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Extracting Natural Biosurfactants from Humus Deposits for Subsurface Engineering Applications

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1 **Extracting Natural Biosurfactants from Humus Deposits for**  
2 **Subsurface Engineering Applications**

3

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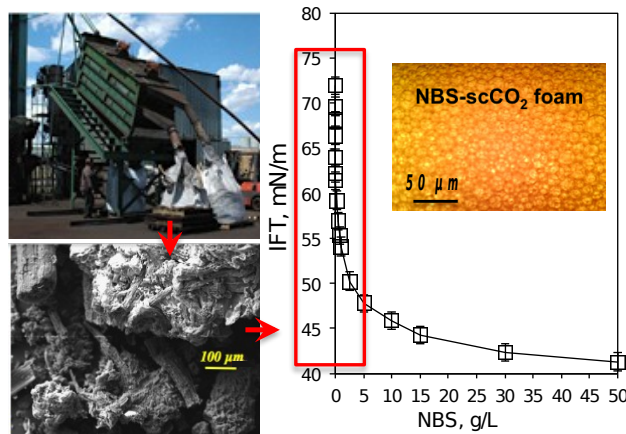
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12 **Keywords:** natural biosurfactants, foams, viscosity, EOR, non-water  
13 fracturing

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TOC Art:



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18 Supporting Information: video on scCO<sub>2</sub>-foam flow

## 19Abstract

20More environmentally benign, economical, and effective surfactants  
21and additives are increasingly needed in engineering subsurface  
22energy recovery processes. Biosurfactants have some advantageous  
23over chemically synthesized surfactants, however the high costs of  
24microbial-biosynthesis prohibits their applications in subsurface  
25engineering. Here we propose to use already naturally formed  
26biosurfactants contained within Earth's abundant humus deposits for  
27subsurface engineering applications. Humus is plentiful, inexpensive,  
28and readily available. We collected humus samples of different types  
29from four different geographic regions, and developed a simple  
30method for extracting natural biosurfactant (NBS) used only four  
31common chemicals. The average NBS extraction yields are  $17\pm 6$  % of  
32the raw humus tested. No significant differences in elemental  
33composition and functional group chemistry were found among the  
34NBS extracted from humus of different origins, suggesting that mostly  
35any humus deposits can be used as the raw material for NBS.  
36Measurements of interfacial tensions between air-water and  
37supercritical (sc) CO<sub>2</sub>-water interfaces indicate that the NBS is a highly  
38effective surfactant. NBS has good foaming ability. In our preliminary  
39tests with only 0.5 mass % NBS in the aqueous phase (no other  
40additives), the NBS generated scCO<sub>2</sub>-in-water foams reached 90%  
41foam quality with the foam apparent viscosities up to 30 cP. These  
42results suggest that NBS merits further research and development as a  
43potential new technology for industrial scale subsurface energy  
44production.

45

## 46INTRODUCTION

47Using alternative fluids (e. g., CO<sub>2</sub>, N<sub>2</sub>, and natural gas) to replace  
48water in hydraulic fracturing has become an attractive next step to

49 resolve the issues resulted from using water-based fracturing fluids,  
50 including wastewater generation and treatment, and water formation  
51 damage from water blocking of shale pores<sup>1</sup>. The primary barrier of  
52 using these alternative fluids is their very low viscosity, much lower  
53 than that of water. Yet CO<sub>2</sub> has the advantage of miscibility with oil  
54 under elevated pressure, and this has been driving the technology of  
55 CO<sub>2</sub> enhanced oil recovery (EOR). However the low viscosity of CO<sub>2</sub>  
56 causes the injected CO<sub>2</sub> bypass the remaining oil<sup>2</sup>. The thickeners for  
57 directly thickening CO<sub>2</sub> have been developed and tested in  
58 laboratories, yet the associated issues of high costs and toxicity  
59 prevent their field application<sup>2-3</sup>. The use of surfactant-stabilized CO<sub>2</sub>  
60 foams/emulsions is more promising for CO<sub>2</sub> mobility control in EOR<sup>4</sup>.  
61 Droplets of scCO<sub>2</sub> separated by surfactant-stabilized lamella exhibit  
62 significantly increased effective viscosity. Advancements on improved  
63 foam quality and viscosity have been made in the recent years with  
64 newly designed surfactants and some with nanoparticles as  
65 stabilizers<sup>5</sup>. In hydraulic fracturing, although liquid (L) CO<sub>2</sub>, LN<sub>2</sub>, and  
66 liquid hydrocarbons have been tested and applied in the field as  
67 fracturing fluids, the results suggested that higher viscosity is needed  
68 for a fracturing fluid to initiate and propagate high quality fracture  
69 networks, and to transport and place proppants into the fractures<sup>1</sup>.  
70 Some oil-in-water emulsions and gas-in-water foams have been  
71 developed to obtain desired viscosities, but their efficiency, costs, and  
72 environmental concerns associated with surfactants for generating  
73 optimal properties remain key challenges.

74 Biosurfactants have been synthesized for applications in such as  
75 cosmetic and pharmaceutical industries<sup>6</sup>. The high costs associated  
76 with biosynthesizing and downstream purification prohibits their use in  
77 large-scale subsurface engineering applications. Organic-rich soils and  
78 sediments are common, and some of these deposits (e.g., peat,  
79 leonardite) are sufficiently concentrated that they are mined and

80 marketed as soil amendments (<http://minerals.usgs.gov/minerals>).  
81 Importantly, many components in natural organic materials common in  
82 soils and organic-rich sediments possess both hydrophilic and  
83 hydrophobic moieties<sup>7</sup>, hence can readily accumulate at interfaces.  
84 Humus is regarded as a refractory fraction of soil organic matter, a  
85 mixture of highly heterogeneous and complex polymers, created by  
86 microorganisms through degradation of plants, microbes and animal  
87 remains. Humus plays essential roles in benefiting soil fertility and  
88 structure, and has been studied extensively due to its importance in  
89 agriculture, soil chemistry, microbial ecology, and environmental  
90 sciences<sup>7</sup>. Methods for fractionating humic substances are well  
91 developed, although rather generic because of the highly complex  
92 structure and aggregation into supramolecular associations<sup>8,9</sup>. A large  
93 fraction of humic acid is lipid-like, including characteristics of fats,  
94 waxes, sterols, glycerides, and phospholipids. The micelle-like behavior  
95 of humic acids in solutions reflects their amphiphilic/surfactant  
96 properties<sup>10</sup>. This paper reports on our exploration of humic substances  
97 as a source for natural biosurfactants (NBS) useful for stabilizing  
98 emulsions and foams for potential subsurface engineering applications.  
99 Extraction and characterization of NBS will be described, followed by  
100 results of interfacial tension (IFT) measurements on NBS solution-air  
101 and NBS solution-scCO<sub>2</sub> interfaces, and initial measurements of  
102 effective viscosities of NBS-stabilized scCO<sub>2</sub> foams.

