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Associations Between Daily Nurse Staffing Levels and Daily Hospitalizations and ED Visits in Nursing Homes

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Abstract

Objectives: While many prior studies have shown that high <u>average</u> levels of nurse staffing in nursing homes are associated with fewer hospitalizations, some studies have not, suggesting that the <u>average</u> nursing level may mask a more complex relationship. This study examines this issue by investigating the associations of <u>daily</u> staffing patterns and <u>daily</u> hospitalizations and Emergency Department (ED) visits.

Design: Retrospective analyses of national Payroll Based Journal (PBJ) staffing data merged with the Minimum Data Set.

Setting and Participants: 15,718 nursing homes nationally reporting PBJ data during 2017-2019, their staff, and residents.

Methods: We estimated facility-day-level models as conditional facility fixed-effect Poisson regressions with robust standard errors. The dependent variables were daily numbers of hospitalization and ED visits and the independent variables of interest were the number of RN, LPN, and CNA hours on the same and prior days.

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Conflicts of Interest: None of the authors have any conflict of interest.

Results: The daily number of hospital transfers averaged 0.28 (SD 0.21). Daily total direct-care staffing hours averaged 288.7 (SD 188.2) with RNs accounting for 35.0, LPNs for 68.7 and CNAs for 185.0. Higher staffing was associated with more hospitalizations on the concurrent day. Higher staffing on the day prior was associated with fewer hospitalizations. The effect size was larger for RNs and LPNs [same day=~2%; prior day=~ (-0.7%) - (-0.9%)] than for CNAs [same day<1%; prior day< -0.5%]. ED visits not leading to hospitalizations, and analyses for sub-samples exhibited similar findings.

Conclusions and Implications: Our findings suggest that staff can address developing problems and prevent admissions the next day and identify emergent problems and hospitalize the same day. They also underscore the complex array of nursing home factors involved in hospitalization and ED visits, including the influence of daily staffing variation, suggesting the need for further research to better understand the associations between staffing and appropriate resident transfers to the hospital or the ED, and the potential implications for quality metrics in these domains.

Brief Summary:

It's time to think about more than average quarterly nursing home staffing. Important paper considers relationship between daily staffing variation and daily hospitalization.

Keywords

Nursing homes; staffing; hospitalizations; ed visits; quality

INTRODUCTION

Nursing homes are expected to provide most of the medical care their residents require in-house and minimize hospitalizations. While some conditions, like acute myocardial infarction, almost always require hospitalization, many not only can be treated in the nursing home, but hospitalizing the resident may actually increase the risk of iatrogenic events and discontinuity of care contributing to further deterioration in the resident's condition, functional decline, and delirium.¹⁻³ Therefore, a low hospitalization rate has long been considered a marker of high-quality nursing home care. Measures of appropriate hospitalizations were included in the Centers for Medicare & Medicaid Services (CMS) nursing home value-based payment demonstration⁴ and measures of hospitalizations are included as quality indicators in the Nursing Home Care Compare (NHCC) report card published by CMS.^{5,6}

Factors influencing hospitalizations have been studied extensively, with many studies examining staffing levels as explanatory variables because staffing, whether registered nurses (RNs), licensed practical nurses (LPNs), or certified nurse assistants (CNAs), provide direct day-to-day patient care and are likely to be intimately involved in the care processes and decisions leading to hospitalizations. These studies, summarized by several recent reviews,⁷⁻¹² revealed mixed evidence about the relationship between staffing and hospitalization, with some failing to identify the expected association of higher staffing levels with lower hospitalization rates. The authors of these reviews identified a set of

common limitations as likely reasons for the failure to find the expected associations between staffing and hospitalizations. These limitations include reliance on staffing data from the CMS Online Survey Certification and Reporting (OSCAR) System or the system that replaced it, the Certification and Survey Provider Enhanced Reporting (CASPER), both of which collect staffing data once a year and may be inaccurate,^{13,14} the cross-sectional nature of most studies, and the lack of statistical control for other variables that might be important, such as presence of other providers paid by the facility (e.g. nursing administrators, nurse practitioners, medical directors), and inability to distinguish between employed and contract staff.

We present analyses that address some of these prior limitations utilizing a new dataset, the Payroll Based Journal (PBJ), which provides daily staffing data based on each nursing home's payroll information, and is more accurate and more detailed than prior data. We take the novel approach of analyzing day-to-day patterns of hospitalizations as they relate to RNs', LPNs', and CNAs' daily staffing levels for all nursing homes in the US, as well as facilities at the top and bottom of the staffing distribution, offering a complementary perspective to prior studies. We test the hypothesis that the observed associations between average higher staffing and fewer hospitalizations will also be observed between same day and prior day staffing and hospitalizations.

METHODS

Sample

The sample included all 15,718 Medicare and Medicaid certified nursing homes in the country during the period January 2017 through August 2019. The analysis for emergency department (ED) visits not followed by a hospitalization was based on Outpatient Claims files, which are limited to Medicare Fee-for-Service residents and included 15,608 nursing homes (99.3%).

Data Sources

The PBJ includes the number of hours paid daily for each staff type, including RNs, LPNs, CNAs, therapy staff, physicians, administrators and others, by nursing home. It also provides daily patient census.

We merged the PBJ data with resident level data, calculated from the Minimum Data Set (MDS) 3.0, at the facility-day level. The MDS includes assessments for all nursing home residents with information about age, gender, case mix (Resource Utilization Group – RUGs IV), cognitive impairment, Alzheimer's or related dementias (ADRD) diagnoses, and dates of hospitalization and death. For some analyses we also utilized the Medicare Outpatient Claims for ED visits not followed by a hospital admission. We also merged in the Master Beneficiary Summary File, to identify residents enrolled in Medicare Advantage during their nursing home stays, the Nursing Home Five-Star Quality Rating data, and facility characteristics reported in Long-Term-Care Focus.¹⁵

Analyses

The study was approved by the lead author's University's IRB.

Analyses were conducted at the facility-day level. Time-dependent variables were calculated for each nursing home, as counts or averages for each day.

Dependent Variables—The dependent variable were the count of daily discharges from the nursing home to an acute care or psychiatric hospital as reported on the MDS discharge or transfer to the ED not followed by a hospital admission as reported on the Outpatient claim.

Daily-Varying Independent Variables—There were six independent variables of interest: RNs, LPNs, and CNAs hours on the transfer-day to the hospital (or the ED) and RNs, LPNs, and CNAs hours on the day prior to transfer. For example, when examining the number of transfers on July 1, we measure staffing on that same day (July 1) and on the preceding day (June 30).

Daily control variables included: Percent male residents in the facility on the day of transfer, percent residents who are less than 65, 65-74, 75-84, with 85+ as reference, and percent of residents enrolled in Medicare Advantage on the transfer day. Case-mix on the day of transfer was controlled by 66 variables. Each variable corresponds to one of the 66 RUGs categories and indicates the number of residents classified into that RUG on that date based on their most recent MDS assessment. Also included were a set of monthly indicator variables controlling for time trends.

