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Natriuretic Peptides and Assessment of Cardiovascular Disease Risk in Asymptomatic Persons

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Abstract Current tools for cardiovascular disease (CVD) risk assessment in asymptomatic individuals are imperfect. Preventive measures aimed only at individuals deemed high risk by current algorithms neglect large numbers of low-risk and intermediate-risk individuals who are destined to develop CVD and who would benefit from early and aggressive treatment. Natriuretic peptides have the potential both to identify individuals at risk for future cardiovascular events and to help detect subclinical CVD. Choosing the appropriate subpopulation to target for natriuretic peptide testing will help maximize the performance and the cost effectiveness. The combined use of multiple risk markers, including biomarkers, genetic testing, and imaging or other noninvasive measures of risk, offers promise for further refining risk assessment algorithms. Recent studies have highlighted the utility of natriuretic peptides for preoperative risk stratification; however, cost effectiveness and outcomes studies are needed to affirm this and other uses of natriuretic peptides for cardiovascular risk assessment in asymptomatic individuals.

Keywords Natriuretic peptides · Risk assessment · Primary prevention · Screening

Introduction

Successful prevention of cardiovascular disease (CVD) is predicated on accurate risk assessment to identify individuals most likely to benefit from specific interventions.

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Consensus statements regarding prevention of CVD therefore direct significant focus upon their risk assessment recommendations. Whereas older guidelines often focused exclusively on traditional cardiovascular risk factors for assessment of risk [1], recent consensus statements have begun to note the shortcomings of relying solely on this approach while heralding the potential of novel assessment methods, including the use of subclinical measures of disease and cardiovascular biomarkers [2].

Traditional cardiovascular risk factors, although certainly important to incorporate into any risk assessment, are not sufficient for assessing CVD risk. According to the Third National Health and Nutrition Examination Survey (NHANES-3), over 99% of men and women between the ages of 35 and 74 years have at least one suboptimal risk factor [3]. It is not surprising, then, that major coronary heart disease (CHD) risk factors are highly prevalent even among individuals who never develop heart disease [4]. At the same time, traditional risk factors do not fully explain cardiovascular risk [5, 6]. The poor specificity and suboptimal predictive value of traditional risk factors, in a setting where early detection of subclinical CVD could lead to prevention of future problems with early treatment, points to the need for better ways to predict cardiovascular risk in asymptomatic individuals.

Cardiovascular biomarkers are emerging as valuable tools in assisting with disease prognosis and risk stratification for a variety of cardiovascular conditions. The natriuretic peptides (NPs), including B-type natriuretic peptide (BNP) and the N-terminal fragment of pro-BNP (NT-proBNP), are released in the setting of myocardial strain and are well established for aiding in the diagnosis, prognosis, and monitoring of heart failure patients [7]. Emerging data suggest that NPs also have predictive value in a variety of other settings, including apparently healthy

community dwellers, in whom elevated levels are associated with increased risk of cardiovascular morbidity and mortality and may reflect subclinical myocardial or valvular disease [8, 9]. They are therefore good candidate markers for evaluating asymptomatic individuals who may have subclinical cardiovascular abnormalities or who may be at risk for future cardiovascular events.

Shortcomings of Currently Used Tools for Cardiovascular Disease Risk Assessment

Tools commonly used for CVD risk assessment are often based on measurement of traditional risk factors, such as blood pressure, cholesterol, and smoking status, and have several limitations. The National Cholesterol Education Program Adult Treatment Panel III risk assessment [10] and the Framingham risk score [11] are two such tools that, although well validated and useful for thinking about risk on a population level, have a number of shortcomings when used in the individual patient. By principally targeting individuals deemed “high risk” by current assessment tools, we are still missing the majority of people who will ultimately develop CVD. To paraphrase a classic paradox, the large number of people at low risk for CVD may give rise to more cases of CVD than the small number who are at a high risk [12].

The paradigm of treating high-risk patients by lowering their blood pressure and cholesterol to a prespecified target level also neglects the fact that regardless of the starting value, for any given absolute change in blood pressure or cholesterol there is a constant relative change in cardiovascular risk (Fig. 1) [13]. Thus, if we could more accurately identify individuals at risk for CVD, aggressive treatment may help prevent CVD even among individuals without marked hypertension or dyslipidemia.

