids, facilitating its selective excitation for investigating NA/protein interactions. Moreover, comparison of the data of  $^{12}\text{C}$  in water and methanol shows that a decrease in the H-bonding ability of the solvent

results in a substantial decrease in both the fluorescence quantum yield and lifetime, whereas an even more dramatic decrease in these parameters is observed for low polarity non-hydrogen bonding solvents such as 1,4-dioxane and ethyl acetate. Therefore,  $^{12}\text{C}$  appears as a sensitive probe of the polarity and H-bonding ability of the embedding medium.

Furthermore, our integrated investigation revealed that  $^{12}\text{C}$  exhibits complex photophysics, which can be used as an additional source of information for its immediate environment. Indeed, depending on the solvent, different tautomers, identified with the help of QM calculations, can coexist at room temperature. Whilst the H1 keto-amino tautomer is dominant both in apolar aprotic and polar protic solvents, an additional enol tautomer is apparent in the former solvents and H3 keto-amino tautomer in the latter. Interestingly, all these tautomers have different spectral properties. When compared to H1, the enol tautomer shows red-shifted absorption and emission along with a long-lived fluorescence lifetime. The H3 tautomer, instead, shows blue-shifted absorption and emission, along with very low emission quantum yield ( $<10^{-2}$ ) and short fluorescence lifetime (0.3 ns). Since the equilibria between tautomers are highly dependent on the solvent, changes in these equilibria should be observed for any investigated phenomenon that alters  $^{12}\text{C}$ 's environment and thus, could be used as an additional information channel.

It is also interesting to compare the behavior of  $^{12}\text{C}$  with that of  $^{13}\text{C}$ , which has been thoroughly investigated, both as a 'free' nucleotide and when incorporated into DNA. [10 12 13 46 21 15 47] While  $^{13}\text{C}$  differs from  $^{12}\text{C}$  by the substitution of the N7 atom with a CH group, there are several similarities between these two probes. They notably show similar absorption and emission maxima. Moreover, for both probes, the H1 keto-amino tautomer is the most stable tautomer in polar hydrogen bonding and in non-polar aprotic solvents. Additionally, for both  $^{12}\text{C}$  and  $^{13}\text{C}$  a 'blue-shifted' tautomer, H3, is populated in water, but disappears in aprotic solvents. Our study also highlights significant differences in the photophysics of  $^{12}\text{C}$  and  $^{13}\text{C}$ . The most important one involves the existence of an additional non-radiative decay channel for  $^{12}\text{C}$ , involving the elongation of the NS bond. This deactivation route is highly impacted by solvent and responsible for the lower and more solvent-sensitive  $\phi$  values for the H1 tautomer of  $^{12}\text{C}$  compared to  $^{13}\text{C}$ . More strikingly, whilst  $^{13}\text{C}$ -H3 exhibits  $\phi$  similar to that of  $^{13}\text{C}$ -H1 in water and methanol (in line with the indications of the QM calculations), the  $\phi$  value of  $^{12}\text{C}$ -H3 is two orders of magnitudes smaller than that of  $^{12}\text{C}$ -H1 as a result of the almost barrierless pathway of the  $\pi\pi^*$  minimum to the CI or the dark  $n\pi^*$  state and the larger coupling with triplet states. It is thus clear that the mere substitution of the CH group with the N atom, and therefore of the CS with the weaker NS bond, has a significant effect on the photophysics of  $^{12}\text{C}$ . Another difference between these two G analogues concerns the additional  $^{12}\text{C}$ -enol tautomer, red-shifted with



respect to  $^{12}\text{G-H1}$ , which is instead much less stable for  $^{\text{th}}\text{G}$ . Interestingly,  $^{12}\text{G-enol}$  is more emissive than  $^{12}\text{G-H1}$ .

Overall, although  $^{12}\text{G}$  is somewhat less bright than  $^{\text{th}}\text{G}$ , its distinct deactivation pathways give it a much higher sensitivity to the polarity and H-bonding properties of its surroundings. This higher sensitivity can be seen from the values of its quantum yield and main fluorescence lifetime which drop by  $\sim 30\%$  in methanol and  $\sim 75\%$  in dioxane compared to water. In contrast, the values of the corresponding parameters of  $^{\text{th}}\text{G}$  show only limited fluctuations between these three solvents with even the same  $\phi$  value in water and dioxane. [21] This profound environmental sensitivity of  $^{12}\text{G}$  could potentially be exploited for studying guanine nucleotide-binding proteins, that act as molecular switches in numerous cellular pathways. [48] The functions of G proteins rely on their ability to bind to and hydrolyze guanosine triphosphate (GTP) to guanosine diphosphate (GDP). Therefore, being isostructural and isofunctional to G,  $^{12}\text{G}$  in its triphosphate form should allow a sensitive monitoring of these steps, as well as conformational changes and subsequent molecular events. Similarly, when incorporated in oligonucleotides,  $^{12}\text{G}$  is expected to exhibit a more sensitive response than  $^{\text{th}}\text{G}$  upon perturbation of its immediate environment, imposed by protein binding or local conformational changes. Another factor that may contribute to a more sensitive response of  $^{12}\text{G}$  compared to  $^{\text{th}}\text{G}$  when incorporated into oligonucleotides is the very low  $\phi$  value of its H3 tautomer. Assuming that the equilibrium between the two  $^{12}\text{G}$  tautomers in single-stranded oligonucleotides is comparable to that of the free monomer while the H1 tautomer is strongly favoured in DNA duplexes as for  $^{\text{th}}\text{G}$ , [14] we should observe a stronger fluorescence change upon single-stranded to double-stranded transition for  $^{12}\text{G}$ -labeled oligonucleotides compared to  $^{\text{th}}\text{G}$ -labeled ones.

#### CRediT authorship contribution statement

**Olha Tkach:** Writing – original draft, Methodology, Investigation, Formal analysis, Data curation. **Lara Martinez-Fernandez:** Visualization, Software, Methodology, Investigation, Formal analysis, Data curation. **Nicolas Humbert:** Supervision, Methodology, Investigation, Data curation. **Ludovic Richert:** Methodology, Investigation, Formal analysis, Data curation. **Dmytro Dziuba:** Writing – review & editing, Methodology. **Pascal Didier:** Software, Methodology, Formal analysis. **Yitzhak Tor:** Writing – review & editing, Resources, Methodology, Conceptualization. **Roberto Improta:** Writing – review & editing, Supervision, Software, Methodology, Formal analysis, Conceptualization. **Yves Mély:** Writing – review & editing, Writing – original draft, Validation, Supervision, Funding acquisition, Conceptualization.

#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Data availability

Data will be made available on request.

#### Acknowledgements

This work and O.T. were supported by the Agence Nationale de la Recherche (ANR GQFluodymint), the Labex NIE, the Région Grand-Est (EpiRNA project) and the Centre National de la Recherche Scientifique (CNRS). Y. M. is grateful to the Institut Universitaire de France (IUF) for support and providing additional time to be dedicated to research. RI thanks financial support from CN3, National Center for Gene Therapy and Drugs based on RNA technology, funded by the European Union-NextGenerationEU-PNRR. RI also thanks the CNR program “Progetti di Ricerca @cnr”, project UCATG4, and Nutrage FOE 2022 for financial

support. L. M.F. thanks the CCC-UAM for generous allocation of computing time and the Madrid Government (Comunidad de Madrid-Spain) under the Multiannual Agreement with Universidad Autónoma de Madrid in the line Support to Young Researchers, in the context of the V PRICIT (Regional Programme of Research and Technological Innovation) (SI3/PJI/2021-00331).

#### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jphotochem.2023.115075>.

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