Rostral Horn Evolution Among Agamid Lizards of the Genus *Ceratophora Endemic to Sri Lanka*

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The first phylogenetic hypothesis for the Sri Lankan agamid lizard genus *Ceratophora* is presented based on 1670 aligned base positions (472 parsimony informative) of mitochondrial DNA sequences, representing coding regions for eight tRNAs, ND2, and portions of ND1 and COI. Phylogenetic analysis reveals multiple origins and possibly losses of rostral horns in the evolutionary history of *Ceratophora*. Our data suggest a middle Miocene origin of *Ceratophora* with the most recent branching of recognized species occurring at the Pliocene/Pleistocene boundary. Haplotype divergence suggests that an outgroup species, *Lyriocephalus scutatus*, dates at least to the Pliocene. These phylogenetic results provide a framework for comparative studies of the behavioral ecological importance of horn evolution in this group.

INTRODUCTION

Squamate reptiles exhibit substantial variation in body ornamentation, including crests, frills, spines, casques, dewlaps, and horns. Rostral protuberences or "horns" are rare and all occurrences are in Iguania. Within the iguanian family Iguanidae, horns are confined to three species of *Anolis* from the Amazonian Andes (Williams, 1979). Within Acrodonta, horns occur only in family Chamaeleonidae and the agamid subfamily, Draconinae (Macey *et al.*, 2000b). Phylogenetic analysis of Chamaelenonidae suggests two evolutionary origins and three losses of horns in this group (Townsend and Larson, 2001).

The agamid lizard genus *Ceratophora* contains five species endemic to Sri Lanka, most exhibiting modifications of the rostrum in the form of a rhinocerus-like horn (see color plates in Pethiyagoda and Manamendra-Arachchi, 1998). Horn morphology is radically different for each species (Table 1). Only two other monotypic genera within Agamidae* (asterisk denotes a metataxon, whose monophyly is uncertain) contain a prominent, horn-like rostral appendage: *Harpesaurus*, which has a forked appendage, and *Thaumatorhynchus*, which has a single, cylindrical horn (Manthey and Schuster, 1996).

As originally described, *Ceratophora* contained three species, *C. aspera, C. stoddartii*, and *C. tennentii*, each exhibiting prominent rostral appendages. *Ceratophora aspera*, a ground-dwelling species, and the semi-arboreal *C. stoddartii* occupy the largest geographic area of the described species, whereas the arboreal species, *C. tennentii*, is found only in the Knuckles mountain range. Recently, Pethiyagoda and Manamendra-Arachchi (1998) reviewed the taxonomic content of *Ceratophora* and described two additional species, *C. erdeleni* and *C. karu*. The semi-arboreal *C. erdeleni* has a rudimentary or nonexistent rostral appendage and is otherwise very similar morphologically to *C. stoddartii*, whereas *C. karu* is ground-dwelling and the only fast-moving lizard containing a rostrum composed of numerous pointed scales. The rostrum of *C. karu* lacks the fleshy protuberence characteristic of horned *Ceratophora*, however. Both of these

species have restricted distributional ranges in the Morningside Forest Reserve of southeastern Sri Lanka and surrounding areas. Species of *Ceratophora* are allopatric to each other, except *C. erdeleni* and *C. karu*, whose ranges overlap in the Morningside Forest Reserve.

Phylogenetic relationships of all species of *Ceratophora* are examined using 1741 aligned positions (472 informative) of mitochondrial DNA sequence. The region sequenced encodes part of ND1 (NADH dehydrogenase subunit 1), tRNA Gln, tRNA Ile, tRNA Met, ND2 (NADH dehydrogenase subunit 2), tRNA Trp, tRNA Ala, tRNA Asn, replication origin for the light strand (O_L), tRNA Cys, tRNA Tyr, and part of COI (cytochrome oxidase I). The previously published sequence of *C. aspera* (Macey *et al.*, 2000b) is compared to a new sequence from the same population. Three populations of *C. stoddartii* are examined to assess phylogenetic divergence within this wide-ranging species. Phylogenetic inferences are used to generate hypotheses of horn evolution within *Ceratophora*.

