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1 Introduction

The U.S. Department of Energy (DOE) is conducting test procedure and energy conservation standard rulemakings for microwave ovens.¹ A “microwave oven” is a cooking appliance that is capable of cooking or heating food using microwave energy. These units can be self-contained counter-top units; units placed over a conventional range with a fume hood attached (also known as over-the-range microwave ovens); or built-in units that are installed surrounded by kitchen cabinetry. They can also include “convection microwave ovens,” which are cooking appliances capable of cooking or heating food using both microwave energy and convection heat transfer in a single compartment. Such appliances have the capability of heating food using microwave energy or convection heat transfer or both microwave and convection in a single cooking cycle. These units generally offer a “convection,” “bake,” or “combo” cooking mode on the user interface.

DOE divides products under analysis into classes by the type of energy used, capacity, or other performance-related features that affect consumer utility and efficiency. Installation types are grouped as (1) countertop and (2) built-in and over-the-range. For the DOE test procedure and standard rulemaking, two types of microwave ovens are of interest, specifically:

- Microwave-only ovens and countertop convection microwave ovens
- Built-in and over-the-range convection microwave ovens

DOE must decide whether the test procedure for convection microwave ovens should test only microwave mode or also convection mode. Therefore, DOE is interested in how often convection-capable microwaves are used in convection mode. While some consumer data exist on microwave ovens generally, there are no data that allow a differentiation between types of microwave ovens, their form of installation, or consumer use of the convection mode.

In order to supplement the microwave data currently available, LBNL undertook data collection of its own using online surveys. We sought details of microwave oven ownership and use, such as:

- Distinguishing characteristics of households with convection microwave ovens
- Frequency and duration of cooking events for each microwave oven product class to estimate how much energy is consumed by each class.

¹ See the Department of Energy website for Cooking Products including microwave ovens: http://www1.eere.energy.gov/buildings/appliance_standards/residential/cooking_products.html

The following sub-sections summarize the existing data as well as the specific data LBNL obtained with surveys.

1.1 Existing data

While much of the specific data DOE requires for its rulemaking does not exist, several sources have published information on microwave ownership and use. These sources include the Residential Energy Consumption Survey (RECS), American Housing Survey (AHS), the Association of Home Appliance Manufacturers (AHAM), and Appliance Magazine.

1.1.1 Residential Energy Consumption Survey (RECS)

RECS is published every few years by the Energy Information Administration within the DOE. It asks hundreds of questions about home characteristics, appliances, and demographics, and is designed to be a representative sample of U.S. households. The most recent survey (RECS 2009) was deployed in 2010 and contained more than 12,000 samples taken from 16 States (McNary and Berry, 2012).

In 1978, when RECS began inquiring about microwaves, just 8% of households used one. Just 30 years later in the 2009 RECS sample, 96% of households used microwave ovens. Initially RECS asked only about the presence of a microwave oven. RECS now collects data on whether a respondent uses a microwave, for how many meals and snacks, and whether the respondent uses it to defrost food. RECS contains no information specific to convection microwave ovens.

1.1.2 American Housing Survey (AHS)

AHS is a national housing survey sponsored by the Department of Housing and Urban Development, conducted by the U.S. Census Bureau and published every two years since 1973. The Survey provides a current and continuous series of data of selected housing (including general housing data, housing quality and equipment, etc.) and demographic characteristics. The most recent survey (AHS 2011) was deployed in 2011 and contained more than 70,000 samples taken from four census regions.

AHS collects data on whether a selected housing unit has a working cook stove or range/oven. Microwave ovens data are collected only if a housing unit does not have a complete kitchen facility.² AHS redesigned its questionnaire regarding kitchen equipment (including microwave ovens) several times during the past. The questionnaire has asked directly about microwave presence since 1997. Therefore, data of microwave presence prior to 1997 cannot be compared to more recent data. According to AHS 2011, about 97% of the housing units in the U.S. have a microwave oven.

Similar to RECS, AHS contains no information about product classes of microwave ovens.

² A completed kitchen facility means it has all of the following; (1) kitchen sink; (2) burners, cook stove, or microwave oven; and (3) refrigerator.

1.1.3 AHAM

AHAM collected information on microwave shipments from 1972-2009 (AHAM 2009). AHAM has also published numbers on the saturation of microwaves in use in American homes for various years.

1.1.4 Appliance Magazine

Appliance Magazine provides shipments of microwave ovens, broken down into convection, countertop, and over-the-range (as well as microwave ovens in ranges until 1996) from 1991 to 2007 (Appliance Magazine 2008). Appliance Magazine has also published information on the life expectancy of microwaves as well as saturation (Appliance Magazine 2009). Over-the-range units are not differentiated by cooking feature type and no information about consumer frequency or time usage amounts of different microwave oven types is provided.

2 Methods

To determine saturations and energy use for specific product classes, we found insufficient information in existing sources and, therefore, explored collecting the information from surveys that would be administered over the Internet.

2.1 Survey Design

We developed two main surveys for deployment, with one focused on microwave ovens in general (MWO) and the other focused on convection microwave ovens (CMWO). These surveys were open to only the subset of U.S. adult residents who owned MWOs or CMWOs, as appropriate for each survey.

The survey included questions related to microwaves that would help obtain information necessary for DOE's rulemakings. This included the following information shown in Table 2.1.

Table 2.1: Parameters and related survey questions

| Parameter | Query |
|-----------------------------|---|
| Product Class saturation | Number of total microwaves in the home |
| | Number of convection microwaves in the home |
| | Type of microwave (built-in, over-the-range, countertop) |
| | Brand and model |
| Frequency of Use | Frequency of use (by mode for convection microwave ovens) |
| Duration of Use Event | Heating time (by mode for convection microwave ovens) |
| Connection to other surveys | Demographics of the respondent and home |

We used the RECS 2009 survey to provide the format and wording of most of the demographic questions used in our surveys. The demographic questions included

were: zipcode, gender, ethnicity, race, education, occupants by age, annual household income, type of home, and owned vs. rented.

For the CMWO survey, we also included a “cheater” question, which is a non-topical question that attempts to identify frivolous respondents. This question specifically asked respondents to choose the correct number of minutes in an hour. No cheater question was employed for the MWO survey because it was deemed unnecessary.

The full survey can be found in Appendix A.

2.2 Online Survey Description

Many survey methods exist including online surveys. As internet availability and use has grown, the appeal of online surveys has increased. Online surveys offer the possibility to collect data less expensively, over a wider geographic area, and in a shorter time, than traditional in-person, telephone, or mail-in surveys. Currently, one commonly used platform to administer online surveys is Amazon Mechanical Turk (AMT), which is available through Amazon.com. AMT requires respondents to answer online questions and include information that cannot be determined by computers alone, such as model numbers on household appliances. (Greenblatt, et al. 2012)

We deployed the surveys discussed in this report using Amazon Mechanical Turk (AMT). When compared with the general U.S. demographics, AMT workers are younger, more typically female, and have lower incomes and smaller families (Ipeirotis, 2010). In order to help correct for these biases, we over-sampled certain demographic subgroups by launching targeted subgroup surveys in addition to the general population (“gen pop”) surveys of MWO or CMWO owners. These sub-samples were deployed based on experience with other LBNL AMT surveys (Greenblatt et al., 2012) that found the following demographic groups were generally under-represented in raw general population AMT data by about a factor of three compared with RECS:

- 1) Black/African American households
- 2) Households with persons age 60 and older
- 3) Respondents with no college education

After compiling the gen pop and demographic subgroup data for each survey (i.e., MWO and CMWO), we used a weighting method to further correct the AMT samples to be representative of the U.S. population. However, we recognize that biases may still exist. For full details of the weighting method and potential biases, please see the LBNL report. (Greenblatt, JB, et al., 2012)

2.3 Logistics

Each targeted product survey consisted of one gen pop survey and three demographic sub-group surveys. We aimed for at least 2,500 final responses for each targeted product survey. We set quantity goals of 2,000 completions each for

the gen pop surveys, 500 each for the demographic age surveys, and 300 each for the demographic race and education surveys. This total of 3,100 responses for each targeted survey would allow for us to disqualify up to 600 responses and still meet the goal of 2,500.

Based on experience with other surveys, we launched both general population surveys at \$0.50 per survey, with \$1.00 for the demographic sub-group surveys. Some CMWO surveys were re-launched with increased returns in an attempt to attract more respondents. However, in all surveys except the education sub-group for MWOs, the surveys were stopped before reaching the quota goal because of slow response. We ended with 2519 total MWO respondents and 1449 total CMWO respondents. Table 2.2 summarizes the survey fielding details.

Table 2.2: Survey Fielding

| Survey | Quantity Goal | Payment per Respondent | Number of Respondents | Respondents in Final Sample |
|--------------------|----------------------|-------------------------------|------------------------------|------------------------------------|
| <i>MWO</i> | <i>3100</i> | <i>N/A</i> | <i>2519</i> | <i>2519</i> |
| Gen Pop | 2000 | \$0.50 | 1709 | 1709 |
| Demo-Age | 500 | \$1.00 | 243 | 243 |
| Demo-Race | 300 | \$1.00 | 267 | 267 |
| Demo-Edu | 300 | \$1.00 | 300 | 300 |
| <i>CMWO</i> | <i>3100</i> | <i>N/A</i> | <i>1809</i> | <i>1449</i> |
| Gen Pop | 2000 | \$0.50 | 320 | 0* |
| Gen Pop L2 | 2000 | \$0.75 | 207 | 207 |
| Gen Pop L3 | 2000 | \$1.75 | 643 | 643 |
| Demo-Race | 300 | \$1.00 | 66 | 66 |
| Demo-Race L2 | 300 | \$2.00 | 175 | 175 |
| Demo-Edu | 300 | \$1.00 | 169 | 169 |
| Demo-Age | 500 | \$1.50 | 189 | 189 |

2.4 Data clean-up

In order to perform the analysis on the best data available, we evaluated the raw data received and discarded the following data:

- Respondents who skipped or reported zero microwaves (or CMWOs for CMWO survey)
- Respondents who did not qualify for the sub-group survey to which they responded (based on their reported demographics)

- Duplicate respondents (i.e. took the same survey twice – including subgroup surveys)
- Respondents with excessive skipped questions (3 or more that were not part of a skip pattern)
- Questionable respondents
 - Respondents who could potentially be “cheaters”³
 - Respondents in the demographic age survey who list four or more persons above age 60 in the home
- Respondents in the CMWO survey for whom we could not verify through on-line searching that the brand and model they provided is actually a CMWO.

Table 2.3 indicates the number of raw responses for each survey, how many responses were eliminated due to various criteria, and the final clean response counts.

