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Large-scale investigation of the effects of nucleobase sequence on fluorescence excitation and Stokes shifts of DNA-stabilized silver clusters

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Abstract

DNA-stabilized silver clusters ($\text{Ag}_N\text{-DNAs}$) exhibit diverse sequence-programmed fluorescence, making these tunable nanoclusters promising sensors and bioimaging probes. Recent advances in the understanding of $\text{Ag}_N\text{-DNA}$ structures and optical properties have largely relied on detailed characterization of single species isolated by chromatography. Because most $\text{Ag}_N\text{-DNAs}$ are unstable under chromatography, such studies do not fully capture the diversity of these clusters. As an alternate method, we use high-throughput synthesis and spectroscopy to measure steady state Stokes shifts of hundreds of $\text{Ag}_N\text{-DNAs}$. Steady state Stokes shift is of interest because its magnitude is determined by energy relaxation processes which may be sensitive to specific cluster geometry, attachment to the DNA template, and structural engagement of solvent molecules. We identify 305 $\text{Ag}_N\text{-DNA}$ samples with single-peaked emission and excitation spectra, a characteristic of pure solutions and single emitters, which thus likely contain a dominant emissive $\text{Ag}_N\text{-DNA}$ species. Steady state Stokes shifts of these samples vary widely, are in agreement with values reported for purified clusters, and are several times larger than for typical organic dyes. We then examine how DNA sequence selects $\text{Ag}_N\text{-DNA}$ excitation energies and Stokes shifts, comment on possible mechanisms for energy relaxation processes in $\text{Ag}_N\text{-DNAs}$, and discuss how differences in $\text{Ag}_N\text{-DNA}$ structure and DNA conformation may result in the wide distribution of optical properties observed here. These results may aid computational studies seeking to understand the fluorescence process in $\text{Ag}_N\text{-DNAs}$ and the relations of this process to $\text{Ag}_N\text{-DNA}$ structure.

1. Introduction

Certain DNA oligomers can serve as stabilizing ligands for fluorescent silver clusters¹ ($\text{Ag}_N\text{-DNAs}$), whose structures and optical properties are strongly tuned by DNA sequence.^{2–4}

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Conflicts of interest

There are no conflicts to declare.

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Researchers have collectively reported on Ag_N-DNAs stabilized by thousands of different DNA strands, representing a diverse palette of fluorescence properties: emission spectra peaked at 450 nm to 1,000 nm,^{5,6} quantum yields from 3% to 93%,^{7–13} Stokes shifts up to 0.73 eV (5,900 cm⁻¹),¹⁴ and light-up or color-switching behavior induced by stimuli.^{15–18} The diverse and sequence-tunable fluorescent Ag_N-DNAs are promising for applications ranging from sensing^{19–22} and molecular logic schemes²³ to background-free fluorescence microscopy^{24–26} and nanophotonics.^{10,27,28} Recent breakthroughs are rapidly advancing our understanding of the structures of certain Ag_N-DNAs,^{5,7,14,29–31} largely due to detailed studies of about 20 different Ag_N-DNA species purified by high performance liquid chromatography (HPLC).³² Purification methods are critical to ensure interrogation of a single cluster species rather than the heterogeneous mixture of fluorescent and nonfluorescent products formed by Ag_N-DNA synthesis.³³ However, because a substantial fraction of Ag_N-DNAs are unstable under chromatographic separation,³ it remains to be determined whether the purified Ag_N-DNAs are representative of the entire palette of possible Ag_N-DNAs. An alternative examination of these clusters which does not select for stability under chromatography may shed light on this issue.

Detailed compositions of 14 purified fluorescent Ag_N-DNAs have been resolved by high resolution mass spectrometry (HR-MS), including numbers of DNA template strands and total silver content (N_{tot}), which can be separated into neutral and cationic silver content (N_0 and N_+ , respectively).⁷ (Unpurified Ag_N-DNAs have also been characterized by HR-MS.^{8,34–36}) For purified samples, total silver content ranges from $N_{tot} = 10$ to $N_{tot} = 30$ Ag atoms.^{6,7,29,32} We note that N_{tot} cannot *a priori* be assumed to represent cluster size N : recent crystallographic studies find a minority of Ag atoms are attached to the DNA template but unattached to the silver cluster.^{5,14,31} For other ligand-stabilized noble metal clusters, metal atoms within the cluster core are neutral in character, while metal atoms bonded to the ligands are cationic in character.³⁷ For fluorescent Ag_N-DNAs analyzed by HR-MS, just 36% to 57% of N_{tot} are in a neutral state while the remaining silvers are cationic. Nonfluorescent silver clusters have also been found, containing $N_{tot} = 5$ to $N_{tot} = 22$, with $N_0 = 2$ to $N_0 = 10$.^{7,29} In both fluorescent and non-fluorescent clusters, a fraction of the cationic silvers, N_+ , are likely a part of the silver cluster and mediate attachment of the cluster core to the nucleobases, while other Ag⁺ may be unattached to the DNA template but unattached to the silver cluster itself.

Consistent with other ligand-stabilized metal clusters,^{37,38} peak excitation wavelength of fluorescent Ag_N-DNAs scales with the number of neutral silvers, N_0 , supporting the idea that these silvers form a neutral cluster core.^{28,29} Compared to monolayer-protected metal clusters which are largely globular in shape,³⁹ peak excitation wavelengths and extinction coefficients of Ag_N-DNAs scale more strongly with N_0 .^{7,28} Magic number values of N_0 for Ag_N-DNAs²⁹ also differ markedly from globular clusters. These characteristics support a model for HPLC-purified Ag_N-DNAs whereby DNA imposes a rod-like cluster geometry onto the Ag_N core.^{7,8,29,30,40,41} This model was recently verified by the first X-ray crystal structures of purified Ag_N-DNAs.^{14,31,42} A prior crystal structure of an Ag₈-DNA formed by *in-situ* crystallization is also elongated to a lesser degree,⁵ agreeing with our prediction that smaller Ag_N-DNAs have lower aspect ratios.²⁸

While understanding of Ag_N-DNA structure is rapidly improving for HPLC-purifiable species, less is known about Ag_N-DNA photophysics. Ag_N-DNAs luminesce by a fluorescence-like process, with 1-4 ns emission lifetimes and quantum yields > 0.1 for most purified Ag_N-DNAs.⁷⁻¹¹ (This contrasts with the ~μs emission lifetimes and lower quantum yields of many phosphorescent metal clusters.^{43,44}) However, the Ag_N-DNA luminescence process differs from the simple Jablonski diagram of organic fluorophores.^{45,46} Excitation and emission spectra of pure Ag_N-DNAs exhibit single dominant peaks without the vibronic shoulders characteristic of organic fluorophores.³ The broad spectral line widths of Ag_N-DNAs cooled to below 2 K and linear scaling of extinction coefficients with N_0 could be consistent with an initial collective electronic excitation leading to fluorescence.^{28,47-49} Ultrafast studies of a purified Ag₂₀-DNA discovered a sub-100 fs relaxation from an initial excited state to a lower energy state from which fluorescence occurs.⁵⁰ Such ultrafast relaxation, which accounts for most of the Stokes shift in Ag_N-DNAs,^{9,50} is also reported for impure Ag_N-DNAs.^{51,52} This relaxation is too rapid for typical vibrational relaxation⁵³ but could instead result from dephasing of a collective electronic excitation⁴⁷ or perhaps unusually rapid vibrational relaxation.