Stratification variables for sub-analyses—We performed stratified analyses to examine hypotheses about different patterns of associations between staffing and hospitalizations in facilities with specific characteristics, as follows: 1) facility quality measured by the 5-Star Quality Measures (QMs) ratings and the 5-Star Survey ratings published in Nursing Home Care Compare and averaged over the study period; 2) average total direct-care nursing (sum of RNs, LPNs and CNAs) hours over the study period; 3) average hospitalizations over the study period; 4) for-profit and non-profit ownership; 5) percent of residents covered by Medicare; and 6) percent of residents with ADRD and cognitive impairment.

Estimated Models—We estimated a facility-day-level model, with hospitalization on each day as the dependent variable and nursing hours by type (RN, LPN and CNA) on the same day and on the day prior as the six independent variables of interest, controlling for all other variables described above: age, sex, case-mix count, all of them calculated for the transfer day, and the month. The model was estimated as a conditional facility fixed-effect, Poisson regression, with robust standard errors. The facility fixed-effects approach controls for all time-invariant differences between nursing homes (e.g. ownership).

We estimated a base case model on the full sample. We then stratified the sample by facility characteristics as described above, and estimated models for subsamples defined by the bottom and top 30th percentiles of the distribution for each characteristic. We also

estimated a full sample model that separated employed and contract nursing staff and a full sample model that added other personnel types (if salaried by the facility) including hours of Director of Nursing-RN, RN Administrators, LPN Administrators, Medical Directors, Other MDs, Nurse Practitioners, Nurse Aides in Training, and Medication Aides.

We present the regression results for the staffing variables as a change in the percent of daily hospitalizations associated with a 1 full time equivalent (i.e., 8 hours) increase in the staffing variable, holding all other variables constant.^a The p-values reported are for the actual coefficients.

Sensitivity Analyses—To test the robustness of our findings to different assumptions we performed several sensitivity analyses:

- To account for possible mismatches in dates between the hospital and ED data and the nursing home data, we estimated models where transfer was matched more liberally, within 1 day of the discharge from the nursing home.
- To investigate sensitivity to the number of prior days' staffing included, we estimated models with 1) no variables for staffing on prior days, and 2) two variables for staffing one- and two-days prior.
- To test the sensitivity of our stratified analyses to the definition of the strata threshold, all analyses were repeated with thresholds set to 85% and 15%.
- To test whether our results are driven by the known phenomenon of lower staffing on weekends¹⁶ or on Mondays and Fridays (as observed in our data, see below) we performed an analysis limited to hospitalizations during mid-week only (Tuesdays, Wednesdays, and Thursdays).

RESULTS

Table 1 presents descriptive statistics for the analytic sample. The average daily number of hospital transfers was 0.28 (SD 0.21) and transfers to the ED not followed by a hospitalization was 0.11 (SD 0.07). Average daily total direct care staffing hours (including employed and contract staff) was 288.7 (SD 188.2). Of those, RNs accounted for 35.0, LPNs for 68.7 and CNAs for 185.0 hours, mostly accounted for by employed staff.

Figure 1 depicts daily staffing variations. It shows, separately for RNs, LPNs and CNAs, the average number of hours per resident-day for each day of the week as a percent of the number of hours per resident-day averaged over the week, thus identifying days with staffing above and below average. All three staff types exhibit the same pattern, with the highest in midweek – Tuesday through Thursday – with about 2-5 percentage points decline on Friday and Monday, and the largest decline on the weekend – of over 10 percentage points from the mid-week high. The biggest decline is for RNs and the smallest for CNAs. The figure also shows the daily variation in hospitalizations as percent of its weekly average. Hospitalizations follow a similar pattern to that of staffing, with a lower percent during the

^aTo calculate this percent we used the formula % $E(y|x) = 100^*(\exp(\beta^* x))$ where % $E(y|x) = \exp(\beta^* x)$ and $E(y|x) = \exp(\beta^* x)$ where % $E(y|x) = \exp(\beta^* x)$ and $E(y|x) = \exp(\beta^* x)$ where % $E(y|x) = \exp(\beta^* x)$ and $E(y|x) = \exp(\beta^* x)$ where % $E(y|x) = \exp(\beta^* x)$ and $E(y|x) = \exp(\beta^* x)$ where % $E(y|x) = \exp(\beta^* x)$ and $E(y|x) = \exp(\beta^* x)$

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Table 2 presents the results of the regression models, examining the relationships between staffing and hospitalizations for the base case, which includes the full sample, all nursing homes and all residents, followed by results of two models with different staffing specifications and then the stratified models. The table presents, for each model, the daily percent change in number of hospitalizations for a 1 full time staff (FTE) increase. The full base case model is provided in Appendix Table 1.

All models exhibit the same general findings. On the same day as the hospitalization, more staff is associated with more hospitalizations, typically with a stronger effect for RNs and LPNs, often around 2%, compared with CNAs, typically with less than 1% and often less than 0.5%. More staff on the day prior is associated with fewer hospitalizations, again mostly with stronger effects for RNs and LPNs, mostly between -0.7% to -0.9% and smaller effects for CNAs, bellow -0.5%.

All effects are significant at the 0.001 level, except for 2 models. The model with separate covariates for employed and contract staff has very low previous day effects with p values of 0.02, 0.7, and 0.4 for contract RNs, LPNs and CNAs respectively. The model with additional types of non-nursing staff has a very low same day and non-significant (p=0.15) effect for CNAs.

The sensitivity analyses described above have similar findings. A model without any lags shows a positive association between staffing and hospitalization on the same day. Adding two-days lags show a positive same day effect and negative effects 1 and 2 days prior to the transfer. Appendix Table 2 shows summary results for dyads defined with different thresholds and for the midweek days sample.

DISCUSSION

In this study we evaluated the association between daily staffing and hospitalization and found that it depends on the day of transfer to the hospital. High staffing was protective against hospitalization on the following day, but high staffing measured on the concurrent day with the hospitalization was associated with more hospitalizations. These findings were very robust, observed not only in the full sample and base-case, but also in all other model specifications, when estimated separately for employed and contract staff, when non-nursing staff were added to the model, and when the model was estimated on stratified samples.

While the protective effect of high staffing on hospitalization has been observed in many previous studies,⁷⁻¹² evidence for high staffing increasing the risk of hospitalization for nursing home residents is novel, to our knowledge. We believe that these unexpected findings are due to the fact that for the first time we are able to analyze daily staffing data. All prior studies of the associations between staffing and hospitalizations relied on staffing data averaged over large periods of time, which likely masked this phenomenon.