A recent revision of Agamidae* by Macey et al. (2000b) placed Ceratophora within subfamily Draconinae. In their analysis, Lyriocephalus scutatus and Cophotis ceylonica formed a clade strongly supported as the sister group to Ceratophora. Sequences from Cophotis and Lyriocephalus, including an additional population of Lyriocephalus scutatus, are used here as closest outgroups. In addition, two other southeast Asian members of Draconinae, Japalura flaviceps and Aphaniotis fusca, are selected as outgroups based on the phylogenetic hypothesis of Macey et al. (2000b). All four of these sequences are reported in Macey et al. (2000b). Sequences for Ceratophora karu, C. tennentii, and C. erdeleni that were previously reported for approximately 300 base pairs (Macey et al., 2000c) have been extended for the full 1741 base-pair region analyzed here.

MATERIALS AND METHODS

Specimen Information

See Table 1 for museum numbers, localities of voucher specimens from which DNA was extracted, and GenBank accession numbers for DNA sequences. Complete locality data are deposited in GenBank files.

Laboratory Protocols

Genomic DNA was extracted from liver or muscle using Qiagen QIAamp tissue kits. Amplification of genomic DNA was conducted using a denaturation at 94°C for 35 sec, annealing at 50°C for 35 sec, and extension at 70°C for 150 sec with 4 sec added to the extension per cycle, for 30 cycles. Negative controls were run on all amplifications to check for contamination. Amplified products were purified on 2.5% Nusieve GTG agarose gels and reamplified under the conditions described above. Reamplified double-stranded products were purified on 2.5% acrylamide gels (Maniatis *et al.*, 1982). Template DNA was eluted from acrylamide passively over three days with Maniatis elution buffer (Maniatis *et al.*, 1982). Cycle-sequencing reactions were run using the Promega fmol DNA sequencing system with a denaturation at 95°C for 35 sec, annealing at 45-60°C for 35 sec, and extension at 70°C for 1 min for 30 cycles. Sequencing reactions were run on Long Ranger sequencing gels for 5-12 hours at 38-40°C.

Two primer pairs were used to amplify genomic DNA from the ND1 gene to the COI gene: L3914 and H4980, and L4437 and H5934. Both strands were sequenced using L3914, L4178b, L4160, L4437, L4831, L4882b, H5617b, L5638b, and H5934. Primers L4831, L4178b, L4831, H4980, L5638b, and H5934 are from Macey *et al.* (1997a). L3914 is from Macey *et al.* (1998b) which is erroneously listed there as L3878. L4160 is from Kumazawa and Nishida (1993). L4437 and H5617b are from Macey *et al.* (1997b). L4882b is from Macey *et al.* (2000b). Primer numbers refer to the 3' end on the human

mitochondrial genome (Anderson *et al.*, 1981), where L and H denote extension of light and heavy strands, respectively.

Phylogenetic Analysis

DNA sequences were aligned manually. Positions encoding part of ND1, all of ND2, and part of COI were translated to amino acids using MacClade (Maddison and Maddison, 1992) for confirmation of alignment. Alignment of sequences encoding tRNAs was based on secondary structural models (Kumazawa and Nishida, 1993; Macey and Verma, 1997). Secondary structures of tRNAs were inferred from primary structures of the corresponding tRNA genes using these models. Unalignable regions were excluded from phylogenetic analyses (see Results).

Phylogenetic trees were estimated using PAUP* beta version 4.0b4a (Swofford, 2000) with branch-and-bound searches. Bootstrap resampling (Felsenstein, 1985a) was applied to assess support for individual nodes using 1000 bootstrap replicates with branch-and-bound searches. Decay indices (= "branch support" of Bremer, 1994) were calculated for all internal branches of the tree using branch-and-bound searches that retained suboptimal trees. We obtained the decay index by tabulating the minimum increase in number of steps resulting from removal of the node of interest from the overall shortest tree.

Evolution of rostral horns among *Ceratophora* species was reconstructed using MacClade (Maddison and Maddison, 1992) on the single overall most parsimonious tree. The outgroup species, *Aphaniotis fusca*, *Japalura flaviceps*, and *Cophotis ceylonica* do not contain a rostral protuberance; however, the outgroup species, *Lyriocephalus scutatus*, does contain a knob-like rostral structure.

