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## ***HOTAIRM1* lncRNA is downregulated in clear cell renal cell carcinoma and inhibits the hypoxia pathway**

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### **Abstract**

*HOXA Transcript Antisense RNA, Myeloid-Specific 1 (HOTAIRM1)* is a conserved long non-coding RNA (lncRNA) involved in myeloid and neural differentiation that is deregulated in acute myeloid leukemia and other cancers. Previous studies focused on the nuclear unspliced *HOTAIRM1* transcript, however cytoplasmic splice variants exist whose roles have remained unknown. Here, we report novel functions of *HOTAIRM1* in the kidney. *HOTAIRM1* transcripts are induced during renal lineage differentiation of embryonic stem cells and required for expression of specific renal differentiation genes. We show that the major *HOTAIRM1* transcript in differentiated cells is the spliced cytoplasmic *HMI-3* isoform and that *HMI-3* is downregulated in >90% of clear cell renal cell carcinomas (ccRCCs). Knockdown of *HMI-3* in renal cells deregulates hypoxia-responsive and angiogenic genes, including *ANGPTL4*. Furthermore, *HOTAIRM1* transcripts are downregulated by hypoxia-mimetic stress and knockdown of the cytoplasmic *HMI-3* isoform in normoxic cells post-transcriptionally induces Hypoxia-Inducible Factor 1 $\alpha$  (HIF1 $\alpha$ ) protein, a key activator of *ANGPTL4*. Our results demonstrate the pervasive downregulation of the specific *HOTAIRM1* cytoplasmic isoform *HMI-3* in ccRCC and suggest possible roles of *HOTAIRM1* in kidney differentiation and suppression of HIF1-dependent angiogenic pathways.

### **Keywords**

Long non-coding RNA; ccRCC; kidney lineage; cell differentiation; Hypoxia-Inducible Factor 1 $\alpha$  (HIF1 $\alpha$ )

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<sup>5</sup>-Conflicts of Interest Statement

The authors have no conflicts of interest.

## 1. Introduction

Kidney and renal pelvis cancers are among the most pervasive cancers found within the United States [1]. Renal cell carcinomas (RCCs) comprise >90% of kidney cancers, which have been shown to be particularly difficult to treat with conventional therapies [2–4]. Among these, the clear cell renal cell carcinoma (ccRCC) type accounts for 75% of all kidney cancers [5]. The Von Hippel-Lindau (*VHL*) tumor suppressor gene is the most frequently mutated gene in sporadic ccRCC and codes for the substrate recognition subunit of an E3 ubiquitin ligase complex that targets the Hypoxia-Inducible Factors 1 $\alpha$  and 2  $\alpha$  (HIF1  $\alpha$  and HIF2  $\alpha$ ) for proteasomal degradation [6]. Hence, the VHL-HIF axis is often altered in ccRCC tumors, which are highly vascularized due to hyperactivation of various HIF target genes involved in angiogenesis. Recently, additional genetic alterations have been associated with ccRCC development [7], and transcriptomic analyses have identified several long non-coding RNAs (lncRNAs) that are deregulated in ccRCC [8, 9]. One notable example is the lncRNA *PVT1*, which increases the stability of the MYC oncoprotein [10], while the *PVT1* promoter locus was shown to inhibit *MYC* transcription [11]. *PVT1* is part of a network of lncRNAs that modulates MYC activity and consequently the VHL-HIF axis by affecting the binding partners of HIF1 $\alpha$  and HIF2 $\alpha$  in ccRCC [12–15]. However, only few lncRNAs have been extensively explored in RCC/ccRCC [16]. In our recent bioinformatics studies examining isoform-specific transcript alterations in ccRCC tumors, we identified several candidate deregulated lncRNAs, potentially including *HOTAIRMI* [17]. The *HOTAIRMI* locus is located within the HOXA cluster between (and antisense to) the *HOXA1* and *HOXA2* genes. *HOTAIRMI* is best known for its role in activation of the *HOXA* genes during neural differentiation of pluripotent cells and differentiation of promyelocytic leukemia cells via binding MLL and PRC2 and altering chromatin structure in *cis* at the *HOXA* locus [18–20]. However, contrary to its original designated name, *HOTAIRMI* expression has now been reported in numerous developing and fully differentiated tissues and cell types, and *HOTAIRMI* expression is altered in several human cancers [21–24]. While the mechanistic roles of *HOTAIRMI* in cancer are largely unknown, recent evidence in NB4 promyelocytic leukemia cells suggests a function in the autophagy pathway via acting as a miRNA sponge [25].

In the current study, we report for the first time a role of *HOTAIRMI* during renal lineage differentiation and the pervasive downregulation of specifically its spliced isoform *HMI-3* in >90% of ccRCC tumors cataloged in the The Cancer Genome Atlas (TCGA) database. Using a model kidney proximal tubule ccRCC cell line (CAKI-1) that is *VHL*-positive and expresses all *HOTAIRMI* isoforms, we demonstrate that the cytoplasmic isoform *HMI-3* suppresses HIF1 $\alpha$  protein levels and attenuates hypoxia-responsive target genes under normoxic conditions. Our results suggest possible pro-differentiation and tumor-suppressive roles of *HMI-3* in the kidney via inhibition of the oncogenic HIF1 pathway, which is activated in the vast majority of ccRCC tumors.

## 2. Materials and Methods

### 2.1 Cell culture

The HK-2, ACHN and CAKI-1 cell lines were acquired from ATCC and were cultured as recommended. The HK-2 cell line was cultured in keratinocyte serum-free medium supplied with 0.05 mg/ml bovine pituitary extract and 5 ng/ml human recombinant epidermal growth factor (Invitrogen, Carlsbad, CA), unless otherwise indicated. The ACHN cell line was cultured in Dulbecco's modified Eagle's medium supplemented with 10% FBS (Gibco, Grand Island, USA). The CAKI-1 cell line was cultured in McCoy's 5a Modified Medium supplemented with 10% FBS (Gibco, Grand Island, USA), unless otherwise indicated. All cultures were maintained in a humidified incubator with 5% CO<sub>2</sub> at 37°C. Mouse embryonic stem (mES) cell line D3 (CRL-11632) and mES KH2 cell line (MESKH2 B912) were purchased from ATCC and Mirimus, respectively, and cultured in pluripotency media according to manufacturers' specifications. Generation of KH2 mouse ES cell lines with doxycycline-inducible shRNAs was as previously described [26] (see Supplemental Information). The mES cells were grown and expanded on a monolayer of Mitomycin C treated primary mouse embryo fibroblasts (MEFs). Before each experiment, MEFs were removed and mES cells were expanded on 0.1% gelatin-coated cell culture dishes. Pluripotency media contained DMEM with high glucose, 15% FBS, 2 mM L-glutamine, 0.1mM non-essential amino acids, 0.1 U/ml penicillin, 0.1 µg/ml streptomycin, 0.55 mM 2-mercaptoethanol and 1000 U/ml of Leukemia Inhibitory Factor (LIF). For KH2 cells the medium was further complemented with 2i (1 µM PDO325901 + 2µM CHIR99621) per manufacturer's instructions. For retinoic acid (RA)-induced differentiation towards early neural lineage, mES cells were cultured in medium without LIF or 2i and supplemented with 10<sup>-6</sup> M all-trans retinoic acid (R625, Sigma), and medium was changed every 24 hours for the indicated times. For early renal lineage differentiation KH2 mES cells were differentiated as previously described (Nishikawa et al., 2012). Briefly, cells were seeded at a density of 6.4 × 10<sup>2</sup> cells/cm<sup>2</sup> in medium (as above without LIF or 2i) and supplemented sequentially with differentiating factors: 10 ng/ml Activin A, 50 ng/ml BMP-4, 10 mM LiCl, and 100 nM RA. Each factor was added in succession in the above order and cumulatively, starting at the time of plating and every 48 h thereafter. Cells were collected by trypsinization after 8 days for RNA extraction and quantitative PCR analysis.