What might be the explanation for these two different associations between staffing and hospitalizations? The same-day increased hospitalization with higher staffing is likely due to staff's increased ability to monitor residents and identify those residents that may require immediate transfer. We note that the same-day effect is over 3 times larger for RNs and LPNs than for CNAs. As the former are the ones likely to perform assessments and make transfer recommendations, this offers further support for the explanation. The protective effect of the prior-day high staffing on hospitalization may also be explained by staff having increased ability to monitor and identify residents with clinical issues requiring interventions. In these cases the interventions available in-house might avert further decline and prevent the need for hospitalization when given time. Hence, increased staffing coupled with more time (i.e. the additional day or two) mean that some hospitalizations can be prevented, such that on net, the effect of increased staffing is to lower hospitalizations.

Comparing the magnitude of the associations across strata dyads in many cases offers further support for these explanations. For example, we find higher effect sizes for employed staff who know the residents better and are, therefore, more likely to ascertain their needs than contract staff. We find higher effect sizes for RNs in nursing homes with higher 5-Star ratings for both the Quality Measures and the Survey, as well as facilities that have higher total nursing levels. The high Medicare facilities, which have more post-acute patients who are more likely to have higher patient acuity and skilled needs that might require rehospitalizations also exhibit larger effects than nursing homes with fewer Medicare patients. Nursing homes with higher census of patients with ADRD diagnoses have lower effect size compared with nursing homes with fewer patients with these diagnoses, possibly reflecting a more judicious approach towards hospital transfer for patients with ADRD, as high quality care practices would suggest. Although another less benign possibility is that residents with ADRD are less likely to express themselves and staff may not recognize the need to hospitalize as often.

We note that the short-term patterns we observe are not likely to be due to long-term staff shortages. Our sensitivity analysis comparing nursing homes with high and low average staffing found the same staffing to hospitalization associations among both, suggesting that long term staffing differences do not explain away these patterns. We also note that we did not to control for turnover because it is likely one of the mechanisms for daily staffing variations, and including it would mask the effect of interest. Future studies examining factors leading to daily staffing patterns should include turnover.

Several limitations of this study should be mentioned. Due to data limitation our estimates of ED transfers were limited to FFS residents only. Second, the associations we found are not necessarily causal; however, we used a strong facility fixed-effects design to control for confounding by all time-invariant facility-level characteristics. Third, our staffing measures are at the facility level and we cannot identify the staff hours received by individual residents or groups of residents, such as those with ADRD, or whether or not residents had private duty nurses or family involvement. Finally, these quantitative data cannot establish the mechanisms driving the associations we find. For example, we did not have data about nurses' training to start IVs in the nursing home, mange TPN or tube feeding. Furthermore, we focused on staffing only and had no information about availability of other

CONCLUSIONS AND IMPLICATIONS

hospitalizations.³

This is the first study, to our knowledge, to examine short-term patterns of staffing in nursing homes and their associations with hospitalizations and ED transfers not followed by a hospitalization. The richness of the PBJ data allowed us to examine daily staffing patterns vis-a-vis daily outcomes and revealed patterns that have not been observed before. Our findings reaffirm the importance of staffing to hospitalization and ED outcomes, even when inspected on a microlevel, and offer a new perspective for understanding the roles of different staffing types. Specifically, our findings suggest that staffing patterns, especially for RNs and LPNs, play a key role in assessing and triaging residents, both in identifying those residents who require immediate hospitalization, thus contributing to the higher number of hospitalization on the same day, and in identifying those where hospitalizations can be averted if appropriate action is taken early enough.

An indirect implication of our study is that a simple count or rate of hospitalizations may not be a good marker for quality, and a more nuanced approach should be considered. The use of hospitalization and rehospitalization rates has become ubiquitous as a quality metric. At the same time, it has long been recognized that some hospitalizations are necessary, and that failing to transfer may be a sign of poor quality. Our study reinforces the need to remember this caveat and continue to refine quality metrics to try to disentangle appropriate and inappropriate transfers.

This study offers new observations about the associations between staffing and hospitalizations. Its strength is its reliance on national data. This, however, is also its weakness. It cannot get into the details of the underlying processes of care, which lead to the outcomes and associations we observe, and some of the questions we raised above. Future studies, relying on other data sources and different methods, should address these questions, as well as the mechanisms leading to daily staffing variations.

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Appendix

Appendix Table 1:

Full Regression Model of Base Case: Full Sample

Dependent Variable: Number of Hospitalizations from the Nursing Home

Conditional fixed-effects Poisson regression

Number of observations = 14,620,886

Number of groups = 15,718

observations per group:

min = 27

avg = 930.2

max = 1,002

Wald chi2(110) = 11866.77 Log pseudolikelihood = -8927513.8

Prob > chi2 = 0.0000

(Std. err. Adjusted for clustering on id)

	Coefficient	Robust std. err.	z	P>z	95% conf.	interval
RN hours	0.002795	7.79E-05	35.87	< 0.001	0.002642	0.002947
LPN hours	0.002603	6.94E-05	37.48	< 0.001	0.002466	0.002739
CAN hours	0.000774	4.62E-05	16.76	< 0.001	0.000683	0.000864
1 day lag RN hours	-0.00102	6.05E-05	-16.81	< 0.001	-0.00114	-0.0009
1 day lag LPN hours	-0.00101	5.14E-05	-19.69	< 0.001	-0.00111	-0.00091
1 day lag CNA hours	-0.00046	3.82E-05	-11.95	< 0.001	-0.00053	-0.00038
Percent male	0.003463	0.000207	16.73	< 0.001	0.003058	0.003869
Percent age 65 or less	0.005515	0.000368	14.98	< 0.001	0.004794	0.006236
pctage65_74	0.006117	0.000293	20.86	< 0.001	0.005543	0.006692
pctage75_84	0.004348	0.000237	18.38	< 0.001	0.003884	0.004811
Percent Medicare Advantage	-0.00173	0.000231	-7.5	< 0.001	-0.00218	-0.00128
Jan-17	-0.00582	0.017593	-0.33	0.741	-0.0403	0.028666
Feb-17	0.154582	0.005393	28.67	< 0.001	0.144012	0.165151
Mar-17	0.174678	0.007983	21.88	< 0.001	0.159032	0.190324
Apr-17	0.128258	0.00882	14.54	< 0.001	0.110971	0.145545
May-17	0.115096	0.008917	12.91	< 0.001	0.097618	0.132573
Jun-17	0.099164	0.008807	11.26	< 0.001	0.081904	0.116424
Jul-17	0.065309	0.008853	7.38	< 0.001	0.047958	0.082661
Aug-17	0.087147	0.008749	9.96	< 0.001	0.069999	0.104295
Sep-17	0.090546	0.008594	10.54	< 0.001	0.073701	0.107391
Oct-17	0.096721	0.008598	11.25	< 0.001	0.079868	0.113573
Nov-17	0.099547	0.008659	11.5	< 0.001	0.082575	0.116518
Dec-17	0.152027	0.008327	18.26	< 0.001	0.135707	0.168347
Jan-18	0.231686	0.007924	29.24	< 0.001	0.216155	0.247217