Other shortcomings of global risk assessment tools include an underestimation of life-time risk, poorer estimation of risk in ethnic minority populations, a focus on only CHD events rather than the full spectrum of CVD events worthy of prevention (which includes cerebrovascular disease and peripheral vascular disease in addition to CHD), and the documentation of subclinical disease among many individuals (especially women) whose score classifies them as being at low risk [2, 14].

Natriuretic Peptides for Risk Assessment and Cardiovascular Screening

BNP Versus NT-proBNP

Although they tend to be well correlated, absolute levels of the two NPs are not interchangeable, and it is helpful for

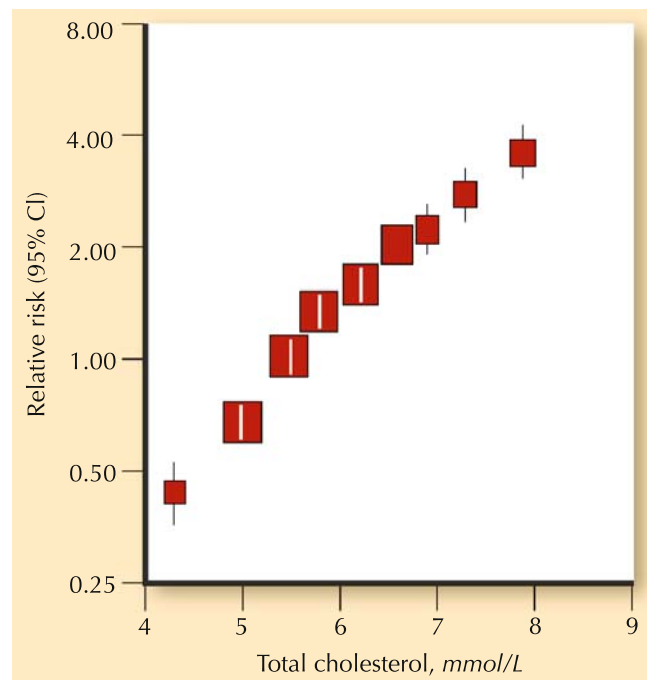


Fig. 1 Relative risk of coronary heart disease (CHD) by cholesterol concentration. (Adapted from Jackson et al. [13]; with permission.)

clinicians to understand the differences and nuances between them. On the occasions where there is a discrepancy in relative levels, personal experience and some data have suggested that it tends to be the NT-proBNP that is the proportionally higher of the two values, which may in part reflect its longer half-life compared with BNP. In this small proportion of cases where the two tests disagree, NT-proBNP may perform better [15•].

Performance characteristics for BNP and NT-proBNP are similar in many clinical scenarios, including risk assessment and cardiovascular screening [16]. However, some studies have suggested that NT-proBNP may perform marginally better than BNP in predicting mortality in the community [17, 18] and in screening asymptomatic individuals for structural heart disease [15•, 19].

Natriuretic Peptides and Cardiovascular Disease Risk Assessment

Enthusiasm for using NPs for assessment of CVD risk has grown out of a number of community-based studies showing that levels are predictive of future cardiovascular morbidity and mortality, even among apparently healthy individuals. For example, the Framingham Offspring Study prospectively measured BNP levels in 3,346 asymptomatic middle-aged participants and found them to be independently predictive of death, heart failure, stroke or transient ischemic attack, and atrial fibrillation, even after adjusting for traditional risk factors [8]. Participants with BNP levels

above the 80th percentile (~20 pg/mL) had a 62% increased risk of death and a 76% increased risk of a first major cardiovascular event. With each increase in standard deviation in log BNP levels, there was a 27% increased risk of death, a 77% increased risk of heart failure, a 66% increased risk of atrial fibrillation, and a 53% increased risk of stroke or transient ischemic attack. NT-proBNP fared similarly in a Danish community-based study where levels were predictive of mortality and first major cardiovascular event (nonfatal myocardial infarction, fatal CHD, unstable angina, heart failure, stroke, and transient ischemic attack) and provided prognostic information beyond traditional risk factors [20]. These findings have since been replicated in numerous studies [9, 21–28]. Thus, NPs may serve as an early warning sign, alerting asymptomatic individuals to their increased risk of CVD.

