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Peer reviewed

1 Deep learning of electrocardiograms in sinus rhythm from US 2 Veterans to predict atrial fibrillation

3

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46 Learning, Stroke 4748 Manuscript Word Count: 3190

49 Key Points

- 50
- 51 <u>Question</u>: Can a deep learning model using routinely acquired outpatient 12-
- 52 lead ECGs predict the presence of atrial fibrillation within 31 days across
- 53 diverse populations?
- 54
- 55 <u>Findings</u>: A model trained on data from two large Veterans Affairs (VA)
- 56 hospital networks predicted atrial fibrillation with high accuracy in several
- 57 separate patient populations (VA and non-VA) and across different
- 58 demographic and comorbidity subgroups.
- 59
- 60 <u>Meaning</u>: Deep learning of ECGs holds promise for identifying patients at
- 61 high risk of atrial fibrillation who could be considered for intensive monitoring
- 62 programs to help prevent adverse cardiac events.
- 63

64 Abstract

- 65 <u>Importance</u>
- 66 Early detection of atrial fibrillation (AF) may help prevent adverse
- 67 cardiovascular events such as stroke. Deep learning of electrocardiograms
- 68 (ECGs) has been successfully used for early prediction of several
- 69 cardiovascular diseases.
- 70
- 71 Objective
- 72 To determine whether deep learning of outpatient ECGs in sinus rhythm can
- 73 predict patients with AF in a large and diverse population.
- 74
- 75 <u>Design</u>
- 76 Retrospective cohort study from 1/1/1987 to 12/31/2022.
- 77 78 <u>Setting</u>
- 79 Multicenter study at 6 US Veterans Affairs (VA) hospital networks and 1 large
- 80 non-VA academic medical center
- 81
- 82 <u>Participants</u>
- 83 All outpatient 12-lead ECGs in sinus rhythm
- 84
- 85 <u>Methods and Outcomes</u>
- 86 We trained a convolutional neural network using 12-lead ECGs from 2 US VA
- 87 hospital networks to predict the presence of AF within 31 days of sinus
- 88 rhythm ECGs. The model was tested on ECGs held out from training at the 2
- 89 VA networks as well as 4 additional VA networks and 1 large non-VA
- 90 academic medical center.
- 91
- 92 <u>Results</u>
- 93 We used cohort of 908,341 ECGs. ECGs were from patients across 6 VA sites
- 94 who had an average age of 62.4 years, were 6.4% female, 37.6% non-white,
- 95 with an average CHA2DS2-VASc score of 1.9. At the non-VA academic
- 96 medical center, the average age was 59.5 years, with 52.5% female, 25.2%
- 97 non-white, and an average CHA2DS2-VASc score of 1.6. A deep learning
- 98 model predicted the presence of atrial fibrillation within 31 days of a sinus
- 99 ECG with AUCs of 0.86 (95% CI 0.85-0.86) and 0.93 (0.93-0.94), accuracies of
- 100 0.78 (0.77-0.78) and 0.87 (0.86-0.88), F1 scores of 0.30 (0.30-0.31) and 0.46
- 101 (0.44-0.48) on held-out test ECGs at VA and non-VA hospitals, respectively.
- 102 The model was well-calibrated with a Brier score of 0.02 across all sites.
- 103 Among individuals deemed high risk by deep learning, the number needed to
- 104 screen to detect a positive case of AF was 2.5 individuals at a testing
- 105 sensitivity of 25% and 11.5 at 75%. Model performance was similar in
- 106 patients who were black, female, younger than 65 years old, or had
- 107 CHA2DS2-VASc score ≥ 2 .
- 108
- 109 <u>Conclusions</u>

- Deep learning of outpatient sinus rhythm ECGs predicted AF within 31 days in populations with diverse demographics and comorbidities.

114 Abbreviations

- 115
- 116 Atrial fibrillation (AF)
- 117 Electrocardiogram (ECG)
- 118 Veteran Affairs (VA)
- 119 International Classification of Diseases (ICD)
- 120 Current Procedural Terminology (CPT)
- 121 Receiver Operating Characteristic (ROC)
- 122 Area Under the Curve (AUC)
- 123 Stroke (CVA)
- 124 Transient ischemic attack (TIA)
- 125 Thromboembolism (TE)
- 126 Myocardial infarction (MI)
- 127 Standard Deviation (SD)
- 128 Confidence Interval (CI)
- 129

130 Background

Atrial fibrillation (AF) is the most common arrhythmia, affecting one guarter 131 of patients older than 80 years old.¹ Patients with AF are five times more 132 133 likely to experience a stroke and have up to a 25% risk of dying within 30 days of stroke.^{2,3} Many cases of AF go undetected since at least one third are 134 asymptomatic.⁴⁻⁶ Among patients who experience an acute stroke of 135 136 unknown origin, one fifth will be found to have occult AF.⁷⁻¹⁰ AF also causes 137 long-term changes in cardiac structure including atrial dilation and 138 ventricular function deterioration, which can result in permanent AF, valvular regurgitation, and heart failure.^{11,12} 139

140

141 Effective clinical management can mitigate the complications of AF. Oral 142 anticoagulation reduces the relative risk of stroke by two thirds.¹³ Early use 143 of antiarrhythmic medications or ablation may prevent more permanent AF 144 and reduce symptoms and stroke risk.¹⁴⁻¹⁶ Earlier detection of AF therefore 145 holds promise in preventing later adverse sequelae.

146

Deep learning, a subset of machine learning, can help diagnose early disease given its ability to utilize information-dense data to draw associations that may be too complicated to be routinely identified by human clinicians. Deep learning of electrocardiograms (ECGs) has been used to successfully predict mortality, heart failure, cardiomyopathy, and valvular disease.¹⁷⁻²⁵ It has also

- 152 been used recently to predict paroxysmal and incident AF, often in
- 153 predominantly white, single-center patient populations.²⁶⁻²⁸
- 154

155 To date, few deep learning algorithms have been used for the US Veteran 156 Affairs (VA) population, which includes almost 19 million individuals from a 157 diversity of demographic backgrounds, many of whom are at higher risk for 158 having cardiovascular disease including AF when compared to the general 159 adult population.²⁹⁻³¹ The VA patient population therefore represents a group 160 in which deep learning guided screening efforts may be most effective. We 161 investigated whether deep learning of sinus rhythm ECGs in VA patients 162 could predict the presence of concurrent AF.