Table 2.3. Survey cleaning statistics

| | MWO | CMWO |
|---|-------------|-------------|
| Raw responses | 2519 | 1449 |
| Eliminated responses* | 261 | 367 |
| - No microwave ovens/CMWO | 38 | 65 |
| - Demographic subgroup disqualification | 77 | 119 |
| - Duplicate | 97 | 118 |
| - Excessive number of skipped questions | 62 | 129 |
| - Questionable | 160 | 226 |
| Remaining clean responses | 2258 | 1082 |
| Could not confirm model as CMWO | N/A | 429 |
| Remaining responses | 2258 | 653 |

* Some eliminated responses may count in several categories.

2.5 Survey weightings

Weighting data means to adjust raw results to represent the population from which the sample was drawn. LBNL explored different survey weighting techniques to validate the method for post-stratification weighting of the AMT surveys.

(Greenblatt et al., 2012)⁴ Based on that analysis, we used the simultaneous

³ Besides CMWO respondents who incorrectly answered the specific cheater question listed as Q6 in Appendix B (the number of minutes in an hour), we regarded some responses to general questions as potentially indicative of cheaters. For the MWO survey, respondents whose reported number of CMWOs exceeded the total number of MWOs. For both surveys, respondents for whom the total of the number of people reported in the house by age was off by more than one from the provided overall count.

⁴ The validation study looked at two other surveys (refrigeration products—RP; and TVs/Set-top Boxes—TS) using the same AMT approach and weighting methods as used for microwave ovens, and

weighting method, which simultaneously weights the AMT surveys based on a few important demographics of a reference sample of RECS 2009 microwave owners. This process corrects for the known demographic biases in the AMT population. The AMT and reference surveys are subdivided into many demographic subcategories and the relative weights of the AMT respondents in each subcategory are adjusted to match the equivalent subcategory in the reference sample. Because the reference and AMT surveys have a limited number of total respondents, there is a practical limit to the total number of subcategories. Given the size of RECS 2009, and the demographic biases of AMT, the subcategories that result in the best overall correction include the following demographics: region, total number of people per household, race, total number of 20-29 year olds per household, and education level of head of household. See Greenblatt et al. (2012) for more details on the general weighting methodology.

2.5.1 CMWO weighting

We performed the final weighting of the CMWO survey directly to the reference sample of RECS 2009 microwave owners using simultaneous weighting method as noted above. However, this was not the originally intended approach, as ideally the CMWO survey should be weighted against a population of CMWO owners (as opposed to general MWO owners); however, the small size of the CMWO subsample made this unfeasible. (See Section 2.5.1.1) We also compared the raw demographic distributions and usage patterns of MWOs and CMWOs, and our analysis indicates that our final weighting approach may result in an undersampling of high income respondents and a possible bias in microwave usage. (See Section 2.5.1.2)

2.5.1.1 Originally Intended Approach

For CMWOs, which have low household penetration, we developed a two-tiered process where first we obtained the incidence of CMWOs among U.S. households using a short survey of all microwave owners, and then we deployed a targeted survey only for owners of CMWOs. The first survey (the “parent”) is designed to obtain the demographic distribution of CMWO owners, which after weighting to match RECS microwave owners, produces a demographic distribution against which, theoretically, the second survey (the “child”) can then be weighted.

In other words, the MWO survey was supposed to be weighted to RECS microwave owners, and the CMWO survey was supposed to be weighted to the subsample of CMWO owners in the weighted MWO data. However, although 595 respondents in the MWO survey said they had a convection microwave oven, we were only able to verify 106 respondents. This is a very small sub-sample to use to weight the “child” survey.

performed statistical tests on several survey questions for which answers were known (RECS and IMS Research/NCTA). The authors found that the “simultaneous” weighting approach generally improved the match for these answers better than the other method (“sequential”), and both made improvements over the unweighted data.

We made two attempts to do this weighting using the sequential (or iterative) weighting method, as described in the LBNL report (Greenblatt, et al. 2012). The simultaneous weighting method does not work well with small reference samples, as the number of subcategories is extremely limited. First, we attempted to weight the child directly to the parent. We also attempted to weight the child directly to RECS and then weight it to the parent, hoping it would take fewer iterations to converge. In both these methods, the attempt to weight to the parent using the sequential method failed before convergence. The likely reason for this is that the sub-sample used is a tiny sub-sample of the entire MWO survey, and the demographics are likely skewed and not representative of CMWO-owning households. For example, we identified a skewed gender distribution, a majority of respondents with less than college education, an income distribution that does not seem to correlate with the education distribution, and a non-representational regional distribution. (See Figures 1 to 4)

Given the difficulties in trying to weight the child to the parent using the sequential weighting method as our originally intended approach, we were forced to weight the CMWO survey directly to the microwave owner subsample in RECS 2009 using the simultaneous weighting method, as we did with the MWO survey, because the RECS 2009 microwave owner subsample is sufficiently large.