Studies of purified Ag_N-DNAs also suggest that DNA scaffold conformation and local dielectric environment add diversity and complexity to excited state behavior of Ag_N-DNAs. Purified Ag_N-DNAs exhibit solvatochromic behavior that is not described by simple Onsager-based models which are typically used for organic fluorophores but instead depends on the specific DNA template, and, potentially, its engagement with local solvent environment.⁴⁶ Time-resolved and polarization-resolved studies of single Ag_N-DNAs within a polymer film find that Ag_N-DNA spectral properties are sensitive to slight variations in local environment.^{25,41,54} In one case, removing a terminal adenosine from the DNA template of an Ag₁₆-DNA increases Stokes shift while leaving cluster geometry, peak absorbance energy, and quantum yield essentially unchanged.¹⁴ Some Ag_N-DNAs exhibit temperature-dependent excited state relaxation^{9,14} while others do not.¹³ Together, these studies paint a complex picture of the range of sequence-dependent excited state behaviors, even for the subset of Ag_N-DNAs which survive purification.

Because most Ag_N-DNAs are unstable under HPLC,³ a large-scale investigation is needed to more fully probe the spectral palette of Ag_N-DNAs and determine whether the few well-studied purified Ag_N-DNAs are generally representative of these fluorophores. We previously reported high-throughput studies of 10³ different Ag_N-DNA emission spectra, uncovering the magic numbers of Ag_N-DNAs²⁹ and learning how DNA sequence selects fluorescence emission.⁵⁵⁻⁵⁷ These studies also illustrated the challenges of high-throughput Ag_N-DNA characterization: ~25% of 10-base DNA strands can stabilize multiple fluorescent Ag_N-DNA species with distinct emission peaks.⁵⁵ Here, we develop a method to rapidly screen steady state fluorescence excitation and emission spectra of Ag_N-DNAs. Motivated by studies showing that purified Ag_N-DNAs and single Ag_N-DNA emitters exhibit single excitation and emission peaks in the visible to near-infrared (NIR) spectrum, ^{28,30,32,47,58} we identify solutions likely to contain one dominant fluorescent Ag_N-DNA species by using spectral purity as an alternative to harsh purification methods. Performing Ag_N-DNA synthesis and steady-state fluorimetry identically on 1,880 different 10-base DNA oligomers, we find 305 samples which exhibit single excitation and emission peaks.

Stokes shifts of these “spectrally pure” samples vary widely, roughly increasing with peak excitation and emission energies, and generally agree with values for purified Ag_N-DNAs. Stokes shifts are several times larger than for typical organic fluorophores, despite comparable quantum yields of organic fluorophores and Ag_N-DNAs.^{7–11,25} Examining the distribution of Stokes shift *versus* excitation energy, we observe two separate groupings. We hypothesize that these groupings, which appear correlated with the magic cluster sizes of Ag_N-DNAs,²⁹ arise from structural differences, apart from size only, between smaller, green-emissive Ag_N-DNAs and larger, red- or NIR-emissive Ag_N-DNAs, resulting in differences in excited state relaxation. Correlations of DNA sequence with Ag_N-DNA excitation and Stokes shift suggest that the primary role of nucleobase sequence is to select silver cluster size and excitation spectrum; more subtle sequence patterns may vary the magnitude of Stokes shift for clusters of equal size by varying cluster geometry. Finally, we observe 180 Ag_N-DNA species with single emission peaks but two or more excitation peaks, which may arise from variations in silver cluster geometry, pointing to the diversity of the full palette of possible Ag_N-DNAs.

2. Methods

2.1 Silver cluster synthesis.

The 1,880 10-base DNA oligomers studied here were subjects of previous studies.^{29,55–57} A robotic liquid handler was used to perform parallel Ag_N-DNA synthesis in 384 well microplates (described in Supporting Information and past work^{29,56}). To summarize, an aqueous solution of AgNO₃ and NH₄OAc, pH 7, is mixed *via* pipetting with an aqueous solution of DNA (Integrated DNA Technologies, standard desalting). After 18 minutes, silver-DNA solutions are reduced by a freshly prepared solution of NaBH₄ in H₂O. Final stoichiometries (20 μM DNA, 10 mM NH₄OAc, 100 μM AgNO₃, and 50 μM NaBH₄) were selected to maximize the number of brightly fluorescent wells and range of fluorescence colors for 10-base DNA oligomers.⁵⁵ Well plates were stored in the dark at 4 °C until measurement 7 days after synthesis. Thus, measured products in this study are time-stable to at least one week.

2.2 Spectroscopy and spectral analysis.

Emission and excitation spectra were collected with a Tecan Infinite M200 PRO, whose software corrects for lamp profile and detector spectral responsivity. First, 280 nm light was used to universally excite all Ag_N-DNA species.⁵⁹ Emission spectra were collected from 400 nm to 800 nm (detector sensitivity is significantly limited above ~800 nm). A custom routine in Igor Pro (Wavemetrics Inc.) identified wells with single dominant fluorescent products, specifically with emission spectra well-fitted to single Gaussians as a function of energy, with peak energy E_{em} and full width at half maximum < 0.5 eV (threshold chosen by investigating previously reported linewidths of HPLC-purified Ag_N-DNA solutions⁷). For samples satisfying these criteria, excitation spectra were collected by monitoring emission at the fitted peak emission wavelength, $\lambda_{em} = hc/E_{em}$, while scanning excitation from 230 nm to $(\lambda_{em} - 40)$ nm). The portion of each excitation spectrum above 330 nm (Region 1, Figure 1) was fitted as a function of energy to a single Gaussian to determine peak excitation energy E_{ex} . The ultraviolet (UV) portion < 306 nm (Region 2, Figure 1), corresponding to cluster

excitation *via* the DNA,⁵⁹ was fitted to another single Gaussian. After numerically screening the numbers of peaks and sizes of standard fitting errors to flag outliers, each fit was examined by eye to determine if spectra are truly single Gaussians. For samples whose excitation and emission spectra both exhibit single Gaussian peaks in Region 1, Stokes shift is calculated as $SS = E_{ex} - E_{em}$. To compare the relative fluorescence excitation efficiencies in Regions 1 and 2 (Figure 1), we define the ratio of these peak areas, $R_{UV/vis} = (\text{area of Region 2 peak})/(\text{area of Region 1 peak})$, where each peak area is calculated as the product of fitted peak height and fitted peak full width at half maximum. Data is listed in Table S2.

3. Results and Discussion

Ag_N-DNAs are well-suited for rapid fluorescence emission spectroscopy due to the universal UV excitation of these clusters *via* the nucleobases. UV excitation of solutions of a single fluorescent Ag_N-DNA species (excitation in Region 2, Figure 1) produces emission spectra of the same line shapes as excitation at the cluster's specific visible-to-NIR excitation peak (green peak in Region 1, Figure 1).⁵⁹ We exploit universal UV excitation for high-throughput spectroscopy to identify samples with single-peaked excitation and emission spectra in Region 1 (Figure 1). We term this characteristic "spectral purity." HPLC-purified Ag_N-DNAs with monodisperse sizes are characterized by (i) emission spectra with single Gaussian peaks with < 0.5 eV linewidths in Region 1,^{7,32} and (ii) excitation spectra with two main peaks: a Gaussian peak corresponding to direct excitation of the silver cluster³ (Figure 1 Region 1) and a UV peak corresponding to indirect excitation of the cluster *via* the nucleobases⁵⁹ (Region 2). Spectral purity in Region 1 can serve as an alternative to harsh chromatographic purification, identifying as-synthesized samples with spectral properties similar to purified Ag_N-DNA solutions or to single Ag_N-DNA emitters.^{47,58} For these spectrally pure Ag_N-DNA samples, Stokes shift is $SS = E_{ex} - E_{em}$, the difference between peak excitation energy E_{ex} in Region 1 and peak emission energy E_{em} .