### 2.2 RNA extraction and reverse transcription-quantitative PCR (RT-qPCR)

Cells were collected using 0.25% trypsin and total RNA was extracted using the GeneJet RNA purification kit (Thermoscientific, Carlsbad, CA) per manufacturer's recommendations. DNA was digested using the Rnase-Free DNase set (Qiagen, Valencia, CA) for 1 hour on the column according to the manufacturer's instructions. Total RNA from mouse ES cells was purified using the Direct-Zol RNA kit (Zymo Research). Extracted RNA was verified for quality and quantity using gel electrophoresis and the Thermoscientific Nanodrop2000 spectrophotometer. cDNA was synthesized using 1µg of total RNA using the iScript reverse transcription supermix (Biorad, Irvine, CA) according to the manufacturer's instructions. Quantitative PCR (qPCR) was performed using the Biorad iQ SYBR green supermix and a Biorad CFX Connect thermocycler (Biorad, Irvine, CA) and analyzed using the CFX manager software. Using a single threshold Cq determination, the Livak method

was employed for all gene expression analyses. Expression analyses in renal cells and tissues were normalized to *PPIA*, as *PPIA* was found to be the most suitable reference gene when comparing ccRCC tumors to normal adjacent tissue [27, 28], and no significant change was observed with *HOTAIRM1* knockdown. The 12 ccRCC tumor/normal matched pair RNA samples were obtained from Origene (see Supplemental Information). The multiple human tissue cDNA arrays were obtained from Origene (CSRT301, HKRT102) and were normalized to *ACTB*. Expression analyses in mouse ES cells were normalized to three housekeeping genes (*Gapdh*, *Rpl2*, and *Actb*). *Three technical replicates of each biological replicate were performed for every qPCR reaction* using the aforementioned protocols, reagents and instrumentation.

### 2.3 Primer design

Primers sequences were obtained either from qPrimerDepot (<https://primerdepot.nci.nih.gov/>) or designed using Primer3Plus ([www.primer3plus.com/](http://www.primer3plus.com/)) using the qPCR settings and adhered to the specifications set forth by the manufacturer of the qPCR equipment used (Bio-Rad), including primer efficiencies. (see Supplemental Information). All primers were synthesized by Integrated DNA Technologies.

### 2.4 Nuclear and cytoplasmic RNA expression analysis

Approximately 1 million cells were lysed in 175  $\mu$ l of cytoplasmic lysis buffer (50mM TrisCl pH 8.0, 140 mM NaCl, 1.5 mM MgCl<sub>2</sub>, 0.5% P-40, 1mM DTT) on ice for 5 minutes for the HK-2 and CAKI-1 cells and 35 minutes for the ACHN cells. Following incubation, the lysate was spun at 300g for 2 minutes at 4C. Cytoplasmic supernatant and nuclear pellet were separated, and RNA was purified as above. Corresponding cell equivalents of cytoplasmic and nuclear RNA were used for reverse transcription and qPCR.

### 2.5 siRNA transfection and RNA-seq analyses

All custom siRNAs (Supplemental Information) were designed using the MIT Whitehead software (<http://sirna.wi.mit.edu/>) and synthesized as “*Silencer Select siRNAs*” by Ambion (Carlsbad, CA, USA). The validated Silencer Select negative siRNA #2 (Ambion) was used as control in transient knockdown assays. siRNAs were transfected using Lipofectamine3000 per manufacturer’s recommendations at a final concentration of 100 nM. Total cellular RNA was extracted 60 hours after transfection. For knockdown of mouse *Hotairm1* in differentiated renal cell progenitors, a Silencer Select siRNA “e3–3.7” (Supplemental Information) which targets exon 3 of mouse *Hotairm1* was transfected with Lipofectamine 3000 per manufacturer’s recommendations at a concentrations of 10 nM in differentiation medium.

For RNA-seq analysis, three biological replicates of siRNA-transfected CAKI-1 cells were trypsinized and total RNA was extracted, as above. RNA quality and quantity were evaluated with a bioanalyzer and ThermoScientific Nanodrop2000 spectrophotometer. Single-end read RNA-seq libraries were constructed using the NEBNext Ultra Directional RNA library prep kit (Illumina). Samples were multiplexed and sequenced with the NEX-seq Illumina sequencing platform at the UCR Core Genomics Facility. The RNA-seq data can be accessed at NCBI’s Gene Expression Omnibus (GEO) under number GSE136604.

## 2.6 Bioinformatic analyses

A total of 542 fastq RNA-seq files were downloaded from The Cancer Genome Atlas (TCGA) legacy archive website (<https://portal.gdc.cancer.gov/legacy-archive/search/f>). Human cDNA and ncRNA FASTA formatted transcript files (Ensembl v89 annotation) were acquired from the Ensembl ftp site (<https://www.ensembl.org/info/data/ftp/index.html>), and merged to create a master file of all putative coding and non-coding transcripts.

Transcript quantifications and differential expression analyses were performed using the cufflink suite (TCGA data analysis) or the kallisto-sleuth pipeline (RNA-seq analysis) [29–31]. Cufflinks was used to obtain transcript quantifications [30]. Calculated transcript quantifications were then used to generate tumor/normal ratios. A two-tailed Wilcoxon signed rank test was performed to determine statistical significance. Cuffdiff was used to confirm differential expression. Using the default settings, kallisto was used to create an index for quantification using the aforementioned FASTA master file. Subsequently, kallisto was used to quantify all putative transcripts using 50 bootstrap samples. Differential expression analysis was performed with sleuth using the Wald test with a cutoff of q-value <0.05 and beta >0.5.

For the gene-level analyses, alignment of the fastq files was performed first with HISAT2 using the hg38 human assembly [32]. Read counting was performed using the summarizeOverlaps package, with union mode [33]. Using the read counts, an edgeR analysis was performed using the default settings [34, 35]. The entire pipeline was performed within the systemPipeR package [32, 36]. Normalization of the gene counts was performed using DESeq2 and then subsequently used in consensus clustering to determine the number molecular subtypes in ccRCC [37]. Consensus clustering was performed using the ConsensusClusterPlus R package [38]. A total 1,000 of the most variable genes, based on mean absolute deviation were used in the clustering generating consensus matrices for  $k=2-7$ . Number of molecular subtypes was determined based on the consensus matrices and the cumulative distribution functions for each  $k$ .