	Feb-18	0.174271	0.007959	21.9	< 0.001	0.158672	0.1898
	Mar-18	0.142361	0.008027	17.74	< 0.001	0.126629	0.15809
Apr-18		0.122641	0.008195	14.97	< 0.001	0.106579	0.13870
May-18		0.093686	0.008257	11.35	< 0.001	0.077503	0.10986
	Jun-18	0.078696	0.008139	9.67	< 0.001	0.062744	0.09464
	Jul-18	0.070025	0.008036	8.71	< 0.001	0.054275	0.08577
	Aug-18	0.083819	0.007951	10.54	< 0.001	0.068236	0.09940
	Sep-18	0.078109	0.007946	9.83	< 0.001	0.062536	0.09368
	Oct-18	0.091745	0.007728	11.87	< 0.001	0.076599	0.1068
	Nov-18	0.07834	0.007808	10.03	< 0.001	0.063037	0.09364
	Dec-18	0.094132	0.007611	12.37	< 0.001	0.079215	0.10904
	Jan-19	0.141538	0.007398	19.13	< 0.001	0.127038	0.1560
	Feb-19	0.142629	0.007009	20.35	< 0.001	0.128893	0.1563
	Mar-19	0.138552	0.006835	20.27	< 0.001	0.125157	0.15194
	Apr-19	0.113781	0.006824	16.67	< 0.001	0.100407	0.1271
	May-19	0.085539	0.006785	12.61	< 0.001	0.072242	0.09883
	Jun-19	0.061354	0.006765	9.07	< 0.001	0.048094	0.0746
	Jul-19	0.058282	0.006559	8.89	< 0.001	0.045427	0.07113
	Aug-19	0.038236	0.005584	6.85	< 0.001	0.027291	0.049
Major RUG Group	RUG Code	Coefficient	Robust std. err.	z	P>z	95% conf.	interv
-							
	ba1	0.006547	0.000531	12.33	< 0.001	0.005506	0.0075
Behavioral Symptoms and	ba1 ba2	0.006547 0.004166	0.000531 0.001641	12.33 2.54	<0.001 0.011	0.005506	
Behavioral Symptoms and Cognitive Performance							0.0073
	ba2	0.004166	0.001641	2.54	0.011	0.00095	0.0073
	ba2 bb1	0.004166 0.008505	0.001641 0.000531	2.54 16	0.011 <0.001	0.00095 0.007464	0.0073
	ba2 bb1 bb2	0.004166 0.008505 0.003671	0.001641 0.000531 0.001543	2.54 16 2.38	0.011 <0.001 0.017	0.00095 0.007464 0.000646	0.0073 0.0095 0.0066 0.0119
	ba2 bb1 bb2 ca1	0.004166 0.008505 0.003671 0.010229	0.001641 0.000531 0.001543 0.00088	2.54 16 2.38 11.62	0.011 <0.001 0.017 <0.001	0.00095 0.007464 0.000646 0.008504	0.00733 0.00955 0.00666 0.01193 0.0151
	ba2 bb1 bb2 ca1 ca2	0.004166 0.008505 0.003671 0.010229 0.011779	0.001641 0.000531 0.001543 0.00088 0.001703	2.54 16 2.38 11.62 6.92	0.011 <0.001 0.017 <0.001 <0.001	0.00095 0.007464 0.000646 0.008504 0.008441	0.00733 0.00955 0.0066 0.01195 0.0151 0.01355
Cognitive Performance	ba2 bb1 bb2 ca1 ca2 cb1	0.004166 0.008505 0.003671 0.010229 0.011779 0.011881	0.001641 0.000531 0.001543 0.00088 0.001703 0.000864	2.54 16 2.38 11.62 6.92 13.75	0.011 <0.001 <0.017 <0.001 <0.001 <0.001	0.00095 0.007464 0.000646 0.008504 0.008441 0.010187	0.00733 0.00955 0.0066 0.0119 0.0151 0.0135 0.0231
	ba2 bb1 bb2 ca1 ca2 cb1 cb2	0.004166 0.008505 0.003671 0.010229 0.011779 0.011881 0.016374	0.001641 0.000531 0.001543 0.00088 0.001703 0.000864 0.003438	2.54 16 2.38 11.62 6.92 13.75 4.76	0.011 <0.001 <0.017 <0.001 <0.001 <0.001 <0.001	0.00095 0.007464 0.000646 0.008504 0.008441 0.010187 0.009635	0.0073 0.0095 0.0066 0.0119 0.0151 0.0135 0.0231 0.0112
Cognitive Performance	ba2 bb1 bb2 ca1 ca2 cb1 cb2 cc1	0.004166 0.008505 0.003671 0.010229 0.011779 0.011881 0.016374 0.010164	0.001641 0.000531 0.001543 0.00088 0.001703 0.000864 0.0003438 0.000562	2.54 16 2.38 11.62 6.92 13.75 4.76 18.08	0.011 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001	0.00095 0.007464 0.000646 0.008504 0.008441 0.010187 0.009635 0.009062	0.00733 0.00954 0.00669 0.01192 0.01511 0.01357 0.02311 0.01120 0.01120
Cognitive Performance	ba2 bb1 bb2 ca1 ca2 cb1 cb2 cc1 cc2	0.004166 0.008505 0.003671 0.010229 0.011779 0.011881 0.016374 0.010164 0.010332	0.001641 0.000531 0.001543 0.00088 0.001703 0.000864 0.003438 0.000562 0.002008	2.54 16 2.38 11.62 6.92 13.75 4.76 18.08 5.15	0.011 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001	0.00095 0.007464 0.000646 0.008504 0.008441 0.010187 0.009635 0.009062 0.006396	0.00733 0.00955 0.00666 0.01195 0.0151 0.01357 0.0231 0.01122 0.01142 0.01143
Cognitive Performance	ba2 bb1 bb2 ca1 ca2 cb1 cb2 cc1 cc2 cd1	0.004166 0.008505 0.003671 0.010229 0.011779 0.011881 0.016374 0.010164 0.010332 0.010293	0.001641 0.000531 0.001543 0.00088 0.001703 0.000864 0.003438 0.000562 0.0002008 0.000593	2.54 16 2.38 11.62 6.92 13.75 4.76 18.08 5.15 17.37	0.011 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001	0.00095 0.007464 0.000646 0.008504 0.008441 0.010187 0.009635 0.009062 0.006396 0.009131	0.00733 0.00954 0.00669 0.01192 0.01511 0.01357 0.02311 0.01120 0.01420 0.01420
Cognitive Performance	ba2 bb1 bb2 ca1 ca2 cb1 cb2 cc1 cc2 cd1 cd2	0.004166 0.008505 0.003671 0.010229 0.011779 0.011881 0.016374 0.010164 0.010332 0.010293 0.010185	0.001641 0.000531 0.001543 0.00088 0.001703 0.000864 0.003438 0.000562 0.000593 0.000593 0.001986	2.54 16 2.38 11.62 6.92 13.75 4.76 18.08 5.15 17.37 5.13	0.011 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001	0.00095 0.007464 0.000646 0.008504 0.008441 0.010187 0.009635 0.009062 0.006396 0.009131 0.006293	0.00733 0.00954 0.00669 0.01195 0.0151 0.01357 0.0231 0.01120 0.01420 0.01442 0.01407 0.00984
Cognitive Performance	ba2 bb1 bb2 ca1 ca2 cb1 cb2 cc1 cc2 cc1 cc2 cd1 cd2 cc1 cd2 cc1	0.004166 0.008505 0.003671 0.010229 0.011779 0.011881 0.016374 0.010164 0.010332 0.010293 0.010293 0.010185 0.008007	0.001641 0.000531 0.001543 0.00088 0.001703 0.000864 0.0003438 0.000562 0.002008 0.000593 0.001986 0.000937	2.54 16 2.38 11.62 6.92 13.75 4.76 18.08 5.15 17.37 5.13 8.55	0.011 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001	0.00095 0.007464 0.000646 0.008504 0.008441 0.010187 0.009635 0.009062 0.006396 0.006396 0.009131 0.006293 0.006171	0.00738 0.00954 0.00669 0.01199 0.01511 0.01357 0.02311 0.01120 0.01420 0.01420 0.01407 0.00984 0.0163
Cognitive Performance	ba2 bb1 bb2 ca1 ca2 cb1 cb2 cc1 cc2 cd1 cd2 cd2 ce1 ce2	0.004166 0.008505 0.003671 0.010229 0.011779 0.011881 0.016374 0.010164 0.010332 0.010293 0.010293 0.010185 0.008007 0.008822	0.001641 0.000531 0.001543 0.00088 0.001703 0.000864 0.003438 0.000562 0.000593 0.000593 0.001986 0.000937 0.000937	2.54 16 2.38 11.62 6.92 13.75 4.76 18.08 5.15 17.37 5.13 8.55 2.31	0.011 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 0.021	0.00095 0.007464 0.000646 0.008504 0.008441 0.010187 0.009635 0.009062 0.006396 0.009131 0.006293 0.006171 0.0001324	0.00733 0.00954 0.00669 0.01193 0.01511 0.01357 0.02311 0.01120 0.01420 0.01442 0.010984 0.0163 0.01450
Cognitive Performance	ba2 bb1 bb2 ca1 ca2 cb1 cb2 cc1 cc2 cc1 cc2 cd1 cd2 ce1 ce2 es1	0.004166 0.008505 0.003671 0.010229 0.011779 0.011881 0.016374 0.010332 0.010332 0.010293 0.010185 0.008007 0.008822 0.011822	0.001641 0.000531 0.001543 0.00088 0.001703 0.000864 0.003438 0.000562 0.0000593 0.001986 0.000937 0.003826 0.001398	2.54 16 2.38 11.62 6.92 13.75 4.76 18.08 5.15 17.37 5.13 8.55 2.31 8.45	0.011 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001	0.00095 0.007464 0.000646 0.008504 0.008441 0.010187 0.009635 0.009062 0.006396 0.009131 0.006293 0.006171 0.001324 0.009081	0.00738 0.00954 0.00669 0.01199 0.01511 0.01357 0.02311 0.01120 0.01420 0.01420 0.01440 0.01450 0.01450 0.01400
Cognitive Performance	ba2 bb1 bb2 ca1 ca2 cb1 cb2 cc1 cc2 cd1 cd2 cc2 cd1 cd2 ce1 ce2 es1 es2	0.004166 0.008505 0.003671 0.010229 0.011779 0.011881 0.016374 0.010164 0.010332 0.010293 0.010293 0.010185 0.008007 0.008822 0.011822 0.011822	0.001641 0.000531 0.001543 0.00088 0.001703 0.000864 0.003438 0.000562 0.000593 0.000593 0.001986 0.000937 0.0003826 0.001398 0.001597	2.54 16 2.38 11.62 6.92 13.75 4.76 18.08 5.15 17.37 5.13 8.55 2.31 8.45 6.85	0.011 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001	0.00095 0.007464 0.000646 0.008504 0.008441 0.010187 0.009635 0.009062 0.006396 0.009131 0.006293 0.006171 0.0001324 0.009081 0.007807	0.00733 0.00954 0.00669 0.01193 0.01511 0.01357 0.02311 0.01120 0.01420 0.01420 0.01440 0.0165 0.01450 0.01450 0.01450
Cognitive Performance	ba2 bb1 bb2 ca1 ca2 cb1 cb2 cc1 cc2 cd1 cd2 cd2 cd1 cd2 ce1 ce2 es1 es2 es3	0.004166 0.008505 0.003671 0.010229 0.011779 0.011881 0.016374 0.010164 0.010332 0.010293 0.010293 0.010185 0.008007 0.008822 0.011822 0.011822 0.010938 0.0008712	0.001641 0.000531 0.001543 0.00088 0.001703 0.000864 0.003438 0.000562 0.000593 0.000593 0.001986 0.000937 0.003826 0.001398 0.001597 0.001996	2.54 16 2.38 11.62 6.92 13.75 4.76 18.08 5.15 17.37 5.13 8.55 2.31 8.45 6.85 4.37	0.011 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001	0.00095 0.007464 0.000646 0.008504 0.008441 0.010187 0.009635 0.009062 0.006396 0.009131 0.006293 0.006171 0.001324 0.009081 0.007807 0.004801	0.00753 0.00733 0.00954 0.00669 0.01192 0.01511 0.01357 0.02311 0.01120 0.01420 0.01143 0.01407 0.00984 0.0163 0.01450 0.01450 0.01450 0.01450 0.01262 0.0117