To identify DNA strands which host single dominant fluorescent species, we scanned UV-excited emission spectra of all 1,880 Ag_N-DNA samples, finding 485 samples with emission spectra well-fitted by a single Gaussian peak of narrow linewidth as a function of energy. Remaining DNA strands either stabilized multiple emissive Ag_N-DNA species, weakly emissive Ag_N-DNAs near or below the detector's signal-to-noise ratio, or no detectable fluorescent Ag_N-DNAs. (This study cannot comment on the many Ag_N-DNAs luminescent above ~800 nm,^{6,60} the effective upper limit of our detector.) We then collected excitation spectra in Regions 1 and 2 for the 485 DNA strands by monitoring emission signal at each sample's fitted E_{em} (see Methods). 305 of these exhibit single Gaussian excitation peaks centered at E_{ex} in Region 1. The remaining 180 samples with single peaked emission spectra but poorly resolved or multi-peaked excitation spectra are discussed in 3.5; because we cannot accurately assign SS in such cases, these samples are excluded from Figures 2–6. We do not analyze linewidths here because the described method cannot distinguish single Ag_N-DNAs species with broad linewidths from multiple Ag_N-DNA species with very closely spaced energies.

3.1 Stokes shifts of spectrally pure Ag_N-DNAs.

For the 305 spectrally pure samples which may reasonably be assigned to a single Ag_N-DNA species, E_{em} scales roughly linearly with E_{ex} (Figure 2a). Such scaling is also characteristic of fluorescent organic dyes.⁶¹ The distribution of (E_{ex} , E_{em}) values for spectrally pure samples are comparable to those reported for purified Ag_N-DNAs (cyan squares, Figure 2a).

The multimodal histograms of E_{ex} and E_{em} (Figure 2b, c) are expected due to the enhanced stabilities of certain Ag_N-DNAs with “magic numbers” of neutral silver atoms N_0 .²⁹ This magic number behavior has been shown to result in a bimodal distribution of E_{em} in the visible spectrum, which agrees with Figure 2c. Figure 2b shows that the distribution of E_{ex} , which has not previously been reported for 10² Ag_N-DNAs, is also multi-peaked. Comparison of the peaks in Figure 2b with measured E_{ex} for magic number Ag_N-DNAs (colored lines, Figure 2b) suggests that, just as for peak emission, magic sizes of Ag_N-DNAs lead to enhanced abundances of certain excitation energies due to the strong correlation reported between N_0 and E_{ex} .^{7,28–30} Two of the purified Ag_N-DNAs with E_{ex} and E_{em} presented in Figure 2b,c are stabilized by 10-base DNA strands within the 1,880 studied in high throughput here. One of these, a red-emissive Ag_N-DNA with $N_0 = 6$, was identified as spectrally pure in our high-throughput studies. The second, a green-emissive Ag_N-DNA with $N_0 = 4$, exhibits a small secondary green emission peak (this secondary product may be removed by HPLC) and was therefore excluded from the set of spectrally pure Ag_N-DNAs.²⁹ HR-MS studies of additional Ag_N-DNAs may further explain the distribution in Figure 2b, especially in the 1.9 – 2.4 eV range where multiple peaks may suggest distinct cluster sizes and/or geometries.

To investigate the energy relaxation process in Ag_N-DNAs, we examine the distribution of steady-state Stokes shifts, SS , of the 305 spectrally pure Ag_N-DNAs. Past high-throughput studies of Ag_N-DNAs focused solely on E_{em} ,²⁹ but it is difficult to discern excited state behavior from $E_{em} = E_{ex} - SS$ because E_{ex} and SS are determined by distinct processes. Ag_N-DNA composition and ground state geometry determine E_{ex} (or, rather, a manifold of excitation energies) required to promote the silver cluster to an initial excited state. This excited state then relaxes to a lower-energy excited state from which photoemission occurs. The energy lost between photoexcitation and photoemission determines SS . Thus, to decouple consideration of the initial excitation process from the energetic relaxation process(es), we plot SS as a function of E_{ex} (Figure 3a). SS grows roughly linearly with E_{ex} , but SS values vary significantly for a given E_{ex} . This variance represents 10-25% of excitation energy at $E_{ex} = 2.3$ eV (spectral region corresponding to magic number $N_0 = 6$) and 10–30% at $E_{ex} = 2.7$ eV (corresponding to magic number $N_0 = 4$) (Figure S1). While SS variance is lesser for lower values of E_{ex} , we cannot rule out the possibility that this may be caused by reduced spectral sensitivity of the plate reader above 800 nm.

In all spectral regions, Ag_N-DNAs exhibit SS values which are several times larger than typical organic fluorophores (Table S1), and SS also grows about four times faster with increasing E_{ex} for Ag_N-DNAs than for these organic fluorophores (red circles, Figure 3a, and Figure S2). This behavior is remarkable considering the comparably high quantum yields of Ag_N-DNAs and organic dyes. General agreement between (E_{ex} , SS) values for

HPLC-purified Ag_N-DNAs (cyan squares) and spectrally pure Ag_N-DNAs suggests that HPLC-purified Ag_N-DNAs are generally representative of excitation and emission properties of Ag_N-DNAs produced by the chemical synthesis method here.

We note that black and cyan data points at $(E_{ex}, SS) \approx (2.35 \text{ eV}, 0.65 \text{ eV})$ represent the same DNA strand, 5'-CACCTAGCGA-3', which stabilizes an Ag_N-DNA with exceptionally high *SS* whose crystal structure was reported by Cerretani, *et al.*^{11,31,42} Second, most of the spectrally pure Ag_N-DNAs were designed using machine learning methods.^{55–57} The subset of data for DNA template strands with randomly selected DNA sequences is shown in Figure S3. This data generally agrees with the trend in Figure 3a, supporting that the designed Ag_N-DNAs do not alter the (E_{ex}, SS) distribution. Figure S4 displays a histogram of *SS* for spectrally pure Ag_N-DNAs with overlaid *SS* values for purified Ag_N-DNAs sized by HR-MS.^{6,7,28,29} N_0 and N_+ values for each of the 14 purified Ag_N-DNAs are indicated. While *SS* appears to increase with increasing N_+ for Ag_N-DNAs with $N_0 = 6$, this trend is not preserved at other N_0 . With few HR-MS data available, more studies are needed to determine how N_0 and N_+ influence *SS*.