## 2.7 Western blot

Cells were scraped and lysed using RIPA buffer (150mM NaCl, 5mM EDTA, 50mM Tris pH 8.0, 1% NP-40, 0.5% sodium deoxycholate, 0.1% SDS). Lysate was spun in a microfuge at maximum speed and the soluble protein concentration was determined using Bradford reagent (Biorad). A total of 10–20 ug protein was subjected to SDS-PAGE. Proteins were transferred to a nitrocellulose membrane using a Trans-Blot Turbo (Biorad) for 45 minutes at 25V. Membranes were blocked with 1% non-fat dry milk for 1 hour and probed overnight with primary antibodies,  $\beta$ -actin at 1:7500 (sc-47778, Santa Cruz Biotech.), DDAH1 at 1:1000 (sc-514841, Santa Cruz Biotech.), VHL at 1:3000 (sc-17780, Santa Cruz Biotech.), and HIF1 $\alpha$  at 1:3000 (ab179483, Abcam). Secondary HRP-conjugated antibodies, anti-mouse and anti-rabbit, were incubated at 1:1000 dilution for 1 hour at room temperature and chemiluminescence reactions were performed as per manufacturer's instructions (GE Healthcare).

### 3. Results

#### 3.1 The HOTAIRM1 spliced isoform HM1-3 is downregulated in ccRCC

We initially performed a survey of *HOTAIRM1* transcripts in 8 different cancers and cognate normal tissues by qPCR using a multiple tissue cDNA array (Figure 1A–B, Supplemental Figure 1A–B). This revealed a significant downregulation of the spliced *HM1-3* isoform in kidney cancer, renal cell carcinomas (RCCs). *HM1-3* downregulation was also observed in breast and colorectal cancers, consistent with previous reports [21, 39]. Examination of *HM1-3* expression specifically in clear cell RCC (ccRCC) and in papillary RCC (pRCC), using an independent cDNA array, demonstrated that *HM1-3* downregulation was significant in ccRCC (Figure 1C). An average ~5.5 fold downregulation in *HM1-3* expression was seen when comparing 9 normal renal tissue samples to 21 ccRCC samples. No statistically significant *HM1-3* downregulation was observed in the 10 pRCC tumors tested. These results were supported further using 12 ccRCC matched pair samples, which showed 11 ccRCC tumors with a *HM1-3* downregulation relative to their normal adjacent tissue (Figure 1D). In contrast, there were no statistically significant differences in expression of the *HM1-2-3* isoform or the *unspliced HOTAIRM1* transcript (Figure 1D).

To further substantiate *HM1-3* downregulation in ccRCC, 614 RNA-seq datasets (72 normal and 542 ccRCC samples) from TCGA were bioinformatically examined. Evaluation of *HOTAIRM1* FPKM tumor/normal ratios, using 50 matched pair samples contained within these datasets, confirmed the above qPCR results showing that *HM1-3* is the only significantly downregulated *HOTAIRM1* transcript, as determined by Wilcoxon signed ranked test (Figure 1E). Notably, 46 of the 50 tumors (92%) had greater than 2-fold reduction in *HM1-3* levels compared to their normal adjacent tissue. Data from the GTEx database (<https://gtexportal.org/home/>) confirmed that *HOTAIRM1* is significantly expressed in normal kidney cortex relative to other tissues (Supplemental Figure 2A). We further examined the expression levels of the different *HOTAIRM1* transcripts by merging the RNA-seq alignments files from the 72 normal adjacent renal tissues of TCGA, which indicated that inclusion of exon 2 is rare (Figure 1F, top), and by quantifying the different transcripts using cufflinks (Supplemental Figure 2B). This showed that the *HM1-3* isoform is the most abundant *HOTAIRM1* transcript in normal renal tissue. The predominance of *HM1-3* over the *HM1-2-3* isoform was further supported by endpoint PCR using 12 normal renal tissue samples (Figure 1F, bottom).

As ccRCC is a heterogeneous cancer, *HM1-3* expression was explored within the different molecular subtypes of ccRCC. Consensus clustering was performed using gene-level read counts from the 542 ccRCC samples, which confirmed the existence of four molecularly distinct subtypes of ccRCC (Figure 1G, left, clusters 1–4; Supplemental Figure 3) corresponding to the previously reported ccA, ccB, mixed ccA/ccB and distal tubule subtypes [7, 9]. Using a two-tailed Student's t-test, a significant *HM1-3* downregulation was found within all four subtypes of ccRCC (Figure 1G, right;  $p < 0.05$ ). Our attempts to stratify *HM1-3* expression levels according to ccRCC tumor stage or grade in the TCGA datasets did not retrieve a significant correlation nor did we find a correlation with patient survival, suggesting that *HM1-3* downregulation might be an early event in ccRCC development

(data not shown). Altogether, these results identify the spliced *HMI-3* isoform as the major *HOTAIRM1* transcript in non-tumor renal tissue and indicate its widespread downregulation in ccRCC tumors of all subtypes, including in over 90% of ccRCC tumors for which matched normal adjacent tissue data were available in TCGA.

### 3.2 Expression of *HOTAIRM1* spliced isoforms is associated with the non-transformed and differentiated phenotypes of renal and non-renal cell types

Several human renal proximal tubule epithelial cell lines were investigated for their *HOTAIRM1* expression by RT-qPCR, including normal immortalized cells (HK-2) and two ccRCC cell lines (ACHN and CAKI-1). Absolute levels of the spliced transcripts were very low in all cell lines tested. The highest amounts of the spliced *HMI-3* and *HMI-2-3* transcripts were observed in CAKI-1 cells, which contained approximately 10 copies each per cell (Figure 2A). All the immortalized and cancer cell lines tested expressed lower levels of the spliced isoforms relative to the unspliced *HOTAIRM1* transcript (Figure 2A), reminiscent of the preferential downregulation of the *HMI-3* isoform in ccRCC tumors (see above and Supplemental Figure 4). Other non-renal immortalized or cancer cell lines of the breast (MCF10A and MCF7), and brain (DAOY) showed comparable or lower levels of the spliced isoforms and a predominance of the unspliced *HOTAIRM1* transcript (data not shown). Subcellular fractionation showed that the spliced isoforms *HMI-3* and *HMI-2-3* are predominantly cytoplasmic, while the unspliced *HOTAIRM1* transcript is mostly nuclear (Figure 2B).