163

164 Methods

165 ECG dataset selection

166 We extracted all 12-lead ECGs acquired at sites within the VA's Veterans 167 Integrated Services Network Region (VISN) 21, which includes 6 separate VA 168 medical center networks (San Francisco, Palo Alto, Fresno, Sacramento, 169 Reno, and the Pacific Islands), each of which is composed of multiple clinics. 170 ECGs were performed from 1/1/1987 to 12/31/2022. ECG tracings were 171 linked to cardiologist ECG interpretations, patient demographic (age, sex, 172 and race/ethnicity), and comorbidity information (atrial fibrillation, heart 173 failure, hypertension, diabetes, prior stroke/transient ischemic 174 attack/thromboembolism, prior myocardial infarction, peripheral vascular

175 disease, chronic kidney disease) from the VA Corporate Data Warehouse.

176 Comorbidities were determined using International Classification of Diseases

177 (ICD) and Current Procedural Terminology (CPT) codes.³² Using comorbidity

178 fields, we estimated CHA₂DS₂-VASc scores.

179

180 We included only ECGs in sinus rhythm. We excluded ECGs that had poor

181 data quality, paced rhythms, or could not be paired with age and sex

182 information (a sign that a patient was not followed consistently in VA health

183 system or that the ECG patient data was entered incorrectly and not

184 linkable) (Figure 1). We limited our dataset to outpatient ECGs given that

185 screening for AF would predominantly be implemented in an outpatient

186 setting. Inpatient ECGs could introduce selection bias for sicker patients who

187 may not be reflective of a general AF screening population.

188

189 ECGs from the San Francisco VA and Palo Alto VA were used for model

190 training, validation, and testing. ECGs from the Fresno VA, Sacramento VA,

191 Reno VA, and the Pacific Islands VA were used as separate held-out test

192 datasets.

193

For an external test dataset, we used all 12-lead ECGs acquired at CedarsSinai Medical Center, a large urban tertiary care center, from 3/1/2005 to
12/31/2018. The same inclusion and exclusion criteria were applied as were
used for the VA dataset.

199 This study was approved by the University of California, San Francisco IRB200 and the Cedars-Sinai IRB.

201

202 Definition of cases and controls

Cases of concurrent AF were defined as sinus rhythm ECGs that could be
paired with at least one ECG in atrial fibrillation or flutter (based on the
cardiologist ECG interpretation) within 31 days (Figure 1). Controls were
defined as sinus ECGs in patients who did not have ECGs in atrial fibrillation
or flutter or diagnoses of atrial fibrillation or flutter by ICD/CPT coding. A
single patient could contribute multiple case and control ECGs, which has
been shown to improve model performance.²⁶

210

In an additional exploratory analysis to simulate prospective prediction of a
patient's first case of AF within a longer 1-year time frame, we defined cases
to be sinus rhythm ECGs that were closest to and chronologically before the
first diagnosis of AF for each patient. ECGs had to be within 1 year before AF
diagnosis.

216

217 ECG processing and deep learning model training

218 ECG tracings were extracted from the VA's MUSE Cardiology Information

219 System (GE HealthCare). ECG waveform data was acquired at 250 Hz and

220 extracted as 10 second, 12 x 2500 matrices of amplitude values, stored as

base64 text. ECGs underwent baseline wander correction using medianfiltering at 200ms and 600ms intervals and z-score normalization.

223

224 We employed an atrous convolutional neural network based on a novel 225 architecture previously used for predicting clinical phenotypes from ECGs (**Supplemental Figure 1**).³³ The model was trained using PyTorch. We 226 227 initialized our model with random weights and trained using a binary cross-228 entropy loss function for 50 epochs with an ADAM optimizer and an initial 229 learning rate of 1e-4. The training dataset, composed of ECGs from the San 230 Francisco VA and Palo Alto VA sites, was split on a patient level in an 231 80:10:10 ratio to create training, validation, and held-out test datasets.

232

233 Assessing model performance

234 All performance analyses were from model prediction of held-out VA datasets 235 and the external Cedars-Sinai dataset not involved in model training. We 236 compared the deep learning model's performance to clinical prediction of AF 237 for held-out testing data from the VA and Cedars-Sinai using the CHA₂DS₂-238 VASc score and a logistic regression model that incorporated all available 239 demographic and comorbidity information (age, sex, history of heart failure, 240 diabetes, CVA/TIA/TE, prior MI, peripheral vascular disease, chronic kidney 241 disease). These patient characteristics approximate those used in AF clinical risk prediction models such as CHARGE-AF.³⁴ A CHARGE-AF risk score was not 242

explicitly calculated because of the inability to reliably determine blood
pressure and antihypertensive medication use at the time of the ECG.

246 Model discrimination was assessed by the area under the curve (AUC) for the 247 ROC curve. We reported the sensitivity, specificity, and accuracy at Youden's 248 index (defined as the maximum value of sensitivity+specificity-1) as well as 249 the maximum F1 score (harmonic mean of the precision (positive predictive 250 value) and recall (sensitivity)).³⁵ All metrics were reported with two-sided 251 95% confidence intervals (CI) from 1000 bootstrapped samples. ROC curve 252 AUCs were compared using DeLong's test.³⁶ We calculated the number 253 needed to screen to detect a true positive case of AF among patients 254 deemed as high risk by the deep learning model as 1/positive predictive 255 value.