Because many data points overlap in Figure 3a, a heat map in Figure 3b quantifies abundance of (E_{ex}, SS) values, showing that data form two distinct groupings below and above $E_{ex} = 2.45 \text{ eV}$. These two populations align with E_{ex} values of magic number sizes of HPLC-purified Ag_N-DNAs: $E_{ex} > 2.5 \text{ eV}$ corresponds to $N_0 = 4$ neutral Ag atoms, and $2.0 \text{ eV} < E_{ex} < 2.4 \text{ eV}$ corresponds to $N_0 = 6$ ^{28,29} (Figure 2b, vertical colored lines). It is reasonable to hypothesize that energetic relaxation following initial excitation would differ somewhat for distinct sizes of clusters due to differences in energy loss mechanisms. Crystal structures of Ag_N-DNAs, while few, suggest that a structural difference between $N_0 = 4$ and $N_0 = 6$ Ag_N-DNAs exists beyond size. Huard, *et al.*, found an elongated, planar structure for an Ag₈-DNA with 450 nm maximum absorption.⁵ N_0 is unknown for this Ag₈-DNA, but it is spectrally similar to $N_0 = 4$ Ag_N-DNAs, and a similar planar structure was suggested by a computational study for a 4-electron cluster.⁶² Cerretani, *et al.*, reported cylindrical structures for several Ag₁₆-DNAs.^{14,31,42} These Ag₁₆-DNAs also lack assigned N_0 but have E_{ex} and E_{em} consistent with $N_0 = 6$ Ag_N-DNAs. Combined with the trend of *SS versus E_{ex}* of the 305 spectrally pure Ag_N-DNAs, these few reported crystal structures could support that the silver cluster structure undergoes a planar-to-cylindrical transition between $N_0 = 4$ and $N_0 = 6$. Such a structural transition would change the number of nearest-neighbor bonds formed between Ag atoms in the cluster, possibly resulting in different energy relaxation for these two structures. Future studies are needed to test this hypothesis.

3.2 Comparison of direct and indirect Ag_N-DNA excitation.

In addition to direct excitation of the silver cluster at the visible/NIR excitation peak E_{ex} which depends on cluster structure,³ the same Ag_N-DNA fluorescence can be excited indirectly *via* the nucleobases, which absorb most efficiently at 260–280 nm.⁵⁹ This UV excitation enables rapid emission spectroscopy of Ag_N-DNA²⁹ but remains poorly understood. For purified fluorescent Ag_N-DNAs, direct and indirect excitation produce emission spectra with identical shapes and linewidths.⁵⁹ One study reported ultrafast energy transfer from DNA bases to Ag_N-DNAs following UV-excitation,⁶³ but the authors are not

aware of studies directly comparing lifetimes or quantum yields of direct *versus* indirect excitation of Ag_N-DNA fluorescence.

To investigate the UV- excited fluorescence process of Ag_N-DNAs, we compare the excitation efficiencies of indirect and direct excitation. We use the ratio of indirect to direct excitation peak areas, $R_{UV/vis}$ as a *relative* metric of excitation efficiency. The absolute excitation peak area, which is determined by a combination of extinction coefficient, fluorescence quantum yield, and chemical yield of Ag_N-DNA synthesis, cannot be used to compare multiple samples because an Ag_N-DNA species produced in high synthesis yield but with low extinction coefficient and low quantum yield is indistinguishable from an Ag_N-DNA species produced in low synthesis yield with high extinction coefficient and high quantum yield. Instead, a relative comparison of excitation peaks in Regions 1 and 2 (Figure 1) using the metric $R_{UV/vis}$ allows us to decouple chemical yield from other factors determining excitation efficiency.

Figure 4 displays $R_{UV/vis}$ as a function of E_{ex} , SS , and extinction coefficient. On average, $R_{UV/vis}$ increases with increasing E_{ex} , corresponding to greater UV excitation efficiency of smaller clusters with larger E_{ex} than larger clusters with lower E_{ex} (Figure 4a). $R_{UV/vis}$ also generally increases as SS increases (Figure 4b); this is expected due to the trend of SS *versus* E_{ex} in Figure 3, but $R_{UV/vis}$ does trend more strongly with SS than with E_{ex} . $R_{UV/vis}$ does not depend strongly on extinction coefficient of the DNA template strand, which is a function of nucleobase content (Figure 4c, calculated using the nearest neighbor model.^{64,65}). Thus, trends in Figure 4 are not only due to how well the DNA template absorbs UV photons but also to the properties of silver clusters themselves.

The correlation of $R_{UV/vis}$ with E_{ex} can be rationalized by two factors. First, Ag_N-DNA cluster core size, N_{β} increases as E_{ex} decreases.²⁹ Because visible-NIR extinction coefficients of Ag_N-DNAs scale linearly with N_{β} ,²⁸ visible-NIR excitation peak area will increase as E_{ex} decreases, causing $R_{UV/vis}$ to decrease as E_{ex} decreases. Second, UV excitation may be more efficient for smaller, greener Ag_N-DNAs than for larger, redder clusters due to increased overlap of small clusters' energy levels with the nucleobase energy levels. More detailed studies, such as time-resolved infrared spectroscopy to monitor nucleobase excitations,⁶⁶ could investigate whether one or both of these factors determine the behavior in Figure 4a.

The correlation of $R_{UV/vis}$ with SS is, in large part, due to the linear correlation of SS with E_{ex} (Figure 3a). We speculate that the slightly stronger correlation of $R_{UV/vis}$ with SS than with E_{ex} may be caused by stronger coupling of smaller, greener clusters with the DNA, resulting in greater energy relaxation relative to larger clusters. Significant spread of $R_{UV/vis}$ values for a single SS or E_{ex} value may arise from variations in cluster attachment to the DNA, *e.g.*, the strength of coupling of the cluster to the nucleobases. Further studies are needed to determine the origin of this spread.

3.3 Role of base sequence in selecting Ag_N-DNA optical properties.

Improved understanding of the sequence-dependence of Ag_N-DNAs can enable rational design of fluorophores with custom properties. We previously applied data mining and

machine learning to a data library of 10^3 DNA sequences to uncover nucleobase patterns (“motifs”) correlated with the value of E_{em} .⁵⁶ When combined with machine learning, these motifs are effective building blocks for designing new Ag_N-DNAs with desired values of E_{em} .^{56,57} To also investigate how DNA sequence selects E_{ex} , SS , and $R_{UV/vis}$, we analyze correlations of DNA base motifs to values of E_{ex} , E_{em} , SS , and $R_{UV/vis}$. (305 spectrally pure Ag_N-DNAs is rather few for machine learning, so we examine statistical correlations of base patterns with Ag_N-DNA optical properties.)

Figure 5 displays mean values of E_{ex} , E_{em} , SS , and $R_{UV/vis}$ for single nucleobases (A, C, G, T) and two-base motifs in the 305 spectrally pure Ag_N-DNA template sequences. (Means are weighted by number of occurrences of each base pattern in a given DNA sequence). Figure S5 also displays means for three-base motifs. Correlations of DNA sequence with E_{em} (Figure 5b) agree with previous findings^{56,57} that A-rich motifs are correlated with greener emission (higher energies) and consecutive G’s are strongly correlated with redder emission (lower energies). This agreement is expected because the 305 spectrally pure Ag_N-DNAs were included in those previous studies. The association of smaller, greener clusters with A-rich motifs and larger, redder clusters with G-rich motifs has been suggested to arise from varying affinities of silver cations for different nucleobases.⁶⁷

We observe that relative trends of mean E_{ex} , E_{em} , SS , and $R_{UV/vis}$ values are for similar to one another. (Because E_{em} is a linear combination of E_{ex} and SS , this similarity is expected for E_{em} .) In Figures 5 a, c, and d, nucleobases A and T are associated with higher values of E_{ex} , SS , and $R_{UV/vis}$, while G is associated with lower values of each of these parameters. Trends for two-base motifs are also similar among all parameters, with some distinct differences for $R_{UV/vis}$. This trend is expected given roughly linear correlations of SS and $R_{UV/vis}$ with E_{ex} (Figures 2a, 3a, 4a) and shows that DNA sequence patterns which select for the excitation energy E_{ex} from ground state to initial unrelaxed excited state (Franck Condon state) are also predictive of the magnitude of SS , the energy lost during relaxation following excitation. A lack of obviously distinct sequence patterns which encode SS and E_{ex} could support that energy relaxation is due to a delocalized relaxation across the entire cluster and/or DNA template, as opposed to specific relaxation of one or a few excited nucleobases. Because E_{ex} , SS , and $R_{UV/vis}$ do not appear to be separately tuned by distinct DNA base motifs to a significant degree, designing an Ag_N-DNA with custom values for each of these optical properties is likely to be a significant challenge, although three-base motifs may enable such prediction (Figure S5), which is the subject of ongoing work.