Given the reduced expression of the spliced *HMI-3* isoform in immortalized/cancer cell lines and in ccRCC tumors compared to normal renal tissue, we further analyzed *HMI-3* expression during normal cell differentiation. Since *HOTAIRM1* was shown to be induced during neural differentiation of pluripotent embryonal carcinoma NT2/D1 cells [20], we first characterized the different *Hotairm1* isoforms and their expression during retinoic acid (RA)-induced early neural lineage differentiation of normal mouse ES cells *in vitro*. All *Hotairm1* transcripts were rapidly induced and the mouse *HMI-3* paralog (E1-3) was by far the most abundant isoform (average ~50 copies/cell after 3 days) and accumulated in the cytoplasm with kinetics similar to the induction of the *Hoxa1* mRNA (~30 copies/cell after 3 days) (Supplemental Figure 5 and data not shown). Similar results were obtained during differentiation of mouse ES cells into early renal lineage progenitor cells (see further below). Altogether these results indicate that the spliced *HMI-3* isoform is highly induced during normal cell differentiation (both neural and renal differentiation) but its expression is suppressed in immortalized/cancer cell lines *in vitro* and in ccRCC tumors *in vivo*.

### 3.3 *HOTAIRM1* regulates genes involved in the hypoxia pathway and in early renal lineage differentiation.

To investigate the functions of *HOTAIRM1* we performed knockdown experiments in CAKI-1 cells, as this cell line had the highest levels of *HOTAIRM1* transcripts among all the renal proximal tubule epithelial cell lines analyzed (see above). Of the three siRNAs tested, siRNA #1 did not efficiently reduce expression of *HOTAIRM1*, while siRNAs #2 and #3 were effective in knocking down both spliced isoforms but did not affect the levels of the nuclear unspliced *HOTAIRM1* transcript under the conditions used (Figure 3A). This



provided us with conditions to selectively test the functions of the spliced isoforms. We hypothesized that albeit cytoplasmic the spliced isoforms could nevertheless influence gene expression and the levels of specific mRNAs. To test this, we performed a stranded RNA-seq analysis of cells transfected with negative control siRNA or specific siRNA #2 (Figure 3B). Combining edgeR and kallisto-sleuth analyses - which provides increased sensitivity [17] - a total of 40 genes were found differentially expressed (Figure 3B). The edgeR analysis identified 28 differentially expressed genes (16 upregulated and 12 downregulated), with at least a 1.25 fold change (FDR = 0.05). Using kallisto gene counts and sleuth for differential expression analysis, 14 differentially expressed genes (8 upregulated and 6 downregulated) were identified with a 0.5 bias estimator value (FDR = 0.05). Two genes *DDAH1* and *MELTF* were found upregulated in both the edgeR and kallisto-sleuth analyses. Among a set of 10 upregulated genes that were partially randomly selected for RT-qPCR validation, 8 genes (80%) were confirmed to be upregulated: *DDAH1*, *ANGPTL4*, *ADAM19*, *CDKN1C*, *ZC3H18*, *GXYLT1*, *CUTA*, and *H3F3C*. Conversely, of a selection of 7 downregulated genes only two genes (29%) were validated by RT-qPCR: *CDH1* and *GSTA4* (Figure 3C). This could suggest a predominantly inhibitory role of the cytoplasmic *HOTAIRM1* spliced isoforms (*HMI-3* and *HMI-2-3*) on expression of a limited number of specific genes in CAKI-1 cells.

A Metascape enrichment analysis of the differentially expressed genes (DEGs) was performed to identify molecular pathways altered in the knockdown cells (<http://www.metascape.org>). This suggested a possible enrichment in genes related to the response to hypoxia ( $p=0.0014$  for all 40 DEGs, or  $p=0.0032$  for selectively the 23 upregulated genes). Further analysis of the specific set of upregulated genes using the Enrichr comprehensive database analysis tool (<http://amp.pharm.mssm.edu/Enrichr>) confirmed a significant enrichment in hypoxia upregulated genes ( $p=2.3E-5$ , adjusted p-value= 0.011; disease perturbations from GEO-up GSE4483 dataset; *ANGPTL4*, *SLC2A3*, *HLA-C*, *RPL7*, *NEAT1*). Consistent with a possible connection to hypoxia, Enrichr also retrieved ChIP-seq data from the ChEA 2016 database identifying HIF1 $\alpha$  target genes ( $p=0.005$ ; *ANGPTL4*, *SLC2A3*, *STC2*).

To investigate the functions of the spliced *HMI-3* isoform we focused on *DDAH1* and *ANGPTL4*, two genes that were most deregulated in knockdown cells and are linked to hypoxia and angiogenesis pathways. We confirmed that the upregulation of both *ANGPTL4* and *DDAH1* mRNAs in *HOTAIRM1* knockdown CAKI-1 cells could be partially rescued by overexpression of the *HMI-3* isoform (Figure 3D; Supplemental Figure 6). These results supported the notion that the spliced *HMI-3* isoform contributes (at least in part) to the reduced steady state levels of the *DDAH1* and *ANGPTL4* mRNAs in these cells. Interestingly, this regulation is probably at the transcriptional level for *ANGPTL4*, since knockdown of *HMI-3* and *HMI-2-3* (with either siRNA #2 or #3) also increased the levels of the *ANGPTL4* pre-mRNA (Figure 3E, see also below). In contrast, *DDAH1* pre-mRNA expression was unaffected suggesting a different post-transcriptional regulation of *DDAH1* mRNA levels (Supplemental Figure 6). Consistent with these results and the above observation that *HMI-3* is the predominant isoform downregulated in most ccRCC tumors, *ANGPTL4* was found significantly upregulated in the vast majority of TCGA ccRCC samples relative to their respective normal adjacent tissues (Figure 3F, Supplemental Figure

4B). In contrast, *DDAH1* mRNA was consistently downregulated in these ccRCC tumor samples (data not shown).

Since *HOTAIRM1* expression was associated with a non-transformed or differentiated cellular state, we further analyzed the gene regulatory functions of *HOTAIRM1* during neural and kidney lineage differentiation. Mouse *Hotairm1* transcripts, which are highly expressed during RA-induced neural differentiation of ES cells (Supplemental Figure 5), were knocked down during RA treatment in an shRNA-inducible mouse ES cell line (Supplemental Figure 7A). As expected, this selectively inhibited expression of the most anterior *Hoxa* genes (*Hoxa1–5*), although *Hoxa1* was only marginally affected and only after 96h of induction with RA. Notably, *Hotairm1* knockdown increased the expression of *Angptl4* and reduced *Ddah1* mRNA levels, concomitant with a modest induction of the pluripotency gene *Sox2* (Supplemental Figure 7B). Alternatively, mouse ES cells were differentiated into early renal lineages using a stepwise protocol that mimics *in vivo* development up to the nephrogenic intermediate mesoderm and early metanephric mesenchyme and ureteric bud stages, as previously described [40]. The progressive differentiation was verified by the expression of lineage stage-specific gene markers (Supplemental Figure 8). Evaluation of the three main *Hotairm1* isoforms showed a progressive increase in expression and a peak expression at the end of the induction process at day 8 (Figure 3G). Knockdown of *Hotairm1* in these renal progenitor cells showed slight reductions in *OSR1* and *GDNF* expression (data not shown) and a large reduction in *PAX2* expression (Figure 3H). We did not observe significant changes in the expression of the other kidney lineage differentiation markers analyzed here. However, as seen above with CAKI-1 cells and ES-derived neural lineage cells, *Hotairm1* knockdown also induced *Angptl4* expression in these renal progenitor cells (Figure 3H).