256

257 For model calibration, risk scores underwent Platt scaling using logistic 258 regression trained on 80% of the test dataset and then applied to a held-out 259 20% of the test dataset.³⁷ We visualized a calibration plot for this held-out 260 20% test dataset by plotting the observed versus predicted risk of AF for 50 261 equal-sized groups of increasing predicted risk. Calibration was guantified 262 using the Brier score, which is the mean squared error between observed 263 outcome and predicted risk with 0 representing perfect accuracy and 1 264 meaning perfect inaccuracy. Calibration was tested using Spiegelhalter's z 265 test at a significance threshold of 0.05. The null hypothesis of Spiegelhalter's

- 266 z test is that the model is well calibrated; a statistically significant score
- 267 indicates poor calibration. Calibration was visualized and tested across all

268 sites and separately across VA hospitals and Cedars-Sinai.

269

270 Statistical analysis was performed in R and Python.

271

272 **Results**

273 There were 2,420,508 12-lead ECGs acquired within our network of VA

274 hospitals. After excluding ECGs that had poor data quality, paced rhythms,

275 incomplete clinical info and were non-sinus or acquired in inpatient settings

276 (62.5% of all ECGs), the final VA cohort included 907,858 outpatient ECGs in

277 sinus rhythm from 277,528 patients with 28,117 ECGs having a documented

278 case of AF within 31 days (**Figure 1**). The Cedars-Sinai external testing

cohort included 72,483 outpatient ECGs in sinus rhythm from 44,754

280 patients with 1,736 cases of AF within 31 days. In the VA cohort, ECGs were

from patients who were on average 62.4 (SD 13.5) years old, 6.4% female,

282 10.7% Black, with a high prevalence of comorbidities (11.2% heart failure,

283 32.4% diabetes, 8.8% prior stroke (CVA)/transient ischemic attack

284 (TIA)/thromboembolism (TE), 11.1% prior myocardial infarction (MI)) and a

285 mean CHA₂DS₂-VASc score of 1.9 (1.6) (**Table 1**). In the external test cohort,

patients had an average of 59.5 years (SD 15.4) and were 52.5% female and

287 9.4% Black. Compared to the VA population, there was a lower prevalence of

288 comorbidities (8.4% heart failure, 8.5% diabetes, 4.6% prior CVA/TIA/TE,

289 1.8% prior MI) and mean CHA_2DS_2 -VASc score of 1.6 (1.4).

290

291 The prevalence of sinus ECGs with AF detected within 31 days on ECG was 292 3.1%. When comparing cases to controls, patients with concurrent AF were 293 on average older (70.4 vs. 61.9 years old), less often female (3.8% vs. 294 10.0%), more often White (78.3% vs. 62.9%) with a higher incidence of 295 comorbidities (37.3% vs. 10.2% heart failure, 45.0% vs. 30.2% diabetes, 296 16.2% vs. 8.3% prior CVA/TIA/TE, 25.4% vs. 9.9% prior MI) and CHA₂DS₂-297 VASc score (3.1 (1.8) vs. 1.9 (1.6)) (Supplemental Table 1). 298 299 The deep learning model was trained on 359,886 ECGs from the San 300 Francisco VA and Palo Alto VA. When tested on held-out training datasets at 301 these two VA sites, the model had AUCs of 0.88 (95% CI 0.87-0.90), 0.89 302 (0.89-0.90) with accuracies of 0.81 (0.79-0.83), 0.82 (0.81-0.83) and F1 303 scores of 0.33 (0.29-0.37) and 0.49 (0.47-0.51), respectively (Figure 2A). 304 The model was then applied to four other VA sites that were not included in 305 model training and achieved AUCs of 0.86 (0.85-0.87) (Fresno VA), 0.84 306 (0.83-0.85) (Sacramento VA), 0.84 (0.83-0.85) (Reno VA), 0.83 (0.79-0.88) 307 (Pacific Islands VA). When tested on an external test set at Cedars-Sinai 308 Medical Center, the model achieved an AUC of 0.93 (0.93-0.94). 309

The deep learning model was also well-calibrated with Brier scores of 0.02, 311 0.02, and 0.02 across all sites, VA hospitals, and Cedars-Sinai Medical 312 Center, respectively (a Brier score of 0 indicates perfect calibration, 1 313 indicates perfect miscalibration) (**Figure 2B**). Testing by Spigelhalter's z test 314 also confirmed a failure to reject the null hypothesis of model calibration at a 315 significance threshold of 0.05 (p = 0.06, 0.07, 0.39 across all sites, VA 316 hospitals, Cedars-Sinai Medical Center).

317

310

318 To establish the deep learning model's performance relative to conventional 319 clinical prediction tools, we compared the deep learning model's predictions 320 to AF predictions made by using the CHA₂DS₂-VASc score as well as 321 regression using all available demographic and clinical risk factor 322 information. When applied to test patients not involved in model training 323 across all VA and Cedars-Sinai sites, the deep learning model had an AUC of 324 0.86 (0.86-0.87), the risk factor regression model had an AUC of 0.73 (0.73-325 0.74), and the CHA_2DS_2 -VASc score had an AUC of 0.70 (0.70-0.70) (Figure 326 **3**). Choosing a screening threshold to fix testing sensitivity at 25% resulted 327 in the number needed to screen to find a true positive case of AF being 2.47 328 individuals using the deep learning model vs. 11.48 by the regression model 329 and 12.01 by CHA₂DS₂-VASc score (Figure 3).

330

331 We tested the model's performance in specific patient cohort subsets (Table **2, Supplemental Table 2**). Across the different sites, there were 332

333 substantial differences in the proportion of patients that were female 334 (ranging from 4.8%-52.5%), Black (1.5%-17.2%), younger than 65 years old 335 (48.1%-59.9%), and with a CHA₂DS₂-VASc score ≥ 2 (41.4%-64.4%). At some 336 sites, the model showed small significant increases in performance in female 337 patients and small decreases in performance in patients older than 65 years 338 old and those with a CHA₂DS₂-VASc score \geq 2. However, these differences 339 were not observed consistently across all sites and performance was largely 340 unchanged across the different subgroups.