Wilcoxon signed-ranks tests (Templeton, 1983; Felsenstein, 1985b) were used to examine statistical significance of the shortest tree relative to alternative hypotheses. All

tests were conducted as two-tailed tests (Felsenstein, 1985b). Tests were performed using PAUP* (Swofford, 2000), which incorporates a correction for tied ranks.

Alternative phylogenetic hypotheses were tested using the most parsimonious phylogenetic topologies compatible with them. To find the most parsimonious tree(s) compatible with a particular phylogenetic hypothesis, phylogenetic topologies were constructed using MacClade (Maddison and Maddison, 1992) and analyzed as constraints using PAUP* (Swofford, 2000) with branch-and-bound searches.

RESULTS

Assessment of Homology and Sequence Alignment

Protein-coding genes are alignable except the C-terminal end of ND1, which is excluded because of questionable alignment (positions 82-86). Gaps are placed in the ND2 gene sequence at nucleotide positions 1252-1254 in the outgroup, *Japalura flaviceps*.

Among tRNA genes, several loop regions are unalignable as are noncoding regions between genes. The dihydrouridine (D) loops for genes encoding tRNA le (positions 176-182) and tRNA rep (positions 1345-1354) are excluded from analyses. Part of the variable loop for the gene encoding tRNA (positions 1516-1518) is not used. The loop of the origin for light-strand replication (OL, positions 1563-1585) between the tRNA and tRNA genes is not alignable and therefore not used for phylogenetic analysis. The T C (T) loop, and part of the T-stem for the gene encoding tRNA (positions 1597-1605) and the T-loop for the gene encoding tRNA representations and tRNA genes (positions 1479-1488) are not used. Excluded regions comprise 4% of aligned sequence positions (71 of 1741 positions).

Several observations suggest that DNA sequences reported are from the mitochondrial genome and not nuclear-integrated copies of mitochondrial genes (see Zhang and Hewitt, 1996). Protein-coding genes do not contain premature stop codons, and sequences of tRNA genes appear to code for tRNAs with stable secondary structures, indicating functional genes. In addition, all sequences show strong strand bias against guanine on the light strand (G=11.4-13.4%, A=32.6-36.7%, T=21.9-23.7%, and C=28.6-32.0%), which is characteristic of the mitochondrial genome but not the nuclear genome (Macey *et al.*, 1997a; McGuire and Heang, 2001).

Genic Variation

Variation in phylogenetically informative positions (parsimony criterion) is observed among all tRNA and protein-coding genes (Table 2). Phylogenetically informative sites are predominately from protein-coding regions (83% of informative sites) with most of the variation observed in third codon positions (48%). However, first and second codon positions, as well as stem and non-stem regions of tRNA genes, together contributed over half of the phylogenetically informative sites (21%, 13%, 13%, 4%, respectively). Therefore, no single set of characters dominates the phylogenetic analysis.

Phylogenetic Relationships

A single overall most parsimonious tree is produced from analysis of 12 aligned DNA sequences containing 1670 base positions, of which 472 are phylogenetically informative (Fig. 1, Table 2). Phylogenetic relationships are well resolved, receiving bootstrap values above 95% and decay indices greater than 5 for all nodes except two. A clade comprising *Lyriocephalus*, *Cophotis*, and *Ceratophora* is well supported (bootstrap 100%, decay index 42). The sister-taxon relationship of *Lyriocephalus* and *Cophotis* is well-supported (bootstrap 99%, decay index 17). Monophyly of *Ceratophora* is strongly supported by a bootstrap value of 97% and a decay index of 13. The small, ground-

dwelling species, *C. aspera*, is the sister taxon to a moderately supported group containing the remaining species (bootstrap 76%, decay index 4), and the two *C. aspera* sequences from the same population are identical. The small, ground-dwelling, *C. karu*, is the sister taxon to a group containing *C. tennentii*, *C. erdeleni*, and all populations of *C. stoddartii* (bootstrap 100%, decay index 17). The large-bodied, semi-arboreal species, *C. erdeleni* and *C. stoddartii*, form a well supported, monophyletic group (bootstrap 100%, decay index 48). The three populations of *C. stoddartii* form a moderately supported monophyletic group to the exclusion of the morphologically similar *C. erdeleni* (bootstrap 86%, decay index 4). Within *C. stoddartii*, populations from Tangamalai and Namunukula are strongly supported as a group (bootstrap 96%, decay index 5).