Altogether, these results suggested a role of *HOTAIRM1* during neural and renal lineage differentiation and in regulation of hypoxia signaling, including a possible indirect transcriptional regulation of *ANGPTL4* by the cytoplasmic *HMI-3* spliced isoform.

### 3.4 *HOTAIRM1* is downregulated by hypoxia-mimetic stress and inhibits HIF1 $\alpha$ protein expression in normoxic cells.

To explore the possible connection of *HOTAIRM1* with the hypoxia pathway, we exposed CAKI-1 cells to 100  $\mu$ M cobalt chloride, a hypoxia-mimetic agent that inhibits prolyl hydroxylases and thereby prevents VHL-mediated ubiquitination and proteasomal degradation of HIF1 $\alpha$ . As anticipated, a time course analysis of cells treated with cobalt chloride showed a gradual induction of the HIF1 $\alpha$  protein (despite slight downregulation of *HIF1A* mRNA) and concomitant induction of the HIF1 $\alpha$  direct target gene *ANGPTL4*, peaking at approximately 4 hours of treatment (Figure 4A). In contrast, the *DDAH1* mRNA was not induced but seemed to decline during the 4–8h treatment period. Interestingly, the levels of all *HOTAIRM1* transcripts remained relatively constant during the first 2h of cobalt chloride treatment, and then rapidly decreased at 4h and later time points (Figure 4A). Thus, *HOTAIRM1* expression inversely correlates with both HIF1 $\alpha$  protein levels and expression of the *ANGPTL4* gene during cobalt chloride treatment. This suggested a possible antagonist regulation between *HOTAIRM1* and HIF1 $\alpha$  and the possibility that *HOTAIRM1*

negatively regulates HIF1 $\alpha$  expression under normoxic conditions. To test this, *HOTAIRM1* spliced transcripts were knocked down in normoxic CAKI-1 cells (with siRNA #2 or #3). This resulted in stimulation of *ANGPTL4*, as expected, but interestingly also induced HIF1 $\alpha$  protein levels (Figure 4B, top panel). Since *HIF1A* mRNA levels were not affected (Figure 4B, bottom panel) this implies a negative posttranscriptional regulation of HIF1 $\alpha$  by the *HOTAIRM1* spliced isoforms. Note also that the knockdown of *HOTAIRM1* transcripts did not affect VHL protein expression (Figure 4B).

To determine whether the negative regulation of *ANGPTL4* by the *HOTAIRM1* spliced isoforms involved suppression of the HIF1 pathway under normoxia, cells were depleted of either *HIF1 $\alpha$*  or its obligatory dimerization/DNA-binding partner *HIF1 $\beta$*  with specific siRNAs. Under these conditions knockdown of *HOTAIRM1* failed to induce *ANGPTL4* (Figure 4C), indicating a requirement for HIF1 signaling. To further verify that *HOTAIRM1* regulates the HIF1 pathway, the activity of a luciferase reporter gene under the control of three hypoxia-responsive DNA elements (HRE-Luc) was analyzed in cells transfected with either the negative control siRNA or the two *HOTAIRM1*-specific siRNAs (#2 and #3). Consistent with the above results, *HOTAIRM1* knockdown stimulated the HRE-Luc reporter gene but not a co-transfected CMV-b-Gal reporter plasmid (Figure 4D). Altogether these analyses show that *HOTAIRM1* expression is downregulated by hypoxia-mimetic stress and that its cytoplasmic spliced isoforms inhibit transcription of the *ANGPTL4* gene via a posttranscriptional mechanism that prevents HIF1 $\alpha$  protein accumulation and HIF1 signaling in normoxic cells.

#### 4. Discussion

In the current study, we provide new insights into the functional role(s) of the *HOTAIRM1* lncRNAs in kidney biology. Our analyses identify a novel and pervasive downregulation of the spliced *HMI-3* isoform in ccRCCs, not previously reported. We demonstrate that *HMI-3* is the only *HOTAIRM1* isoform downregulated in ccRCC and is the most abundant *HOTAIRM1* transcript found in normal kidney tissue. Furthermore, we show that *HMI-3* is largely localized to the cytoplasm in all renal and non-renal cell lines analyzed. *HOTAIRM1* is downregulated by hypoxia-mimetic stress and knockdown of its cytoplasmic isoforms in normoxic CAKI-1 cells leads to increased HIF1 $\alpha$  protein levels and upregulation of hypoxia-responsive genes, including the angiogenic *ANGPTL4* gene. Our results reveal for the first time that *HOTAIRM1* transcripts are induced during early renal lineage differentiation *in vitro* and are required for expression of several differentiation markers suggesting a possible role of *HOTAIRM1* in kidney tissue differentiation and maintenance. Altogether, our results suggest the possibility of pro-differentiation and tumor-suppressive roles of *HMI-3* in the kidney via attenuation of HIF1 signaling, an oncogenic pathway that is recurrently engaged in the vast majority of ccRCC tumors (Figure 4E).

Our findings support a new, and possibly conserved, role of *HOTAIRM1* during differentiation of normal ES cells into early renal and neural cell lineages that extends beyond its previously reported involvement in RA-induced myeloid and neural differentiation of cancer cell lines. The expression profiles of *HOTAIRM1* during differentiation of mouse ES cells into early renal lineages mirrored the expression profiles of

*WNT11*, *GDNF* and *CDH11*. Additionally, *HOTAIRM1* appeared to be necessary to maintain the kidney progenitor state, as knockdown of *HOTAIRM1* reduced expression of the kidney lineage differentiation markers, *OSR1*, *GDNF* and *PAX2*. Since knockdown of mouse *Hotairm1* did not exclusively or preferentially affect *HMI-3*, it remains unclear which of the different lncRNA isoforms is responsible for this regulation. However, we suspect that *HMI-3* is likely to be a major contributor as it is the most abundant *Hotairm1* isoform in these early renal lineage cells and in adult kidney tissue. Notably, *Angptl4* was downregulated by *Hotairm1* during both neural and renal differentiation of mouse ES cells similar to human CAKI-1 cells, indicating a conserved regulatory pathway.