To elucidate if subtler sequence features determine SS irrespective of E_{ex} , we consider the most abundant peak in Figure 2b: $2.15 \text{ eV} < E_{ex} < 2.45 \text{ eV}$. HPLC-purified Ag_N-DNAs in this spectral range have $N_0 = 6$ neutral Ag atoms. The 145 DNA sequences in this peak are separated into two categories above and below their median SS value (Figure 6a). Average numbers of nucleobase, 2-base, and 3-base patterns are calculated for both categories. Figure 6b,c display average numbers of bases and 2-base motifs in sequences correlated to higher (orange) and lower (green) SS categories, with base patterns ordered left-to-right in order of the relative difference between average occurrence in the two classes, which is a metric of predictiveness of SS value for each base pattern (3-base patterns in Figure S6). For this specific spectral window, inclusion of T bases generally increases SS , while A and G bases

tend to reduce SS . Interestingly, A-rich motifs were correlated to higher SS values when considering the entire 305 spectrally pure Ag_N -DNAs, but their role for selecting SS for a given color window may be more nuanced. C is less predictive of SS , and behavior of 2-base motifs containing C's is generally predicted by A, G, and T content.

The differences in SS behavior of Ag_N -DNAs stabilized by A- and G-rich strands as compared to T-rich strands are somewhat unexpected if one assumes that strength of silver-nucleobase bonds should control SS magnitude. Thymines are not expected to bind the silver cluster and adenines are expected to bind rather weakly, as compared to strong affinities of cytosine and guanine for silver.^{67,68} The few crystal structures available for Ag_N -DNAs show that A can coordinate the silver cluster,⁶⁹ although a separate study of a DNA- Ag^+ complex finds that adenines protrude outward from Ag^+ -mediated DNA duplexes.^{5,14,31} T is similarly observed to protrude away from silver clusters.⁷⁰ While solved Ag_N -DNA structures are scant and may not be representative of general behavior, we note that differences in SS of Ag_N -DNAs in Figure 6 do not appear to be explained simply by total silver-binding power of the DNA template, due to the similar SS values correlated with A- and G-rich motifs. The relaxation dynamics of a more tightly bound cluster would be expected to differ from a weakly bound cluster. Since G likely binds to the cluster more strongly than A, this simple model is unlikely.

Other possible mechanisms for finer variations in SS behavior include cluster structural variations and slight differences in vibrational modes of nucleic acids, which are measured in the range of 0.19 eV to 0.21 eV.^{14,31} Thymine has the highest frequency modes of the set of natural nucleobases in DNA,⁷¹ which could increase SS of T-containing Ag_N -DNAs if energy relaxation occurs *via* vibrational modes (discussed below). A and G are both purines with bulkier sizes than T, which may affect energy relaxation of the excited cluster. It is unclear whether the vibrational modes of free nucleobases are representative of silver-bound nucleobases, and more crystal structures must be solved to understand how structure contributes to SS and how the four nucleobases coordinate silver clusters.

3.4 Possible origins of the Stokes shift in Ag_N -DNAs.

By the Franck-Condon principle, SS is determined by processes which follow photoexcitation.⁷¹ Possible mechanisms for energy relaxation processes in Ag_N -DNAs include electronic dephasing, vibrational relaxation, and charge transfer. Measured vibrational modes of nucleic acids, 0.19 eV to 0.21 eV,⁷² roughly correspond to the lower limit of SS values observed (Figure 3a), which could support an energetic relaxation process *via* excitation of one or more vibrational modes in the nucleic acids of the surrounding DNA ligand. However, vibrational modes of nucleic acids bound to silver cations may differ from free nucleic acids. Calculations by Weerawardene and Aikens for thiolate-protected gold clusters found that changes in *cluster* geometry after excitation, *i.e.* nuclear rearrangement of Au atoms, lead to electronic structure changes that produce a Stokes shifts, and the magnitude of these geometric changes are correlated to the magnitude of SS .⁵³ Calculations of thiolate-protected Ag clusters also find geometric relaxation following excitation with a magnitude that is smaller for larger clusters, leading to inverse correlation of cluster size and SS ^{73–75} which matches what is observed here for Ag_N -DNAs.

The extremely rapid 10-100 fs energy relaxation observed immediately following Ag_N -DNA excitation⁴⁵ may be too fast to be caused solely by geometric relaxation of cluster and/or DNA ligand structure or by charge transfer.^{50–52} However, *ab initio* calculations of small atomic chains of Ag atoms found ~100 fs plasmon dephasing due to certain molecular vibrations in 1-dimensional atomic Ag chains.⁷¹ Thus, the unusual ultrafast energy relaxation process in Ag_N -DNAs may be of mixed character, involving both electronic dephasing and geometric relaxation. A recent study used ultrafast time-resolved infrared spectroscopy to monitor vibrational modes of DNA nucleobases following excitation of two green-emissive Ag_N -DNAs at their visible excitation wavelengths. Upon excitation, vibrational modes of certain nucleobases were found to bleach.⁷⁶ Because isolated nucleobases require higher energy (UV) excitation to undergo bleaching, this suggests an intimate and intriguing connection between the silver cluster and its nucleobase ligands. Future studies are needed to understand how electronic dephasing, vibrational relaxation, and/or charge transfer contribute to the origins of Stokes shift in Ag_N -DNAs. Such studies could shed light on why Ag_N -DNAs retain high quantum yields and *SS* values several times larger than organic fluorophores, even into the NIR.⁶⁶

3.5 Multipeaked excitation spectra: heterogeneous samples or fundamentally different Ag_N -DNA structures?

Of the 485 Ag_N -DNA samples which exhibited single-peaked emission spectra, 305 of these also exhibited single-peaked excitation spectra (*e.g.* Figure 1) while the remaining 180 samples exhibited excitation spectra with poorly resolved peaks, asymmetric peaks or, for 88 samples, multiple peaks > 350 nm. Figure S7 illustrates representative examples of these excitation spectra. The most common secondary peak observed was in the near UV between nucleobase absorption band and the dominant Region 1 peak (Figure 1); this peak appeared in 63 samples. While it is most convenient to simply dismiss these samples as heterogeneous mixtures of different clusters indiscernible by emission spectra only (which is reasonable for poorly resolved or asymmetric peaks), we cannot rule out the possibility that some multipeaked samples may represent single Ag_N -DNA species with spectral features which fundamentally differ from the well-studied HPLC-stable Ag_N -DNAs with single Gaussian excitation peaks in Region 1 (Figure 1). As described in Methods, all measurements were performed one week after Ag_N -DNA synthesis to capture only time-stable products. Ag_N -DNAs evolve in the hours to few days after synthesis but generally stabilize well before one week.¹³ Thus, it is unlikely that Ag_N -DNAs undergo significant evolution in size/shape during collection of emission spectra and excitation spectra, which would could cause the appearance of multiple excitation peaks. Another possible cause of Ag_N -DNAs with single-peaked emission spectra but multipeaked excitation spectra is when a single DNA template strand forms two different Ag_N -DNA species with equal E_{em} , one with low *SS* and one with high *SS*. The probability of this occurring in such a large fraction of samples is highly unlikely. Finally, larger silver nanoparticles display size-dependent surface plasmon resonances,⁷⁷ but excitation of surface plasmons in nanoparticle impurities should not induce fluorescence in separate Ag_N -DNAs that coexist in solution.