103

## 104 **EXPERIMENTAL**

105 **Raw materials for NBS extraction.** The raw materials from which  
106 NBS was extracted included natural humus, peat and Leonardite from  
107 four different sources (Figure 1): North Dakota Leonardite (Leonardite  
108 Products, LLC, Williston, ND), Florida Peat (Organic Products Co.  
109 Orlando, FL), Aldrich Leonardite (Cat. # H16752,  
110 <http://www.sigmaaldrich.com>, from a deposit in Germany), and humic

111material extracted from peat (International Humic Substances Society,  
112Pahokee Peat from Florida, Cat. # 2BS103P, [http://humic-  
113substances.org](http://humic-<br/>113substances.org)). The samples were used as received (< 2.0 mm). Four  
114chemicals were used in NBS extraction: sodium hydroxide, hydrochloric  
115acid, benzene, and methanol. These chemicals were all Reagent grade,  
116but Technical/Industrial grade chemicals are expected to be suitable.

117

118**NBS extraction.** The humus samples (< 2 mm, as received) were  
119oven-dried at 75 °C for 24 hours. A Soxhlet extraction was applied to  
120the samples because it efficiently recycles small amounts of solvent to  
121dissolve a larger amounts of substrate<sup>11</sup>. We used a simple three step  
122method (modified from Chilom et al.<sup>9b</sup>) that involved: pretreatment,  
123solvent-extraction, and alkaline-cleaning. In Step 1, we compared  
124alkaline- and acid- pretreatments against no pretreatment. In alkaline-  
125pretreatment 0.3 M NaOH solution was added to the substrate at a  
126liquid to solid ratio of 10 mL/g in a bottle, and mixed overnight on an  
127orbital shaker. The undissolved fraction was discarded; the  
128supernatant solution pH was adjusted to within 1 to 2 with 6 N HCl, and  
129equilibrated overnight. After the precipitate was washed using water,  
130dried at 75 °C, and weighted, it was ready to be used for Step 2. As an  
131alternative pretreatment method, acid was used to remove mineral  
132solids. 1.0 N HCl solution was added at a liquid to solid ratio of 10  
133mL/g, mixed in a bottle on an orbital shaker overnight, decanted,  
134rinsed with deionized water, and oven-dried for the next step. For the  
135non-pretreatment approach, dry raw materials were directly used. In  
136Step 2, the treated or non-treated material was subjected to Soxlet  
137extraction with a solvent:solid ratio of 15:1 mL/g. The solvent used was  
138a mixture of benzene and methanol (3:1 volumetric ratio), at 60 °C for  
139~72 hrs. The remaining solid phase was discarded; the solvent was  
140evaporated away and condensed for later reuse; and the extracts were  
141harvested for Step 3. The solvent-extracted fraction was lastly

142subjected to alkaline cleaning. This final step served to remove the  
143highly hydrophobic fraction that is insoluble in alkaline (0.1 M NaOH)  
144solution. The solution to solid ratio of 30 mL/g was mixed in a bottle  
145and shaken overnight, then centrifuged to remove the residual solids.  
146The remaining supernatant containing NBS was ready for used as the  
147stock solution after determine its NBS concentration. Dry NBS can be  
148obtained by adjusting the solution pH to 1-2 with 6 N HCl, equilibrating  
149overnight, centrifuge to remove the supernatant, and oven drying to  
150recover the precipitate.

151

152**NBS chemical composition and function group analyses.** The  
153solid NBS and intermediate products in the extraction process were  
154analyzed for their chemical composition (C, H, N, O, S%) and function  
155groups. The CHNOS analyses were performed by ALS Environmental  
156Micro-elemental Laboratory (Tucson, Arizona. [http://www.caslab.com/  
157Tucson-Laboratory/](http://www.caslab.com/Tucson-Laboratory/)) using PerkinElmer 2400 CHNS/O Series II  
158combustion analyzer. To determine ash content, thermo gravimetric  
159analyses (TGA) was used (TA Instruments SDT-Q600). To determine  
160molecular functional groups, FTIR spectra were obtained with a Thermo  
161Nicolet iS50 spectrometer. ATR spectra were collected with a MCTA  
162detector; resolution of 2 cm<sup>-1</sup>, 64 scans per sample, over the range of  
163400 - 4000 cm<sup>-1</sup>.

164

165**Air-water and scCO<sub>2</sub>-water interfacial tension measurements.**  
166The interfacial tensions (IFT) at air-NBS solution interfaces were  
167measured using the Du Nouy ring method (K11, Kruss.com) under  
168ambient conditions (23 °C, atmospheric pressure). For measuring  
169scCO<sub>2</sub>-NBS solution IFT, the pendant drop method was performed at 12  
170MPa and 45°C. A high-pressure chamber with two transparent windows  
171allows illumination and imaging of a fluid droplet formed and  
172equilibrated within scCO<sub>2</sub><sup>12</sup>. The chamber was instrumented with a

173 pressure transducer, a thermocouple, and a movable needle used to  
174 inject the liquid droplet. Two high-pressure syringe pumps (Teledyne  
175 ISCO, 500D/65D) were used to control pressure and deliver scCO<sub>2</sub> and  
176 aqueous solution. Both scCO<sub>2</sub> and water are contained in the chamber  
177 to maintain mutual solubility equilibrium, after which a NBS solution  
178 droplet was introduced into the scCO<sub>2</sub> phase. Evolution of the shape of  
179 the droplet was monitored using high-resolution time-lapse  
180 photography. Because the shape of a droplet changes with time, only  
181 equilibrium images of droplets (5 minutes to 2 hours) were used for  
182 generating the IFT data. For each reported IFT value, at least 3 droplets  
183 were measured, with at least 5 images analyzed per droplet.

184

185 **NBS stabilized foam generation.** We first used a simple method to  
186 generate air-in-water foam for evaluating the foam generation  
187 capabilities of all the NBS samples, and to identify optimal conditions  
188 (NBS concentrations, pH and ionic strength) for generating scCO<sub>2</sub>-in  
189 water foams (Figure 2). In generating air-in-water foams, 2 mL of NBS  
190 solution was put in a small glass vial, sealed with a lid, and vigorously  
191 shaken for 1 minute. The height of the foam in the jar was recorded  
192 over time. We then selected the most promising set of parameters  
193 from the air-foam testing to generate scCO<sub>2</sub>-foams using our  
194 laboratory-built foam generator and rheometer (Figure 2).

