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1Closure to "Kinematic Framework for Evaluating Seismic Earth Pressures on 2Retaining Walls"

3http://dx.doi.org/10.1061/(ASCE)GT.1943-5606.0001521

4by Scott J. Brandenberg, M. ASCE¹, George Mylonakis, M. ASCE², and Jonathan P. 5Stewart, F. ASCE³

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7The Authors thank the Discusser for his insightful extensions to the kinematic
8framework for evaluating seismic earth pressures, and for supporting the overriding
9principle that seismic earth pressures form as a result of relative displacements
10between the wall and free-field soil profile. This displacement-based approach is
11fundamentally different from assigning an acceleration-proportional pseudo-static
12seismic coefficient to an active wedge, regardless of wall kinematics and wave
13propagation in soil, which has been common practice since the work of Okabe
14(1926) and Mononobe and Matsuo (1929) nearly a century ago.

15The Discusser's solutions for the case of a rigid base (i.e., $K_y = K_{xx} \rightarrow \infty$) are a useful 16application of the original equations for cases where the base slab is large and/or 17founded on soil or rock that is significantly stiffer than the retained soil. 18Furthermore, the introduction of damping within the backfill for the case of rigid 19media below the wall foundation provides interesting insights, as it prevents

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20development of zero seismic thrusts that otherwise occur at certain frequencies.
21This can be interpreted as imperfect destructive interference of the impinging
22seismic waves on the wall, due to phase differences in pressures at different
23elevations caused by damping.

24The Discusser's solutions for vertically inhomogeneous soil stiffness are important 25since many soil profiles exhibit an increase in stiffness with depth. The constant 26stiffness assumption in our original paper was acknowledged as a limitation, and the 27Discusser's solutions help address this limitation for the rigid base condition.

28The Discusser accurately points out that for a given ground surface displacement 29amplitude, the kinematic framework predicts that seismic thrust approaches zero as 30frequency approaches zero. He then presents pseudo-static solutions involving 31constant horizontal body forces in the soil for which the seismic thrust is non-zero. 32Although these solutions are interesting and mathematically consistent, Fourier 33amplitudes of earthquake ground accelerations decay logarithmically as frequency 34decreases. As a practical matter, there is no acceleration at zero frequency, hence 35this pseudo-static solution may not reproduce the interaction that occurs during an 36earthquake. The Authors maintain that consideration of the frequency content of 37the ground motion is essential for obtaining accurate kinematic earth pressure 38solutions, which pseudo-static solutions cannot provide.

39The Authors acknowledge that simplifying assumptions were made in the paper to 40facilitate the presentation of relatively simple closed-form solutions. We are actively 41engaged in research to facilitate relaxation of these assumptions by incorporating 42into the solution wall flexibility, soil nonlinearity, vertical inhomogeneity in soil 43stiffness for flexible base conditions, gap formation at the soil-wall interface,

44improvement of impedance functions, and inertial interaction effects associated 45with the wall itself and attached structures. These extensions will improve model 46accuracy for situations in which relative wall-soil displacements are expected to be 47significant (i.e., when $\lambda/H <\sim 8$ -10). However, for the relatively common case of 48larger λ/H ratios, the physics of the problem will continue to dictate very low earth 49pressures, as predicted by the framework presented in our paper. In short, the 50Authors posit that our framework can effectively distinguish cases where kinematic 51earth pressures are and are not likely to be important. Where they are significant, 52current procedures provide an admittedly rough estimate, but one that is much 53more strongly rooted in the physics of the problem than pseudo-static methods 54associated with an effective acceleration of a soil wedge. We respectfully suggest 55that this long-held paradigm be gently moved toward retirement.