A Combined Approach: Screening for Subclinical CVD Plus Risk Assessment

One distinct advantage of using NPs for cardiovascular risk assessment is that in addition to helping predict future cardiovascular events, NPs may also help to screen for prevalent subclinical cardiovascular disease. Important considerations for a successful population-based screening test include a high prevalence of the disease being screened, a safe and cost-effective test, and a proven effective treatment for the detected disease (Table 1) [29]. NPs are attractive candidates to screen for CVD in the general population for several reasons [30]. First, the cardiovascular diseases that are detectable by elevated NP levels, including left ventricular dysfunction, cardiac dysrhythmias, and valvular disease, are common and cause significant morbidity and mortality. Second, NP levels are often elevated early on in the disease process of patients who go on to

develop left ventricular dysfunction as well as other cardiovascular conditions, allowing for timely detection of disease prior to symptom onset [31, 32]. Third, early treatment of latent disease may improve outcomes; for example, early use of angiotensin-converting enzyme inhibitors may prevent the development of heart failure in patients with asymptomatic left ventricular dysfunction [33], and the development of arterial hypertension could be prevented with earlier initiation of angiotensin-receptor blocker therapy [34]. Finally, several studies have shown that, in the proper setting of targeted higher-risk populations, NPs may prove cost effective for screening for CVD [35–37].

Screening tests have better value in populations with a higher prevalence of disease. The value of NPs in assessing cardiovascular risk is bolstered by the fact that they can both detect and predict a variety of clinical disorders. When NPs are used to screen only for left ventricular dysfunction, results have been mixed [15, 38–43]. However, when used to screen for the presence of a variety of cardiovascular diseases [35, 44], or to assess risk across the broader spectrum of CVD, NPs have consistently emerged as strong predictors. An approach that capitalizes on both the screening and the risk assessment capabilities of NPs may have the greatest chance of improving care.

Targeting Specific Subpopulations

The ultimate success of NPs as a tool for risk assessment likely hinges upon identifying and targeting appropriate subpopulations. Risk assessment tools rarely are indicated for every individual regardless of age, gender, or other risk factors, even when the aim is primary prevention. Rather, by targeting groups with high-risk features, the accuracy and cost effectiveness of risk assessment can be improved. In the case of screening asymptomatic individuals with NPs, a strategy targeting moderate-risk or higher-risk groups has a much higher likelihood of success than does a shotgun approach of evaluating every single individual.

Individuals currently considered to be at moderate risk for CVD may stand to benefit the most from assessment with NPs. Examples include individuals with borderline or only single abnormalities of traditional risk factors (such as those with a family history of CVD but no other risk factors, those with isolated hypertension, or the elderly), those with a Framingham risk score of 10% to 20%, and those with atypical symptoms of CVD. We have recently shown in a cohort of 829 outpatients referred for an echocardiogram that individuals who are at risk for heart failure but who are asymptomatic (American Heart Association/American College of Cardiology Stage A or B) with elevated BNP levels (≥ 100 pg/mL) are actually at equal or higher risk for future cardiovascular events than

Table 1 World Health Organization criteria for screening

The condition sought should be an important health problem for the individual and community
There should be an accepted treatment or useful intervention for patients with the disease
Facilities for diagnosis and treatment should be available
There should be a recognizable latent or early symptomatic stage
There should be a suitable and acceptable screening test
The natural history of the disease, including development from latent to declared disease, should be adequately understood
There should be an agreed policy on whom to treat as patients
The cost (including diagnosis and treatment of patients diagnosed) should be economically balanced in relation to possible expenditure on medical care as a whole
Case-finding should be a continuing process and not a “once and for all” project

(Adapted from Wilson [29].)

are individuals with a history of heart failure whose BNP is less than 100 pg/mL (Fig. 2) [45]. Thus, NPs may be useful to identify asymptomatic individuals at high risk for future cardiovascular events. In moderate-risk individuals who may be undecided about starting medications for primary prevention, a markedly abnormal NP level may tilt the balance in favor of treatment.