	hc2	0.006885	0.001626	4.23	<0.001	0.003698	0.010072
	hd1	0.009922	0.000776	12.79	< 0.001	0.008401	0.011443
	hd2	0.006278	0.001619	3.88	< 0.001	0.003105	0.00945
	he1	0.010329	0.001079	9.58	< 0.001	0.008214	0.012443
	he2	0.006903	0.002076	3.33	0.001	0.002835	0.010971
	1b1	0.015033	0.001058	14.21	< 0.001	0.01296	0.017106
	1b2	0.014114	0.003702	3.81	< 0.001	0.006859	0.021369
	lc1	0.011452	0.000577	19.87	< 0.001	0.010322	0.012582
	1c2	0.011764	0.002169	5.42	< 0.001	0.007513	0.016014
Special Care Low	1d1	0.010339	0.000576	17.94	< 0.001	0.00921	0.011468
	1d2	0.011236	0.001692	6.64	< 0.001	0.007919	0.014553
	le1	0.009884	0.000764	12.93	< 0.001	0.008386	0.011382
	le2	0.007856	0.002206	3.56	< 0.001	0.003532	0.01218
	pa1	0.00777	0.00052	14.95	< 0.001	0.006751	0.008788
	pa2	0.008026	0.001431	5.61	< 0.001	0.005222	0.010831
	pb1	0.007634	0.000539	14.15	< 0.001	0.006577	0.008691
	pb2	0.008797	0.001105	7.96	< 0.001	0.006631	0.010963
	pc1	0.008381	0.00045	18.64	< 0.001	0.0075	0.009263
Reduced Physical Functioning	pc2	0.00676	0.000747	9.05	< 0.001	0.005297	0.008224
	pd1	0.0084	0.000413	20.32	< 0.001	0.007589	0.00921
	pd2	0.00553	0.000874	6.33	< 0.001	0.003817	0.007243
	pe1	0.006693	0.000671	9.98	< 0.001	0.005378	0.008008
	pe2	0.004636	0.001325	3.5	< 0.001	0.002039	0.007233
	rha	0.008448	0.000604	13.98	< 0.001	0.007264	0.009633
	rhb	0.011513	0.000632	18.22	< 0.001	0.010274	0.012751
	rhc	0.011504	0.000568	20.26	< 0.001	0.010391	0.012617
	rhl	0.016735	0.003125	5.35	< 0.001	0.010609	0.022861
	rhx	0.017419	0.002393	7.28	< 0.001	0.012729	0.022108
	rla	0.007349	0.000721	10.19	< 0.001	0.005935	0.008762
	rlb	0.008029	0.000986	8.14	< 0.001	0.006097	0.009961
	rlx	0.015218	0.004622	3.29	0.001	0.006159	0.024276
Rehab and Rehab Plus Extensive	rma	0.007689	0.000466	16.49	< 0.001	0.006775	0.008603
	rmb	0.009195	0.00043	21.38	< 0.001	0.008352	0.010038
	rmc	0.009279	0.000433	21.43	< 0.001	0.008431	0.010128
	rml	0.013684	0.00393	3.48	< 0.001	0.005982	0.021386
	rmx	0.007214	0.003217	2.24	0.025	0.000909	0.013519
	rua	0.010369	0.000443	23.42	< 0.001	0.009501	0.011236
	rub	0.009272	0.000424	21.86	< 0.001	0.008441	0.010103
	ruc	0.011112	0.000476	23.35	< 0.001	0.01018	0.012045
	—	0.015571	0.002003	7.78	< 0.001	0.011646	0.019496