195 The foam generator consists of a stainless steel column (1.0 cm  
196 ID and 30 cm long) packed with sand (Unimin sand, 106 to 212 μm,  
197 permeability =  $4.4 \times 10^{-12}$  m<sup>2</sup>, porosity ~0.38, pore volume 1.45 mL).  
198 Two high-pressure pumps (Teledyne, ISCO D-Series syringe pumps)  
199 were separately filled with one of the two fluids, NBS aqueous solution  
200 and scCO<sub>2</sub>. With the two syringe pumps operated in parallel, the two  
201 fluids were co-injected into the sand column under selected volumetric  
202 flow ratios of 1:4 to 1:9, and combined flow rates ranging from 6 to 36  
203 mL per minute, while the downstream backpressure (varied between

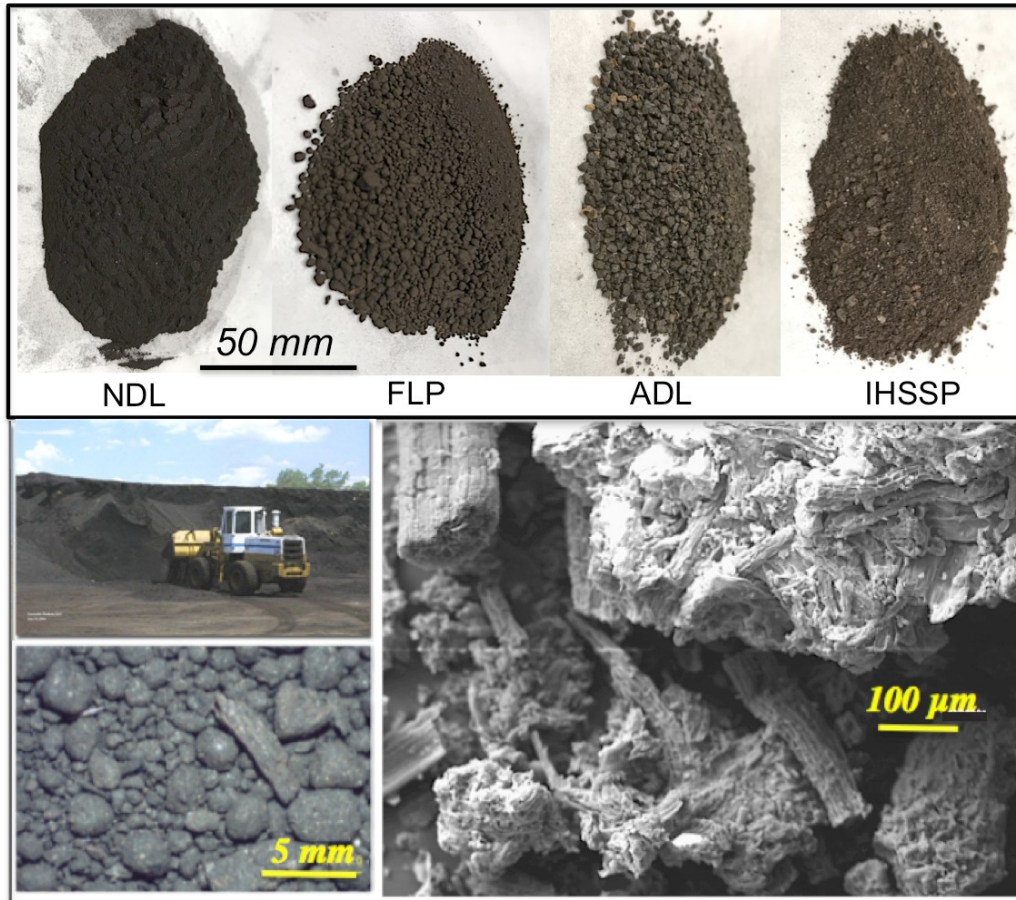


204~8.5 and ~11 MPa) was controlled with a third ISCO pump. The  
205rheometer (viscometer) was a capillary tube (stainless steel, 760  $\mu\text{m}$   
206ID, 3.3 m long, coiled into a helix with a 0.17 m diameter), located  
207immediately downstream of the sand column. Two pressure  
208transducers at the ends of the coil allowed measurements of pressure  
209drops and effective viscosities during flow. Prior to the foam tests, the  
210rheometer was calibrated by pumping water at steady flow rates and  
211correlating the measured pressure differential acting across the coiled  
212capillary with the known viscosity water for the given temperature and  
213average total pressure. These calibration measurements were found to  
214be in good agreement with predictions for viscous flow in coiled  
215tubes<sup>13</sup>. The pressure drops across the capillary tube measured during  
216foam flow were then used to calculate apparent viscosities. The main  
217components for the experimental system were kept within an insulated  
218enclosure with temperature controlled at  $45 \pm 1^\circ\text{C}$ . The morphology of  
219foams was visually monitored through the viewing window of a  
220horizontally oriented Jerguson high pressure gage (Series 40, rated to  
22134 MPa at  $38^\circ\text{C}$ ), located downstream of the rheometer. Microscope  
222images of finer scale foam morphology were obtained by diverting  
223effluents into a glass micromodel (Micronit Microfluidics) <sup>21</sup>, mounted  
224on an inverted microscope (Zeiss, Observer Z1.m, with AxioCam MRc5  
225CCD camera). Pressures ranging from 8.5 to 11 MPa were used for the  
226foam tests, with the majority conducted in the 8.5 to 9.0 MPa range in  
227order to remain within the 10.0 MPa pressure limit of the micromodel.