341

342 We conducted an additional exploratory analysis to simulate the prediction 343 of new undiagnosed AF within a longer 1-year time frame, by redefining 344 cases as sinus rhythm ECGs closest to and chronologically before the first 345 known diagnosis of AF for each patient (limited to ECGs within 1 year before 346 AF diagnosis). In this analysis, the model had AUCs ranging from 0.80 (0.79-347 0.81) to 0.85 (0.84-0.86) and accuracies from 0.73 (0.72-0.75) to 0.77 (0.76-0.78) at VA sites (Supplemental Table 3, Supplemental Figure 2). When 348 349 tested on Cedars-Sinai ECGs, the AUC was 0.79 (0.78-0.79) with an accuracy 350 of 0.72 (0.71-0.72).

351

352 Discussion

In this multi-site retrospective study of a large and diverse population, we
found that a deep learning model using convolutional neural networks
predicted with high discrimination and calibration the occurrence of atrial

fibrillation within 31 days from 12-lead ECGs in sinus rhythm. Prediction performance was robust across 6 different VA hospital networks as well as a separate non-VA large urban academic medical center. Predictions were better than those using conventional clinical risk factors and were largely preserved across multiple patient subgroups including women and Black patients. We additionally showed that this model could potentially help predict new onset atrial fibrillation within a longer 1-year time horizon.

364 Early detection of AF holds particular promise because it can inform 365 management decisions that change the natural progression and complication 366 profile of this disease. Anticoagulation reduces the risk of stroke by two 367 thirds.¹³ Antiarrhythmic medications and ablation can prevent the development of permanent AF and may also reduce the rate of stroke and 368 cardiovascular death.¹⁴⁻¹⁶ While guidelines support opportunistic screening 369 370 for AF, the ideal population and best method for screening remain 371 unclear.^{38,39} Multiple studies have proven that more intensive monitoring, 372 whether by structured 12-lead ECG screening programs, remote monitoring, or implanted devices, results in more detection of occult AF.⁴⁰⁻⁴⁶ However, 373 374 most of these screening interventions are resource-intensive, sometimes 375 invasive, and have not been adopted as part of routine clinical practice. One 376 recent large randomized controlled trial of an AF screening program for all 377 individuals 75-76 years old in two regions of Sweden revealed that one of the major barriers in screening was convincing patients to participate in the 378

program, even though those who did participate had a significantly lower
composite endpoint of stroke, bleeding, and mortality.⁴⁷

381

382 In this study, we show that deep learning of 12-lead ECGs acquired as a part 383 of routine clinical practice may be a relatively easy method for identifying 384 patients who are at highest risk for having unidentified AF. This could be 385 incorporated into existing workflows without necessarily requiring significant 386 additional patient participation or clinical resources. High risk patients could 387 then be funneled into a more intensive AF identification program using 388 additional monitoring. Among patients determined to be high risk by the deep learning model, the number needed to screen to detect a true positive 389 390 case of AF is tunable based on the desired test sensitivity and could be as 391 low as 2.5 patients for a test sensitivity of 25% and up to 11.5 patients for a sensitivity of 75%. This is substantially lower than the number needed to 392 393 screen using risk assessment based on clinical risk factor regression or the 394 CHA_2DS_2 -VASc score, which had a number needed to screen of 11.5 and 12, 395 respectively, for a test sensitivity of 25% and 25.4 and 20.8 for a test 396 sensitivity of 75%. Our work builds upon previous research which has also used deep learning to identify AF from sinus ECGs with simulated and real 397 pilot deployments in different patient populations.^{48,27} 398

399

400 Our findings are unique in applying deep learning to multicenter

401 cardiovascular data from US Veterans with additional external site validation.

402 Implementation of a screening program in this large population may be 403 particularly effective given the high pre-test probability of disease, which 404 could help limit the rate of false positives, as well as the higher average 405 CHA₂DS₂-VASc score, which could increase the net benefit of starting anticoagulation.²⁹⁻³¹ The same characteristics that make the Veteran 406 population particularly apt for AF screening, however, also make it different 407 from other well-studied patient populations. These differences can pose 408 409 challenges for the generalizability into and out from the VA for deep learning 410 models, which remain limited in their interpretability and at risk for 411 overfitting and confounding.⁴⁹ A recent study showed that a deep learning 412 algorithm designed to recognize acute kidney injury did not perform equally 413 well across VA and non-VA populations possibly due to differences in 414 demographics (i.e. a significantly lower proportion of VA patients being female).⁵⁰ 415

416

We found that despite there being substantial differences in patient makeup 417 418 across different VA cohorts and our external non-VA test site, the predictive 419 performance of our deep learning model for concurrent AF was largely 420 preserved. At some sites, there were small decreases in performance in 421 patients who were older and had higher CHA₂DS₂-VASc scores. This could be 422 because these patients had more comorbidities that introduced competing 423 changes to the ECG and made predicting AF more difficult. Female patients 424 in this cohort were also overall younger (69.7% < 65 years old compared to

50.6% of male patients), which could explain the improved performance in
this subgroup. Overall, these differences were not seen across all sites and
given the small magnitude of difference, may not be clinically meaningful.
Similarly, our model displayed small improvements in discriminatory abilities
when applied to the external test cohort from Cedars-Sinai. This may be
because this cohort was relatively enriched for patients who were female,
younger, and with a lower CHA₂DS₂-VASc score.