Mechanistically, we provide evidence that expression of both *HIF1 $\alpha$*  and *HIF1 $\beta$* , is required for the increased transcription of *ANGPTL4* pre-mRNA observed upon depletion of cytoplasmic *HOTAIRM1* splice variants in CAKI-1 cells. However, it remains unclear at this stage how *HOTAIRM1* cytoplasmic isoforms inhibit posttranscriptionally the accumulation of the HIF1 $\alpha$  protein in normoxic cells (i.e., without altering *HIF1 $\alpha$*  mRNA levels). Future analyses will address this important question. The fact that the HIF1 pathway is recurrently activated in ccRCC via the frequent inactivation of the VHL tumor suppressor [6, 7] raises the important questions of whether *HMI-3* is a bona fide tumor-suppressive lncRNA and whether it helps maintain low levels of HIF1 $\alpha$  protein levels in normoxic cells by functioning either as an intrinsic component of the VHL pathway or in a separate/parallel pathway. We show that during hypoxia-mimetic stress all *HOTAIRM1* transcripts are downregulated raising the possibility that HIF1 $\alpha$  accumulation during hypoxia might inhibit transcription of the *HOTAIRM1* locus. It will be important to further characterize this potential negative feedback loop between *HOTAIRM1* and HIF1 $\alpha$  and determine whether its deregulation impacts ccRCC development. Furthermore, the fact that only the *HMI-3* spliced isoform but not the primary unspliced *HOTAIRM1* transcript is downregulated in ccRCC may suggest a defective *HOTAIRM1* splicing mechanism in this major kidney cancer that is worth further investigating. Note that while it remains unclear whether the reduced *HMI-3* levels drive or are merely a consequence of ccRCC development, the reduced ratio of spliced (*HMI-3*) versus unspliced *HOTAIRM1* observed in the vast majority of ccRCC tumors may be a new molecular indicator of this specific kidney malignancy, which is similar to - and consistent with - the recent identification of *ANGPTL4* expression as a diagnostic marker of specifically ccRCC [41].

In conclusion, this study reveals a new function of *HOTAIRM1* in the kidney that is associated with renal cell differentiation and the hypoxia pathway, and uncovers the pervasive downregulation of its major *HMI-3* cytoplasmic spliced isoform in ccRCC tumors.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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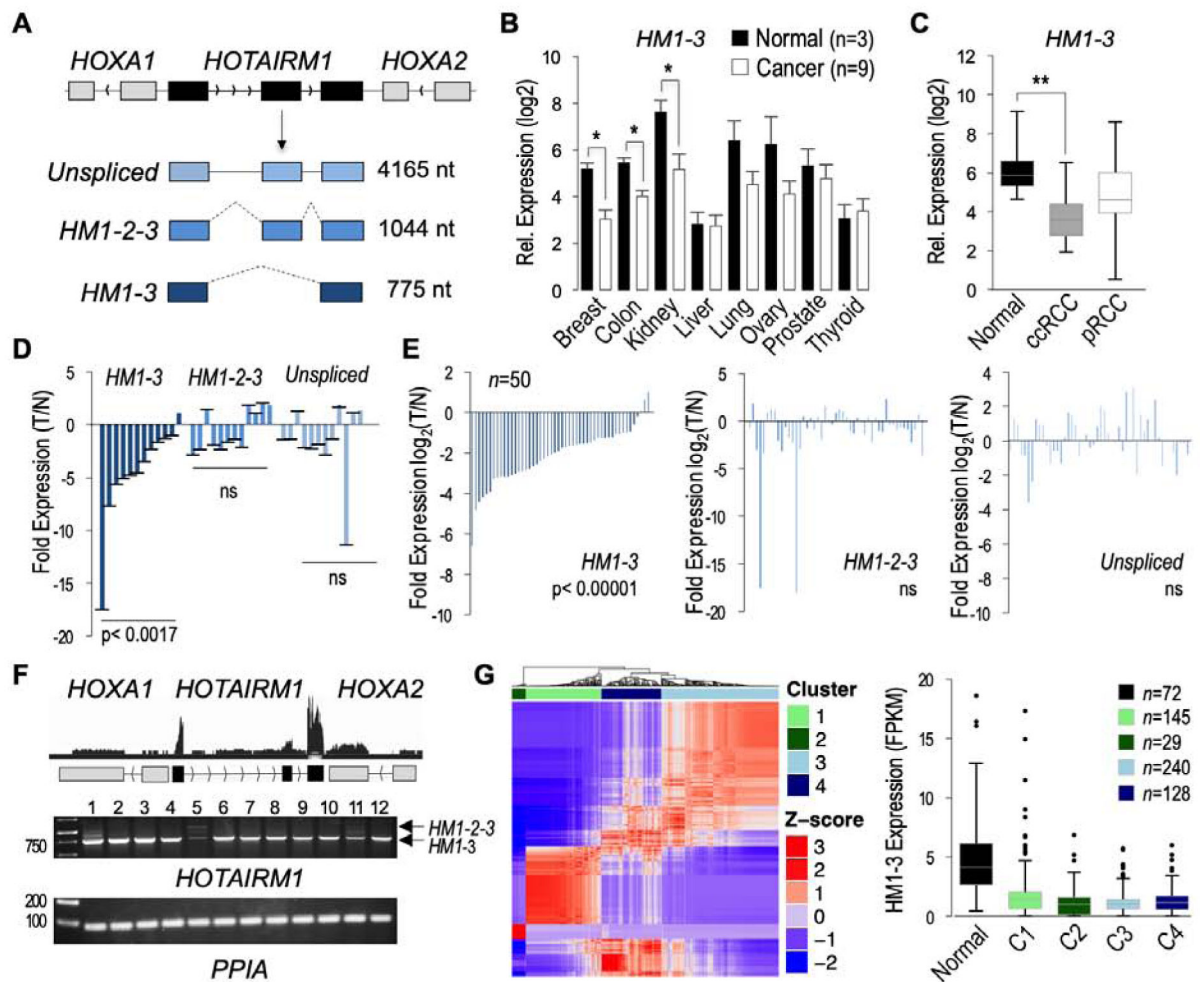
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**Highlights:**

- New role of *HOTAIRM1* in the kidney
- Spliced cytoplasmic isoform of *HOTAIRM1* is downregulated in ccRCC
- Expression of *HOTAIRM1* is associated with renal lineage differentiation
- *HOTAIRM1* expression is inhibited by hypoxic stress signaling
- *HOTAIRM1* downregulates Hypoxia-Inducible Factor 1 $\alpha$





**Figure 1. Reduced *HM1-3* expression in ccRCC.**

**A.** The *HOTAIRM1* gene is located between *HOXA1* and *HOXA2* and produces an unspliced transcript and two major spliced RefSeq transcripts (*HM1-3*, *HM1-2-3*). **B.** Relative qPCR expression analysis of *HM1-3* in a panel of eight human cancers (n=9 tumors each) and their respective normal tissues (n=3 each). *HM1-3* levels were normalized to  $\beta$ -actin. **C.** Analysis of *HM1-3* expression by qPCR in normal tissues (n=9) versus ccRCC (n=21) and pRCC (n=10, papillary renal cell carcinoma) tumors was performed as in panel B. **D.** Analysis of *HM1-3* expression by qPCR in 12 ccRCC matched pair samples. Fold changes in expression in tumor vs normal (T/N) (Ct) are indicated. ns indicates not significant, p < 0.0017. **E.** FPKM tumor/normal ratios of 50 ccRCC matched pair TCGA samples for all *HOTAIRM1* transcripts. **F.** Quantification of *HOTAIRM1* transcripts within normal adjacent tissues. Shown is the merged trace of 72 normal renal RNA-seq datasets of TCGA (top) and a validation using 12 independent normal renal RNA samples using endpoint RT-PCR with primers in exon 1 and 3 (bottom); PCR products for *HM1-3* and *HM1-2-3* are indicated with arrows. **G.** Unsupervised consensus clustering identified 4 distinct ccRCC subtypes (left). *HM1-3* expression (FPKM) is decreased in each ccRCC tumor subtype (right). Statistical significance was determined by using two-tailed Student's t-test for panels B, C, and G and