228

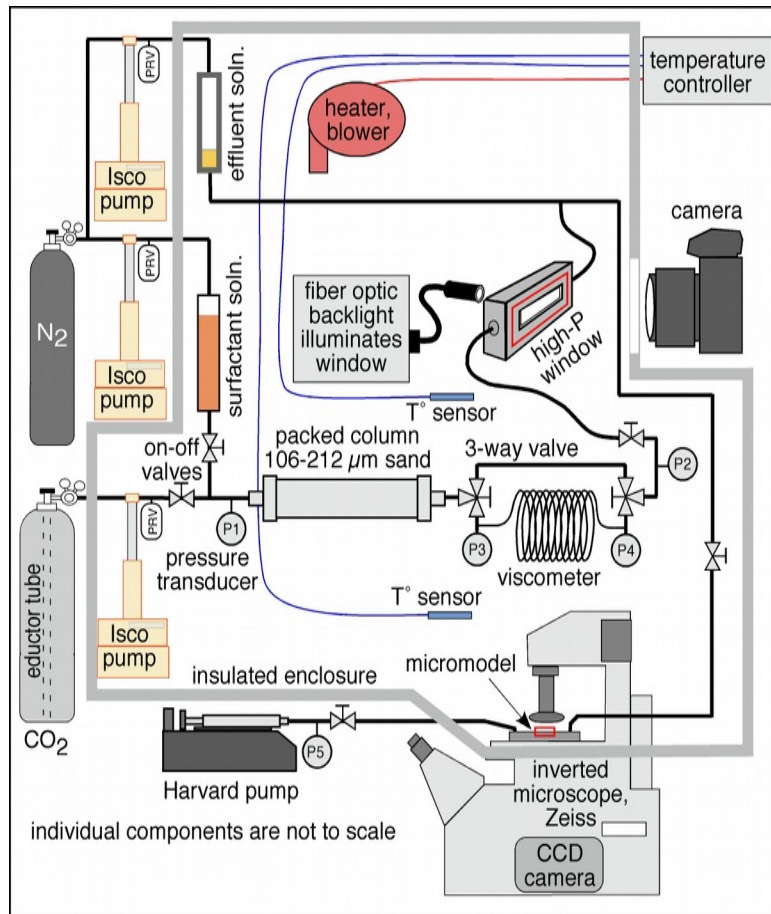
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231

232 **Figure 1.** Photographs of raw materials (humus) tested for NBS  
 233 extraction. The upper panel photographs show samples as received  
 234 from different sources. The magnified images (lower panels) show  
 235 morphology of remnant biological structure (sample FLP). The  
 236 photograph with a loader and stockpile provides a snapshot of the field  
 237 recovery of the raw material (photo with permission from Leonardite  
 238 Products, LLC, Williston, ND).



239

240 **Figure 2.** Schematic diagram of foam generator and rheometer for  
 241 supercritical fluid foams. The foam can be studied visually through the  
 242 window of the high-pressure viewing chamber and microscope at the  
 243 downstream of the viscometer.

244

245

## 246 **RESULTS AND DISCUSSIONS**

247 **NBS extraction and yields.** Photographs of four humus samples  
 248 used in this study are shown in Figure 1 with the magnified images  
 249 (bottom panel) showing remnants of degraded organic matter. The  
 250 NBS extraction yields from these humus samples are summarized in  
 251 Table 1, based on dry weights of raw humus. The product of alkaline-  
 252 pretreatment is called humic acid (HA). Because HA is a commonly  
 253 recognized organic matter fraction of soils, we also reported the

254extraction yields of HA, and NBS yields relative to HA. The yielded HA  
255vary substantially,  $58 \pm 9\%$ . The solvent-extracted fraction accounts for  
256 $35.8 \pm 1.7\%$  of HA, and the NBS yields accounts  $30.0 \pm 2.8\%$  of the HA,  
257and  $17.3 \pm 2.6\%$  of the raw humus. These are average values obtained  
258from the four raw humus samples tested shown in Table 1. The most  
259important information here is that a large percentage ( $\sim 17\%$ ) of the  
260natural humus is extractable surfactant. It is also important to know  
261that the NBS yields accounts for  $30.0 \pm 2.8\%$  of HA, consistently from  
262samples of different origins. The cost of NBS will largely associate with  
263the extraction process instead of raw material, therefore high HA  
264content should be considered as a criterion in selecting humus as the  
265starting material. The final alkaline-cleaning step removed a small  
266highly hydrophobic fraction ( $\sim 6\%$  of HA) that does not dissolve in  
267alkaline solution. The pretreatment of acid-wash resulted no significant  
268but slightly (up to 2%) higher yields. Because the acid-pretreatment  
269(omitting first obtaining HA) leaves a larger unwanted fraction to Step  
2702, we decided not continue the acid-pretreatment approach. We also  
271tested direct solvent-extraction without pretreatment. The results  
272showed substantial reduced yields and increased extraction time, with  
273one example presented at the last row of Table 1. Further studies on  
274NBS extraction are necessary to optimize yields and quality. Many  
275factors affect production yields and functions of the NBS, including  
276extraction procedures and solvent selection. Today advanced  
277separation technologies are widely used in industries <sup>14</sup>, and a wealth  
278of literature exists on methods development for shortening extraction  
279time, reducing solvent usage, and simplifying procedures, including  
280extraction under elevated pressure and temperature<sup>15</sup>, microwave-  
281assisted, ultrasound-assisted, and supercritical CO<sub>2</sub> extractions<sup>16</sup>.

282 It should be noted that no further filtration or refinement of the  
283NBS was performed for removal of colloids and nanoparticles likely  
284present in the final extracts. Such separation would add to the cost of

285NBS extraction. Moreover, the presence of nanoparticles in the NBS  
286may enhance the stability of CO<sub>2</sub>-water interfaces, as demonstrated in  
287other recent studies.

288

289**Table 1.** NBS extraction yields (relative to dry raw humus and HA).

Sample ID	Sample Origin	<sup>1</sup> HA% from humus	<sup>2</sup> Solvent ext.% from HA	NBS% from HA	NBS% from humus
NDL	North Dakota (Leonardite Products, LLC, Williston, ND)	62.8	36.8	32.6	20.5
FLP	Florida (Organic Products Co. Orlando, FL)	43.3	37.4	30.7	13.3
ADL	Aldrich (Cat. # H16752)	68	33	25.2	17.1
IHSSP	IHSS (Cat. # 2BS103P)	57.6	36.1	31.4	18.1
290 IHSSP	IHSS (Cat. # 2BS103P)	no-pretreatment	NA	NA	11.6

291<sup>1</sup>Alkaline-pretreatment resulted humic acid (HA).

292<sup>2</sup>Solvent extracts from HA.

293

294

295**Chemical composition and functional group characteristics.** The  
 296C, H, N, O, S% composition data are normalized by assuming that the  
 297sum of C, H, N, S, O, moisture, and ash = 100%; and that O% = 100% -  
 298sum of [CHNS, moisture, ash] (**Table 2**). The data include examples  
 299from two complete sets of intermediate and final products including HA  
 300from alkaline-pretreatment, the discarded solvent-extraction fraction,  
 301the retained solvent-extraction fraction, alkaline-cleaning discarded  
 302fraction, and NBS. In addition, the compositions of another two NBS  
 303fractions and ash contents from TGA are presented for comparison  
 304(lower section of Table 2). Although there is considerable variability,  
 305the discarded solvent-extraction fraction had more hydrophilic  
 306components that contain more N and O groups from HA. The alkaline-  
 307cleaning discarded hydrophobic non-polar aliphatic groups containing  
 308higher C-H. The final NBS contain 53.1 ±6.5% C, 4.4 ±0.7 H%, 30.8  
 309±1.4% O (in COOH and OH), and high C and H in phenolic rings.