We investigate whether presence of horns in *Ceratophora* arose once or multiple times. When occurrence of horns is mapped onto the overall shortest tree using MacClade (Fig. 2), multiple origins or losses of horns are depicted on the overall shortest tree (Fig. 1). To test the hypothesis of multiple evolutionary events involving horns, the Wilcoxon signed-ranks test is applied. When the overall shortest tree from analysis of the DNA sequence data is compared to the shortest alternative tree (A in appendix 1) in which all species containing prominent horns form a monophyletic group, this alternative is rejected in favor of the overall shortest tree (n=122, T_s = 5.98, p < 0.0001**). When the overall shortest tree from analysis of the DNA sequence data is compared to the shortest alternative tree (B in appendix 1) in which the two species lacking prominent horns form a clade, this alternative is rejected in favor of the overall shortest tree (n=98, T_s = 6.87, p < 0.0001**). These results indicate that horns either arose multiple times or were lost multiple times among the five species of *Ceratophora*.

DISCUSSION

Horn evolution in Ceratophora

This study provides the first phylogenetic hypothesis for the agamid horned-lizard genus *Ceratophora* and reveals multiple evolutionary events involving horns among the five species. In the parsimony reconstruction (Fig. 2), horns have evolved either three times in *C. aspera, C. tennentii,* and *C. stoddartii,* or once at the base of the *Ceratophora* tree, followed by separate losses in *C. karu* and *C. erdeleni.* This latter hypothesis appears less likely given the radically different morphologies of horns among *Ceratophora* species (Pethiyagoda and Manamendra-Arachchi, 1998). If horns have a single evolutionary origin, horn morphology should be similar among all horned species.

Unfortunately, relatively little is known about the function of horns in *Ceratophora*. Two primary hypotheses have been proposed for the function of ornamentation in iguanian lizards. One hypothesis is that horns are important for species recognition (Rand, 1961). This hypothesis was suggested because closely related species of African chameleons have very different ornamentation types when occurring in sympatry. This hypothesis is unlikely for *Ceratophora*, because the only two sympatric species in this genus do not have horns.

Another hypothesis is that horns function in intraspecific communication, primarily during male-male interactions. The agamid frillneck lizard of Australia, *Chlamydosaurus kingii*, displays more elaborate head-bobs, push-ups, mouth-opening, and full erection of the frill during male-male interactions (Shine, 1990). However, partial erection of the frill is observed during male-female interactions and possible predator interactions. Watkins (1998) demonstrates that the crest in males of the iguanid lizard, *Microlophus occipitalis*, is relatively larger, and is elevated only during interactions between males, never during male-female encounters. This latter hypothesis is the most plausible explanation for horns in *Ceratophora*. In general, horns of male *Ceratophora* are larger than female horns and are movable (Ilangakoon, 1990; Pethiyagoda and Manamendra-Arachchi, 1998). More detailed ecological work is necessary to determine function of horns of *Ceratophora*.

Dating Horn Evolution and Cladogenesis in Ceratophora

Rates of molecular evolution for this of mitochondrial DNA segment are reported in the agamid lizard genus *Laudakia* (Macey *et al.*, 1998a, 2000a) as 0.65% change per million years per lineage. This approximate calibration has been corroborated for fishes (0.65%), bufonid frogs (0.69%), and gekkonid lizards (0.57%) (Bermingham *et al.*, 1997; Macey *et al.*, 1998a, 2000a). After 10 million years, mitochondrial DNA is expected to saturate (Moritz *et al.*, 1987); therefore, a linear relationship of nucleotide substitutions and time is not anticipated. If this rate of molecular evolution is approximately correct for other agamid lizards, then divergence times in the subfamily Draconinae and within *Ceratophora* are expected to exceed 23 million years and 13 million years, respectively (Table 3). All branching events among the endemic Sri Lankan genera, *Ceratophora, Lyriocephalus*, and *Cophotis* are at least 14 million years (~18% sequence divergence; Fig. 1; Table 3).