432

433 Limitations

434 Several limitations warrant consideration. As this was a retrospective study, 435 the population with 12-lead ECGs may be different from a prospective AF 436 screening population. While ECGs in our VA system are routinely obtained 437 during clinic visits, there was site-to-site variability in the average number of 438 ECGs per patient, and we might expect that this study's patient population 439 with ECGs has a higher prevalence of cardiovascular disease and AF. This 440 selection bias could increase the positive predictive value of the model and 441 decrease the number needed to screen compared to using the model when 442 screening a broader population of patients. Still, prospective model 443 performance could be similar if a higher risk population is chosen for 444 prospective screening. While we used all data from the ECG database and 445 electronic health records to identify cases of AF, it remains likely that there 446 were patients in the control group who had undiagnosed AF. This would bias our results to the null and cause underestimation of our model's 447

performance. Some patients predicted to be cases could have in fact been
correctly predicted but unknown at the time or had AF identified at an
outside health system. Future prospective studies using continuous
monitoring of high risk patients by our model could confirm AF prediction and
clarify whether this method improves downstream outcomes such as stroke
and thromboembolism.

454

455 **Conclusion**

A convolutional neural network trained using outpatient 12-lead ECGs in
sinus rhythm from US Veterans successfully predicted the presence of AF
within 31 days in populations of Veterans and non-Veterans with a diversity
of demographic characteristics and comorbidities. Such a model holds
promise for AF screening and could be used in future efforts to reduce
adverse complications associated with this disease.

463 Figure Legends

464 Figure 1. Cohort flow diagram

- 465 Inclusion and exclusion of 12-lead ECGs at 6 VA sites and Cedars-Sinai. All
- 466 available ECGs were initially included and then excluded if they had poor
- 467 data quality, paced rhythm, incomplete clinical information, were acquired
- 468 during inpatient stays, or were non-sinus rhythm. The model was trained and
- 469 validated on ECGs from the San Francisco and Palo Alto VA sites. The model
- 470 was then tested on held-out ECGs from San Francisco and Palo Alto VA sites
- 471 in addition to ECGs from 4 other VA sites and Cedars-Sinai.
- 472 Abbreviations: ECG = electrocardiogram, SF = San Francisco, PA = Palo Alto,
- 473 Sac = Sacramento, PI = Pacific Islands
- 474 *A single ECG could fall into multiple exclusion categories (E.g. both a paced
- 475 rhythm and non-sinus)
- 476

477 Figure 2. Model performance

- 478 **A.** Model discrimination performance characteristics for deep learning model
- 479 trained on data from San Francisco and Palo Alto VA sites and tested on held
- 480 out ECGs from these two sites as well as additional VA sites and Cedars-
- 481 Sinai.
- 482 **B.** Model calibration performance characteristics. Observed versus predicted
- 483 risk of AF for equal-sized groups of increasing predicted risk for all sites, VA
- 484 hospitals only, and Cedars-Sinai only.

- 485 Abbreviations: AUC = area under the curve of the receiver operating
- 486 characteristic curve
- 487

488 Figure 3. Deep learning model performance compared to clinical risk

- 489 factor models.
- 490 Performance of deep learning model on all ECGs held out from model
- 491 training compared to predicting AF using a clinical risk factors model (age,
- 492 sex, history of heart failure, diabetes, stroke/transient ischemic
- 493 attack/thromboembolism, prior myocardial infarction, peripheral vascular
- 494 disease, chronic kidney disease) or CHA₂DS₂-VASc score.
- 495 Abbreviations: PPV = positive predictive value, NNS = number needed to
- 496 screen to detect one true positive case of AF
- 497

498 Supplemental Figure 1. Study design schematic.

499 Outpatient 12-lead ECGS in sinus rhythm from the San Francisco and Palo 500 Alto VA centers were used for model training. Cases of concurrent AF were 501 defined as sinus ECGs with an AF ECG within 31 days. Controls were sinus 502 ECGs with no AF by ECG or by diagnoses available in the electronic health 503 records system. An atrous convolutional neural network was trained to 504 predict cases and was then tested on held-out ECGs from San Francisco and Palo Alto VA sites in addition to ECGs from 4 other VA sites and Cedars-Sinai. 505 506 The model was also tested in specific patient subgroup. Both prediction 507 discrimination and calibration performance characteristics were reported.

- 509 Supplemental Figure 2. Model performance for exploratory analysis
- 510 to simulate prediction of first case of AF within 1 year.
- 511 The model was used to predict the first case of AF within 1 year of a sinus
- 512 rhythm ECG.
- 513
- 514
- 515

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Figure 1. Cohort flow diagram



- non-sinus)