310 The FTIR spectra from all extraction steps for NDL as an example

311are shown in Figure 3a. The spectra of NBS extracted from all four  
312humus samples are presented in Figure 3b. The broad band in the  
313vicinity of  $3300\text{ cm}^{-1}$  is attributed to O-H and N-H stretching. The peaks  
314at  $2920$  and  $2850\text{ cm}^{-1}$ , and  $1450\text{ cm}^{-1}$  represent C-H stretch of  
315aliphatic groups. The clear peaks  $1720$  and  $1610\text{ cm}^{-1}$  are mostly  
316contributed by aromatic C, carbonyl groups in ketones, aldehydes,  
317carboxylic acids, and their functional derivatives. The peak near  $1225$   
318 $\text{cm}^{-1}$  may be the contributions of C-O and OH of COOH, C-O of aryl  
319ethers and phenols<sup>17</sup>. In Figure 3a, the solvent-extraction discarded  
320fraction (green spectrum) contains more hydrophilic ( $3300\text{ cm}^{-1}$ )  
321groups, and the solvent-kept fraction (purple spectrum) contains  
322relatively more amphiphilic C. The alkaline-cleaning step resulted in  
323removal of a significant fraction of insoluble aliphatic C-H, as shown in  
324blue spectrum with strong aliphatic bands at  $2920$  and  $2850\text{ cm}^{-1}$ , as  
325well as a distinct peak at  $1450\text{ cm}^{-1}$ . These spectral trends are  
326consistent with the chemical composition data in Table 2; showing that  
327the solvent-extraction removed fractions higher in N and O, and that  
328the alkaline-cleaning removed more C and H, and less O and N relative  
329to the NBS fraction. It is important to note that different source  
330material types (peat or leonardite) and geographic locations of the  
331source humus do not result in significant differences in the overall  
332chemical compositions and function group chemistry of their extracted  
333NBS (Table 2 and Figure 3b), although variation in some detailed  
334features is evident.

335

336**Table 2.** Chemical compositions of NBS and their intermediate  
337products\*

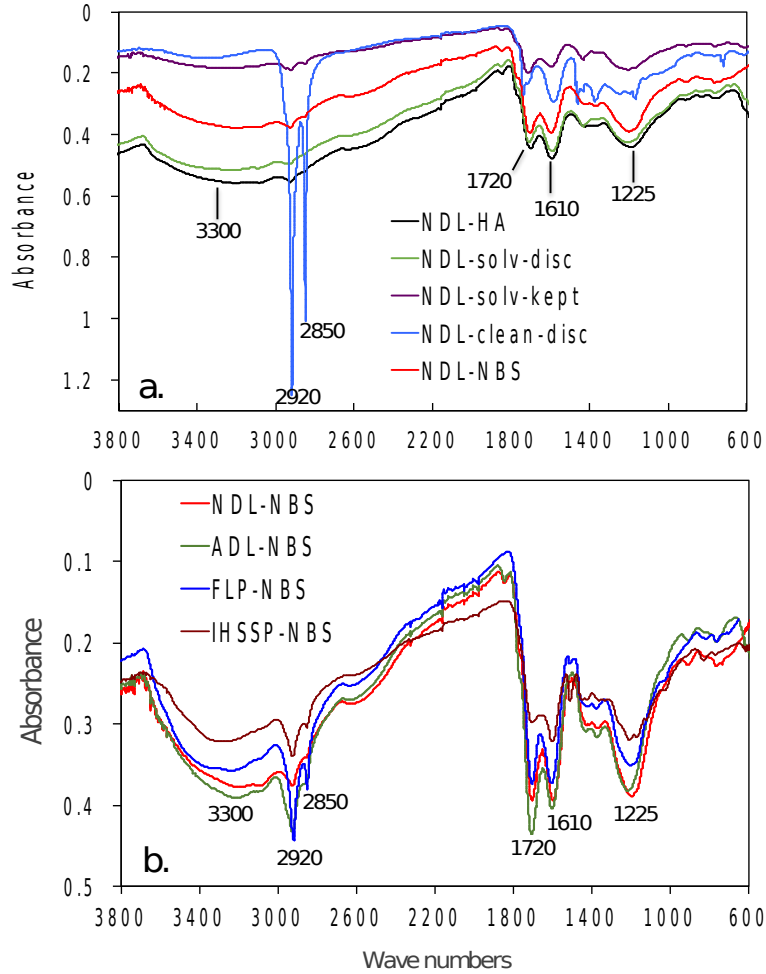
<b>Sample</b>	<b>C%</b>	<b>H%</b>	<b>N%</b>	<b>O%</b>	<b>S%</b>	<b>H2O%</b>	<b>Ash%</b>	<b>C:N</b>	<b>C:H</b>	<b>C:O</b>
NDL-pret-HA	51.5	4.7	2.9	31.9	0.3	5.5	3.5	17.7	10.9	1.6
NDL-solv-disc	57.0	5.2	2.8	28.5	0.3	4.7	1.8	20.1	11.0	2.0
NDL-solv-kept	53.6	5.1	2.7	26.9	0.3	6.2	5.5	19.9	10.4	2.0
NDL-clean-disc	66.4	8.2	1.2	16.6	0.1	2.5	5.1	54.8	8.1	4.0
NDL-NBS	58.0	5.3	2.4	29.6	0.3	4.7	0.1	24.1	11.0	2.0
FLP-pret-HA	55.4	4.2	1.6	31.7	0.2	3.6	3.5	35.8	13.1	1.8
FLP-solv-disc	55.2	3.9	1.6	32.6	0.2	3.7	3.1	34.1	14.3	1.7
FLP-solv-kept	57.6	5.1	1.1	29.3	0.2	4.2	2.8	52.4	11.4	2.0
FLP-clean-disc	70.1	8.2	0.7	17.7	0.1	3.3	0.0	97.4	8.5	4.0
FLP-NBS	57.0	4.9	1.1	29.7	0.2	5.4	1.9	51.3	11.6	1.9
IHSSP-NBS	55.7	4.1	1.3	33.0	0.6	5.9	0.0	42.9	13.6	1.7
ADL-NBS	41.9	3.4	0.9	30.7	0.5	5.5	17.6	48.7	12.2	1.4
FLP-NBS	57.0	4.9	1.1	29.7	0.2	5.4	1.9	51.3	11.6	1.9
338 NDL-NBS	58.0	5.3	2.4	29.6	0.3	4.7	0.1	24.1	11.0	2.0

339\*Assuming the sum of C, H, N, S, O, moisture, and ash = 100%; and O  
340% = 100% - sum of CHNS-moisture-ash. HA= humic acid; solv-disc =  
341discarded fraction from solvent extraction; solv-kept = solvent  
342extracted fraction; clean-disc = discarded fraction, non-dissolvable in  
343alkaline solution; NBS = solvent extracted and alkaline solution  
344dissolvable fraction.