A final possibility is that certain Ag_N -DNAs inherently have multipeaked excitation spectra, with a dominant visible-NIR peak and a secondary peak, typically between 3 eV and 3.5 eV.

Secondary excitation peaks at 3 – 3.5 eV have been observed in a few HPLC-purified Ag_N-DNAs.⁷⁸ A particularly stable and bright Ag_N-DNA which emits at 670 nm has a primary excitation peak at 600 nm and a secondary excitation peak at 400 nm.²⁸ Secondary excitation peaks at energies $> E_{ex}$ are consistent with fluorescence arising from an initial collective excitation in Ag_N-DNAs.¹⁰ In this model, the dominant visible-NIR excitation peak corresponds to a longitudinal collective electronic oscillation along the silver cluster rod. In this rod, a transverse collective mode is also expected. Computational studies find that this mode is damped in straight atomic Ag chains,²⁸ but simulations of atomic Ag chains with chiral twist find that as chirality increases, transverse excitation peaks become more prominent.⁷⁹ We hypothesize that some Ag_N-DNAs with increased curvatures host more prominent transverse excitation modes which also lead to Ag_N-DNA fluorescence. Ag_N-DNAs with higher curvature may not have been observed in large numbers due to lower stabilities under HPLC as compared to straighter Ag_N-DNAs.

Conclusions

We present the first high-throughput study probing Stokes shifts of Ag_N-DNAs across the diverse spectral range of these nanoclusters. By using spectral purity to identify 305 samples containing a single fluorescent Ag_N-DNA species, we found that Stokes shifts for these samples vary widely and are several times larger than organic fluorophores, despite the molecular-like high quantum yields of Ag_N-DNAs.^{30,80} The distribution of peak excitation energies and Stokes shifts suggests that there may exist distinctions between excited state relaxation processes in Ag_N-DNAs with $N_0 = 4$ and $N_0 = 6$ neutral Ag atoms. By comparing to crystal structures available for Ag_N-DNAs,^{5,14,31,42} we propose that Ag_N-DNA cluster structure transitions from planar to cylindrical between $N_0 = 4$ and $N_0 = 6$, resulting in different magnitudes of energy relaxation following photoexcitation. Future studies are needed to test this hypothesis.

We also found strong correlations between certain DNA sequence patterns and peak excitation energy. Because Stokes shift scales strongly with excitation energy, sequence patterns predictive only of Stokes shift but not of excitation energy are more subtle. This suggests that the primary role of DNA sequence is to select the silver cluster's structural, which plays the dominant role in determining the energy relaxation process following photoexcitation. Finer variations of cluster geometry and/or the cluster's interaction with its DNA template may cause the significant spread of Stokes shifts observed for Ag_N-DNAs with equal excitation energies. Finally, we observe a significant minority of Ag_N-DNAs with single-peaked emission spectra yet multiple peaks in excitation spectra, particularly in the near UV. The prevalence of these samples, and the near UV energies of their peaks, could correspond to transverse collective excitation modes of clusters with greater chirality, as predicted by *ab initio* calculations.^{5,14,31} We hope that the extensive data set presented here will enable computational studies of fluorescence excitation and emission processes in Ag_N-DNAs.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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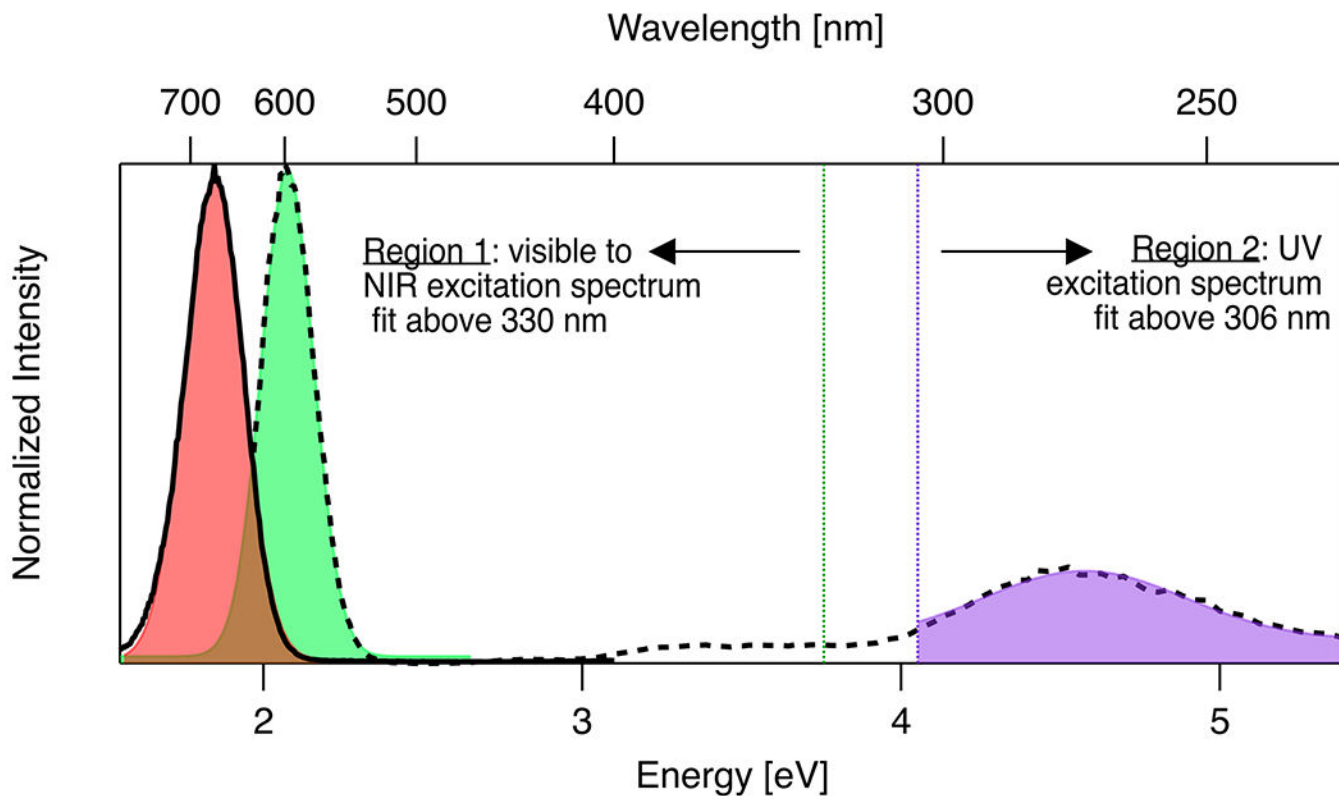


Figure 1:

Prototypical excitation (dashed black line) and emission (solid black line) spectra for an Ag_N -DNA with single excitation and emission peaks. The emission spectrum is fitted to a single Gaussian (red shading) to determine peak emission energy E_{em} . The excitation spectrum is fitted to two single Gaussian peaks, one in the visible-NIR region at fitted peak energy E_{ex} (green shaded fit in Region 1) and one in the UV (purple shaded fit in Region 2). Signal between 306-330 nm is excluded due to stray light in some regions of the well plate from an imperfection in the plate reader. Stokes shift SS is calculated as $SS = E_{ex} - E_{em}$. The ratio of excitation peak areas in Regions 1 and 2 is defined as $R_{UV/vis} = (\text{area of green Region 2 peak})/(\text{area of purple Region 1 peak})$. Figure S7 shows additional excitation spectra of samples excluded from analysis due to multiple peaks in excitation spectra.