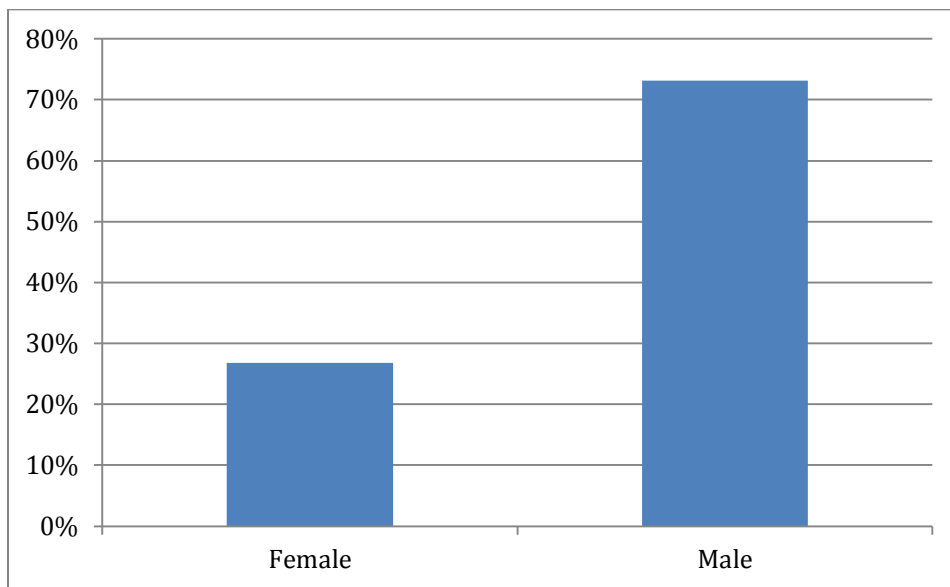


Figure 1: Gender Distribution of CMWO sub-sample in MWO Survey

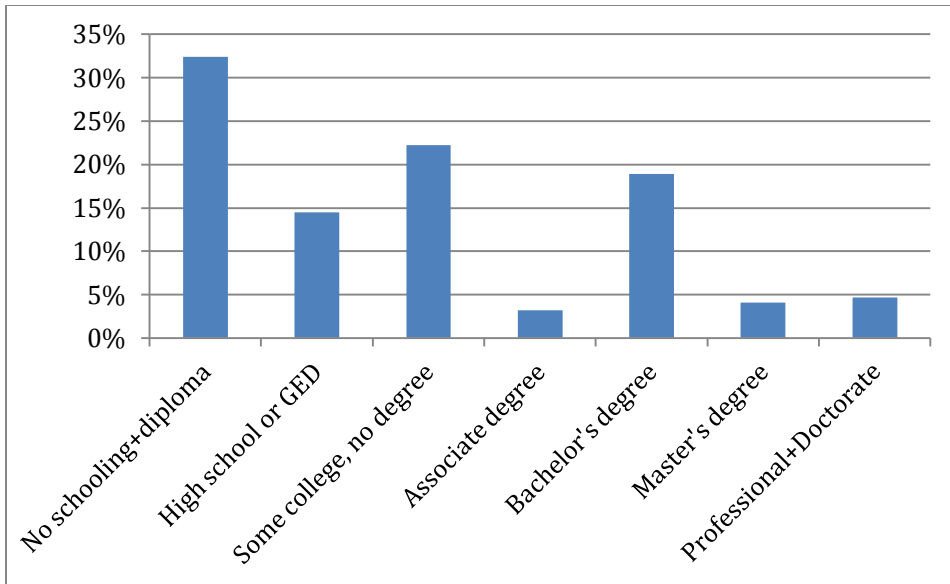


Figure 2: Education Level Distribution of CMWO sub-sample in MWO Survey

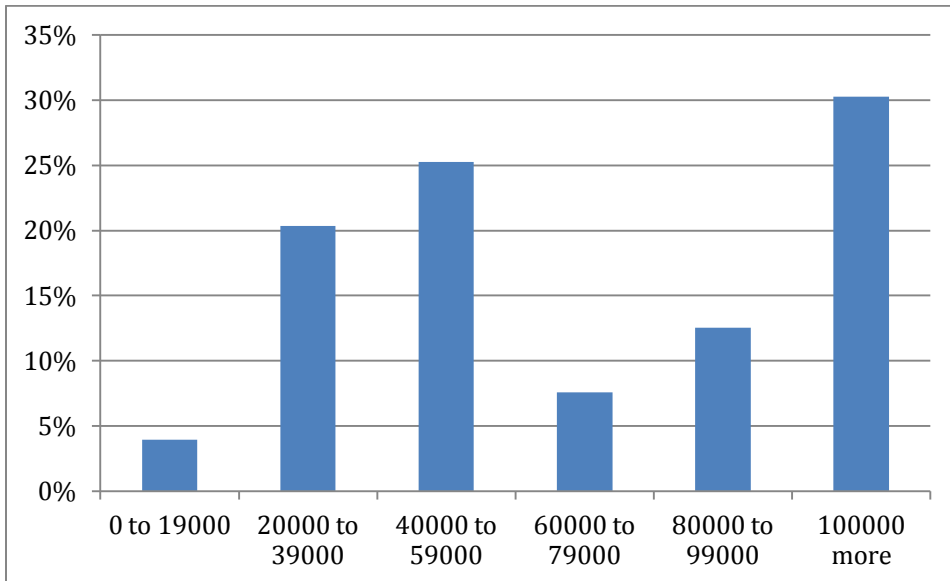


Figure 3: Income Distribution of CMWO sub-sample in MWO Survey

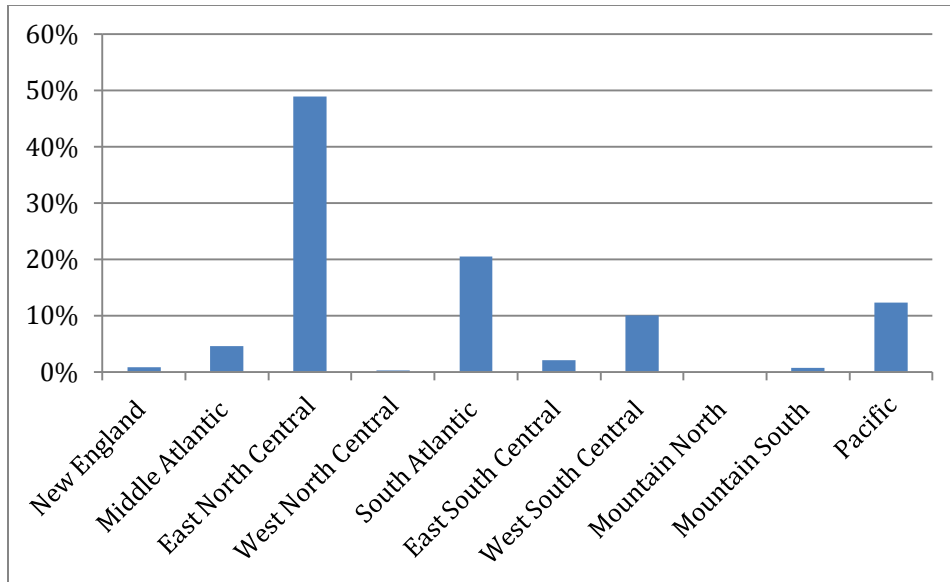


Figure 4: Regional Distribution of CMWO sub-sample in MWO Survey

2.5.1.2 Differences Between CMWO and MWO Owners and Usage

Because we could not successfully weight the child to the parent due to the small sub-sample size of CMWO owners in the parent survey, we performed two analyses to determine how appropriate it is to weight the child directly to RECS. Weighting to the parent would be important if the CMWO population was different from the MWO population in a way that affects microwave usage. For example, we would expect CMWO owners to be more affluent because CMWOs cost more. However, increased income may affect ownership but not usage.

Owners

First, we compared the raw CMWO data to the raw MWO data. We found a skew in income distribution, with the CMWO sample having 9% fewer respondents with incomes less than \$80,000 as compared to the distribution of all MWO owners. (See Table 2.4) This is to be expected. We also found two other anomalies: 6% more CMWO respondents in the Middle Atlantic region, and 9% more CMWO respondents with people aged 20-29 in their households. However, these demographics – region and age of people in house – did not demonstrate a clear trend. Therefore, we conclude that the main difference between CMWO owners and MWO owners is income.

Table 2.4. Comparisons of Raw CMWO and MWO Demographic Distributions

| Income | CMWO | MWO | Difference |
|-------------------------------|-------------|------------|-------------------|
| \$0-\$19,999 | 8% | 12% | -4.5% |
| \$20,000-\$39,999 | 24% | 24% | -0.3% |
| \$40,000-\$59,999 | 19% | 23% | -3.7% |
| \$60,000-\$79,999 | 17% | 17% | 0.0% |
| \$80,000-\$99,999 | 13% | 9% | 3.6% |
| \$100,000-\$119,999 | 7% | 6% | 0.8% |
| \$120,000-\$149,999 | 5% | 4% | 0.9% |
| \$150,000-\$199,999 | 4% | 3% | 1.6% |
| \$200,000 or more | 3% | 2% | 1.6% |
| Region | CMWO | MWO | Difference |
| New England | 6% | 5% | 1% |
| Middle Atlantic | 20% | 13% | 6% |
| East North Central | 13% | 16% | -3% |
| West North Central | 7% | 7% | 0% |
| South Atlantic | 21% | 22% | -1% |
| East South Central | 6% | 5% | 1% |
| West South Central | 7% | 8% | -1% |
| Mountain North | 2% | 3% | -1% |
| Mountain South | 3% | 3% | 0% |
| Pacific | 15% | 17% | -2% |
| Age of People in House | CMWO | MWO | Difference |
| <20 | 44% | 46% | -1% |
| 20-29 | 63% | 54% | 9% |
| 30-39 | 27% | 30% | -3% |
| 40-49 | 21% | 25% | -4% |
| 50-59 | 26% | 27% | -2% |
| 60-69 | 18% | 15% | 4% |
| >=70 | 5% | 6% | -1% |

Usage

We then looked at the RECS microwave usage data to determine if there are any income effects on microwave use. Figure 5 shows that higher income people are more likely to use their microwaves for defrosting than are lower-income people (which might affect average heating time). Figure 6 shows that for the number of meals and snacks that the microwave is used, there is no clear-cut relationship. Low-income people are more likely to use their microwaves for most meals and snacks, but are also more likely to use their microwaves very little.

RECS microwave defrost usage by income

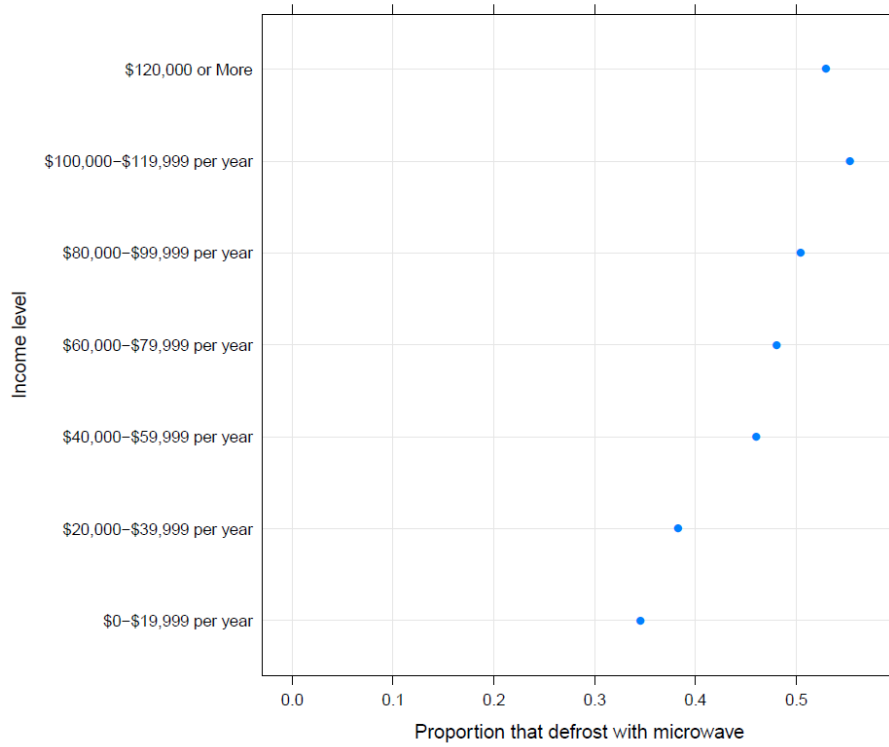


Figure 5: RECS microwave defrost usage by income

RECS microwave usage for cooking and reheating, by income

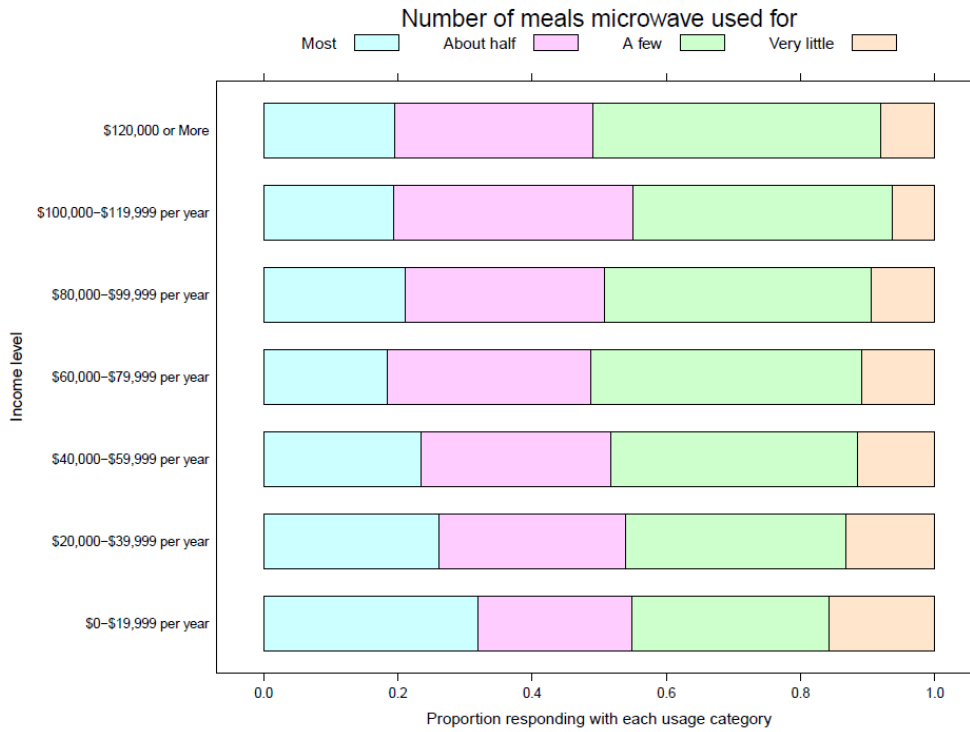


Figure 6: RECS microwave usage for cooking and reheating, by income

This usage analysis implies that there may be some income effect on average heating time and potentially frequency of use, although the relationship is not clear. Because we were unable to make adjustments to the CMWO weighting to capture this affect (i.e., we could not weight directly to the parent but instead only to RECS MWO owners), we recognize that our approach may result in an undersampling of high income respondents in the CMWO survey and a possible bias in microwave usage.

2.5.2 Demographic Weighting Results

Table 2.5 shows the demographics of the raw clean AMT surveys and the final weighted AMT surveys as compared to the RECS sub-sample of microwave users.