	rux	0.012584	0.002795	4.5	< 0.001	0.007106	0.018063
	rva	0.01164	0.00059	19.73	< 0.001	0.010484	0.012796
	rvb	0.012082	0.000608	19.86	< 0.001	0.01089	0.013274
	rvc	0.013594	0.000609	22.32	< 0.001	0.012401	0.014788
	rvl	0.025555	0.002493	10.25	< 0.001	0.020668	0.030441
	rvx	0.021725	0.001883	11.54	< 0.001	0.018034	0.025416
Unknown		0.032939	0.002768	11.9	0	< 0.001	0.038364

Appendix Table 2:

Sensitivity Analysis Summary of Findings: – Percent Change in Daily Hospitalizations Due to an Increase of a One Full Time Equivalent Staff Position^{*}

Same Day			Previous Day			
RNs	LPNs	CNAs	RNs	LPNs	CNAs	
ed Samples	-	-	-		-	
1.0%	1.0%	0.0%	-0.5%	-0.4%	$\begin{array}{c} -0.0\% \\ [-0.1, 0.0] \\ (0.148) \end{array}$	
[0.9, 1.1]	[0.9, 1.1]	[-0.0, 0.1]	[-0.6, -0.4]	[-0.5, -0.3]		
(<.001)	(<.001)	(0.698)	(<.001)	(<.001)		
ar <u>QMs</u>						
2.5%	2.2%	0.5%	-0.9%	-0.8%	-0.3%	
[2.2, 2.7]	[1.9, 2.5]	[0.3, 0.7]	[-1.1, -0.7]	[-1.0, -0.6]	[-0.4, -0.1]	
(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	
2.1%	2.1%	0.9%	-0.8%	-0.9%	-0.4%	
[1.9, 2.3]	[1.9, 2.3]	[0.8, 1.0]	[-1.0, -0.6]	[-1.1, -0.8]	[-0.5, -0.3]	
(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	
ar <u>Survey</u>						
2.4%	2.2%	0.5%	-0.8%	-0.8%	-0.3%	
[2.1, 2.8]	[1.9, 2.5]	[0.3, 0.8]	[-1.0, -0.6]	[-1.1, -0.6]	[-0.5, -0.2]	
(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	
2.3%	2.2%	0.7%	-0.9%	-0.9%	-0.4%	
[2.1, 2.6]	[2.0, 2.4]	[0.6, 0.8]	[-1.1, -0.8]	[-1.0, -0.8]	[-0.5, -0.3	
(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	
	•	•	2			
2.2%	1.8%	0.3%	-0.8%	-0.7%	-0.2%	
[1.9, 2.5]	[1.6, 2.1]	[0.1, 0.5]	[-0.9, -0.6]	[-0.9, -0.5]	[-0.3, -0.1]	
(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(0.004)	
2.1%	2.3%	1.0%	-0.9%	-0.9%	-0.7%	
[1.7, 2.4]	[1.9, 2.7]	[0.7, 1.2]	[-1.3, -0.6]	[-1.2, -0.6]	[-0.9, -0.5]	
(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	
is		•			•	
2.5%	2.4%	0.7%	-1.0%	-1.0%	-0.5%	
[2.2, 2.7]	[2.2, 2.6]	[0.5, 0.8]	[-1.2, -0.8]	[-1.2, -0.9]	[-0.6, -0.4	
(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	
1.9%	1.6%	0.5%	-0.3%	-0.2%	-0.3%	
[1.3, 2.5]	[1.2, 2.0]	[0.3, 0.6]	[-0.6, -0.1]	[-0.4, 0.1]	[-0.5, -0.1	
(<.001)	(<.001)	(<.001)	(0.013)	(0.226)	(0.001)	
	ied Samples ied Samples 1.0% [0.9, 1.1] (<.001)	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	RNs LPNs CNAs ied Samples	RNs LPNs CNAs RNs ied Samples	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	