345

346





347

348 **Figure 3.** FTIR spectra of intermediate and final extraction products.

349 **(a)** A complete set of spectra of intermediate and final products from  
 350 NDL raw humus, including HA, solvent-extraction discarded, solvent-  
 351 extraction kept, alkaline-cleaning discarded and the NBS. **(b)** Spectra  
 352 of NBS extracted from four humus samples of different origins.

353

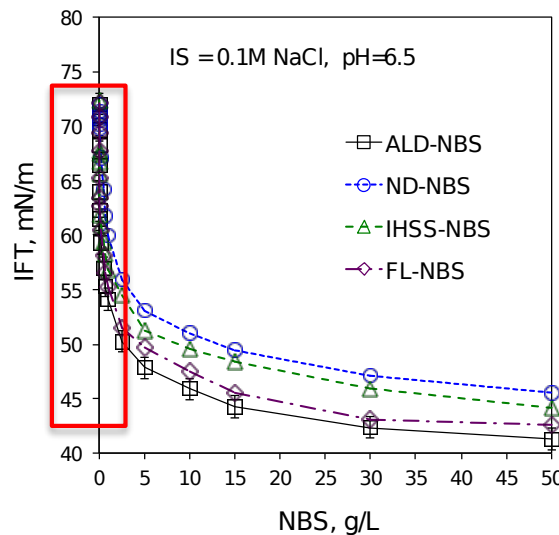
### 354 **NBS effects on air-water and scCO<sub>2</sub>-water interfacial tensions.**

355 The measured interfacial tension (IFT) values of air-NBS solutions  
356 under varying NBS concentrations are presented in Figure 4. The  
357 measurements for the four NBS samples of different origins show that  
358 IFT values rapidly decrease as NBS concentration increases within the  
359 lower concentration range, NBS < ~0.2 mass %, and that IFT still  
360 continues to decrease with concentration afterwards. Different from  
361 synthetic surfactants, there is no clear critical micelle concentration  
362 (CMC) found for the various NBS samples. The extent of decreased IFT  
363 values is similar to those achieved with synthetic surfactants. For  
364 example, at 25 °C, Enordet AOS 1416 reaches an IFT about 32 mN/m,  
365 and Chaser SD1000 reaches an IFT of 47-40 mN/m at their micelle  
366 concentrations<sup>18</sup>. Although there are clear differences among the four  
367 NBS samples, in-depth studies of these samples are beyond the scope  
368 of this paper. It is worth noting that the greatest reduction in IFT occurs  
369 under alkaline conditions (pH ≥ 9.0) The surface activity of NBS has a  
370 relatively high tolerance to salinity, decreasing as salinity increases to  
371 ≥ 1.0 M (NaCl).

372 Before measuring IFT between scCO<sub>2</sub> and NBS solutions, the  
373 integrity of the high-pressure IFT measurement apparatus and  
374 procedure were first tested through measuring the IFT between air and  
375 pure water. Our measured air-pure water IFT at 22.5 °C was 72.3  
376 mN/m, in good agreement with the value of 72.4 mN/m obtained with a  
377 regression relation from a standard reference<sup>19</sup>. Another test was done  
378 on the scCO<sub>2</sub>-pure water IFT, where our measurement at 12.0 MPa and  
379 45 °C yielded 24.2 mN/m, compared with 22 mN/m interpolated from  
380 measurements at slightly different P-T conditions<sup>20</sup>. Figure 5a shows an  
381 example image obtained during the IFT measurements: a NBS-solution  
382 droplet suspended within scCO<sub>2</sub> in the high-pressure chamber. The IFT  
383 value was calculated based on the curvature of the droplet at the  
384 equilibrium state. For each data point triplicate measurements (3

385 droplets) were conducted. NBS reduced IFT of scCO<sub>2</sub>-NBS solutions  
 386 down to 11.2 and 8.7 mN/m for the FLP-NBS at the NBS concentrations  
 387 0.5% and 1.5%, respectively, respectively (Figure 5a). The data of  
 388 NDL-1 and NDL-2 are from the alkaline- and acid-pretreated  
 389 procedures, respectively. The different origins of the raw humus from  
 390 which the NBS was extracted had relatively small influence on the  
 391 extent of the IFT reduction (Figure 5b).

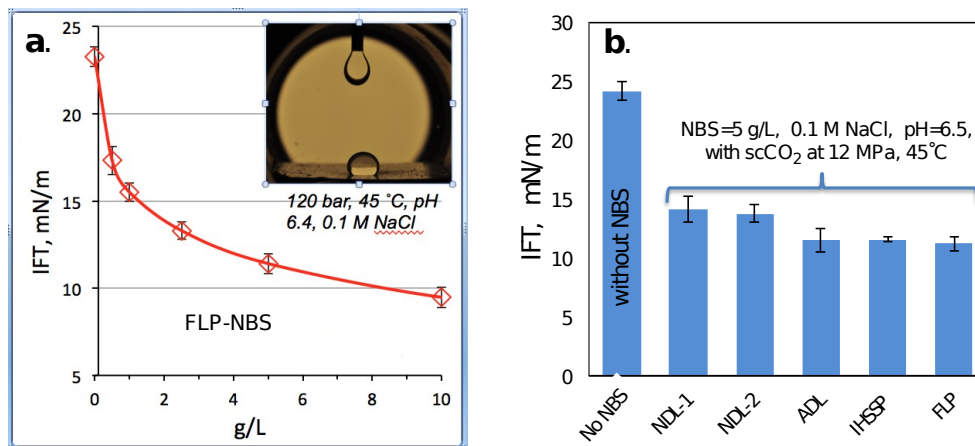
392



393

394 **Figure 4.** Measured air-NBS solution interfacial tension (IFT). All  
 395 solutions contained 0.1 M NaCl and pH 6.5. The measurements were  
 396 conducted at  $22.5 \pm 0.5$  °C.