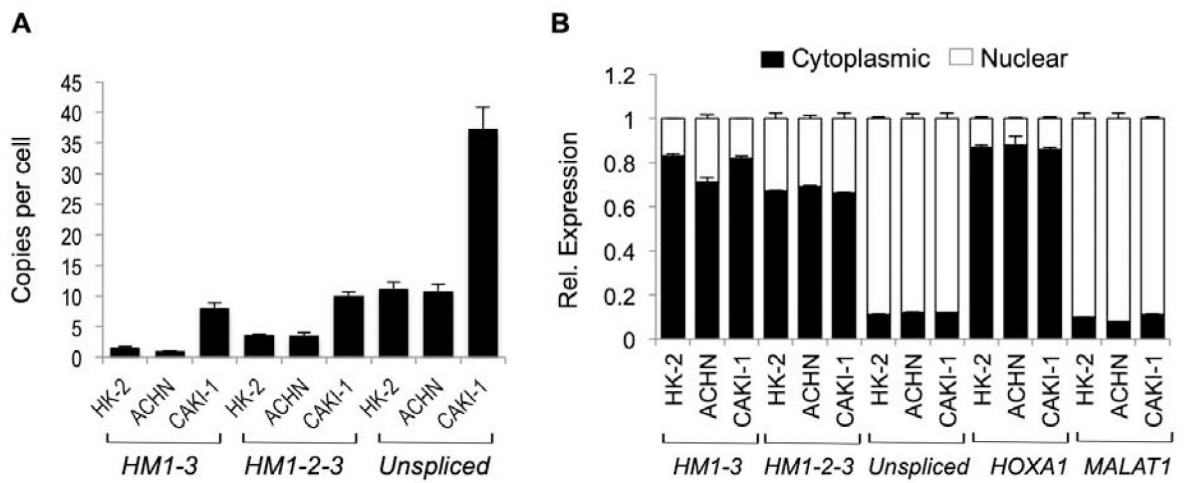
the Wilcoxon signed-rank test for the match paired samples in panels D and E (\*  $p < 0.05$ , \*\*  $p < 0.005$ , ns  $p > 0.01$ ).

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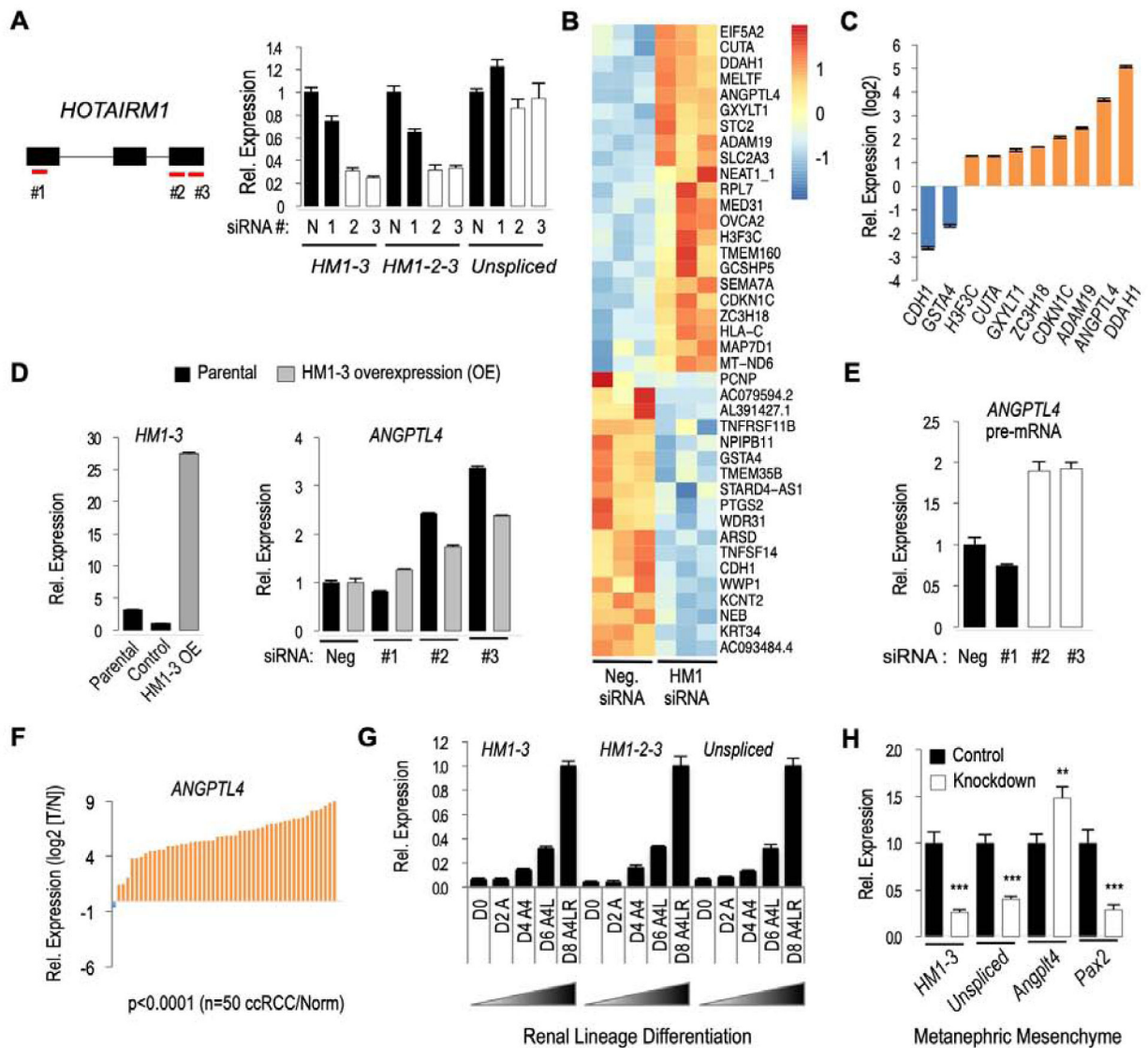
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**Figure 2. Characterization of *HOTAIRMI* transcripts in renal proximal tubule cell lines.**

**A.** Copies per cell using qPCR. Error bars represent SEM across three biological replicates.

**B.** Subcellular localization of transcripts. As controls the nuclear *MALAT1* lncRNA and cytoplasmic *HOXA1* mRNA were analyzed. Error bars represent SEM for three replicates.