Individuals at highest risk for CVD in whom aggressive preventive treatment is already recommended, including those with chronic kidney disease, diabetes, or peripheral vascular disease, may actually be in less-attractive subgroups to measure NP levels for risk assessment, although a low NP level in these individuals could encourage a more simplified approach to future testing with a high negative predictive value [42]. Conversely, assessment of NP levels in very low-risk subgroups such as young, healthy individuals without any traditional risk factors is unlikely to prove economically attractive. However, if relatively inexpensive yet highly accurate screening panels become available that include NPs as one part of a multi-marker approach, even this paradigm could change.

Recent Advances

Multi-Marker and Multi-Modality Approach

Interest in a multi-marker (or multi-modality) approach to risk assessment is growing, as reflected by the number of recent studies evaluating such strategies. The rationale for combining multiple risk markers is compelling, as such an

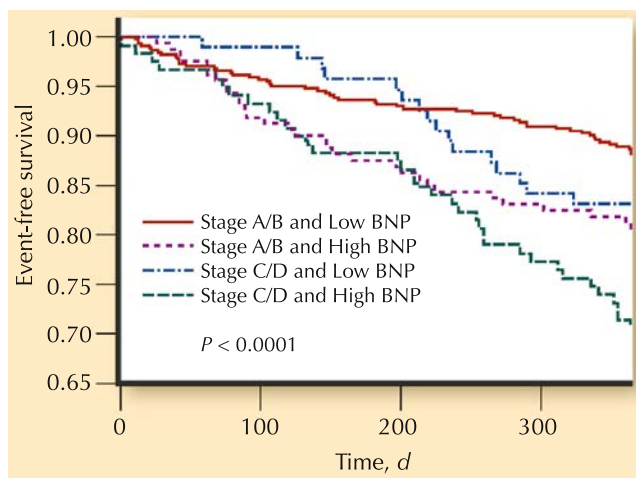


Fig. 2 Unadjusted event-free survival over 1 year in four groups based on American College of Cardiology/American Heart Association heart failure stage and B-type natriuretic peptide (BNP) level among 829 outpatients referred for an echocardiogram. High BNP is ≥ 100 pg/mL and low BNP is < 100 pg/mL. The P value is from the log-rank test for comparison across groups. (Adapted from Daniels et al. [45]; with permission.)

approach can extract information from a variety of distinct pathophysiologic pathways, potentially broadening the scope and sensitivity of risk prediction [46]. We have only begun to scratch the surface on exploring combinations of biomarkers, genetic testing, and imaging or other noninvasive measures of risk. Along these lines, Shaw et al. [47•] recently showed that BNP and coronary artery calcium scores were each independent predictors of cardiovascular events in 2,458 asymptomatic adults recruited from a pool of general medicine outpatients (Fig. 3). In this study, BNP was the second strongest predictor of events (after coronary artery calcium score) over a median of 4 years of follow-up, even after accounting for the Framingham risk score. Combining distinct risk markers for risk prediction requires more study, but because of the complementary information provided, this approach is promising.

The importance of a multi-pronged approach is highlighted by several studies suggesting that NPs may be less robust markers in asymptomatic individuals for predicting CHD risk specifically, compared with its utility for predicting CVD risk. This was first suggested by the Framingham Offspring data, where NP levels were not significantly associated with the risk of CHD events, despite being associated with CVD in general (adjusted hazard ratios [95% CI per 1 standard deviation increase in BNP] of 1.10 [0.89–1.37; $P=0.37$] for CHD events versus 1.28 [1.03–1.59; $P=0.03$] for first major CVD event) [8]. In the past year, similar findings were reported by Tsuchida and Tanabe [28], who showed that despite an increased risk of cardiovascular events, cardiovascular mortality, all-cause mortality, heart failure, stroke, and atrial fibrillation among 3,123 consecutive outpatients with elevated BNP, there was no increased risk of CHD (hazard ratios for BNP level ≥ 100 pg/mL compared with BNP < 100 pg/mL were 4.6 [3.5–6.1; $P<0.0001$] for CVD events versus 0.6 [0.2–1.7; $P=0.34$] for CHD events). However, there is significant heterogeneity in studies that report the magnitude of the association between NP levels and CHD risk. Meta-analyses and several other recent studies have found that NP levels are in fact predictive of coronary events as well as CVD events in asymptomatic individuals, albeit often with slightly lower risk estimates [20, 21, 23, 26, 48, 49]. Melander et al. [22] demonstrated that of six novel and conventional biomarkers studied in 5,067 community-based Swedish participants without CVD, only NT-proBNP was predictive of both CVD and CHD events.