397

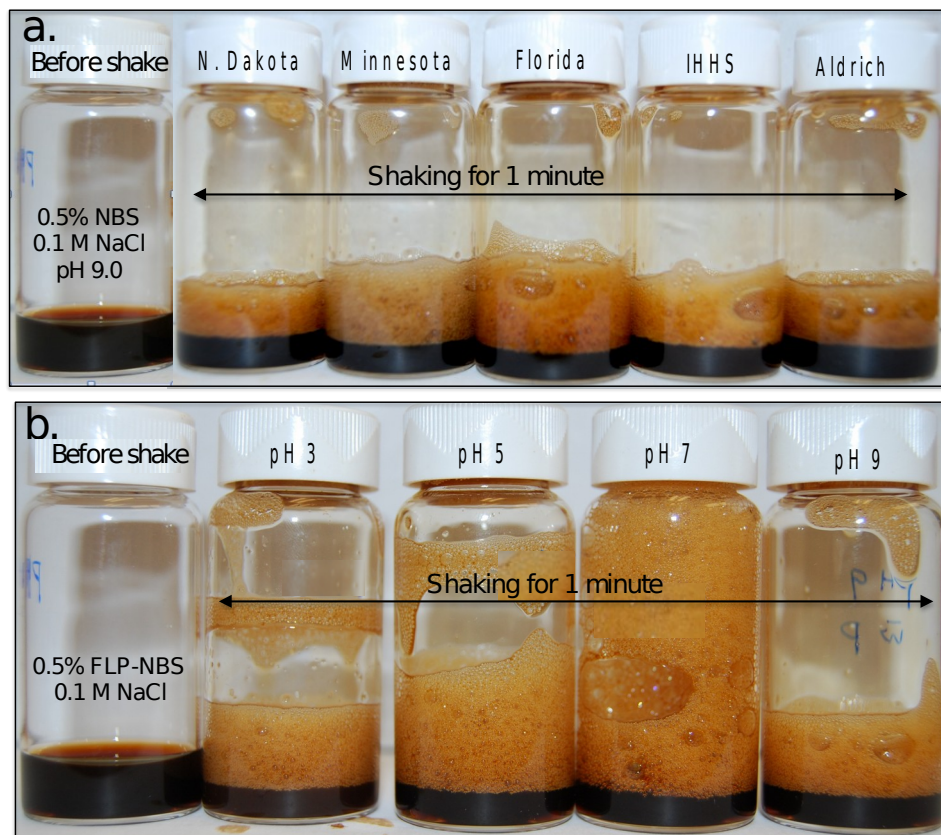


398

399**Figure 5.** Measuring interfacial tensions between scCO<sub>2</sub> and NBS  
400solutions. (a) Example image of NBS solution droplet suspended within  
401scCO<sub>2</sub> at 12.0 MPa and 45°C. Each IFT value was calculated from an  
402image at the equilibrium state. (b) IFT values at NBS = 0.5g/L, pH 6.5,  
403and 0.1 M NaCl for NBS samples of different origins. The NDL-1 and  
404NDL-2 samples are from the alkaline- and acid-pretreated procedures,  
405respectively.

406

407 **Generating NBS foams.** A simple air-in-water foam method was first  
408 used for screening of NBS foaming ability and identifying foaming  
409 conditions for the scCO<sub>2</sub>-foam experiment. Aqueous solutions were  
410 prepared with NBS of different origins, concentrations, pH and ionic  
411 strength. The photographs in Figure 6 show examples of NBS stabilized  
412 air-in-water foams generated by vigorously shaking sealed vials  
413 containing small amounts of NBS solution for 1 minute under ambient  
414 conditions. The NBS extracted from different original source materials  
415 all showed efficient foaming ability (Figure 6a), with no  
416 significant/systematic differences observed for foam height and  
417 duration. Note that the sample “Minnesota” (Peat Inc., Elk River, MN) in  
418 the photograph was not discussed in other sections because data are  
419 incomplete for this sample. Using this method, we determined that ~  
420 0.5% is the optimal NBS concentration (being both low and efficient).  
421 Increased NBS concentrations yielded longer lasting foams, but did not  
422 significantly increase the foam height. Decreased NBS concentration  
423 (down to 0.1%) resulted in significantly reduced foam height and  
424 duration. For the ionic strength effect, no significant differences were  
425 observed between 0.1 and 0.5 M, but NaCl concentrations higher than  
426 1.0 M reduced foam height and stability. We observed reasonable  
427 foaming ability over a wide range of pH from 3 to 9. While these tests  
428 provide information for screening stability ranges, more quantitative  
429 studies of the aforementioned parameters will be needed for  
430 developing applications suitable for specific environmental conditions.  
431



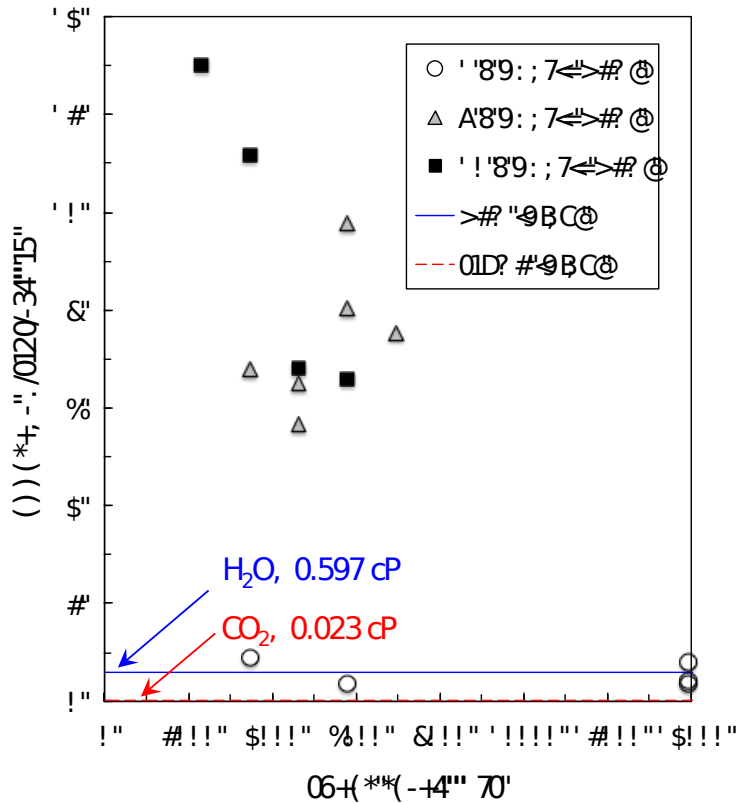
432

433 **Figure 6.** NBS air-in-water foams generated by shaking sealed 20 mL  
 434 vials containing 2 ml NBS solution (remainder of the vial volume filled  
 435 with air under atmospheric pressure. **(a)** Different NBS origins showed  
 436 no significant differences in the resulting foam height. **(b)** The pH  
 437 effect (using FLP-NBS), showing good pH tolerance. The left-most vial  
 438 shows the NBS solution before shaking.