Table 2.5: Demographic Comparison between Raw and Weighted Data

| | RECS MWO Owners | MWO | | CMWO | |
|----------------------------|-----------------------|------------|------------|------------|------------|
| | | Raw AMT | Wtd AMT | Raw AMT | Wtd AMT |
| Gender | | | | | |
| Male | 46.8 | 47.1 | 44.1 | 55.1 | 58.0 |
| Female | 53.2 | 52.2 | 54.9 | 42.7 | 40.4 |
| Decline to state | - | 0.8 | 1.0 | 2.1 | 1.5 |
| Education | | | | | |
| No school | 1.8 | 0.2 | 0.1 | 0.0 | 0.0 |
| Kindergarten to grade 12 | 8.9 | 1.1 | 0.7 | 0.6 | 0.2 |
| High school diploma or GED | 27.3 | 17.2 | 25.1 | 18.2 | 24.2 |
| Some college, no degree | 22.4 | 29.5 | 27.5 | 31.1 | 30.1 |
| Associate's degree | 9.7 | 8.9 | 7.4 | 6.7 | 4.3 |
| Bachelor's degree | 19.6 | 29.2 | 24.9 | 31.5 | 27.8 |
| Master's degree | 7.6 | 9.7 | 9.6 | 7.8 | 10.3 |
| Professional degree | 1.6 | 2.0 | 2.0 | 1.2 | 0.7 |
| Doctorate | 1.2 | 1.4 | 1.2 | 1.2 | 1.2 |
| Decline to state | 0.0 | 0.8 | 1.5 | 1.5 | 1.1 |
| Household income | | | | | |
| 0-20 | 20.1 | 11.6 | 13.8 | 7.4 | 11.5 |
| 20-40 | 24.0 | 22.9 | 27.2 | 22.5 | 23.3 |
| 40-60 | 18.8 | 21.9 | 20.9 | 18.2 | 15.6 |
| 60-80 | 12.7 | 16.3 | 15.0 | 16.2 | 14.0 |
| 80-100 | 8.4 | 8.6 | 6.8 | 11.9 | 10.7 |
| 100-120 | 5.1 | 5.9 | 4.6 | 6.6 | 9.9 |
| 120+ | 10.9 | 7.7 | 6.2 | 11.3 | 10.0 |
| Decline to state | 0.0 | 5.0 | 5.5 | 5.8 | 4.9 |
| Race | | | | | |
| Asian | 3.3 | 7.4 | 3.5 | 5.5 | 5.1 |
| Black | 13.1 | 11.8 | 13.2 | 13.0 | 10.6 |

| | RECS MWO Owners | MWO | | CMWO | |
|--|-----------------------|------------|------------|------------|------------|
| | | Raw AMT | Wtd AMT | Raw AMT | Wtd AMT |
| White | 68.0 | 70.6 | 68.7 | 68.3 | 72.5 |
| Hispanic | 12.6 | 5.7 | 11.0 | 5.7 | 7.3 |
| Other | 2.9 | 3.3 | 2.3 | 3.7 | 1.0 |
| Decline to state | - | 1.2 | 1.3 | 3.8 | 3.5 |
| Number of people per household | | | | | |
| 1 | 26.6 | 11.4 | 26.6 | 8.4 | 26.6 |
| 2 | 31.8 | 26.8 | 31.8 | 29.9 | 31.8 |
| 3 | 16.2 | 25.2 | 16.2 | 24.8 | 16.2 |
| 4 | 14.0 | 21.3 | 14.0 | 21.1 | 14.0 |
| 5+ | 11.3 | 15.4 | 11.3 | 15.8 | 11.3 |
| Presence of each age category in response | | | | | |
| under 20 | 37.0 | 45.5 | 37.1 | 44.1 | 40.7 |
| 20-29 | 23.1 | 53.9 | 25.3 | 62.9 | 32.3 |
| 30-39 | 24.9 | 30.2 | 37.1 | 27.0 | 37.4 |
| 40-49 | 28.2 | 24.5 | 25.6 | 21.1 | 24.8 |
| 50-59 | 27.1 | 27.4 | 27.5 | 25.6 | 19.9 |
| 60-69 | 18.9 | 14.7 | 17.4 | 18.2 | 16.3 |
| 70+ | 17.6 | 5.8 | 6.2 | 5.2 | 3.2 |
| Region | | | | | |
| New England | 4.8 | 5.3 | 4.8 | 6.0 | 4.8 |
| Mid Atlantic | 12.9 | 13.2 | 12.9 | 19.8 | 12.9 |
| East North Central | 15.8 | 16.3 | 15.8 | 13.0 | 15.8 |
| West North Central | 7.2 | 6.7 | 7.2 | 7.0 | 7.2 |
| South Atlantic | 21.2 | 22.3 | 21.2 | 21.0 | 21.2 |
| East South Central | 6.3 | 4.8 | 6.3 | 5.5 | 6.3 |
| West South Central | 11.4 | 8.1 | 11.4 | 7.4 | 11.4 |
| Mountain North | 3.4 | 3.3 | 3.4 | 2.1 | 3.4 |
| Mountain South | 2.0 | 2.8 | 2.0 | 3.4 | 2.0 |
| Pacific | 14.8 | 17.3 | 14.8 | 14.9 | 14.8 |

2.5.3 Analytical Methodology

Most results presented in this report are based on simple analysis of the weighted survey data. However, some of the analyses required more detailed methodologies, which are described here.

2.5.3.1 Determination of Microwave Type (MWO Survey)

Shipment data from Appliance Magazine (2008) show that approximately 2% of shipments (as of 2007) are convection microwave ovens. This fraction has held

steady between 1% and 2% since 1998. In addition, in the DOE Test Procedure Notice of Data Availability (NODA) (77 FR 33106), it was noted that Whirlpool believes convection microwave ovens represent less than 4% of U.S. shipments. However, the original weighted MWO survey data show that 25% of microwave owners have convection microwave ovens (see Table 2.6). Because of this discrepancy between the survey data and other sources of information on convection microwave penetration, we performed model-matching on three subsets of MWO respondents, as shown in Table 2.7, to better identify the penetration of convection microwaves. Because we did not check all models and some models we checked could not be verified as either MWO or CMWO, we made some assumptions regarding counts that should be used for calculating penetration. These are also noted in Table 2.7.

Table 2.6: Convection Microwave Presence (MWO Survey)

| Number of convection microwaves | Count | Fraction of total* |
|---------------------------------|-------|--------------------|
| 0 | 1672 | 75% |
| 1 or More | 562 | 25% |
| Don't know/blank | 24 | - |
| Grand total | 2258 | 100% |

* Excluding "Don't know" and blanks.

Table 2.7: Convection Microwave Verification Process (MWO Survey)

| | Count | Count Used for CMWO | |
|--|-------------|---------------------|---|
| | | Penetration | Reason for Choice |
| Total respondents | 2258 | N/A | N/A |
| Respondents who said they have a CMWO (by count) | 562 | N/A | N/A |
| Respondents who said their most used microwave was a CMWO | 185 | N/A | N/A |
| <i>Verified as CMWO</i> | 61 | 61 | N/A |
| <i>Verified as regular MWO</i> | 77 | 0 | N/A |
| <i>Unknown</i> | 47 | 21 | Assume proportional to others who have a CMWO and provided that model # |
| Respondents who offered conflicting responses: should not have a regular MWO (by count) but said their most used microwave was a regular MWO (or don't know/blank) | 319 | N/A | N/A |
| <i>Verified as CMWO</i> | 32 | 32 | N/A |
| <i>Verified as regular MWO</i> | 202 | 0 | N/A |
| <i>Unknown</i> | 84 | 12 | Assume proportional to others who should |

| | Count | Count Used for CMWO Penetration | Reason for Choice |
|--|------------|---------------------------------------|---|
| | | | only have a CMWO but provided MWO # |
| Respondents who have both regular MWO and CMWO (by count) and said their most used microwave was a regular MWO (or don't know/blank) | 58 | 26 | Assume proportional to those who have a CMWO and provided that model # |
| Respondents who said they had 0 or unknown CMWO (by count) but said their most used microwave is a CMWO | 2 | N/A | N/A |
| <i>Verified as CMWO</i> | 0 | 0 | N/A |
| <i>Verified as regular MWO</i> | 0 | 0 | N/A |
| <i>Unknown</i> | 2 | 0 | Assume count was correct and respondents have 0 CMWOs |
| Respondents who said they have no CMWOs (by count or by most used) | 1693 | 0 | Assume respondents were correct and have 0 CMWOs |
| TOTAL VERIFIED OR ESTIMATED TO HAVE CMWO | N/A | 152 | |

We also used the verification process to report results from the MWO survey by type:

- Data classified as convection microwaves consist of only respondents with microwaves verified as convection through the model-matching process;
- Data classified as regular microwaves consist of both
 - respondents with microwaves verified as regular through the model-matching process and
 - respondents who said they only have regular microwaves so were not checked; and
- Data classified as unknown consist of
 - respondents with microwaves for which the model-matching process was not successful, and
 - respondents who responded “don't know” or left the most-used microwave type blank.

Note that these choices may differ from those used for penetration because for this exercise we must associate a classification with each respondent rather than assign a percentage to a group of respondents.

We did a model verification process for the CMWO survey and the CMWO survey final results are only from verified CMWOs.

2.5.3.2 Calculation of Mean Annual Usage

The MWO and CMWO surveys ask about frequency of use and average heating times by offering ranges of answers (see Q6 in Appendix A and Q4 in Appendix B.) In order to develop mean annual usage statistics, we assigned values to each range. The assigned value is the average of the range, with the following exceptions where one end of the range is unknown: “At least 10 minutes” is assigned 10 minutes; “More than 5 times a day” is assigned 5 times a day; “One hour or more” is assigned one hour; “More than once a day” is assigned two times a day (to differentiate it from the “once a day” option); “Once a year or less” is assigned once a year.

3 Final results

This section presents the results from the weighted MWO and CMWO survey data.

3.1 Microwave ownership

The MWO survey was directed toward microwave owners only (as opposed to the full U.S. population), and as such, it does not verify the penetration of microwaves. According to RECS 2009, 96% of U.S. homes use a microwave oven.

3.1.1 General Microwave

Table 3.1 shows the distribution of microwave ownership, with 89% of respondents in the MWO survey reporting just one microwave. Among homes with microwaves, the average number is 1.12; thus saturation of microwaves in U.S. homes is 107%.⁵

Table 3.1: Microwave Count (MWO Survey)

| Number of microwaves | Count | Fraction of total |
|----------------------|-------|-------------------|
| 1 | 2018 | 89% |
| 2 | 222 | 10% |
| 3 | 16 | 1% |
| 4 | 2 | 0% |
| Don't know/blank | - | - |
| Grand total | 2258 | 100% |

3.1.2 Convection Microwave

We used the verification process discussed in the methodology section to estimate penetration of convection microwave ovens at 6.7% of microwave-using households, or 6.4% of all U.S. households.⁶

⁵ Penetration is the percentage of homes with a product; saturation is the number of all products divided by all homes; 96% of houses with a microwave, multiplied by 1.12 microwaves per house with a microwave, equals 1.07 microwaves per all homes on average, or 107% saturation.

Table 3.2 shows the distribution of convection microwaves from the CMWO survey, with 96% of convection microwave owners having only one convection microwave.⁷ The average number of convection microwaves per owner is 1.05; therefore the saturation of convection microwaves in U.S. households is 6.7%.

Table 3.2: Convection Microwave Count (CMWO Survey)

| Number of convection microwaves | Count | Fraction of total |
|---------------------------------|-------|-------------------|
| 1 | 627 | 96% |
| 2 | 22 | 3% |
| 3 | 4 | 1% |
| Don't know/blank | - | - |
| Grand total | 653 | 100% |

3.2 Microwave Type

Microwaves are generally sold as countertop, over-the-range, or built-in models. Figure 7 shows this breakdown across all microwaves in the MWO survey; approximately two-thirds of microwaves are countertop models. Table 3.3 shows the breakdown by microwave classification as determined from the MWO survey, as well as for convection microwaves in the CMWO survey. Note that we did not perform any verification of microwave type other than the regular/convection distinction discussed earlier.