In studies evaluating multi-marker approaches to CVD risk assessment, NPs consistently emerge as important contributors [22, 24, 50]. However, in several of these studies the combination of multiple biomarkers only marginally increased the C-statistic for risk prediction [22, 50]. Some researchers have therefore concluded that the use of multiple biomarkers, as studied to date, has added only

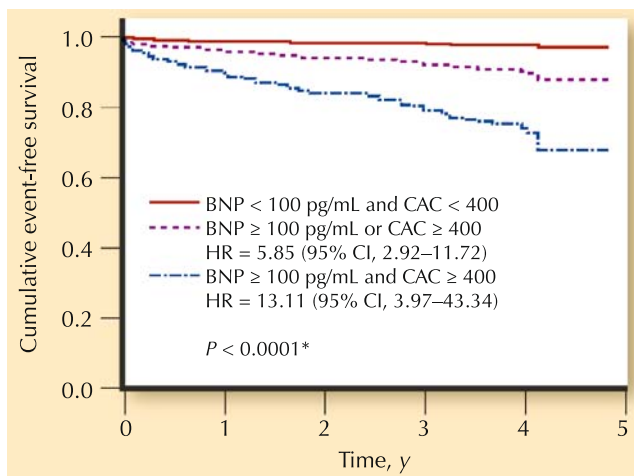


Fig. 3 Cumulative cardiovascular event-free survival in patients with combined B-type natriuretic peptide (BNP) and coronary artery calcium (CAC) measurements. *HR* hazard ratio. (Adapted from Shaw et al. [47•]; with permission.)

moderately to overall risk prediction based on traditional cardiovascular risk factors [51]. On the other hand, relying solely on the C-statistic may have limited utility for assessing future risk in a currently healthy population [52]. The final words are far from written on the optimal combination of risk markers and on the specifics regarding the best way to utilize a multi-marker approach to assess asymptomatic individuals.

Preoperative Risk Assessment

Several recent publications have evaluated NPs for assessing preoperative risk. In a meta-analysis of nine studies including a total of 3,281 patients undergoing noncardiac surgery, Karthikeyan et al. [53] examined the association between elevated preoperative levels of NPs with major adverse cardiac events within 30 days. All nine studies showed an association between elevated preoperative NP levels and adverse cardiac outcomes, with a pooled odds ratio of 19.3 (95% CI, 8.5–43.7) after adjusting for other risk factors. Ryding et al. [54] had strikingly similar findings in their meta-analysis, which included 15 publications comprising 4,856 patients undergoing noncardiac surgery (odds ratio of 19.8; 95% CI, 13.2–29.7), whereas Rodseth et al. [55] showed the same in a meta-analysis of 1,127 vascular surgery patients (odds ratio of 17.4; 95% CI, 3.3–91.2). These and other studies have also shown the converse (ie, patients with normal preoperative NP levels had a very low risk of adverse cardiac events) [55–57]. This has raised speculation that an NP-guided algorithm for preoperative risk assessment may be cost effective [58], whereby individuals with normal NP levels could potentially proceed directly to surgery without further expensive invasive and noninvasive testing, whereas those with

elevated levels may benefit from further risk stratification and optimization of medications plus more intensive intraoperative and perioperative monitoring.