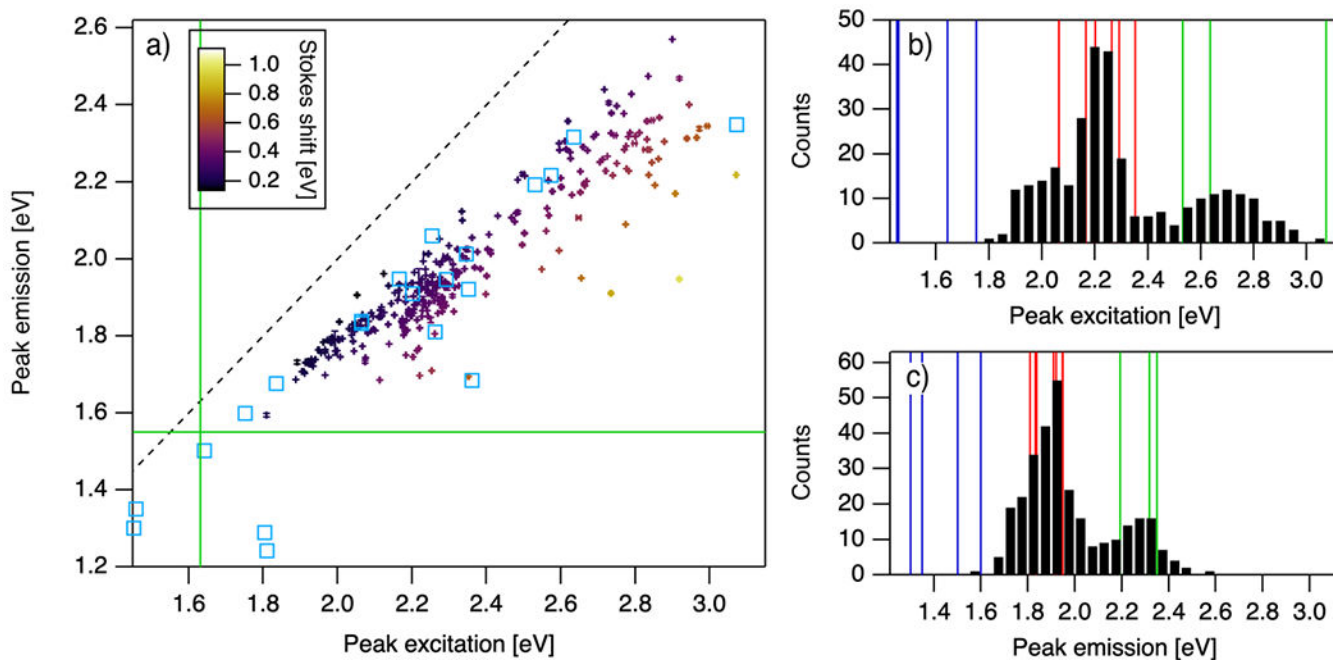


Figure 2:

a) E_{em} versus E_{ex} for purified Ag_N -DNAs (cyan squares; data from previous studies^{6,7,11,28,29,32}) and the spectrally pure Ag_N -DNAs identified here (data points with error bars; colors correlate to Stokes shift magnitude as indicated by upper left color bar). Error bars represent standard deviations of Gaussian least squares fits. Dashed black line indicates $E_{em} = E_{ex}$, ($SS = 0$). Solid green lines are equivalent to the upper spectral wavelength limits of this study. b-c) Histograms of b) E_{ex} and c) E_{em} of single-peaked Ag_N -DNA (black bars). Fourteen colored vertical lines represent b) E_{ex} and c) E_{em} of purified Ag_N -DNAs with $N_0 = 4$ (green), $N_0 = 6$ (red), and $N_0 = 10$ to 12 (blue), as determined by MS.^{6,7,28,29}

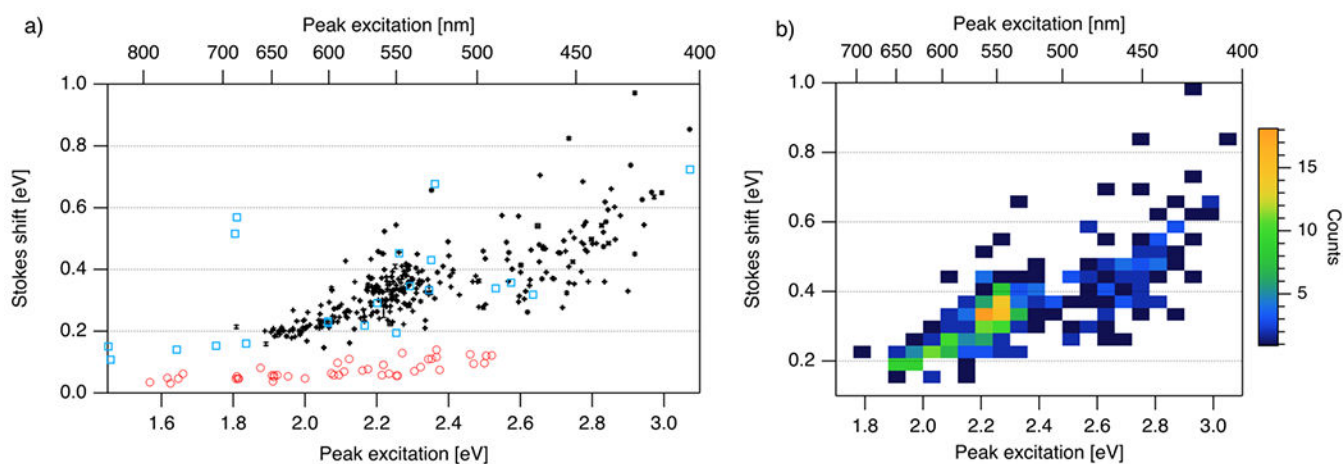


Figure 3:

a) SS versus E_{ex} for spectrally pure solutions of Ag_N -DNAs (black), previously characterized HPLC-purified Ag_N -DNAs (cyan squares),^{6,7,11,28,29,32} and organic fluorophores which are commonly used to label oligonucleotides (red circles).⁸¹ Vertical and horizontal error bars of black points represent standard deviations; other markers are larger in size than associated standard deviations. b) Heatmap of the data for spectrally pure Ag_N -DNAs from (a) illustrates relative abundance of certain pairs of (E_{ex}, SS) (legend indicates color meaning).