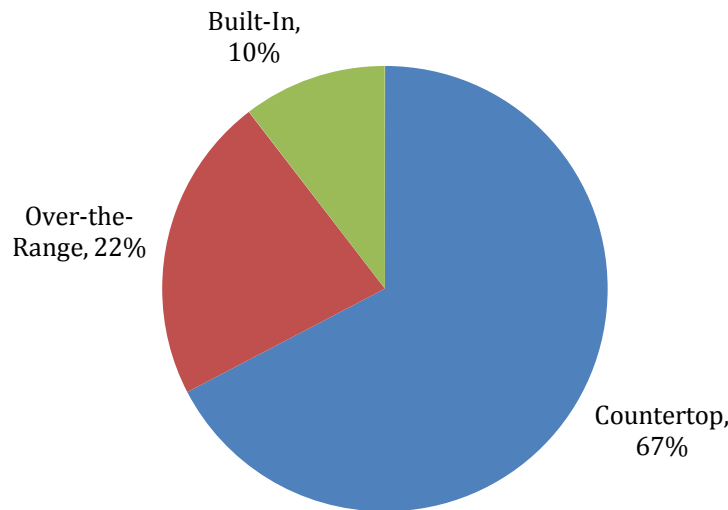


Figure 7: Microwave Type (MWO Survey; n=2258)

⁶ 96% of U.S. households have a microwave, and 6.7% of these have a CMWO, which totals 6.4% of all households.

⁷ Although this question was also asked in the general microwave survey, we do not report it here because of the large number of respondents who may be incorrect about whether or not they have a convection microwave.

Table 3.3: Microwave Type (MWO and CMWO Survey)

| Type of Microwave | MWO Survey | | | Total (n=2258) | CMWO Survey (n=653) |
|-------------------|---------------------|----------------------|--------------------|-------------------|---------------------------|
| | Regular (n=2005) | Convection (n=94) | Unknown (n=159) | | |
| Countertop | 69% | 45% | 57% | 67% | 57% |
| Over-the-Range | 22% | 31% | 24% | 22% | 26% |
| Built-In | 9% | 23% | 17% | 10% | 16% |
| Don't Know/Blank | 0% | 1% | 1% | 0% | 0% |
| Total | 100% | 100% | 100% | 100% | 100% |

3.3 Microwave Use

3.3.1 MWO Survey

Figure 8 shows the distribution of frequency of use across all microwaves, and Table 3.4 breaks this down by classification. Microwaves are most typically used 3-5 times a day.

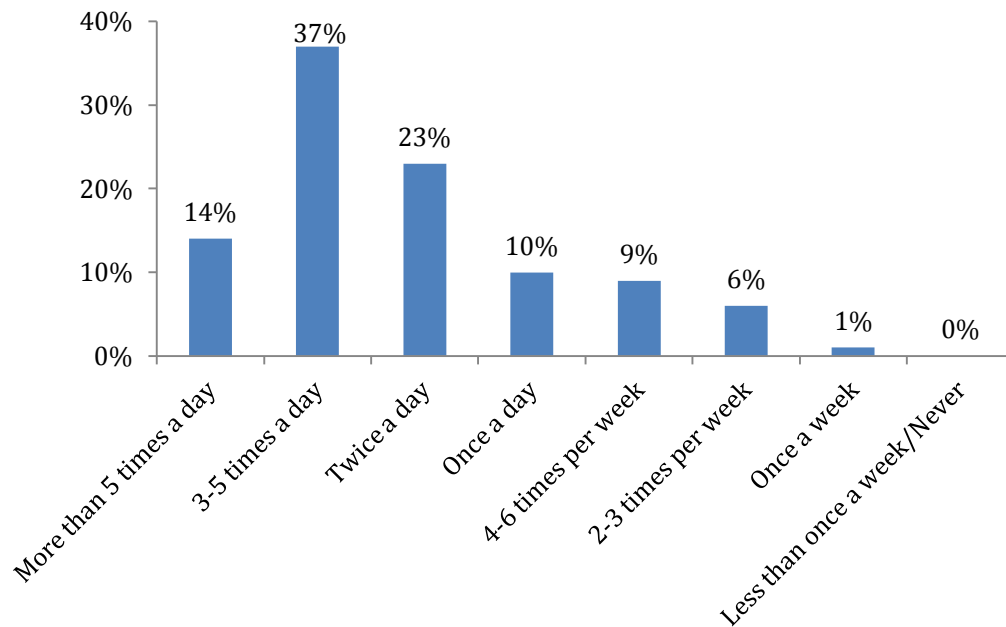


Figure 8: Microwave Frequency of Use (MWO Survey; n=2258)

Table 3.4: Microwave Frequency of Use (MWO Survey)

| Frequency of Use | Regular (n=2005) | Convection (n=94) | Unknown (n=159) | Total (n=2258) |
|-------------------------|------------------|-------------------|-----------------|----------------|
| More than 5 times a day | 13% | 9% | 25% | 14% |
| 3-5 times a day | 38% | 40% | 32% | 37% |
| Twice a day | 24% | 15% | 19% | 23% |
| Once a day | 10% | 7% | 12% | 10% |
| 4-6 times per week | 8% | 18% | 9% | 9% |
| 2-3 times per week | 6% | 7% | 2% | 6% |
| Once a week | 1% | 3% | 1% | 1% |
| Once a month | 0% | - | - | 0% |
| Once a year or less | 0% | - | - | 0% |
| Never | 0% | - | - | 0% |
| Don't know/blank | 0% | 0% | 0% | 0% |

Note: "0%" indicates categories with valid responses that total less than 0.5%, while "-" indicates categories with no responses.

Figure 9 shows the distribution of microwave heating time across all microwaves, and Table 3.5 breaks this down by classification. Microwaves are most commonly used for between 1 and 3 minutes per use.

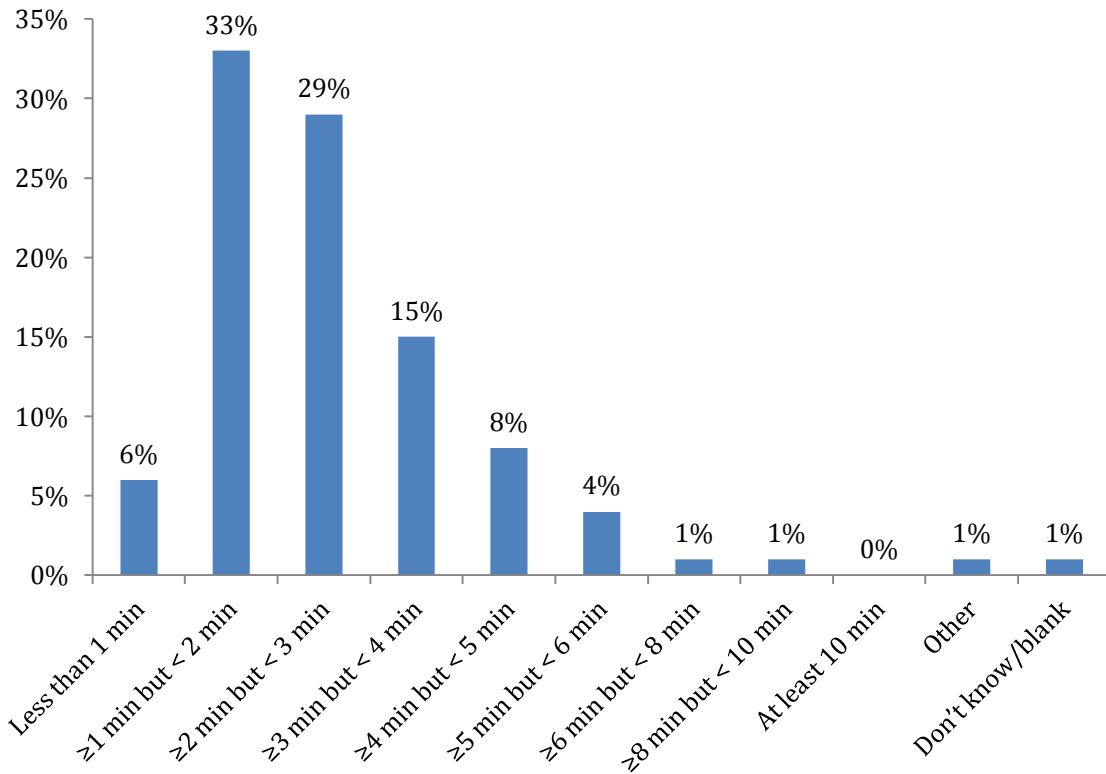


Figure 9: Microwave Heating Use (MWO Survey; n=2258)

Table 3.5: Microwave Heating Time (MWO Survey)

| Average Heating Time | Regular (n=2005) | Convection (n=94) | Unknown (n=159) | Total (n=2258) |
|----------------------|---------------------|----------------------|--------------------|-------------------|
| Less than 1 min | 7% | 2% | 7% | 6% |
| ≥1 min but < 2 min | 34% | 24% | 28% | 33% |
| ≥2 min but < 3 min | 29% | 38% | 34% | 29% |
| ≥3 min but < 4 min | 15% | 16% | 9% | 15% |
| ≥4 min but < 5 min | 8% | 2% | 12% | 8% |
| ≥5 min but < 6 min | 4% | 5% | 3% | 4% |
| ≥6 min but < 8 min | 1% | - | 3% | 1% |
| ≥8 min but < 10 min | 1% | - | 0% | 1% |
| At least 10 min | 0% | 1% | 1% | 0% |
| Other | 1% | - | - | 1% |
| Don't know/blank | 0% | 12% | 2% | 1% |

Table 3.6 summarizes the statistics for microwave usage. On average, microwaves are used around 1,000 times a year for 2.6 minutes each, or a total of 45 hours per year. Figure 10 shows the distribution of use across all MWO respondents.