**Figure 3. Analysis of *HOTAIRM1*-dependent genes in human renal CAKI-1 cells and in differentiating mouse kidney progenitor cells identifies *ANGPTL4* as a transcriptional target.**

**A.** *HOTAIRM1* siRNAs (#2 and #3) selectively reduce the levels of the spliced isoforms (*HM1-3* and *HM1-2-3*) in CAKI-1 cells. Expression relative to control (N) was analyzed by RT-qPCR. **B.** Knockdown of *HOTAIRM1* spliced isoforms with siRNA#2 identifies 40 deregulated genes (DEGs) by combining edgeR (fold change >1.25 and FDR <0.05) and sleuth analyses ( $\beta > 0.5$  and FDR <0.05). **C.** Validation by qPCR of 10 DEGs in CAKI-1 cells. Shown is log<sub>2</sub> fold change expression between control siRNA (N) and specific siRNA (#2) treated cells. **D.** Overexpression of ectopic *HM1-3* inhibits *ANGPTL4* induction upon knockdown of *HOTAIRM1* in CAKI-1 cells. **E.** RT-qPCR analysis of *ANGPTL4* pre-mRNA upon *HOTAIRM1* knockdown. **F.** *ANGPTL4* expression (log<sub>2</sub> TPM tumor/normal ratios) in 50 ccRCC matched pair TCGA samples. Statistical significance was determined using the Wilcoxon signed-rank test for the paired samples. **G.** Mouse *Hotairm1* isoform expression by RT-qPCR during mES cell differentiation into kidney progenitor cells. D=day,

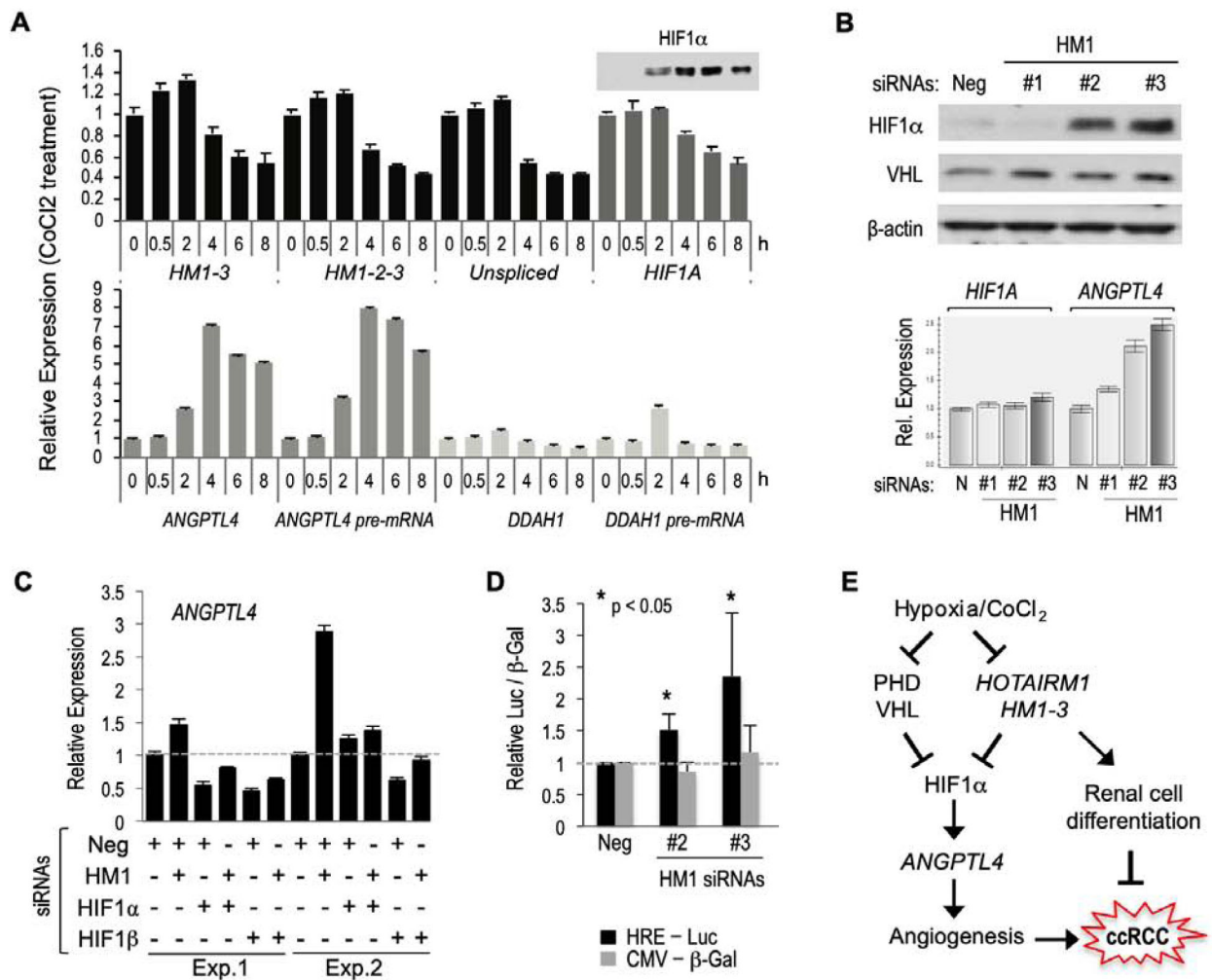
A=Activin-A, 4=BMP-4, L=LiCl, R=Retionic acid. **H.** RT-qPCR analysis of *Angpl4* and *Pax2* expression upon *Hotairm1* knockdown in kidney progenitor cells. Two-tailed student's t-test used to determine statistical significance. Error bars represent SEM of technical replicates for A,C,D,E and biological replicates for G and H (\*\* $p<0.005$ , \*\*\* $p<0.0005$ ).

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**Figure 4. Hypoxia-mimetic stress agent CoCl<sub>2</sub> downregulates *HOTAIRM1* and *HOTAIRM1* downregulation increases HIF1 $\alpha$  protein levels and HIF1 activity in normoxic renal cells.**

**A.** Gene expression analysis by RT-qPCR in CAKI-1 cells exposed to 100uM cobalt chloride for the indicated times (0–8h). HIF1A protein (HIF1 $\alpha$ ) levels were also analyzed by Western blot (inset above *HIF1A*). **B.** Western blot analysis of HIF1 $\alpha$  and VHL proteins in cells treated with the indicated siRNAs (top) and corresponding RT-qPCR analysis of *HIF1A* and *ANGPTL4* expression (bottom). **C.** RT-qPCR analysis of *ANGPTL4* expression in CAKI-1 cells treated with the indicated siRNAs against *HOTAIRM1* (HM1) or HIF1 complex components (HIF1 $\alpha$  or HIF1 $\beta$ ). **D.** Knockdown of HM1 in normoxic CAKI-1 cells increases hypoxia response element-dependent transcription of a luciferase reporter gene (HRE-Luc) but does not affect expression of the co-transfected CMV- $\beta$ -Galactosidase reporter (CMV- $\beta$ -Gal). **E.** Schematic model of possible role of *HOTAIRM1* lncRNA *HMI-3* in kidney cell differentiation and ccRCC. The model does not differentiate a role of *HMI-3* within the prolyl-hydroxylase (PHD)/VHL pathway or in a parallel pathway.