706

713 Table 1. ECG patient characteristics by site

714

	All VA Sites	San Francisco VA	Palo Alto VA	Fresno VA	Sacrament o VA	Reno VA	Pacific Islands VA	Cedars- Sinai
n	907858	177625	272074	114332	168798	145474	29555	72483
ECGs/Patient (SD)	3.27 (4.14)	3.67 (4.82)	3.48 (4.55)	3.88 (4.65)	2.74 (3.09)	3.23 (3.81)	1.85 (1.49)	1.62 (2.78)
Age (SD)	62.4 (13.5)	62.4 (13.1)	61.6 (14.0)	64.1 (13.1)	62.2 (13.8)	62.7 (13.2)	61.8 (12.9)	59.5 (15.4)
Female	58158 (6.4)	10820 (6.1)	18548 (6.8)	5440 (4.8)	13020 (7.7)	8796 (6.0)	1534 (5.2)	38068 (52.5)
Race (%)								
American Indian	1553 (0.2)	330 (0.2)	555 (0.2)	118 (0.1)	331 (0.2)	165 (0.1)	54 (0.2)	66 (0.1)
Asian	24813 (2.7)	8257 (4.6)	9408 (3.5)	494 (0.4)	3562 (2.1)	196 (0.1)	2896 (9.8)	5743 (7.9)
Black	96912 (10.7)	31192 (17.6)	29646 (10.9)	4731 (4.1)	27930 (16.5)	2159 (1.5)	1254 (4.2)	6828 (9.4)
Latinx	41446 (4.6)	5691 (3.2)	18216 (6.7)	9617 (8.4)	6455 (3.8)	987 (0.7)	480 (1.6)	2119 (2.9)
Pacific Islander	6193 (0.7)	502 (0.3)	1179 (0.4)	142 (0.1)	1000 (0.6)	59 (0.0)	3311 (11.2)	20 (0.0)
White	566613 (62.4)	122725 (69.1)	205831 (75.7)	48544 (42.5)	121565 (72.0)	59010 (40.6)	8938 (30.2)	54245 (74.8)
Other	3690 (0.4)	591 (0.3)	1192 (0.4)	142 (0.1)	916 (0.5)	56 (0.0)	793 (2.7)	69 (0.1)
Unknown	166638 (18.4)	8337 (4.7)	6047 (2.2)	50544 (44.2)	7039 (4.2)	82842 (56.9)	11829 (40.0)	3393 (4.7)
HF	101548 (11.2)	20395 (11.5)	26827 (9.9)	15246 (13.3)	21168 (12.5)	14003 (9.6)	3909 (13.2)	6088 (8.4)
HTN	523776 (57.7)	97995 (55.2)	127289 (46.8)	78804 (68.9)	115605 (68.5)	83449 (57.4)	20634 (69.8)	14627 (20.2)
DM	294232 (32.4)	53360 (30.0)	76378 (28.1)	50328 (44.0)	60373 (35.8)	41881 (28.8)	11912 (40.3)	6170 (8.5)
CVA/TIA/TE	80006 (8.8)	15402 (8.7)	19365 (7.1)	11844 (10.4)	17689 (10.5)	13783 (9.5)	1923 (6.5)	3309 (4.6)
MI	100788 (11.1)	20954 (11.8)	29135 (10.7)	15305 (13.4)	18899 (11.2)	14123 (9.7)	2372 (8.0)	1339 (1.8)
PVD	37596 (4.1)	8339 (4.7)	7837 (2.9)	4316 (3.8)	7938 (4.7)	7829 (5.4)	1337 (4.5)	3740 (5.2)
СКД	92461 (10.2)	18226 (10.3)	21900 (8.0)	14347 (12.5)	21025 (12.5)	13490 (9.3)	3473 (11.8)	5943 (8.2)
CHADSVASc (SD)	1.9 (1.6)	1.9 (1.6)	1.7 (1.6)	2.3 (1.6)	2.1 (1.6)	1.9 (1.6)	2.1 (1.5)	1.6 (1.4)
Concurrent AF	28117 (3.1)	3714 (2.1)	14820 (5.4)	3398 (3.0)	2798 (1.7)	3294 (2.3)	93 (0.3)	1736 (2.4)

Abbreviations: SD = standard deviation, HF = heart failure, HTN = hypertension, DM = diabetes mellitus, CVA = cerebrovascular accident, TIA = transient ischemic attack, TE = thromboembolism, MI = myocardial infarction, PVD = peripheral vascular disease, CKD = chronic kidney disease, AF = atrial fibrillation 715 716 717

 $7\overset{_{718}}{_{710}}$

721 Figure 2. Model performance by test site

A. Model discrimination. Performance characteristics for deep learning
 model trained on data from San Francisco and Palo Alto VA sites and tested
 on held out ECGs from these two sites as well as additional VA sites and
 Cedars-Sinai



B. Model calibration. Observed versus predicted risk of AF for equal-sized groups of increasing predicted risk for all sites, VA hospitals only, and

- Čedars-Sinai only.





737 **Figure 3. Deep learning model performance compared to clinical risk**

738 **factor models.** Performance of deep learning model on all ECGs held out

- 739 from model training compared to predicting AF using a clinical risk factors
- 740 model (age, sex, history of heart failure, diabetes, stroke/transient ischemic
- 741 attack/thromboembolism, prior myocardial infarction, peripheral vascular
- 742 disease, chronic kidney disease) or CHA₂DS₂-VASc score.
- 743



749 Table 2. Model performance in patient subgroups

	San Francisco VA	Palo Alto VA	Fresno VA	Sacramento VA	Reno VA	Pacific Islands VA	Cedars-Sinai
All Test Patients	17793	27186	114332	168798	145474	29555	72483
AUC All Patients	0.88 (0.87-0.9)	0.89 (0.89-0.9)	0.86 (0.85- 0.87)	0.84 (0.83- 0.85)	0.84 (0.83- 0.85)	0.83 (0.79- 0.88)	0.93 (0.93- 0.94)
Female (%)	1048 (5.9)	1785 (6.6)	5440 (4.8)	13020 (7.7)	8796 (6.0)	1534 (5.2)	38068 (52.5)
AUC in Female Patients	0.92* (0.88- 0.97)	0.88 (0.79- 0.97)	0.88 (0.84- 0.92)	0.87 (0.82- 0.92)	0.87 (0.84- 0.91)	0.96* (0.91- 1.00)	0.95* (0.94- 0.96)
Black (%)	3058 (17.2)	2827(10.4)	4731 (4.1)	27930 (16.5)	2159 (1.5)	1254 (4.2)	6828 (9.4)
AUC in Black Patients	0.9 (0.85-0.94)	0.88 (0.84- 0.92)	0.84 (0.81- 0.88)	0.86 (0.84- 0.89)	0.80 (0.71- 0.89)	0.86 (0.73- 0.99)	0.92 (0.88- 0.95)
Age < 65 y.o. (%)	9834 (55.3)	14884 (54.7)	55035 (48.1)	90427 (53.6)	75162 (51.7)	15549 (52.6)	43431 (59.9)
AUC in < 65 y.o.	0.88 (0.85- 0.92)	0.90* (0.88- 0.91)	0.86 (0.85- 0.88)	0.84 (0.83- 0.86)	0.85 (0.83- 0.86)	0.80 (0.72- 0.88)	0.94* (0.93- 0.95)
Age ≥ 65 y.o. (%)	7959 (44.7)	12302 (45.3)	59297 (51.9)	78371 (46.4)	70312 (48.3)	14006 (47.4)	29052 (40.1)
AUC in ≥ 65 y.o.	0.85 (0.83- 0.88)	0.87 (0.86- 0.89)	0.83* (0.82- 0.84)	0.81* (0.8- 0.82)	0.81* (0.8- 0.82)	0.85 (0.8-0.89)	0.92* (0.91- 0.93)
CHA₂DS₂-VASc ≥ 2 (%)	9340 (52.5)	12872 (47.3)	73633 (64.4)	101830 (60.3)	78041 (53.6)	17938 (60.7)	29990 (41.4)
AUC in CHA₂DS₂-VASc ≥ 2	0.86 (0.84- 0.88)	0.87 (0.86- 0.88)	0.84* (0.83- 0.84)	0.82* (0.81- 0.83)	0.82* (0.81- 0.83)	0.84 (0.78-0.9)	0.92* (0.91- 0.93)