Table 3.6: Overall Microwave Use (MWO Survey)

| | Regular (n=2005) | Convection (n=93) | Unknown (n=159) | Total (n=2257) |
|-------------------------------------|---------------------|----------------------|--------------------|-------------------|
| Average Frequency (per year) | 1026 | 948 | 1128 | 1030 |
| | Regular (n=1999) | Convection (n=82) | Unknown (n=156) | Total (n=2237) |
| Average Heating Time (min. per use) | 2.62 | 2.65 | 2.71 | 2.63 |
| Average Hours per Year | 44.9 | 41.8 | 51.0 | 45.1 |

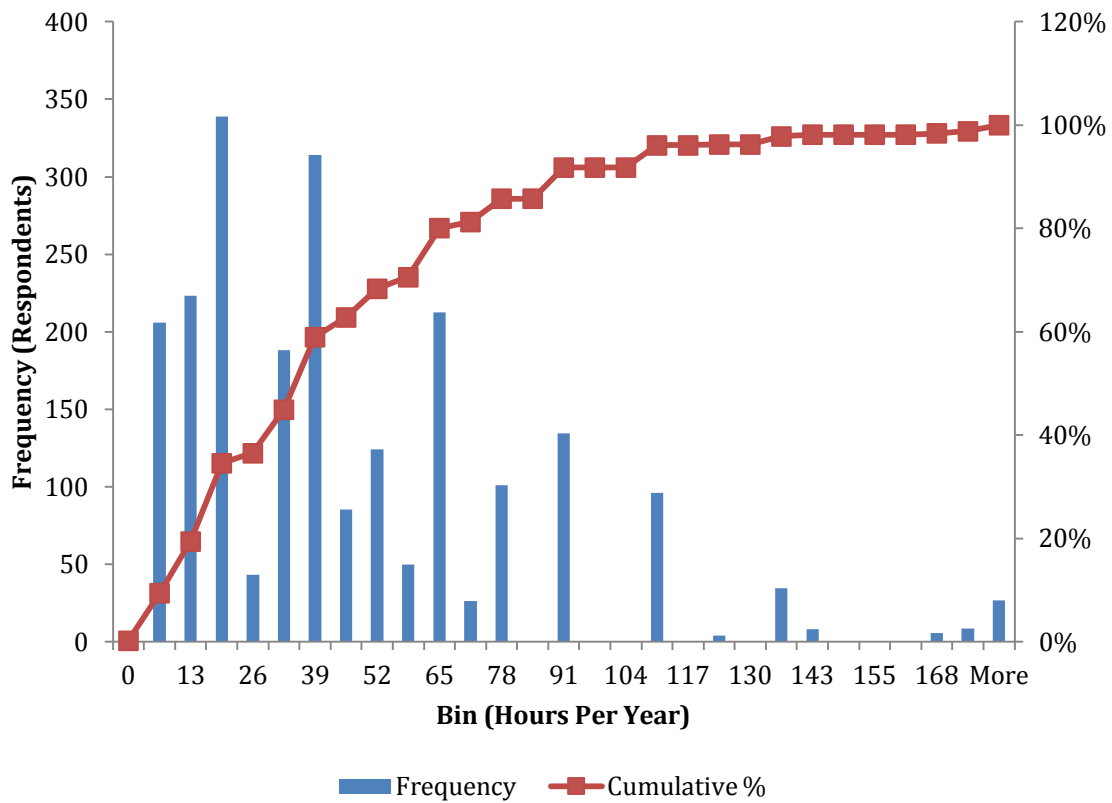


Figure 10: Microwave Usage Histogram (MWO Survey; n=2236)

3.3.2 CMWO Survey

Table 3.7 shows the frequency of use of various modes for convection microwaves in the CMWO survey. These data demonstrate that convection microwaves are typically used in microwave mode 2-5 times a day, and in convection and combination mode once a week or less. In addition, more than one-quarter of respondents never use their microwave in combination mode, possibly because their microwaves do not have that option.

Table 3.7: Convection Microwave Frequency of Use (CMWO Survey)

| Frequency of Use | Microwave-Only (n=653) | Convection-Only (n=653) | Combination (n=653) |
|-------------------------|---------------------------|----------------------------|------------------------|
| More than 5 times a day | 6% | - | - |
| 3-5 times a day | 27% | - | - |
| Twice a day | 29% | - | - |
| More than once a day | - | 4% | 2% |
| Once a day | 12% | 11% | 5% |
| 4-6 times per week | 8% | - | - |
| 2-3 times per week | 8% | - | - |
| Once a week | 3% | 38% | 15% |
| 2-3 times a month | - | 17% | 19% |
| Once a month | 1% | 12% | 11% |
| 4-11 times a year | - | 3% | 5% |
| 2-3 times a year | - | 7% | 3% |
| Once a year or less | 0% | 2% | 7% |
| Never | 5% | 3% | 26% |
| Don't know | 0% | 3% | 7% |

Table 3.8 shows the average heating time for convection microwaves by mode. Convection microwaves are typically used in microwave-only mode for 1-3 minutes per use, while they are most commonly used in convection and combination mode for 5 to 15 minutes per use.

Table 3.8: Convection Microwave Heating Time (Among Those that Use the Given Mode; CMWO Survey)

| Average Heating Time | Microwave-Only (n=621) | Convection-Only (n=634) | Combination (n=483) |
|----------------------|---------------------------|----------------------------|------------------------|
| Less than 1 min | 9% | - | - |
| ≥1 min but < 2 min | 36% | - | - |
| ≥2 min but < 3 min | 31% | - | - |
| ≥3 min but < 4 min | 9% | - | - |
| ≥4 min but < 5 min | 4% | - | - |
| Less than 5 min | - | 8% | 10% |
| ≥5 min but < 6 min | 7% | - | - |
| ≥6 min but < 8 min | 2% | - | - |
| ≥8 min but < 10 min | 0% | - | - |
| ≥5 min but < 10 min | - | 19% | 21% |
| At least 10 min | 1% | | |
| ≥10 min but < 15 min | - | 21% | 24% |
| ≥15 min but < 20 min | - | 12% | 13% |
| ≥20 min but < 25 min | - | 10% | 6% |
| ≥25 min but < 35 min | - | 11% | 5% |
| ≥35 min but < 45 min | - | 9% | 1% |
| ≥45 min but < 1 hour | - | 3% | 2% |
| One hour or more | - | 1% | 2% |
| Other | 0% | 0% | 0% |
| Don't know/Blank | 1% | 6% | 16% |

Table 3.9 shows the overall use statistics for CMWO. On average, people that use microwave-only mode do so 842 times a year for 2.5 minutes each, or 36 hours per year. Respondents who use convection mode do so only about 100 times a year, for 19 minutes per use, or a total of 32 hours per year. Those that use combination mode do so it even less often, averaging only 69 times and 17 hours per year. Figure 11, Figure 12, and Figure 13 show the distribution of use across CMWO respondents by mode. Table 3.10 shows the breakdown of convection microwave use by type and mode.

Table 3.9: Overall Convection Microwave Use (CMWO Survey)

| | Microwave -Only (n=649) | Convection -Only (n=635) | Combination (n=607) |
|--|-------------------------------|--------------------------------|------------------------|
| Percent that Use Function* | 95% | 97% | 72% |
| | (n=617) | (n=615) | (n=437) |
| Average Frequency (per year) – for users | 842 | 101 | 69 |
| | (n=616) | (n=596) | (n=407) |
| Average Heating Time (min. per use) | 2.54 | 18.7 | 14.9 |
| Average Hours per Year – for users | 35.7 | 31.7 | 17.3 |

*Excluding don't know and blank responses

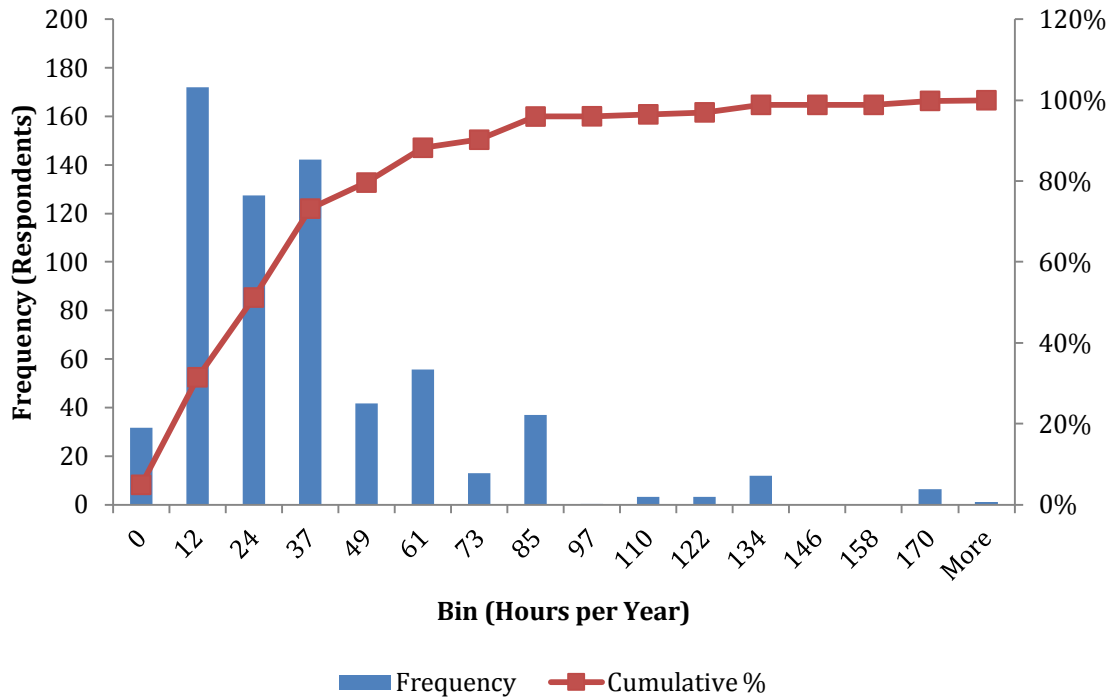


Figure 11: Microwave Mode Use Histogram (CMWO Survey; n=647)

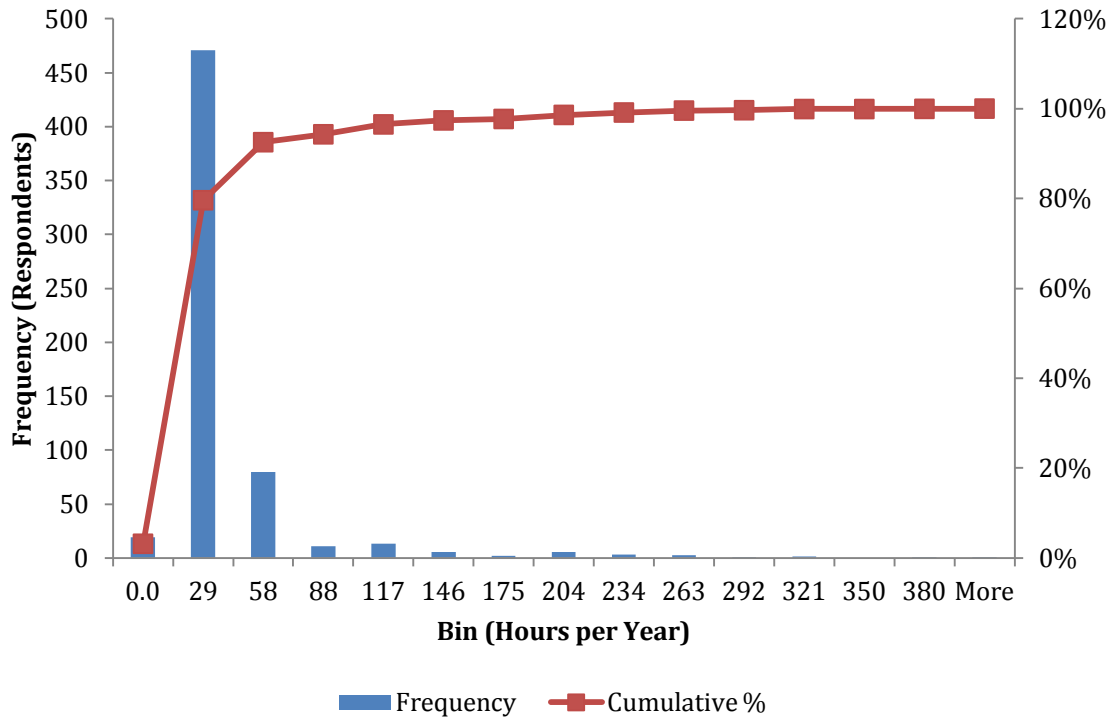


Figure 12: Convection Mode Use Histogram (CMWO Survey; n=616)