439

440 The FLP-NBS was used to generate scCO<sub>2</sub>-in-water foams  
 441 (although the air-in-water foam tests, Fig. 6a, suggested that the other  
 442 NBS may behave similarly). From the generation of air-water foams, an  
 443 NBS concentration of about 0.5% appeared optimal. Therefore, we  
 444 selected three NBS concentrations to test: 0.1, 0.5, and 1.0% for the  
 445 scCO<sub>2</sub>-foam experiment. For foam quality, we targeted 80% to 83%  
 446 CO<sub>2</sub>, and found this range to be readily achievable. For generating and  
 447 characterizing the scCO<sub>2</sub>-water foams, the scCO<sub>2</sub> and NBS-solutions

448 were co-injected into the sand-packed column, after which the foam  
449 passed through the capillary tube viscometer (recording  $\Delta P = P_3 - P_4$ ),  
450 and finally into the viewing chamber where the images of foam  
451 morphology were recorded (Figure 2). Apparent viscosities obtained  
452 with  $\text{scCO}_2$  contents ranging from 80 to 83 % by volume, under  
453 different NBS concentrations in the aqueous phase, and a range of  
454 shear rates are shown in Figure 7. The co-injection rates ranged from  
455 6 to 36 mL/min, with most rates ranging from 9 to 18 mL/min. The  
456 corresponding Darcy flow rates and residence times within the  
457 sandpack were  $1.3 \times 10^{-3}$  to  $7.6 \times 10^{-3}$  m/s, and 90 to 15 s, respectively.  
458 It should be noted that the associated shear rates in the capillary tube  
459 viscometer, ranging from 2,300 up to 13,900  $\text{s}^{-1}$ , are very high. Given  
460 the typical shear-thinning behavior observed from these  
461 measurements (Figure 7), apparent viscosities under much lower shear  
462 rates of field injection processes are expected to be significantly higher  
463 than the value of 13 cP obtained at 2,300  $\text{s}^{-1}$ . These tests collectively  
464 indicate that  $\text{scCO}_2$ -water foams are viable with 0.5 to 1.0 % NBS in the  
465 aqueous phase, and that the 0.1 % NBS concentration may be  
466 inadequate. More systematic experiments targeted to testing behavior  
467 at low shear rates are necessary to optimize the foam generation  
468 procedure and foam properties.



469

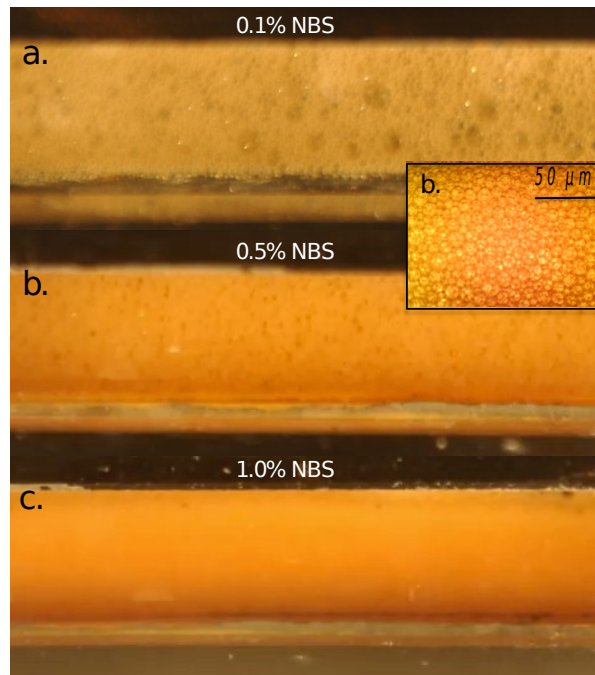
470 **Figure 7.** Apparent viscosities of scCO<sub>2</sub>-water foams (80 to 83 % CO<sub>2</sub>  
 471 by volume) at 8.5 to 9.0 MPa, for different concentrations of NBS in  
 472 the aqueous phase. Viscosities of scCO<sub>2</sub> and H<sub>2</sub>O are included for  
 473 comparison.

474

475 Representative images of scCO<sub>2</sub>-water foams using different  
 476 NBS concentrations are presented in Figure 8. Although the NBS  
 477 concentration of 0.1%, did not support high apparent viscosities  
 478 under the tested very high shear rates, fairly consistent foams were  
 479 generated, albeit with some mm-sized bubbles (Fig. 8a). The  
 480 microscopic image of the 0.5% NBS case (inserted image in Fig. 8b)  
 481 shows scCO<sub>2</sub> droplet sizes up to about 20 μm. When the NBS  
 482 concentration was increased to 1.0% (Fig. 8c), the foam appeared  
 483 mist-like. Example videos of foam flow through the viewing chamber  
 484 are provided in the Supplemental Information.



485



486

487**Figure 8.** NBS generated  $\text{scCO}_2$ -in-water foams with 0.8 foam  
488quality, and varied NBS concentrations (0.1, 0.5, and 1.0 %) and  
489constant ionic strength 0.58% NaCl in the aqueous phase. No  
490additional chemicals and additives were applied. The width of the  
491viewing window is 15 mm.

492

#### 493SUMMARY

494To evaluate the economic feasibility of NBS for industrial-scale  
495subsurface engineering applications, the cost of NBS relative to  
496synthetic surfactants currently used is a critical factor that needs  
497further research. Although it is premature to make reliable production  
498cost projections, the NBS are abundantly contained in humus deposits  
499that are inexpensive and easily obtained. The cost of raw humus  
500materials is only ~\$25 per ton (not include the transportation). The  
501laboratory bench scale extractions yielded an average ~17% NBS  
502relative to the raw humus. The four common chemicals needed in

503extraction include sodium hydroxide and hydrochloric acid (non-  
504reusable), and benzene and methanol (recycled), and no costly  
505synthesis and purification procedures are involved. Differences in  
506origins of the humus appear to impart no major differences in the  
507chemical composition, structure, and function of the extracted NBS.  
508Thus NBS appears to be potentially economically competitive. Our data  
509show that NBS is effective for reducing interfacial tensions and for  
510generating and stabilizing high quality air- and scCO<sub>2</sub>-foams, indicating  
511that it could be suitable for generating other types of foams and  
512emulsions as well. Lastly, the benign nature of NBS will be an asset in  
513reducing operational costs and environmental impacts.

514

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525

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