		Same Day		Previous Day			
	RNs	LPNs	CNAs	RNs	LPNs	CNAs	
High Medicare facilities (85 th percentile) only	2.5% [2.2, 2.8] (<.001)	2.3% [2.0, 2.6] (<.001)	0.5% [0.1, 0.8] (0.004)	-0.9% [-1.1, -0.7] (<.001)	-0.9% [-1.1, -0.7] (<.001)	-0.4% [-0.7, -0.2] (<.001)	
Low Medicare facilities (15 th percentile) only	1.9%	1.7%	0.6%	-0.5%	-0.6%	-0.3%	
	[1.5, 2.3]	[1.4, 2.1]	[0.5, 0.8]	[-0.8, -0.4]	[-0.8, -0.4]	[-0.5, -0.2]	
	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	
High versus low Medicaid cen	sus nursing h	omes					
High Medicaid facilities (70 th percentile) only	2.1% [1.9, 2.4] (<.001)	2.2% [1.9, 2.4] (<.001)	0.8% [0.7, 0.9] (<.001)	-0.7% [-0.9, -0.5] (<.001)	-0.8% [-0.9, -0.6] (<.001)	-0.4% [-0.5, -0.3 (<.001)	
Low Medicaid facilities (30 th percentile) only	2.3%	2.1%	0.4%	-0.8%	-0.7%	-0.3%	
	[2.1, 2.5]	[1.9, 2.3]	[0.3, 0.6]	[-1.0, -0.6]	[-0.9, -0.6]	[-0.4, -0.2	
	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	
High Medicaid facilities (85 th percentile) only	1.9%	2.0%	0.8%	-0.8%	-0.8%	-0.3%	
	[1.6, 2.3]	[1.7, 2.3]	[0.6, 0.9]	[-1.0, -0.5]	[-1.0, -0.6]	[-0.4, -0.1	
	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	
Low Medicaid facilities (15 th percentile) only	2.2%	2.00%	0.3%	-0.9%	-0.9%	-0.2%	
	[1.8, 2.6]	[1.7, 2.3]	[0.1, 0.6]	[-1.2, -0.6]	[-1.1, -0.7]	[-0.4, -0.0	
	(<.001)	(<.001)	(0.013)	(<.001)	(<.001)	(0.014)	
Nursing homes with high and and Cognitive Impairment	low percent o	f patients wit	h Alzheimer D	isease and Asso	ciated Dementia	s (ADRD)	
High ADRD (85 th percentile)	2.1%	2.3%	0.6%	-0.6%	-0.7%	-0.4%	
	[1.9, 2.4]	[2.0, 2.6]	[0.4, 0.7]	[-0.8, -0.4]	[-0.9, -0.5]	[-0.5, -0.2	
	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	
Low ADRD (15th percentile)	2.8%	2.5%	0.9%	-1.1%	-1.0%	-0.6%	
	[2.6, 3.1]	[2.2, 2.8]	[0.7, 1.0]	[-1.3, -0.9]	[-1.2, -0.9]	[-0.8, -0.5	
	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	

Each line reports findings from a separate regression model which also controls for age, gender, case mix, seasonality and facility fixed effects. Models differ by either staffing variables (e.g. salaried vs. contract), or facility characteristics (e.g. low quality vs. high quality) and sample (full and stratified). Bolded entries are those significant at the p<0.001.

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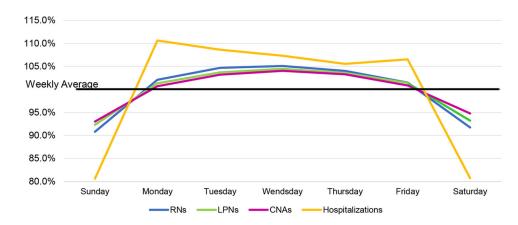


Figure 1: Staffing per Resident-Day and Hospitalizations by Day of the Week as Percent of the Weekly Average*

Note: The weekly average is calculated separately for each of the 4 variables depicted in the chart.

The Y scale shows how the value of the variable on any given day deviates from the weekly average for that variable.

Example: On Sunday, hospitalizations are 81% of the hospitalization weekly average, RNs are at 91% of the RN weekly average, LPNs are at 95.5% of the LPN weekly average, and CNAs are at 96% of the CNA weekly average.

Table 1:

Descriptive Statistics

	Number of Nursing Homes	Mean	Standard Deviation
Dependent Variables: Measured			
Discharges from nursing home to acute care or psychiatric hospita	al 15,718	0.28	0.21
Emergency department visits not followed by a hospitalization	15,608	0.11	0.07
Independent Variables:			
Nursing Homes and Resident Variables M	leasured Daily	,	
RN hours per day	15,718	35.0	33.3
Salaried	15,718	34.0	32.2
Contract	15,718	1.0	5.6
LPN hours per day	15,718	68.7	48.8
Salaried	15,718	66.4	47.0
Contract	15,718	2.3	7.6
CNA hours per day	15,718	185.0	124.8
Salaried	15,718	180.0	121.0
Contract	15,718	5.0	17.9
Total direct care nurse staffing - per day	15,718	288.7	188.2
Director of Nursing (RN) per day	15,718	5.0	1.7
RN Administrator per day	15,718	11.7	12.5
LPN Administrator hours per day	15,718	6.0	8.7
Nurse Aide in training hours per day	15,718	2.6	7.3
Medication Aide hours per day	15,718	6.6	14.8
Medical Director hours per day	15,718	0.4	0.7
Other MD hours per day	15,718	0.2	2.0
Nurse Practitioner hours per day	15,718	0.3	1.2
Daily resident census	15,718	85.2	52.8
% of residents in Medicare Advantage	15,718	26.1	19.0
% of residents who are male	15,718	35.7	11.8
% of residents under 65	15,718	15.8	14.7
% of residents aged 65-74	15,718	18.5	8.0
% of residents aged 75-84	15,718	27.0	6.8
% of residents aged 85 and over	15,718	38.7	18.0
Facility Characteristics Measured Quart	erly or Annua		
Average Quality Measures (QMs) 5-Star Rating (1-5)	13,422	3.8	1.0
Average Survey 5-Star Rating (1-5)	13,455	2.8	1.1
% of residents with Medicare payer	14,960	13.3	13.4
% of residents with Medicaid payer	14,960	60.1	23.5

% of residents with ADRD and cognitive impairment diagnoses	15,718	47.7	16.2	
	Number of Nursing Homes			
For profit ownership	10,47	79	70.1	
Non-profit ownership	4,48	1	30.0	

Table 2 –

Summary of Findings: Base Case and Stratified Analyses – Percent Change in Daily Hospitalizations Due to an Increase of a One Full Time Equivalent Staff Position^{*}