* = statistically significant, p < 0.01 when comparing to AUC for all patients at site

751 Supplementary Online Content

- 752
- 753 Yuan N, Duffy G, Dhruva SS, et al. Deep Learning in Electrocardiograms in
- 754 Sinus Rhythm From US Veterans to Predict Atrial Fibrillation. *JAMA Cardiol*.
- 755 Published online October 18, 2023. doi:10.1001/jamacardio.2023.3701 756
- 757 **eFigure 1.** Study Design Schematic
- 758 **eTable 1.** ECG Patient Characteristics by Case or Control
- 759 **eTable 2.** Model Discrimination Performance by Test Site.
- 760 **eTable 3.** Number Needed to Screen (NNS) Across Different Atrial Fibrillation
- 761 Detection Sensitivities to Identify One True Case of Atrial Fibrillation
- 762 **eTable 4.** Number Needed to Screen Across Patients Subgroups
- 763 **eTable 5**. ECG Patient Characteristics for Exploratory Analysis to Simulate
- 764 Prediction of First Case of AF Within 1 Year
- 765 **eFigure 2.** Model Performance for Exploratory Analysis to Simulate
- 766 Prediction of First Case of AF Within 1 Year
- 768
- 769

- 771 This supplementary material has been provided by the authors to give
- 772 readers additional information about their work.

773 **eFigure 1.** Study Design Schematic

774 Outpatient 12-lead ECGS in sinus rhythm from the San Francisco and Palo

775 Alto VA centers were used for model training. Cases of concurrent AF were

- 776 defined as sinus ECGs with an AF ECG within 31 days. Controls were sinus
- 777 ECGs with no AF by ECG or by diagnoses available in the electronic health
- 778 records system. An atrous convolutional neural network was trained to
- 779 predict cases and was then tested on held-out ECGs from San Francisco and
- 780 Palo Alto VA sites in addition to ECGs from 4 other VA sites and Cedars-Sinai.
- 781 The model was also tested in specific patient subgroup. Both prediction
- 782 discrimination and calibration performance characteristics were reported.
- 783
- 784



787 **eTable 1.** ECG Patient Characteristics by Case or Control788

	Concurrent AF	No Concurrent AF
n	29853	950488
ECGs/Patient	3.4 (4.9)	3.1 (4.0)
Age (SD)	70.4 (10.5)	61.9 (13.7)
Female	1147 (3.8)	95079 (10.0)
Race (%)		
American Indian	39 (0.1)	1580 (0.2)
Asian	674 (2.3)	29882 (3.1)
Black	1797 (6.0)	101943 (10.7)
Latinx	1042 (3.5)	42523 (4.5)
Pacific Islander	161 (0.5)	6052 (0.6)
White	23373 (78.3)	597485 (62.9)
Other	71 (0.2)	3688 (0.4)
Unknown	2696 (9.0)	167335 (17.6)
HF	11130 (37.3)	96506 (10.2)
HTN	22665 (75.9)	515738 (54.3)
DM	13443 (45.0)	286959 (30.2)
CVA/TIA/TE	4850 (16.2)	78465 (8.3)
MI	7573 (25.4)	94554 (9.9)
PVD	2655 (8.9)	38681 (4.1)
CKD	6469 (21.7)	91935 (9.7)
CHA2DS2-VASc (SD)	3.1 (1.8)	1.9 (1.6)

789 Abbreviations: SD = standard deviation, HF = heart failure, HTN = hypertension, DM =
 790 diabetes mellitus, CVA = cerebrovascular accident, TIA = transient ischemic attack, TE =

- thromboembolism, MI = myocardial infarction, PVD = peripheral vascular disease, CKD =
- 792 chronic kidney disease, AF = atrial fibrillation
- 793
- 794

eTable 2. Model Discrimination Performance by Test Site.

797 Performance characteristics for deep learning model trained on data from
798 San Francisco and Palo Alto VA sites and tested on held out ECGs from these
799 two sites as well as additional VA sites and Cedars-Sinai

Site	AUROC	Sensitivity	Specificity	Accuracy	F1
Codars-Sinai	0.93 (0.93-	0.87 (0.83-	0.87 (0.83-0.9)	0.87 (0.86-	0.46 (0.44-
Cedars-Sillar	0.94)	0.86 (0.82-	0.76 (0.74-	0.81 (0.79-	0.33 (0.29-
San Francisco VA	0.88 (0.87-0.9)	0.9)	0.79)	0.83)	0.37)
Palo Alto VA	0.89 (0.89-0.9)	0.74 (0.72- 0.76)	0.83 (0.81- 0.85)	0.82 (0.81- 0.83)	0.49 (0.47- 0.51)
Fresno VA	0.86 (0.85- 0.87)	0.78 (0.72- 0.84)	0.78 (0.71- 0.84)	0.78 (0.77- 0.79)	0.32 (0.30- 0.33)
Sacramento VA	0.84 (0.83- 0.85)	0.75 (0.67- 0.82)	0.78 (0.71- 0.85)	0.76 (0.76- 0.77)	0.24 (0.23- 0.26)
Reno VA	0.84 (0.83- 0.85)	0.73 (0.7- 0.76)	0.79 (0.76- 0.82)	0.76 (0.75- 0.77)	0.28 (0.27- 0.30)
Pacific Islands VA	0.83 (0.79- 0.88)	0.77 (0.66- 0.89)	0.80 (0.71- 0.88)	0.78 (0.74- 0.83)	0.18 (0.12- 0.25)

eTable 3. Number Needed to Screen (NNS) Across Different Atrial Fibrillation Detection Sensitivities to Identify One True Case of Atrial Fibrillation