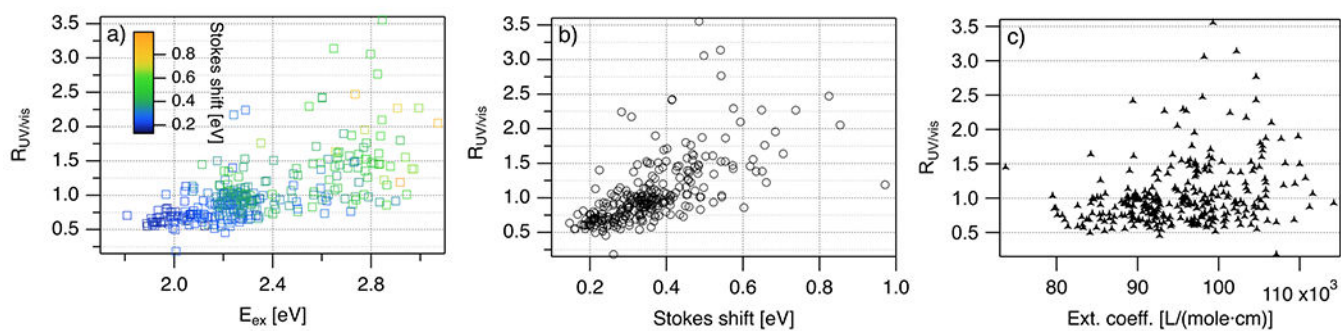


Figure 4: $R_{UV/vis}$, ratio of areas of UV excitation peak (Region 2) to excitation peak (Region 1), as a function of a) E_{ex} , b) SS , and c) extinction coefficient of the template DNA strand, calculated using the nearest neighbor model.^{64,65}

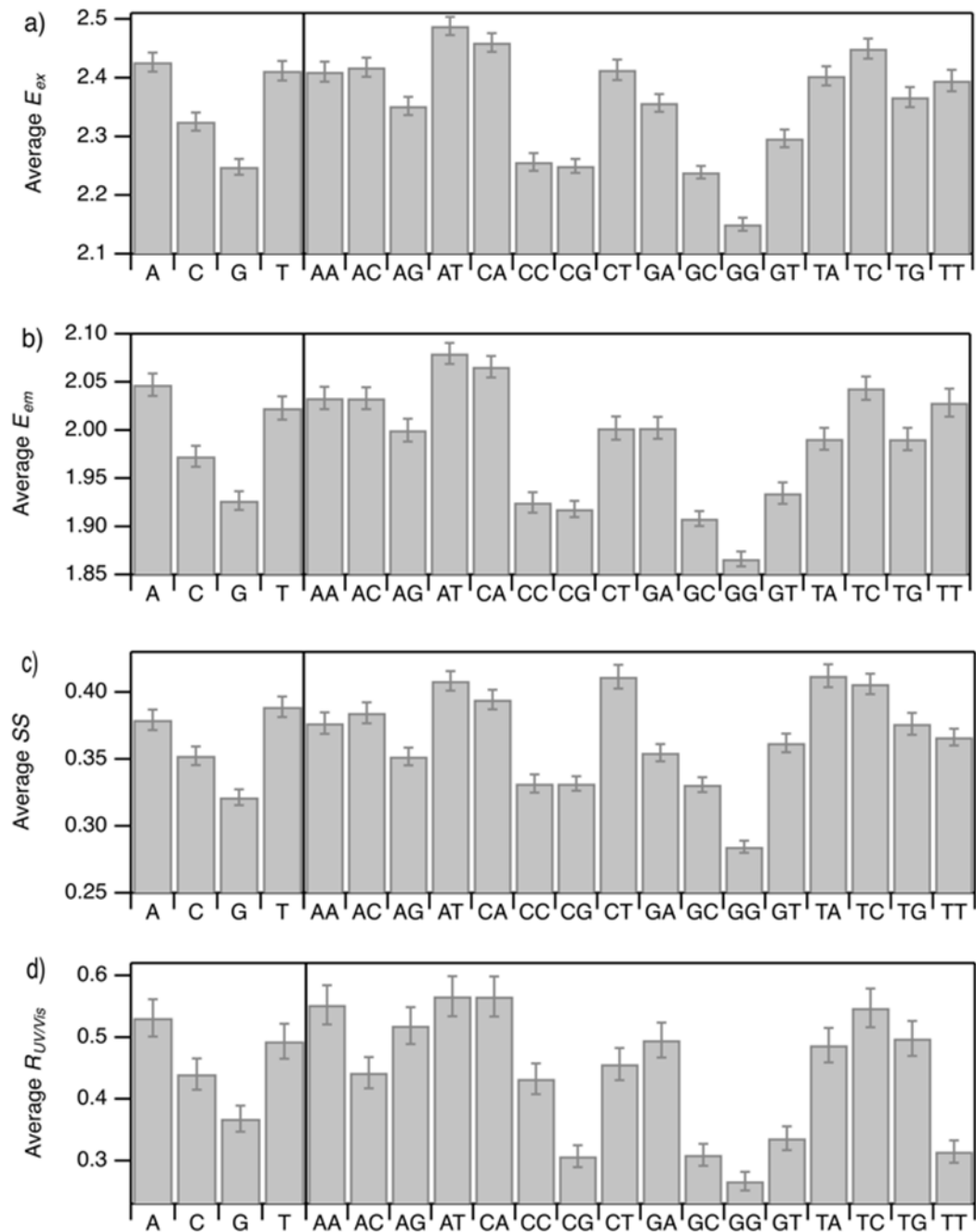


Figure 5: Mean values of (a) E_{ex} , (b) E_{em} , (c) SS , and (d) $R_{UV/vis}$ for each instance of the four nucleobases and the 16 possible two-base motifs in the set of DNA template sequences for the 305 spectrally pure Ag_N -DNAs. Error bars represent standard error, as a measure of precision of the mean.

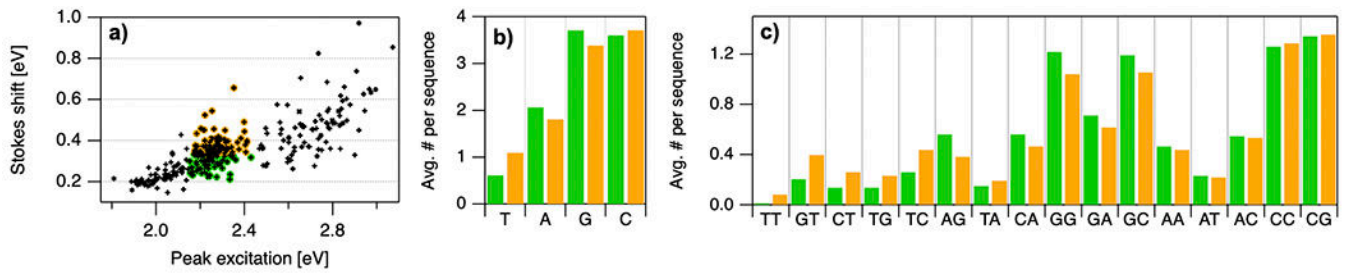


Figure 6:

a) Indication of low SS (green) and high SS (orange) categories, separated at the median value of $SS = 0.336$ eV. b, c) Motifs are sorted left to right in order of greatest relative difference between orange and green bars.