Screening for Left Ventricular Dysfunction

The purpose of screening tests is to identify cardiac functional and structural abnormalities at an early stage. Over the past decade, NP levels have been evaluated as a tool to screen asymptomatic individuals for left ventricular dysfunction in a variety of settings [40, 59, 60]. Recent studies shed further light on this area. In 2009, Betti et al. [42] showed in 1,012 asymptomatic individuals at high risk for heart failure because of diabetes and/or hypertension that the cut-point of NT-proBNP less than 125 pg/mL had an excellent negative predictive value of nearly 100% for ruling out asymptomatic left ventricular dysfunction. If this finding results in fewer echocardiograms for high-risk patients such as these, NP testing could prove cost effective in this situation.

Despite the high negative-predictive value among high-risk individuals, the utility of NPs as a screening tool for left ventricular dysfunction in the community has often proved suboptimal. de Lemos et al. [15•] systematically evaluated false-positive and false-negative screening evaluations in 2,429 population-based participants from the Dallas Heart Study. Characteristics associated with false-negative NP values included younger age, lower left ventricular mass, higher left ventricular ejection fraction, and a trend toward better renal function; characteristics associated with false-positive NP values included older age, poorer renal function, higher prevalence of coronary artery calcification, and greater left ventricular mass.

Although NPs did not accurately discriminate individuals with left ventricular systolic dysfunction overall, performance among high-risk men (age ≥ 50 years or with hypertension) was comparable to other commonly used screening tests such as prostate-specific antigen screening for prostate cancer, Papanicolaou smears for cervical cancer, and mammography for breast cancer (area under the curve of 0.70 and 0.85, respectively) [15•, 61–63]. Furthermore, as described by the authors, previous population-based studies that have also shown suboptimal performance of NPs for screening for left ventricular dysfunction have subsequently shown that high levels are nonetheless predictive of worse cardiovascular outcomes. This suggests that NP levels reflect more than the structural and functional abnormalities that are seen on echocardiogram. Further support comes from a recent study by Mogelvang et al. [31•] in which 1,012 community-based participants underwent echocardiography. They found that preclinical systolic and diastolic dysfunction, as assessed by tissue Doppler imaging, was associated with elevated

plasma proBNP levels, even when conventional echocardiography was normal. NPs seem to represent a synthesized measure of an individual's cardiovascular risk, which, in addition to reflecting overt cardiac abnormalities, also reflects physiologic perturbations of minor structural and functional cardiac abnormalities as well as other factors, such as renal dysfunction [15•].

Controversies and Future Directions

One difficulty inherent to any approach that uses biomarkers to stratify risk is how to choose the optimal cut-point for action. Choosing a cut-point makes a binary variable out of a continuous one, and although this greatly simplifies clinical use, a significant amount of information is lost in the translation. Furthermore, the tension between sensitivity and specificity is a transcendent one in cut-point selection (as attested to by the frequently changing guidelines for low-density lipoprotein cholesterol targets, systolic blood pressure goals, and the fasting glucose level needed for a diagnosis of diabetes.) Choosing an ideal NP cut-point is even more complicated due to intra-individual variability as well as the variability in baseline levels based on the population being screened. This makes it unlikely that any single cut-point will be sufficiently acceptable across all populations.

Future studies evaluating NPs (or any new marker or multi-marker panel) for risk assessment will benefit from incorporating several newer statistical methods that have been developed and recommended for gauging the information provided by a new risk marker [64, 65••]. In addition to conventional analyses such as proportional hazards models, newer performance criteria include measures of discrimination, calibration, validation, and reclassification. However, statistical methods alone cannot measure the full clinical value of the information provided by a new risk marker. The goal of improving risk assessment is to improve how we respond to various risk categories; therefore, the effect of the risk marker on clinical decisions and clinical outcomes is ultimately the data in which we should be most interested.

Conclusions

There are insufficient data at the present time to recommend a strategy of NP-guided risk assessment for asymptomatic individuals in the population at large. However, accumulating evidence suggests that an NP-guided approach may make a positive impact in select subpopulations, including those at intermediate or higher risk for CVD and those undergoing preoperative evaluation. While we await cost-effectiveness and outcome studies to confirm these uses of

NPs, investigations will continue to search for the best recipe of other subgroups that may benefit, plus new markers and combinations of risk markers that can make assessing cardiovascular risk ever more accurate.

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- Of major importance

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