Deep Learning Model			Risk Fac Regress	ctors sion	CHA₂DS	CHA ₂ DS ₂ VASc		
Sensitivit Y	PPV	NNS	PPV	NNS	PPV	NNS		
0.10	0.61	1.65	0.10	9.68	0.10	10.03		
0.25	0.40	2.47	0.09	11.48	0.08	12.01		
0.50	0.19	5.40	0.06	17.59	0.06	15.63		
0.75	0.09	11.53	0.04	25.39	0.05	20.76		
0.90	0.05	20.75	0.03	31.58	0.04	26.25		

eTable 4. Number Needed to Screen Across Patients Subgroups

Number needed to screen to detect one true positive case of AF in patient subgroups across different sensitivities when deep learning model is applied

to held out test data.

Sensitivi ty	All Patients	Female	Black	Age < 65	Age ≥ 65	CHA₂DS₂-VASc ≥ 2
0.1	1.65	1.83	1.94	1.82	1.58	1.56
0.25	2.47	2.17	3.41	2.94	2.31	2.33
0.5	5.4	3.31	7.65	8.1	4.8	4.89
0.75	11.53	9.89	15.77	20.1	9.61	9.96
0.9	20.75	26.3	30.69	39.19	15.82	16.56

eTable 5. ECG Patient Characteristics for Exploratory Analysis to Simulate Prediction of First Case of AF Within 1 Year

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	All VA Sites	San Francisco VA	Palo Alto VA	Fresno VA	Sacrament o VA	Reno VA	Pacific Islands VA	Cedars- Sinai
n	760976	126698	181893	112448	166939	143494	29504	306789
Age (SD)	62.58 (13.25)	62.97 (12.47)	62.02 (13.34)	63.96 (13.08)	62.09 (13.82)	62.56 (13.21)	61.82 (12.90)	62.15 (17.18)
Female	45707 (6.0)	6736 (5.3)	10314 (5.7)	5392 (4.8)	12970 (7.8)	8763 (6.1)	1532 (5.2)	145394 (47.4)
Race (%)								
American Indian	1306 (0.2)	249 (0.2)	394 (0.2)	118 (0.1)	327 (0.2)	164 (0.1)	54 (0.2)	379 (0.1)
Asian	18715 (2.5)	5602 (4.4)	6008 (3.3)	481 (0.4)	3537 (2.1)	196 (0.1)	2891 (9.8)	19020 (6.2)
Black	81236 (10.7)	24272 (19.2)	21161 (11.6)	4675 (4.2)	27737 (16.6)	2138 (1.5)	1253 (4.2)	47933 (15.6)
Latinx	34660 (4.6)	4410 (3.5)	12880 (7.1)	9535 (8.5)	6387 (3.8)	968 (0.7)	480 (1.6)	8668 (2.8)
Pacific Islander	5518 (0.7)	306 (0.2)	726 (0.4)	141 (0.1)	989 (0.6)	55 (0.0)	3301 (11.2)	241 (0.1)
White	457460 (60.1)	86666 (68.4)	136723 (75.2)	47250 (42.0)	120040 (71.9)	57870 (40.3)	8911 (30.2)	211646 (69.0)
Other	3038 (0.4)	392 (0.3)	750 (0.4)	142 (0.1)	909 (0.5)	56 (0.0)	789 (2.7)	693 (0.2)
Unknown	159043 (20.9)	4801 (3.8)	3251 (1.8)	50106 (44.6)	7013 (4.2)	82047 (57.2)	11825 (40.1)	18209 (5.9)
HF	87807 (11.5)	16873 (13.3)	19164 (10.5)	14341 (12.8)	20378 (12.2)	13147 (9.2)	3904 (13.2)	44935 (14.6)
HTN	460657 (60.5)	76735 (60.6)	90400 (49.7)	77152 (68.6)	113974 (68.3)	81802 (57.0)	20594 (69.8)	74555 (24.3)
DM	260372 (34.2)	42960 (33.9)	55739 (30.6)	49272 (43.8)	59502 (35.6)	41013 (28.6)	11886 (40.3)	36016 (11.7)
CVA/TIA/TE	71193 (9.4)	12727 (10.0)	14358 (7.9)	11435 (10.2)	17357 (10.4)	13397 (9.3)	1919 (6.5)	36737 (12.0)
МІ	89655 (11.8)	18108 (14.3)	22422 (12.3)	14697 (13.1)	18407 (11.0)	13653 (9.5)	2368 (8.0)	20741 (6.8)
PVD	33795 (4.4)	7160 (5.7)	5787 (3.2)	4173 (3.7)	7760 (4.6)	7582 (5.3)	1333 (4.5)	33163 (10.8)
СКД	82415 (10.8)	15144 (12.0)	16442 (9.0)	13780 (12.3)	20532 (12.3)	13054 (9.1)	3463 (11.7)	34340 (11.2)
CHADSVASc (SD)	2.00 (1.62)	2.02 (1.66)	1.78 (1.61)	2.25 (1.63)	2.12 (1.60)	1.90 (1.60)	2.07 (1.48)	2.05 (1.76)
AF in 1 year	5628 (0.7)	578 (0.5)	1726 (0.9)	1151 (1.0)	916 (0.5)	1213 (0.8)	44 (0.1)	7170 (2.3)

- **eFigure 2.** Model Performance for Exploratory Analysis to Simulate
- 828 Prediction of First Case of AF Within 1 Year
- 829 The model was used to predict the first case of AF within 1 year of a sinus 830 rhythm ECG.