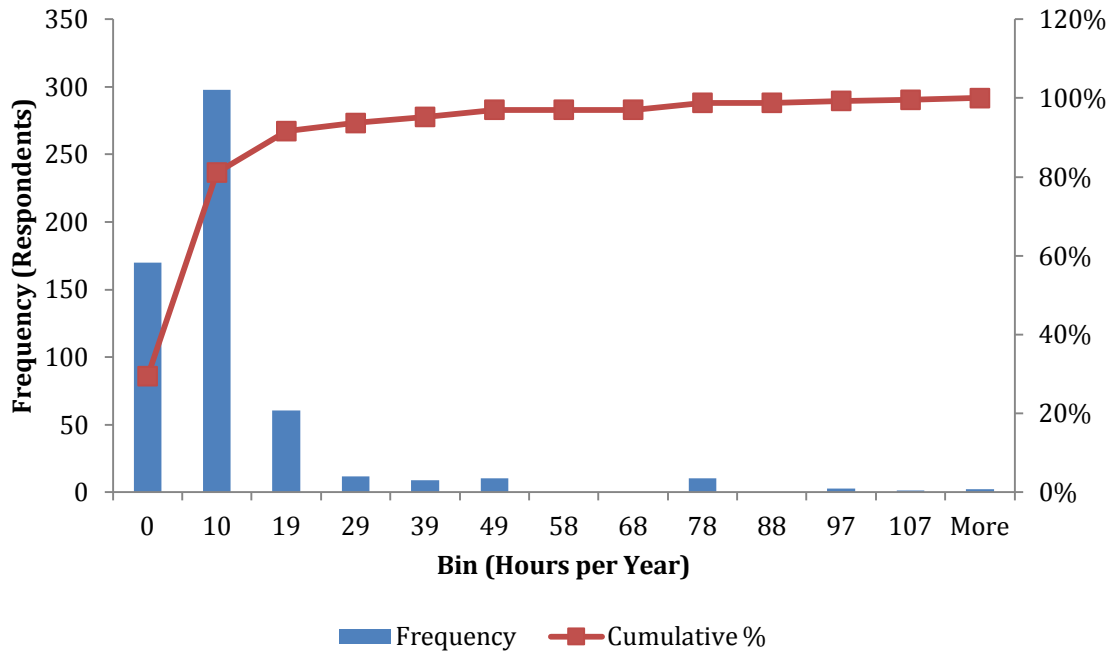


Figure 13: Combination Mode Use Histogram (CMWO Survey; n=577)

Table 3.10: Convection Microwave Use by Type (CMWO Survey)

| | Built-In | Counter-top | Over-the-Range | Total |
|--|----------|-------------|----------------|---------|
| Microwave-Only Mode | (n=106) | (n=370) | (n=168) | (n=649) |
| Percent that Use Function* | 100% | 93% | 97% | 95% |
| | (n=106) | (n=343) | (n=164) | (n=617) |
| Average Frequency (per year) – for users | 964 | 813 | 822 | 842 |
| | (n=106) | (n=342) | (n=163) | (n=616) |
| Average Heating Time (min. per use) | 2.54 | 2.68 | 2.28 | 2.54 |
| Average Hours per Year | 40.8 | 36.3 | 31.2 | 35.7 |
| Convection-Only Mode | (n=106) | (n=358) | (n=166) | (n=635) |
| Percent that Use Function* | 100% | 98% | 94% | 97% |
| | (n=106) | (n=349) | (n=155) | (n=615) |
| Average Frequency (per year) – for users | 86 | 110 | 93 | 101 |
| | (n=103) | (n=341) | (n=149) | (n=596) |
| Average Heating Time (min. per use) | 18.6 | 19.7 | 16.3 | 18.7 |
| Average Hours per Year | 26.9 | 36.0 | 25.2 | 31.7 |
| Combination Mode | (n=93) | (n=347) | (n=162) | (n=607) |
| Percent that Use Function* | 84% | 65% | 80% | 72% |
| | (n=78) | (n=225) | (n=130) | (n=437) |
| Average Frequency (per year) – for users | 112 | 63 | 56 | 69 |
| | (n=71) | (n=212) | (n=121) | (n=407) |
| Average Heating Time (min. per use) | 15.9 | 13.9 | 16.1 | 14.9 |
| Average Hours per Year | 29.8 | 14.5 | 15.1 | 17.3 |

*Excluding don't know/blank responses.

4 Conclusions

We performed this study to achieve the following three goals to supplement the limited understanding of usage patterns of MWOs and CMWO:

1. To differentiate types of households that have CMWOs from those having MWOs without the convection feature, and then develop a profile of the types of households that own CMWOs. We divided the population of microwave ovens into two general product types: (1) microwave-only ovens and countertop convection microwave ovens and (2) built-in and over-the-range convection microwave ovens.
2. To determine when CMWOs are used in convection mode.
3. To estimate the frequency and duration of cooking events for both product classes.

For this study, we gathered more details on microwave oven ownership and use along with household demographics through Amazon Mechanical Turk (AMT) than what is found in publically available information. All survey responses were weighted by their demographics with a simultaneous weighting method to represent the targeted general MWO owners' population. We found agreement in all demographic distributions when comparing the weighted MWO survey data to a reference sample of RECS 2009 microwave owners. Although demographics in the weighted CMWO survey data does not match the RECS MWO owners as well as the weighted MWO data, the weighted CMWO data show an overall improvement in representativeness of most demographic variables. With the weighted survey data, we can identify the types of households that own CMWOs. We can also estimate the amount of time both product types are used; and, therefore, how much energy each product type consumes. We found that MWOs are used 3 to 5 times per day for 1 to 3 minutes per cooking event. We also found that 6.4% of U.S. households have a CMWO. CMWOs typically are used in microwave mode 2 to 5 times a day for 1 to 3 minutes per cooking event, and are used in convection and combination mode once a week or less.

Despite a relatively small sample size and some sampling challenges, we were able to develop results for our study goals. The survey and data analyzing process, however, was not without challenges. When we analyzed our survey data, a few shortcomings in the survey design were observed. First, we ended up collecting fewer responses than we targeted. Second, we did not include more specific questions that would have better assisted in the verification of responses about product type ownership. Third, the survey format we designed may have increased the time necessary to process the data. These challenges provide insight to enable a refinement for future work in this area. Below, we include a brief evaluation of our

current survey effort focusing on survey design and weighting methodology, and opportunities for improvement in the future.

1. Requiring respondents to provide a model number for microwave oven units would provide a larger subsample of MWO owners (parent) from which we could weight the CMWO survey (child), thus avoiding the potential effect of income on CMWO usage that may be present in our results.
2. Having model numbers might also simplify matching and verifying models and would enable greater accuracy in estimating the market penetration and saturation of each product class.
3. Using survey software that allows for programmed skip patterns and answer checks would avoid some of the cleaning and verification issues that we experienced with the data. Programmed skip patterns and answer checks could improve the survey experience for the respondent as well.

Despite those challenges, conducting online product-specific surveys still appear to be a viable means to better understanding of ownership and usage pattern by different product types. Since the survey designers have flexibility to administer surveys to achieve their research goals, it is extremely important to have a well-designed survey which not only consists of clear questions but also verification questions to identify inconsistent responses. We should use this study as an opportunity to learn the advantages and shortcomings of this method and to reach more complete results in future work.

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Appendix A: Microwave Oven -- General Population Survey

Microwave Oven Short Survey

Qualifications: U.S. residents at least 18 years old.

You must have at least one microwave oven in your home to take this survey!

If you have more than one home, please answer based on the home with a microwave oven where you spend the most time.

NOTE: You will NOT GET PAID if you do not qualify for this survey or if you do not answer all questions that you are NOT asked to skip. Also, due to the size of our research study, we may take up to 21 DAYS to pay you.

Please pay careful attention to the description of each appliance. That will help us get useful data. Thanks!

General Questions

1. A **microwave oven** is a cooking appliance that is capable of cooking or heating food using microwave energy. These units can be self-contained counter-top units, or those placed over a conventional range with a fume hood attached to it (also known as over-the-range microwave ovens), or built-in units that are installed surrounded by kitchen cabinetry. They can also include **convection microwave ovens**, which are cooking appliance capable of cooking or heating food using **both** microwave energy **and** convection energy in a single compartment.

How many **microwave ovens** are plugged in at your home right now?

DO **NOT** INCLUDE:

- Conventional Oven and Ranges
- Appliances that do not heat food using microwave energy

INCLUDE:

- [Countertop Microwave Oven](#)
- [Over-the-Range Microwave Oven](#)
- [Built-In Microwave Oven](#)
- Convection Microwave Oven

Check the number of *microwave ovens*

0 1 2 3 4 5 Don't know

If you answered "0 or "Don't Know"" to the above question, YOU CANNOT PROCEED WITH THE SURVEY! PLEASE STOP AND EXIT.

2. A **convection microwave oven** is a cooking appliance that is capable of cooking or heating food using **both** microwave energy **and** convection energy in a single compartment. Such appliances have the capability of heating food using microwave energy OR convection energy OR both microwave and convection in a single cooking cycle. These units generally offer a "convection," "bake," or "combo" cooking mode on the user interface. These units can be self-contained counter-top units, or those placed over a conventional range with a fume hood attached to it (also known as over-the-range microwave ovens), or built-in units that are installed surrounded by kitchen cabinetry.

How many **convection microwave ovens** are plugged in at your home right now?

DO **NOT** INCLUDE:

- Conventional Oven and Ranges
- Microwave Ovens that can heat food using microwave energy ONLY

INCLUDE:

- **Countertop Convection Microwave Ovens**
- **Over-the-Range Convection Microwave Ovens**
- **Built-In Convection Microwave Ovens**

Check the number of *convection microwave ovens*

| | | | | | | |
|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|----------------------------------|
| <input type="radio"/> 0 | <input type="radio"/> 1 | <input type="radio"/> 2 | <input type="radio"/> 3 | <input type="radio"/> 4 | <input type="radio"/> 5 | <input type="radio"/> Don't know |
|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|----------------------------------|

If you have more than one microwave oven, please restrict your responses below to your *most-used* microwave oven.