Our data suggest a Miocene origin of *Ceratophora* with the most recent branching of recognized species occurring at the Pliocene/Pleistocene boundary. The first divergence among *Ceratophora* lineages is estimated to be approximately 12.6 MYA (~16% sequence divergence; Table 3) between *C. aspera* and the common ancestor of the remaining four species. Two million years later at ~10.8 MYA (Fig. 1; Table 3) the lineage leading to *C. karu*, without a prominent horn, split from the lineage ancestral to the remaining species. *Ceratophora tennentii* separated at ~7.1 MYA (Fig. 1; Table 3) from a lineage giving rise to *C. erdeleni* and *C. stoddartii*. At approximately 2.4 MYA, (Fig. 1; Table 3) divergence occurred between ancestral lineages of *C. erdeleni*, which lacks prominent horns, and *C. stoddartii*, which has a unique, singly-scaled, pointed horn. Divergence of the two populations of *Lyriocephalus*, between which relatively little morphological divergence has occurred, is approximately 4.3 MYA (Fig. 1; Table 3).

The large morphological differences observed among horn structures of *C. aspera*, *C. stoddartii*, and *C. tennentii*, and the fact that these species do not form a clade, suggest three separate origins of horns in *Ceratophora*. Our results suggest that horns in *C. aspera*

and *C. tennentii* arose no earlier than middle Miocene, and that horns of *C. stoddartii* arose no earlier than late Pliocene. More detailed studies of relationships among populations within *Ceratophora* species and their ecological attributes are needed to generate more detailed hypotheses regarding horn evolution in this group.

APPENDIX 1

Trees Used in Wilcoxon signed-ranks Tests

The shortest alternative trees recovered from phylogenetic analyses imposing constraints are listed with tree lengths in parentheses.

- A. The most parsimonious tree depicting the alternative hypothesis that *Ceratophora* with prominent horns form a monophyletic group (1579 steps): (*Aphaniotis fusca*, (*Japalura flaviceps*, ((*Cophotis ceylanica*, (*Lyriocephalus scutatus1*, *L. scutatus2*)), ((((*Ceratophora aspera*, *C. tennentii*), (*C. stoddartii1*, (*C. stoddartii2*, *C. stoddartii3*))), *C. erdeleni*), *C. karu*)))).
- B. The most parsimonious tree depicting the alternative hypothesis that *Ceratophora* without prominent horns form a monophyletic group (1581 steps): (*Aphaniotis fusca*, (*Japalura flaviceps*, ((*Cophotis ceylanica*, (*Lyriocephalus scutatus1*, *L. scutatus2*)), (*Ceratophora aspera*, (((*C. karu, C. erdeleni*), (*C. stoddartii1*, (*C. stoddartii2*, *C. stoddartii3*))), *C. tennentii*))))).

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FIGURE CAPTIONS

Figure 1. Phylogenetic relationships among *Ceratophora* species. Single most parsimonious tree obtained from analysis of 1670 aligned base positions (472 phylogenetically informative) of mitochondrial DNA (length = 1513 steps, consistency index 0.716). Bootstrap values are presented above branches and decay indices are shown in bold below branches. Times of divergence for each node are denoted with arrows at that particular node (mya represents million years ago) based on the pairwise sequence divergence rate of 1.3% per million years (Macey et al., 1998a).

Figure 2. Parsimony reconstruction of the presence of horns in the agamid lizard genus *Ceratophora*. The overall shortest tree as shown in figure 1 is presented. Light branches represent lineages that do not contain a prominent extension of the rostrum, dark branches are those lineages with a rostral protuberence, and hatched branches are lineages equivocal for the presence of rostral structures. Based upon large morphological differences among horns of different species, we favor four separate origins of horns in *C. aspera*, *C. stoddartii*, *C. tennentii*, and *L. scutatus*.