		Same Day		Previous Day			
	RNs	LPNs	CNAs	RNs	LPNs	CNAs	
Base Case, full sample							
	2.3% [2.1, 2.4] (<.001)	2.1% [2.0, 2.2] (<.001)	0.6% [0.5, 0.7] (<.001)	-0.8% [-0.9, -0.7] (<.001)	-0.8% [-0.9, -0.7] (<.001)	-0.4% [-0.4, -0.3] (<.001)	
Models with different specifications, full sample:			•				
1) Separate staffing variables for employed and control	act staff by typ	e in same m	odel, full sam	ole			
Employed Staff	2.3% [2.2, 2.4] (<.001)	2.1% [2.0, 2.3] (<.001)	0.6% [0.6, 0.7] (<.001)	-0.8% [-0.9, -0.7] (<.001)	-0.8% [-0.9, -0.8] (<.001)	-0.4% [-0.4, -0.3] (<.001)	
Contract Staff	1.7% [1.3, 2.1] (<.001)	1.4% [1.2, 1.6] (<.001)	0.3% [0.2, 0.5] (<.001)	-0.4% [-0.7, -0.1] (0.017)	$\begin{array}{c} -0.0\% \\ [-0.2, 0.1] \\ (0.698) \end{array}$	$\begin{array}{c} -0.1\% \\ [-0.2, 0.1] \\ (0.383) \end{array}$	
2) Adding variables for non-nursing FTE providers to	the model **,	full sample	-	-		-	
	1.5% [1.3, 1.6] (<.001)	1.5% [1.4, 1.6] (<.001)	$\begin{array}{c} 0.0\% \\ [-0.0, 0.1] \\ (0.149) \end{array}$	-0.3% [-0.4, -0.2] (<.001)	-0.2% [-0.3, -0.1] (<.001)	-0.1% [-0.2, -0.1] (<.001)	
3) NH Quality measured by 5 Star <u>QMs</u> stratification			-	-			
High Average Quality 5-Star Rating (>=4.75)	2.4% [2.1, 2.7] (<.001)	2.1% [1.8, 2.5] (<.001)	0.5% [0.3, 0.7] (<.001)	-0.9% [-1.2, -0.6] (<.001)	-0.8% [-1.0, -0.6] (<.001)	-0.3% [-0.4, -0.1] (0.001)	
Low Average Quality 5-Star Rating (<2.5)	2.0% [1.6, 2.4] (<.001)	2.0% [1.7, 2.4] (<.001)	0.8% [0.7, 1.0] (<.001)	-0.6% [-0.9, -0.3] (<.001)	-0.8% [-1.0, -0.6] (<.001)	-0.3% [-0.5, -0.1] (0.001)	
4) NH Quality measured by 5 Star <u>Survey</u> stratificatio	n		•				
High Average Survey 5-Star Rating (>=4)	2.4% [2.0, 2.7] (<.001)	2.0% [1.6, 2.3] (<.001)	0.5% [0.2, 0.7] (0.003)	-0.7% [-0.9, -0.4] (<.001)	-0.7% [-1.0, -0.5] (<.001)	$\begin{array}{c} -0.3\% \\ [-0.5, -0.1] \\ (0.001) \end{array}$	
Low Average Survey 5-Star Rating (<2)	2.3% [2.1, 2.5] (<.001)	2.2% [2.0, 2.4] (<.001)	0.7% [0.6, 0.8] (<.001)	-0.9% [-1.1, -0.8] (<.001)	-0.9% [-1.0, -0.8] (<.001)	-0.4% [-0.5, -0.3] (<.001)	
5) Total nursing level stratification						-	
High Average Nursing Level (above 70 th percentile)	2.3% [2.1, 2.5] (<.001)	2.0% [1.8, 2.2] (<.001)	0.4% [0.2, 0.5] (<.001)	-0.9% [-1.1, -0.7] (<.001)	-0.8% [-1.0, -0.7] (<.001)	-0.2% [-0.3, -0.1] (<.001)	
Low Average Nursing Level (30 th percentile & below)	2.1% [1.9, 2.4] (<.001)	2.2% [2.0, 2.5] (<.001)	0.9% [0.8, 1.1] (<.001)	-0.7% [-0.9, -0.5] (<.001)	-0.9% [-1.0, -0.7] (<.001)	-0.5% [-0.6, -0.4] (<.001)	
6) Hospitalization level stratification			-		-	-	
High Hospitalization Rate (above 70 th percentile)	2.4% [2.2, 2.6] (<.001)	2.3% [2.1, 2.5] (<.001)	0.7% [0.5, 0.8] (<.001)	-0.9% [-1.1, -0.8] (<.001)	-0.9% [-1.1, -0.8] (<.001)	-0.5% [-0.6, -0.3] (<.001)	
Low Hospitalization Rate (30 th percentile & below)	2.0% [1.7, 2.4] (<.001)	1.6% [1.3, 1.9] (<.001)	0.4% [0.3, 0.5] (<.001)	-0.6% [-0.7, -0.4] (<.001)	-0.5% [-0.7, -0.3] (<.001)	-0.2% [-0.3, -0.1] (<.001)	
7) Non-profit versus for-profit nursing homes	-	-	-	-	-		

	Same Day			Previous Day		
	RNs	LPNs	CNAs	RNs	LPNs	CNAs
Non-profit nursing homes	1.9%	1.4%	0.5%	-0.7%	-0.6%	-0.2%
	[1.7, 2.1]	[1.2, 1.6]	[0.4, 0.6]	[-0.9, -0.5]	[-0.8, -0.5]	[-0.3, -0.1
	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)
For-profit nursing homes	2.5%	2.4%	0.7%	-0.8%	-0.8%	-0.5%
	[2.3, 2.6]	[2.3, 2.5]	[0.6, 0.8]	[-1.0, -0.7]	[-0.9, -0.8]	[-0.5, -0.4
	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)
8) High versus low Medicare census nursing homes						
High Medicare nursing homes (above 70 th percentile)	2.4%	2.2%	0.7%	-0.8%	-0.9%	-0.4%
	[2.2, 2.6]	[2.0, 2.4]	[0.5, 0.8]	[-1.0, -0.7]	[-1.1, -0.8]	[-0.6, -0.3
	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)
Low Medicare nursing homes (30 th percentile & below)	2.0%	1.8%	0.6%	-0.6%	-0.6%	-0.4%
	[1.8, 2.3]	[1.6, 2.0]	[0.5, 0.7]	[-0.8, -0.4]	[-0.8, -0.5]	[-0.5, -0.3
	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)
9) Nursing homes with high and low percent of resider Impairment	nts with Alzho	eimer Diseas	e and Associa	ted Dementias ((ADRD) and Co	gnitive
High ADRD (above 70 th percentile)	2.1%	1.9%	0.5%	-0.7%	-0.7%	-0.3%
	[1.9, 2.3]	[1.7, 2.2]	[0.4, 0.6]	[-0.8, -0.6]	[-0.9, -0.6]	[-0.4, -0.2
	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)
Low ADRD (30 th percentile & below)	2.7%	2.4%	0.8%	-1.0%	-1.0%	-0.6%
	[2.5, 2.8]	[2.3, 2.6]	[0.7, 0.9]	[-1.1, -0.8]	[-1.1, -0.9]	[-0.7, -0.5
	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)	(<.001)
10) Residents transferred to the Emergency Department	nt but not foll	owed by a ho	ospitalization	-	-	-
Based on Outpatient claims files that include FFS residents only	1.4% [1.3, 1.6] (<.001)	1.5% [1.3, 1.6] (<.001)	0.1% [0.1, 0.2] (<.001)	-0.4% [-0.5, -0.3] (<.001)	-0.4% [-0.5, -0.3] (<.001)	$\begin{array}{c} -0.1\% \\ [-0.1, -0.0] \\ (0.023) \end{array}$

* Each line reports findings from a separate regression model which also controls for age, gender, case mix, seasonality and facility fixed effects. Models differ by either staffing variables (e.g. salaried vs. contract), or facility characteristics (e.g. low quality vs. high quality)

** Additional providers included DON-RN, RN Administrator, LPN Administrator, Medical Director, Other MD, Nurse Practitioner, Nurses Aid in Training, Medication Aid.