3. What type of microwave oven is the most-used one in your house?

- Countertop (This type of unit is self-contained)
- Over-the-Range (This type of unit is typically attached above a conventional range with a fume hood attached to it)
- Built-In (This type of unit is typically surrounded by kitchen cabinetry on all sides of the unit with only the front (or portion of the front) exposed)
- Don't know

4. Is this most-used microwave oven a regular microwave oven (microwave-only), or a convection microwave oven

- Regular microwave oven
- Convection microwave oven
- Don't know

5. How often does your household use this microwave oven?

- More than 5 times a day
- 3 – 5 times a day
- Twice a day
- Once a day
- 4 – 6 times a week
- 2 – 3 times a week
- Once a week
- Once a month
- Once a year or less
- Never
- Don't know

6. Each time your household uses this microwave oven, what is the average heating time?

- Less than 1 min
- At least 1 but less than 2 min
- At least 2 but less than 3 min
- At least 3 but less than 4 min
- At least 4 but less than 5 min
- At least 5 but less than 6 min
- At least 6 but less than 8 min
- At least 8 but less than 10 min
- At least 10 min
- Other (indicate units of time)
- Don't know

7. Please enter the brand name of this microwave oven:

8. What is the full model number of this microwave oven (This is generally found in the inside of the microwave oven door, the inside walls of the microwave oven, or at the back of the microwave oven)?

Please enter model number:

Demographics

We want to make sure that our survey reflects the make-up of the US population, so we need to ask you a few questions about you and your household.

9. What is your **five-digit ZIP** code?

10. What is your gender?

- Male
- Female
- Decline to state

11. Are you **Hispanic or Latino**?

- Yes
- No
- Decline to state

12. What is your race?

- American Indian/Alaska Native
- Asian
- Black/African-American
- Hispanic

- Native Hawaiian/Other Pacific Islander
- White or Caucasian
- Two or more races
- Other
- Decline to state

13. What is your highest education level?

14. How many people live in your home for most of the year (including you)?

Number of people

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

15. Of the people you included in the total for Question 14, how many people are in the following age categories (please fill in the number of people for all applicable categories)

Younger than 20

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

20 to 29 years old

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

30 to 39 years old

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

40 to 49 years old

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

50 to 59 years old

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

60 to 69 years old

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

70 or older

1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

Age unknown or Prefer not to say

1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

16. What is your combined annual household income?

- \$0-\$19,999 per year
- \$20,000-\$39,999 per year
- \$40,000-\$59,999 per year
- \$60,000-\$79,999 per year
- \$80,000-\$99,999 per year
- \$100,000-\$119,999 per year
- \$120,000-\$149,999 per year
- \$150,000-\$199,999 per year
- \$200,000 or more per year
- Don't know/Decline to state

17. What type of home do you live in most of the year?

- a Single-family detached house (a house detached from any other house)
- a Single-family attached house (a house attached to one or more houses)
- an Apartment building with 2-4 units
- an Apartment building 5 or more units
- a Mobile home
- a Dormitory
- Something else
- Don't know

18. Is this home owned or rented?

- Owned or being bought by someone in your household
- Rented
- Occupied without payment of rent
- Don't know

Due to the size of our research study, we may take up to 21 DAYS to pay you.

Appendix B: Convection Microwave Oven -- General Population Survey

Convection Microwave Oven Product Short Survey

Qualifications: U.S. residents at least 18 years old.

You must have at least one convection microwave ovens (often referred to as combination microwave ovens) in your home to take this survey!

If you have more than one home, please answer based on the home with a microwave oven where you spend the most time.

If you have already taken the survey titled "Convection Microwave Oven Short Survey" HIT-ID "2HOUFBNFSVGUGSJLQHN6RG1G8PLJSE", "2EDO2L92HECW5WDFJD3CJ0CP51RHG4", "2TQKNQY2RCJK1TF7ZS8R4KUIA753S5", "2CPAVKI62NQRNEKY0QL6BKLTQSK4" and "2JZDG67D1X3VV50CXDB2NE1M76GHAU" you CANNOT take this survey. Duplications will be identified and will NOT BE PAID.

NOTE: You will NOT GET PAID if you do not qualify for this survey or if you do not answer all questions that you are NOT asked to skip. Also, due to the size of our research study, we may take up to 21 DAYS to pay you.

Please pay careful attention to the description of each appliance. That will help us get useful data. Thanks!

General Questions

1. A **convection microwave oven** is a cooking appliance that is capable of cooking or heating food using **both** microwave energy and convection energy in a single compartment. Such appliances have the capability of heating food using microwave energy OR convection energy OR both microwave and convection in a single cooking cycle. These units generally offer a "convection," "bake," or "combo" cooking mode on the user interface. These units can be self-contained counter-top units, or those placed over a conventional range with a fume hood attached to it (also known as over-the-range microwave ovens), or built-in units that are installed surrounded by kitchen cabinetry.

How many **convection microwave ovens** are plugged in at your home right now?

DO NOT INCLUDE:

- Conventional Oven and Ranges
- Microwave Ovens that can heat food using microwave energy ONLY

INCLUDE:

- [Countertop Convection Microwave Oven](#)
- [Over-the-Range Convection Microwave Oven](#)
- [Built-In Convection Microwave Oven](#)

Check the number of convection microwave ovens:

0 1 2 3 4 5 Don't know

If you answered "0 or "Don't Know" to the above question, YOU CANNOT PROCEED WITH THE SURVEY! PLEASE STOP AND EXIT.

If you have more than one convection microwave oven, please restrict your responses below to your **most-used** microwave oven.

2. What type of convection microwave oven is the most-used one in your house?

- Countertop (This type of unit is self-contained)
- Over-the-Range (This type of unit is typically attached above a conventional range with a fume hood attached to it)
- Built-In (This type of unit is typically surrounded by kitchen cabinetry on all sides of the unit with only the front (or portion of the front) exposed)
- Don't know

3. How often does your household use your convection microwave oven in Microwave Only Mode (heating using microwave energy only)?

- More than 5 times a day

- 3 – 5 times a day
- Twice a day
- Once a day
- 4 – 6 times a week
- 2 – 3 times a week
- Once a week
- Once a month
- Once a year or less
- Never
- Don't know

4. Each time your household uses the Microwave Only Mode on your convection microwave oven, what is the average heating time?

- Less than 1 min
- At least 1 but less than 2 min
- At least 2 but less than 3 min
- At least 3 but less than 4 min
- At least 4 but less than 5 min
- At least 5 but less than 6 min
- At least 6 but less than 8 min
- At least 8 but less than 10 min
- At least 10 min
- Other (indicate units of time)
- Don't know

5. How often does your household use your convection microwave oven in Convection Only Mode (heating using convection energy / convection bake option only)? *Note: this does not include any cooking modes denoted on some control pads as "Grilling" or "Browning."*

- More than once a day
- Once a day
- Once a week
- 2 – 3 times a month
- Once a month
- 4 – 11 times a year
- 2 – 3 times a year
- Once a year or less
- Never
- Don't know

6. How many minutes are there in an hour?

- Less than 10 min
- At least 10 but less than 30 min
- At least 30 but less than 50 min
- At least 50 but less than 70 min
- At least 70 but less than 90 min
- More than 90 min
- Don't know

7. Each time your household uses the Convection Only Mode on your convection microwave oven, what is the average heating time?

- Less than 5 min
- At least 5 but less than 10 min
- At least 10 but less than 15 min
- At least 15 but less than 20 min
- At least 20 but less than 25 min
- At least 25 but less than 35 min
- At least 35 but less than 45 min
- At least 45 but less than 1 hour
- One hour or more
- Other (indicate units of time)
- Don't know

8. How often does your household use your convection microwave oven in Combination Mode (heating using a programmed setting that uses BOTH convection energy / convection bake option and microwave energy)?

- More than once a day
- Once a day
- Once a week
- 2 – 3 times a month
- Once a month
- 4 – 11 times a year
- 2 – 3 times a year
- Once a year or less
- Never
- Don't know

9. Each time your household uses the Combination Mode on your convection microwave oven, what is the average heating time?

- Less than 5 min
- At least 5 but less than 10 min
- At least 10 but less than 15 min
- At least 15 but less than 20 min
- At least 20 but less than 25 min
- At least 25 but less than 35 min
- At least 35 but less than 45 min
- At least 45 but less than 1 hour
- One hour or more

Other (indicate units of time)
Don't know

10. Please enter the brand name of your convection microwave oven:

11. What is the full model number of your convection microwave oven (This is generally found in the inside of the microwave oven door, the inside wall of the microwave oven, or at the back of the microwave oven)?

Please enter model number:

Demographics

We want to make sure that our survey reflects the make-up of the US population, so we need to ask you a few questions about you and your household.

12. What is your five-digit ZIP code?

13. What is your gender?

- Male
- Female
- Decline to state

14. Are you Hispanic or Latino?

- Yes
- No
- Decline to state

15. What is your race?

- American Indian/Alaska Native
- Asian
- Black/African-American
- Hispanic
- Native Hawaiian/Other Pacific Islander
- White or Caucasian
- Two or more races
- Other
- Decline to state

16. What is your highest education level?

17. How many people live in your home for most of the year (including you)?

Number of people

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

18. Of the people you included in the total for Question 17, how many people are in the following age categories (please fill in the number of people for all applicable categories)

Younger than 20

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

20 to 29 years old

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

30 to 39 years old

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

40 to 49 years old

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

50 to 59 years old

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

60 to 69 years old

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

70 or older

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

Age unknown or Prefer not to say

- 1 2 3 4 5 6 7 8 9 10 or more Don't know/Decline to state Doesn't apply

19. What is your combined annual household income?

- \$0-\$19,999 per year
- \$20,000-\$39,999 per year
- \$40,000-\$59,999 per year
- \$60,000-\$79,999 per year
- \$80,000-\$99,999 per year
- \$100,000-\$119,999 per year
- \$120,000-\$149,999 per year
- \$150,000-\$199,999 per year
- \$200,000 or more per year
- Don't know/Decline to state

20. What type of home do you live in most of the year?

- a Single-family detached house (a house detached from any other house)
- a Single-family attached house (a house attached to one or more houses)
- an Apartment building with 2-4 units
- an Apartment building 5 or more units
- a Mobile home
- a Dormitory
- Something else

Don't know

21. Is this home owned or rented?

Owned or being bought by someone in your household

Rented

Occupied without payment of rent

Don't know

Due to the size of our research study, we may take up to 21 DAYS to pay you.